
James J. Nocon, M.D. - May 21, 1998

Circle City Reporting

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CONDENSED TRANSCRIPT AND CONCORDANCE
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(1) STATE OF OHIO)
 (2) COUNTY OF CUYAHOGA) SS:
 (3))
 (4) IN THE COURT OF COMMON PLEAS
 (5) CAUSE NO. 327020
 (6) ROBERT PAOLONI, et. al.,)
 (7) Plaintiffs,)
 (8) -vs-)
 (9) ERAST J. HAFKOWYCZ M.D., et. al.,)
 (10) Defendants)

(11) The deposition upon oral examination of JAMES
 (12) J. NOCON, M.D., a witness produced and sworn before
 (13) me, Sherry R. Reckas, a Notary Public in and for
 (14) the County of Marion, State of Indiana, taken on
 (15) behalf of the Plaintiffs at the offices of the
 (16) deponent, Wishard Hospital, 1001 West 10th Street,
 (17) Room F-5, Indianapolis, Marion County, Indiana, on
 (18) the 21st day of May, 1998, pursuant to the Indiana
 (19) Rules of Trial Procedure.
 (20)

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(1) JAMES J. NOCON, M. D., having
 (2) been first duly sworn to tell the ~~truth~~, the
 (3) whole truth and nothing but the truth
 (4) relating to said matter, was examined and
 (5) testified as follows:
 (6) DIRECT EXAMINATION,
 (7) QUESTIONS BY JOHN G. LANCIONE:
 (8) **Q Would you state your full name, please, for**
 (9) **the record.**
 (10) **A James Jeffrey Nocon.**
 (11) **Q Where do you reside?**
 (12) **A 1949 Huckleberry Court, Indianapolis, Indiana**
 (13) **46260.**
 (14) **Q Dr. Nocon, I know that your deposition has**
 (15) **been taken a number of times in the past so**
 (16) **that I assume that you are familiar with the**
 (17) **procedure and I don't need to go through any**
 (18) **explanation for you.**
 (19) **A Yes, sir.**
 (20) **Q Okay. When is the last time you gave a**
 (21) **deposition?**
 (22) **A I think in February.**
 (23) **Q When is the last time you testified in trial?**
 (24) **A I don't recall. I would have to - I can**
 (25) **tell you. I can look back in my records, but**

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(1) A P P E A R A N C E S
 (2) FOR THE PLAINTIFFS: John G. Lancione
 (3) LANCIONE & SIMON
 (4) 1300 East Ninth Street
 (5) 1717 Bona Court Building
 (6) Cleveland OH 44114-1503
 (7) FOR THE DEFENDANT: Stephen E. Walters
 (8) (Dr. Haftkowycz) REMINGER & REMINGER
 (9) 113 St. Clair Building
 (10) Cleveland, OH 44114
 (11) FOR THE DEFENDANT: Thomas H. Allison
 (12) (Fairview General) ARTER & HADDEN
 (13) 1100 Huntington Building
 (14) 925 Euclid Avenue
 (15) Cleveland, OH 44115-1475
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 (25)

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(1) I don't remember.
 (2) **Q You do keep records of the cases that you**
 (3) **review and participate in as an expert**
 (4) **witness, do you?**
 (5) **A Yes, I keep basic housekeeping records, who**
 (6) **the attorneys are and billing records. I**
 (7) **don't have a particular database of what the**
 (8) **cases are and so forth, although I'm in the**
 (9) **process of creating one.**
 (10) **Q Have you ever worked as an expert witness for**
 (11) **either of the law firms represented here in**
 (12) **this case, Reminger & Reminger and Arter &**
 (13) **Hadden?**
 (14) **A No, sir, that I recall.**
 (15) **Q Do you know how you were - how it came about**
 (16) **that you became involved in this case?**
 (17) **A Specifically I don't recall, other than the**
 (18) **way it almost always occurs is I get a phone**
 (19) **call from somebody in a law firm asking me if**
 (20) **I would be interested in reviewing a case.**
 (21) **I'll call them back and ask them what's it**
 (22) **about, who it involves. And if I have time**
 (23) **to do it, I'll usually say, "Yes, I'll review**
 (24) **the case" and take it from there.**
 (25) **Try and get some basic information**

