



## APPEARANCES:

ON BEHALF OF THE PLAINTIFFS

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ON BEHALF OF THE DEFENDANTS

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## S T I P U L A T I O N S

It is stipulated by and between counsel for the respective parties that the deposition of ROBERT J. THOMPSON, M.D., a witness herein, called for examination by the Plaintiffs under the statute, may be taken at this time by the Notary by agreement of counsel without notice or other legal formality; that said deposition may be reduced to writing in stenotype by the Notary, whose notes may thereafter be transcribed out of the presence of the witness; that proof of the official character and qualification of the Notary is waived; that the reading and the signature of the said witness to the transcript of his deposition are expressly waived by counsel and the witness; said deposition to have the same force and effect as though signed by the said witness.

1 4:25 p.m., Wednesday,

2 April 3, 2002.

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4 ROBERT J. THOMPSON, M.D.,

5 being by me first duly sworn, as hereinafter  
6 certified, deposes and says as follows:

7 E X A M I N A T I O N

8 BY MR. BLASS:

9 Q. Dr. Thompson, we've met before. My name is  
10 Scott Blass. I am here to take your deposition today  
11 in the case of Ed and Donna Monroe versus Dean  
12 Dougherty and Jack Allen, Inc., pending in the Court  
13 of Common Pleas of Jefferson County, Ohio.

14 I will let you know up front, if I ask you  
15 a question that is not clear to you, if I use a term  
16 or phrase you are unfamiliar with, or if you're just  
17 uncomfortable with my question for any reason, you let  
18 me know and I'll be happy to rephrase or restate the  
19 question so it is in a form that you understand and  
20 you are comfortable answering. Okay?

21 A. Yes.

22 Q. Many of my questions will be of a yes or no  
23 variety. If you can respond yes or no to those  
24 questions before you provide any explanation you feel  
25 is necessary, that will obviate any need for me to go

1 back and ask the question again. I will, of course,  
2 allow you to offer any explanation you need to offer  
3 and would, likewise, invite you to tell me up front,  
4 if it's a yes or no question, that you just can't  
5 answer yes or no. That will obviate the need for me  
6 to go back and ask the question again. All right?

7 A. Yes.

8 Q. Can you tell me, the documents that you  
9 have before you today, do these documents constitute  
10 all the material that you have either generated or  
11 been provided in connection with your involvement in  
12 this case?

13 A. Yes, to my knowledge.

14 Q. All right. Nothing has been removed from  
15 your file before today as far as you know?

16 A. Not that I'm aware of, no.

17 Q. If I can just take a quick look through  
18 what you have. I trust I have pretty much everything  
19 in your file.

20 Do you have a separate file for your  
21 billing for the work you have done in the case so far?

22 A. Yes, it's in a computer.

23 Q. Can you get a computer printout of the  
24 billing so far?

25 A. Yes. Yes.

1 MR. BLASS: If you can get that for  
2 me, make three copies of that. And I would like to  
3 get a copy of the summary you were provided. I --

4 THE WITNESS: Let him do that.

5 MR. BLASS: I don't need that now.  
6 They could mail it to me.

7 THE WITNESS: Can his office just do  
8 it?

9 MR. BLASS: I really need what you  
10 were provided, so I need to get it from you. I am  
11 sure it's the same thing he has, but -- I don't need  
12 it today.

13 THE WITNESS: I can't do it today.  
14 I am going to charge you.

15 MR. BLASS: That's fine.

16 THE WITNESS: I'll get the billing  
17 chart.

18 (A brief recess was taken.)

19 MR. BLASS: Let's go back on the  
20 record.

21 BY MR. BLASS:

22 Q. While we're waiting on those copies, let's  
23 cover some preliminary matters. You are a practicing  
24 neurologist here in Zanesville; is that correct?

25 A. Yes.

1 Q. And you are affiliated with a company  
2 called Neurological Associates of Southeastern Ohio,  
3 Inc., correct?

4 A. Yes.

5 Q. Do you have any associates today?

6 A. Just myself. I am the only physician.

7 Q. The date that you saw Mr. Monroe was on  
8 March 6th, 2002; correct?

9 A. Yes.

10 Q. And what was Mr. Monroe's primary symptoms  
11 on the date of your examination?

12 A. Neck pain, back pain, and knee pain.

13 Q. Which knee?

14 A. I believe it was both knees.

15 Q. Was one symptom of more concern than  
16 another to him?

17 A. I can't recall.

18 Q. Based upon your review of the records and  
19 your examination of Mr. Monroe, did you reach an  
20 opinion to a reasonable degree of medical probability  
21 as to the cause of Mr. Monroe's neck pain?

22 A. Yes.

23 Q. And what was your opinion as to the cause  
24 of the neck pain that he was exhibiting on March 6th,  
25 2002?

1 A. Cervical osteoarthritis and degenerative  
2 disk disease.

3 Q. And did you reach an opinion to a  
4 reasonable degree of medical probability as to the  
5 cause of the back pain that he had when you examined  
6 him on March 6th, 2002?

7 THE WITNESS: Can we go off a minute?

8 MR. BLASS: Yeah, we can go off.

9 (A brief discussion was held off the  
10 record.)

11 BY MR. BLASS:

12 Q. I think my last question was: Did you  
13 reach an opinion to a reasonable degree of medical  
14 probability as to the cause of the back pain Mr.  
15 Newman had when you saw him on March 6th, 2002?

16 MR. NEWMAN: Mr. Monroe, you mean?

17 Q. I am sorry, Mr. Monroe. You weren't here,  
18 too; were you?

19 MR. NEWMAN: I have back pain. I  
20 didn't see the doctor yet.

21 A. Yes.

22 Q. What was that opinion?

23 A. Lumbar degenerative disk disease and  
24 osteoarthritis.

25 Q. And did you reach an opinion to a



1 reasonable degree of medical probability as to the  
2 cause of his knee pain?

