

KEITH OWEN YEATES, Ph.D.

FORREST GREGG STONE, et al. vs.

1 IN THE COURT OF COMMON PLEAS 1 1 2 CUYAHOGA COUNTY, OHIO 2 FORREST GREGG STONE, a Minor, etc., et al., 3 3 4 4 Plaintiffs, 5 5 -VS-CASE NO. 396873 JUDGE BOYLE 6 6 CORAZON O. GO, M.D., et al. 7 7 а 8 Defendants. 9 9 - - - -10 0 Deposition of KEITH OWEN YEATES, Ph.D., taken 11 1 as if upon cross-examination before Sandra L., 12 2 13 Mazzola, a Registered Professional Reporter and 3 14 Notary Public within and for the State of Ohio, 4 15 at Childrens Hospital, 700 Childrens Drive, 5 16 Columbus, Columbus, Ohio, at 11:45 am. on 6 17 7 Wednesday, June 19, 2002, pursuant to notice 18 1**B** and/or stipulations of counsel, on behalf of the 19 Plaintiffs in this cause. 13 23 20 ----21 21 BARBERIC & ASSOCIATES, INC. COURT REPORTERS 4237 DETROIT AVENUE, SUITE THREE CLEVELAND, OHIO 44107 (216) 221-1970 FAX (216) 221-9171 1-888-595-1970 22 22 23 23 24 24 25 25 2 APPEARANCES: 1 1 Joel L. Levin. Esg. Levin & Associates 323 Lakeside Avenue, N.W. Suite 450 Lakeside Place Cleveland, Ohio 44113 (216) 928-0600, 2 2 3 3 4 4 5 5 and effrey R. Wahl, Eser leffrey R. Wahl, Eser 232 Lakesief Avenue, Suite 450 Jleveland, Ohio 44113-1058 216-344-9007, 6 6 7 7 В а On behalf of the Plaintiffs; 9 3 Carol K. Metz Esq. (ViaTelephone) Weston, Hurd Fallon, Paisley & Howley 2500 Terminal Tower 50 Public Square Cleveland, Ohio 44113-2241 (216) 687-3368, 10 13 11 11 12 12 13 On behalf of the Defendant Corazon O. Go, M.D.; 13 14 14 John R. Scott, Esq. Reminger & Reminger Co., L.P.A. Seventh Floor The 113 St. Clair Building Cleveland, Ohio 44114 (216) 687-1311, 15 1.5 13 16 17 17 n behalf of the Defendants 18 1.3 PHS Deaconess Hospital and Paul A. Hudock, MD. 19 1:3 20 20) 21 2 22 22 23 2:3 24 24. 25

CORAZON O. GO.. M.D.. et al. 3 KEITH OWEN YEATES, Ph.D., of lawful age, called by the Plaintiffs for the purpose of cross-examination, as provided by the Rules of Civil Procedure, being by me first duly sworn, as hereinafter certified, deposed and said as follows: CROSS-EXAMINATION OF KEITHOWEN YEATES, Ph.D. BY MR. LEVIN: Q. Could i have your name, please? A. Keith Yeates. Q. And that's Dr. Yeates or Mr. Yeates? A. Yes, doctor. Q. And you are not a medical doctor, you are a psychologist, is that correct? A. Neuropsychologist, correct. Q. And that is not a medical doctor, correct? A. No. Q. I was given this morning a copy of your curriculum vitae which is dated as of June 2002. Is this your most current CV? A. Yes. I printed it this morning. Q. Okay. And you believe it to be reasonably truthful and accurate? A. Yes. Q. You know of no mistakes in it? 4 A. Not as far as I know. Q. And you know of nothing significant that's not listed in here that would be relevant to one's CV as you understand it? A. No. Q. I've just been presented it and it's fairly long. I just want to see if I can go through a coupie of things. To get your Ph.D. you majored in clinical child psychology and minored in developmental psychology, is that right? A. Yes. Q. Okay. Is your practice today restricted to child psychology? A. It's restricted to child neuropsychology. We occasionally see young adults that have childhood disorders. Q. When you say it's restricted to neuropsychology, do you have a degree that specifically claims

- 20 neuropsychology or is that something you learned2 in practice?
- 22: A. There are no degrees in neuropsychology. It's
- 23 very new. Most neuropsychologists obtain their
- 24. Ph.D.'s in clinical psychology and then
- 2: specialize in neuropsychology. I have a Board

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1	certification in neuropsychology.	1	Q. Okay. When you say they're all right h
2	Q. When did you become Board-certified in	2	everything you reviewed fort his case of
3	neuropsychology?	3	of either the medical records which are
4	A. I don't remember the exact year. It's listed in	4	binders set or they were on the floor,
5	there. I believe it's '93 or '94, but I don't	5	correct, which we can look at in a minu
6	remember specifically.	6	A. Uh-huh.
7	Q. All right. It's '93. And you have been a	7	Q, and what you've just handed me. Is
8	iicensed psycnoiogist in Ohio since 1993, is that	8	doctor?
9	correct?	9	A. Yes.
10	A. Yes.	10	Q. And I'm going to read this into the rece
11	Q. And you were licensed in Massachusetts, but you	11	you were a little closer, you could checl
12	gave that up when you moved, is that true?	12	sure that I am reading it accurately, but
13	A. Yes.	13	is a March 6 letter to Mr. Scott along wit
14	Q. And we are here at Ohio State University	14	bill at the end, correct?
15	Childrens Hospital, is that right?	15	A. Correct.
16	A. Childrens Hospital is not part of Ohio State	16	Q. There is a letter from Mr. Scott to you e
17	University, but **	17	Dr. Rothner's report of January 31,2002
18	Q. Oh, pardon me. We are here in Columbus at	18	asking you to comment on IQ in genera
19	Childrens Hospital. You are associated with Ohio	19	A. Yes.
20	State University though?	20	Q. There is a report of Dr. Rothner dated
21	A. Yes, I am a tenured faculty member at Ohio State.	21	January 31,2002, correct?
22	Q. And that's the department of pediatrics?	22	A. Yes.
23	A. Correct.	23	Q. There is a cover letter from Mr. Scott a

- Q. And you give your address as Childrens Hospital? 24
- 25 A. Childrens Hospital houses the department of

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- pediatrics for Ohio State. 1
- Q. So there is that connection? 2
- 3 A. Yes.
- Q. You wrote a report on March 6,2002. Do you have 4
- 5 that in front of you?
- A Yes, I do. 6
- 7 Q. Is that the first and only report you wrote for
- Mr. Scott? 8
- A. Yes. 9
- 10 Q. Was there a draft of this earlier?
- 11 A. I mean there's always a draft, but I don't keep
- drafts. I work an a draft an\$ tho final product 12
- is what I have. 13
- Q. What did you review for writing this report? 14
- A. The medical records that are in these two volumes 15
- provided to me by Mr. Scott, as well as I believe 16
- 17 there was a separate letter from Dr. Rothner that
- was not originally in those records because it 18
- came subsequent to that. And there was a copy of 19
- 20 a letter from a Dr. Matula. There's two letters
- 21 from Dr. Rothner, but I believe one of them was
- 22 already in those other records.
- 23 Q. Do you have the dates on those?
- 24 A. They're all right here. That includes the
- 25 correspondence with Mr. Scott.

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- al?
- ł
- asking
- again about IQ and enclosing a report of 24
- 25 Dr. Matula, a copy of a speech language

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- evaluation of October 29,2001, and a copy of
- 2 Dr. Rothner's report undated per the letter, and
- 3 a reference to the fact that the underlying
- 4 records and the records of Dr. Schauer have
- 5 already been provided, correct?
- 6 A. Yes.

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- 7 Q. And you recognize Dr. Schauer as the clinical
 - psychologist at Cleveland Metro?
- A. I don't recognize the name. I do remember seeing 9 10 a report.
- Q. Do you recognize that that's her position? 11
- 12 A. Again, Icouldn't tell you. I don't recognize 13 her name.
- 14 Q. Do you know Cleveland Metro as a hospital?
- 15 A. Yes.
- Q. Have you ever practiced in your field in 16

Cleveland?

- A Practiced in what sense? I've been involved in 18 19 one or two legal cases that originated in
- 20 Cleveland.
- Q. We will get to that in a minute, but have you 21
- ever taken on patients $\boldsymbol{\alpha}$ conducted studies of 22
- 3 patients in the Cleveland area specifically?
- 4 A. Yes.
- **Q.** Okay. We will get to that then in a minute. 5

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1	Dr. Matula's report is here, correct?
2	A. Yes.
3	Q. Do you know Dr. Matula?
4	A. No.
5	Q. Have you ever heard of Dr. Matuia before this?
6	A. No.
7	Q. And you have a speech language therapist's
8	record, correct?
9	A Yes.
10	Q. You have a letter or report of October 22,2001
11	from Dr. Rothner, correct?
12	A. Yes.
13	Q. You have yet another letter from Mr. Scott saying
14	the speech language evaluation records are
15	enclosed?
16	A Yes.
17	Q. And Ithink that may be duplicative.
18	A. Yes, they are identical.
19	Q. They're identical to what is elsewhere. And you
20	have a letter from Mr. Scott which
21	MR. LEVIN: Let's go off the record.
22	·····
23	(Thereupon, a discussion was had
24	off the record.)
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- 1 Q. And the last thing in this pile is a letter of
- 2 December 7 from Mr. Scott which asks you to
- 3 render an opinion, suggests a summary of the
- 4 matter and tells you what's in the binders, the
- 5 two binders that are in 1 and 2, which have the
- 6 medical records, correct?
- 7 A. Correct.
- 8 Q. Have you reviewed anything else in conjunction
- 9 with rendering opinions in this matter?
- 10 A. Idon't beiieve so.
- 11 Q. Have you discussed this matter with anyone else
- 12 other than Mr. Scott?
- 13 A. No.
- 14 Q. Okay. Are you aware that there are several
- 15 experts associated with Ohio State who are also
- 16 rendering opinions in this matter?
- 17 A. No.
- 18 Q. Do you know any of the experts, that is, are you
- 19 personally acquainted with any of the experts and
- 20 do you know who the experts and who are rendering
- 21 opinions in this case?
- 22 A. I'm familiar with Dr. Rothner by name and
- 23 reputation, but I don't know him personally, and
- 24 I'm not aware of any other experts necessarily
- 25 that I'm not sure if Dr. Matula was being

- 11
- calied as an expert or not. i don't know of 1 2 anyone else. Q. Okay. Well, let me just quickly go through 3 4 this. Other than Dr. Rothner, there is no other expert that you're aware of that you know either 5 by on a personal basis or by reputation, correct 6 7 A. I haven't been told the names of any other 8 experts, so I can't by definition -9 10 Q, --that you know? 11 A. No. 12 Q. And Dr. Rothner, it's fair to say you know of his 13 reputation, but you don't know him personally, is 14 that correct? 15 A. Yes. 16 Q. And what is his reputation? 17 A. Idon't know that I can characterize his 18 reputation. I know he's a pediatric neurologist 19 and I've seen his name on a few cases that I have 20 been involved in. But I don't know that I know 21 his reputation among pediatric neurologists. 22 Q. There is a distinction between opinions that are 23 rendered in neuropsychology and ones that are --24 that ~ I be rendered in neurology. Is that a
- 25 distinction you would accept or not?

