

1 State of Ohio, )  
 ) SS:

2 County of Cuyahoga. )

3 - - -

4 IN THE COURT OF COMMON PLEAS

5 - - -

6 Nola Vargo, Admx., et al., )

7 Plaintiffs, )

8 vs. )

Case No. 447160

9 The Cleveland Clinic )

Foundation, et al.,

10 )

Defendants.

11 )

12 - - -

13 DEPOSITION OF PATRICK WHELAN, M.B.

14 WEDNESDAY, APRIL 7, 2004

15 - - -

16 The deposition of PATRICK WHELAN, M.B., called by the  
 17 Plaintiffs for examination under the Ohio Rules of Civil  
 18 Procedure, taken before me, Ivy J. Gantverg, Registered  
 19 Professional Reporter and Notary Public in and for the  
 20 State of Ohio, by agreement of counsel and without further  
 21 notice or other legal formalities, at the offices of  
 22 Reminger & Reminger, 1400 Midland Building, Cleveland,  
 23 Ohio, commencing at 10:50 a.m., on the day and date above  
 24 set forth.

25

1 APPEARANCES:  
 2 On Behalf of the Plaintiffs:  
 3 John F. Norton, Esq.  
 4 Cambridge Square Building - Suite 204  
 5 8251 Mayfield Road  
 6 Chesterland, Ohio 44026  
 7  
 8 On Behalf of Defendant Cleveland Clinic Foundation:  
 9 Jeffrey M. Whitesell, Esq.  
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 11 1150 Huntington Building  
 12 Cleveland, Ohio 44115  
 13  
 14 On Behalf of Kaiser Permanente:  
 15 Marc W. Groedel, Esq.  
 16 Reminger & Reminger  
 17 1400 Midland Building  
 18 Cleveland, Ohio 44115  
 19  
 20  
 21  
 22  
 23  
 24  
 25

1 A No.  
 2 Q Are there any explanations that you intend to make  
 3 later on --  
 4 A No.  
 5 Q -- of answers you gave in the deposition?  
 6 MR. GROEDEL: Objection.  
 7 I think that's a vague question, but you can  
 8 answer the question to the extent you know.  
 9 A I will answer your questions. I have nothing to  
 10 change at this point.  
 11 Q But do you intend to explain any of your answers?  
 12 MR. GROEDEL: Objection. It depends upon who  
 13 is asking the question, obviously.  
 14 But go ahead, you can answer.  
 15 A It is a question and answer session, Mr. Norton,  
 16 and I will answer your questions.  
 17 Q Do you intend to qualify at trial any of the  
 18 answers you gave in your deposition?  
 19 MR. GROEDEL: Objection.  
 20 A I haven't thought about that, no.  
 21 Q You are Board certified in pulmonary medicine.  
 22 What was the length of your pulmonary Fellowship?  
 23 A Three years. To be more specific, I did a  
 24 pulmonary/critical care combined Fellowship which was  
 25 three years.

1 PATRICK WHELAN, M.B.  
 2 the deponent herein, called for examination under the  
 3 Rules, having been first duly sworn, as hereinafter  
 4 certified, was deposed and said as follows:  
 5 CROSS EXAMINATION  
 6 BY MR. NORTON:  
 7 Q Tell me your full name, please.  
 8 A Patrick Noel Joseph Whelan.  
 9 Q And do you still reside at 21521 Lake Road?  
 10 A Yes, I do.  
 11 Q Doctor, thank you for making yourself available a  
 12 second time to be deposed in this case, I appreciate it.  
 13 You are licensed in Ohio?  
 14 A Correct.  
 15 Q And you are Board certified in pulmonary medicine?  
 16 A Correct.  
 17 Q Have you had an opportunity to read the deposition  
 18 that was taken before?  
 19 A My own personal deposition?  
 20 Q Yes.  
 21 A Yes, I have.  
 22 Q Are there any changes you would like to make in  
 23 that deposition?  
 24 A At this point, no.  
 25 Q Are there any additions or corrections?

1 Q Now, that three years of training, is that some  
 2 classwork and some hands-on, or how does that break down?  
 3 Are you in a classroom setting for part of that?  
 4 A No, it is mostly direct clinical experience.  
 5 People have the option of doing research or taking  
 6 elective time as part of that period of time.  
 7 Q And what did you do?  
 8 A Mostly clinical work.  
 9 Q And by clinical work, you mean actually taking care  
 10 of patients?  
 11 A Correct.  
 12 Q And those would be patients with pulmonary  
 13 problems?  
 14 A Pulmonary consults and critical care consults.  
 15 They don't always have to have pulmonary problems.  
 16 Q Right.  
 17 So you were either taking care of critical care  
 18 patients or patients with pulmonary problems?  
 19 A Predominantly pulmonary disease, correct.  
 20 Q Now, are there any basic texts on pulmonary  
 21 medicine?  
 22 A Fraser and Pare.  
 23 Q Any others?  
 24 A Not that I can think of off the top of my head.  
 25 Q Have you ever heard of a textbook of respiratory

