

1 IN THE COURT OF COMMON PLEAS
2 OF LORAIN COUNTY, OHIO
3 HARRY ZADOROSNY,
4 Plaintiff-Appellant,
5 vs. Case No.
6 NATIONAL GYPSUM COMPANY, 89-CV-102556
7 et al.,
8 Defendants. Doc. 396
9
10 Deposition of MELVIN SHAFRON, M.D., a
11 witness herein, called by the Defendants for
12 examination under the statute, taken before me
13 Heidi L. Geizer, a Registered Professional
14 Reporter and Notary Public in and for the State
15 of Ohio, pursuant to notice and stipulations of
16 counsel, at the offices of Melvin Shafron,
17 M.D., 26900 Cedar Road, Beachwood, Ohio, on
18 Friday, June 29, 1990, at 4:00 o'clock p.m.
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COPY



1 APPEARANCES:

2 On behalf of the Plaintiff:

3 Nurenberg, Plevin,

4 Heller & McCarthy Co., L.P.A, by

5 DAVID PARIS, ESQ.

6 First Floor Standard Building

7 Cleveland, Ohio 44113

8 621-2300

9 On behalf of the Defendant National
10 Gypsum Co.:

11 ROBERTA K. SPURGEON, ESQ.

12 1490 The Illuminating Building

13 Cleveland, Ohio 44113

14 771-4995

15 On behalf of the Defendant

16 Bureau of Workers' Compensation:

17 Attorney General Lee Fisher, by

18 DIANE J. KARPINSKI, ESQ.

19 Workers' Compensation

20 Cleveland District Office,

21 State Office Building

22 615 W. Superior Avenue, 12th Floor

23 Cleveland, Ohio 44113-1899

24 787-3030

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1 ALSO PRESENT:

2 **Paul McGuire,**

3 Legal Technical Video

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PG	LN	[Ngl](comm)DRSHAFRO	OBJECT!
16	5	MR. PARIS:	Objection. A. Do I
28	25	MR. PARIS:	Objection. A. No.
29	2	MR. PARIS:	Objection. Move to
29	16	MR. PARIS:	Objection. Q.
30	5	MR. PARIS:	Objection. A. No. Q.
30	18	MR. PARIS:	Objection. A. One
31	12	the difference between	objective evidence and
31	13	evidence? A. Well,	objective things are
31	16	An X-ray is a very	objective way of
31	21	that can be	objective and be beyond
32	3	test, those are	objective tests.
32	9	would have revealed	objective evidence? A.
32	14	things which are	objective and devoid of
32	20	tests. Those are all	objective examinations.
32	21	Q. And of the	objective tests that
32	25	MR. PARIS:	Objection. A. None
35	7	MS. KARPINSKI:	Objection. A. That is
35	16	MS. KARPINSKI:	Objection. A. So whom
36	17	MS. SPURGEON:	Objection. Q. And
38	1	MS. SPURGEON:	Objection to the
46	12	MS. SPURGEON:	Objection to the form of
47	2	MS. KARPINSKI:	Objection. Q. And you
47	10	MS. SPURGEON:	Objection. A. They
66	9	MR. PARIS:	Objection. Q. Is
66	22	if you were to be very	objective in
68	5	MR. PARIS:	Objection. A. In
72	2	MR. PARIS:	Objection. A. Sure,
72	5	MR. PARIS:	Objection. A. I guess
72	17	MR. PARIS:	Objection. A.
73	5	MR. PARIS:	Objection. Move to
76	16	MS. KARPINSKI:	Objection. Q. And the
76	20	MS. KARPINSKI:	Objection again. A.
77	2	MS. KARPINSKI:	Objection. MR. PARIS:

1 (The following was had off
2 the videotape record:)

3 MS. SPURGEON: Let the record
4 reflect that this is a videotape deposition
5 being taken at the offices of Dr. Melvin
6 Shafron on direct examination by me on behalf
7 of National Gypsum pursuant to Rule 30 of the
8 Ohio Rules of Civil Procedure and Rule 12 of
9 the Supreme Court Rules of Superintendence for
10 use at trial pursuant to Rules 32 and 40 of the
11 Ohio Rules of Civil Procedure regarding the
12 case of Harry Zadorozny versus National Gypsum
13 Company, the Bureau Workers' Compensation, and
14 the Industrial Commission of Ohio. That's case
15 number 89-CV-102556 in the Lorain County Court
16 of Common Pleas, currently scheduled to go
17 forward at trial commencing May 20, 1991, in
18 the courtroom of Judge Kosma J. Glavas. And
19 that this deposition is being taken pursuant to
20 notice served upon David Paris, who is counsel
21 for the plaintiff Harry Zadorozny, and Diane
22 Karpinski, who is counsel for the Industrial
23 Commission and the Bureau, all legal
24 formalities and any defects with respect to
25 notice having been waived by agreement of the

1 parties.

2 Is that true?

3 MR. PARIS: That is correct.

4 MS. SPURGEON: Is that true?

5 MS. KARPINSKI: That is correct.

6 MS. SPURGEON: Okay. Dr. Shafron,
7 will you be waiving signature?

8 DR. SHAFRON: I would certainly
9 hope so.

10 MS. SPURGEON: Do you want me to
11 ask you at the end?

12 THE WITNESS: Whatever you wish.

13 MS. SPURGEON: You can either waive
14 now or at the end.

15 DR. SHAFRON: I would waive now,
16 obviously.

17 MS. SPURGEON: We have a
18 stipulation to put on the record, too. It is
19 stipulated among counsel, that is Attorney
20 David Paris, Attorney Diane Karpinski, and
21 myself, that the X-rays, myelogram film,
22 diskograms, and any other film that will be
23 used during this deposition are both authentic
24 and relevant to the issues before this court,
25 and that those X-rays that will be marked as

1 exhibits during this deposition will be at the
2 conclusion of this deposition given to Mr.
3 Paris for use during the deposition of his
4 expert, Dr. Robert Biscup, and that those
5 X-rays will then -- having been marked during
6 both depositions -- be introduced into evidence
7 during the trial.

8 Is that correct, Mr. Paris?

9 MR. PARIS: Correct.

10 MS. KARPINSKI: That's correct.

11 MS. SPURGEON: Okay. The dates of
12 the X-rays and the places that they were taken
13 will be identified during the course of the
14 deposition as we proceed, and all of those
15 dates and places will be incorporated by
16 reference into this stipulation.

17 Is everybody ready?

18 MR. PARIS: Yes.

19 (The following was had on
20 the videotape record:)

21 MELVIN SHAFRON, M.D., of lawful age,
22 called for examination, as provided by the Ohio
23 Rules of Civil Procedure, being by me first
24 duly sworn, as hereinafter certified, deposed
25 and said as follows:

1 EXAMINATION OF MELVIN SHAFRON, M.D.

2 BY-MS. SPURGEON:

3 MS. SPURGEON: Okay. This is the
4 deposition of Dr. Melvin Shafron, all the
5 previous formalities having been made off the
6 record.

7 Q. Doctor, would you tell the Ladies
8 and Gentlemen of the Jury your name, please,
9 and business address?

10 A. My name is Melvin Shafron, and my
11 business address is 26900 Cedar Road in
12 Beachwood, Ohio.

13 Q. And how long have you been at this
14 address, doctor?

15 A. I think about 12 years.

16 Q. And what is your profession?

17 A. I am a neurosurgeon or a
18 neurological surgeon.

19 Q. And that is your specialty?

20 A. Yes.

21 Q. What kind of profession do you
22 practice other than your specialty? What's the
23 /more general category?

24 A. That is the only thing I practice.
25 I practice neurosurgery and nothing else.

1 Q. Okay. You are a medical doctor?

2 A. Yes, sir -- yes, ma'am. Excuse
3 me. I'm sorry.

4 Q. And where are you licensed?

5 A. Licensed in the State of Ohio.

6 Q. Okay. And how long have you been
7 so licensed?

8 A. 34 years.

9 Q. And where did you receive your
10 medical training?

11 A. I received my medical education, my
12 medical school education at Harvard Medical
13 School.

14 MR. PARIS: Just to shorten things,
15 counsel for plaintiff would be more than happy
16 to stipulate to the outstanding credentials and
17 qualifications of Dr. Melvin Shafron.

18 Q. Okay. Doctor, when did you
19 graduate from Harvard Medical School?

20 A. 1956.

21 Q. Okay. And where did you do your
22 residency?

23 A. I did my residency at the
24 University -- I had a year of training in
25 general surgery after I got out -- after I

1 finish my internship and complete military
2 service. I had a year of training in general
3 surgery, and I had from 1960 to 1964 four years
4 of training in the specialty of neurological
5 surgery at the University Hospitals of
6 Cleveland.

7 Q. Okay And would you explain to the
8 Ladies and Gentlemen of the Jury what a
9 neurosurgeon does?

10 A. A neurosurgeon is a specialist who
11 deals with the diagnosis and either the
12 surgical or nonsurgical treatment of a variety
13 of conditions which can affect the brain, the
14 bony spine, the spinal cord, and the various
15 nerves of the body.