3 A. Yes.

4 Q. And what was that opinion?

5 A. Osteoarthritis in both knees.

6 Q. Did you do a trigger-point examination in  
7 connection with your physical exam of Mr. Monroe?

8 A. Yes.

9 Q. And can you direct me to the area of your  
10 report where there is reference to that exam?

11 A. I did not document that I did it in the  
12 report, but on cases of this nature I always --  
13 medical/legal cases of this nature, I always do it.

14 Q. Did you document it in your handwritten  
15 notes?

16 A. No.

17 Q. Do you have an independent recollection of  
18 doing a trigger-point examination?

19 A. No, but I would always do it in this  
20 particular case, this type of case.

21 Q. And what does a trigger-point examination  
22 involve?

23 A. The examiner takes his thumb and pushes on  
24 certain areas on the body, especially the neck,  
25 thoracic region, lumbar region, hips and knees and

1 tries to feel for either focal spasm and/or to elicit  
2 complaints of pain from the patient.

3 Q. So, if you followed your customary  
4 practice, Mr. Monroe should remember being pushed upon  
5 by you in those various areas of his body with your  
6 thumb?

7 A. I can't say what Mr. Monroe would remember  
8 or would not remember.

9 Q. I am saying that because you don't remember  
10 if you did, you don't have an independent  
11 recollection, but that's how you would have done it,  
12 pressing on him with your thumb in different areas;  
13 right?

14 A. Okay, I think that was two questions. I  
15 don't have an independent recollection in this case,  
16 no, but I always do trigger-point examinations on  
17 medical/legal exams of the neck and back.

18 And I forget what the second question was.

19 Q. What are the most common symptoms  
20 associated with osteoarthritis of the neck?

21 A. Pain and stiffness in the neck.

22 Q. What type of pain?

23 A. Generally an aching type of discomfort  
24 which is worsened -- worse with movement.

25 Q. And what about degenerative disk disease;

1        what are the common symptoms associated with that in  
2        the cervical region?

3        A.            It's variable. If -- there can be pressure  
4        on the nerve due to the narrowing of the neural  
5        foramen which could cause numbness into the upper  
6        extremities, and there could also be aching type  
7        discomfort in the neck.

8        Q.            Does osteoarthritis generally cause muscle  
9        spasms?

10       A.            It can -- no, the arthritis itself doesn't,  
11       but certainly patients with arthritis can have  
12       associated muscle spasms.

13       Q.            But osteoarthritis does not generally cause  
14       muscle spasms?

15       A.            The arthritis itself generally does not  
16       cause muscle spasms, no.

17       Q.            And what about degenerative disk disease?  
18       Does that generally cause muscle spasms?

19       A.            It can; but generally does not, no.

20       Q.            What about trigger points? Does  
21       osteoarthritis cause trigger points?

22       A.            Well, many people -- even normal people  
23       without symptoms can have trigger points, so certainly  
24       patients with osteoarthritis can also have trigger  
25       points.

1 Q. Does it generally cause trigger-point  
2 tenderness, osteoarthritis?

3 A. Not typically, no.

4 Q. Okay. And what about degenerative disk  
5 disease; does it generally cause trigger-point  
6 tenderness?

7 A. The disease itself does not, no.

8 Q. Did you find any reference, in all the  
9 records that you reviewed, that Mr. Monroe had a  
10 finding of positive trigger points at any time prior  
11 to this collision of June 11, 1999?

12 A. Not that I recorded in my report, no.

13 Q. Is that something you think, if you had  
14 seen it in the medical records, would have been  
15 significant enough to record in your report?

16 A. Probably -- probably not.

17 Q. Can a -- does a -- strike that.

18 Can trigger-point tenderness be caused by  
19 cervical strain?

20 A. Yes.

21 Q. Can a cervical strain develop into a  
22 chronic pain condition?

23 A. Yes, but extremely rarely.

24 Q. You have, yourself, seen and treated people  
25 who have fallen into that rare category; have you not?

1 A. On rare occasions, especially in patients  
2 that are plaintiffs in accidents, we will see strains  
3 develop into chronic subjective pain; but otherwise,  
4 it's very rare.

5 Q. And, in fact, you are the director of a  
6 chronic pain clinic where you have treated individuals  
7 that have developed chronic pain problems as a result  
8 of cervical strains; have you not?

9 A. Again, as we sit here today, I don't recall  
10 such a patient who's had a mild strain who had chronic  
11 pain for long periods of time; but I imagine that we  
12 have had patients come through there that have -- have  
13 had subjective complaints of pain long after a  
14 cervical muscle strain, yes.

15 Q. In your report, you indicate that arthritis  
16 is never permanently aggravated by trauma unless there  
17 is a significant injury to a joint such as a fracture  
18 or dislocation, which was not the case here. Item 2B  
19 under "Diagnoses," you see that?

20 A. Yes.

21 Q. Can you cite me to some literature that  
22 would support that conclusion?

23 A. Not as we sit here today, but it's  
24 certainly been my personal experience that I have  
25 never seen arthritis be permanently aggravated by

1 injury unless there was some type of significant  
2 injury to the joint itself.

3 Q. Has it been your clinical experience that  
4 individuals with preexisting degenerative arthritis  
5 have a more difficult time recovering from cervical  
6 strains?

7 A. They may take a little bit more -- longer  
8 to recover. Instead of a few days or a week or two,  
9 they may take up to four to six weeks, yes.

10 Q. And individuals who are elderly, 55 or  
11 older, will they, likewise, take a little longer to  
12 recover in your clinical experience?

13 A. If they have arthritis and degenerative  
14 disk disease, yes.

15 Q. Did you review any X-ray films?

16 A. No.

17 (Deposition Exhibit 1 was marked for  
18 purposes of identification.)

19 BY MR. BLASS:

20 Q. I have marked, as Exhibit 1, a document  
21 that you were kind enough to copy from your file for  
22 me. For the record, is that a true and accurate copy  
23 of a letter that was contained in your file dated  
24 February 14th, 2002, from Mr. Newman to yourself?