1 medicine, Murray and Nadel?  
 2 A Yes, I have.  
 3 Q In pulmonary medicine, is the chest x-ray a primary  
 4 diagnostic tool?  
 5 A Most of the time, yes.  
 6 Q Are there other diagnostic tools in primary  
 7 medicine -- pulmonary medicine, rather?  
 8 A There are numbers, yes.  
 9 Q Can you tell me what they are?  
 10 A CAT scans of the chest, and there are different  
 11 types of those, V/Q scans, occasionally PET scans.  
 12 You are just talking about radiological studies?  
 13 Q Well, any pulmonary study, test.  
 14 A Pulmonary function tests, exercise tests, there are  
 15 a number of different things.  
 16 Q So given that chest x-rays are a primary diagnostic  
 17 tool, as a part of your pulmonary training, do you gain  
 18 some familiarity with chest films?  
 19 A Yes, I do.  
 20 Q You acquire some basic understanding of a chest  
 21 film?  
 22 A How to interpret it, yes.  
 23 Q Does this mean you actually looked at the films?  
 24 MR. GROEDEL: What are you talking about?  
 25 Looked at Mrs. Hasto's films?

1 MR. NORTON: No, no, in training, the training  
 2 aspect of pulmonary medicine.  
 3 A We looked frequently at chest x-rays during the  
 4 course of my training, yes.  
 5 Q And do you go over them with the radiologist, is  
 6 that how you learn how to read them?  
 7 A Not necessarily, but I would go over them with the  
 8 attendings that were training me in the Fellowship  
 9 program, I would study textbooks how to read chest x-rays  
 10 and would just gain general hands-on experience.  
 11 Q Do you sometimes do that in association with  
 12 radiologists?  
 13 A I would sometimes review a film with them, yes, but  
 14 it wouldn't necessarily be considered a formal learning  
 15 experience.  
 16 Q And as part of your training, then, you gained some  
 17 basic understanding of the radiological manifestations of  
 18 pulmonary disease?  
 19 A Correct.  
 20 Q After your Fellowship and you were in practice  
 21 taking care of patients with pulmonary problems who had  
 22 chest x-rays, would you read the films yourself or read  
 23 them in conjunction with the radiologist?  
 24 A I would let the radiologist read the films, because  
 25 they are the people who are experts in that, but I would

1 frequently look at them myself. But I don't read them  
 2 formally in the legal sense.  
 3 Q Okay.  
 4 But when you look at them, do you look at them in  
 5 association with the radiologist, or you just look at them  
 6 independently? Or is there any --  
 7 A There is no particular pattern. I would frequently  
 8 go to x-ray to look at the films myself. Occasionally we  
 9 go to x-ray, and if there are radiologists there, you  
 10 would look at them in conjunction.  
 11 Q And good patient care in pulmonary medicine would  
 12 suggest, then, that you do take a look at chest x-rays  
 13 when there is something to be -- when you are checking for  
 14 some specific pulmonary manifestation?  
 15 A Most of the time, if there is a specific concern,  
 16 it is a good idea to look at the films, but I don't always  
 17 do so if the suspicion is not very high.  
 18 Q Would you agree that without access to a radiology  
 19 department for purposes of obtaining chest x-rays and  
 20 other radiological films of the chest, that the practice  
 21 of pulmonary medicine would be severely limited?  
 22 A Yes, it would be limited. I don't know if you  
 23 could say it would be severely limited, but it would be  
 24 limited.  
 25 Q Because the services performed in the radiology

1 department in the filming of a patient's chest are of  
 2 considerable importance to a pulmonary specialist in  
 3 caring, treating, and even diagnosing the patient's  
 4 condition; isn't that correct?  
 5 A That's correct.  
 6 Q Doctor, I am handing you a document that's marked  
 7 Plaintiffs' Exhibit 1, which is the V/Q scan taken of Nola  
 8 Hasto in March, 2000 and interpreted by Dr. Neumann.  
 9 When a pulmonary specialist requests a V/Q scan, is  
 10 it customary to provide the radiology department with  
 11 some -- with the pertinent clinical history of the  
 12 patient?  
 13 A Usually some clinical history is provided, that's  
 14 correct.  
 15 Q And is it also customary when requesting a V/Q scan  
 16 for a patient to indicate to the radiology department what  
 17 conditions you are concerned about, what you would like to  
 18 have ruled out or excluded or included?  
 19 A In general with the V/Q scan, there is a particular  
 20 report given by the radiologist, and the general  
 21 assumption is that pulmonary embolus is the reason one  
 22 is -- to rule out pulmonary embolus is the reason for  
 23 doing the scan. If one had another request, such as a  
 24 split perfusion scan, which is a slightly different V/Q  
 25 scan, one would make that clear to the radiologists. In