16 Q. Okay. And are you board certified
17 in your specialty, doctor?

18 A. Yes, ma'am, I am.

19 Q. And would you explain just very
20 briefly what board certification means?

21 A. Board certification means that a
22 physician has first been trained at
23 institutions which are approved to train
24 specialists in a given specialty. And after
25 receiving your training for neurosurgery then,

1 as it is now, you have to have been in practice
2 for a two-year period of time, and after
3 appropriate letters of recommendation, and
4 things like that, you became eligible to take
5 an examination which is given collectively by a
6 group of neurosurgeons and certain other
7 specialists, as well as guest examiners.

8 These are people appointed by the
9 various major national neurosurgical
10 organizations. And they gather in a city twice
11 a year, and candidates come before this group
12 of doctors and are examined in various aspects
13 of neurosurgery. And if you pass their
14 examination you become certified as a
15 specialist in neurosurgery.

16 Q. Are you a member of any
17 professional organizations?

18 A. Yes, ma'am, I am.

19 Q. And would you tell us what some of
20 those organizations are?

21 A. I am a member of the American
22 Association of Neurological Surgeons, the
23 neurosurgical Society of America, the Ohio
24 State Neurosurgical Association, the American
25 Medical Association, the Ohio State Medical

1 Association, and our local medical society,
2 which is the Cuyahoga County Medical Society,
3 or in this area it is called the Academy of
4 Medicine of Cleveland.

5 Q. And at what hospitals do you have
6 staff privileges?

7 A. I have staff privileges to do
8 surgery at four institutions in the Greater
9 Cleveland area. Mt. Sinai Medical Center, St.
10 Luke's Hospital, what's called Meridia
11 Hillcrest Hospital, and Meridia Suburban
12 Hospital.

13 Q. Do you do any teaching, doctor?

14 A. Yes.

15 Q. And what kind of teaching do you
16 do?

17 A. I am an associate clinical
18 professor of neurosurgery at Case Western
19 Reserve Medical School, and we are involved
20 with teaching young physicians who are learning
21 the specialty of neurological surgery and
22 neurosurgery.

23 Q. Okay. Did you have an occasion at
24 my request to review any medical records and
25 X-rays regarding the plaintiff in this case,

1 Harry Zadorozny?

2 A. Yes, ma'am, I did.

3 Q. And do you recall when it is that
4 you reviewed those records and X-rays and film,
5 other film?

6 A. In September of 1990, last fall.

7 Q. Okay. would you just briefly tell
8 the Ladies and Gentlemen of the Jury what
9 records and what X-rays and other film you did
10 review?

11 A. Yes. Records from Dr. Mota, who is
12 a specialist in internal medicine and
13 rheumatology. These are his office records.

14 There were records and letters from
15 various orthopedists, which include
16 correspondence to Dr. Mota. There are copies
17 of various nerve tests which presumably came
18 from Dr. Mota's records.

19 Q. Excuse me, doctor. As you identify
20 the records could you just by year give us a
21 time frame for when the records were prepared,
22 as well?

23 A. Well, the records that Dr. Mota
24 prepared are dated February -- there is a note
25 from him saying dated February 23, 1990, and

1 these records are true copies. These records
2 go back to 1987, at least long before the
3 incident or the alleged incident in question.

4 Q. Uh-huh.

5 A. There are various nerve studies
6 which were done, and these were done in --
7 there is a special nerve test called EMG and
8 nerve conduction studies done in 1982, before
9 the accident in question.

10 Copies of consultation reports from
11 a hospital in Lorain done in December of 1982,
12 or I should say -- yeah, December of 1982.

13 There are patient treatment
14 records, April of 1988. These are records from
15 Lorain Community Hospital with respect to
16 X-rays done in February of 1987.

17 Records of diagnostic studies which
18 were performed, that is a myelogram test done
19 (at Lorain Community Hospital in 1983, copies of
20 records from Lorain Community Hospital in 1986,
21 which are not pertinent except for the fact
22 that they relate to some shoulder surgery that
23 he had.

24 The records from Lorain Community
25 Hospital of December of 1987 when the patient

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his intestine, brain scans, X-rays of his

1 spine, X-rays of his gall bladder, and are
2 related to the time he had a colonoscopy. That
3 is a procedure relative to the large intestine.

4 There are records from Physicians
5 Medical Care Center which relate to the dates
6 of April of 88. Records from Dr. Soo Kang --
7 perhaps his last name is Kang, I am not sure --
8 with respect to his records.

9 Records from St. Joseph's Hospital
10 with respect to the periods of hospitalization
11 that the patient had both before and after his
12 operation on the low back, that is the
13 operation that was done in May of 1988.

14 And copies of records from Lorain
15 Community Hospital that go back to a period of
16 time before the alleged injury and after the
17 alleged injury, December of 1988.

18 Q Okay. Now, doctor, based upon your
19 review of all of those records that you just
20 briefly described, what facts would you pick
21 out as being medically significant to this
22 case?

23 A The most important thing is that
24 this patient had a history of back pain going
25 back forever. He was plagued by back pain, it

1 required heavy uses of narcotic medications
2 which he took, plus other medications for his
3 back pain. There is a note in one of his
4 doctor's records that --

5 MR. PARIS: Objection. - New

6 A. Do I just --

7 Q. Go ahead.

8 A. -- Dr. Mota, I believe, that in
9 January of 1988 even before this alleged
10 incident at work that he had to take a week off
11 from work because of the severity of his pain.
12 So that there is reference to pain in every
13 medical record that I reviewed prior to the
14 alleged incident in January of 1988.

15 Q. Okay. Doctor, now, I believe you
16 said that you also had reviewed some X-rays and
17 other films --

18 A. Yes.

19 Q. -- that I had provided you. Would
20 you briefly put those film on your view box and
21 describe what they are, and as you do that we
22 will have them marked as exhibits here, too?

23 A. Okay.

24 Q. I don't know what's the most
25 efficient way to do that. Maybe we ought to

1 have them marked and then discuss them, if you
2 want to go off the record while we do that.

3 MR. MCGUIRE: It is 5:27. We are
4 going off the record.

5 - - - - -

6 (Thereupon, Defendant's Deposition
7 Exhibits G-MM were mark'd for
8 purposes of identification.)

9 - - - - -

10 MR. MCGUIRE: The time is
11 5:45. We are back on the record.

12 MS. SPURGEON: Okay. For purposes
13 of the record, Exhibits -- Defendants' Exhibit
14 G through HH have been marked for purposes of
15 identification. Is there a G? Off the
16 record.

17 THE WITNESS: Very first one.

18 MS. KARPINSKI: What record?

19 MS. SPURGEON: Tell me what it is.

20 THE WITNESS: It is an X-ray of the
21 low back dated 4-22-77.

22 MS. SPURGEON: Okay. Sorry about
23 that.

24 MS. KARPINSKI: Coming from Lorain
25 Community Hospital?

1 MS. SPURGEON: Okay. Are we back
2 on the record?

3 MR. McGUIRE: Yes.

4 MS. SPURGEON: Exhibit G is an
5 X-ray of the low back dated April 22, 1977. H
6 is an X-ray of the low back dated December 6,
7 1982. I and J are X-rays dated January 3,
8 1982. K, L, and M are X-rays of the low back
9 dated February 1, 1988. N, O, P, Q, R, and S
10 are post-myelogram CT scans dated February 1,
11 1988. Exhibits T, U, and V are diskogram film
12 dated February 5, 1988. W, X, Y, Z, AA, BB,
13 and CC are X-rays from K & K Services, which I
14 believe is the radiologist used by Dr. Biscup.
15 Those are dated January 21, 1988. DD, EE, FF
16 are X-rays from St. Joseph Hospital dated May
17 14, 1984. GG and HH are X-rays from St. Joseph
18 Hospital dated March 9, 1987.

19 If I neglected to say it, Exhibits
20 G through V are all from Lorain Community
21 Hospital.

22 Exhibit II is a St. Joseph Hospital
23 X-ray dated May 14, 1984. JJ, KK, LL, and MM
24 are X-rays from St. Joseph Hospital. JJ is
25 September 1, 84. KK, LL, and MM are September

1 1, 1988.

2 Q. Now, doctor, would you, using those
3 X-rays that have just been marked for purposes
4 of identification as I described them, explain
5 'to the Ladies and Gentlemen of the Jury what
6 you found on those X-rays that was medically
7 significant to you?

8 A. Okay. The first of these is
9 Exhibit G, which is what we call a plane X-ray
10 of the low back. As the patient is lying on
11 his back the X-ray tube is in front, the X-ray
12 film is behind. And what this shows is that --

13

14

15 A. Yes. This is 4-22-77.

16 And this X-ray shows that in this
17 area here that we call -- the part of the low
18 back that we call S1, count down one, two,
19 three, four, five, these are the five bones of
20 the lumbar spine, this is the top bone of the
21 sacrum, there is a failure of the bones to come
22 together in the midline. That's called a spina
23 bifida, and it is something that is what we
24 call a developmental thing. That is something
25 that occurred in the process of formation of

1 the bony structures of the spine, and there is
2 incomplete formation of what we call the neural
3 arch, and we call this a spina bifida.