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- 1 A. I don't even understand how to apply ** respond
- 2 to the question because I'm not sure what you
- 3 mean by distinction.
- 4 Q. Do you believe you will be rendering any medical
- 5 opinions in this matter?
- 6 A. Again, I'm not sure what exactly you would mean
- 7 by medical opinions. So I'm not sure I'm able to
- 8 answer the question.
- 9 Q. Just for the sake of the jury, could you tell the
- 10 jury the distinction between a psychologist and a
- 11 psychiatrist?
- 12 A. A psychiatrist has a medical degree, completes
- 13 medical school, completes residency training in
- 14 psychiatry. A clinical psychologist obtains a
- 15 doctorate degree in clinical psychologythat
- 16 includes internship training and usually
- 17 postdoctoral training. They are both involved in
- the diagnosis and treatment of a variety **d**
- 19 different types of behavioral and medical
- 20 disorders. A psychiatrist is legally entitled to
- 21 prescribe medications. That's not true for
- 22 psychologists in most states.
- 23 Q. Are you allowed to prescribe medication, doctor?
- 24 A. No.
- 25 Q. Do you know how Mr. Scott came across your name?

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	13	15	
1	A. No.	1 A. Yes, I just found that out today.	
2	Q. Have you ever worked with Reminger & Reminger	2 Q. So would you anticipate reviewing it at some	9
0	before?	3 point?	
4	A. I was trying to remember that today, and the name	4 A. Yes.	
5	of the firm rings a vague bell, but I don't	5 Q. But you haven't been shown it before today)
6	remember if it's because I did a case for them or	6 MR. SCOTT: I'm not aware it's	
7	that they were on the opposite side of a case I	7 available. To my knowledge, it has not bee	en
8	was involved in. Just don't remember.	8 returned, certainly not to my knowledge.	
9	Q. How many medicolegal matters have you been	9 MR. LEVIN: Well, John, my court	
10	involved as an expert in?	10 reporters give me my stuff the next day.	
11	A. Over all the years I've been practicing?	11 However you pick your reporters, I just know	w
12	Q. Yes, over all the years.	12 that it's been more than one day since	
13	A. I couldn't give you an exact number. My guess	13 Dr. Rothner's deposition.	
14	that is that it's somewhere between 25 and 50.	14 Q. Do you anticipate reviewing Dr. Schauer's	
15	Q. And how many have been plaintiff and how many	15 deposition testimony?	
16	have been defendant?	16 A. Possibly, yes.	
17			the
18		17 Q. What is your understanding of the source of	
	the nature of the practice we have here is the	18 injury to the patient here, Forrest Gregg Store	
19	kids are seen for traumatic brain injuries very	19 A. Do you mean the nature of the injury? I'm no	01
20	often in our acute trauma program and our rehab	20 sure what you mean by source.	
21	program.	21 Q. The cause or the nature of the injury itself.	
22	Q. I'm sorry. You said are seen for ••	22 Let's start with the cause.	
23	A. Traumatic brain injuries.	23 A I don't have any opinion as to the cause of the	е
24	Q. Traumatic brain injuries, okay.	24 brain insult that he has sustained. Ithink	
25	A. In terms of direct referral from attorneys,	that's part of the issue here. It's not my area	
	14	16	
1	14 again, it's still the majority plaintiff, but a	16 1 of expertise.	
1 2			of
	again, it's still the majority plaintiff, but a	1 of expertise.	
2	again, it's still the majority plaintiff, but a higher percentage of defense.	 of expertise. Q. Does it make any difference to you in terms of 	
2	again, it's still the majority plaintiff, but a higher percentage of defense. Q. And what do you charge for your services?	 of expertise. Q. Does it make any difference to you in terms of rendering any opinions you would have what 	he
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- 1 characteristic differences between prenatal and
- 2 postnatal stroke.
- 3 There is a somewhat different set **d** issues
- 4 though in terms of prognosis of long-term outcome
- 5 from those strokes, and I don't believe there is
- 6 scientific literature yet that makes a strong
- 7 distinction between prenatal, perinatal and
- 8 postnatal stroke.
- 9 Q. Do you know if there is any literature that
- 10 suggests that the two are coincidental, or is it
- 11 your opinion that the literature is yet to be
- 12 written comparing the two?
- 13 A. I don't believe that there's a clear literature
- 14 that differentiates between the two.
- 15 Q. Do you know if there has been studies on that?
- 16 A. There have been a lot of studies on perinatal
- 17 stroke. A lot is **sort** of a relative term, of
- 18 course. There have been some very interesting
- 19 studies on perinatal stroke.
- 20 Q. What are you calling perinatal stroke?
- 21 A. It would include prenatal and postnatal in a
- 22 relatively soon after birth period.
- 23 Q. Okay. What do you understand the nature of this
- 24 injury to be? You say the cause there is a
- 25 lesion and the cause is not relevant to your

18

- 1 opinions as you understand them to be, is that
- 2 fair?
- 3 A. Correct.
- 4 Q. Okay. How would you characterize the lesion?
- 5 A. It's a stroke on the right posterior region of
- 6 the brain with some sort of neurological
- 7 sequelae, according to Dr. Rothner, and some
- 8 residual findings on CT scan.
- 9 Q. Have you read the CT scans?
- 10 A. I've read the report.
- 11 Q. Are you able to read CT scans.
- 12 A. In the sense reading the films themselves?
- 13 Q. Yes.
- 14 A. I can do it informally, but I wouldn't rely on my
- 15 opinion. I would rely on the radiologist's
- 16 opinion.
- i7 Q. Have you seen the opinion of the
- 18 neuroradiologist, Dr. Charles Lanzieri, in that
- 19 matter?
- 20 A. I don't remember the specific name. You need to
- 21 show me a document to see whether or not I've
- 22 seen it.

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- 23 Q. Do you know who Dr. Lanzieri is?
- 24 A. Idon't have a recognition of the name.
- 25 Q. Is neuroradiologyto your understanding a

19

- 1 specialized field within radiology?
- 2 A. Yes.
- 3~ Q. And the CT scans that we are talking about here
- 4 are ones that you from your practice understand
- 5 to normally be within the gambit and expertise of
- 6 neuroradiologists?
- 7 A. Yes. I mean other physicians will certainly
- 8 interpret CT scans, as will neurologists, but the
- 9 real expertise in neuroradiology is with a
- 10 neuroradiologist.
- 11 Q. Now, there have been a number of other findings,
- 12 diagnoses and prognoses concerning Forrest Gregg
- 13 Stone other than the IQ issue. You are aware of
- 14 that. There have been other comments about
- 15 what's happened to him and what's likely to come
- 16 of him?
- 17 A. Yes.
- 18 Q. Okay. For instance -- and I want to go through
- 19 some of them. For instance, there's been in some
- 20 of the medical records and in Dr. Rothner's
- 21 records a discussion of hemiparesis. Have you
- 22 seen that?
- 23 A. Yes.
- 24 Q. Okay. And what is hemiparesis?
- 25 A. It's a partial paralysis of one side of the body.

20

- 1 Q. Okay. And is it sometimes a sequelae of a
- 2 traumatic brain injury?
- 3 A. It can be a sequelae of traumatic brain injury or
- 4 stroke or any insult to the brain.
- 5 Q. Are you rendering any opinions as to whether
- 6 Forrest Gregg Stone has suffered any hemiparesis?
- 7 A. No.
- 3 Q. Okay. And to follow up then, you wouldn't make
- 3 any comment or be expected to render any opinions
- 10 about the severity or prognosis with regard to
- 11 hemiparesis, if he does have **it**, is that fair?
- 12 A. The severity to hemiparesis or its sequelae you
- 13 said?

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wrong.

- 14 Q. Right, **cr** its sequelae.
- 13 A. The only way I can think that I would have any
- 16 comments of a hemiparesis is in trying **b** make an

hemiparesis and cognitive and behavioral

say about whether it's predictive and to what

extent, but if you are talking about physical

Q. I'll use your pronunciation, hemiparesis. I've

heard it the other way, but I may have heard

outcomes, in which case I might have something to

Page 17 to Page 20

17 argument about the relationship between

outcomes of hemiparesis, no.

FORREST GREGG STONE, et al. vs.

CORAZON O. GO., M.D., et al.

KEITH OWEN YEATES, Ph.D.