1 this case, that split perfusion factor did not apply.  
 2 Q Have you just suggested there are two kinds of V/Q  
 3 scans?  
 4 A There is another kind of V/Q scan that can be done  
 5 in a circumstance different than this, that's true. But  
 6 it would have to be specifically ordered.  
 7 Q Okay, what kind of a V/Q scan was ordered in this  
 8 case?  
 9 A A standard V/Q scan.  
 10 Q Standard V/Q.  
 11 With reference to a standard V/Q scan, is it  
 12 customary for the pulmonary specialist to indicate to the  
 13 radiologist what specific condition is of concern to the  
 14 pulmonary specialist?  
 15 MR. GROEDEL: Objection, asked and answered.  
 16 Go ahead.  
 17 A Not always. There is usually some clinical history  
 18 provided, but a V/Q scan can be interpreted by a  
 19 radiologist without input from anyone on the clinical  
 20 team, if necessary.  
 21 Q Is it your testimony that when a radiologist gets a  
 22 request for a V/Q scan, that it is generally assumed by  
 23 the radiologist that they are looking for a pulmonary  
 24 embolus?  
 25 A Most of the time, yes.

1 Q So is a V/Q scan, in terms of the clinical history  
 2 that's provided to radiology, an exception to the general  
 3 rule, that some indication of what the pulmonary  
 4 specialist is looking for is not indicated necessarily?  
 5 A No, we provide information to the radiologist, but  
 6 we don't always necessarily say, rule out pulmonary  
 7 embolus. We give them a history which they use to help  
 8 them with the general situation.  
 9 Q And when a pulmonary specialist is requesting a V/Q  
 10 scan of the chest -- of the lungs, rather, because the  
 11 specialist is concerned about a pulmonary embolus, what  
 12 would be the customary history that would be given?  
 13 A Give some basic information about the patient on  
 14 the req.  
 15 Q Pardon?  
 16 A Put some history about the patient on the  
 17 requisition.  
 18 Q And what would that history be?  
 19 A In this case, it said chest pain and shortness of  
 20 breath.  
 21 Q I am not asking what that said. I am asking what  
 22 the customary information would be to give to a  
 23 radiologist?  
 24 A Some basic clinical information.  
 25 Q What would be the specific most pertinent

1 information that would be given in a history given to the  
 2 radiology department?  
 3 A We use shortness of breath, pleuritic chest pain.  
 4 It would depend upon the context of the individual  
 5 circumstance of the patient.  
 6 Q We are talking now in terms of PE.  
 7 A If that's the consideration, if that's the reason  
 8 the V/Q is ordered. And that's mostly the reason for  
 9 doing this test, correct.  
 10 Q Right, but I am saying, when you order it because  
 11 of a concern about a PE, what is the most pertinent  
 12 clinical information you would provide to radiology?  
 13 MR. GROEDEL: Objection, asked and answered.  
 14 Go ahead.  
 15 A Some basic history about the patient.  
 16 Q I know, but what would that history include?  
 17 A Shortness of breath, symptoms.  
 18 Q What kind of symptoms?  
 19 A Shortness of breath, just like I said.  
 20 Q Anything else?  
 21 A Pleuritic chest pain, if it were present. Some  
 22 other information about the patient. But typically just  
 23 the immediate presenting complaint.  
 24 Q This patient, Nola Hasto, didn't have any pleuritic  
 25 chest pain.

1 A That's correct.  
 2 Q The only chest pain she had was chest pain  
 3 associated with her unstable angina; is that correct?  
 4 MR. GROEDEL: Objection.  
 5 You may answer.  
 6 A We don't know exactly what the cause of her chest  
 7 pain was. We postulated initially that it was a result of  
 8 her previous cardiac disease. But then the cardiac  
 9 catheterization did not show significant progression of  
 10 her disease to the point of intervention being necessary,  
 11 so then other causes for the chest pain and her other  
 12 complaints needed to be looked at.  
 13 Q But isn't it true that in the case of Nola Hasto,  
 14 the complaints of chest pain pretty much resolved after  
 15 the catheterization of March 7th?  
 16 MR. GROEDEL: Objection to pretty much  
 17 resolved.  
 18 You may answer.  
 19 A I would need to review the records to answer that  
 20 question.  
 21 Q Any particular part of the record you need to  
 22 review?  
 23 A Well, I do not recall exactly when the cath was  
 24 done.  
 25 Q March 7th.

1 A On the 12th, in this note here, there is a comment  
 2 from Dr. Boyle that anterior chest wall is sore to cough  
 3 and deep inspiration.  
 4 Q Does that equate to chest pain?  
 5 A I think so, yes.  
 6 Q What other evidence do you have after the 7th of  
 7 chest pain?  
 8 A Other than what I just referred to?  
 9 Q Other than what you just read.  
 10 I am talking, Doctor, up to the date of the 13th.  
 11 You are beyond that, I believe.  
 12 A So just -- no, I don't see any further reference of  
 13 chest pain in the record.  
 14 MR. GROEDEL: Just so the record is clear, you  
 15 have looked at the progress notes, you haven't  
 16 looked at any nursing notes, correct?  
 17 THE WITNESS: Correct.  
 18 BY MR. NORTON:  
 19 Q Now, in terms of the nursing notes -- let's see  
 20 here, Doctor, I am handing you a document that's marked  
 21 Plaintiffs' Exhibit 10, which is the entire nursing note  
 22 from March 13th. That was the day after, I think, you  
 23 ordered the V/Q scan.  
 24 And on the narrative of that note, which is on  
 25 Bates numbered Page 141, the top of the page, the 9:00