4 Q. Is that something that Mr.
5 Zadorozny would have been born with?

6 A. Yes. Yes. Let's see. This is
7 just more of the same thing. This is an X-ray
8 of the same -- of 1982, X-ray just confined to
9 this area, and which shows the same thing
10 basically.

11 MS. KARPINSKI: Could you tell us
12 [what number X-ray it is, the exhibit number?

13 A. Yes, I am sorry. It is Exhibit H,
14 5-2-91-- I'm sorry, 12-6-82.

15 In 1982 he also had a special
16 test -- excuse me -- on January 3, 1982. These
17 would be Exhibits I, J. He had a special test
18 called a myelogram test, and there are only two
19 films that I could uncover with that. And this
20 is a test that's done by having the X-ray
21 specialist or whoever put a needle in the low
22 /back and inject a material that we can see when
23 you fluoroscope the patient. And this is an
24 oily material, it is called Pantopaque, which
25 has to be removed or should be removed after

1 the study is completed.

2 And there are just a few films
3 here, very limited examination, which really
4 doesn't show anything striking. No striking ✓
5 abnormalities that one can see on this
6 relatively limited examination.

7 Q. What would be the purpose of such
8 an examination, doctor?

9 A. The purpose of the examination is
10 not to uncover why anybody has a backache, it's
11 to see whether or not he could have a problem
12 like a herniated lumbar disk.

13 Q. Is there any evidence of a
14 herniated -- ✓

15 A. No.

16 Q. -- disk on those?

17 A. No, no, not at all. The next set
18 of X-rays is another myelogram which was done
19 on 2-1-88 This is done in a little different
20 fashion, and this is done by instilling a
21 material called -- it is called what we call a
22 water soluble X-ray material. That is once
23 it's put in the needle can be withdrawn by the
24 X-ray specialist, or whoever did the myelogram,
25 the material is gradually absorbed into the

1 system by the patient who receives this type of
2 test so that he doesn't have to undergo
3 sometimes the discomfort of having to have the
4 dye removed.

5 And this is a test that's done in
6 several positions. This is a test -- these are
7 X-rays, this is Exhibit K, this is an X-ray
8 taken with the patient probably either -- I
9 can't tell for sure whether it's done by either
10 having the patient lie on the side, and they
11 have the X-ray tube here and the X-ray film
12 here, or the patient is turned this way to have
13 it done. I just can't tell. But you can see
14 that there is nothing striking ~~except there is~~
15 some narrowing of the spine, narrowing of the
16 space where this dye is seen between the third
17 and fourth vertebrae of the low back. And you
18 can see this manifest on all of the X-rays.
19 You can't quite tell what that's from. You
20 know what it isn't from, but you can't quite
21 tell for sure what it is from. And there is
22 certainly no evidence of a herniated disk.

23 And on this X-ray, which is Exhibit
24 M, the patient is lying face down, and the
25 fluoroscope tube is above the patient, and the

1 X-ray film is beneath the patient. You can see
2 there is a suggestion of some narrowing here, a
3 suggestion of maybe just artifact because of
4 the position the patient is in. If the patient
5 is sitting up like this or standing up like
6 this it may be just an artifact of the dye
7 itself or of the position.

8 And this is an X-ray taken at the
9 same time. This *is* taken with the patient
10 standing and with the patient in extension.
11 That *is* the doctor has asked the patient to put
12 his back like this *or* to stretch like this when
13 he's lying on the X-ray table, and the X-ray
14 tube again is to one side, and the X-ray film
15 is to the other.

16 I presume that it was taken that
17 way. It could also again have been taken by
18 having the patient rotate and stand against
19 with his arm against the X-ray table and have
20 the X-ray taken in that position.

21 And again, this shows some crowding
22 of the structures at L3-4 not due to a
23 herniated disk without question, which one can
24 easily identify on the CT scan of the spine
25 that was done afterwards.

1 Q. Doctor, have you shown us two
2 different sets of myelograms taken two
3 different times?

4 A. Yes. One in 1982 and one in 1988.

5 Q. Is a myelogram a test that would be
6 done if a person were not complaining of
7 backache?

8 A. Well, it depends on what doctor you
9 ask this. I, in all candid honesty, never have
10 these studies done for treatment of a backache
11 because you do a test like this, you are
12 looking for something that you can't quite
13 explain, or you do a test in anticipation of
14 recommending an operation to a patient. And I
15 basically and most reasonable people don't
16 recommend surgery for backaches, because that
17 is something that is doomed to futility or
18 failure. I don't think there is any surgical
19 treatment for a backache.

20 So I would not probably have
21 anything like this done on this patient if he
22 were mine. But be that as it may, after --
23 with this water, with this special dye in
24 place, one can then do a special type of X-ray
25 examination called a CT scan. And this is

1 what's done. This **is** a special type of X-ray.

2 Q. And when was that done?

3 A. That's done at the same time that
4 the second myelogram was done, in February of
5 1988. And --

MR. PARIS: Specifically February
1, doctor?

8 THE WITNESS: Yes, that is correct,
9 sir.

10 A. And what one sees is sort of a
11 corroboration of the fact that there is
12 probably some narrowing of that sac or that
13 sausage casing with the dye in it around
14 between L3 and **L4**, and the reason **for** that is
15 that there is some arthritic change and there
16 **is** some thickening of the ligaments which you
17 can see right over here. It is called a
18 ligamentum flavum. There is some thickening of
19 the ligaments around the spine.

20 The rest of the areas don't show
21 anything striking. And these are just
22 variations of the same thing. There is
23 certainly nothing to suggest a herniated disk
24 on any of the X-rays that were done.

25 And these are -- all of these

1 scans, Exhibit N, O, P, are scans of the spine
2 which are done. One can see in the lowest area
3 of the spine between L5 and S1 where there is a
4 little what we call a spondylolisthesis, that
5 there is a little overshadow here right in
6 this film, right over here, which is related to
7 perhaps a mild slippage of one bone with
8 respect to the other, but also with the fact
9 that as this X-ray was done you have to tilt
10 the X-ray tube to get a proper alignment of the
11 X-ray beam with the curvature of the spine, and
12 it wasn't quite done at the lowest level,
13 L5-S1, as well as at the other two levels.

14 Q. Doctor --

15 A. So that it's called that the
16 gantry, the X-ray gantry or tube was not tilted
17 enough.

18 Q. Doctor, the spondylolisthesis that
19 you mentioned --

20 A. Yes.

21 Q. -- seeing on that particular film,
22 is that something you are born with?

23 A. For this gentleman, yes. And there
24 is no change on the myelogram on this area, but
25 one can see a slight change on the MRI scan.

1 You can see more -- it depends on
 2 what the course of the pseudololisthesis is
 3 There are patients who are more with defects in
 4 the formation of bone who develop this and
 5 there are patients who as they get older will
 6 develop this on an arthritic basis or a
 7 degenerative basis

8 In this gentleman's case I strongly
 9 suspect that it is something that is related to
 10 an abnormality, a deviation from the normal in
 11 terms of how the bones were formed.

12 Q. In other words, something he was
 13 born with?

14 A Yes

15 Q Whether there arthritis?

16 A Yes So those were the diagnostic
 17 studies he had.

18 There was also a diskogram done. I
 19 don't do diskograms, I don't have diskograms
 20 done on my patients because I don't think they
 21 are necessary.

22 And where are there X-rays that are
 23 part and parcel of a test called a diskogram
 24 where a dye is actually injected into the
 25 disk One is quite poor, I really can't remember

1 it. And the other two are X-rays taken with
2 the needles in place with the dye being
3 injected between L3 and L4, and L4 and L5.
4 This is an X-ray with the needles in place,
5 with the patient lying on his back probably.

6 MR. PARIS: Letters, please?

7 A. I'm sorry, sir.

8 MR. PARIS: And dates?

9 A. The dates are 2-5-88. The letters,
10 V and T; T, U, and V. I'm sorry.

11 Q. That's okay.

12 A. And so that the only conclusion
13 that one can come to in looking at these X-rays
14 is that there is certainly no evidence of a
15 herniated lumbar disk. He has a slight
16 narrowing of-the spinal canal at L3-4 and that
17 he has a very mild spondylolisthesis at L5-S1,
18 and one can't really surmise any reasonable or
19 rational explanation for his lifelong history
20 of back pain.

21 Q. Does Mr. Zadorozny have anything of
22
23

24 No.

25 MR. PARIS: Objection.

1 A. No.

2 MR. PARIS: Objection. Move to

3

4 A. No, he does not.

5 Q. Doctor, you mentioned a few
6 conditions that Mr. Zadorozny does have, that
7 is spina bifida, spondylolisthesis, and I think
8 -- did you say retrololisthesis?