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- (1) rounds with the students every morning **from**
 (2) 6:30 to 7 and then a meeting with them **from**
 (3) 7:15 to 8, a didactic meeting. And then I
 (4) hold one-hour seminar sessions with them
 (5) about four days a week on basic obstetrics
 (6) and gynecology.
 (7) So my duties here are primarily service
 (8) **in** terms of taking care of patients at
 (9) Wishard, and administrative in terms of
 (10) running the student education program.
 (11) **Q** How many hours a week do you spend doing
 (12) these things that you have just told me
 (13) about?
 (14) **A** Oh, sometimes it seems like hundreds. I put
 (15) in about 60 hours a week.
 (16) **Q** How much time do you spend on your
 (17) medical/legal consulting business per week?
 (18) **A** Per week? Some weeks one or two hours, some
 (19) weeks six or seven hours, some weeks no
 (20) hours.
 (21) **Q** How many cases do you review a month?
 (22) **A** I try and limit it to one or two a month, and
 (23) I've been doing a pretty good job of limiting
 (24) it to no more than two a month.
 (25) **Q** How many depositions do you give per month or

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- (1) per year?
 (2) **A** Oh, looking back at exact numbers, I think
 (3) it's probably about somewhere between six and
 (4) ten depositions in a year. I would say
 (5) closer to the lower number.
 (6) **Q** And how many times do you testify in court
 (7) by - well, by video deposition or live?
 (8) **A** All my trial testimony has been live, maybe
 (9) but for one case. Over the last ten years,
 (10) probably about a dozen times.
 (11) **Q** Of the number of cases you review **as** a
 (12) medical/legal consultant, can you tell me
 (13) what percentage of those would involve
 (14) shoulder dystocia cases?
 (15) **A** Probably about 70 percent.
 (16) **Q** What is your professional fee for performing
 (17) consulting services?
 (18) **A** Three hundred dollars an hour.
 (19) **Q** How long has that been true?
 (20) **A** Years. Five or six -
 (21) **Q** Ten years?
 (22) **A** Maybe eight.
 (23) **Q** What about deposition testimony?
 (24) **A** Three hundred dollars an hour.
 (25) **Q** Trial testimony?

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- (1) **A** Depends on where the trial is. If I have to
 (2) go out of town for a trial, I bill on the
 (3) basis of a full day, for me is a ten-hour
 (4) day, so that would be three thousand dollars.
 (5) If it's local and I'm in for half a day,
 (6) then it's a half a day, which would be
 (7) fifteen hundred dollars.
 (8) **Q** That's plus expenses if you are traveling?
 (9) **A** That's correct.
 (10) **Q** How much time have you spent on this case up
 (11) to date?
 (12) **A** Up to date I'm going to say about sixteen
 (13) hours.
 (14) **Q** Are you able to generate records that would
 (15) verify that?
 (16) **A** Yeah, because I sent my bill in for ten hours
 (17) and since that bill I've put in another **six**
 (18) hours.
 (19) **Q** If -
 (20) **A** Not including this deposition.
 (21) **Q** All right. At the time that you testify at
 (22) trial in this case, would you bring your
 (23) records which would verify the total number
 (24) of hours that you have spent?
 (25) **A** That's easy, sure.

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- (1) **Q** Good. What are the numbers of reviews that
 (2) you have performed **as** a reviewer for
 (3) obstetrics and gynecology in the past year?
 (4) Have you done any reviews for them?
 (5) **A** Yeah. I got about one every four or five -
 (6) probably - I've never had more than three a
 (7) year. I usually get two or three a year. So
 (8) in the last year I have probably reviewed
 (9) two.
 (10) **Q** Is that the same for the American Journal of
 (11) Obstetrics And Gynecology?
 (12) **A** It would be about the same for that and
 (13) Academic Medicine, I get about two peer
 (14) review articles to look at about every year,
 (15) sometimes three.
 (16) **Q** On your specialty board status, you have the
 (17) American Boards, you are certified in OB/GYN;
 (18) is that right?
 (19) **A** That's correct.
 (20) **Q** And you are not qualified to sit for the
 (21) examination in perinatology?
 (22) **A** That's correct.
 (23) **Q** Or in fetal - maternal fetal medicine?
 (24) **A** That's correct.
 (25) **Q** And what is the American College of