25 A. Yes.

1 Q. And this letter, was this the first  
2 contact -- written contact that you had had with Mr.  
3 Newman with respect to the Monroe case?

4 A. I don't know.

5 Q. Is there anything in your file that  
6 predates February 14th, 2002?

7 A. No.

8 Q. Were you ever provided with any photographs  
9 of the vehicles that were involved in the accident?

10 A. No.

11 Q. Do you have any idea how heavy the truck  
12 was that rear-ended Mr. Monroe?

13 A. Just that Mr. Monroe told me it was a large  
14 truck.

15 Q. You don't know if it was loaded, not  
16 loaded?

17 A. I don't know.

18 Q. Eighteen-wheeler, box truck; no idea?

19 A. I had the impression it was an 18-wheeler  
20 type truck from what Mr. Monroe told me.

21 Q. In the letter you received from Mr. Newman,  
22 the first paragraph where there is a dot by it, it  
23 says: Mr. Monroe has been treating for severe neck  
24 pain, including radiating pain into his arms, since  
25 1991. You see that?

1 A. Yes.

2 Q. In the records, when was the last time you  
3 saw that Mr. Monroe had treated for severe neck pain  
4 prior to his vehicle being rear-ended by the  
5 tractor/trailer in June of 1999?

6 A. I would have to look back at Dr. Grubbs'  
7 records.

8 Q. Okay.

9 A. I have a patient drawing filled out by Mr.  
10 Monroe dated January 26th of '98, which would have  
11 been about 18 months prior to the accident, in which  
12 he marks burning and aching and throbbing pain in the  
13 neck down into the right arm.

14 There are a lot of records here, but the --  
15 it looks like about 18 months prior.

16 Q. Okay. So, the last time that you find any  
17 reference to what you consider to be severe neck pain  
18 is on the January 26th, 1998 patient diagram; is that  
19 correct?

20 A. Yes.

21 Q. And that, to you, suggests that he was  
22 having severe neck pain on that day?

23 A. Well, he's got it -- the way he's got it  
24 drawn, he's got burning, aching, throbbing pain with  
25 numbness and burning into the right arm and hand.



1 Q. So, I am correct that this drawing suggests  
2 to you that Mr. Monroe was having severe neck pain on  
3 January 26th, 1998?

4 A. Yes.

5 Q. All right. You consider the marks that he  
6 made on the back diagram with the X's to be his neck?  
7 Is that correct?

8 A. Yes, neck and upper thoracic region.

9 Now, there are a lot of other records, but  
10 there --

11 Q. That was the most recent?

12 A. I mean, today, that's the most recent I can  
13 find.

14 Q. Okay. How do you make a diagnosis of  
15 osteoarthritis? What criteria do you need in order to  
16 make that diagnosis?

17 A. The typical symptoms of osteoarthritis are  
18 aching, dull discomfort in the joints involved of  
19 variable severity. It's more common in older people.  
20 On exam, we can frequently, but not always, find some  
21 decrease in range of motion of the involved joint.  
22 The patients will frequently complain of crunching or  
23 cracking of joints when they move them. And after  
24 someone has had osteoarthritis for a while, it will  
25 show up on X-rays.

1 Q. Can you make the diagnosis of  
2 osteoarthritis without radiological exam?

3 A. You can make the diagnosis, yes, because  
4 very early -- early on, there may not be any X-ray  
5 findings; the X-rays may be normal.

6 Q. Did Mr. Monroe have decreased range of  
7 motion in his cervical spine?

8 A. He had slightly decreased range of motion  
9 of the cervical spine.

10 Q. Did he have crunching or cracking in the  
11 joints in his neck?

12 A. Yes, he reported cracking in his neck.

13 Q. Did you find that during your examination?

14 A. That's a subjective complaint of the  
15 patient.

16 Q. Is the slight decrease in range of motion  
17 in the cervical spine and the subjective cracking  
18 sufficient to definitively diagnose the  
19 osteoarthritis?

20 A. Well, you would like to see X-ray -- when  
21 you say definitively, if you want to prove it, you  
22 would like to be able to see it on X-ray also, yes.  
23 His range of motion and subjective cracking are  
24 subjective complaints.

25 Q. And his range of motion was only slightly

1 decreased in the cervical spine in any event; correct?

2 A. Yes.

3 Q. Is that -- is that consistent with severe  
4 cervical osteoarthritis?

5 A. Yes. Range of motion of the cervical spine  
6 in osteoarthritis may only become severely reduced  
7 when the joints fuse.

8 Q. Well, you diagnosed Mr. Monroe as having  
9 severe cervical osteoarthritis; correct?

10 A. Yes.

11 Q. Based on slightly decreased range of motion  
12 and his subjective complaints of -- of cracking and  
13 crunching?

14 A. No. While he did have those complaints,  
15 the X-rays of the neck he had as far as back as 1992  
16 show severe osteoarthritis and degenerative disk  
17 disease with narrowing of the neural foramina  
18 consistent with severe osteoarthritis.

19 Q. That's how the X-rays were interpreted by  
20 someone else, not by you?

21 A. That's how I interpreted those records. I  
22 didn't see the actual X-rays, no, but I did base my  
23 opinions on the radiologists' -- some of my opinions  
24 were based upon my review of the radiologists'  
25 interpretations of those X-rays and MRI scan films,

1 but I did not see the films myself.

2 Q. You relied on the interpretation of someone  
3 else?

4 A. Yes.

5 Q. Did -- in your opinion, to a reasonable  
6 degree of medical probability, did the injury that Mr.  
7 Monroe suffered on January 30, 1992, when he was  
8 loading pipe on a truck on his job in Pittsburgh --  
9 does that injury continue to cause any of his current  
10 symptoms?

11 A. I don't have an opinion as to whether that  
12 particular injury did it. He did have preexisting  
13 conditions, including severe degenerative disk  
14 disease, osteoarthritis, and a herniated disk which  
15 preexisted the accident, but I do not have an opinion  
16 as to whether those preexisting conditions were due to  
17 the 1992 accident. His treating doctors had opinions  
18 on those lines, but I did not.