9 A. A little bit on one X-ray. I mean,
10 that is a trivial change. That is of no
11 significance really.

12

13 /spondylolisthesis you say are things he was
14 born with?

15 A. Yes.

16 MR. PARIS: Objection.

17 Q. Doctor, was Mr. Zadorozny born with
18 spondylolisthesis and spina bifida?

19 A. They are what we call congenital
20 changes, yes.

21 Q. Was there any evidence of herniated
22 disk?

23 A. No.

24 Q. Was there any evidence, based upon
25 your review of both the medical records and the

1 various film, of any condition that with
2 reasonable medical certainty could have been
3 aggravated by the incident that's been alleged
4 in this case?

5 MR. PARIS: Objection.

6 A. No.

7 Q. Doctor, do you see any evidence
8 whatsoever, taking into account all of the
9 medical records that you reviewed from 1977
10 through 1988, and all of the film that you
11 reviewed covering a span of those same dates,
12 that show any significant medical change in the
13 condition of Mr. Zadorozny's back?

14 A. No, I do not.

15 Q. Do you see any evidence of any
16 condition that is primarily due to natural
17 deterioration of his spine?

18 MR. PARIS: Objection.

19 A. One can see some, you know, very
20 minor bony changes. If one wants to call that
21 natural, I suppose it is. But that's all that
22 one can see. There is just nothing else to see
23 on these studies.

24 MS. SPURGEON: Okay. Thank you.
25 You may inquire, Mr. Paris.

1 MR. PARIS: Off the record.

2 MR. McGUIRE: The time is 6:00, we
3 are going off the record. .

4 (Discussion off the record.)

5 MR. McGUIRE: It is 6:02, we are
6 back on the record.

7 EXAMINATION OF MELVIN SHAFRON, M.D.

8 BY-MS. KARPINSKI:

9 Q. Doctor, just a few quick
10 questions. Could you explain to the Ladies and
11 Gentlemen of the Jury the difference between
12 objective evidence and subjective evidence?

13 A. Well, objective things are things,
14 for example, that you can see on an X-ray which
15 are above and beyond a patient's control. An
16 X-ray is a very objective way of evaluating a
17 patient.

18 There are certain things -- not
19 everything, but there are certain things that a
20 doctor does on an examination, a hands-on
21 examination, that can be objective and be
22 beyond a patient's control to alter the
23 response of a test.

24 The nerve tests that he had where
25 needles are stuck into various muscles and

1 certainly recordings are made by a physician
2 skilled in interpreting them, that is an EMG or
3 a nerve conduction test, those are objective
4 tests.

5 Subjective things are things that a
6 patient complains of.

7 Q. And what kinds of tests was
8 doctor -- pardon me -- was Mr. Zadorozny taking
9 that would have revealed objective evidence?

10 A. The X-ray examination, the various
11 X-ray examinations he had. Certainly a skilled
12 examiner with an appropriate physical
13 examination could determine things which are
14 objective and devoid of influence by the
15 patient's responses, or if there are certain
16 unusual responses that a skilled examining
17 doctor can interpret the changes to see if they
18 are correct or incorrect or appropriate for a
19 condition. And the various nerve tests. Those
20 are all objective examinations.

21 Q. And of the objective tests that you
22 saw in the records, was there any evidence one
23 way or another indicating a change in Mr.
24 Zadorozny's condition as of January 23, 1988?

25 MR. PARIS: Objection.

1 A. None that I could tell.

2 Q. Was there any -- what evidence was
3 there that he had a surgically treatable
4 condition?

5 A. I don't think there was any
6 evidence that he had a surgically treatable
7 condition.

8 MS. KARPINSKI: I have no more
9 questions.

10 MR. PARIS: Off the record.

11 MR. McGUIRE: It is 6:04, we are
12 going off the record. We are off the record.

13 (Discussion off the record.)

14 MR. McGUIRE: The time is 6:05, we
15 are back on the record.

16 EXAMINATION OF MELVIN SHAFRON

17 BY-MR. PARIS:

18 Q. Thank you. Doctor, my name is
19 David Paris, and I represent Harry Zadorozny.

20 I take it that you were careful in
21 reviewing all of the medical records that you
22 discussed for the Jury earlier?

23 A. I felt I was, yes.

24 Q. Okay. And I take it that you are
25 charging -- you have charged a fee for the

1 review of those records and films?

2 A. Absolutely.

3 Q. And what was that fee?

4 A I honestly don't know. Miss
5 Spurgeon might.

6 Q What were your --

7 A Maybe 100 or \$150. I don't know.
8 I really don't know.

9 Q Okay. And did you prepare a fee
10 for the dictation and submission of your
11 report?

12 A That was part and parcel.

13 Q All part of it?

14 A. Yes.

15 Q And, of course, you are charging a
16 fee for your deposition this evening --

17 A Absolutely.

18 Q. - for your time?

19 A. Yes.

20 Q And what are your charges in that
21 regard?

22 A I charge by the hour. I charge at
23 east \$200 an hour.

24 Q And just to clarify for the Ladies
25 nd Gentlemen of the Jury, the purpose of your

1 involvement in this case is not for the purpose
2 of treating Harry, but rather to be in a
3 position to render an opinion and to testify,
4 if necessary, on behalf of National Gypsum
5 Corporation?

6 A. That is absolutely --

7 MS. KARPINSKI: Objection. *u/d idu*

8 A. That is correct, sir.

9 Q. And/or the Bureau of Workers'
10 Compensation?

11 A. I have no idea. I don't know whom
12 Miss Spurgeon represents. I sent her a report,
13 and I don't know.

14 Q. She retained you?

15 A. Yes.

16 MS. KARPINSKI: Objection. *u/d idu*

17 A. So whom she represents
18 specifically, I don't know.

19 Q. All right. And I take it you have
20 performed this task in the past for other
21 defense counsel, insurance companies, and
22 employers in a Workers' Compensation context?

23 A. Gee. I am not sure about that, but
24 I certainly have seen patients for both
25 defense, as you mentioned, and plaintiff,

1 including people from your office. Sure.

2 Q. And with regard to the voluminous
3 medical records and films that you have
4 reviewed? can we agree that the information
5 contained therein is important in determining
6 Harry's claimed injury as to whether it was
7 (caused by an event at work on January 23,
8 1988?

9 A. Sure. Sure.

10 Q. All right. And in Dr. Biscup's
11 reports and the Lorain Community Hospital
12 records of February 1, 1988 and May 18, 1988
13 you are aware that Harry gave a history that on
14 January 23, 1988 while loading a belt onto or
15 off of a conveyor line he hurt his low back?

16 A. That's what he said.

17 MS. SPURGEON: Objection. *- withdraw*

18 Q. And developed pain and numbness
19 radiating down his left leg and left foot?

20 A. I don't know how one could say that
21 this was any different from what he had before,
22 but I can't say that, but that's what he said.
23 You know, I can't dispute that. That's what's
24 recorded.

25 Q. I'm sorry, I wasn't sure if the

1 first part of your answer was responsive to my
2 question.

3 A. I don't know. I don't know whether
4 this is new or not, but that's what was
5 recorded in the records, and there is no way I
6 could say anything about that.

7 Q. All right. I just want to make
8 sure that you did read in those records --

9 A. Sure, sure.

10 Q. -- after January 23 of 88 Harry
11 gave that history and he gave a history and
12 complained of low back pain with pain going
13 down his left leg?

14 A. That's what he said.

15 Q. And numbness into the left foot?

16 A. That's what he said.

17 Q. All right. Were you given Harry's
18 deposition testimony before your deposition
19 today --

20 A. No, sir.

21 Q. -- wherein he said that he was
22 pulling and jerking a two-by-four to his left
23 to get a large conveyor belt off when he
24 suddenly developed a .severe low back pain and
25 pain down his left leg?

1 MS. SPURGEON: Objection to the
2 question. It is hearsay, and the doctor has
3 already said that he didn't see it.

4 A I have not seen the deposition.

5 Q All right. Has anybody described
6 the mechanism of Harry's injury to you?

7 A. No.

8 Q I would like to ask you to assume
9 that that is the mechanism of Harry's injury
10 for purposes of the remainder of this
11 deposition.

12 A. Sure.

13 Q Would you agree with me, doctor,
14 that this type of activity is capable of
15 producing a herniated disk?

16 A I don't know whether it is or not.

17 That is a question -- that is a question
18 like -- that's like asking me a question, if I
19 plant a seed will a vegetable grow? There is
20 no way that anybody can answer a question like
21 that.

22 Q Let me ask you this, doctor.

23 A I can't answer that.

24 Q Have you treated or evaluated
25 patients such as railroaders who have sustained

1 similar herniated disks from pulling and
2 jerking on frozen brake switches?

3 A. Absolutely, because I evaluated a
4 patient for one of your associates who got an
5 acute herniated disk after doing this,
6 absolutely, but he had a well defined herniated
7 disk, it was clear-cut, and I operated on him
8 for it.