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- (1) *Wishard.*
 (2) *Whereas, Gabbe's textbook comes out of*
 (3) *Ohio State University. It would be more*
 (4) *pertinent to the general population at large*
 (5) *and not necessarily an indigent population.*
 (6) *And for those reasons, I can't say one*
 (7) *is any more authoritative or one is*
 (8) *authoritative and the other isn't. I think*
 (9) *that's true of all books. There is -*
 (10) **Q** *Go ahead.*
 (11) **A** *I think it's true of all textbooks. I mean a*
 (12) *textbook is a conglomeration of authors'*
 (13) *opinions; and because they are opinions, they*
 (14) *are not considered authoritative.*
 (15) **Q** *So there would be no medical book in*
 (16) *existence that you would recognize as*
 (17) *authoritative; is that what you're saying?*
 (18) **A** *Yeah, if I stay consistent with that logic, I*
 (19) *would have to say that. And I believe that.*
 (20) *I think there is some good scientific*
 (21) *literature that is authoritative because the*
 (22) *objective data would indicate that everyone*
 (23) *would agree that that particular fact or*
 (24) *principle is true. A good example of that*
 (25) *would be that cigarette smoking is linked to*

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- (1) *lung cancer.*
 (2) **Q** *What about the book that you wrote a chapter*
 (3) *on shoulder dystocia?*
 (4) **A** *Very good book, excellent contributors, very*
 (5) *honored to be asked to write a chapter on*
 (6) *shoulder dystocia in that book. No more*
 (7) *authoritative than any other book.*
 (8) **Q** *Have you written any reports or do you have*
 (9) *any notes about the reports that you have*
 (10) *written other than the report of February 5,*
 (11) **1998?**
 (12) **A** *That's my report.*
 (13) **Q** *And do you still hold all of those opinions*
 (14) *that you expressed in that report?*
 (15) **A** *Yes, sir.*
 (16) **Q** *Have you changed any of those opinions or*
 (17) *altered them in any way?*
 (18) **A** *Without rereading the report, I can't think*
 (19) *of anything that I would have changed or*
 (20) *altered.*
 (21) **Q** *If you do change or alter any of your*
 (22) *opinions to be expressed in this case, would*
 (23) *you agree to providing specific information*
 (24) *to Mr. Walters so that he can communicate*
 (25) *that with me before trial?*

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- (1) **A** *Absolutely.*
 (2) **Q** *I take it that it's your opinion that there*
 (3) *was no requirement that Dr. Haftkowycz*
 (4) *perform an additional ultrasound in addition*
 (5) *to the two ultrasounds he had performed*
 (6) *earlier; is that right?*
 (7) **A** *That's correct.*
 (8) **Q** *Do you believe that there is any significance*
 (9) *to the difference in gestational age - or of*
 (10) *the fetus and the fundal measurements of*
 (11) *Mrs. Paoloni?*
 (12) **A** *I missed the question. Could you repeat it?*
 (13) **Q** *Do you believe there is any significance to*
 (14) *the difference in the measurements of the*
 (15) *fundal - the fundus and the gestational age*
 (16) *of the baby?*
 (17) **A** *I'm still not sure I really understand what*
 (18) *you're asking me. Do I believe that there is*
 (19) *a significance in the difference between the*
 (20) *fundal height and the gestational age? At*
 (21) *any particular time or throughout the whole*
 (22) *pregnancy?*
 (23) **Q** *Yes, right. You expressed something in your*
 (24) *report about it, as I recall.*
 (25) **A** *Yeah. I think that it was very - that there*

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- (1) *was a difference between the fundal height*
 (2) *and the gestational age in December, and she*
 (3) *was about 24 weeks with a fundal height of*
 (4) *29 centimeters. That was a significant*
 (5) *difference and needed to be evaluated.*
 (6) **Q** *And what did he do to evaluate it?*
 (7) **A** *Well, what would be required would be an*
 (8) *ultrasound to make sure that he wasn't*
 (9) *dealing with twins, but he already knew that*
 (10) *from the previous ultrasound.*
 (11) *But still he would be required to see*
 (12) *if - whether there was an excess of fluid or*
 (13) *whether he was dealing with an abnormally*
 (14) *large baby.*
 (15) *So he did exactly what he was required*
 (16) *to do and the ultrasound report showed that*
 (17) *he was not dealing with an excess amount of*
 (18) *fluid and that the baby was, I believe,*
 (19) *around the 72nd percentile for weight, and*
 (20) *that the gestational age was appropriate for*
 (21) *the other measurements showing that the baby*
 (22) *was appropriate for gestational age.*
 (23) *The other factor here is that*
 (24) *Mrs. Paoloni is a very large woman and we all*
 (25) *accept that the fat around in the abdomen*