19 Q. So, is it fair to say that you have not  
20 formed an opinion to a reasonable degree of medical  
21 probability or certainty as to what relationship, if  
22 any, the work injury of January 30, 1992, has with  
23 respect to his current symptoms? Is that fair to say?

24 A. That's correct. But he did have  
25 preexisting conditions that I -- that I feel are

1 related to his present symptoms, regardless of the  
2 cause.

3 Q. When you say he had a preexisting  
4 condition, are you talking about an existing -- a  
5 condition that existed prior to January of 1992? I am  
6 not sure what you are referring to.

7 A. I reviewed medical records dating back to  
8 1991 and there were references in those 1991 records  
9 to symptoms that had occurred as far back as ten years  
10 before that.

11 Q. All right.

12 A. And he had the conditions of degenerative  
13 disk disease, osteoarthritis, and a herniated disk  
14 prior to this auto accident of June 11th, 1999.

15 Q. All right. So, leaving the underlying  
16 disease processes out for the time being -- and I  
17 understand your opinions with regard to those  
18 processes and how they contribute to his current  
19 condition. I am trying to determine -- to make sure  
20 that you don't hold the opinion that the injury that  
21 he suffered on January 30, 1992, while at work is  
22 continuing to cause him any symptoms?

23 A. I do not have an opinion regarding the  
24 relationship of that injury to his current symptoms.

25 Q. And the same question, then, for the

1 Workers' Compensation injury which came about as a  
2 result of an accident of October 3rd, 1992. Do you  
3 have any opinion as to whether that injury continues  
4 to cause any of his current symptoms?

5 A. No.

6 Q. In the document we have marked as Exhibit  
7 1, in the first paragraph, you're advised by Mr.  
8 Newman that he, in fact, represents the Defendants in  
9 this litigation; correct?

10 A. Yes.

11 Q. And in the last paragraph you are asked to  
12 contact him prior to the examination to discuss the  
13 case in further detail; correct?

14 A. Yes.

15 Q. Did you do that?

16 A. Yes.

17 Q. Do you recall what was discussed then?

18 A. Yes. I told Mr. Newman that I never review  
19 medical records until after I examine the patients, so  
20 I really didn't have anything to discuss with him at  
21 that time.

22 Q. Did he tell you anything about the  
23 collision at that time?

24 A. I can't recall.

25 Q. Did he tell you anything about the

1 depositions of the parties that had been taken,  
2 anything of that nature?

3 A. Not that I -- as I recall, it was a very  
4 short phone conversation where I just told him that I  
5 didn't really particularly want to review the records  
6 until after I had seen the patient.

7 Q. Did you contact him after the examination,  
8 before preparation of your report?

9 A. Yes. Oh, I'm sorry, before the preparation  
10 of my report?

11 Q. Yes.

12 A. Not that I recall.

13 I may have called him after I prepared the  
14 report, but I don't recall calling him before I  
15 prepared the report.

16 (Deposition 2 was marked for purposes  
17 of identification.)

18 BY MR. BLASS:

19 Q. I am going to hand you a document we have  
20 marked as Exhibit 2 just to confirm that that's a copy  
21 of the -- some of the handwritten notes contained in  
22 the file that you were -- or that you have with  
23 respect to Mr. Monroe. Is that right?

24 A. Yes.

25 Q. Just, if you could for the record, read

1       those handwritten notes into the record.

2       A.           3-6-02, Edward Monroe, electrician,  
3       working, union, understanding foreman, missed five  
4       weeks, working now. ADL's -- you want me to explain  
5       them or just read them literally?

6       Q.           Yes, go ahead and explain them while you're  
7       reading them.

8       A.           ADL's is activities of daily living.  
9       Independent in dressing, grooming, feeding, bathing.  
10      Lives in a house with his wife. He does not do any  
11      yard work and his wife does that. He is able to do  
12      light housecleaning. He is able to drive and did  
13      drive to the exam today. Hobbies include golf and  
14      bowling and he does participate in leagues in those  
15      sports. He plays golf two times on the weekends but  
16      he must use a cart. He's not able to play golf as  
17      well as he used to and he's not able to carry his bag,  
18      and his handicap has gone from 11 to 18. He has  
19      difficulty working overhead. He has difficulty  
20      carrying loads. His bowling average dropped from 170  
21      to 154, and he is in a league and he bowls one to two  
22      times a week.

23                   MR. BLASS: Let's mark these -- is the  
24      top of this one cut off? The original is there.

25                   THE WITNESS: Yes. Yeah -- oh, no,



1 here it is, records before -- yeah, it is cut off a  
2 little bit, isn't it. Just the very top line, looks  
3 like.

4 MR. BLASS: We can clear it up on the  
5 record.

6 Let's mark this as Exhibit -- where  
7 are we -- 3.

8 (Deposition Exhibit 3 was marked for  
9 purposes of identification.)

10 BY MR. BLASS:

11 Q. I hand you a document that the court  
12 reporter has marked Exhibit 3. Would you just confirm  
13 for me that that is a true and accurate copy of the  
14 handwritten notes contained in your file with the  
15 exception of the fact that our copy has cut off, at  
16 the very top, a little bit of the writing that you had  
17 in your notes that we can actually read into the  
18 record or -- might be easier if you just write it on  
19 the exhibit, if you would, rather than making us  
20 another copy.

21 Is that correct?

22 A. Yes.

23 Q. And if you could just write in what we did  
24 have cut off there, we can use that as an exhibit  
25 without bothering your staff again.

1 A. (Witness complies with request.)

2 Q. On Exhibit No. 3 that you have now added  
3 the part that was cut off at the top, is this your  
4 handwritten notes taken when you reviewed the  
5 pre-collision records generated as a result of  
6 treatment Mr. Monroe received before his vehicle was  
7 rear-ended by a tractor/trailer?

8 A. Yes.

9 Q. All right. Did you try to mark -- make  
10 notes of the things that you felt were most noteworthy  
11 when you reviewed the records?