9 Q. Okay. But the mechanism *of* injury,
10 the pulling and the tugging and the jerking --

11 A. It is possible. Sure.

12 Q. Okay. All right. And in many such
13 individuals who have that type of surgery they
14 cannot be certified to return to work,
15 depending on the type of physical activity they
16 are involved in?

17 A. That, you know, that's -- again,
18 that's a question I can't answer, because most
19 of the patients I operate on can go back to
20 work. This patient that you are referring to,
21 and you know who it is, they had other problems
22 besides a low back problem in that particular
23 accident.

24 Q. All right. Could you describe to
25 the Ladies and Gentlemen -- by the way, doctor,

1 do you have any models of the low back? I did
2 not bring a model.

3 A. Not really a model. I've got a
4 poster.

5 Q. A poster?

6 A. It might not be what you are
7 looking for, but it might be.

8 Q. It may be instructive for the Jury.

9 A. Sure.

10 MR. PARIS: Can we go off the
11 record, please?

12 MR. McGUIRE: Let's go off the
13 record.

14 (Discussion off **the** record.)

15 MR. McGUIRE: It is 6:12, we are
16 back on the record.

17 THE WITNESS: Excuse me one second.

18 Let me just put this up higher so that --

19 Q. You have in front of you an
20 illustration, doctor, that depicts the human
21 spine?

22 A. Yeah. It is a reasonable
23 depiction.

24 Q. And on that, would you select one
25 area that you feel is best descriptive of the

1 lumbar vertebral bodies?

2 A. These are the ones, 5, 4, 3, 2,
3 1. These are the lumbar vertebral bodies
4 right here.

5 Q. And is there a portion of the
6 illustration that depicts the nerve roots and
7 the cord area?

8 A. Well, there is no spinal cord
9 here.

10 Q. All right.

11 A. One can see not a very good
12 depiction, but a depiction of the nerves
13 leaving the spine through a hole or space
14 that's called the intervertebral foramen in
15 these areas here.

16 Q. How about in the lower left
17 segment? Is that a good --

18 A. Here -- those I think -- those are
19 really not nerve roots. I am not sure --
20 these -- it is done for a little different
21 purpose. It may be a depiction of the same
22 thing, but I don't think so. These are
23 paraspinal nerves really or parts of the nerves
24 as they pass through the foramen.

25 Q. All right. And the material

1 between those vertebral blocks, what is that?

2 A. These right here?

3 Q. Yes, sir.

4 A. That's a pictorial representation
5 of a thing that we call a disk.

6 Q. Can you briefly discuss for the
7 /Jury and help us understand the relationship
8 between the -- the relationship and the purpose
9 of the disks and the vertebral bodies and how
10 they interact with the nerve roots?

11 A. Well, the vertebral bodies
12 obviously -- that is the bones of the spine --
13 are obviously the supporting structures of our
14 entire body. They are held together by a
15 variety of ligaments in a very complex fashion.

16 In between each adjacent vertebra
17 there is a structure called the intervertebral
18 disk, which again is a very complicated
19 structure, comprised of various ligamentous
20 structures which support it, what we call
21 anterior and posterior ligaments. And there is
22 in addition to that an annular ligament. And
23 inside of all of this stuff is a material that
24 we call the nucleus pulposus, which is sort of
25 a spongy gelatinous material that lies in a

1 disk space.

2 And if one looks at this blue
3 diagram here, the yellowish portion here is the
4 central portion that's called the nucleus
5 pulposus, which people sort of glibly say is
6 the herniated -- is the disk, but in reality
7 the entire thing is a disk, and a lot of the
8 disk is really made up of the structures that
9 we call annulus or ligamentous, ligamentous
10 apparatus. So that the disk is a very
11 complicated thing, and it lies in between
12 adjacent vertebrae.

13 It allows us certain degrees of
14 movement between adjacent vertebrae and perhaps
15 has, you know, people call them shock
16 absorbers. To some extent I would suppose they
17 behave in that way. But there are a number of
18 complex functions that a disk has.

19 Q. And the nerve roots that come out
20 of the sides of the foraminal openings are -- I
21 am not sure if I am misquoting you --

22 A. That's good, sure. These are the
23 nerves here, and they sort of leave the spine
24 not only in the low back but in what we call
25 the thoracic part of the spine and that part of

1 the spine in the neck that we call the cervical
2 spine.

3 Q. And where do those -- the lumbar
4 nerve roots go?

5 A. They form complex structures called
6 the lumbar plexus and eventually they supply
7 each lower extremity and other parts of the
8 lower half of our body with -- supply us with
9 the ability to do certain things like move a
10 leg, move a toe. They even more complicatedly
11 are related to the ability to pass water, to
12 have a bowel movement, to have an erection in
13 the case of a male, to perceive things like
14 sensation of various kinds in our feet, legs,
15 lower part of our body.

16 Q. All right. And I take it that at
17 each level of the lower back, let's say the
18 lumbar spine and the nerve roots that come out
19 of each level there, can affect different parts
20 of the lower half of the body?

21 A. Sure.

22 Q. For instance, if there is an
23 abnormality of the nerve roots at L1, the first
24 lumbar vertebra, you would expect to see what
25 type of symptoms?

1 A. It is extremely rare, I am not sure
2 if I have ever seen an L1 herniated disk, but
3 it is conceivable that if a patient had one the
4 major manifestation of that would be pain in
5 the lower abdomen on one side or the other.

6 Q. And at L2?

7 A. Pain in the groin, sometimes pain
8 in the thigh, and the distribution of pain
9 would be toward the groin, the front of the
10 thigh, and if the patient were to have a
11 problem of weakness it would be related to the
12 patient's ability to bring the leg up like
13 this. That's also extremely rare.

14 Q. And 3?

15 A. L3 the pain would also be in the
16 anterior thigh, occasionally patients will
17 complain of pins and needles or funny feelings
18 down the shin, one side or the other. You
19 never-- you very rarely see this bilateral.

20 Patients often but not invariably
21 will have an altered or absent reflex here at
22 the knee. And if they have any altered
23 sensation it will be in the anterior thigh to
24 the knee. They may have weakness or they may
25 not have weakness. If they do display weakness

1 it will involve the ability to straighten the
2 **leg** out, that is extend, what we call extend
3 the knee, or one can have weakness of what's
4 called the hip flexor group muscles again.

5 Q. Doctor, can we agree that symptoms
6 of low back pain and pain and numbness down the
7 left leg, the anterior of the thigh down to the
8 knee and into the foot, as well as a positive
9 straight **leg** raising at 65 degrees on the left,
10 can be consistent symptoms of a compression of
11 the nerve roots at L3-L4?

12 MS. SPURGEON: Objection to the
13 form of the question. You are asking the
14 doctor to speculate about facts that aren't in
15 evidence here. *Widman*

16 MR. PARIS: Let's go off the record
17 one minute. *et*

18 MR. McGUIRE: All right. We are
19 going off the record.

20 (Discussion off the record.)

21 MR. McGUIRE: It is 6:19, we are
22 back on the record.

23 Q. There are certain symptoms which
24 can be consistent with nerve root impingement
25 at L3-L4?

1 A. Sure, sure.

2 MS. KARPINSKI: Objection. -- *W's can*

3 Q. And you would agree with me, would
4 you not, that low back pain with pain radiating
5 down the anterior left thigh to the knee and
6 even into certain aspects of the left foot, as
7 well as positive straight leg raising at 65
8 degrees on the left, can be consistent?

9 A. No, they can't be.

10 MS. SPURGEON: Objection, *- w/den*

11 A. They cannot be.

12 Q. They cannot be?

13 A. That is correct, because patients
14 with lesions at L3-4 don't have pain down the
15 foot and they have normal straight leg
16 raising.

17 Q. All right. And what about with a
18 left-sided herniated disk at L3-L4?

19 A. Same thing.

20 Q. Impossible, right?

21 A. Well, let's speak within the
22 realm -- nothing in God's earth is impossible.
23 Within the realm of reasonable probability.

24 Q. Okay.

25 A. Patients with lesions at L3-L4

1 don't have foot pain, and patients with
2 herniated disks at **L3-L4** don't have positive
3 straight leg raising.

4 Q. Do they have altered sensation in
5 those areas?

6 A. They certainly can.

7 Q. Okay. Well, let's talk about
8 altered sensation then.

9 A. Sure, sure.

10 Q. Would you agree then, doctor, that
11 left leg numbness of the anterior thigh, the
12 knee, and certain aspects of the foot --

13 A. No.

14 Q. What part do you disagree with,
15 doctor?

16 A. The foot. No way.

17 Q. There are no symptoms related to
18 L3-4?

19 A. It would be very unusual in my
20 experience. The answer to that is there would
21 be none related to the foot.

22 Q. Okay. Now, doctor, would you agree
23 that a herniated disk is a specific condition
24 that is different than a back strain?