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- (1) worry that the continuation of the fundus
 (2) measurement to be much larger than the
 (3) estimated gestational age that would require
 (4) another ultrasound?
 (5) MR. WALTERS: Objection to the
 (6) form. Go ahead.
 (7) A Yeah, I don't understand the question.
 (8) MR. LANCIONE: Let me try it again.
 (9) Q First of all, Dr. Haftkowycz determined from
 (10) his ultrasounds that there was no significant
 (11) difference because of the fundal height being
 (12) measured at 29 centimeters because the
 (13) gestational age was at the appropriate time
 (14) in accordance with his estimate of the date
 (15) of delivery; right?
 (16) A I don't know if that's exactly what he was
 (17) thinking but, you know, I would agree with
 (18) the premise that you just - that you put out
 (19) that he saw a difference, checked it out to
 (20) see if this was an excessively large baby, it
 (21) wasn't, and that the gestational age was
 (22) consistent with where it should be.
 (23) So at that point he has to say to
 (24) himself, well, a lot of this difference
 (25) between the size, the fundal height size -

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- (1) use that we found to be fairly unreliable.
 (2) Q When were they disregarded as being reliable?
 (3) A I know when I was a student I was taught that
 (4) they were not very reliable. That goes back
 (5) at least 30 years.
 (6) Q That would be subtracting thirteen and
 (7) dividing by three?
 (8) A That would be correct, you would subtract
 (9) thirteen from the fundal height, divide by
 (10) three. It's funny because I still do that,
 (11) and then - and that's out of pure academic
 (12) curiosity to see how well that correlates
 (13) with ultrasound and my own estimate of fetal
 (14) weight.
 (15) Q If you do that in this case of 47, it would
 (16) be over five thousand grams; correct?
 (17) A That would be correct.
 (18) Q And at the point in time when Dr. Haftkowycz
 (19) suspected a large for gestational age baby on
 (20) the 29th of March, he estimated the weight at
 (21) four thousand grams; is that right?
 (22) A That's correct.
 (23) Q About a nine-pound baby?
 (24) A That would be a nine-pound baby in round
 (25) numbers.

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- (1) or my measurement, rather, not the fundal
 (2) height - the difference between my
 (3) measurement and the gestational age may very
 (4) well be this woman's abdominal girth.
 (5) Q He continued to assume that; correct?
 (6) A I don't know if he continued to assume that
 (7) or not, but it would be reasonable for him to
 (8) assume that because she was gaining the bulk
 (9) of her weight from that time thereafter.
 (10) Q And the fetus would be, too; is that correct?
 (11) The fetus is growing at a greater rate later
 (12) on in the pregnancy?
 (13) A In terms of grams per week, somewhere in the
 (14) last eight weeks the baby's weight gain was
 (15) relatively steady, but at 24 weeks that baby
 (16) in the 75th percentile or 72nd percentile
 (17) would probably weigh somewhere around a pound
 (18) and a half.
 (19) So the baby gained the difference in
 (20) that time, yeah. So that would be the bulk
 (21) of its weight, too. That's exactly what we
 (22) would expect in any pregnancy.
 (23) Q Is there a method to gauge the size of the
 (24) baby by the measurement of fundal height?
 (25) A There is some old formulas that we used to

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- (1) Q And what he had was about a thirteen-pound
 (2) baby? Two ounces off. Twelve pounds,
 (3) fourteen ounces?
 (4) A That sounds close.
 (5) Q Is there any difficulty in your experience
 (6) when you have a lady that weighs over 300
 (7) pounds and in judging, from doing a clinical
 (8) examination, judging the size of the baby?
 (9) A I would say that the degree of difficulty is
 (10) about as high as it gets in judging the
 (11) estimated fetal weight, and when I'm taking
 (12) care of a patient who weighs 300 pounds
 (13) and - actually we've had a couple 400-pound
 (14) patients very recently - there is no way of
 (15) knowing how big the baby is even with
 (16) ultrasound.
 (17) Once we get up into that weight range,
 (18) not only are our clinical judgments - I
 (19) don't want to say unreliable, but they are
 (20) poor. They have a high margin of error.
 (21) Ultrasound has a high margin of error. And I
 (22) wish we could accurately determine baby
 (23) weights.
 (24) Q So knowing that we had about a 5,400 gram
 (25) baby, you don't find it unusual that the