12 A. I rely both on my memory of the notes and  
13 some of -- I relied both on my memory of reviewing the  
14 records and on some of the handwritten notes; correct.

15 Q. But you made those notes contemporaneously  
16 with the review of the records, I take it. As you go  
17 through the records, you make the notes?

18 A. That's correct, and I dictated the final  
19 report right after I reviewed all the records.

20 Q. My question is: As you go through the  
21 records and are making notes, I trust you are writing  
22 down the things you felt most noteworthy?

23 A. To some extent I relied on my memory or --  
24 if it was too much to write down.

25 Q. So, there are noteworthy things in the

1 records that you didn't take note of in your  
2 handwritten notes; is that what you are telling me?

3 A. Possibly.

4 Q. All right.

5 A. Possibly, yes.

6 Q. Do you know what those were at this point?

7 A. No.

8 Q. In your handwritten notes -- and I don't  
9 need you to read them all into the record, there's  
10 just a couple of the abbreviations I want to examine.  
11 For instance, 1999, you have two X's. Is that two  
12 treatments?

13 A. That's two times; two times, two  
14 treatments, yes.

15 Q. Two times. 3-12-99, low back pain; is that  
16 right?

17 A. Yes.

18 Q. 1998, you have nine treatments; is that  
19 right?

20 A. Yes.

21 Q. You have 4-14-98, lifting, low back pain;  
22 correct?

23 A. Yes.

24 Q. All right.

25 MR. BLASS: And let's mark this as

1 Exhibit 4.

2 (Deposition Exhibit 4 was marked for  
3 purposes of identification.)

4 BY MR. BLASS:

5 Q. I hand you a document that we have marked  
6 as Exhibit 4. Just confirm for me, if you would,  
7 please, that that is a true and accurate copy of your  
8 handwritten notes that are contained in your file?

9 A. Yes, except for one date that was cut off  
10 at the top.

11 Q. All right. You want to hand write that in  
12 on our copy like you did with the last exhibit? That  
13 would be fine.

14 A. (Witness complies with request.)

15 Q. Now it's a true and accurate copy of what's  
16 in your file; is that correct?

17 A. Yes.

18 Q. And these are notes that you took  
19 contemporaneously with your review of the records  
20 generated after Mr. Monroe's vehicle was rear-ended by  
21 the truck; is that correct?

22 A. Yes.

23 Q. And, likewise with these notes, did you  
24 want to make note of those things you felt were  
25 noteworthy while you were reviewing the post-collision

1 records?

2 A. Yes. But, again, there may be some things  
3 I thought noteworthy that aren't in these notes.

4 (Deposition Exhibit 5 was marked for  
5 purposes of identification.)

6 BY MR. BLASS:

7 Q. I hand you a copy of what we have marked as  
8 Exhibit 5. Is that a true and accurate copy of a  
9 document that's a form from your office that includes  
10 your handwritten notes?

11 A. Yes.

12 Q. And it's a front and back; is that correct?

13 A. Yes.

14 Q. Under where it says "ST," it has  
15 attorney -- does that say Attorney Blass?

16 A. Yes.

17 Q. And what's underneath that, 1500?

18 A. That's how much I charged for the  
19 examination, review of records, and preparation of the  
20 report.

21 Q. Where did you get the information that the  
22 attorney -- it was Attorney Blass?

23 A. Either Mr. -- Mr. Monroe must have  
24 volunteered it or I asked him who his attorney was.

25 Q. What relevance did that have to the

1 purposes of your involvement in the case?

2 A. Sometimes we're asked to do discovery  
3 depositions, like today, and it helps to know who the  
4 patient's attorney is.

5 Q. Why does it help to know who the patient's  
6 attorney is when you are doing the examination?

7 A. Well, it doesn't help to know while we're  
8 doing the examination, but I may ask the patient  
9 during the examination who their attorney is in case  
10 their attorney calls or -- or needs any information or  
11 wants to do a discovery deposition after the patient's  
12 left the office and I can no longer get that  
13 information easily without making a phone call.

14 Q. Is this form filled out from top to bottom?  
15 As you go through the exam, you fill it out from top  
16 to bottom, Exhibit 5?

17 A. Well, my assistant fills in the date, the  
18 patient's name, gender, age, height and weight, blood  
19 pressure, what medications they are on, whether  
20 they're married or -- or whether they abuse alcohol or  
21 cigarettes, whether they are left-handed or  
22 right-handed, and then the rest of the handwriting is  
23 mine.

24 Those are just -- it's just a rough outline  
25 I use to try to cover all bases of pertinent

1 information I need to get from the patient.

2 Q. The handwriting that is yours, do you fill  
3 that out from top to bottom as you go through the --

4 A. Well, I fill it out there -- the places  
5 where you see where my handwriting is.

6 Q. But you start out, there's no handwriting  
7 on it, do you fill it out from top to bottom?

8 A. Usually I start with the -- usually I ask  
9 the patient about the accident and -- I start there  
10 and then I ask them what type of symptoms they are  
11 having now as a result of the accident and I fill that  
12 in below it. I ask them what kind of treatment  
13 they've had and then I ask them if they have had any  
14 past problems, and that's under past history. And  
15 then where it says "ROS," review of systems, I ask  
16 them if they have any other symptoms related to the  
17 accident that they didn't mention to me.

18 So, they -- all those little categories on  
19 this sheet are just to remind me to ask the patient  
20 these questions while they are here.

21 Q. I understand that, but do you fill it out  
22 from top to bottom? Do you start by filling out -- if  
23 I were to look at your handwriting, would I be able to  
24 say: Well, okay, this side is filled out and then  
25 this side is filled out?

1           A.           Oh, no. No, some patients give a  
2           history -- patients give a history in different  
3           manners. Some people, you know, you ask them about  
4           what happened to them and they'll start talking about  
5           what happened 20 years ago; in which case I don't  
6           interrupt them, I will just fill it out under their  
7           past medical history.

8           Q.           All right.

9           A.           But since it's organized this way, I know  
10          it's past medical history, I put it under that  
11          section.