	21		
1	A. You can say it either way.	1	neuropsychologist. Is it f
2	Q. So if there was a finding of hemiparesis, you	2	predicting the course of d
3	then might be willing, if asked to make a comment	3	into adulthood is an activ
4	on it, to what extent it's indicative or	4	neuropsychologists deal
5	correlative with other cognitive factors within	5	A. Sure.
6	your field, is that fair?	6	Q. And often what they do i
7	A Yes.	7	factor increasing a risk or
8	Q. You haven't been asked to do that yet?	8	irrelevant to a risk, would
9	A. No.	9	A. Yes.
10	Q. Okay. There's been something called let me	[.] 10	Q. So one thing that they do
11	see if I can get some of the terms that are	11	opinions on a single facto
12	actually in here. Well, first of all, have you	.12	look at a variety of factors
13	read anywhere that there's microcephaly with	13	a better indication of prog
14	regard <i>to</i> Forrest Gregg Stone?	[.] 14	A. Yes.
15	A. Idon't remember reading that, no.	.15	Q. So one thing that they we
16	Q. Do you know what microcephaly is?	.16	presented itself in terms c
17	A. Yes.	17	problems, would be micro
18	Q. Okay. What is microcephaly?	18	A. Yes.
19	A. It's an abnormally small head.	19	Q. Do you know how micro
20	Q. And is microcephaly something that is within your	20	A. I don't know the exact cu
21	expertise that is something that you discuss or	21	certain amount of head siz
22	write about or review?	22	levels, but I'm not sure ex
23	A. It's within my expertise, yes.	23	would be or if there is a
04	O Development potients with microscentraly?	0.4	

- Q. Do you treat patients with microcephaly? 24
- 25 A. I've seen patients with microcephaly. I don't

22

- actually engage in the treatment. Most of my 1
- work --virtually all of my work is evaluation 2
- and consultation. Define treatment. Do I have 3
- 4 patients that have microcephaly? Yes.
- Q. So you evaluate patients who perhaps among other 5
- problems have microcephaly? 6
- 7 A. Yes.
- Q. Okay. And we can agree that microcephaly is 8
- generally a problem as opposed -- is that fair? 9
- A. It's an abnormality, yes. 10
- 11 Q. And could you tell me or tell the jury what
- 12 microcephaly is in terms of being an abnormality,
- 13 why it's bad? Is it a badthing to have, doctor?
- 14 A. It increases the risk of developmental problems,
- 15 but it's not a guarantee. It's better not to
- 16 have it.
- 17 Q. Doctor, in that I read through some of the
- 18 literature at the shallowest end of
- 19 neuropsychology, is it fair to say that it is
- 20 difficult to predict the future course and
- 21 prognosis of young children into adulthood as a
- 22 neuropsychologist?
- 23 A. Idon't think that's limited to neuropsychology.
- 24 Q. It may include economists and physicists and
- everything else. I'm just asking you as a 25

|--|

- fair to say that development of children
- vity that
- I with on a daily basis?
- is they talk about one or one factor being d that be fair?
- do is they try not to base
- or if they're able to
- rs so that they can get
- gnosis, is that fair?
- vould consider, if it
- of future developmental
- ocephaly, correct?
- cephaly is defined?
- utoff. It's based on a
- ize being below normal
- xactly what the cutoff
- a standard cutoff.
- 24 Q. Do you know whether in fact it would be expressed
- 25 in numbers of standard deviation?

24

- 1 A. Based on head circumference, but I don't know 2 what the standard cutoff is.
- 3 Q. But often that is how people speak of varieties
- 4 from the norm in psychology? They speak of degrees from standard deviation? 5
 - A. Standard deviation from normal, yes.
- 7 Q. Standard deviations from normal, okay. And all
- 8 other things being equal, the further from normal
- -- strike that. All other things being equal, 9
- 10 the greater the deviation from normal, the
- 11 greater concern one would have, would that be
- 12 fair as a very general rule?
- 13 A. Not always in that the relationship between the
- 14 degree of abnormality in something like head
- 15 circumference is not necessarily related to
- 16 outcome. So that's an empirical question
- research would need to address. 18
 - The presence of microcephaly is a risk
- [.]19 factor. Whether or not it's worse to have less
- 20 is, Ithink, a question you have to look at the :21
 - research to answer.
- ί22 Q. Well, do you know whether, for instance, two
- ′23 standard deviations is less of a risk factor than
- 24 three standard deviations from normal?
- 25 A. Idon't know.

6

KEITH OWEN YEATES, Ph.D.

FORREST GREGG STONE, et al. vs.

CORAZON O. GO.. M.D.et al.

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- 1 MR. SCOTT: Objection.
- 2 A. Idon't know.
- 3 Q. Do you know what being in the bottom two
- 4 percentile of cephaly would be indicative df in
- 5 terms of microcephaly?
- 6 A. Well, it would be about two standard deviations
- 7 away from normal. The second percentile would be
- 8 closer to two if you have a norm, which you
- 9 probably do in head circumference.
- 10 Q. So you didn't notice anywhere in, at least as you
- 11 sit here today and try to remember all the
- 12 medical records, in Dr. Rothner's reports where
- 13 he mentioned microcephaly?
- 14 A. Idon't remember right now one way or the other.
- 15 Q. Would it be fair to say that microcephaly may be
- 16 something that would be important to you in
- 17 judging cognition if it were put in with other
- 18 risk factors?
- 19 A. Yes.
- 20 Q. Are you aware of how many neurosurgeries this
- 21 child has had?
- 22 A. I haven't counted them, no.
- 23 Q. You are aware that he's had more than one, is
- 24 that fair?
- 25 A. Thave to go back to the records. Tknow he's

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- 1 had some.
- 2 Q. And by neurosurgeries, these are actual brain
- 3 surgeries as opposed to hand surgery, let's say?
- 4 A. Right.
- 5 Q. Does brain surgery in a child count as a risk
- 6 factor for developmental problems later?
- 7 A. It's usually the reasons for the brain surgery
- 8 that counts as the risk factor. I don't know
- 9 that there is really any good data to suggest
- 10 that just having an episode of neurosurgery
- 11 necessarily places you at risk. It really would
- 12 depend on the nature of the surgery, if there
- 13 were other complications that occurred.
- 14 Q. Is that something that you could evaluate if you
- 15 were shown the operative records?
- 16 A. Evaluatewhat?
- 17 Q. Whether the nature of the surgery itself, that
- 18 is, the trauma of the surgery of cutting the head
- 19 open and dealing with the brain itself during
- 20 surgery, would be a particular risk factor for a
- 21 particular child. Would that be something you
- 22 would be able to assess if given the operative
- 23 records?

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- 24 A. My guess is that I would tend to rely on the
- 25 opinion of a neurosurgeon as to the nature of how

27

- 1 much trauma the brain might sustain during a
- 2 surgery. It's possible you might be able to tell
- 3 from operative records, but my experience is that
- 4 that's not often the case.
- 5 Q. That would generally be outside your field of
- 6 expertise at any rate, is that fair?
 - MR. SCOTT: Objection.
- 8 A Again, it would depend on the nature of the
- 9 records and how well documented it was.
- 10 Q. Now, you said that one of the things that would
- be a risk factor would be the underlying reason
- 12 for the brain surgery, is that fair?
- 13 A. Yes.

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- 14 Q. Okay. And the underlying reason for the brain
- 15 surgery with Forrest Gregg Stone you understand
- 16 to be what?
- 17 A. Well, at least one of them was the stroke itself.
- 18 Q. And that stroke itself is a risk factor?
- 19 A. Yes.
- 20 Q. And it's a risk factor for developmental
- 21 problems?
- 22 A. Broadly defined, yes.
- 23 Q. And it's a risk factor for problems with
- 24 developmental and cognition problems?
- 25 A. Yes.

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- 1 Q. Would you characterize that underlying condition
- 2 as an infarct?
- 3 A. I have to go back to the records. Off the top of
- 4 my head, I'm not remembering. If you want to
- 5 give me back my records there, I can tell you.
- 6 Q. You can have the reports. I don't have your
- 7 records. You can certainly have back your
- 8 reports.

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yes?

A. Yes, it **is** a risk factor.

A. I can process. Go ahead.

- 9 A. No. I mean the stuff that I gave you. Because I
- 10 can't off the top of my head actually remember if
- 11 it was an infarct or it was a hemorrhagic
- 12 stroke. It does involve an infarct even though
- 13 the stroke itself was hemorrhagic in nature.
- 14 Q. So is the infarct a factor that presents an
- increased risk for developmental and cognitionproblems?
- 17 A. Again, the stroke is part of that. I mean just
- answering the same question as before, from myperspective.

Q. Dr. Rothner --- I'm sorry. If you want to look

through this, I don't mean to cut you off.

Q. And the answer from your perspective would be

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KEITH OWEN YEATES, Ph.D.

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10 A. Yes.

13 A. Yes.

-	ORAZON O. GO., M.D., et al.		
	29		31.
	Q. i certainly don't want to get afoul of your	1	taken with other factors , can it be a risk
	counsel.	2	factor?
	A. No. If I need you to stop, I'm not shy about	3	A. Again, I don't know that as a neuropsychologist I
	telling you to stop.	4	would pay a lot d attention to uncal herniation
	Q. That's fine. Dr. Rothner in his testimony or in	5	per se. I would look at what the reasons were
	his reports gave the opinion that there was	6	for it having occurred and how long the mass
	clinical manifestations of cerebral palsy at	7	effect was likely to have occurred and other
	least episodically with this child. Do you	8	factors. So it's an indication that there's a
	recall seeing that anywhere in the records?	9	pathological process occurring in the brain. The
	A. Yes.	10	question is what's that pathological process.
	Q. Okay. Is cerebral palsy an increased risk factor	11	Q. Are you aware that there is a some discussion in
	for developmental and cognition problems?	12	the medical records that there was some seizure
	A. Yes.	-13	activity in Forrest Gregg Stone?
	Q. And again, are you going to be rendering any	14	A. I have some vague recall of that.
	opinions as to the accuracy of the diagnosis of	15	Q. Would seizure activity be an increased risk
	CP by Dr. Rothner?	16	factor for developmental and cognition problems?
	MR. SCOTT: Objection. Now? When	17	MR. SCOTT: Again, do you want to
	are you talking about? At the time that the	18	give the doctor timing? You're not
	patient presented to MetroHealth, or are you	19	suggesting to the doctor that the child has
	saying that the patient had CP at the time?	20	had any seizures in the last two and a half
	MR. LEVIN: At any time.	21	years, are you?
	MR. SCOTT: Well, Dr. Rothner hasn't	212	MR. LEVIN: I'm not suggesting
	made any diagnosis of cerebral palsy at this	<i>2</i> 3	anything at all. I'm just asking him a

