

The State of Ohio,     )  
                               )   SS:  
 County of Cuyahoga.    )

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Doc. 401

IN THE COURT OF COMMON PLEAS

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JACK ALBANO,	}	
	}	
Plaintiff,	I	
vs.	}	Case No. 153043
	}	Judge Daniel O. Corrigan
HAMMOND CONSTRUCTION CO.	}	
ET AL.,	}	
Defendant.	)	

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DEPOSITION OF MELVIN SHAFRON, M.D.  
 Wednesday, February 5, 1992

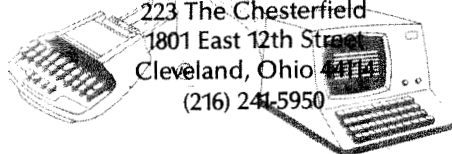
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Deposition of MELVIN SHAFRON, M.D., called by the Defendants  
 for examination under the Ohio Rules of Civil Procedure,  
 taken before me, the undersigned, Phyllis L. Englehart,  
 Notary Public in and for the State of Ohio, at the offices  
 of Dr. Melvin Shafron, 26900 Cedar Road, Beachwood, Ohio,  
 commencing at 6:05 p.m. the day and date above set forth.

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Computer-Aided Transcription by  
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2 County of Cuyahoga. ) SS:

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8 Plaintiff, )  
9 vs. ) Case No. 153043  
10 HAMMOND CONSTRUCTION COMPANY, ) Judge Daniel O.  
ET AL., ) Corrigan  
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APPEARANCES:

On behalf of the Plaintiff:

Eric Kennedy  
Henry Chamberlain  
Weisman, Goldberg, Weisman & Kaufman  
1600 Midland Building  
Cleveland, Ohio 44115

On behalf of Defendant Hammond Construction Company:

Thomas J. Cabral  
Gallagher, Sharp, Fulton & Norman  
Sixth Floor Bulkley Building  
Cleveland, Ohio 44115

On Behalf of Defendant Salvaggi & Sons:

John S. Rea  
Myers, Hentemann, Schneider & Rea  
21st Fl. Superior Building  
Cleveland, Ohio 44114

Also Present:

Dale Swazer, Video Technician

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MELVIN SHAFRON, M.D.

called by the Defendants for examination under the Ohio Rules of Civil Procedure, after having been first duly sworn, as hereinafter certified, was examined and testified as follows:

MR. CABRAL: It's 5:05 p.m. on February 5th, 1992, and we're here to take the deposition of Dr. Melvin Shafron.

DIRECT EXAMINATION

BY MR. CABRAL:

Q Good evening, Doctor.

A Good evening, sir.

Q Doctor, could you state your full name for the record, please.

A Melvin Shafron.

Q Doctor, are you a medical doctor?

A Yes.

Q I'd like to begin by going a little bit into your medical background and education. Can you tell us, please, where you obtained your undergraduate degree?

A What was then called Western Reserve University.

Q What year was that, sir?

A 1952, long time ago.

Q Thereupon did you go on to attend medical school?

1 A Yes, sir, I did.

2 Q And where was?

3 A That was at Harvard Medical School.

4 Q And when did you graduate from Harvard?

5 A 1956.

6 Q Did you undergo any specialized training or general  
7 training upon graduation from Harvard?

8 A I had a year -- I had general training first, like  
9 most everyone who did neurosurgery. I had a year  
10 of what was called an internship at University of  
11 Michigan Hospitals at Ann Arbor, went to service  
12 for two years, and I returned from the Navy in  
13 1959.

14 I had a year of training in general  
15 surgery, which was a requirement to be fulfilled  
16 before beginning neurosurgery training in 1960.  
17 And I trained in neurosurgery from 1960 to 1964 at  
18 the University Hospitals of Cleveland. And I've  
19 been in practice since that time.

20 Q Doctor, do you have a specialty?

21 A Neurosurgery or neurological surgery.

22 Q And can you explain for the jury, please, exactly  
23 what that is.

24 A Neurosurgery is that specialty of medicine that  
25 deals with the diagnosis and either the surgical or

1 nonsurgical treatment of a variety of conditions  
2 which can affect the brain, the skull, the bony  
3 spine, the disks of the spine and the various  
4 nerves of the body.  
5 Doctor, are you board certified?  
6 Yes.  
7 In what field?  
8 Neurosurgery.  
9 And when did you become board certified?  
10 1966.  
11 Doctor, are you licensed to practice in the state  
12 of Ohio?  
13 A Yes, sir.  
14 PI Q Do you hold privileges at any local hospitals?  
15 A Yes.  
16 Q Can you describe those for us, please.  
17 E? A I'm on the staff of University Hospitals, Mt. Sinai  
18 Medical Center, St. Luke's, Hillcrest and Suburban  
19 Hospitals.  
20 Q Do you hold any positions at any of these  
21 hospitals?  
22 A Yeah. I'm director of neurosurgery at Mt. Sinai.  
23 Q Doctor, have you authored any publications?  
24 A Yes.  
25 Q Can you describe some of these for us?

1       A       I've authored -- authored publications on various  
2       diagnostic techniques used to study brain tumors,  
3       treatment of certain disorders of childhood, use of  
4       certain types of skull -- use of portions of the  
5       skull to repress certain defenses of the body.

6               What else? There have been so many, I  
7       can't recall them all specifically.

8       Q       Thank you. Doctor, do you belong to any  
9       professional organizations?

10      A       Yes.

11      Q       And can you describe some of these for us, please.

12      A       I'm a member of the Ohio State Neurosurgical  
13       Association, the American Association of  
14       Neurological Surgeons, the Neurosurgical Society of  
15       America, which I've been president of, the Ohio  
16       State Medical Association, the American Medical  
17       Association and the Cuyahoga County Medical Society  
18       or the Academy of Medicine of Cleveland.

19      Q       Thank you very much, Doctor. Doctor, have you had  
20       an opportunity to examine Mr. Jack Albano?

21      A       Yes, sir, I did.

22      Q       Did you take a history at that time, Doctor?

23      A       Yes.

24      Q       Can you give us a brief rundown of what the history  
25       was at that time?

1       A       May I just read from my notes?

2       Q       Certainly.

3       A       Okay. He related to me that he was involved in an  
4       accident on October of 1986. He said that he was  
5       carrying some duct work into a building, and he  
6       slipped on some cardboard and that his foot caught  
7       on something, and he tripped and fell on the left  
8       side of his body. He said he was covered with mud.  
9       Apparently he fell in a construction site.

10               He got up immediately, told his foreman --  
11       turned out it was his father, those were his  
12       words -- that he had gotten hurt and that his  
13       complaints of pain got progressively worse. And he  
14       went to what I suspect is probably an urgent care  
15       center on Rockside Road where he had X-rays  
16       performed, was examined and allowed to go home.

17               He told me he was given medications, and  
18       he said that about a week later his soreness felt a  
19       bit better, and he tried to go back to work, but he  
20       said that in November his back pain worsened, took  
21       himself off the job, and he said that shortly  
22       afterwards he developed pain primarily on the right  
23       side, which is over the right buttock and went down  
24       the outside of the right thigh into the calf. He  
25       said that he had pain in the foot as well. He was



told that he had a slipped disk by a physician.

2 I asked him if he had any pain in any  
3 other part of his lower extremities, and he said  
4 yeah, he had some pain on the left side, but it was  
5 worse on the right side. He described that he had  
6 a cold feeling or a numb feeling in the same area  
7 of the toes and foot, that he had pain and numbness  
8 on the outside of his foot, the sole of the foot  
3 and on the instep of the foot.

10 He saw a physician at Brentwood Hospital  
11 who treated him with exercises, heat, cold,  
12 traction for two years, and then he had a myelogram  
13 and an operation in March of '89. He said the  
14 operation did not help him except that it took his  
15 immobilizing back pain away, but it didn't do  
16 anything for the leg pain. He said that his leg  
17 pain is still there.

18 He told me that he saw an orthopedic  
19 surgeon, saw several physicians other than Dr.  
20 Krahe prior to his surgery, including a doctor in  
21 Akron and an orthopedist in this community,  
22 actually in this building. He told me that he had  
23 seen no other physicians since his surgery except  
24 for the operating surgeons.