25 A. Oh, sure.

1 Q. Would you agree that a herniated
2 disk is a specific condition that is different
3 than spondylolisthesis?

4 A. Absolutely.

5 Q. And would you agree that a
6 (herniated disk is a specific condition that is
7 different than spina bifida?

8 A. Yes. Absolutely.

9 Q. Now, it would also be important to
10 you in your analysis to know whether or not Mr.
11 Zadorozny had any prior problems with pain
12 going down his left leg, numbness down his left
13 leg, or any altered sensation down his left leg
14 on occasions prior to January of 88? Would
15 that be important?

16 A. Can I answer your question?

17 Q. Yes.

18

19

20

21

22

23 whether he had them before or had them after
24 that. I really don't know. I can't answer
25 that.

1 Q. Well, it is kind of important to my
2 cross-examination,

3 A Sure. Well, if you'd like me to
4 stop --

5 Q So if you would bear with me then,
6 doctor --

7 A. Okay.

8 Q -- perhaps we could just take some
9 of the records which I feel are important.

10 A Sure

11 Q And I will begin with if you can
12 pull out -- since you have your stack right
13 there --

14 A Sure. Which one would you like?

15 Q Let's start with December 8 of 82,
16 Lorain Community Hospital.

17 A. Okay.

18 Q Do you have the consultation
19 report?

20 A I am sure it's there somewhere.

21 Q Okay. Well --

22 A Do you want to just hand it?
23 Okay.

24 Q. All right. In the first paragraph
25 do you see a specific notation that Mr.

1 Zadorozny did not have any leg pain radiating
2 **down** his leg?

3 A. That's what it said in 1982.

4 Q. All right. And his EMG, the nerve
5 studies were normal?

6 A. That is correct, but, you know,
7 here is -- let me finish -- I mean, you know --

8 Q. You are not responding to a
9 question.

10 A. Okay.

11 MS. SPURGEON: He's entitled to
12 explain his reasons.

13 Q. Would you agree with me, doctor,
14 that the neurological examination in the
15 following paragraph was normal?

16 A. Well, I am not sure, because the
17 doctor said that in the lower limbs knee jerks
18 were difficult to obtain on either side and the
19 ankle jerks were fairly normal.

20 Now, I don't understand why. The
21 doctor came to a possible conclusion after
22 that.

23 Q. The possibility -- I understand the
24 term possibility..

25 A. Yes. So the examination is -- I am

1 trying to interpret what this doctor recorded
2 **as** his examination. And he described something
3 about the fact that this patient doesn't have
4 ankle jerks or knee jerks that are easily
5 /obtained, and that is not normal. And he also
6 describes -- well, he described -- I am not
7 sure whether or not -- what he relates to as a
8 straight leg raising test **up** to 75 degrees. I
9 am not quite sure what he means by that.

10 But the thing that -- he does
11 describe that the patient had knee jerks which
12 were difficult to obtain on either side
13 compared to the other reflexes. That can be of
14 significance. And that can be of significance
15 with respect to a problem at L3-4.

16 Q. Absolutely. And the myelogram that
17 was done four weeks later was absolutely
18 negative as it relates to L3-4?

19 A. As far as I can tell.

20 Q. Okay. So the man was not having
21 any complaints of radiating pain at that time?

22 A. Not as far as that one record
23 states. That is correct, sir.

24 Q. And when I say radiating pain, I
25 mean pain starting from his low back and going

1 into one or the other legs or both?

2 A. That record does not indicate
3 that.

4 Q. All right. Now, if we can move
5 forward from 1982 and go to Lorain Community
6 Hospital on May 9 of 1984. I believe you had
7 an opportunity to review those records, as
8 well?

A. Sure. Sure.

10 Q. And specifically when he was
11 evaluated for the -- his musculoskeletal --

12 A. Wait one moment.

13 Q. -- on the musculoskeletal exam, did
14 the patient deny having any pain going down
15 either leg?

16 A. I am not sure whose this is,
17 whether this is a nurse's evaluation or not,
18 but --

19 Q. But is there a history --

20 A. Just a minute. Pain is
21 nonradiating, it says.

22 Q. All right.

23 A. That's what it says.

24 Q. In the context of what? .

25 A. Musculoskeletal.

1 Q. Thank you. And --

2 A. It is recorded by a nurse
3 practitioner.

4 Q. All right. Certainly you believe
5 that nurse practitioners are capable of taking
6 an accurate history from a patient?

7 A. Some are and some aren't. Just the
8 same with doctors, some are and some aren't.

9 Q. All right. And you, of course,
10 reviewed Dr. Biscup's initial evaluation on
11 January 21, 1988?

12 A. Sure, I looked at it a long time
13 ago. Sure.

14 Q. Well, would you pull that out to
15 refresh your memory?

16 A. Okay. Which one, what was the date
17 of that?

18 Q. January 21.

19 A. What year?

20 Q. 1988.

21 A. I have to see if I have it here.

22 Q. If not --

23 A. You have it. You have them all.

24 Q. I have it, but it's not so hard to
25 get to.

1 Do you see that Dr. Biscup
2 performed a physical examination?

3 A. Uh-huh.

4 Q. And at that time there was a
5 negative straight leg raising test?

6 A. That's what he has. That's what he
7 says.

8 Q. All right. The reflexes were
9 present and equal bilaterally?

10 A. That's what he says.

11 Q. He had a negative bow string?

12 A. I don't know what that is.

13 Q. All right. You don't recognize
14 that as any type of a --

15 A. No, no. I am sure it is, but I
16 don't know what it is.

17 Q. His neurological examination
18 apparently was within normal limits?

19 A. I assume he was, sure.

20 Q. Okay. The straight leg raising is
21 a test normally done in conjunction with
22 looking for disk involvement or nerve
23 involvement?

24 A. Nerve compression with certain
25 problems, yes.

1 Q. Okay. Now, after January 23, 1988
2 have you reviewed the records indicating that
3 Mr. Zadorozny did have complaints of pain
4 radiating down his **legs** and altered sensation
5 or numbness down his legs?

6 A. Well, let me just look at this.

7 Q. And I make specific reference, we
8 can start with the February 1, 19- --

9 A. Well, this is what he says.

10 Q. Who?

11 A. Dr. Biscup.

12 Q. What date are we on?

13 A. 2-9-88.

14 Q. 2-9?

15 A. That's after his accident. And if
16 you can understand it, fine. I really don't.
17 Okay?

18 Q. Well --

19 A. But this is, you know --

20 Q. All right. Go ahead, doctor.

21 A. It says, "Harry is here for
22 follow-up examination. At this point the
23 problem was reviewed with him. We have
24 determined that he has a painful
25 spondylolisthesis at L5-S1 with bilateral

1 radiculopathy.

2 I don't know how he said that, but
3 that's what he said.

4 He also demonstrated a focal
5 stenosis with a midline disk protrusion at L3-4
6 but was not painful on diskography. And I
7 don't know how he says that, either.

8 And then he says, at this point
9 options were reviewed with him. My
10 recommendations would be to consider surgical
11 intervention for decompression, body fusion,
12 internal stabilization, and bilateral lateral
13 fusion, and simple -- at L5-S1, and simple
14 decompression at L3-4, which is sort of like
15 killing a mosquito with a hydrogen weapon, but
16 that's what he recommended.

17 Q. Well, doctor, my initial question
18 was this --

19 A. Yes.

20 Q. -- and I would appreciate a
21 response to that question.

22 A. Well, I am trying to answer it.

23 Q. My question to **you** is, after
24 January 23, 1988, did you review the records
25 which demonstrate or disclose that Harry

1 Zadorozny not only had low back pain but pain
2 going down his left leg, including numbness in
3 his left leg and altered sensation?

4 A. Well, I don't --

5 Q. Did you review those records?

6 A. Well, wait a minute. Let me
7 finish. I don't see that in Dr. Biscup's
8 office notes at the time he saw the gentleman.

9 Q. Then, doctor, let me show you --

10 A. I have to look at something else
11 then.

12 Q. Let me show you February 1, 1988,
13 the records from Lorain Community Hospital.

14 A. Sure. Sure.

15 Q. Did you review that record, doctor?

16 A. Uh-huh, yep.

17 Q. And --

18 A. This is a -- I don't know who took
19 this history. It is done in the X-ray
20 department, which is part of the routine that
21 they go through when they do a test like this
22 gentleman had called myelogram. It says, "Low
23 back pain radiating to left leg, numbness in
24 left leg and radiating to the left foot."

25 That's what's recorded here.

1 Q. Okay. And that is apparently the
2 history that was obtained from the patient; is
3 that right?

4 A. By someone, yes, that is correct.

5 Q. Okay. Thank you, doctor.

6 MS. KARPINSKI: Excuse me. May I
7 know what date that was? I didn't hear it.

8 MR. PARIS: February 1, 1988,
9 counsel.

10 Q. Let me see if I can pull out one
11 other record, doctor. Bear with me.

12 A. Fine. I'm at your pleasure, sir.

13 Q. Have you also had an opportunity,
14 doctor, to review the May, 1988 Lutheran
15 medical records? Do you have those?

