

#655

THE STATE OF OHIO)
) SS:
COUNTY OF CUYAHOGA)

- - -

IN THE COURT OF COMMON PLEAS

- - -

ALAN S. SIMS, Executor of)	
the Estate of Hortense J.)	
Sims, Deceased,)	
)	
Plaintiff,)	
)	
vs.)	No, 94099
)	
CLEVELAND CLINIC FOUNDATION)	
and)	
STEPHEN A. OCKNER, M.D.,)	
)	
Defendants,)	

- - -

Deposition of DR. HOWARD TUCKER, a witness
called by the Defendants pursuant to the Ohio Rules
of Civil Procedure, taken before me, George L. Blam,
a Registered Professional Reporter and Notary Public
for the State of Ohio, pursuant to notice of counsel,
at the office of Dr. Howard Tucker, 26900 Cedar Road,
Beachwood, Ohio, at 5:00 o'clock P.M., on Tuesday,
the 16th day of June, 1987.

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1 APPEARANCES:

2 On behalf of the Plaintiff:

3 Ulmer, Berne, Laronge, Glickman &
4 Curtis:

5 Maurice L. Heller, Esq.
6 Alan S. Sims, Esq.
7 Jeffrey W. Van Wagner, Esq.

8 On behalf of the Defendants:

9 Reminger & Reminger Co., L.P.A.:
10 Gary H. Goldwasser, Esq.

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1 DR. HOWARD TUCKER,
2 a witness called by the Defendants pursuant
3 to the Ohio Rules of Civil Procedure, was by
4 me first duly sworn, as hereinafter certified,
5 deposed and said as follows:

6 CROSS-EXAMINATION
7

8 BY MR. GOLDWASSER:

9 Q Dr. Tucker, with reference to the matter of the
10 late Mrs. Sims, I understand you have been
11 retained to testify on behalf of the plaintiff.
12 Is that your understanding?

13 A Yes.

14 Q Doctor, what material have you been provided as
15 relates to this case?

16 A I have here reviewed the report of Dr. John
17 Gardner, Cleveland Clinic office notes of two
18 years, from 1981, records from the Mt. Sinai
19 Center, deposition of Dr. Ockner, St. Luke's
20 records parts of it.

21 Q Have you actually examined any C.A.T. scans?

22 A Have I looked at the actual records? No,

23 Q The actual scans themselves you have not?

24 A No.

25 Q Have you looked at; any films at all in this case?

1 a N

2 Q Have you written any reports to any of the
3 attorneys in this case?

4 A Just a single note on January 31, 1986.

5 Q Doctor, what is your fee for giving testimony
6 here this afternoon?

7 MR. HELLER: objection.

8 MR. GOLDWASSER: I don't have
9 to pay him a fee?

10 MR. HELLER: I just objected.
11 I didn't tell him not to answer,

12 MR. GOLDWASSER: What is the
13 basis of the objection?

14 MR. HELLER: Because the
15 rule provides you are entitled to know his
16 opinions and the basis for them. I don't
17 know if the fee he is getting or not getting
18 has anything to do with his opinion,

19 MR. GOLDWASSER: I never had
20 anyone object to it before, I was curious,

21 MR. HELLER: There is always
22 the first time.

23 MR. GOLDWASSER: I know.

24 Q What is your fee for giving your testimony here
25 this afternoon?

1 A Did my secretary say \$1000?

2 Q Last week you charged \$1000. This week I wasn't
3 told anything.

4 A It would be the same,

5 Q \$1000?

6 A Yes.

7 MR. HELLER: I object to
8 the line of questions about fee.

9 Q Based upon your review of the case involving
10 Hortense Sims, will you please tell me what you
11 perceive to be the malpractice in this case?

12 A Yes. I think that Mrs. Sims had an event which
13 no one could call anything but a transient
14 ischemic attack which was sufficiently impressive
15 to her that the following day she made an
16 emergency appointment to see her physician to
17 whom she gave that history, which is unmistakably
18 a transient ischemic attack, and in the face of a
19 blood pressure which was truly dangerously high
20 at about 190 over 120, he reduces her medicine
21 and permits her to go home,

22 It's my opinion that she should have been
23 admitted to the hospital immediately. I doubt
24 that I would have allowed her to go home to get
25 garments. I would have had her family bring them

1 in and admitted as a genuine medical emergency.