25 He denied other problems with his back

1 prior to the accident in question. He said that he  
2 may have had a couple of back strains in the early  
3 1980's but required no specific treatment. He told  
4 me that he had had an operation on his left knee  
5 about a week after a motorcycle accident in 1972 or  
6 1973 and apparently tore some ligaments or  
7 cartilage in that knee. He denied other  
8 operations, other accidents, told me that he took  
9 no medications except for a prescription medication  
10 called Darvocet, which he used occasionally for his  
11 If pain.

12 When I asked him if he could equate the  
13 severity of his leg pain with his back pain, he  
14 said that certainly before the operation his back  
15 pain was his primary complaint. Now, the operation  
16 seemed to help his backache and that his primary  
17 complaint now is leg pain.

18 He also told me that prior to his surgery  
19 he had several scans, including an MRI scan and a  
20 CT scan. He also told me that he wore what may  
21 have been a foot drop appliance after the operation  
22 for about three months.

23 And that was the sum and substance of the  
24 history that I got from the patient.

as Q Doctor, with respect to the prior back injuries

that he suffered before 1986, did he describe these  
as having any significance?

A My own interpretation of what he told me is that  
they were not of any particular significance.

Q Have you since had an opportunity to review any  
records with respect to these injuries?

A Just today for the first time.

Q Did any of these records indicate how long these  
injuries put him out of commission?

A I'm going to have to look at them very carefully  
because I've not seen them. I guess about -- I'm  
not sure whether these are estimated or true dates,  
but this note that, for example, with respect to an  
incident which occurred in 1980, that he stopped  
working on 11-23-80 and returned to work on  
12-30-80, so about five weeks.

Q Thank you, Doctor. Doctor, did you conduct an  
examination of Mr. Albano?

A Yes, sir, I did.

Q Can you describe for us, please, your physical  
findings.

A When I examined the gentleman, I noticed that he  
had a low scar -- low midline scar on the back. I  
tried to evaluate his strength. In other words,  
there are a number of ways you can evaluate the

1           presence or absence of abnormalities in the muscles  
2           of the lower extremities that relate to a problem  
3           of a herniated disk. One of these is by asking the  
4           patient to stand on their heels and toes. In other  
5           words, you can get an evaluation, true functional  
6           evaluation of the strength of these muscle groups,  
7           and it was very difficult because the patient could  
8           hardly stand on his feet or toes. In other words,  
9           there was no way I could do that.

10                   I did measure the calves of his lower  
11           extremities, and I noticed that the right calf was  
12           two centimeters, which is a little less than an  
13           inch, less in circumference than the one on the  
14           right. I looked at his buttocks very carefully.  
15           Sometimes with a herniated disk or a problem with  
16           the nerve you can see that one buttock may droop  
17           compared to the other buttock. The buttock may  
18           actually be atrophic, or thin, and I didn't notice  
19           any of this.

20                   I then looked at his back. The  
21           patient's -- the site of the patient's scar was  
22           very tender, and I didn't really go terribly far in  
23           testing his movements because he said that every  
24           movement of his low back caused him to have pain.  
25           And the purpose of my examination is not, you know,

1 not to make a patient feel uncomfortable, and I  
2 wouldn't do that with any patient. But he said  
3 every movement of his back caused him to have pain.

4 I then tested his sensation in three  
5 different ways, first by moving the patient's toes  
6 up or down with his eyes closed, which tests a  
7 certain modality of sensation or a certain type of  
8 sensation. I then tested that same type of  
9 sensation with the tuning fork, and I noticed that  
10 this was normal. I then tested sensation with  
11 using what we call a painful stimulus, it really  
12 isn't painful but it's with a pin, or a device  
13 called a pin wheel, and I noticed that when I did  
14 that that he had decreased appreciation of pin  
15 involving the entire right buttock and the entire  
16 right lower extremity from the groin down.

17 And that was -- in other words, you ask  
18 the patient do you feel this and compare right to  
19 left, and he said no, it's different for the entire  
20 right lower extremity from the groin down.

21 I then tested his straight leg raising to  
22 90 degrees, and I noticed that this maneuver did  
23 not produce leg pain; it produced back pain. I  
24 then tested his reflexes, and I noticed that I was  
25 able to test the reflexes with a rubber hammer at

1 the knees, and the reflexes there were symmetrical,  
2 and they were present. The reflexes in the ankles  
3 were absent bilaterally. I'm not sure what the  
4 significance of that was. And that there were no  
5 abnormal or pathological reflexes.

6 And that was the sum and substance of my  
7 examination.

8 Q Doctor, you indicated that the straight leg raising  
9 test caused pain in the back.

10 A Yeah.

11 Q But not pain in the legs.

12 A That is correct.

13 Q What significance is that?

14 A Well, to me it means that the patient probably  
15 doesn't have active compression of the nerve. This  
16 is what it means to me.

17 Q Doctor, over the years, have you had opportunity to  
18 examine patients complaining of back and leg pain?

19 A That's probably the most common thing I see  
20 patients for in the office.

21 Q Over the years, give me have a rough estimate of  
22 how many of these people you've had come through  
23 your office.

24 A I'll give you a conservative estimate and say about  
25 two or three thousand.

1       Q       Having seen two or three thousand people with this  
2               kind of problem, can you tell me whether there was  
3               anything unusual about Mr. Albano?

4       A       I think that when you examine or evaluate a  
5               patient, you try to relate the things that a  
6               patient tells you with what you find on an  
7               examination and with what you see on any studies  
8               that you may have with reference to that patient at  
9               the time you see the patient.

10              The unusual features about his examination  
11              were his either unwillingness, and I'm not going to  
12              say inability because I don't think -- I think he  
13              should have been able to stand on his feet or toes,  
14              which to me is unusual in my experience.

15              The loss of sensation of pin from the  
16              groin down is another unusual thing to see on an  
17              examination in a patient with a herniated disk  
18              whether he's had surgery or not. It's just not a  
19              physical finding that one can relate to an injured  
20              nerve. Even if that nerve were -- if I were to  
21              have cut that nerve purposefully, it would not  
22              produce a loss of sensation in the groin down, from  
23              the groin down the entire leg.

24              So that this is his response to his  
25              problem and his response to my examination.

1 Q Doctor, have you had an opportunity to review CT  
2 scans which were taken in November of 1986?

3 A Yes. I did so, and I noted this in my note to you,  
4 which I wrote on the 9th of May of 1991, that  
5 there's some bulging of the disk at L4-5, but I  
6 said I basically agreed with the report of the  
7 radiologist. There's nothing that suggests a  
8 herniated disk at all.

9 Q Doctor, what is a herniated disk?

10 A A disk -- a herniated disk is a disk which escapes  
11 the normal confines of the space in which it is  
12 located to the point where, as it escapes its  
13 normal confines, it very often will press upon a  
14 nerve to produce a very characteristic painful  
15 disorder that we call sciatica.

16 Q And again, was there anything on the CT scans to  
17 indicate that there was a herniated disk?

18 A No.

19 Q Doctor, have you had an opportunity to look at an  
20 MRI test which was taken in 1987?

21 A Yes, I did.

22 Q Doctor, what is an MRI?

23 A An MRI is a special type of examination which is  
24 presented to a doctor on an X-ray film, but it's  
25 not really an X-ray; it's an examination performed



1 by the use of -- by placing a patient in a magnetic  
2 field, very complicated, and I don't begin to -- I  
3 can't begin to explain it, because I really don't  
4 understand it.

5 But a patient is placed in a magnetic  
6 field, and this magnetic field is altered by a  
7 technician, and the alterations that produces, the  
8 alterations of the magnetic field produce changes  
9 in the way certain atoms spin in our bodies. And  
10 when the magnetic field is removed, the changes and  
11 the spinning characteristic of these structures can  
12 be recorded after they're analyzed by a computer in  
13 some very mysterious way. They can be portrayed on  
14 an X-ray film, and one can get a picture of various  
15 structures, many structures, bones, nerves, disks.

16 I mean, the things that can be studied is  
17 sort of almost infinite. I mean every day there's  
18 new technology which allows us to do more and more  
19 with this device.

20 Q It sounds like this is light years ahead of an  
21 X-ray machine.

22 A Oh, yeah. It's eons ahead, yes.

23 Q Is this kind of test helpful in diagnosing a  
24 potential herniated disk?

25 A Oh, sure, absolutely. It's probably the most

1 commonly used examination today.