1 o'clock nursing note, patient denies complaint of chest  
 2 pain or shortness of breath; do you see that?  
 3 A Yes, I do.  
 4 Q So the nurses notes, as of the 13th, were not  
 5 reflecting chest pain or shortness of breath; is that  
 6 correct?  
 7 A On this particular note in question, yes. It is  
 8 just one moment in time.  
 9 Q Okay.  
 10 And do you have the nurses notes for the 12th in  
 11 front of you?  
 12 A No, I don't. I can look for them.  
 13 Q Do you have them, Doctor?  
 14 A I am looking for them.  
 15 I do.  
 16 Q I wasn't able to find any note in the nursing --  
 17 any of the nursing notes on the 12th where the patient was  
 18 complaining of shortness of breath or chest pain, and of  
 19 course that may be because I couldn't read the writing.  
 20 Maybe you can review it and tell me if you find any?  
 21 MR. GROEDEL: Objection.  
 22 John, I want you to know that I am allowing  
 23 this questioning only to a limited degree, because  
 24 it goes beyond the extent of the parameters of your  
 25 letter set forth to me on March 11 about what you

1 were going to question Dr. Whelan about.  
 2 This is Dr. Whelan's second deposition. You  
 3 had ample opportunity to ask him these questions at  
 4 the first deposition. For whatever reason, you  
 5 didn't do so.  
 6 I am going to give you some leeway, but I am  
 7 going to shut it down pretty soon.  
 8 Let the record show that the doctor is  
 9 reviewing some nursing notes. Whether they are the  
 10 complete nursing notes from March 12th remains to  
 11 be seen.  
 12 MR. NORTON: And the notes he is reviewing are  
 13 counsel's notes.  
 14 MR. GROEDEL: No, they are not counsel's  
 15 notes. They are notes from the Cleveland Clinic  
 16 chart, a copy of it.  
 17 MR. NORTON: Provided by you, Marc, to the  
 18 witness. Otherwise, I will provide my copy of the  
 19 notes and represent that they are the complete  
 20 nursing notes for the 12th.  
 21 A I can just tell you, Mr. Norton, on the notes you  
 22 have provided me, I see no evidence of chest pain being  
 23 recorded.  
 24 Q Thank you.  
 25 Now, with reference to Plaintiffs' Exhibit 1, the

1 V/Q scan, did you provide the clinical history that is  
 2 reflected in the note?  
 3 A Probably not.  
 4 Q Who would have?  
 5 A Either one of the residents or one of the interns.  
 6 Q Now, did you speak with Dr. Neumann about this  
 7 particular V/Q scan?  
 8 A I do not recall doing so, but there is clearly a  
 9 note here stating that he discussed the case with me.  
 10 Q In the V/Q scan itself?  
 11 A Correct.  
 12 Q But you don't have any specific recall of having  
 13 spoken with him?  
 14 A I cannot remember at this point. It is almost -- I  
 15 believe it is more than four years ago.  
 16 Q Do you know whether you went to the radiology  
 17 department to review the films?  
 18 A I do not recall.  
 19 Q As a pulmonary specialist, do you engage sometimes  
 20 in a risk/benefit analysis when considering a treatment to  
 21 be given to a patient?  
 22 A Yes.  
 23 Q And where the treatment itself has some potential  
 24 risk of harm, are you concerned that the risk of harm to  
 25 the patient from the treatment should be less than the

1 risk of harm to the patient from non-treatment?  
 2 A Risk/benefit analysis is always considered when  
 3 giving most therapies.  
 4 Q So the risk of non-treatment would have to be  
 5 greater than the risk of the treatment?  
 6 A Correct.  
 7 Q And similarly where the treatment presents some  
 8 risk, would you want to have an appropriate confidence  
 9 level in the diagnosis for which the treatment is being  
 10 ordered?  
 11 A One would have to think hard about it, yes.  
 12 Q By think hard, do you mean that he would want to  
 13 have a fairly confident level or -- a fairly high level of  
 14 confidence in your diagnosis?  
 15 A One would have to have a reasonable level of  
 16 confidence. I think what we are discussing here is  
 17 relative, sir, so it is hard to be precise.  
 18 Q A reasonable level of confidence?  
 19 A Yes.  
 20 Q Would your personal review of the V/Q scans have  
 21 enabled you, as a pulmonary specialist, to improve your  
 22 level of confidence in the diagnosis of pulmonary  
 23 embolism?  
 24 A I don't think so.  
 25 Q You don't think so?