2 Q What is the history that Mrs. Sims presented
3 with on the date in question, February 1984?

4 A Isn't that February 1st, 1984?

5 Q February 1st, 1984, what was the history she
6 presented with?

7 A I am reading from the written notes: "Yesterday
8 had episode of amnesia while sitting at table
9 per following event. Answered Tanglewood to
10 question of high school graduation. Said
11 something about granddaughter. Mouth was drawn
12 to one side and the head tilted, funny look on
13 the face, lasted probably less than a minute and
14 felt well after that, Prior to that had been
15 having a heaviness sensation of head and felt
16 light-headedness yesterday, past two" something,
17 "dull headache." I think that says "past two
18 hours." I am not sure about the time, "Dull
19 headache," Can you read the next line?

20 Q No, E can't.

21 A The second word is "episode." Is anyone! able
22 to figure that line out?

23 "One plus right eye. Yesterday felt tender
24 spot left temporal area."

25 Then I think it says "No linderal for 24

1 hours. Blood pressure was 190 over 120 in left
2 arm."

3 Q Just the history at the moment. That's the
4 history as you read it,

5 Any other source that you have utilized to
6 obtain a history as Mrs. Sims presented to
7 Dr. Ockner on February 1?

8 A Will you repeat that?

9 Q Is there any other source that you utilized to
10 obtain a history other than the Cleveland Clinic
11 notes **you** are reading from?

12 A That's what I had.

13 Q That note you are unable to read apparently is,
14 "Single episode of rotational vertigo one plus
15 weeks ago."

16 A Okay. That's a very important part of the
17 history.

18 Q That is what I am here to ask you about, Doctor,
19 what is it in the history, if anything, which
20 gives you reason to conclude that Mrs. Sims
21 presented with a T.I.A.?

22 A There are very few ways to explain such an
23 episode we just described in which there is a
24 focal deficit, a twisting of the face, there is
25 an alteration of consciousness in a known

1 hypertensive lady of older age bracket.

2 We went through all the symptoms which were
3 short-lived, and I think the sentence that you
4 gave me which I would never have been able to
5 read is most critical because it relates so
6 heavily to what we know later happened,

7 If I had that history at that point, if I
8 were there and somebody said they had an episode
9 of vertigo and now add these, I would say this
10 vertigo plus these focal deficits tells me what
11 I am dealing with,

12 Q Would the history of vertigo be that significant
13 if you had known for many years she had conveyed
14 a history of transient vertigo?

15 A She had transient light-headedness, a rare
16 episode which they talked about vertigo, but you
17 now take it with all the other things and it
18 takes on a whole new meaning, where before it was
19 vertebral insufficiency that isn't as critical
20 as you are putting it into a very, very concise
21 meaningful frame.

22 Q Putting aside even any examination, do I
23 understand it's your opinion that merely from
24 the history and the history alone you would
25 conclude that this is an elderly woman, age 78,

1 I believe, who presented with a diagnosis of
2 transient ischemic attack?

3 A I don't know how else to interpret that episode.

4 Q Is there any other diagnosis which would be
5 consistent with that history that you can think
6 of?

7 A I could say it's a transient ischemic attack and
8 may be due to a cardiac irregularity for which
9 I would want to get further documentation of a
10 rhythm disorder or a heart rhythm.

11 I can think of an embolus causing it but
12 we are still within the framework of blood
13 circulating through brain and particularly in
14 view of the vertigo, that to me is localizable
15 to brain stem.

16 Q Do I understand your testimony to be that with
17 that history this patient required hospitaliza-
18 tion for further work-up? Is that what you are
19 saying?

20 A That's what I am saying,

21 Q Dr. Ockner, as you know, if you read his
22 deposition, has indicated that his working
23 diagnosis, although he considered others, but
24 his provisional working diagnosis was Inderal
25 toxicity.

1 A I know that.

2 Q Do you find that the history is inconsistent with
3 such?

4 A To me the history is inconsistent with Inderal
5 toxicity.

6 Q What contained in that history does not jibe with
7 a patient who presents with Inderal toxicity?

8 A First of all, I have never known Inderal toxicity
9 to be this transient an episode. It's
10 inconceivable to me.

11 Usually when you are toxic from any
12 medication you become toxic and you remain toxic.

13 She had no other symptoms of Inderal
14 toxicity. She did have control of her blood
15 pressure on that dose. To me it was a poor
16 diagnosis, one which I can't think in any medical
17 setting which would be an unjustifiable diagnosis.

18 Q I want to make sure I understand why you conclude
19 it's a poor diagnosis, I know you have been
20 alluding to it, I am not sure I understand it.

21 When a patient presents with a drug reaction,
22 in this case Inderal, what would the typical
23 pattern be if there was such, just hypothetically?