2 Q Doctor, when you reviewed the film from the MRI  
3 taken in August of 1987, did you note any  
4 indication of a herniated disk?

5 A No, I did not personally.

6 Q Have you reviewed the report of the radiologist who  
7 interpreted it at the time?

8 A Yes.

9 Q And did he note a herniated disk?

10 A I don't think he did. I have to look at that  
11 report again. The radiologist described with  
12 probable associated central disk protrusion.

13 Q Is that the same as a herniated disk?

14 A No, it is not.

15 Q What is a disk protrusion?

16 A Exactly what he says. See, it says -- and he's  
17 fudging a little bit. He says "there appears to  
18 be," and you would have to ask him what he means by  
19 that. To me, it's either there or it isn't there.

20 There appears to be, in addition to the  
21 bulging anulus, a small central disk protrusion,  
22 which means that the disk was bulging, in the  
23 midline, in the middle.

24 Q Is there anything either in that radiologist's  
25 report or your own observations in evaluating the

1 MRI film, anything which would explain Mr. Albano's  
2 leg difficulties that he's claiming?

3 A I think the spinal stenosis of in itself can  
4 produce leg pain, no question.

5 Q What is spinal stenosis, Doctor?

6 A Spinal stenosis for the most part is an acquired  
7 disorder. There are rare patients who are born  
8 with narrow spinal canals. But spinal stenosis is  
9 a change which occurs in the low back, for reasons  
10 which are sort of mysterious, which can produce  
11 anatomical abnormalities which, in turn, will press  
12 upon a nerve. This of in itself can produce pain  
13 that's sciatic in nature, no question about it.

14 Q Doctor, stenosis, can that be a narrowing of the  
15 bony structure?

16 A That's what it really is, yes. It's a narrowing of  
17 either the spinal canal itself, or it's a narrowing  
18 of the hole or the space, that's called a foramen,  
19 through which the nerve leaves the spine to enter a  
20 certain complex structure to make up the sciatic  
21 nerve, for example, the fifth vertebra.

22 Q Doctor, is this ordinarily, this stenosis, is this  
23 ordinarily caused by trauma?

24 A In my own mind, a single episode of trauma does not  
25 cause this, no, no.

1 Q Do you have an opinion as to a reasonable degree of  
2 medical certainty as to whether the stenosis you  
3 noted in Mr. Albano was caused by the accident of  
4 October -- or the slip and fall of October 13th,  
5 1986?

6 A No. I just think it happens to people because  
7 they're alive. I don't have a better answer for  
8 you.

9 Q In other words, as far as you know, or as far as  
10 you're concerned, it was not caused by the fall in  
11 October of '86?

12 A I don't believe so.

13 Q Do you have an opinion as to whether or not the  
14 stenosis as you observed it would have pre-existed  
15 October 1986?

16 A I think -- well, it was there on the CT scan that  
17 he had in November, I think, so one would presume  
18 it existed beforehand, but I can't tell you when it  
19 started. I have no idea.

20 Q Thank you, Doctor. Doctor, some time ago you've  
21 had an opportunity to review some myelogram films  
22 which were taken of Mr. Albano?

23 A Yes, I did.

24 Q And these were taken when, do you recall?

25 A I'd have to look. I think a myelogram was done on

1 9-26-88, and I saw those films on or about  
2 November 13th, 1991. At least that's the time I  
3 sent a report to you.

4 Q Do these demonstrate an abnormality?

5 A Yes.

6 Q Where is the abnormality within the bony structure  
7 of Mr. Albano's spine?

8 A I believe the abnormality is at L4-5. That is the  
9 disk area between the fourth and fifth bones of the  
10 low back.

11 Q Is it located centrally or to the right or to the  
12 left?

13 A The X-rays I reviewed, and I don't have them here  
14 and I've not seen them since that time, to me, the  
15 X-rays were basically not interpretable. I only  
16 saw one X-ray that had a right and a left label on  
17 it that I could identify, and when I looked at this  
18 X-ray, this was an X-ray taken in the upright  
19 position, the patient not moving, I could see a  
20 marker of left, right. And that film showed an  
21 abnormality on the left side at L4-5.

22 There was one other X-ray that was taken  
23 with a label on it which showed a lesion at L4-5.  
24 And this is -- I think an X-ray was taken -- it  
25 looked to me that the table that the patient was

1           lying on was being tilted at the time the X-ray was  
2           being taken, and that also showed an abnormality at  
3           L4-5. I haven't looked at those X-rays since that  
4           time, and I really can't tell you.

5       Q     Now, Doctor, when you say X-ray, you're referring  
6           to the film that was produced as a result of the  
7           myelogram?

8       A     That is correct, sir.

9       Q     Doctor, briefly explain for the jury what a  
10          myelogram is.

11      A     A myelogram is a test that's done usually by  
12          radiology specialists, although years ago  
13          neurosurgeons did them and orthopedists did them.  
14          Certainly today the person most often doing this is  
15          an X-ray specialist.

16               And what they do is place a fine needle in  
17          the back and inject a special type of material  
18          called a dye. The needle is removed and a series  
19          of X-rays are taken with the dye being manipulated  
20          around by the radiologist. And the dye is such now  
21          that it doesn't have to be removed; it sort of goes  
22          away by itself.

23      Q     Doctor, are you aware that plaintiff eventually  
24          underwent an operation?

25      A     Yes, sir, I am.

1 Q Have you reviewed the report of Dr. Krahe, the  
2 surgeon who performed the operation?

3 A Yes, I did.

4 Q Have you had an opportunity to briefly review Dr.  
5 Krahe's testimony with respect to this operation?

6 A In all candid honesty, I looked at that today. I  
7 received the deposition, I don't know who delivered  
8 it, today in my office, and I sort of glanced at it  
9 between patients. I must have seen 20 or 25  
10 patients today, and I sort of looked at it in  
11 If between patients to see what the substance of it  
12 was, only because I had some difficulty  
13 interpreting the operative report that I read when  
14 I first saw it.

15 And I think that the nature of his  
16 operation was a little more clarified to me after  
17 looking through his deposition. There, you know,  
18 there's some inconsistencies in these that aren't  
19 quite true about what he said -- quite right, I  
20 shouldn't say true. But his deposition I think  
21 clarified a bit in my own mind as to what he found  
22 at the time of surgery.

23 Q Can you describe for the jury what the procedure  
24 was that Mr. Albano underwent.

25 A He -- this gentleman underwent a very standard

1 operation called a lumbar laminectomy, which is  
2 just a medical name given to the surgical procedure  
3 that's utilized to expose the abnormal areas that  
4 one sees on diagnostic tests to remove a herniated  
5 disk or a ruptured disk or, in some cases, to do  
6 nothing more than just do a decompression of the  
7 spine. That is, you don't take disks out, but you  
8 remove the bone around the nerves that are being  
9 compressed. This is just a standard name given to  
10 this type of procedure.

11 Q For the sake of the jury, could you briefly  
12 describe the anatomy of the spine at L5-L4 level.

13 A Well, it's pretty -- I mean there are certain bony  
14 structures which are present that have to be  
15 removed or enlarged in order to get exposure, there  
16 are certain ligaments which have to be removed in  
17 order to directly visualize the nerves themselves  
18 and to visualize the abnormalities of disk or bone  
19 that you're trying to treat. And these things all  
20 have to be removed. And this is done, you know, by  
21 an experienced surgeon with relative ease,  
22 depending on certain circumstances. And as far as  
23 I can tell from looking at the records and reports,  
24 this is what the doctor did at the time of his  
25 surgery.



1                   But what I don't quite understand is that  
2                   he described removing a ruptured disk on the left  
3                   side and finding nothing basically on the right  
4                   side, as far as I can tell from looking at his  
5                   record.

6       Q       What did Dr. Krahe find during the operation with  
7               respect to the nerve roots?

8       A       Well, I'll have to look at his description again,  
9               some of which I find a little bit difficult to  
10              understand, at least on the basis of my own  
11              experience.

12                   He found that the root was trapped in  
13                   adhesions, which I find a little bit difficult to  
14                   understand in the absence of a previous operation.  
15                   He found a freely herniated disk, which I would  
16                   take to be a ruptured disk, that's a disk which has  
17                   totally escaped the confines of the disk space, on  
18                   the left side, and he removed that. Then he looked  
19                   at the right side and saw nothing, and then he  
20                   closed the incision.