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- (1) *coming out through a C-section could also be*
 (2) *injured.*
 (3) Q That's a possibility?
 (4) A Yeah, that's correct.
 (5) Q When you review medical/legal cases on behalf
 (6) of a doctor, do you consider that in every
 (7) case there is a - every case is defensible?
 (8) A I wouldn't - I don't know how to answer that
 (9) question. Because when I agree to review a
 (10) case on behalf of a defendant doctor, that's
 (11) one of the clinical judgments that -
 (12) clinical judgments that I'm trying to come to
 (13) for the attorney who is asking me to review
 (14) the case.
 (15) You know, if - what did the doctor do,
 (16) what was the doctor required to do, what was
 (17) his duty, what did he do or what did she do,
 (18) and I have reviewed a number of cases where I
 (19) felt that the doctor did not follow a
 (20) standard of care and that resulted in an
 (21) injury and told the referring attorney that
 (22) that was the case in my opinion.
 (23) So I think there are cases where doctors
 (24) clearly don't follow their duties or do
 (25) something that the standard - failed to do

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- (1) *something that the standard requires, and I*
 (2) *think there are cases where the doctor does*
 (3) *something the standard forbids and doesn't*
 (4) *result in any injury or harm. I mean -*
 (5) Q Let's take a case where in your opinion the
 (6) doctor does something that is - violates the
 (7) standard of care and the doctor and his
 (8) attorney decide to go ahead and defend the
 (9) case. Under that scenario, is every case
 (10) defensible?
 (11) MR. WALTERS: I'll object to the
 (12) form. I don't understand it.
 (13) MR. ALLISON: Objection.
 (14) A I can't answer that question. Well, I'll do
 (15) my best. My best shot at that question is if
 (16) I felt the case - if I felt the doctor
 (17) breached a duty of care and that caused an
 (18) injury, I would tell the referring attorney
 (19) so.
 (20) If they would want me to help them
 (21) defend the case, you know, I would have to
 (22) understand on what grounds I would be asked
 (23) to help. Because I wouldn't think that that
 (24) case would be defensible from the standpoint
 (25) of liability.

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- (1) *But it may be defensible from the*
 (2) *standpoint of injury. In other words, the*
 (3) *injuries may not reflect or may not be that*
 (4) *damaging. There may be something I could*
 (5) *offer in the way of objective medical*
 (6) *expertise that might help in this, in that*
 (7) *respect.*
 (8) *Flipping it around, every doctor*
 (9) *deserves to have a reasonable defense just*
 (10) *like every patient deserves to have*
 (11) *reasonable care. But if I can't participate*
 (12) *in it, I won't. That's about the best that I*
 (13) *could do with that. I'm not sure -*
 (14) Q That was done nicely, thank you.
 (15) I know that your opinion generally is
 (16) that shoulder dystocia is not predictable.
 (17) A That's -
 (18) Q Generally speaking.
 (19) A That's clearly my opinion more than generally
 (20) speaking, almost always.
 (21) Q But as babies get bigger and bigger, it gets
 (22) more predictable; is that true?
 (23) A It doesn't get predictable.
 (24) Q More predictable, I said.
 (25) A No, it's not predictable, that's the point.

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- (1) *I just looked at our data on - from my*
 (2) *research at all of our five thousand gram*
 (3) *babies that we had in our study. We only had*
 (4) *three. Out of 12,500 vaginal deliveries,*
 (5) *only three of them had shoulder dystocia.*
 (6) *Only three of the five thousand gram babies*
 (7) *had shoulder dystocia, and none of them had*
 (8) *any injuries. That's one of the reasons why*
 (9) *I say it's just not predictable.*
 (10) *When we get into legal criteria for more*
 (11) *likely than not, I would certainly agree that*
 (12) *the bigger the baby there is a greater*
 (13) *likelihood that a shoulder dystocia may*
 (14) *occur. But from the standpoint of scientific*
 (15) *predictability, absolutely not.*
 (16) Q You just wouldn't use those terms, you
 (17) wouldn't describe it in terms of shoulder
 (18) dystocia gets much more predictable as babies
 (19) get bigger and bigger?
 (20) A I wouldn't use the term predictable. If I
 (21) had, I misspoke. I would say that doctors in
 (22) all honesty should think in terms that the
 (23) likelihood of a shoulder dystocia increases
 (24) with the size of the baby.
 (25) And I think our research showed that