1 A No.  
 2 Q Because why?  
 3 A Because a properly trained nuclear medicine  
 4 radiologist would definitely be in a better position to  
 5 interpret a V/Q scan than I would.  
 6 Q Would it be fair to say that in this case that you  
 7 relied on Dr. Neumann's interpretation of the V/Q scan in  
 8 reaching the conclusion that Nola Hasto would benefit from  
 9 anticoagulant therapy, specifically a Heparin IV bolus  
 10 followed by IV infusions?  
 11 A His reading of the V/Q scan was an important  
 12 determinant in making that decision, yes.  
 13 Q In fact, if the scan had been read as low  
 14 probability, rather than high probability, would you have  
 15 ordered an IV Heparin bolus of 6,000 units?  
 16 MR. GROEDEL: Objection.  
 17 You may answer.  
 18 A Most likely not.  
 19 Q You saw Nola Hasto every day from March 2nd  
 20 through, I think, the 15th; is that correct?  
 21 A Let me review my notes.  
 22 I cannot absolutely tell you I saw this lady on the  
 23 2nd, but I definitely started seeing her on the 3rd. She  
 24 may have come in later in the day.  
 25 Q From the 3rd on, then, through the 15th?

1 A Let me just verify the other date.  
 2 Yes.  
 3 Q And you knew that she had fallen?  
 4 A I was aware of that, yes.  
 5 Q And you knew she had a hematoma on her left  
 6 parietal area?  
 7 A She had a hematoma on her head, yes.  
 8 Q And I am handing you -- you have these --  
 9 Plaintiffs' Exhibit 3, that's a photo of the face of Nola  
 10 Hasto taken at the coroner's autopsy. Do you see the  
 11 hematoma on the forehead?  
 12 A Yes, I do.  
 13 Q Do you remember seeing that while you were taking  
 14 care of her?  
 15 A I remember seeing a hematoma, but obviously we are  
 16 talking a number of days later, so I can't compare it.  
 17 Q I am handing you what has been marked as coroner's  
 18 photograph -- Exhibit Number 5, rather, Plaintiffs'  
 19 Exhibit Number 5, the coroner's photograph of Nola Hasto's  
 20 left breast and torso, trunk. Do you see the ecchymotic  
 21 areas shown?  
 22 A Yes, I do.  
 23 Q Do you recall that she had ecchymosis on her body?  
 24 A I recall reading it in Dr. Boyle's notes, yes. I  
 25 do not remember specifically remembering myself that she

1 had an ecchymosis.  
 2 Q And similarly, Plaintiffs' Exhibit 5, a coroner's  
 3 photograph of Nola Hasto showing, again, the  
 4 posterolateral aspect of her trunk on the left. Do you  
 5 recall seeing that, or you just recall reading about it?  
 6 A I do not recall seeing it. I need to refer to the  
 7 notes to see if there is any mention of it in the notes.  
 8 Q By notes, you mean nursing notes or doctors notes?  
 9 A Doctors notes.  
 10 Q If it was in the nursing notes, would you have  
 11 noted it? I mean, is it something a treating physician  
 12 becomes aware of when the nurses chart a condition of the  
 13 patient?  
 14 A Generally if there is a concern from the nurses,  
 15 they will verbally inform me. But I do not read the  
 16 nursing notes always.  
 17 Q Did you ever consider infection as a cause of any  
 18 of this woman's problems when you were taking care of her?  
 19 A It was lower down the possibility. We were mostly  
 20 concerned with what we thought was her pulmonary embolus  
 21 at the time, and then her need for blood transfusion, and  
 22 then there was a possibility of a GI bleed towards the end  
 23 of her hospital stay.  
 24 Q Was that suggesting infection to you, her GI bleed?  
 25 A No.

1 Q There is an item in the lab work, handing you  
 2 what's been marked for identification as Plaintiffs'  
 3 Exhibit 8, which is the laboratory work for Nola Hasto, I  
 4 am interested in this glucose reading of 388 [sic] on  
 5 March 10th. What do you attribute that to? I mean, it is  
 6 a big increase in her glucose.  
 7 A You mean 338 on March 10th?  
 8 Q Yes, 338, right.  
 9 A I wouldn't call it a very big increase from the  
 10 previous result which was 265, but it is an increase, I  
 11 agree.  
 12 Q What would you attribute that to?  
 13 A Poor glycemic control.  
 14 Q Due to what?  
 15 A There are many, many possible causes, dietary  
 16 noncompliance. I believe she had been getting steroids at  
 17 some point, but I do not recall when they were started.  
 18 Q And similarly, on the 10th, there is an increase in  
 19 her BUN to 53. What do you attribute that to?  
 20 A I do know that we were concerned that she had some  
 21 dye from the cardiac catheterization, and that is  
 22 mentioned in Dr. Boyle's note. There are many potential  
 23 explanations for this, renal problems, catabolic state.  
 24 There are a number of things to consider here.  
 25 Q Now, I am not sure if you answered this question, I