24 A A drug reaction?

25 Q Yes, to Inderal since that is the drug we are

1 talking about.

2 A First of all, Inderal has many but when you get
3 into the brain manifestations you usually find
4 drowsiness, a lassitude, lack of energy, and
5 it's usually an almost progressive ongoing
6 picture,

7 You have your drug on board and you are
8 having a progression of toxicity. I have never
9 seen any toxicity coming and going such as this,

10 Q What if the patient voluntarily stops use of the
11 drug once these symptoms appear and then they
12 pass in a matter of 24 hours?

13 A I think your Inderal half life is longer than
14 the length of time this was stopped here.
15 Besides, she took it the morning before when the
16 great episode happened

17 As I recall it, it was the night after the
18 incident that she didn't take it but she had it
19 on board when the episode happened,

20 a That is right, That's where I am having trouble.
21 It's not your fault, She takes the medication
22 in the morning?

23 A Correct.

24 Q And if hypothetically she is having a drug
25 reaction one would expect a patient to have a

1 drug reaction within a few hours after the drug
2 is beginning to metabolize. Isn't that
3 reasonable?

4 A It stayed on board, She never had any trouble
5 with it before and she is now having one isolated
6 incident, Isolated incidents are never a drug
7 toxicity.

8 Q Is that what you are keying on, that it's an
9 isolated incident?

10 A There is not a progressive toxicity. She is on
11 that drug for a while now. This is not a new
12 thing. Usually you see a drug reaction come
13 early and they maintain as the drug concentration
14 progresses,

15 This is not a downhill course of progressive
16 findings of toxicity. She was as good afterwards.
17 We said she was alert afterwards.

18 If it's on board how would she recover that
19 spontaneously?

20 Q What you are saying is the fact that within a
21 few hours or less after these signs appear, that
22 the patient is rebounded or recovered transiently
23 that that is inconsistent with a drug reaction?
24 Is that what you are saying?

25 A Of this type, that's what I am saying.

1 Q Would you agree just for the purposes of
2 discussion that many if not most of the history
3 she presented with is described as a potential
4 side effect from the drug Inderal?

5 A Would you repeat that?

6 Q Would you agree that the history she presented
7 with is consistent with that which is found as
8 a side effect of Inderal?

9 A I'm this episode?

10 Q I am not talking about this particular episode.
11 Just hypothetically if we academically outlined
12 everything you have read to me in the history,
13 would not most of what you have read been
14 consistent with that which is found as a reaction
15 to the drug Inderal?

16 A I don't think so.

17 Q What is there which it is not consistent with?

18 A There is no lassitude, there is no lethargy,
19 there is no slowing of her heart rate, there is
20 no proper control of her blood pressure.

21 I don't know where you are coming from.
22 There is nothing consistent with an Inderal
23 toxicity.

24 Q Doctor, by virtue of being a law school graduate
25 as we have discussed years past, did you take the

1 bar exam the last time I had questioned you?
2 A I had not.

3 Q Are you a licensed lawyer now?

4 A No.

5 Q Based upon your law school education, define for
6 me malpractice, please.

7 MR. HELLER: Objection.

8 A I am not here as a lawyer.

9 Q I know but you are a graduate of a law school,
10 are you not?

11 A Yes.

12 Q I want to know based upon your law school
13 education how you define malpractice.

14 A I never studied that in law school but to me
15 malpractice is a deviation from a standard of
16 care that is unacceptable.

17 Q What is your definition of proximate cause as
18 it relates to a negligent act?

19 MR. HELLER: Objection.

20 A That the breach of the negligent act was the
21 direct cause of the later event.

22 Q Dr. Tucker, you have told me that you feel that
23 acceptable care required Dr. Ockner to admit
24 Mrs. Sims to the hospital?

25 A Yes.

1 Q If such had been done what would you have
2 expected the diagnostic work-up to include?

3 a I think the very first thing I would have done
4 would have been to treat her emergently because
5 I would have put her to bed and I would have
6 expected to reduce her pressure. I would have
7 kept her at bed rest.

8 If her pressure didn't come down immediately
9 or overnight, then by morning, at least, I would
10 have gone into another group of drugs but I
11 would have called someone at that point.

12 I am not going to get into treatments of
13 blood pressure per se. I would have her admitted
14 to my service and called in an expert to reduce
15 blood pressure.

16 Q What diagnostic studies, if any, would you have
17 avoided?

18 A I would have probably started with Doppler duplex
19 to see what her carotid circulation was doing;
20 I would have more than likely put her on
21 telemetry to see her rhythm for the next 24
22 hours day and night to see if there were any
23 skipped beats or anything else like that.