MR. SCOTT: Well, Dr. Rot 22 made any diagnosis of cerebral palsy at this 23 time. 24

Q. My only question to your expert is if Dr. Rothner 25

	30		
1	renders an opinion one way or another about the	1	who have seizures and
2	occurrence or manifestations of CP at any time,	2	time they still have a se
3	are you going to be rendering opinion as to the	3	some association betw
4	accuracy of that judgment?	4	cognitive functioning.
5	A. No, I don't believe so.	5	presence of seizures a
6	Q, Okay. You have heard of uncal herniation?	6	complication during th
7	A. Yes.	7	be associated with a h
8	Q, What's uncal herniation?	8	or developmental prob
9	A. It's a herniation of the uncus as a result of	9	whether or not that per
10	pressure, usually some mass lesion that creates a	10	perinatal stroke. So wl
11	space in the cranial vault and brain herniation.	11	association between se
12	a. Do you know whether or $\operatorname{no}?$ Forrest Stone suffered	12	complications and neg
13	an uncal herniation?	13	shown, for example, in
14	A. I have a vague memory that he did but I'm not	14	true of children with pe
15	certain.	15	have to look literature t
16	Q. Is uncal herniation an increased risk factor for	16	Q. Okay. So as of this m
17	developmental and cognition problems?	17	deposition, my only op
18	A. In and cf itself, Idon't know that it would be	18	deposition and unders
19	because it would depend on how quickly and	19	don't have an opinion of
20	effectively it was treated and what the	20	have would have to loc
21	underlying condition was. I'm not aware of	21	say?
22	research literature that specifically looks at	22	A. Right. With reference
23	the association between the uncal herniation and	23	stroke like Forrest.
24	developmental outcome.	24	Q. What about midline sh
25	Q. You said taken in and of itself. What about	25	of midline shift in the n

	1
11	Q. Are you aware that there is a some discussion in
12	the medical records that there was some seizure
·13	activity in Forrest Gregg Stone?
14	A. I have some vague recall of that.
15	Q. Would seizure activity be an increased risk
16	factor for developmental and cognition problems?
17	MR. SCOTT: Again, do you want to
18	give the doctor timing? You're not
19	suggesting to the doctor that the child has
20	had any seizures in the last two and a half
21	years, are you?
212	MR. LEVIN: I'm not suggesting
<i>2</i> 3	anything at all. I'm just asking him a
214	question.
215	A If a simple comparison is made between children

1	who have seizures and children who don't at the
2	time they still have a seizure disorder, there is
3	some association between ongoing seizures and
1	cognitive functioning. In some disorders the
5	presence of seizures as a neurological
5	complication during the course of an illness will
7	be associated with a heightened risk of cognitive
3	or developmental problems. I don't know offhand
)	whether or not that pertains for children with
)	perinatal stroke. So whether or not the
1	association between seizures as neurological
2	complications and negative outcomes that's been
3	shown, for example, in kids with meningitis is
1	true of children with perinatal seizures, I would
5	have to look literature to find out.
6	Q. Okay. So as of this moment when I'm taking your
7	deposition, my only opportunity to take your
3	deposition and understand your opinions, you
)	don't have an opinion on that right now. You
)	have would have to look it up, is that fair to
I	say?
2	A. Right. With reference to children with perinatal
P	stroke like Forrest.
ŀ	Q. What about midline shift? Do you see any mention
,	of midline shift in the medical records?

JUNE 19, 2002

KEITH OWEN UEATES, Ph.D.

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FORREST GREGG STONE, et al. vs. CORAZON O. GO., M.D.et al.

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- A. Yes. 1
- 2 Q. What is midline shift?
- A. Midline shift is a displacement of the midline of 3
- the brain to the side as a result of a mass 4
- 5 lesion, usually as a result of a mass lesion.
- 6 Q. Is that also a matter of degree, that is, the
- 7 amount of the shift?
- A. Yes. 8
- 9 Q. Do you know how severe this shift was?
- 10 A. I don't remember.
- Q. Are you able to judge the severity of a midline 11
- 12 shift?
- 13 A. Well, it's easy to measure a midline shift. I'm
- 14 not aware off the top of my head of the standard
- grading scheme in terms of that and I generally 15
- wouldn't be the person who would make a judgment. 16
- 17 Q. That would be the neurologist, most likely?
- 18 A. Neurosurgeon, neurologist, typically a physician.
- 19 Q. Is the midline shift an increased risk factor for
- 20 developmental or cognitive problems?
- 21 A. I would think a midline shift in the same way
- 22 would be pretty much all the answers to the same
- questioning you asked for uncal herniation. In 23
- 24 and of itself, Idon't know of any literature
- 25 that looks at midline shift per se. It would be

34

- 1 a matter of what the underlying pathology was,
- 2 how long the midline shift lasted, how severe it
- 3 was and so forth.
- 4 Q. And these are areas that again are even further
- 5 outside your field?
- 6 MR. SCOTT: Objection.
- Q. This is not something you look at? 7
- A. No. 8
- Q. is that fair? 9
- 10 A. I don't agree with that. In fact, many of the
- kids we see have midline shift, uncal herniation 11
- 12 or complications associated with their
- 13 condition. What Isaid was that I don't believe
- 14 there's specific literature about those factors,
- 15 but there certainly would be literature relative
- 16 to the pathologies that cause those things.
- 17 Q. Well, aside from the literature, clinically, have
- 18 you noticed any increased developmental or
- cognition problems due to your patients who have 19
- 20 suffered either a midline shift or uncal
- herniation? 21
- 22 MR. SCOTT: Objection. Go ahead,
- 23 doctor.

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- 24 A. I mean because both of those factors by
- 25 definition involve some sort of abnormal

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- condition of the brain, that they are abnormal
- 2 conditions of the brain, but are caused by other
- 3 pathology. They would be certainly factors that
- 4 would indirectly reflect other processes that are
- 5 likely to be associated with risks.
- 6 Q. So it would be fair to say they are correlative
- 7 with factors that are indicative of increased
- 8 risk, is that fair?
- 9 A Yes, I believeso.
- 10 Q. Okay. Did you know there was a hematoma
- 11 associated with Forrest Gregg Stone when he went
- 12 into Metro?
- 13 A. I believe so.
- 14 Q. Okay. And a hematoma is what?
- 15 A. A collection of blood.
- 16 Q. Is a hematoma -- and that would be in the --
- 17 somewhere in a cranial cavity?
- 18 A. You can have a hematoma outside of the brain, but
- 19 yes, in this case it was inside the cranial wall.
- 20 Q. I meant in this case that's where it was.
- 21 A. Yes.
- 22 Q. Would such a hematoma in a cranial cavity be an
- 23 increased risk factor for developmental or
- 24 cognitive problems?
- 25 A. In most cases, yes.
 - Q. Okay.
- 2 A. I mean we have a group of kids with epidural
- 3 hematomas in one of our studies that seem to do

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- 4 very well. So again, it depends on the larger
- 5 context, but it's certainly better not to have a
- 6 blood clot or blood on the brain than it is to
- 7 have it.

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- 8 Q. Now, I've given you a number of factors that may
- 9 impact on prognosis, right?
- 10 A. Yes.

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BARBERIC & ASSOCIATES

- 11 Q. Okay. And if I was quicker at my math, I could
- 12 figure how many permutations there are of that,
- 13 but without doing that, would you agree that the
- 14 more of these risk factors that are present for
- 15 any patient, the greater the risks in general of
- 16 the developmental or cognitive problems, that
- 17 when taken in the aggregate, the risk factors

the brain, so that it isn't necessarily

- 18 present increased risk?
- 13 A. I think clinically **my** judgment would usually rely on some overall picture of severity of insult to

additive. You add them up and there they are,

but the more you have some of the indicators that

you mentioned, the more likely you are to have a

Page 33 to Page 36

more severe brain insult. So in a very general

CORAZON O .GO., M.D., et al.

KEITH OWEN UEATES, Ph.D

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- 1 level what you said is probably true. Although I
- 2 don't believe you simply can just add them up.
- 3 **Q.** More complicated but still generally true, is
- 4 that fair?
- 5 MR. SCOTT: Objection. He answered,
- 6 A. I've answered.
- 7 Q. You said it would all depend on the -- strike
- 8 that.
- 9 A Iwouldn't say it would all depend.
- 10 Q. You said that it was important to know the
- 11 severity of the impact to the brain, correct?
- 12 A. Well, not impact.
- 13 Q. The insult?
- 14 A. Make sure we are not talking about trauma, but
- 15 yes, the insult **to** the brain.
- 16 **Q.** Okay. Severity of the insult would be an
- 17 important thing to know, correct?
- 18 A. Yes.
- 19 **Q.** And how would you measure that? Would that be on
- 20 some kind of scale?
- 21 A. It depends on the nature **of** the brain insult.
- 22 There are scales that can be used for traumatic
- 23 brain injury, for example, but in perinatal
- 24 stroke i don't believe there's a really well
- 25 accepted grading scheme. There are grading

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- 1 schemes, for example, for stroke associated with
- 2 intraventricular hemorrhage, but that's not what
- 3 we are dealing with here. **So** that I think that
- 4 would be an overall clinical judgment of the
- 5 severity of the injury in this sort of instance.
- 6 Q. Do you use a grading scale in your own practice,
- 7 you know, one through five, standard of
- a deviations?
- 9 A. It really depends on the nature **d** the case.
- 10 Q. Well, for a case like this.
- 11 A. Idon't know that I would have a specific scale
- 12 that I would make reference to. I mean I would
- 13 have some probably, perhaps, notion of the size
- 14 of the lesion, the complications associated with
- 15 it, but I don't know that I would try put it into
- 16 any one to five rating scheme, no.
- 17 Q. Well, do you speak of very severe, somewhat
- 18 severe, I mean do you **