16 A. Yes. Uh-huh. Sure.

17 Q. And do you see a history given from
18 the patient where he has low back pain
19 radiating down his left leg?

20 A. That's not a history. That's a
21 face sheet.

22 Q. I'm sorry, I --

23 A. This is an evaluation by the
24 department. I don't know who wrote this, I
25 can't answer who wrote this, but -- I am not

1 quite sure where I see that, sir.

2 Q. Presenting problem.

3 A. Oh, I'm sorry. Back pain with
4 radiation to left leg.

5 Q. Okay.

6 A. Uh-huh, yeah.

7 Q. And that was a complaint that was
8 made there right before he had his surgery; is
9 that right?

10 A. That was something that was told to
11 the anesthetist or anesthesiologist, yes.

12 Q. All right. Please forgive me for
13 fumbling here.

14 A. No problem.

15 Q. Now, in this case, as I understand
16 your testimony, you don't believe that Harry
17 sustained a herniated disk at L3-L4?

18 A. Absolutely not.

19 Q. Did you review the diskograms?

20 A. I told you, I don't ever have
21 diskograms performed on my patients because I
22 think there are studies -- I didn't review
23 them, I can't make any comment about them.

24 Q. Okay. Do you have -- I read your
25 report.

1 A. Uh-huh.

2 Q. And do I understand that you cannot
3 interpret the diskogram?

4 A. I wouldn't know how to do it. ✓

5 Q. Okay.

6 A. And I wouldn't make any comment
7 about it, because it is not a test that I ever
8 have done on any patients. I don't think they
9 are necessary.

10 Q. All right. Is a diskogram --
11 whether you think it is necessary or not or
12 whether you agree with the utility of the
13 procedure -- an accurate diagnostic tool?

14 A. No. That's been well described,
15 and I can't go into all the reasons for it.
16 And it is not done at any major institution in
17 this city except at Lutheran Hospital. I know
18 that. It is not done at the Cleveland Clinic,
19 it is not done at the University Hospitals, it
20 is not done at Mt. Sinai where I work, and it
21 is not done at St. Luke's.

22 Q. Is it done by colleagues of yours
23 in this community?

24 A. Only one that I know of.

25 Q. That would be Dr. Collis?

1 A. That would be Dr. Collis.

2 Q. John Collis, the neurosurgeon?

3 A. Yes. He is the only one that I
4 know of.

5 Q. All right. And apparently you have
6 a -- you disagree with the use of that
7 procedure?

8 A. It is of no diag- -- we can do
9 things even without invading the patient's body
10 with a needle that can tell us anything that a
11 diskogram can tell us, so I don't think that it
12 is appropriate to have needles put in the ✓
13 patients when we don't have to.

14 Q. I take it then you do not disagree
15 with the radiologist who interpreted **the**
16 diskogram?

17 A. I can't -- look. I am telling you,
18 I don't know how to interpret them. There is
19 no way I can disagree with it, because I don't
20 know.

21 Q. Is Dr. Biscup a physician in good
22 standing **and** with a good reputation in this
23 community?

24 A. I don't know. I don't know the
25 gentleman at all, sir. I really don't know.

1 Q. Are you suggesting to this Jury,
2 doctor,. that Dr. Biscup performed unnecessary
3 surgery on Harry?

4 A. I'm saying that I don't see any
5 reason for his having had this kind of surgery
6 done. And that's not for me to decide.

7 In other words, I don't see
8 anything to be therapeutically gained by doing
9 the kind of operation that he did, or any
10 operation.

11 Q. I'm sorry, doctor. I thought that
12 you -- it was your opinion that there was an
13 honest difference of opinion between yourself
14 and Dr. Biscup.

15 A. I don't know the man. I don't know
16 the man. I am not trying to accuse him of
17 anything. All I am saying is that I don't see
18 any reason for this kind of surgery.

19 Q. You would not have done the
20 surgery?

21 A. Absolutely not.

22 Q. You are not criticizing Dr. Biscup
23 or suggesting to this Jury that he did
24 unnecessary surgery, are .you?

25 A. Well, I don't see any reason for

1 the surgery. You can interpret my statement
2 any way you want to.

3 Q. Okay.

4 MR. PARIS: Off the record.

5 MR. McGUIRE: Okay. It is 6:35, we
6 are going off the record,

7 (Discussion off the record.)

8 Q. And if I understand your response
9 to Miss Spurgeon's question, you do not have an
10 opinion based upon reasonable medical certainty
11 as to the cause of Harry's low back pain that
12 radiated into his left leg and the altered
13 sensation down his left leg after January 23,
14 1988 --

15 A. First of all --

16 Q. Doctor, you have to let me finish
17 my question.

18 A. I'm sorry, excuse me.

19 Q. Doctor --

20 A. I'm sorry. Excuse me.

21 Q. Let me rephrase the question.

22 A. You don't have to.

23 Q. Or restate it.

24 A. Okay. .

25 Q. If I understand your testimony in

1 response to Roberta Spurgeon's questions, you
2 do not have an opinion based upon reasonable
3 medical certainty as to the cause of Harry's
4 low back pain that went down his left leg and
5 the altered sensation down his left leg which
6 occurred after January 23, 1988; is that
7 correct?

8 A. No way. That is correct.

9 MR. PARIS: Thank you very much,
10 doctor.

11 MS. SPURGEON: Just a couple of
12 redirects.

13 EXAMINATION OF MELVIN SHAFRON, M.D.

14 BY-MS. SPURGEON:

15 Q. Doctor, I want to be sure I
16 understand your testimony, too. Now, Mr. Paris
17 asked you a number of questions about
18 'possibilities, and a number of those
19 'possibilities had to do with questions about
20 herniated disks. Do you have any opinion with
21 reasonable medical certainty as to whether or
22 not Harry Zadorozny has suffered from a
23 herniated disk?

24 A. I do have an opinion.

25 Q. And what is that opinion?

1 A. That there is no evidence that he
2 had a herniated lumbar disk.

3 Q. When Mr. Paris was asking you about
4 the consultation note, the December, 1982
5 consultation note which I believe was prepared
6 at Lorain Community Hospital by a Dr. Fernando,
7 there was something that you started to
8 explain, and Mr. Paris interrupted you.

9 MR. PARIS: Objection.

10 Q. Is there anything --

11 MR. PARIS: Don't mischaracterize
12 that.

13 Q. All right. Let me ask it another
14 way.

15 Is there anything further that you
16 would like to explain about that December, 1982
17 consultation?

18 A. No, not really, no, except that
19 sometimes it is very hard to interpret things
20 that you see written in a hospital record. And
21 the asked me basically was that examination
22 normal. And if you were to be very objective
23 in interpreting the results of the examination
24 the doctor on the day he examined the patient
25 found that all of the reflexes were easily

obtained on this patient except for the ones at
2 the knees, which is -- and one can see an
3 altered knee jerk or a knee response with an
4 L3-4 disk, with a herniated disk at L3-4, as
5 with other things, too. You can see it with
6 other things, but that's one of the causes of
7 it.

And he didn't come to any
9 conclusions, but he did mention something about
10 L3-4 nerve problems, but he couldn't be sure
11 because the patient basically was complaining
12 of nothing except for the things that he **was**
13 **complaining** of for many, many years, that is
14 **backache**.

And you can't make a diagnosis of a
15 herniated disk unless patients really have leg
16 pain. That's the only way that a doctor **as** he
17 sits and talks to the patient can say, gee
18 whiz, **you** might have a herniated disk because
19 **you have this** pain going down your leg.

Now, doctor, you reviewed X-rays
21 and other film both before and after Mr.
22 Zadorozny's surgery that Dr. Biscup performed.
23 Is that correct?
24

25 A. Yes.

1 Q. Is there any evidence whatsoever on
2 any of that film before or after to show that
3 there was a herniated disk?

4 A. None whatsoever.

5 MR. PARIS: Objection.

6 A. In fact, in all honesty, that was
7 not his preoperative diagnosis even at the time
8 he operated on the patient.

9 MR. PARIS: Move to strike.

10 Q. I want to ask you just a couple of
11 questions about diskograms.

12 You said you don't use them?

13 A. I don't.

14 Q. And you don't interpret them?

15 A. That is correct.

16 Q. Why do you not use them?

17 A. Because there are -- there is a lot
18 of question about the reliability of
19 diskography. We have other means, we have a
20 very simple means of telling whether or not a
21 patient has a herniated disk or degenerated
22 disk that don't require sticking needles into
23 patients' spines of any kind.

24 Q. And were those other reliable means
25 done with Mr. Zadorozny?

1 A. No, no.

2 Q. What are those other reliable
3 means?

4 A. MRI scan. An MRI scan, which is
5 not an X-ray, but it is a scan obtained by
6 placing a patient in a machine that has a
7 magnetic field around it. It is very
8 complicated, and I wouldn't know how to really
9 begin to explain it to you except that once
10 this magnetic force is applied to the patient
11 certain constituents of our bodies respond in a
12 certain way to this magnetic field, and the way
13 they respond is picked up by a device called a
14 coil, which in turn sends all of this
15 information to a computer, and low and behold
16 the computer figures things out and hands us
17 something on that sheet of X-ray film which
18 depicts various body parts. You can study the
19 brain, the spine, the abdomen. A lot of things
20 can be studies.