24 When stabilized I would have gotten an
25 intravenous digital subtraction arteriogram or

1 angiogram to see the caliber of the vessels and
2 to see if she was throwing any small clots from
3 the ulcerated plaque.

4 Q Angiogram of the carotid?

5 A And vertebrobasilar.

6 Q Is that customarily done to obtain angiograms
7 of vertebrobasilars?

8 A It would help me make a diagnosis although you
9 are not going to do surgery on your vertebro-
10 basilar.

11 Q Anything else?

12 A Then with that all done, then I would have
13 maintained her on anticoagulation and particularly
14 in view of a history which I did have of vertigo
15 as one of my symptoms, I would have probably
16 anticoagulated her with Heparin and later
17 Coumadin.

18 Q What did Dr. Ockner's examination consist of on
19 February 1, 1984?

20 A He had blood pressures on her, someone took her
21 pulse, which was perfectly normal and did not
22 show any signs of being slowed by the Inderal,
23 found her to be alert and oriented, He found a
24 normal examination beyond that including carotid
25 arteries and listening for bruits.

1 Q Do **you** find Dr. Ockner's examination of the
2 patient in his office to be a deviation from
3 acceptable standards of practice?

4 A No.

5 Q What **is** the significance of **his** negative findings
6 on examination?

7 A The significance of it as I **would** put it together
8 is that it did not **show** any evidence of Inderal
9 toxicity.

10 If he found something **24** hours **later** he
11 could **no** longer call it a transient ischemic
12 attack and after an ischemic attack this **is** what
13 I would expect to find.

14 Q You **would** expect the **carotids** upon clinical
15 examination to **appear** to be clear?

16 A By his clinical **exam**,

17 Q And you would expect a neurological examination
18 as Dr. Ockner described in **some** detail in **his**
19 deposition to be negative?

20 A Negative exam,

21 Q It was a reasonably thorough neurological exam-
22 ination, was it not?

23 A He wrote down salient features, correct,

24 Q Would you agree it **was** a **complete** neurological
25 examination?

1 A I wasn't there. I say he wrote down things that
2 I would **be** interested in reviewing,

3 Q Based upon his testimony in his deposition which
4 you have read, **would** you agree that **it was** a
5 **complete** neurological examination?

6 A All I can **say**, I **wasn't** there and everything he
7 **wrote down** was appropriate **and** covered the
8 important salients,

9 Q If someone presents with **a** transient ischemic
10 event **or** attack, is **it** your testimony then that
11 **you would** expect to **find** a negative neurological
12 examination?

13 A Yes, because by definition **it's** a reversible
14 event, **It clears** within **24** hours by definition,

15 O Dr. Ockner **also** ordered the **results** of **some**
16 laboratory **studies** on **February 1**. **Is that** not
17 **true?**

18 A **A C.B.C.**

19 Q He got a **C.B.C.**, a **KP6** **and** a Westergren sedimen-
20 **tation rate**,

21 **Do you** have any quarrel with the laboratory
22 **studies ordered by Dr. Ockner?**

23 A I have no quarrel, **They don't address** the **issue**
24 of a transient ischemic attack,

25 Q What issues do **those** tests address?

1 A Infection, perhaps anemia.

2 Q What does the KP6 address?

3 A I don't know what the KP6 is,

4 Q What is the Westergren sedimentation rate?

5 A Inflammatory disease of a vessel like the temple
6 arteritis.

7 Q That will be an inflammatory disease of a blood
8 vessel?

9 A Of a certain artery that doesn't cause strokes
10 unless it is a stroke to the temple artery.
11 This picture isn't that of temple arteritis.

12 I don't know why he got the sedimentation
13 rate. Will you please tell me, if you know, what
14 a KP6 is?

15 Q A KP6 is a chemistry profile of the kidney.

16 So you are saying that the sedimentation
17 rate study for vascular arteries is insignificant
18 when a patient presents with a possible diagnosis
19 of T.I.A.?

20 A It has no bearing on this type of T.I.A. It
21 only tells us about inflammatory disease of
22 arteries in the context of blood vessels. It's
23 a non-specific test for infection any place in
24 the body.

25 Q So you don't quarrel with the test but your

1 opinion is that it's irrelevant as relates to
2 what should have been a provisional diagnosis
of T.I.A.? Is that what you are saying?