Page 37 to Page 40

- 19 A. Itypically
- 20 Q. Let me ask. Do you write notes in that way to
- 21 other physicians to try to give them some idea of
- 22 what your clinical judgment is on severity?
- 23 A. Itypically will do that certainly with reference
- to my description of cognitive outcomes in
- 25 neuropsychological function in terms **d** level of

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- impairment. I will sometimes do that with the
- 2 pathology involved if I feel like there is a
- 3 reasonably well agreed upon system. For example,
- 4 there is a pretty well agreed upon system for
- 5 judging the traumatic brain injury.
- 6 Q. Is this a trauma?
- 7 A. Not as I would define it, no, it doesn't involve8 blunt trauma.
- 9 **Q. So** traumatic brain injury has to involve trauma?
- A. A traumatic brain injury has to involve some sort
 of force being caused to the head.
- 2 Q. You wouldn't think that would be the case here?
- 3 A. Not that I believe.
- 4 Q. Let me go back really to my question. Could you
- 5 give me some notion of how severe you think the
- 6 insult was here? Or do you feel that you can't
- 7 answer that as you sit here today?
- a A. I could characterize the injury as involving a
- 9 significant neurological insult. I'm not sure I
- 20 would put it on a grading scheme because I don't
- 21 have a -- I mean I can use an adjective, but I
- don't know that it would have any particularstandard reference.
- 24 Q. Are you familiar with the use **d** the term,
- ¹⁵ orthopedic injury, with regard **to** brain injuries?

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- 1 A. Orthopedic injury would not be a brain injury.
- 2 Q. What would an orthopedic injury be?
- 3 A. Orthopedic injury **
- 4 Q. A broken bone, you mean?
- 5 A. -- involves fracture.

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BARBERIC & ASSOCIATES

- 6 Q. And do you believe there is literature -- I'm
- 7 trying to understand what **you** said, doctor.
- a There is a literature about traumatic brain
- 9 injury and that literature does not apply to
- 0 cases or conditions like that which you have
- 1 observed with Forrest Gregg Stone, is that fair?
- 2 A. He doesn't have a traumatic brain injury. He had
- a brain insult. Some people might say he has a
- 4 brain injury, but in the way that traumatic brain
- 5 injury is generally defined in research
- 6 literature, he doesn't have a traumatic brain7 injury.
 - People -- maybe I can add that many people
 - would equate closed head injury to traumatic
- '0 brain injury in neurosurgical literature.

blunt trauma to the head.

brain injury children, correct?

- '1 Certainly, there is a trauma here in the sense of
- 2 some insult to the brain, but it wasn't caused by

Q. There are studies about what happens to traumatic

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KEITH OWEN YEATES, Ph.D

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FORREST GREGG STONE, et al. vs.

CORAZON O. GO.. M.D.. et al.

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- I A. Yes.
- 2 Q. You've published some of those studies?
- 3 A. Yes, I have.
- 4 Q. And you're publishing them on an ongoing basis, I
- 5 assume?
- 6 A. Yes.
- 7 Q. Is there a parallel literature to your knowledge
- 8 on brain insults that are not traumatic brain
- 9 injuries?
- 10 A. There is literature specifically about perinatai
- 11 stroke.
- 12 Q. Have you published in that field?
- 13 A. Not yet, no.
- 14 Q. Are you doing any research as we sit here today15 on that?
- 16 A. We have a grant pending.
- 17 Q. In other words, you applied for the money, but it
- 18 hasn't been awarded and when it is awarded, you
- 19 will begin the research, is that fair?
- 20 A. Yes.
- 21 Q. Do you know -- is that NIH?
- 22 A. No, it's N-A-R-A-S-E-A. I couldn't even tell you
- 23 what it stands for.
- 24 Q. And when would you expect to hear from them?
- 25 A. I'm a subcontract on this grant. I'm trying to

42

- 1 remember when the PI told me it would be. 1
- 2 think he said August or September, if I remember.
- 3 Q. So it's unlikely that any significant research
- 4 would be done before the trial begins in this
- 5 case if it begins on time on September 30?
- 6 A. Research here, yes.
- 7 Q. Okay. Now, I believe you said that others have
- 8 done research in this area, is that correct?
- 9 A. Yes.
- 10 Q. Can you point as you sit here today as an expert
- 11 to any researchers in that field that are
- 12 prominent?
- 13 A. Sure. Elizabeth Bates. Joan Stiles,
- 14 S-T-I-L-E-S. Jeffrey Max.
- 15 Q. M-A-X?
- 16 A. Yes. Dorothy Aaram, A-A-R-A-M. Joan Gerring has
- 17 done some work in that area. G-E-R-R-I-N-G.
- 18 Q. Is there any journal or ---
- 19 A. I'm trying $\mathbf{b}\mathbf{0}$ remember if Joan's actually done
- 20 stroke. It might just be TBI. I may be wrong.
- 21 Q. Is there any journal or set of journals that
- 22 these people tend to publish in?
- 23 A. There are a lot \mathbf{cf} journals they would tend to
- 24 publish in.
- 25 Q. They that they would publish these kinds of

- results in, is that correct?
- 1 results in, is 2 A. Yes.
- 3 Q. A number of them, okay. Would you agree that

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- 4 children who sustain a perinatal stroke are at
- 5 high risk for problems in behavior?
 - MR. SCOTT: Objection. You can
- 7 answer that if you can.
- 8 A. I believe they're at risk. I think you used some
- 9 other adjectives, but there is a risk factor for
- 10 behavior probiems.
- 11 Q. High risk. What about children who suffer severe
- 12 brain injury? Are they at risk for problems?
- 13 MR. SCOTT: Objection.
- 14 A. I don't know what you mean by severe brain15 injury.
- 16 Q. I'm sorry. I'll try it again. Would you agree
- 17 that children who suffer traumatic brain injury
- 18 are at high risk for problems with behavior?
- 19 A. Depending on the severity. The more severe the
- 20 injury, in general the higher the risk.
- 21 Q. When you write, do you ever write about severe
- 22 traumatic brain injury?
- 23 A. Yes.
- 24 Q. And when you write about severe traumatic brain
- 25 injury, what do you mean by that?

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2	
1	A. There are accepted definitions of severity
2	generally based on factors like the Glasgow Coma
З	Scale and other indicators of severity $\mathbf{d}\mathbf{f}$
4	injury.
3	I think it's important to point out that the
6	literature about outcomes in traumatic brain
7	injury and those in perinatal stroke actually
8	come to somewhat different conclusions because
3	the pathology is quite different and the risks
10	associated with those two disorders are somewhat
11	distinct, both cognitive and potentially
12	behavioral.
13	But having said that, because you are asking
14	about both and going back and forth and I
15	wouldn't want them to get mixed up, they don't
16	have the same outcomes. But in terms of severe
17	traumatic brain injury, yes, it's a risk factor
18	for behavioral disorders.
19	Q. And it's a risk factor also, that is, perinatal
20	stroke is also a risk factor, correct?
21	A. Yes.
22	Q. And is perinatal stroke also a risk factor for
23	adaptive functioning?
24	A. Yes.

25 Q. is perinatal stroke a risk factor for problems in

FORREST GREGG STONE, et al. vs.

KEITH OWEN YEATES. Ph.D.

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the line?

45 47 educaiionai performance? 1 A. That's correct. We don? actually see too many A. Yes. And again, I would put the same parameters 2 kids and do Bayleys because, again, we don't see 3 to the severity and nature of the injury, nature lots of infants, but yes, we do use it that way. of the stroke, but yes, all things being equal. 4 Q. When you say you don't see lots of infants, what 5 Q. Doctor, when I gave you back your notes, I gave age is typically in your practice or what is the you back my copy of your report. 6 range of your practice typically? 7 A. Most of the children that we see will be between A. Oh, I'm sorry. Q. No. That's my fault. You must have at least six 8 the ages of three and fifteen. 9 Q. When you say we, common problem for witnesses. I copies. The hole-punched copy is my copy. A. Actually, Ionly have one. 10 mean as a whole, there is a hospital here and 11 there is the Ohio State Medical School, both of Q, I do want to address your report. 12 which you're associated with. 13 A. I run the neuropsychology program here at (Thereupon, a discussion was had 14 Childrens Hospital. I have two other staff off the record.) 15 neuropsychologists and two postgraduate fellows 16 and some interns who work with us. I also have a Q. Doctor, you believe that all the opinions that 17 graduate student that sees patients. you hold thus far in this case are contained in 8 Q. So when you say we -this report, is that fair? 19 A. In the neuropsychology program at Childrens A No. I have other opinions that I was asked -- I rendered opinions in response to Mr. Scott's 10 Hospital. questions in that report. Actually, you have 11 Q. Okay. That's fine. I just want to know who the 12 we is. And Childrens Hospital is a tertiary care already elicited some opinions from me today that 13 center tor children, is that fait? are not in that report. A. Childrens maintains and provides primary care, 24 Q. What is the Bayley Scale of infant Development? 15 psychiatric care and tertiary depending on --A The Bayley scale is a standardized measure of 48 46 Q. Actually, I meant it to be inclusive. When you early motor and mental skills in early 1 2 say you have patients that are typically between development for infants and children. 3 three and fifteen, after they're older than Q. Can you keep your voice up just for the woman on 4 fifteen, they're sent to adult treaters who treat 5 - people who treat adults rather than children A. The Bayiey Scale is a standardized measure of 6 typically? eariy motor and mental skills intended for infants and very young children. 7 A. No. We see older adolescents. I know what the 8 age distribution tends to be. And we see children Q. When is it typically administered, what ages? 9 under age three or under two. It's just you A I'm trying to remember. Anywhere from birth up 0 asked me for the range that we commonly see. to, I believe, if I remember correctly, it's 42 1 months, but I'd have to double-check the scale. Some older children would be sent to 2 neuropsychologists who typically see adults. It's 12 Q. In that age range is I the most common test given in this area? 3 a matter of referral sources. 4 Q. How many patients do you see a year through this A. Certainly under the age of about two and a half 5 department? there are a variety d other tests that are often A. Our program sees about 350 a year. given to two-year-olds. But the Bayley is one of 6 Q. And how many are below three typically a year? the standards. 7 8 A. It wouldn't be many. i couldn't tell you an Q. Have you administered it yourself? 9 exact number. Probably less than 30 would be my A. Not in many years, but I have. guess. Maybe less than 20. Under age 2 and 20 Q. So it would be fair to say that in the actual 2 below, probably less than 30. administration, that's something you did earlier Q. And that would be for all kinds of problems? in your career and now you have others do the

- A. Yes. 13
- 12 Q. So it would be even more difficult for -- well, let me ask you. You don't know how many you

correct?

actual administration, but you continue to use it

as an interpretive stool, to interpret, is that

KEITH OWEN YEATES, Ph.D

FORREST GREGGSTONE, et al. vs. CORAZON O.GO., M.D., et al.