12          Q.           Under treatment, under "RX", this mentions  
13          Dr. Grubbs, Dr. Woods, no surgery, no PT; correct?

14          A.           Yes.

15          Q.           And it says "Would see --" see something  
16          for a tune-up?

17          A.           Yes.

18          Q.           What is that?

19          A.           Would see occasionally for a tune-up.

20          Q.           You have an arrow to Dr. Grubbs?

21          A.           Yes. Yes.

22          Q.           I see. On this other side, what's that --  
23          this word above items 1, 2, and 3?

24          A.           That says "worse." I always ask patients:  
25          As time goes on, are your symptoms getting better,



1 getting worse, or just staying about the same overall.

2 And Mr. Monroe said his symptoms were getting worse as  
3 time went by.

4 Q. Does that mean his knees?

5 A. Everything. His knees --

6 Q. Neck pain?

7 A. Knees, neck and numbness in his arms.

8 Q. Was getting worse?

9 A. They were all getting worse.

10 Q. Where do you write down the symptoms that  
11 he is experiencing on the day of the visit?

12 A. Right there, (indicating).

13 Q. So, on the day of the visit, his knees, and  
14 he had neck pain, and his upper extremities are numb?

15 A. Well, I ask them what type of problems they  
16 are having as a result of the accident whether they  
17 are having it day that or not. That's where I write  
18 down the symptoms that they are having -- I write down  
19 what symptoms they think is the result of the accident  
20 whether they are having it that day or not.

21 Q. But you didn't write down low back pain?

22 A. No, I did not.

23 Q. He didn't tell you he felt he had low back  
24 pain after the accident?

25 A. Well, he must not have thought it was due

1 to the accident. I believe he did have some low back  
2 pain, because further down in my notes he said he had  
3 some low back pain as a result of a Workman's Comp  
4 injury in '92.

5 Q. Well, right up above that, you put "low  
6 back pain Monday," after the accident. You have  
7 "Neck, next day. Low back pain, Monday."

8 A. Okay, these are the symptoms that I asked  
9 him that he's having now as -- as a result of the  
10 accident in -- not the second I see them, but what  
11 long-term symptoms are you having as a result of this  
12 accident, and he told me: Knees, neck, and arms are  
13 numb. And then I ask them: Well, when did all these  
14 symptoms start. Well, the low back pain started  
15 Monday, several days after the accident.

16 Q. So, as of the --

17 A. And then I have the neck the next day, neck  
18 started the next day.

19 Q. And that's not unusual for this type of an  
20 injury, for the symptoms to surface the day following  
21 the accident collision itself; is it?

22 A. With mild cervical strains, with mild  
23 muscle strains, the symptoms may be delayed for hours  
24 or days, yes.

25 Q. And you had --

1 A. An hour or a day.

2 Q. And you had on the -- so, the symptoms that  
3 he indicated he was currently experiencing, maybe not  
4 that precise moment, but within that time frame, how  
5 are you doing now, for instance, he says: Well, I  
6 still have problems with my knees; my neck still  
7 hurts; I take medication -- is that meds or -- no,  
8 cracks -- I have neck pain and it cracks. Is that  
9 what that says?

10 A. That's "cracks," yes.

11 Q. And "upper extremities numb," that's what  
12 he told you he was still experiencing?

13 A. Yes.

14 Q. All right. Did you find Mr. Monroe to be a  
15 cooperative individual?

16 A. As far as giving a history and allowing me  
17 to examine him, yes.

18 Q. Did he give you any reason to believe that  
19 he was being less than forthright with you?

20 A. Well, I would have no idea about that. As  
21 far as whether he's still having pain or not, only Mr.  
22 Monroe knows that.

23 Q. In his past history, he indicated that he  
24 had had neck problems in the past, but he was okay at  
25 the time of the auto accident. And, of course, based

1 on the records you reviewed, he hadn't at least had  
2 any symptoms since -- what you said was 1998?

3 A. Yes, that's what he told me.

4 Q. Is there a place on this form where you  
5 will indicate the results of your trigger-point  
6 examination?

7 A. Only if they are positive.

8 Q. And where would that be?

9 A. I have another form that -- that is not in  
10 here where it's a picture of a person and I may put it  
11 on there or I may just -- if it's -- if there's a lot  
12 of trigger points. If there's one or two trigger  
13 points, I will just write it on the -- on the sheet.

14 Q. Does the presence of trigger points  
15 generally indicate a more severe strain of the muscle  
16 or muscle groups where the trigger points are present?

17 A. No, because trigger points, number one, are  
18 present in many normal people. Trigger points may or  
19 may not be present in people that have cervical  
20 strain. Sometimes the whole muscle may be tender, but  
21 they don't particularly have one little point that's  
22 tender. So, I don't particularly hold it of any  
23 significance as far as severity.

24 Q. You were aware that Mr. Newman -- I did it  
25 again -- Mr. Monroe was off work for five weeks after

1 the collision; is that correct?

2 A. Yes, that's what he told me.

3 Q. And based upon the nature of his injuries  
4 and his symptoms, was that a reasonable amount of time  
5 to be off work for him?

6 A. No.

7 Q. You believe he should have only been off  
8 four weeks?

9 A. Yes, at the most.

10 Q. Even though he was elderly, over 50, and  
11 had preexisting underlying degenerative disk disease  
12 and osteoarthritis?

13 A. Well, yes. I already calculated those  
14 underlying conditions when I rendered that opinion.

15 Q. Do you have a listing of the depositions  
16 that you have given in 2001 generated yet?

17 A. No.

18 Q. This is still 1996 to 2000, is all  
19 you've -- the one that I got previously.

20 A. Yes.

21 Q. You haven't had to do one for 2001 yet?

22 A. No one has asked yet.

23 Q. Haven't been in federal court since 2000, I  
24 guess.

25 A. No.

1 Q. The reason I ask about the weeks is  
2 because -- you probably don't recall the Lauterbauch  
3 case I was involved in and that you were involved in.  
4 She was a 60-year-old woman and you indicated -- who  
5 had a cervical strain. You had indicated because she  
6 was in her 60s you felt six to eight weeks was a  
7 normal period of recovery for her. I wonder where --  
8 what the date of the cutoff is, agewise, to go from  
9 four to six to eight weeks?