21 Q. Are you saying that the MRI scan
22 when is more reliable than a diskogram?

23 A. Oh, you can -- absolutely. It is a
24 very reliable examination. I mean, it could
25 tell you the same thing. I mean, there is very

1 little that a diskogram can tell you that a
2 myelogram and CAT scan and MRI scan can't tell
3 you. In other words, there is nothing
4 basically. And because of this I have never --
5 because I have a feeling, and this is that when
6 doctors do diskography they are looking for a
7 reason to operate on somebody, they are trying
8 to look for an answer. But if you can't see
9 the things that you think you want to see with
10 a diagnostic study, that's the end of it.

11 And diskography, as I said, is not
12 done by anybody in this community anymore
13 except one place. And, you know, I am sure
14 that the institutions that I mentioned probably
15 do a lot more spinal surgery than I do,
16 although I do probably 150 spinal operations a
17 year. I am sure that the University Hospitals
18 -- and I am on the staff there, and I go to
19 meetings there every week, and I know it's not
20 done there, and I know it's not done at the
21 Cleveland Clinic because we have a resident in
22 training from the Cleveland Clinic who spends
23 six months at a time at Mt. Sinai, and I know
24 what they do there, and I know how they handle
25 certain things. They are just not done. There

1 are other ways to get the same information.

2 Q. When you say that a diskogram is an
3 invasive procedure, what do you mean by that?

4 A. The patient has to have a needle
5 placed in him, and it is placed through the
6 soft tissues of the muscles in the back and has
7 to be properly placed into the disk space, into
8 the disk itself, so you violate the disk itself
9 with a small needle, then you inject a dye.
10 And there are things you look for.

11 It was thought, it was reported
12 many years ago that if you could reproduce the
13 patient's pain that's abnormal. In other
14 words, as reported here, that just causing
15 backache -- anybody will get a backache when
16 you put a needle in their disk when you inject
17 this dye. Well, some people thought that if
18 you injected the dye and if they had, let's
19 say, instead of not only backache, if they had
20 leg pain, that would be very significant. But
21 the significance of these things was just not
22 borne out by the experience of those doctors
23 who pioneered with use of this examination over
24 the years. It just hasn't been borne out.

25 Q. Are there any hazards associated

1 with doing a diskogram?

2 MR. PARIS: Objection.

3 A. Sure, sure there are.

4 ~~Q.~~ And what are those hazards?

5 MR. PARIS: Objection.

6 A. I guess the major hazard with a
7 diskogram of the low back would be getting a
8
9 diskogram.

10 MR. PARIS: Move to strike.

11 Q. Now, when you say an MRI scan is
12 more accurate and more reliable and that it's
13 not invasive, you mean then that you can get
14 the same or better information without a
15 needle?

16 A. Absolutely.

17 MR. PARIS: Objection.

18 A. Absolutely.

19 Q. It is your opinion then that the
20 MR -- strike that. Let me ask it another way.

21 Would you explain to the Ladies and
22 Gentlemen of the Jury your reasons for
23 believing that an MRI scan is a superior
24 diagnostic tool to a diskogram?
25

1 ~~my opinions~~, those are the opinions of
2 radiologists and ~~neurosurgeons~~ and orthopedists
3 all over the country, perhaps even all over the
4 world.

5 MR. PARIS: Objection. **Move to**
6 **strike.**

7 A. You can, for example, by the signal
8 that one gets when you look at a film on a
9 patient who has had an MRI scan of the low
10 spine you can tell, we think, or at least the
11 ~~authorities~~ in the field say that if there is
12 an altered signal that one can infer that a
13 patient has disk degeneration. In other words,
14 you can see that on an X-ray.

15 And, of course, disk degeneration
16 is a very nebulous kind of term, because there
17 are literally millions of patients who have
18 disk degeneration with no complaints with
19 reference to the low back. It is a meaningless
20 kind of thing, and it's been well documented.
21 And if you take groups of patients who are
22 totally asymptomatic with respect to their
23 backs or their necks and put them in age
24 categories, as one gets older you are more
25 likely to find disk degeneration. And these

1 are people who are asymptomatic. This has been
2 well recorded and well described. So disk
3 degeneration of and in itself doesn't mean
4 anything.

5 But in terms of you can see
6 herniated disks, you can see many things with
7 MRI scan, and so that certainly today we do
8 fewer and fewer myelograms on patients because
9 of this. And many of the patients we see now
10 that I operate on now have as their only
11 diagnostic study an MRI scan, which is done in
12 a radiologist's office or in a hospital
13 somewhere.

14 MS. SPURGEON: Okay. Do you have
15 any?

16 MS. KARPINSKI: Yes. I have one
17 quick question.

18 EXAMINATION OF MELVIN SHAFRON, M.D.

19 BY-MS. KARPINSKI:

20 Q. You said-- you used the term
21 asymptomatic. Could you explain that to the
22 Jury?

23 A. No complaints. In other words, you
24 can have disk degeneration without question
25 with having no complaint whatsoever. And if

1 you find it in a patient who has complaints of
2 backache, that doesn't necessarily explain the
3 cause of the patient's backache.

4 Backache is a real mystery, and the
5 solutions to it are very mysterious. There are
6 no surgical solutions to patients with chronic
7 backache that have backache all their lives.
8 It just doesn't work.

9 Q. And I just wish to clarify. You
10 said before Dr. Biscup's -- you were observing
11 in Dr. Biscup's notes whether or not there was
12 any reporting in his notes alone on leg pain.
13 Would you clarify again whether you found
14 anything there?

15 A. Well, I looked at his typewritten
16 records, and I don't know whether he examined
17 the patient -- he never found any sensory loss,
18 and he never really mentioned leg pain in those
19 records that I have copies of.

20 Now, whether -- you know, he's the
21 operating surgeon. I don't know, you know,
22 whether it's there somewhere else in his
23 record, I just didn't see it.

24 MS. KARPINSKI: Thank you. That's
25 all I have.

1 **EXAMINATION OF MELVIN SHAFRON, M.D.**

2 BY-MR. PARIS:

3 Q. But, doctor, there are surgical
4 solutions to herniated disks, are there not?

5 A. Absolutely.

6 Q. And doctor, are you prepared to
7 tell this Jury that back in early **1988** the
8 Industrial Commission of Ohio recognized MRI
9 technology as no longer experimental so that
10 the thousand-dollar charges would be paid?

11 A. I don't know when, I have
12 absolutely no idea when an MRI scan was
13 recognized as being an unexperimental procedure
14 by the Industrial Commission. I just don't
15 know.

16 **MS. KARPINSKI:** Objection. *W/dia*

17 Q. And the charges are about **985, \$985**
18 per scan?

19 A. I don't know. *W/dia*

20 **MS. KARPINSKI:** Objection again.

21 A. I don't know. That's probably a
22 ballpark figure, but I don't know. I just don't
23 know.

24 Q. Do you know the charges for a
25 diskogram?

1 A. I have no idea.

2 MS. KARPINSKI: Objection.

3 MR. PARIS: Thank you, doctor.

4 MR. McGUIRE: The time is
5 6:47, we are going off.

6 (Signature waived.)

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1 CERTIFICATE

2 The State of Ohio,)

3 SS:

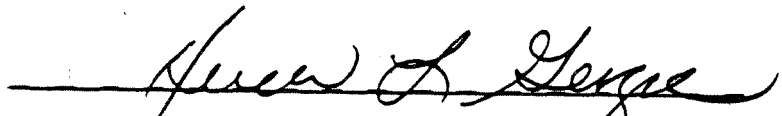
4 County of Cuyahoga.)

5
6 I, Heidi L. Geizer, a Notary Public
7 within and for the State of Ohio, duly
8 commissioned and qualified, do hereby certify
9 that the within named witness, MELVIN SHAFRON,
10 M.D., was by me first duly sworn to testify the
11 truth, the whole truth and nothing but the
12 truth in the cause aforesaid; that the
13 testimony then given by the above-referenced
14 witness **was** by me reduced to stenotypy in the
15 presence of said witness; afterwards
16 transcribed, and that the foregoing is a true
17 and correct transcription of the testimony so
18 given by the above-referenced witness.

19 I do further certify that this
20 deposition was taken at the time and place in
21 the foregoing caption specified and was
22 completed without adjournment.

1 I do further certify that I am not
2 a relative, counsel or attorney for either
3 party, or otherwise interested in the event of
4 this action.

5 IN WITNESS WHEREOF, I have hereunto
6 set my hand and affixed my seal of office at
7 Cleveland, Ohio; on this 15th day of
8 May, 1990

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10
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12
13 

14 Heidi L. Geizer, Notary Public

15 within and for the State of Ohio

16
17 My commission expires January 22, 1995.
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