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- 1 would see with perinatal stroke or with the kind
- 2 of condition that Forrest Stone --
- 3 A. Actually, we see a lot of those children.
- 4 Actually, we tend to see them at slightly older
- 5 ages.
- 6 Q. But you don't see many that are under three?
- 7 A. Generally not, because you are limited in the
- 8 range of testing you can do and its predictive9 utility.
- 10 Q. Why do you have give the Bayley test to children
- 11 zero to four? What's its purpose?
- 12 A. It's usually to get a -- broadly speaking, to get
- 13 some sense of their developmental status at that14 time.
- 15 Q. And would it be fair to say it's a tool to give
- 16 you some understanding?
- 17 A. Oh, yes.
- 18 Q. And it's a tool to be used, if possible, along
- 19 with other tools, including medical records,
- 20 clinical examination, history?
- $\ensuremath{\mathbbmm{2}}$ A. Again, used for what purpose, but yes, I mean in
- 22 the context of a broader evaluation, all of that
- 23 information would be relevant.
- 24 Q. Well, for instance, in making a prognosis, those
- 25 other areas would be useful in adding to a more

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- 1 confident prognosis as a general rule?
- 2 A. Yes.
- 3 Q. Have you ever been asked to make a prognosis of a
- 4 three-year old?
- 5 A. Yes.
- 6 Q. Okay. And what tools do you use? Do you ever
- 7 use the Bayley as one of the tools?
- 8 A. Usually wouldn't with a three-year old. There
- 9 are other tests that can provide more reliable
- 10 measures of overall cognitive ability, more
- 11 specific cognitive skills. We would certainly
- 12 have used it in a two-year-old.
- 13 Q. How old was this patient when he had the test, do
- 14 you recall?
- 15 A. I believe he was -- my memory is that he was in
- 16 the two-year-old range, but I need to check.
- 17 Q. I believe he was two years and four months, and18 maybe John and I can agree on that.
- 19 A. I'm thinking 28 to 35 months, but I don't know.
- 20 Q. I can show you the Metro record where it says21 that.
- 22 A. Yes. For the -- when he was given the Bayley,
- 23 yes.
- 24 Q. Yes. He's been seen by a variety -- you
- 25 understand he's been seen by a variety of health

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- 1 proiessionais for a variety of reasons, correct?
- 2 A. Yes.
- 3 Q. And you understand that he is being treated for
- 4 his clotting disorder by a hematologist?
- 5 A. i assume he is. I didn't pay attention to that.
- 6 Q. Right. But you would assume that a child with
- 7 the kind of medical course he has has seen a
- 8 number of people, would that be fair?
- 9 A. Yes.
- 10 Q. And I understand there are a whole range of
- 11 opinions you're not going to be venturing into
- 12 including hematology?
- 13 A. I wouldn't venture opinions about hematology
- 14 other than its and cognitive outcome, but not
- 15 about hematology itself.
- 16 Q. if you were to do a prognosis of a child who is
- 17 two years and four months old, would one of the
- 18 tools that you would use be a Bayley test?
- 19 A. I have to break that down into two parts. If
- 20 he's two years and four months old, I probably
- 21 would use the Bayley depending on what I know
- 22 about the case in terms of the level of
- 23 functioning. I would be, for the reasons I
- 24 mentioned in my letter to Mr. Scott, extremely
- 25 reluctant to rely on the Bayley for making any

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1	determination of long-term prognosis.
2	Q. Would it be fair to say that you would be willing
3	to use the Bayley as one tool or one indicator
4	along with other indicators for a child who is
5	two years four months in making a prognosis?
6	MR. SCOTT: A prognosis of what?
7	Q. A progress - a neuropsychological prognosis?
8	MR. SCOTT: Objection. You're going
9	<u>.</u>
10	A. One of the things we know about the Bayley, the
11	infant assessment, is that if children are
12	extremely impaired, they tend to remain extremely
13	impaired. And one of the reasons the Bayley is
14	sometimes used is to try to get a sense of
15	whether the child is severely impaired. So in
16	some cases it has more prognostic value than
17	others. But in the broadly defined normal range,
18	depending on the clinical context we would use to
13	get a sense of where a child's function is now,
26	and depending on the results, it may or may not
21	have prognostic significance.
22	Q. Right. My question is would you use it in any
23	case for a two-year and four-month old to make a
24	prognosis with regard to cognition and
23	development, along with other indicators,

CORAZON O. GO.. M. D. et al. 53 55 1 including medical records, examinations, clinicai 1 reporting of function based on the final report. 2 2 assessments? 3 A. !think I've answered question. I would use --3 felt the results of testing may not be valid. in my own clinical work would incorporate all of 4 Q. Who is he, by the way? 4 A. She. It's a she. 5 that information. 5 Q. Dr. Schauer? 6 Q. Including the Bayley? 6 A. Bayley would be considered. Whether or not it 7 8 provided prognostic information or not. а that are men, so Itry not to make assumptions 9 about those sorts of things, 9 Q. Because you wouldn't give it to a two-year four-month old here at Childrens Hospital if you 10 11 thought it would have no value, would that be 11 fair? .12 the Bayley measures, is that fair? 12 13 A. No value? No. I would prefer not to give my 13 A. It's a name. 14 Q. Purports to measure? 14 patients anything that has no value. 15 Q. How much does it cost for the Bayley to be 15 A. Yes. 16 administered? How much do you charge for it? 16 17 A. We don't charge per test. We have an hourly 17 rate. It depends on how long it takes to give a **.**18 often fail to correlate? 18 19 child a test. 19 A. IQ, when it's applied to a specific test is 20 20 Q. What's the normal range? simply just another name for what's meant to 21 A. Depending on the child's age. A two-year-old measure ability, and in that sense the MDI is 21 22 would usually need about an hour and he give moat meant to measure something similar to that. 22 23 23 the motor and developmental skills.

- 24 Q. And would you charge an hourly rate for that time
- 25 to the patient?

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- A. The hospital charge is roughly \$150 an hour. 1
- Q. And then would you also evaluate that as a 2
- neuropsychologist? 3
- 4 A. Well, yes. There is -- the total cost of
- 5 evaluation would include test administration
- time, interview, report preparation, review of 6 7 records.
- а Q. And certainly, you wouldn't charge a patient for
- 9 a test that you didn't think was valuable, is
- 10 that fair?
- A. Valuable is defined a lot of different ways, but 11
- no, if I thought that a test was totally useless, 12
- I wouldn't give it in the first place. Now, if 13
- 14. have a test that is invalid because the child is
- 15 uncooperative a whatever, we still would charge
- 16 the patient for the time.
- 17 Q. Do you find the tests with Forrest Stone to be invalid? 18
- 19 A. Idon't have any indication that it was, no.
- Q. Would you expect that if a test is invalid 20
- 21 because, let's say the child is uncooperative,
- 22 that the psychologist who was preparing the
- 23 evaluation would note that?
- A. Actually, he actually did in terms of his 24
- 25 interpretation that he felt it was perhaps under

- So I would expect a colleague to mention if they
- 7 A. Lassume that's a she. I've actually known Orels
- 10 Q. Let me go back to your report, doctor. We can
- speak of mental development index, which is what
- Q. And how does that match up to IV Are those
- numbers supposed to be the same even if they
- Q. So one would expect to correlate in general in IQ
- 24 with -- let me finish -- with all the caveats of
- 25 the problems of administering tests to younger

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- children and any other testing problems involved?
- 2 A. You would expect to correlate with IQ measurement
- 3 being administered around the same time.
- 4 Q. But that would be true of IQ tests, too, that
- 5 sometimes change over time?
- 6 A Yes.

- 7 Q. What is the normal IQ within one standard
- 8 deviation?
- 9 A. One standard deviation?
- 10 Q. Yes, for all measures.
- 11 A. For most tests, again, it all depends on what the
- 12 actual norms are for the test. For most tests
- 13 the one standard deviation would be anywhere
- between 85 and 115. 15 points of the standard 14
- 15 deviation of most of these tests.
- 16 Q. 15 points. And so the norm -- and that would
- 17 mean for most of these tests 85 to 115?
- 18 A. No, I don't think that anybody uses the word,
- 19 normal, necessarily just on the psychometrics.
- 20 Most people often use a cutoff of around --
- 21 again, it depends who you speak to, but most
- 22 neuropsychologists would use the cutoff of the
- 23 ten percentile to describe a performance that as
- 24 impaired or abnormal.
- 25 Q. What's a ten percentile in IQ?

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FORREST GREGG STONE, et al. vs. CORAZON O. GO.. M.D.. et al.