21       Q       What is the significance of his indication that  
22               there was some impingement on the nerve on the left  
23               side?

24       A       Well -- say that once more.

25       Q       In your mind, what is the significance of the fact

1           that he apparently found some impingement or  
2           entrapment or however he describes on the left side  
3           on the nerve root?

4       A     It's hard to say. The patient, according to his  
5           pathology, this is a note that he says, although I  
6           didn't see this looking through the records, in the  
7           past he had predominantly left leg pain. At the  
8           time of surgery he was found to have a large,  
9           freely herniated L4 disk on the left side. This  
10          certainly could relate to his left leg pain, but  
11          it's something that went on in the past, but in no  
12          way can the finding on the left side explain his  
13          right-sided pain. It just doesn't work that way.

14       Q     Was his right-sided pain a significant complaint?

15       A     That was his only complaint at the time he had his  
16           surgery.

17       Q     You indicated that there were no findings with  
18           respect to the right nerve.

19       A     That's what he said.

20       Q     What significance is this?

21       A     That I would have great difficulty explaining his  
22           right leg pain.

23       Q     Doctor, I want you to assume for the moment that  
24           Dr. Krahe testified that his explanation as to the  
25           right leg pain had to do with scarring or

1           impingement of the spinal cord that he noted during  
2           this operation.

3       A       It's totally incorrect. It can't be.

4       Q       Can you explain for the jury why?

5       A       There's no spinal cord in this part of the spine.  
6           The spinal cord, the structure that we call the  
7           spinal cord, ends at a significant distance away  
8           from L4-5. In other words, the spinal cord ends  
9           usually at the bottom of the L1 bone in the spine,  
10          between the first and second bones in the spine, so  
11          there's no spinal cord down here.

12      Q       Is it true, Doctor, that a centrally herniated disk  
13          can cause pain on either the right or the left?

14      A       I suppose it could. I suppose it could, if it's  
15          big enough. I mean, it's not common for things to  
16          protrude exactly in the middle. It's just not.  
17          They're almost always asymmetrical, one side or the  
18          other.

19                   Even with what we call big midline  
20          protrusions or big midline ruptures or big midline  
21          herniated disks, they're almost never exactly in  
22          the midline; they're almost always off to one side  
23          or the other.

24      Q       For a centrally herniated disk to cause  
25          radiculopathy, or pain going down one side or the

1           other, would there have to be some kind of  
2           impingement?

3       A     Oh, sure, sure, sure.

4       Q     Would a centrally herniated disk explain  
5           right-sided leg pain where there is no impingement  
6           on the right nerve?

7       A     No.

8           Doctor, have you done these surgeries yourself?

9       A     Several thousand I think.

10      Q     You're still doing them?

11      A     Yes.

12      P     How many would you say you did in 1991,  
13           approximately?

14      A     A hundred, 150.

15      Q     Doctor, does Dr. Krahe's operative note reflect  
16           spinal cord involvement at any level?

17      A     No, can't.

18      Q     Doctor, do you believe, to a reasonable degree of  
19           medical probability, that the scarring of the  
20           spinal cord as described by Dr. Krahe in his  
21           deposition testimony could account for Mr. Albano's  
22           symptoms?

23      A     Well, first of all, you mean the scarring that  
24           existed before the operation?

25      Q     Any scarring of the spinal cord whatsoever.

1       A       Well, there's no scarring of the spinal cord  
2               involved in this situation at all under any  
3               circumstance. There may be scarring now because  
4               he's had surgery. That's a normal accompaniment of  
5               surgery. There's always scarring.

6               To be quite candid with you, I just don't  
7               think that one sees scarring in what we call a  
8               virgin back, a virgin disk space, something that  
9               hasn't been violated by a surgeon before. I just  
10              don't think we see scarring. And I don't know  
11              what -- I can't tell you what he interpreted to be  
12              scarring. It's just something that I don't think  
13              I've ever seen.

14      Q       In other words, assuming Mr. Albano had never  
15               undergone a back surgery in the past?

16      A       Yes.

17      Q       Do you have an opinion as to whether scarring could  
18               be present?

19      A       I don't think so. I do have an opinion. The  
20               answer is no.

21      Q       Thank you, Doctor. Doctor, what does it mean to  
22               say that a patient's symptoms are hysterical?

23      A       They're hysterical, functional, those are symptoms  
24               which cannot be explained on the basis of a  
25               physical abnormality.

1 Q Can you describe for the jury, please, Doctor, what  
2 is meant by a conversion reaction?

3 A Same thing. That's I think a -- conversion  
4 reaction is more of a psychiatric term. But, you  
5 know, we use that term, the patient has conversion  
6 symptoms, which a physician would know that these,  
7 you know, these are symptoms that can't be real.

8 Q Doctor, you described sensory changes in Mr.  
9 Albano's right leg --

10 A Yes.

11 Q -- when you examined him.

12 A Yes.

13 Q Do you have an opinion to a reasonable degree of  
14 medical certainty as to whether Mr. Albano's right  
15 leg sensory changes are hysterical?

16 A I do have an opinion.

17 Q And what is that opinion?

18 A That at the time I examined him, those findings  
19 were hysterical, were not organic in nature.

20 Q Again briefly, can you go through why, for the  
21 jury, this is?

22 A I think I mentioned before that even if I were to  
23 have cut that nerve purposefully, one would produce  
24 loss of sensation in a very specific or given area  
25 of the leg. That area would be probably on the

1 outside of the calf across the top of the foot, top  
2 of the great toe. It would not produce what we  
3 call a loss of sensation of buttock or loss of  
4 sensation of thigh, loss of sensation on the inner  
5 calf.

6 In other words, the areas of the body that  
7 relate to specific nerves, abnormalities of those  
8 specific nerves, whether they be caused by a doctor  
9 or they be caused by disease, those areas of  
10 altered sensation would be very specific and fairly  
11 regular given the differences among human beings.  
12 We're all different creatures. But the changes  
13 that one sees are really fairly regular from  
14 patient to patient to patient to patient to  
15 patient.

16 Q Do you have an opinion to a reasonable degree of  
17 medical certainty, Doctor, as to whether Mr. Albano  
18 is suffering from a conversion reaction?

19 A At the time I saw him, with respect to his  
20 sensation, I really thought he was.

21 Q And again, what does this mean in real terms,  
22 Doctor?

23 A It means that -- I can't define this in psychiatric  
24 terms because I don't know how, but it means --

25 Q As it applies to Mr. Albano.

1       A       It means that the claimed loss of sensation that he  
2               has, or had when I examined him, isn't real. It's  
3               as simple as that. You know, I can't say it any  
4               other way.

5       Q       Do you have an opinion, Doctor, as to how these  
6               hysterical sensory changes in this conversion  
7               reaction may affect his prognosis or potential for  
8               recovery to a reasonable degree of medical  
9               certainty?

10      A       That's very hard for me to say on the basis of one  
11               visit. It might give some insight into the  
12               patient, it might give a physician some insight  
13               into, you know, the makeup or the character of the  
14               patient, but it's not the kind of thing that I  
15               would be able to say, you know, how significant is  
16               this in terms of his overall rehabilitative  
17               potential. I just can't answer that. I just  
18               haven't seen this patient enough.

19      Q       Doctor, assume that Dr. Krahe has testified that he  
20               believes there is absolutely no potential that this  
21               man will get better. Would you agree or disagree  
22               with that contention?

23      A       I don't know whether anything has ever been tried.  
24               I don't know whether he's tried, whether he's put  
25               in any kind of rehabilitation program. This was an



1 industrial injury. The state has an excellent  
2 program and an excellent center down here in  
3 Cleveland I send patients to, the Walker Center.  
4 Nothing ventured, nothing gained.

5 It may be futile, I don't know. But, you  
6 know, he's a young man. You certainly have to try.  
7 I mean I don't know whether he'll ever be able to  
8 go back to doing construction work again. There's  
9 no way I can say that. I would doubt it personally  
10 just because of, you know, experience with patients  
11 who have had problems like this.