10 A. Well, as far as recovery, I believe I was  
11 talking about symptoms.

12 Q. Um-hmm.

13 A. And when you were asking me the question  
14 about Mr. Monroe, you were asking me about returning  
15 to work. Certainly someone can still have persistent  
16 symptoms and go back to work.

17 Q. Well, you had also indicated that his -- I  
18 asked that because you also indicated that his -- he  
19 should have had complete recovery in four weeks. I  
20 thought maybe those were -- do you understand what I  
21 am saying? You also said in your report that Mr.  
22 Monroe should have completely recovered in four weeks.

23 A. Yes. I would normally expect complete  
24 recovery within -- within four weeks. Now, I mean,  
25 that's an average. I mean, he could have had some

1 milder -- some symptoms beyond that time or less than  
2 that time. Most muscle strains get better in a few  
3 days or a week or two.

4 In the case of Mr. Monroe, he is older, he  
5 does have some arthritis and degenerative disk disease  
6 and a preexisting history of neck problems, so I gave  
7 him the benefit of the doubt and said four weeks.

8 Q. He just didn't get as big a benefit as Mrs.  
9 Lauterbauch, because she was 60?

10 A. It's a range. I mean, it's a range. I  
11 would certainly expect him to be better within four  
12 weeks. And, again, that's -- I mean, that's an  
13 average.

14 Q. Is the one additional week off work really  
15 unreasonable?

16 A. Well, I think he actually -- I think it  
17 would have taken four weeks to recover. I think he  
18 probably could have gone back to work before that.

19 Q. You didn't see him at that time to know how  
20 severe his symptoms were or weren't; correct?

21 A. That's correct.

22 Q. And am I correct that you were asked to  
23 opine on the appropriateness of the treatment  
24 rendered? Correct?

25 A. Yes.

1 Q. And you indicated that the treatment  
2 rendered within the first four weeks was reasonable,  
3 but anything after that was unreasonable; is that  
4 correct?

5 A. Yes.

6 Q. Did he have much treatment after the first  
7 four weeks?

8 A. No, not that I -- at least in my notes I  
9 don't -- I don't have down that he did, but I would  
10 have to go through the records to see exactly how much  
11 more.

12 Q. For those --

13 A. I mean, he may have had a lot more, I just  
14 didn't write it down. I just don't recall.

15 Q. For those unfortunate people who are in  
16 that rare category, who develop the chronic symptoms  
17 as an result of the cervical strains, is it reasonable  
18 for those people to receive symptomatic therapeutic  
19 treatments when they have acute flare-ups?

20 A. It -- yes, if it's related to this injury.  
21 And certainly if Mr. Monroe is having pain, from  
22 whatever reason he's having pain, he's certainly  
23 entitled to receive treatment.

24 Q. How do we know that Mr. Monroe is not one  
25 of those unfortunate few who simply didn't recover



1 completely from the cervical strain that he suffered  
2 when his vehicle was rear-ended three times by a  
3 tractor/trailer?

4 A. We don't know. Only Mr. Monroe knows if  
5 he's still having pain. But even Mr. Monroe doesn't  
6 know if that pain is related to the accident or some  
7 other underlying cause.

8 Q. He would know if the pain is different in  
9 severity and type; correct?

10 A. Again, pain is a subjective complaint.  
11 He's the only one that would know that, yes.

12 Q. And he is the one that would know if the  
13 pain were different in location?

14 A. Yes.

15 MR. BLASS: Let's mark this as Exhibit  
16 6.

17 (Deposition Exhibit 6 was marked for  
18 purposes of identification.)

19 BY MR. BLASS:

20 Q. I hand you a document marked as Exhibit 6.  
21 That's a printout of your billing to date with respect  
22 to your involvement in Mr. Monroe's case; is that  
23 correct?

24 A. Yes.

25 Q. And your charges for the review of the

1 records and the examination and the preparation of  
2 your report were about \$1500; correct?

3 A. Yes.

4 Q. And your charges for deposition today  
5 are -- are \$900 for the first hour and \$400 for every  
6 hour thereafter; correct?

7 A. Yes.

8 Q. Has your deposition schedule this year been  
9 essentially consistent with what it's been in the past  
10 four, five years?

11 A. As I recall, yes. I don't think it's much  
12 different. I do about 25 to 30 a year.

13 Q. Pretty similar in 2001 as well?

14 A. As I recall, yes.

15 Q. When did the price go to \$900 an hour? Or  
16 \$900 for the first hour, excuse me.

17 A. I used to charge \$800 an hour. I believe  
18 it was about two years ago I increased it.

19 Q. And you've been involved in doing this type  
20 of medical/legal work for a number of years; correct?

21 A. Yes.

22 Q. About 20 years now?

23 A. Yes.

24 Q. And the income you derive just from that  
25 portion of your practice is in the area of \$200,000 a

1 year; is that correct?

2 A. Well, that's before expenses and taxes,  
3 yes.

4 Q. How much expense do you have to -- to  
5 examine someone for 30 minutes and type a report?

6 A. Well, I have never broken it down per exam,  
7 but our overall office expenses are about 40 percent  
8 of what we bring in.

9 Q. These individuals who you are involved with  
10 in medical/legal cases such as Mr. Monroe's, you don't  
11 develop a physician/patient relationship with;  
12 correct?

13 A. Yes, that's correct.

14 Q. You don't give them any advice?

15 A. Yes, that's correct.

16 Q. Don't prescribe any treatment for them?

17 A. That's correct.

18 Q. Are you aware of literature that has been  
19 published in peer review journals that concludes that  
20 individuals who are elderly and have preexisting  
21 degenerative arthritis are much more likely to develop  
22 chronic pain problems as a result of cervical strain?