1 got sort of lost earlier, so I am going to repeat it. But  
 2 between, say, the 10th and the 15th, which was your last  
 3 day, I guess, were you ever concerned about infection in  
 4 this patient?  
 5 MR. GROEDEL: Objection, asked and answered.  
 6 Go ahead.  
 7 A Possibility, but it was lower down my list.  
 8 Q I have a question about the pulmonary function  
 9 test, which is Plaintiffs' Exhibit 17. But before I ask  
 10 the question, Doctor, I want to ask you, do you recall  
 11 that Dr. Boyle -- who was a resident working under your  
 12 supervision, I understand?  
 13 A Correct.  
 14 Q She had a note, I think in her March 12th clinical  
 15 sheet, which states that questionable -- it is a question  
 16 mark, I read that as questionable -- PFTs recorded. Were  
 17 you aware of that?  
 18 A Not right now, I am not, but I am going to review  
 19 the note.  
 20 Q I am not sure what date it is.  
 21 A I see the note to which you refer from March 12th,  
 22 yes.  
 23 Q Do you have any idea what she meant by that?  
 24 A You would have to ask Dr. Boyle directly, but one  
 25 of the concerns is whether she is talking about peak

1 expiratory flow rates, which are also known as peak flows.  
 2 Q As what?  
 3 A Flows.  
 4 Q Flows.  
 5 Now, you reviewed this pulmonary function test?  
 6 A Correct.  
 7 Q And in your note, I believe it was the 11th or  
 8 12th, your note of the 11th or 12th, you make a reference  
 9 to your review of the pulmonary function test.  
 10 I think it is your note of the 11th, Doctor. As a  
 11 matter of fact, I have got it right here, it is  
 12 Plaintiffs' Exhibit 16.  
 13 A Okay.  
 14 Q You refer to the results of the test as relatively  
 15 normal.  
 16 A Correct.  
 17 Q What did you mean by qualifying the word normal  
 18 with the word relatively?  
 19 A No test -- to be totally normal, you would have to  
 20 hit the hundred percent of the predicted of everything,  
 21 but there are some parameters for interpreting pulmonary  
 22 function tests. And by my way of looking, this is within  
 23 the variabilities of the test, they seem to be within the  
 24 normal range, as best as I could tell. And this is  
 25 corroborated by the report that I see in front of me.

1 Q Yes.  
 2 The report states that the spiograms were normal,  
 3 but there is a qualifying comment by the interpreter.  
 4 A That's correct.  
 5 Q And it is that qualifying comment that is of  
 6 interest to me.  
 7 A Okay.  
 8 Q And I believe what it says is that the possibility  
 9 of air flow obstruction could not be excluded because the  
 10 expiratory time exceeded six seconds; do you see that?  
 11 A It did not exceed, it was less.  
 12 Q It was less than six seconds.  
 13 A Yes, I do see that.  
 14 Q And so I take it from that, that the validity --  
 15 diagnostic validity of the pulmonary function test depends  
 16 to some extent on an element of time?  
 17 A It depends on how long the patient exhales, that's  
 18 correct.  
 19 Q Does the patient have to exhale all of the  
 20 patient's lung capacity within a certain time frame in  
 21 order to get a reasonably accurate result?  
 22 A Ideally, it should be for six seconds. But  
 23 ideally, we are talking about.  
 24 Q And if it is less than six seconds, that would --  
 25 that limited duration or that lesser duration of exhale

1 time would suggest that it is being influenced by other  
 2 factors in the patient?  
 3 A It is hard to say what's influencing the patient.  
 4 Q But there would be some factors that --  
 5 A Either patient cooperation -- I really would have  
 6 to speculate here, Mr. Norton.  
 7 Q Right.  
 8 A All I can tell you is, it is ideal for people to  
 9 exhale for at least six seconds during this test.  
 10 Q But the act of exhaling, in terms of patient  
 11 cooperation, requires the ability to properly flex the  
 12 chest muscles?  
 13 A I mean, exhaling is a complicated activity, and the  
 14 chest muscles are a part of the process.  
 15 Q And there are conditions of the lung which would --  
 16 the lung itself that would influence the duration of the  
 17 exhale time?  
 18 A It would make it more difficult to exhale, yes.  
 19 But I think you are asking me to be very general here, so  
 20 it is very difficult for me to do that.  
 21 Q An important element of the pulmonary function test  
 22 is the ratio between forced expiratory ventilation and  
 23 forced vital capacity, right?  
 24 A Between FEV1 and FVC, that's correct.  
 25 Q And when the ratio between those two numbers is

1 decreased, that would indicate airway obstruction?  
 2 A It is suggestive of it, yes.  
 3 Q And that's often expressed as a percentage,  
 4 correct?  
 5 A The ratio?  
 6 Q The ratio.  
 7 A Yes, it is.  
 8 Q And what was her percentage?  
 9 A According to what I read here -- let me just double  
 10 check these numbers -- 86 percent, 86.5 percent.  
 11 Q And how did you regard that?  
 12 A It is a little elevated, as you can see by the  
 13 percentage here to the right of it, which says  
 14 118 percent.  
 15 Q 118 is the predicted value?  
 16 A No, it is the percentage above -- in other words,  
 17 it is 118 -- the 86.5 percent calculated for Mrs. Hasto,  
 18 based on her body size and a number of other factors, the  
 19 predicted was 73.5, as far as I can tell here.  
 20 Q Okay.  
 21 So the ratio itself, on this report, would not  
 22 suggest obstruction?  
 23 A Correct.  
 24 Q But that ratio, because it is time dependent, is  
 25 also affected by a limited expiratory time, correct?