12 But to totally write off a young man who  
13 is probably no more than 40 years old, to me that  
14 would be a dreadful thing, at least, you know,  
15 personally I think it would be a dreadful thing.  
16 I'd make every attempt to try to rehabilitate this  
17 man in some way that he could get along reasonably,  
18 maybe not necessarily returning to the kind of work  
19 he did before, but certainly to try to rehabilitate  
20 him both in terms of his, you know, his problems  
21 and in terms of his vocational abilities.

22 Q Doctor, in your experience with patients you've  
23 seen over the years, have you had an opportunity to  
24 observe a correlation between patients with  
25 hysterical symptoms and those who may be undergoing

1 litigation, prosecuting cases?

2 A I can't say that for certain, because I've seen  
3 patients who were not involved in litigation with  
4 hysterical symptoms. I wouldn't necessarily relate  
5 one to the other.

6 Q Okay.

7 MR. CABRAL: That's all I have,  
8 Thank you, Doctor.

9 MR. REA: No questions.

10 CROSS-EXAMINATION

11 BY MR. KENNEDY:

12 Q Doctor, my name is Eric Kennedy, and I represent  
13 Jack Albano. Let's start -- I'm most interested to  
14 discuss with you this thought that Jack Albano is  
15 hysterical or his symptoms are psychological or he  
16 suffered --

17 A No, I --

18 Q -- from this conversion reaction.

19 A Okay.

20 Q I haven't asked a question.

21 A Okay, okay.

22 Q Doctor, first of all, with regard to this, the jury  
23 should understand your role here in light of this  
24 thought that possibly this gentleman is hysterical.  
25 You saw him on one occasion; is that correct?

- 1 A That is correct, sir.
- 2 Q And that would have been about ten months ago?
- 3 A Sure. No, just about a year ago.
- 4 Q Okay. Have you seen him since?
- 5 A No.
- 6 Q Would I be correct; in saying that the first time  
7 you saw Jack Albano was May 9, 1991?
- 8 A According to my note, 2-15-91.
- 9 Q Okay, 2-15-91.
- 10 A Yeah.
- 11 Q Almost five and a half years after this fall?
- 12 A Correct.
- 13 Q And injury?
- 14 A Correct.
- 15 Q Based upon this one meeting with Jack Albano, you  
16 are concluding here that this is a hysterical  
17 conversion reaction; is that what you're saying?
- 18 A No, I didn't say that. No, I didn't say that.
- 19 Q All right.
- 20 A I said that at the time I examined him, the time I  
21 saw him in the office, that the sensory examination  
22 was not organic or hysterical -- it was hysterical  
23 in nature. I didn't say --
- 24 Q It was hysterical.
- 25 A At the time I saw him. I don't know what he was

1           like the day before, I don't know what he would  
2           have been like the day before had I examined him,  
3           nor do I know what he would have been like --

4       Q       You thought it was psychological in nature?

5       A       I can't -- I don't know the mechanisms by which --  
6           when we describe this as happening. This is the  
7           way he responded to the way I examined him.

8       Q       Doctor, would I be correct in saying that you were  
9           not brought into this case for the care and  
10          treatment of Jack Albano?

11      A       That is correct, sir.

12      Q       Your sole purpose in examining him and seeing him  
13          was because that was requested by the defense?

14      A       Yeah, sure, sure.

15      Q       They called you up; is that true?

16      A       I don't know who called whom, but an appointment  
17          was arranged for me to see him.

18      Q       They communicated with you and asked you to see  
19          Jack Albano?

20      A       They probably communicated with my secretary.

21      Q       The purpose of that was to have you evaluate him so  
22          you could testify?

23      A       I suppose the end result would be testifying, right  
24          sure, sure.

25      Q       And you did evaluate him, then, at the request of

1 the defense?

2 A Yes, sir.

3 Q And then you wrote a report?

4 A Yes.

5 Q And now you're here today at their request because  
6 they hired you to do so to testify?

7 A Sure, sure, sure.

8 Q You never treated Jack Albano in any regard?

9 A No, sir.

10 O And would I be correct in saying from past  
11 testimony that I've seen that you're being  
12 compensated at at least \$200 an hour for your time?

13 A Absolutely. I'm going to certainly submit a bill  
14 for it, absolutely.

15 O Would I be correct in saying, Doctor, that  
16 approximately once a week you will see a patient in  
17 some sort of legal sense?

18 A I think that's -- that's probably an average,  
19 probably a little less than that, but for the sake  
20 of this, once a week is fine.

21 Q You give testimony about 10 to 15 times a year?

22 A Maybe.

23 Q In similar settings?

24 A Maybe, sure.

25 Q You've testified for Mr. Cabral's law firm in the

1 past?

2 A I'm sure I have.

3 Q That would be the Gallagher Sharp law firm?

4 A Yes, yes.

5 Q Weston Hurd law firm?

6 A Yeah, I'm sure I've done that in the past.

7 Q Jacobson, Maynard, Tuschman & Kalur law firm?

8 A I have in the past, sure.

9 Q Squire, Sanders & Dempsey law firm?

10 A Not recently, not for a long, long time, but I

11 have.

12 Q All right. Arter & Hadden law firm?

13 A Once maybe, twice maybe, I don't know.

14 Q The Reminger & Reminger law firm?

15 A I have, yes.

16 Q Would I be correct in saying, Doctor, that your

17 testimony with regard to injured persons has been

18 utilized in state court in Cuyahoga County?

19 A If you mean the Court of Common Pleas, yes, yes.

20 Q Lake County?

21 A Yes.

22 Q Lorain County?

23 A Once, yes.

24 Q Geauga County?

25 A Once that I can recall.

1 Q And your testimony has even appeared in the Federal  
2 Court system?

3 A Yes. It was a maritime case, so it had to be in  
4 Federal Court. I'd never forget that.

5 Q Doctor, without a question this man had a herniated  
6 disk; would that be true?

7 A Well, I have to assume that from the note of the  
8 surgeon.

9 Q Well, you looked at the myelogram, did you not?

10 A Well, I can't -- you can't tell that for sure. You  
11 can't tell, in all honesty. As I recall the  
12 myelogram, and I haven't seen it since the time I  
13 wrote my note --

14 Q So you're saying you can't even tell from the  
15 myelogram?

16 A No, you can't really tell. At least I could not  
17 tell. There's no question that he had an  
18 abnormality at L4-5. To me there was no question  
19 of that. It is difficult sometimes in a myelogram  
20 to tell whether or not there is a herniated disk  
21 involved, and that's why oftentimes, most often, at  
22 least always when I have a myelogram performed on a  
23 patient --

24 Q Doctor, let me --

25 A May I finish?

1 Q Let me -- I think that you answered --

2 A I have not. I haven't.

3 Q -- my question.

8 MR. CABRAL: Objection.

5 A I haven't answered the question.

6 Q Go ahead.

7 A That we do a myelogram -- do a CAT scan after the  
8 myelogram because the combination of the two  
9 studies enables us to much easier tell precisely  
10 what we're dealing with, that is whether we're  
11 dealing with a herniated disk or whether or not  
12 we're dealing with bony disease.

13 And so there's no question that the  
14 myelogram was absolutely abnormal. There's no  
15 question of that in my mind.

16 Q And there's absolutely no question it showed a  
17 lesion to the left at L4-L5?

18 A Absolutely.

19 Q Now, let's talk about what caused this herniated  
20 disk. You have told us that you do not believe or  
21 you can't say that the October 13, 1986 fall caused  
22 the herniated disk; is that your testimony?

23 A I don't think so. I think -- because of the --

24 Q One second. Is that --

25 A That's what I said, yes.



1 Q All right. You are aware, I think you said today,  
2 that Mr. Albano had a prior muscular back injury in  
3 the past prior to the fall in October?

4 A Sure, sure.

5 Q You said today that today was the first opportunity  
6 you had to review those records.

7 A Sure.

8 Q You had those records before today, though, did you  
9 not?

10 A No, no, no.

11 Q Doctor, the records reviewed were those of Dr.  
12 Harnden. I believe he treated him for this.

13 A Harnden?

14 Q Harnden, H-a-r-d-e-n.

15 A I never saw those. I never anything with reference  
16 to anything that I recall noting in my own notes or  
17 in my report with respect to the document.

18 Q Okay. Doctor, if you look at paragraph 1 of your  
19 report, it says I reviewed copies of records from  
20 Brentwood, Dr. Stewart and Dr. Harndon.