4 A That's what I am saying,

5 Q Doctor, what is the treatment for vertebral
6 ~~vertebrobasilar ischemia?~~

7 A That's too broad a question.

8 Q If you have a patient who is diagnosed with having
9 basilar ischemia, how do you treat it?

10 A I told you in the first place you get their blood
11 pressure down, In the second you anticoagulate
12 them because you don't want them to go onto
13 catastrophe,

14 Then you evaluate your total circulation
15 to see if some major vessels that are accessible
16 for surgical correction are involved.

17 When Mrs. Sims presented to St. Luke's Hospital,
18 what was the diagnosis after she had been
19 evaluated?

20 A Brain stem infarction.

21 Q Do you have any reason to disagree with that
22 diagnosis?

23 A No.

24 Q Doctor, what is the etiology of that brain stem
25 infarction?

1 A What do you mean etiology?

2 Q What is the cause of it, what is the source?

3 A Someplace in the vertebral basilar system not
4 enough blood was getting through so the brain
5 stem suffered this major insult.

6 Q Would you agree that it was probably from the
7 source of the vertebral basilar rather than the
8 carotid?

9 A No question.

10 Q So we now are retrospectively dealing with what
11 tragically turned out to be a basilar artery
12 ischemia resulting in brain stem infarction, is
13 that fair?

14 A Why do you say retrospectively? I think
15 prospectively when you have vertigo plus every-
16 thing we said, I think you can project a vertebro-
17 basilar problem.

18 Q I say retrospectively because you yourself have
19 a provisional diagnosis and want to put her in
20 the hospital for further tests. That's why I say
21 retrospectively.

22 I understood from your testimony your
23 criticism of Dr. Ockner is that he did not admit
24 the patient to the hospital for a work-up with
25 the provisional diagnosis of T.I.A.

- 1 A Yes, that's the diagnosis.
- 2 Q A T.I.A. could be diagnosed as a consequence of
- 3 the carotid too?
- 4 A That's right, if you want to treat it as a T.I.A.
- 5 Q Nevertheless, the patient has brain stem infarct
- 6 secondary to basilar artery ischemia, am I
- 7 correct?
- 8 A Correct.
- 9 Q What is the treatment for that condition?
- 10 A You mean when she entered St. Luke's?
- 11 Q Just generally speaking, what would the treatment
- 12 for that condition be?
- 13 A Once you have infarcted a tissue there is no
- 14 treatment other than support.
- 15 Q What is the treatment for basilar artery ischemia
- 16 before there is the event of a brain stem
- 17 infarction?
- 18 A Reduce the pressure, you place your patient at
- 19 rest, you think their blood, you prevent clotting
- 20 as best you can, seek out a source for emboli
- 21 but nevertheless that's part of the diagnostic
- 22 work-up. I told you what I would do.
- 23 Q Dr. Ockner in this case discharged the patient
- 24 from his office on February 1 with the drug
- 25 Clonidine. Is that a drug recognized for the

1 treatment of hypertension?

2 A It is.

3 Q In your opinion is that drug insufficient
4 treatment for the hypertension that Mrs. Sims
5 presented with?

6 A I don't initiate treatments on hypertension.
7 Clonidine is an accepted drug.

8 Q You don't have an opinion as to the specific
9 question I asked?

10 A You mean about whether there is proper dosage?

11 Q Yes.

12 A I do not.

13 Q Is it your opinion stated with reasonable medical
14 certainty that if Mrs. Sims had been admitted to
15 the hospital with antihypertensive medications,
16 anticoagulation medications and bed rest she
17 would not have suffered a brain stem infarction?

18 A The medical probability is that she would not
19 have.

20 Q Can you tell me why you so conclude?

21 A For the reason that the corrective measures that
22 we talked about have proved to be effective
23 corrective measures and that's why we persist in
24 doing it all these many years. That's why we
25 are so intent on following blood pressures.

1 That's why it is called the silent killer.

2 Q If her blood pressure is reduced but a patient
3 is not put on bed rest and is not given
4 anticoagulation therapy, is that on occasion
5 adequate treatment for basilar artery ischemia?

6 A That would depend upon the degree of blood
7 pressure. This is a very impressive blood
8 pressure, 190 over 120. I do not think this
9 patient should go home.

10 Q The patient is admitted to the hospital
11 hypothetically and given, for the purpose of my
12 question just assume, which it may or may not be
13 accurate, but just assume that she is given the
14 same blood pressure medication she was given as
15 an outpatient.