1 A Yes.  
 2 Q Have you ever seen the V/Q scans in this case?  
 3 A I do not recall seeing them.  
 4 Q Handing you Plaintiffs' Exhibits 2 and 3 marked in  
 5 Dr. Neumann's deposition, Doctor, a person with a  
 6 pulmonary embolism would be classified as somebody having  
 7 a vascular occlusive state, right?  
 8 A Yes.  
 9 Q And in the vascular occlusive state, ventilation is  
 10 preserved in the region of pulmonary vascular abnormality;  
 11 is that correct?  
 12 A That's not always true. There may be other  
 13 confounding factors. But it is true that the pulmonary  
 14 embolus predominantly affects the vascular system, that's  
 15 correct.  
 16 Q And in your review of Plaintiffs' Exhibits 2 and 3,  
 17 do you see any impairment --  
 18 MR. GROEDEL: Objection.  
 19 I am sorry, go ahead.  
 20 A I have to tell you --  
 21 MR. GROEDEL: Hold on, let him finish his  
 22 question.  
 23 Q -- of the vascular system of the lung?  
 24 MR. GROEDEL: Objection. This doctor is not  
 25 an expert in interpreting these films.

1 A I completely concur with Mr. Groedel, I have only  
 2 had limited training in interpretation of V/Q scans. I  
 3 can tell you the general principles, but I would never,  
 4 ever interpret a V/Q scan without a radiologist.  
 5 Q I understand that.  
 6 MR. GROEDEL: And that's why he is not going  
 7 to give you any testimony regarding the V/Q scan.  
 8 MR. NORTON: Marc, in all fairness, he studies  
 9 them in pulmonary medicine, he reviews them.  
 10 MR. GROEDEL: No, he doesn't.  
 11 A I would like to --  
 12 Q Let me say, I only covered that aspect of your  
 13 training in terms of chest x-rays.  
 14 A Mr. Norton, if I read a legal textbook, would that  
 15 make me an attorney?  
 16 Q No, but it would give you some good legal  
 17 knowledge.  
 18 A That's the answer to the question.  
 19 Q So I am not asking you to give me any answers based  
 20 on your expertise as a radiologist, all right?  
 21 MR. GROEDEL: He is not a radiologist, we  
 22 agree with that. And because he is not a  
 23 radiologist, he is not going to testify about what  
 24 these films show.  
 25 MR. NORTON: I am not asking him to testify as



1 a radiologist.  
 2 MR. GROEDEL: You are asking him to testify as  
 3 to what these films show. And because he is not a  
 4 radiologist, he is not going to testify about what  
 5 those films show.  
 6 Q (Continuing) Let me go back, then.  
 7 Doctor, in pulmonary medicine, is V/Q scan an  
 8 important diagnostic tool in the management of people with  
 9 pulmonary disease?  
 10 A Yes, it is.  
 11 Q Do you, in pulmonary medicine, familiarize yourself  
 12 with V/Q scans?  
 13 A The general principles of them, yes.  
 14 Q And did you review V/Q scans during the period of  
 15 your training in pulmonary medicine?  
 16 MR. GROEDEL: Does he interpret them?  
 17 MR. NORTON: Yes, review was the word.  
 18 A I have had occasions when I have reviewed a V/Q  
 19 scan with a radiologist, yes.  
 20 Q And do you also review them yourself?  
 21 A Independently?  
 22 Q Independently.  
 23 A Generally not.  
 24 Q Can you tell me, just as a general wide range of  
 25 numbers, whatever comes to your mind, how many times in

1 the course of your pulmonary critical care Fellowship you  
 2 had occasion to review a V/Q scan with a radiologist?  
 3 A Very approximately, sir, about 20 times, and that's  
 4 very approximately.  
 5 Q That's all, in three years?  
 6 A I am guessing. I am just giving you a figure. It  
 7 could be more, it could be less.  
 8 Q That's fine.  
 9 So basically, with the exception of this limited  
 10 review, any knowledge you have of the diagnostic -- or the  
 11 radiological manifestations of pulmonary disease is from  
 12 textbooks?  
 13 A And talking to attendings who provide information,  
 14 yes.  
 15 Q With the understanding that you are neither a  
 16 radiologist nor apparently a pulmonary specialist with a  
 17 great deal of expertise in V/Q scans, but looking at it  
 18 just as an average doctor, do you see much difference  
 19 between the perfusion scan and the lung -- the ventilation  
 20 scan in Plaintiffs' Exhibits 2 and 3?  
 21 MR. GROEDEL: Objection. He is not going to  
 22 answer that question.  
 23 A I cannot answer that question.  
 24 Q You cannot?  
 25 A No.