21 A Okay.

22 Q Now, would I be correct in saying that with regard  
23 to this prior back injury, it resolved, did it not?

24 A As far as I know it did, yes.

25 Q In fact, he had basically no problems for a period

1 of five and a half years prior to his fall in  
2 October of '86, correct?

3 A To the best of my knowledge, that is correct.

4 Q Would I be correct in saying from listening that  
5 this prior fall -- this prior muscle back injury  
6 probably plays no role in this case at all?

7 a That is correct.

8 Q Now, in determining whether or not a certain  
9 incident, such as a fall, causes symptoms or causes  
10 a herniated disk, I think you explained to us it's  
11 important to look at the temporal relationship. Is  
12 that true?

13 4 Sure.

14 a What you mean by that is it's important to look at  
15 how quickly you have the development of a  
16 symptomatology?

17 A Correct.

18 Q Now, Mr. Albano fell on October 13, 1986; is that  
19 true?

20 A Yes.

21 Q And, Doctor, from your review of the records, are  
22 you aware that within one hour, less than one hour,  
23 Mr. Albano was in an emergency room and had pain in  
24 his back radiating into his right leg? Are you  
25 aware of that?

1       A       This may well be so, and I honestly can't -- don't  
2               know, because I don't have the records of that  
3               emergent care center -- or urgent care center  
4               available, in all honesty.

5       Q       So that would have been radiating pain within an  
6               hour, correct?

7       A       Yes, sir.  If that's what it says, absolutely.

8       Q       And would I be correct in saying that after that he  
9               consistently continuously had low back pain  
10              radiating into the right leg?

11      A       That's what he says, but again, his doctor said  
12              something differently, so, you know, it's hard for  
13              me to understand.  Because his doctor said in a  
14              note dictated prior to the operation, prior to the  
15              operative note, that when this first began he had  
16              pain in the left leg.

17      Q       Well, you reviewed the records in this case.

18      A       Sure.  I mean, you know, and there are different  
19              things being said at different times.

20      Q       Well, let's talk about your review, Doctor?

21      A       Sure.

22      Q       If we look at your report, the second paragraph --

23      A       Yes, sir.

24      Q       -- on the first page, you state, "It is not clear  
25              from the records when the right leg pain began."

1 A Correct.

2 Q "But his primary complaint during the course of his  
3 entire recorded history seems to be pain in the  
4 right lower extremity."

5 A Uh-huh.

6 a Is that correct?

7 X Absolutely.

8 Q So in your review of these records he consistently  
9 has pain in the right lower extremity?

10 A Absolutely.

11 Q Okay. And that's from within an hour of his  
12 injury?

13 A Right.

14 Q Up to today, five and a half years later?

15 A Right, right.

16 Q Now, this pain, Doctor, that he has described to  
17 you and what you've seen in the records in his  
18 right leg is typical sciatic pain?

19 A To me it is.

20 Q And sciatic pain is pain, Doctor, that can be  
21 caused by a herniated disk?

22 A Absolutely.

23 Q And the first complaint that we have of sciatic  
24 pain, which you told us earlier is commonly caused  
25 by a herniated disk, the first instance of that is

1                   one hour after his fall?

2       A       Correct.

3       Q       In fact, Doctor, leg pain radiating into the leg is

4                   the typical symptom of a herniated disk?

5       A       Absolutely.

6       Q       And this man had the typical symptom of a herniated

7                   disk within one hour of his fall?

8       A       That's what he said.

9       Q       And complained of it constantly throughout?

10      A       Yes.

11      Q       In fact, Doctor, a doctor really can't make the

12                   diagnosis of a herniated disk unless there is leg

13                   pain?

14      A       Correct.

15      Q       And again, this man had it from within an hour?

16      A       Absolutely.

17      Q       Right on out to up to today?

18      A       Absolutely, yes.

19      Q       And again you told us in relating this herniated

20                   disk to the fall, how closely he developed symptoms

21                   is important?

22      A       Yes.

23      Q       That's the key determination?

24      A       Absolutely.

25      Q       Now, the Lasegue's sign, or the straight leg

1           raising test, is a test that is done by laying the  
2           patient on his back?

3       A       I almost never -- I usually have the patient  
4           sitting, but you can do it that way, sure.

5       Q       Okay. And you ask the patient to raise their leg?  
6           No, no. You do it for the patient.

7       Q       Keeping the leg straight?

8       a       Yeah.

9       Q       All right. And this is a test that's done to look  
10          for a herniated disk or a possible herniated disk?

11      A       Sure, sure.

12      Q       Would I be correct, Doctor, from your review of the  
13          records that this gentleman, within three weeks of  
14          his injury, within three weeks of his fall, had a  
15          positive straight leg raising test?

16      A       Again, I don't know what the doctor who recorded  
17          that means by that. I know what I mean by it. If  
18          this patient had leg pain produced by this test,  
19          indeed I would agree with that. If he had back  
20          pain produced by this maneuver, I would not agree  
21          with it. I don't know.

22      Q       Can we assume that the doctors who did this test  
23          did it in an appropriate manner?

24      A       Oh, I'm sure they did the test in an appropriate  
25          manner.

1 Q Okay. If Dr. Gordon found straight leg raising  
2 tests to be positive within three weeks of the  
3 injury, can we assume that he did it appropriate?

4 A I'm sure he did the test appropriately, but  
5 doctors, different doctors interpret that test  
6 differently, as I said. I'm not fudging with you.  
7 I'm just telling you what's true.

8 Q All right.

9 A If it meant -- if the doctor -- if the doctor meant  
10 that this patient's leg pain was worsened by this  
11 maneuver, then I totally agree that he had a  
12 positive straight leg raising test. If he had a  
13 backache that was made worse, the answer to that  
14 would be no.

15 Q Okay. Now, Dr. Krahe, when he did the straight leg  
16 raising test, he did it for the first time three  
17 weeks after the fall, and it was positive when he  
18 did it.

19 A Again, you'd have to ask him exactly what he means.  
20 I always write down when I do that maneuver what  
21 the patient complains of. I just don't say test  
22 positive or test negative. I don't know what he  
23 means by that.

24 But if it did mean that this patient had  
25 his leg pain worsened by the maneuver, then I would

1 agree, of course, that the test was positive, but I  
2 don't know that.

3 Q Okay. He found this test to be positive and  
4 indicative of a herniated disk three weeks after  
5 the fall.

6 A Which side was that? I don't have --

7 Q The right and the left.

8 A Both sides?

9 Q Both sides.

10 A I find that a little bit unusual.

11 Q Okay. And this continued, positive straight leg  
12 raising test that Dr. Krahe did, every time that he  
13 did it through the five-year period it was  
14 positive; is that correct?

15 A That's what he said then.

16 Q And when Dr. Gordon did it two weeks, two and a  
17 half weeks after, he found it positive also.

18 A I don't have those records in front of me, but if  
19 you say that, I certainly would assume that it's  
20 true.

21 Q In fact, the physicians in this case, Dr. Gordon,  
22 three weeks after the fall, he already was talking  
23 about the possibility of a herniated disk; is that  
24 true?

25 A Well, we know that he didn't have one.



1 Q Well, that's your statement here today.

2 A Of course it's my statement.

3 Q All right.

4 A The CT scan of the spine showed that he didn't have  
5 one.

6 Q Well, the CT scan of the spine, Doctor, isn't that  
7 of poor quality and very difficult for you to  
8 interpret?

9 A No, it's not a great quality examination, it's not  
10 a great quality examination, but there's no  
11 evidence of a herniated disk on that examination.

12 Q Doctor, again, you wrote a report that you --

13 A I said that, yeah.

14 Q -- provided to us?

15 A Right.

16 Q Okay. With regard to the CT scan, do you state,  
17 "The CT scan copies which I reviewed are not of  
18 good quality"?

19 A Correct.

20 Q And X-ray gantry does not make slices parallel to  
21 the disk space so that accurate interpretation is  
22 not really possible?

23 A It is true for --

24 Q Is that your statement?

25 A That's what I said.

1 Q All right.

2 A May I finish, or may I not finish?

3 Q No, I just -- is that your statement in your  
4 report?

5 A Fine, okay. I can't dispute the statement in my  
6 report.

7 Q Okay. And in addition, did you tell us earlier  
8 with respect to this second type CT scan, it's not  
9 a CT scan that you normally do and utilize?