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- 1 A. in Q it would be roughly 80.
- G And on the Bayley scale --2
- 3 A. Yes.
- Q. l'msorry? 4
- 5 A. Yes.
- Q. You have to give mea word. And is there plus or 6
- 7 minus for any point in terms of the way the tests
- 8 are administered, a polling data where they now
- 9 talk about four points. That is, in polling data
- 10 they speak as 50 as meaning it's the same as 54
- to 46 being fairly indistinguishable because the 11
- 12 polling data doesn't account for accuracy closer 13 than that.
- 14 A. There is a confidence interval associated with
- 15 any score on psychological tests like the Bayley,
- 16 but it's not really accurate to say it's -- it
- 17 really isn't accurate to say the score is the
- 18 same as something else, but you can confidently
- state that it is between a certain range or 19
- 20 within a certain range.
- 21 Q. You dropped your voice or maybe my hearing is
- going. I apologize. You called it confidence 22
- 23 integral?
- 24 A. Interval.
- 25 Q. Oh, interval. I'm trying to think of what

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- integral would be. Confidence interval. What 1
- 2 would be the confidence interval in the Bayiey?
- 3 A. It depends on how confident you want your
- interval to be. I don't want to be facetious. 4
- 5 But you can use 90 percent or you could use 95
- 6 percent confidence intervals, and off top of my
- 7 head I couldn't tell you what the Bayley is. I
- 8 know that, I think, Dr. Matula mentioned what it
- 9 is or what it was with a particular confidence,
- 10 but off the top of my head I don't know.
- 11 Q. Well, you have done this. Do you think it's in
- the range of two, three points or seven or eight, 12
- 13 or don't you know?
- 14 A. I'd have to look. I don't think it's more than
- 15 seven or eight points, but I don't know for
- 16 certain.
- 17 Q. Is 84 below average?
- 18 A. No.
- 19 Q. Didn't you tell me average was 85 to 115?
- 20 A. No. I said that the first standard deviation is
- 21 85 to 115.

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- 22 Q. Fair enough.
- 23 A. Most tests in standard nomenclature would be to
- describe 90 to 110 as average. 80 to 90 it's a 24
- low average. Anything under 80 is below 25

59

- average. Again, it's a matter of convention more
- 2 than anything else.
- 3 Q. Because obviously, there's a bigger difference
- 4 between 80 and 89 than there is between 89 and
- 5 90. It's just a matter of convention, each point
- 6 would be a point?
- 7 A. Yes.
- 8 Q. So it would be -- it would be -- is this -- is
- 9 this a straight scale grading or is this some
- 10 kind of logarithmic scale? It's straight scale
- 11 grading, isn't it?
- 12 A. I'm not exactly sure what you mean, based on
- 13 normal distribution?
- 14 Q. No. What I mean is are there going to be the
- 15 same population between 80 and 81 as between 90
- 16 and 91 as between 100 and 101?
- 17 A. In terms of percentage of population, no.
- 18 Q. So it is not going to be logarithmic?
- 19 A. It has nothing to do with logarithms. It has to
- 20 do with normal distribution.
- 21 Q. Dr. Rothner rendered an opinion as to why this
- 22 child would have problems, developmental
- 23 problems, later based on a number of factors. Do
- 24 you recall that?
- 25 A. You have to point to what you are specifically
 - referring to.

1

- 2 Q. Okay. You don't recall it without looking?
- 3 A. I remember he had opinions about certain outcomes

60

- and certain factors that are relevant to that. 4
- 5 Q. Okay. Can you tell me what factors would to your
- 6 mind indicate that a child will have
- 7 developmental problems later in life?
- 8 A. How many hours do you want to spend? I mean
- 9 there are so many factors that could be related
- 10 to developmental problems, I don't know how to
- 11 begin.

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BARBERIC & ASSOCIATES

- 12 Q. Does this child have any of them?
- 13 A. Yes. We have already talked about a number of
- 14 them that are risk factors.
- 15 Q. Are cognition problems different than
- 10 developmental problems? I think we talked about
- 17 that earlier and I think there was a distinction
- 18 made, but I'm not sure.
- 13 A. I think the word, developmental problems, is
- 28 aiways a very loose and slippery term because it can mean a lot of different things to different

people. You can have cognitive deficits, you can

have behavioral problems, adaptive deficits, and

those are all potential developmental problems.

So I prefer to use more specific terms and talk

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CORAZON O. GO., M.D. et al.

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- aboui whai particular outcomes we are talking 1 about. 2
- 3 Q. What about -- there are children with benavior
- 4 problems obviously, correct? There are children
- 5 who have behavior problems?
- 6 A. Yes.
- 7 Q. Okay. And those may manifest themselves by
- observation as opposed to testing. One might be 8
- 9 able to see some of them, correct?
- 10 A. You don't generally test them. You either
- observe them directly, or more commonly, you 11
- would get information about them from people who 12
- 13 observe the child regularly.
- 14 Q. Do you know whether Forrest Stone has had
- behavioral problems? 15
- 16 A. I don't remember one way or the other whether
- there is indication of significant behavior 17
- problems. 18
- 19 Q. Have you been asked to render any opinions
- whether he will have behavioral problems, has 20
- 21 them or will have them?
- 22 A. Mr. Scott hasn't asked me to -- some of your
- 23 questions indirectly asked me **
- Q. I'm asking if Mr. Scott asked you. 24
- 25 A. No.

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- 1 Q. Do you know whether Forrest Stone is having
- 2 cognition problems?
- 3 A. The only results -- well, Itake that back. The
- 4 only results that I'm aware of that would address
- 5 that question have to do with the Bayley and the
- speech language evaluation that was done. The 6
- 7 Bayley, I think, is equivocal as to whether or
- not there are overall cognitive ability deficits 8
- 9 even at this point in time. Because 85 is not 10 significantly impaired.
- 11 The speech language evaluation is lower and
- 12 certainly he is described as having -- at least
- 13 in the past, and again, I don't know what his
- 14 curreni status is, Forrest is having speech
- difficulties and language difficulties. And 15
- those are the pieces of evidence that I have 16 availableto me. 17
- 18 Q. Would those be indicative of cognition problems?
- 19 A. Ithink I've answered the question. I mean I
- 20 don't think the Bayley necessarily is. I think
- 21 the results of the languagetesting suggests that
- 22 at least at the time that he was assessed that
- 23 there were some significant deficits in language 24 skills.
- Q. Right. And I'm just asking about those. Those 25

63

- significant deficits in language skills would be 1
- 2 indicative of underlying cognition problems?
- 3 A. They're a type of cognitive problem, yes.
- 4 Q. You don't discuss that in your report, is that
- fair? 5 6 A. No.
- 7 Q. I want to ask you about a statement you make on
- the second page of your report. It's in the 8
- 9 first full paragraph, which is the second
- 10 paragraph, the second to last sentence.
 - Adolescents with IQ scores in the low
 - average range are often capable of graduating
- 13 from high school or obtaining GED. Do you see 14 that?
- 15 A. Yes.

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12

- 16 Q. Okay. I want to try to understand what you mean
- 17 by often capable. Do you mean that some are 18 capable and some are not?
- 19 A. That more often that people with IQ's in that
- 20 range graduate from high school than don't.
- 21 Q. Do you have any numbers on that, 60 percent, 50
- 212 percent?
- 23 A. No.
- 214 Q. Do you know how many graduate from high school
- 25 compared to hard core median, let's say a hundred

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- 1 -- first of all, we can agree that from the 2 tests that he has an 84, is that right? 3 A. Well, if you want to make a prediction based on 4 the Bayley, I don't have any prediction 5 whatsoever because I don't think it predicts high 6 school graduation. 7 Q. But I want to ask you about a sentence you have 8 9 A. But you then referred to the Bayley. Q. Only because I want to remind so that you're not 10 embarrassed later. 11 12 A. You mentioned both an 85 and 84 on the Bayley. 13 Q. ijust reminded you, doctor. If you don't want 14 to be reminded, it's a courtesy. A. I don't believe I referenced the Bayley. 15 16 Q. You said it a minute ago. I just want to be sure 17 we are on the same page. 18 I want to talk about low average range, 19 people with IQ in the low average range, wherever 20 that came from, whatever test was administered or 21 set of tests, along with clinical, along with 22 whatever, achievement scores, along with whatever 23
 - would indicate to you that somebody was low 24
 - average. What would be the graduation rate of 25
 - those as opposed to people within the average

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- range. 1
- 2 MR. SCOTT: Objection.
- A. I don't have a foundation for an answer right 3
- 4 now. I don't know.
- 5 Q. When you say that adolescents in the low average
- 6 range are often capable of graduating from high
- 7 school or obtaining a GED, do you know in general
- 8 how many get to high school as opposed to how
- many get the GED? 9
- 10 A. I don't know.
- 11 Q. Have you read studies that indicate that GED
- children or GED graduates do significantly worse 12
- 13 in the workplace in terms **d** income than high
- 14 school graduates?
- 15 A. I'm not an economist. I'm sorry.
- 16 Q. There is a woman on the phone who is struggling
- 17 io hear you.
- 18 Talking about going to college or let's say
- 19 graduating from college, is it typical for those
- 20 with low average IQ's to graduate from college?
- A. I'd say it's harder for them. 21
- 22 Q. Do you have any number on what harder would be?
- 23 A. No.
- 24 Q. It would be harder for them do everything that
- requires cognition than someone with a higher IQ, 25

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- 1 the more cognition, the easier it is to handle
- 2 cognitive skills?
- 3 A. Ithink it's always an empirical question whether
- 4 the relationship is that linear or what the
- minimum cognitive is required for certain 5
- 6 activities. Some don't require more. You just
- 7 have to have minimum level and you can do it.
- 8 Depends on the particular outcome you are talking
- 9 about. I will sometimes tell people you may not
- 10 be better off having a high one.
- 11 Q. Have you ever done any studies of long-term
- 12 outcomes of patients with perinatal traumatic
- brain injuries? 13
- 14 A. You just mixed two different forms of injury as
- far as I'm concerned. 15
- 16 Q. Wait a second. Let me impact that. You can have
- traumatic brain injury at any point perinatal or i7
- later in life. You can be hit over the head when 18
- you're one day old. 19

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- 20 A. Traumatic injury, yes.
- 21 Q. Let me ask my question again. Have you done any
- 22 extensive study of long-term outcomes of patients
- 23 with perinatal traumatic brain injuries?
- 24 A. Okay. I misunderstood. Our research does not
- 25 involve children with traumatic brain injuries

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- sustained perinatally. Most of those injuries 1
- 2 are a result of abuse. Most traumatic brain
- 3 injuries that I see are inflicted intentionally,
- 4 so it's a very different population that I see.
- 5 Q. You said you have done work for the plaintiff's
- 6 side as well as work for the defense side?
- 7 A. I've been retained by both, yes.
- Q. Can you give the names of any Ohio lawyers on the 8
- 9 plaintiffs side?
- 10 A. No.
- 11 Q. You can't name me any?
- 1.2 A. Names, no. I've got far too many things to
- 1:3 remember. I can give you a list of the cases
- 1.4 that I have either been deposed or testified in
- 15 but I don't remember attorneys' names.
- 16 Q. Can you recite the names of the cases?
- 17 A. Icouldn't. I don't have it off the top of my
- 18 head.
- 19 Q. You can't?
- 26 A. I know Dale Purdue. I think may I have worked
- 21 for him.