23 A. Not off the top of my head, but there's a  
24 lot of literature on this subject.

25 Q. What is a taught band?

1           A.           A taught band is tissue that can be  
2           palpated in a trigger point that feels like a linear  
3           taught band, like a rubber band.

4           Q.           Is that indicative of a more severe strain  
5           of the muscle or muscle group?

6           A.           Not necessarily, because, again, taught  
7           bands and trigger points can be found in the normal  
8           population.

9           Q.           When you say the normal population, you are  
10          talking about people who are asymptomatic?

11          A.           Yes.

12          Q.           Why would you be examining someone for  
13          taught bands if they were asymptomatic?

14          A.           It's a known clinical fact that trigger  
15          points and taught bands can be found in perfectly  
16          asymptomatic people just when you're examining them  
17          for something else.

18          Q.           Are you aware of any studies or literature  
19          that you could point me to that would support the --  
20          that statement that taught bands are found in the  
21          general -- asymptomatic general public?

22          A.           Not off the top of my head, no. But it's  
23          been my clinical experience, and talking to others,  
24          that -- that those findings can be present in  
25          asymptomatic people.

1 Q. And those symptoms can certainly be present  
2 in people who develop chronic pain problems as a  
3 result of cervical strain as well?

4 A. Usually not so much with cervical strain.  
5 There are other conditions that you can find trigger  
6 points and taught bands, but usually not cervical  
7 strain, although they can be present.

8 Q. What type of limitations do people who have  
9 chronic pain problems as a result of a cervical strain  
10 generally have?

11 A. Generally, none. I mean, people with  
12 chronic muscle strains, even if they do have some  
13 symptoms, have absolutely no limitations at all.

14 Q. The primary component of the chronic nature  
15 of their symptoms is their pain?

16 A. Yes.

17 Q. Does the -- isn't it true, also, that the  
18 unfortunate person who doesn't recover from the  
19 cervical strain, who develops chronic pain, is likely  
20 to experience psychological and emotional problems  
21 going along with that chronic pain?

22 A. Well, chronic pain from any cause can cause  
23 that, yes.

24 Q. What about working overhead and with your  
25 arms above your head and lifting overhead with respect

1 to the chronic cervical strain patient?

2 A. Normally, even if someone with a chronic  
3 strain has some discomfort, I would not expect any  
4 limitations in working over their head.

5 Q. While you wouldn't expect any limitations,  
6 wouldn't you expect that to be an exacerbating factor  
7 with respect to their symptoms?

8 A. No. Patients with muscle strains that are  
9 chronic are usually fully functional.

10 Q. There's no particular things that cause  
11 their symptoms to become worse? That's been your  
12 clinical experience?

13 A. Well, it's so rare to see a patient with a  
14 chronic cervical strain like that. I don't have a lot  
15 of experience with it. But, again, with muscle  
16 strains, even if patients have long-term subjective  
17 complaints, they are generally fully functional.

18 Q. According to your -- according to Exhibit  
19 5, it has "Time in: 2:14." Is that when your nurse  
20 began taking the blood pressure, the height, the  
21 weight?

22 A. No, that's when I went into the room.

23 Q. So, you were in the room with him for 33  
24 minutes?

25 A. Yes. Face to face, yes.

1 Q. And how much of that time was spent taking  
2 his history?

3 A. Well, I would spend the entire time taking  
4 his history while I was examining him.

5 Q. And how long did it take you to do the  
6 physical exam?

7 A. To examine the neck and -- and arms and  
8 knees would take me about 15 minutes.

9 Q. You did not examine his back?

10 A. Yes.

11 Q. Where's the result of that exam?

12 A. (Indicating.) Under extremities, that's  
13 full range of motion of the lumbar spine. And then  
14 under motor strength, we examined for -- if someone  
15 has a pinched nerve in the back, they have weakness in  
16 their legs. Under sensory, they may have a loss of  
17 sensation. And under reflex, they may have reflex  
18 changes.

19 Q. And you did not give Mr. Monroe any  
20 indication as to what you thought might help him with  
21 the symptoms that he had? Did you tell him anything  
22 about -- you might try this, you might try that?

23 A. No.

24 Q. Give him any indication as to what you  
25 thought was causing him symptoms?

1 A. No.

2 Q. How long did it take you to review the  
3 records?

4 A. About six hours.

5 Q. Where is that recorded?

6 A. It's not. It's not recorded. That's an  
7 estimate.

8 Q. How much do you charge per hour to review  
9 records?

10 A. I don't have an hourly charge. For this  
11 case, I -- I looked at the records and I saw that it  
12 was a lot and a lot of past records, so I charged  
13 \$1500, but it's not based on an hourly rate.

14 Q. But you do charge the hourly rate for  
15 deposition time?

16 A. Yes.

17 Q. How much do you charge to appear live in  
18 court?

19 A. \$500 an hour.

20 Q. Not a minimum? Is that -- does that start  
21 from when you leave Zanesville?

22 A. Yes.

23 Q. Until the time you get back to Zanesville?

24 A. Yes.

25 Q. Is there a minimum, half-day charge,



1 full-day charge; anything like that?

2 A. No.

3 Q. Will you be in Zanesville on May 9th and  
4 10th?

5 A. I have to go look at my calendar.

6 Yes.

7 Q. Have you ever testified live in Jefferson  
8 County, Ohio?

9 A. Where is Jefferson County?

10 Q. You don't know where Jefferson County is?

11 A. Is that Steubenville?

12 Q. That is Steubenville.

13 A. Not that I can recall, no.

14 MR. BLASS: All right, that's all the  
15 questions I have, Dr. Thompson. Thank you very much  
16 for your time today.

17 MR. NEWMAN: I have no questions.

18 (The witness was advised of his right  
19 to read and sign his deposition.)

20 THE WITNESS: No, I'll waive.

21 (Signature Waived)

22 -----  
23 THEREUPON, THE DEPOSITION CONCLUDED AT 5:50 P.M.

24 -----  
25