10 A No, but I can see -- well, there are some slices or  
11 views that I can see where there's no evidence of a  
12 herniated disk, and I said that there's some bony  
13 changes at L4-5, but there's no evidence of a  
14 herniated disk.

15 Q All right. But what is found on those CT scans is  
16 a bulging disk at L4-L5; is that true?

17 A I'd have to look at them again. Are you talking  
18 about the second CT scan?

19 Q Well, I'm just -- from your report anyways. Did  
20 you write in your report, Doctor, "There seems to  
21 be some bulging of the disk at L4-L5"?

22 A Yeah.

23 Q Is that what you wrote?

24 A It's very mild.

25 Q Now, Doctor, there doesn't seem to be much question

1           here that you find it to be very important, that  
2           being the time between the development of symptoms  
3           of a herniated disk and the time of the fall, that  
4           is very important in determining whether the fall  
5           caused the herniated disk?

6       A     Oh, I think so, sure.

7       Q     And, Doctor, from our review of these records, will  
8           you agree with me there's not much question that  
9           there was an immediate development of  
10          symptomatology in this case?

11      A     Correct, absolutely, correct.

12      Q     Doctor, you talked a little bit about your writings  
13          with Mr. Cabral. Would I be correct in saying that  
14          you've only really written four published papers  
15          since 1965?

16      A     Oh, I think there are about eight or ten. I can't  
17          recall the specific number, but there are more than  
18          that.

19      Q     Is there a reason why those would not appear on  
20          your bibliography?

21      A     They should if they're there, I think. I think  
22          they're there.

23      Q     Would I be correct in saying, Doctor, that you have  
24          never written anything about surgery on herniated  
25          disks?

1 A No, I've never written anything on surgery for  
2 herniated disks.

3 Q Would I be correct in saying that you've never  
4 written anything about herniated disks in general?

5 A Absolutely correct.

6 Q Would I be correct in saying that you have never  
7 written anything about any type injury to the low  
8 back?

9 A You would be absolutely correct in saying that,  
10 absolutely correct.

11 Q Do you know Dr. Frank Boumphrey at the Cleveland  
12 Clinic?

13 A I don't know him at all.

14 Q Have you heard of him?

15 A No, I don't know him.

16 Q With respect to the right-sided pain that Mr.  
17 Albano is experiencing, has experienced, centrally  
18 herniated disk can cause pain on the right side,  
19 can it not?

20 A That's a very difficult question to answer, to be  
21 quite candid with you. I suppose it could.

22 Q And the surgeon who did the operation, the man who  
23 actually removed the disk, said that it was a  
24 centrally herniated disk, did he not?

25 A Well, he really can't make any comment about that,

1 in all honesty, because if one were to have a  
2 centrally herniated disk producing right leg pain,  
3 you do the operation from the right side, not the  
4 left side.

5 And I can't understand or explain anything  
6 that was done to this gentleman, to be very candid  
7 with you. I don't know. Very hard for me to  
8 figure this.

9 Q Doctor, are you saying that Dr. Krahe, who was  
10 under oath and gave us his deposition, is not  
11 telling the truth when he says he found a centrally  
12 herniated disk?

13 A Well, I think it's very difficult to tell when  
14 you've taken a disk out. I think it's very hard to  
15 tell, personally, in my own experience. I wouldn't  
16 doubt him one bit, but it's something that's very  
17 difficult to tell.

18 Q Was an MRI study done? I think you mentioned it.

19 A Sure, sure.

20 Q That was done before the surgery, was it not?

21 A Yes. That was done a year and a half or two years  
22 before the surgery.

23 Q And did that MRI, Doctor, show a centrally  
24 herniated disk?

25 a Well, no, it did not show a centrally herniated

1 disk. It showed small central disk protrusion,  
2 which is a totally different thing.

3 Q What's the difference between a protrusion and a  
4 herniation?

5 A A herniation is a frank escape of the disk from the  
6 confines of the disk space. A small central  
7 protrusion is exactly what this says, a small  
8 central protrusion. It's a little bulging of the  
9 disk. That's not the major abnormality with this  
10 patient. The major abnormality is the bony disease  
11 that he has.

12 Q Doctor, this bony disease, you're talking about  
13 stenosis; is that right?

14 A That's right.

15 Q This stenosis was in existence prior to his fall on  
16 October 13th?

17 A I assume it was. I think it probably was.

18 Q And it wasn't causing him any problems prior to  
19 that --

20 A May not have been, correct, not as far as I know.

21 MR. KENNEDY: I have nothing further  
22 at this time.

23 REDIRECT EXAMINATION

24 BY MR. CABRAL:

25 Q Doctor, I've got a few more questions for you.

1 Doctor, Mr. Kennedy has felt it necessary or  
2 important to go into the fact that you're being  
3 paid for having seen Mr. Albano and for giving your  
4 testimony.

5 A Any expert who testifies in a case like this is  
6 being paid, whether his expert or your expert. I  
7 mean he's being paid for his time, and I'm sure  
8 that whoever his expert is is going to also charge.  
9 It's a standard practice.

10 Q Do you have a stake, financially or otherwise, one  
11 way or another, in whether or not Mr. Albano was  
12 injured?

13 A Absolutely not.

14 Q You get paid the same rate whether he's injured or  
15 not injured?

16 A I assume so, sure.

17 Q Doctor, in response to Mr. Kennedy's questioning,  
18 you indicated that sciatic pain can be caused by a  
19 herniated disk. Are there other possible causes as  
20 well?

21 A Spinal stenosis can cause the same kind of pain.  
22 Sure it can.

23 Q Do you have an opinion to a reasonable degree of  
24 medical certainty as to whether a herniated disk  
25 made it necessary for Mr. Albano to go to the

1 urgent care center on October 13th, 1986?

2 A Let me -- I'm going to have to answer your question  
3 obliquely, because after he made that initial  
4 visit, he had studies which did not show a  
5 herniated disk. He had at least two studies done  
6 within a month or two months after the accident in  
7 question, and ten months later I guess, ten or 11  
8 months later he had another study, the MRI scan,  
9 which did not show any evidence of a herniated  
10 disk.

11 So that certainly within a month after the  
12 accident, I think he had a CT scan done on the 5th  
13 of November and another one done on the 10th of  
14 November, there was no evidence of a herniated  
15 disk.

16 Then if you're going to ask me well, how  
17 can you explain this pain, I can give you my own  
E9 reasonable answer: I'm not quite sure how to  
19 explain this pain. I don't know.

20 Q Do you believe it was due to a herniated disk?

21 A Not then, no, absolutely I do not believe it was.

22 Q Doctor, you indicated that the myelogram which was  
23 done in 1988 showed an abnormality.

24 A No question.

25 Q Assume for a moment that that abnormality is a



1 herniated disk --

2 A Sure.

3 Q -- just for the sake of argument. Do you have an  
4 opinion to a reasonable degree of medical certainty  
5 that a single episode of trauma will cause a  
6 herniation two years after the fact?

7 A I would find it difficult to believe that  
8 personally. I think there's no question that a  
9 single episode of trauma can cause a herniated  
10 disk, there's no question of that in my mind, but  
11 for that event to occur that far along in time, I  
12 would find it, in all honesty, a bit unusual in my  
13 experience.

14 Q Doctor, in response to Mr. Kennedy's questioning,  
15 you made the comment that the fact that Dr. Krahe  
16 found positive straight leg raising on both sides  
17 was unusual. Can you explain why?

18 A Well, you don't often see this as a physical  
19 finding, particularly in patients with complaints  
20 in one lower extremity. And again, I don't know  
21 what Dr. Krahe means by positive straight leg  
22 raising.

23 There are a number of doctors, in all  
24 honesty, who will say that if you raise the leg up  
25 to 90 degrees, the patient complains of a backache,

1           that that's a positive straight leg raising test.  
2           To me it isn't.

3                       So that, you know, I said this before and  
4           I just say it now, you know, you'd have to ask Dr.  
5           Krahe what he means by it. I can't tell you what  
6           he means by it. I know what I mean by it, and  
7           that's all.