16 I know you are not qualified to comment upon
17 the dosage, I am accepting that, but for the
18 purpose of my question if hypothetically Dr.
19 Ockner indicated she had been admitted to the
20 hospital she would have been given the same
21 medication, and assume she wasn't given
22 anticoagulation drugs but just given the blood
23 pressure medication, would that have been
24 sufficient in most instances?

25 A It's possible that would bring down the blood

1 pressure at this point because when you relieve
2 the spasm, you have to remember what blood
3 pressure elevation, particularly diastolic of
4 120 means, It means that the small arteries are
5 in constriction so blood is not going to go
6 through the small arteries,

7 That may be but you bring down your blood
8 pressures immediately when they are that
9 dangerously high and you put them at rest, and
10 remember the stresses in an isolated hospital
11 room are much less than they are out in the
12 world.

13 Driving home, I presume she drove home, you
14 have to worry about the guy cutting in front of
15 you. It's just a whole different environment.
16 That is not the way to treat a blood pressure
17 like that, letting her go home. There is no
18 monitoring at; home,

19 Q Dr. Tucker, I want you to assume that the patient
20 was instructed to take her Clonidine commencing
21 forthwith from the time it was prescribed, which
22 was February 1.

23 I want you to further assume that she did
24 not start taking that until February 5 contrary
25 to the doctor's instructions.

1 I want you to further assume that she was
2 no longer on Inderal because the doctor assumed
3 the patient would be on the drug Clonidine.

4 If that assumption is correct, that is,
5 the patient in essence was off of antihypertensive
6 medication for four days contrary to the doctor's
7 instructions, what effect is that likely to have
8 upon a person who presents with vertebrobasilar
ischemia with a history of T.I.A.?

10 A I think going off hypertensive medicine increases
11 the risk, which is another reason to admit the
12 patient.

13 Every sophisticated doctor knows about about
14 non-compliance. We hate to admit it but it's
15 just another reason to hospitalize to make sure
16 about compliance.

17 Q Do patients you treat in your judgment have an
18 obligation to comply with your instructions as
19 relates to the taking of medication?

20 A What I am saying is that the incidents of non-
21 compliance are very high and when you are dealing
22 with an emergent situation that is part of your
23 responsibility to think about it.

24 MR. GOLDWASSER: Read my question
25 back.

(The last question was read by the Reporter.)

A I would hope that they would take the medicines that I prescribed.

Q And you would expect them to, particularly if you are dealing with a patient who is one you can communicate with?

a I would expect them to,

Q She was admitted to St. Luke's on February 6th.

a You are telling me that she went from February 1st --

Q According to the history she didn't start her Clonidine until the day before her admission to the hospital,

Do you know Dr. Gardner?

A Surely.

Q Do you respect him as a competent neurologist?

A I do.

Q You have indicated you have read his report.

A Yes.

Q As you noted Dr. Gardner holds a different opinion than you as to Dr. Ockner's standard of care,

A Yes.

Q In his report Dr. Gardner states, "Amnesia, confusion, and the funny look on her face could

1 have been attributed to alterations in her blood
2 pressure, especially as influenced by Inderal.

3 Do you agree or disagree with that statement?

4 A I would have to **disagree** with that statement,
5 In fact, I had a check mark on it when I reviewed
6 it.

7 Q Why is it you disagree with that statement?

8 A Because we have already said that when blood
9 pressure alterations do that it's because it's
10 a T.I.A. It's not the blood pressure alone and
11 I do not believe that Inderal ever caused this
12 or ever will. If it has, it's the first time.

13 It's unfortunate medical dictum has to come
14 out of a courtroom or a medical deposition
15 instead of medical. I never heard of Inderal
16 doing this or I don't know if Gardner has.

17 Q You don't know if he hasn't or are you saying i
18 does?

19 a I don't know that he has.

20 Q Dr. Gardner says also in his report that, "There
21 is no consistent medical agreement on the **proper**
22 management of the vertebrobasilar insufficiency."
23 Do you agree or disagree with that?

24 A I have to go back a minute. When he says
25 alterations in blood pressure, if he meant a drop

1 in blood pressure that again gets us back into
2 T.I.A. from whatever cause but it doesn't deny
3 a T.I.A. He doesn't say alteration upper or
4 Lower, What is the next thing?

5 Q Towards the bottom of the first page the doctor
6 indicates there is no consistent medical agreement
7 on the proper management of the vertebrobasilar
8 insufficiency. Do you agree or disagree with
9 that?

10 A He kind of leaves that very wide when he says
11 no consistent medical agreement on the proper
12 management.

13 I don't know how far afield he is taking it.
14 If he is talking specifically about: the
15 anticoagulations, I would agree with him. If he
16 is talking about bringing down the blood pressure,
17 putting the patient at rest, then I would have to
18 seriously and strongly dissent. There is a
19 standard of medical care.