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- (1) back and thinking about the prior questions.
 (2) A Me, too, so -
 (3) MR. LANCIONE: Well, would you read
 (4) the question that I asked and see if I get an
 (5) answer. I just want to make sure I got an
 (6) answer.
 (7) (The previous question was read back by
 (8) the reporter.)
 (9) A No.
 (10) Q That would be negligent to do that, wouldn't
 (11) it?
 (12) A Not necessarily.
 (13) Q You don't think it would be reckless behavior
 (14) by whoever was allowing or permitting fundal
 (15) pressure while there is an established
 (16) shoulder dystocia during the - when the
 (17) patient is in the McRoberts position?
 (18) MR. ALLISON: Objection.
 (19) A We teach that we should not use fundal
 (20) pressure under these circumstances. As one
 (21) of the conditions in which you asked me
 (22) earlier, when fundal pressure was allowed if
 (23) everything else had failed and that was the
 (24) only way of saving the baby's life, then it
 (25) would not be reckless. So - but given the

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- (1) article. Because we found ~~in~~ our studies
 (2) that it didn't make any difference what you
 (3) did, of all the accepted procedures, that the
 (4) incidents of injury ranged between 15 and 20
 (5) percent. And in Morrison's study, he showed
 (6) that only 25 percent of babies with excessive
 (7) traction were injured.
 (8) And I'm having a lot of difficulty in my
 (9) own mind beginning to think well, where is
 (10) the line here between what's excessive -
 (11) what's acceptable injury and what isn't,
 (12) because 20 percent and 25 percent are pretty
 (13) close.
 (14) As we do in science, we often draw an
 (15) arbitrary line and we say that's it and we do
 (16) our best to hold to it.
 (17) Q So as a general principle then, what I hear
 (18) you saying is that there is no way to
 (19) establish a - by a probability a causal
 (20) relationship between a physician's delivery
 (21) of a shoulder dystocia and an injury to the
 (22) brachial plexus?
 (23) A I wouldn't say no way. I would say hard to
 (24) show a causal relationship. And I would
 (25) agree in all fairness that if a doctor used

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- (1) situation that you implied that -
 (2) Q First.
 (3) A McRoberts was put up and the person gave
 (4) fundal pressure, I would say that that
 (5) deviates from the standard that's been
 (6) established in the literature.
 (7) Q Permanent - do you agree with this, Doctor,
 (8) a permanent injury from a shoulder dystocia
 (9) during delivery is rare and tends to occur
 (10) when the physician is not following standard
 (11) and appropriate care?
 (12) A I completely agree that it's rare. I think
 (13) that it becomes a medical liability when the
 (14) doctor didn't follow standard and appropriate
 (15) care, but I don't think there is a cause and
 (16) effect there, a provable cause and effect.
 (17) Q Okay.
 (18) A And my basis for that is I just read an
 (19) article by Morrison published in 1991, of all
 (20) times, that showed that 75 percent of babies
 (21) that were delivered with fundal pressure
 (22) alone, called excessive traction, had
 (23) absolutely no injury.
 (24) Q Do you base your opinion on that?
 (25) A Absolutely. It's an excellently-done

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- (1) excessive traction, and in combination with
 (2) fundal pressure without doing anything else,
 (3) I would consider that to be inappropriate and
 (4) a deviation from the standard of care.
 (5) Q Are you saying that did not happen in this
 (6) case?
 (7) A That did not happen in this case.
 (8) Q What caused the evulsion and other damage to
 (9) the brachial plexus in the case?
 (10) A I have no idea, but there is absolutely no
 (11) evidence in this case that Dr. Haftkowycz did
 (12) any maneuver that was inappropriate.
 (13) Q Well, would you expect to see something that
 (14) says the doctor used excessive traction when
 (15) he first starts to lower the head when the -
 (16) he first makes his determination that there
 (17) is a shoulder dystocia?
 (18) A I have seen many reports where the term
 (19) excessive traction or hard traction was used.
 (20) So I would have to answer that if it was
 (21) used, an honest doctor is going to put it
 (22) down. In fact, doctors write in what they
 (23) do. If I did it, I would write it in.
 (24) Q So do you always know if you have used
 (25) excessive traction?