8       Q       Doctor, you were questioned about the CT scan and  
9           what you wrote in your report about the CT scan.  
10          You indicated in your report that there was some  
11          difficulty in interpreting some of these films.  
12          You weren't allowed to finish your response. Would  
13          you like at this point --

14       A       Yeah.

15       Q       -- to clarify that point?

16       A       It is not a technically great CT scan. Would you  
17          ask me if it's adequate, I suppose it's adequate;  
18          it's not a good one. There's nothing that I could  
19          identify as a herniated disk, and there's nothing  
20          that the radiologist could identify as a herniated  
21          disk. You know, this is true of the scan that was  
22          done five days later.

23       Q       Doctor, is a mild bulge the same as a herniated  
24          disk?

25       A       No.

1 Q What is the difference?

2 A The differences are great differences of degree.  
3 Bulging is very common. It's a very common thing  
4 seen in normal people, and there's no character --  
5 there may be no symptoms, often there are no  
6 symptoms associated with a bulging disk or a mild  
7 protrusion.

8 A herniated disk is an entirely different  
9 thing. Of course, one can see herniated disks in  
10 patients who have no symptoms at all either.  
11 There's no great mystery about that. It's just an  
12 event that's been observed for years. But if you  
13 see a disk that has escaped the confines of the  
14 disk space, which is a true herniated disk, in a  
15 patient that has appropriate symptoms, you say  
16 that's a symptomatic herniated disk, very  
17 characteristic, totally different from bulging or  
18 protrusions.

19 You know, these are words that  
20 radiologists use to describe a finding, and you  
21 really have to ask the radiologist what he means.  
22 I mean, a little bulging, but that's all it means.  
23 It doesn't mean anything else.

24 Q You've seen these films yourself?

25 A Yes.

1 Q In your opinion, to a reasonable degree of medical  
2 certainty, could these bulges or protrusions which  
3 were demonstrated by the CT scans or the MRI  
4 account for Mr. Albano's symptoms?

5 A Personally, I don't think so. Personally, I don't  
6 think so. I think that initially his symptoms are  
7 really very difficult to explain on the basis of  
8 the CT scans that he had.

3 Certainly when he had the MRI scan in  
Id 1987, that's a pretty impressive study, and to me  
11 there was a very characteristic abnormality of a  
12 very focal abnormality in the lumbar spine at L4  
13 and L5 that was not due to a herniated disk. It  
14 was due to lumbar stenosis, which is a very common  
15 problem that I deal with surgically 50 to 75 times  
16 a year.

17 Q Doctor, lastly, in response to Mr. Kennedy's  
18 questioning, you indicated that sure, a centrally  
19 herniated disk could cause pain on either side?

20 A Sure.

21 Q Could it cause pain without impinging on the nerve?

22 A A single nerve, no, I don't think so.

23 MR. CABRAL: Thank you, Doctor.

24

25

## EXAMINATION

BY MR. REA:

Q Doctor, did I understand you to say that --

MR. KENNEDY: Objection. I'm going to object to your questioning at this point in time. I don't think you're entitled to begin your direct examination after there's been a direct and a cross.

MR. REA: Let the record show your objection. Let's proceed.

Q Doctor, do I understand that you've done about 150 of these low back procedures in this past year or so?

MR. KENNEDY: Objection.

A Yes.

Q And can you tell us, please, over the years, your many years of practice, about how many times have you performed low back surgery of the type that Mr. Albano had in this case?

MR. KENNEDY: Objection, asked and answered.

A I would guess at least 1500 times.

Q At least 1500 times?

A Yeah.

MR. REA: Thank you. I have

1 nothing further.

2 RECROSS-EXAMINATION

3 BY MR. KENNEDY:

4 Q Doctor, can a bulge or a protrusion cause  
5 symptomatology, bulge or protrusion of the disk?

6 A Per se, no, I don't think so. This is a very  
7 difficult question to answer because, you know,  
8 when you see a patient with certain types of  
9 complaints of a backache and you do a scan and have  
10 a scan performed on a patient like that, oftentimes  
11 I won't order scans on patients like that, but  
12 patients are sent to me by other doctors scans in  
13 hand with complaints of backache, and they have a  
14 little bulging of a disk.

15 I don't do anything very aggressive with  
16 patients like this, because it's very difficult in  
17 my own mind to relate this X-ray finding or MRI  
18 scan finding to a specific symptom or complaint  
19 because you can see this in normal people who have  
20 no complaints.

21 Q Doctor, Mr. Albano immediately following this had  
22 symptoms --

23 A Correct.

24 Q -- consistent with a herniated disk?

25 A Yes, he certainly did.

1 Q And when the symptomatology occurs, that's  
2 consistent with a herniated disk, occurs  
3 immediately after an event, doesn't that allow you  
4 to conclude that the event caused the symptoms and  
5 the herniated disk?

6 A There would be no question in my mind but that the  
7 event caused the symptoms, but there is a great  
8 deal of question in my mind as the fact that he  
9 didn't have a herniated disk on the right side.

10 MR. KENNEDY: I have nothing  
11 further.

12 REDIRECT EXAMINATION

13 BY MR. CABRAL:

14 Q Very briefly, Doctor. You indicated that the event  
15 caused the symptoms.

16 A No question.

17 Q Do you have an opinion as to whether, to a  
18 reasonable degree of medical certainty, this could  
19 have represented an aggravation of a pre-existing  
20 condition, that being the stenosis?

21 A I think it's possible. I think it's possible.

22 Q Do you think it's probable?

23 A I'd have to think a lot about that. It's a  
24 difficult question to answer, but I think it's  
25 possible.

1 MR. CABRAL: Thank you very much.

2 RECROSS-EXAMINATION

3 BY MR. KENNEDY:

4 Q Just finally, Doctor, this issue of stenosis,  
5 again, this is something he had prior to this fall?

6 A I think he did.

7 Q And we have no evidence and no record that it ever  
8 caused this man any pain or any disability or  
9 problem; is that true?

10 A To the best of my knowledge, that is so.

11 Q Doctor, in the past, with your own patients, would  
12 I be correct in saying that when the back  
13 symptomatology has occurred close in time to the  
14 event, the accident or the fall, you have been able  
15 to conclude with reasonable probability and  
16 reasonable certainty that the symptoms and then a  
17 later diagnosed herniated disk were caused by that  
18 fall or that incident?

19 A Obviously, if the symptoms began afterwards, one  
20 would relate the symptoms to the event. When you  
21 say later, it's almost too broad a term. When you  
22 say later, do you mean --

23 Q If the herniated disk wasn't diagnosed for a year  
24 and a half to two --

25 A That would be very unusual in my own experience. I



1 mean, if I had a patient with right sciatic pain  
2 and I could not identify a herniated lumbar disk, I  
3 would sort of scratch my head and wonder why the  
4 patient had right sciatic pain, to be quite  
5 candidly honest with you.

6 MR. KENNEDY: I have nothing.

7 MR. CABRAL: Thank you, Doctor.

8 MR. REA: Thank you, Doctor.

9 MR. CABRAL: Ask counsel -- first  
10 of all, Doctor, do you agree to waive signature?

11 THE WITNESS: Oh, indeed, yes.

12 MR. CABRAL: And would counsel  
13 agree to waive the filing requirements? Let the  
14 record reflect he nodded yes.

15 (Deposition concluded at 7:15 p.m.)

16 (Signature waived)

17 - - - - -  
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1 State of Ohio, )  
2 County of Cuyahoga, ) SS: CERTIFICATE

3 I, Phyllis L. Englehart, CM and Notary Public in  
4 and for the State of Ohio, duly commissioned and  
5 qualified, do hereby certify that the within named  
6 witness, Melvin Shafron, M.D., was by me first duly sworn  
7 to testify the truth, the whole truth, and nothing but  
8 the truth in the cause aforesaid; that the testimony then  
9 given by him was by me reduced to computerized stenotypy  
10 in the presence of said witness, afterward transcribed,  
11 and that the foregoing is a true and correct transcript  
12 of the testimony so given by him as aforesaid.

13 I do further certify that this deposition was  
14 taken at the time and place in the foregoing caption  
15 specified and completed without adjournment.

16 I do further certify that I am not a relative,  
17 counsel, or attorney of either party, or otherwise  
18 interested in the event of this action.

19 IN WITNESS WHEREOF, I have hereunto set my hand  
20 and affixed my seal of office at Cleveland, Ohio, on  
21 this 7th day of February, 1992.

22   
23 Phyllis L. Englehart, CM and Notary Public  
24 in and for the State of Ohio.  
25 My commission expires June 23, 1996.