20 Q You have read Dr. Ockner's testimony, have you
21 not?

22 A I have,

23 Q You know then that he has testified that although
24 his working diagnosis was Inderal toxicity, he
25 did consider T.I.A. as a potential problem.

1 He also testified that if it proved that
2 such was the case, that it was reasonable for
3 him to have sent the patient home on the
4 hypertensive medication that he had prescribed
5 for her,

6 Is it your testimony that such is sub-
7 standard medical practice in this particular case?

8 A I get back to where we started, She had an
9 extremely high blood pressure and she now has
10 had within 24 hours an advance that has to
11 represent a circulatory embarrassment and she
12 should not have gone home. She should have been
13 admitted to the hospital

14 Q In part Dr. Ockner testified that even if it was
15 a T.I.A., since it was not in the carotid arteries,
16 the only thing that could be done by way of
17 management was to lower the blood pressure.
18 Apparently you disagree with that, as I understand
19 your testimony?

20 A Start that over again,

21 Q Dr. Ockner has testified that even if it was a
22 T.I.A. as the patient presented in his office on
23 February 1, the only thing that could have been
24 done by way of management was to lower the blood
25 pressure which he was attempting to do with

1 Clonodine.

2 As I understand your testimony you disagree
3 with Dr. Ockner when he says that that is the
4 proper way?

5 A We want to reduce the blood pressure but he is
6 talking in terms of over weeks and I am talking
7 about overnight.

8 I am talking about reducing the pressure
9 today and not tomorrow and not a week from
10 tomorrow, and I say put her to bed or at least
11 do everything in your power to reduce the
12 pressure, That doesn't mean driving up Carnegie
13 during rush hour traffic.

14 Q Is that what the patient did?

15 A No, I don't know that, E am using that to
16 explain to you that the outside world is rougher
17 than the hospital. People coming here, rushing
18 to get here, the pressure is sky-high and by the
19 time they leave it's down,

20 Q Do I understand then from what you have testified
21 to that you don't quarrel with Dr. Gardner's
22 suggestion that there is not a uniform opinion
23 on the use of anticoagulation therapy as relates
24 to the treatment of this condition?

25 A There most certainly is not a uniform opinion

1 but I know that the majority agree to
2 anticoagulation. There is a vocal minority that
3 says there is no role for anticoagulation.

4 Q So ne understand what we are talking about as
5 relates to this particular lawsuit, if
6 hypothetically Dr. Ockner had done everything
7 you suggested he should have done but had not
8 used anticoagulation therapy but had done every-
9 thing else, would his care of the patient be
10 acceptable?

11 A That would depend on how the case unfolded, how
12 the situation unfolded. You can't make a blanket
13 statement on that.

14 Q Obviously if the patient gets better the question
15 I am asking you is moot because if she got better --

16 A Better from what?

17 Q Better from the standpoint of -- that's a good
18 question. Can you cure the condition with which
19 Mrs. Sims present, vertebrobasilar insufficiency?

20 A We talked about that. First I want to know the
21 status of all the vessels leading to the brain.
22 This is something important to be done before
23 you make a judgment on whether you anticoagulate
24 or not. This was not done.

25 Q Let's talk about that for a moment. Let's talk

1 in the abstract about basilar artery insufficiency

2 Once that diagnosis is made and the patient
3 presents with the age of 78 with a history of
4 T.I.A., what is the protocol followed in an
5 attempt to ascertain whether or not there would
6 be any treatment, any treatment consisting of
7 surgical treatment? What has to be done to make
8 that decision?

9 A The critical issue will be whether your carotids,
10 not the basilar, are surgically correctable,
11 because what the carotids supply to the base of
12 the brain reflects where the circulation from
13 the posterior circulation -- in other words,
14 there are steals where an impoverished area will
15 take blood from another area and if your carotids
16 are narrow you run the likelihood that you are
17 stealing blood from your posterior circulation
18 for other areas; therefore, if you improve the
19 anterior circulation you help the posterior
20 circulation even though you can't operate on the
21 posterior circulation, the posterior circulation
22 being the vertebrobasilars,

23 Q So they are not operated on?

24 A They are not operated on, There has been some
25 recent work on it,

Q So vertebrobasilar artery insufficiency can be alleviated with either clearing the carotids if they are occluded to any significant degree or just maintaining the patient's blood pressure at proper levels?