1 Q It is --  
 2 A Because I --  
 3 Q Wait. It is beyond your competence to answer that  
 4 question; is that correct?  
 5 A To compare these two films legally and accurately,  
 6 yes.  
 7 Q No, that's not what I asked. I am asking you  
 8 whether it is beyond your competence to express any  
 9 opinion whatsoever as to whether or not Plaintiffs'  
 10 Exhibits 2 and 3 show any difference between perfusion and  
 11 ventilation?  
 12 MR. GROEDEL: Objection, asked and answered.  
 13 Go ahead.  
 14 A When expressing an opinion, Mr. Norton, one has to  
 15 rely carefully on one's own experience and ability to do  
 16 so. I do not feel that I am expert enough or familiar  
 17 enough with V/Q scans to offer you an opinion, sir.  
 18 Q That's perfectly all right with me. That's all I  
 19 wanted to know.  
 20 Now, the person with emphysema or asthma, which are  
 21 the two basic component parts of COPD, is considered to be  
 22 in the obstructive occlusive state, correct?  
 23 A Well, let me -- COPD implies a fixed obstructive  
 24 disorder, whereas asthma implies an intermittent  
 25 obstructive disorder.

1 Q What does emphysema imply?  
 2 A Generally a fixed obstructive disorder.  
 3 Q So the difference between emphysema and asthma is  
 4 one is fixed and the other is intermittent?  
 5 A That's one of the differences.  
 6 Q One of the differences.  
 7 But depending on what point in time you are testing  
 8 for asthma, you would have -- you would classify that  
 9 person as in an obstructive state?  
 10 A You mean if the PFT showed an obstruction --  
 11 Q No, the V/Q.  
 12 A V/Q is not very specific for diagnosis.  
 13 Q What about PFT?  
 14 A PFTs are more specific for diagnosing an  
 15 obstructive state.  
 16 Q In your experience as a pulmonary specialist, can  
 17 you tell me whether it is a common occurrence for somebody  
 18 who is in an anticoagulated state to develop a PE?  
 19 A It can occur, but it is generally felt to be not  
 20 very common.  
 21 Q And a pulmonary embolus, as I understand it, is a  
 22 blockage of pulmonary vasculature?  
 23 A Pulmonary artery or one of its branches, yes.  
 24 Q And the blocking mechanism is a clot of some sort?  
 25 A Yes.

1 Q It would be a blood clot?  
 2 A Typically a blood clot, yes.  
 3 Q And this clot is made up of fibrin?  
 4 A Fibrin, possibly platelets, but generally it is a  
 5 clot.  
 6 Q And in your experience as a pulmonary specialist,  
 7 what is the likelihood of a person who has been on aspirin  
 8 therapy for a considerable period of time, in this case,  
 9 over ten days, developing a pulmonary embolus?  
 10 A It is generally taught that aspirin is not a good  
 11 prophylactic drug against pulmonary embolism, so I cannot  
 12 give you an exact figure. But I do not think aspirin  
 13 prevents significantly, if one is at risk from pulmonary  
 14 embolus, from preventing its development.  
 15 Q While not preventing significantly, it tends to  
 16 decrease the likelihood?  
 17 MR. GROEDEL: Objection.  
 18 You may answer. I think you answered it  
 19 already.  
 20 A I can tell you, Mr. Norton, aspirin is not  
 21 considered to be a good prophylactic drug.  
 22 Q I understand that. And aspirin operates against  
 23 what, antithrombin or something?  
 24 A It works against platelets.  
 25 Q Right.

1 And it inhibits thrombin?  
 2 A I do not know that specifically.  
 3 Q And thrombin is the element that develops fibrin?  
 4 A It is involved in it, yes. But this is not an area  
 5 where I am an expert, I have to admit.  
 6 MR. NORTON: Doctor, thank you very much. I  
 7 don't have any further questions.  
 8 MR. WHITESELL: I have nothing.  
 9 MR. NORTON: Signature will not be waived,  
 10 correct?  
 11 MR. GROEDEL: Right.  
 12 ---  
 13 (DEPOSITION CONCLUDED)  
 14 ---  
 15  
 16 \_\_\_\_\_  
 17 PATRICK WHELAN, M.B.  
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1 CERTIFICATE  
 2 State of Ohio, )  
 ) SS:  
 3 County of Cuyahoga. )  
 4 I, Ivy J. Gantverg, Registered Professional  
 5 Reporter and Notary Public in and for the State of Ohio,  
 6 duly commissioned and qualified, do hereby certify that  
 7 the above-named PATRICK WHELAN, M.B. was by me first duly  
 8 sworn to testify to the truth, the whole truth, and  
 9 nothing but the truth in the cause aforesaid; that the  
 10 deposition as above set forth was reduced to writing by me  
 11 by means of stenotype, and was later transcribed into  
 12 typewriting under my direction by computer-aided  
 13 transcription; that I am not a relative or attorney of  
 14 either party or otherwise interested in the event of this  
 15 action.  
 16 IN WITNESS WHEREOF, I have hereunto set my hand and  
 17 seal of office at Cleveland, Ohio, this 15th day of April,  
 18 2004.  
 19  
 20  
 21 \_\_\_\_\_  
 22 Ivy J. Gantverg, Notary Public  
 23 in and for the State of Ohio,  
 24 Registered Professional Reporter.  
 25 My Commission Expires November 5, 2008.