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BARBERIC & ASSOCIATES

- 22 Q. Doctor, if you give me a minute, I might be close
- 23 to done. Let me consult my colieague and see if
- 24 he has any other questions other than what he's
- 25 already handed me.

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- (Thereupon, a recess was had.)
- 2 Q. Doctor, do you have a prognosis with regard to
- 3 cognition for this patient?
- 4 A. Only in very general sense.
- Q. What would that be? 3

of future outcomes.

- 6 A. Well, the studies on perinatal strokes suggest
- 7 that 10 is not likely to see large effects of
- 8 perinatal stroke. They tend to be somewhat more
- 3 subtle. And more specific cognitive outcomes
- 16 certainly affect visual, spatial skills or have a
- 11 subtle effect on language skills, and I'm talking
- 12 about long-term school age sorts of outcomes.
- 13 There are also an increased risk of certain
- 14 types d behavioral problems, particularly
- 15 attention problems. So that I do think there is
- 16 a decreased risk fer this child, but I think that
- 17 it will be much easier io begin to get a sense of
- 18 what those actual manifestations would be as he gets a bit older, and there are tests which

become more extensive in terms of what we can

measure or become more predictive, I should say,

right. You would agree that under your prognosis

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Q. I don't want to mischaracterize what you have

just stated, so let me see if I have got this

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- for this child, he is at risk for behavioral 1
- 2 problems, correct?
- 3 A. Certain kinas of behavioral problems, yes.
- 4 Q. And what would those be?
- 5 A. Ithink that the list predominantly focuses on
- attention problems, inattentiveness seem to be 6
- 7 associated with perinatal stroke.
- 8 Q. And is he at risk for problems with linguistic
- 9 skills or language skills?
- 10 A. Of a certain sort, yes.
- 11 Q. And what would those be?
- 12 A. The research literature suggests that unlike
- 13 adults when you have a perinatal stroke, you
- 14 don't tend to have gross language disturbance and
- 15 the language manifestations change over time. So
- 16 that they tend to be more obvious and basic
- 17 linguistic skills at a younger age. But at older
- 18 ages if they are present, they tend to involve
- 19 more subtle problems with using language
- 20 conversationally, discourse, connecting language
- 21 and meaning--- it's not that they don't have the
- 22 basic building blocks of language, but when they
- 23 do have problems, and not all kids with perinatal
- 24 stroke do, but when they do, it tends to be
- 25 higher level language skills.

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- Q. What about I read some of your articles. I 1
- found you interesting and I understood them. 2
- 3 A. Put you to sleep probably.
- Q. Actually, they didn't. You speak in some of your 4
- 5 articles about the difference between math skills
- 6 and other skills. You set out math skills, and
- 7 I'm not sure Jused the term exactly right, but
- 8 you talk about the ability to do math as a
- 9 separate factor often from other skills. Would
- 10 math skills be impacted by or would any
- 11 deterioration or abnormality in math skills be
- 12 evidenced because of this insult to your
- 13 understanding given the prognosis you have'?
- 14 A. You know, the Statements I'm making about
- prognosis are not about -- the statements about 15
- 16 outcomes of perinatal stroke that I just made
- were not so made In reference specifically io 17
- 18 Forrest, but in an attempt to give you a general
- 19 description of what we know from research. I
- 20 can't retrieve off the off top head what the
- 21 studies have been showing about academic
- 22 performance in any detail. So I would have to
- 23 look back at them. Now, again, my research does
- 24 not concern perinatal stroke, and I certainly
- 25 would make the assumption that the outcomes of

- 71 traumatic brain injury or other disorders because I've looked at this particular question relating spinal bifida, are necessarily, you know, related to this particular child. 5 Q. I'm not actually asking that. I understand, at least I understand from your testimony that you are separating out the articles you wrote in terms of traumatic brain injury from the insult here. All I'm asking you is that in that these studies that I have of yours you talk about math skills, and I can probably find - what I am saying is, what I am asking you is do you have an opinion in terms d prognosis for patients like Forrest Gregg Stone whether his math ability will be harmed because of the perinatal insult that he had? MR. SCOTT: Will it be more likely than not or is he at risk? Q. At risk. And then more likely than not. Separate questions. I'll ask the two of them. A. I would actually probably not want to venture a guess -- or an answer. Mot a guess. Venture an answer right now. I really would want to go back to those groups **d** studies with children with 72 perinatal stroke to be sure it's based on sound science. 3 Q. And at the risk getting a vociferous objection, would you agree with methat you believe that
- 5 given the insult that Forrest Gregg Stone had in
- 6 terms of his prognosis, he is at risk for --
- 7 generally, for cognition problems, but you don't
- 8 believe that it's more probable than not that he
- 9 will suffer a significant IQ drop?
- 10 A. Ithink only - two questions in that question.
- 11 I wouldn't say that in general --- this is the way
- 12 I phrased it. He is at risk for cognitive
- 13 deficits. I don't believe that he's more likely
- 14 than not going to show a significant decline in 15 IQ.
- 16 Q. Are you making a distinction between a small drop
- 17 in IQ and significant drop? I'm just asking
 - that.
- 19 A. A drop that I would attribute to or be related to 20 the neurological incident.
- 21 Q. I mean as I'm looking at one of your articfes,
- 22 you are talking about math skills, and that's
- 23 what I was referring to before. You are talking
- 24 about how in traumatic brain injuries when there
- 25 is more than one risk factor, math skills can be

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- 1 involved.
- 2 A. I believe, yes, all those risk factors that we
- 3 are talking about there are include not the
- 4 injury, but the environment that the child is
- 5 raised in, because our research is more focused
- 6 on the interplay between the child's environment
- 7 and their brain injury.
- 8 Q. Do you have any knowledge as to whether there is
- 9 any literature about environment impacting on
- 10 children like Forrest with the injuries he has?
- 11 A. There is some, yes.
- 12 Q. And what does that literature conclude about
- 13 environment as a risk factor?
- 14 A. Plays a significant role.
- 15 Q. So if that child's home life is less than
- 16 optimal, less than Ozzie and Harriet, he's at
- 17 greater tendency towards things like abuse,
- 18 towards domestic --
- 19 A. I don't think that our research would suggest
- 20 that you need to have grossly abnormal family
- 21 environments to have an effect on children. In
- 22 fact, not just our research, but in much of the
- 23 research, the variation of normal quote-unquote
- range in families has an impact on children.
- 25 Q. All children or these children?

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1	A. Ithink that there is evidence to suggest that
2	variations in family and parents plays a role in
3	the outcomes of children with neurological
4	insult, including children with traumatic brain
5	injury, and Ithink there is a literature that's
6	relevant to children with stroke. Mostly with
7	children with prematurity, but there is some
а	specific evidence.
9	Q. Let me put it another way. I'm not sure if you
10	answered my question. Maybe you did. Are
11	children like Forrest Gregg Stone more at risk
12	than average children for environmental
13	difficulties in the home?
14	A. No. That's flipping it around the other
15	direction. I don't think that necessarily that
16	kids with perinatal stroke are more at risk for
17	adverse famiiy environments, although having a
18	child with neurological insult does is
19	sometimes associated with more stress at home.
20	Q. So you flipped it a third way. That is, that
21	there may be more stress in the home life because
22	of an impaired child?
23	A. If the child is impaired, yes.
24	Q. Is that something that's part of your studies?
25	A. Yes.

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- 1 Q. Impaired in the way that Forrest Gregg Stone is?
- 2 Would you expect that --
- 3 A. I haven't said that he is impaired other than --
- 4 Q. You don't --
- 5 A. Other than the language area based on old
- 6 testing. I don't know where he is right now.
- 7 Q. Well, you have certainly seen patients with
- 8 physical issues as well as psychological or
- 9 neurological issues, that is, in your practice
- 10 some patients who come here must be patients who
- 11 have all kinds of physical problems, correct?
- 12 A. Yes.
- 13 Q And do those physical problems in your experienceput greater stress on environment?
- 15 A. One of the interesting things is that most data
- 16 would suggest that families find children with
- 17 physical handicaps not particularly distressing.
- 18 It tends to be behavioral, cognitive, academic
- 19 sorts of problems that families find most
- 23 difficult to cope with.
 - Off the record, I do need to stop by two.
- 22 Q. Ithink I'm done.
- 23 A. Great.

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- MR. LEVIN: I believe I'm done,
- doctor. Carol, do you have any questions of

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.1 the expert? 2 MS. METZ: No, I have no questions. 3 MR. SCOTT: The doctor will read. 4 5 KEITH OWEN YEATES, Ph.D. 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

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3 4	CERTIFICAT	E	
5	The State of Ohio) SS:		
6	County of Cuyahdga.)		
7	I Sandra I Mazzola	Notary Public	
8	within and for the State o administer oaths and to t	f Ohio. authorize	dto
9	I, Sandra L. Mazzola, a within and for the State o administer oaths and to t depositions, do hereby c above-named KEITHOW	entify that the N YEATES, Ph.I	D ,, was by me ,
10 11	before the giving of his d sworn to testify the truth nothing but the truth; tha above-set forth was redu	eposition, first di the whole truth	and
12	above-set forth was redu means of stenotypy and	ced to writing by was later transcr	me by ibed
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