A Or anticoagulation and if there is one area, even those in the minority when they grudgingly concede that maybe there is a role for anticoagulation, they say it's in the vertebrobasilar insufficiency more so than in the carotid circulation problems,

Q So if we assume for the purpose of my next question that Mrs. Sims presented with vertebrobasilar insufficiency, which was to be treated medically rather than surgically, what is the life expectancy for ~~such a 78-year old~~ lady barring the fact that there is any other organ system failure? Is her life expectancy reduced by virtue of that disease with which she presents?

a P don't think I can answer that with any certainty because people go on for years and years and years and five out a full life span after vertebrobasilar insults.

Q Do you know what a statistical life expectancy

1 would be for a 78-year old white female?

2 A No

3 Q I don't either but for the purpose of my question
4 let's assume the life tables suggest that it's
5 five years, I don't know what it is, Let's
6 assume that for the purpose of this question,

7 Is it your testimony then that with
8 reasonable medical certainty a patient treated
9 medically for such condition will live that
10 statistical five years?

11 A Probably.

12 Q As you review the records, did Mrs. Sims present
13 with any other disease process other than that
14 which was affecting the central nervous system?

15 A I will have to sit here and look for it.

16 Q If you are telling me you have to go through the
17 chart, it's not necessary. If you can't remember
18 offhand, that's fine, You are telling me that
19 the records will speak for themselves as to that
20 question?

21 A What was the question specifically?

22 Q Did she present with any other disease entity?

23 A She had fecal incontinence, she had psoriasis,
24 they say arthritis and hives.

25 Q You are reading that from what?

1 A The Cleveland Clinic discharge summary.

2 Q Dr. Tucker, to summarize your testimony if I may,
3 please, and the reason I am doing this is I want
4 to make sure I am walking out of here with the
5 correct impression as to your opinion.

6 A It is your considered opinion based upon
7 your review of that material you have outlined
8 for me that when Mrs. Sims presented to the
9 doctor's office on February 1, 1984, Dr. Ockner's
10 office, the history of those events which occurred
11 the day previous were consistent with a T.I.A.
12 Is that correct so far?

13 A So far it is.

14 Q That based upon that history regardless of the
15 fact that his examination findings were negative,
16 this patient required, save for her blood
17 pressure which was markedly elevated, this
18 patient required admission to the hospital for
19 bed rest and the hypertensive medications and
20 anticoagulation therapy?

21 A Possibly anticoagulation therapy.

22 Q But certainly antihypertensive therapy and rest?

23 A Correct.

24 Q By virtue of Dr. Ockner discharging the patient
25 home from his office on merely an antihypertensive

1 prescription was inadequate based upon the
2 history as presented. Is that your opinion?

3 A Inadequate is correct,

4 Q It is further your opinion that with reasonable
5 medical certainty if Dr. Ockner had admitted the
6 patient to the hospital on at least rest and
7 antihypertensive therapy, that her condition
8 would have stabilized and that she would have
9 been discharged from the hospital in due time
10 and she would have lived a statistical Life
11 expectancy, Is that what you are telling me?

12 A Yes.

13 MR. GOLDWASSER: Thank you,
14 We started this deposition about 5:00,
15 wasn't it? It is now 14 minutes to 6:00
16 and I will send you \$1000 as you have
17 requested for this 40-plus minutes.
18 (Deposition concluded.)

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1 THE STATE OF OHIO

2 COUNTY OF CUYAHOGA

) SS:

CERTIFICATE

3 I, George L. Blam, a Registered Professional
4 Reporter and Notary Public within and for the State
5 of Ohio, duly commissioned and qualified, do hereby
6 certify that the within-named witness, DR. HOWARD TUCKER,
7 was by me first duly sworn to testify the truth, the
8 whole truth and nothing but the truth in the Cause
9 aforesaid; that the testimony then given by him was by
10 me reduced to stenotypy in the presence of said witness,
11 afterwards transcribed upon a typewriter; and that the
12 foregoing is a true and correct transcript of the
13 testimony so given by him as aforesaid.

14 I do further certify that this deposition was
15 taken at the time and place in the foregoing caption
16 specified and was completed without adjournment.

17 I do further certify that I am not a relative,
18 counsel or attorney of either party, or otherwise
19 interested in the event of this action.

20 IN WITNESS WHEREOF, I have hereunto set my hand
21 and affixed my seal of office at Cleveland, Ohio, on
22 this 30 day of June, 1987.

23 
24 George L. Blam, RPR, Notary Public
25 In and for the State of Ohio

My commission expires October 24, 1988.