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- (1) *have asked me to make in my mind. No, the*
 (2) *doctor would not be negligent.*
 (3) **Q If he knew or should have known, would he be**
 (4) **negligent?**
 (5) **A If he knew or should have known what?**
 (6) **Q The same hypothetical, that the fundal**
 (7) **pressure was being applied when it shouldn't**
 (8) **have been.**
 (9) **MR. WALTERS:** I'm only going to
 (10) object because you added that last part to
 (11) the hypothetical, but go ahead.
 (12) **MR. ALLISON:** Objection.
 (13) **MR. LANCIONE:** The way I described
 (14) it he already testified that it shouldn't be
 (15) done prior to the time that the shoulder is
 (16) disimpacted.
 (17) **MR. WALTERS:** I'm going to object
 (18) because I think it assumes facts not in
 (19) evidence, but go ahead.
 (20) **MR. ALLISON:** Objection.
 (21) **A To ask that a doctor be able to control all**
 (22) *of those variables or should have known all*
 (23) *of those variables is unreasonable,*
 (24) *unrealistic and beyond his capability,*
 (25) *particularly when faced with a true*

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- (1) *So even if he knew and he chose - and*
 (2) *he was able to not put traction on the neck,*
 (3) *then he wouldn't be negligent.*
 (4) **Q But if he continued in the face of them doing**
 (5) **the fundal pressure, he would be negligent?**
 (6) **A And this goes back to my original**
 (7) *qualification, if he was doing that to save*
 (8) *the baby's life and other reasonable*
 (9) *procedures hadn't worked, then he can't be*
 (10) *negligent.*
 (11) **Q Is that a defense in this case in your mind**
 (12) **that he was doing this to save this baby's**
 (13) **life?**
 (14) **MR. WALTERS:** That hasn't been a
 (15) criticism to date, John, that I'm aware of.
 (16) **MR. LANCIONE:** Let me ask the
 (17) question.
 (18) **MR. WALTERS:** You're asking about a
 (19) defense in this case.
 (20) **MR. LANCIONE:** I'm asking the
 (21) question.
 (22) **MR. WALTERS:** Go ahead.
 (23) **A I don't - my opinion based on the medical**
 (24) *record and the testimony is that the doctor*
 (25) *did exactly what I would expect a reasonable*

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- (1) *obstetrical emergency when the baby needs to*
 (2) *be delivered in an efficient and effective*
 (3) *manner. So with that qualification, the*
 (4) *doctor is not negligent.*
 (5) **Q Now let me just add if he knew. If he did**
 (6) **actually know what they were doing and didn't**
 (7) **tell them to stop doing it.**
 (8) **MR. ALLISON:** Objection.
 (9) **MR. WALTERS:** Go ahead.
 (10) **A If a doctor knew that the nurses were**
 (11) *giving - or somebody was giving fundal*
 (12) *pressure and that in and of itself would not*
 (13) *cause any injury, it would have to be -*
 (14) *because he could stop pulling on the head.*
 (15) *Driving the shoulder -*
 (16) **Q That's not in there.**
 (17) **A That's my answer. Wait a minute. Driving**
 (18) *the shoulder from the fundus into the pubic*
 (19) *bone isn't going to cause a brachial plexus*
 (20) *injury.*
 (21) *It takes a combination of the doctor*
 (22) *putting excessive traction on the neck and*
 (23) *somebody driving the shoulder into the pubic*
 (24) *bone in order to put the required stretch on*
 (25) *the brachial plexus.*

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- (1) *competent doctor to do, and he was able to*
 (2) *successfully disimpact the shoulder with the*
 (3) *Woods - with the rotation maneuver*
 (4) *eventually, and that no fundal pressure took*
 (5) *place.*
 (6) *That's my opinion based on the record,*
 (7) *so he - my opinion is he did nothing*
 (8) *negligent. He did what he was required to*
 (9) *do, and nobody gave fundal pressure.*
 (10) *In the hypothetical when you asked me to*
 (11) *assume all of that, I think there is a point*
 (12) *where the doctor, you know, can be pulling on*
 (13) *a baby's head and at the same time somebody*
 (14) *giving fundal pressure and the doctor knowing*
 (15) *about that, sure, I would be critical of*
 (16) *that.*
 (17) **Q Are you saying in this case that there was**
 (18) **not excessive pressure on this baby's**
 (19) **brachial plexus that caused the damage that**
 (20) **you know was done to the nerves in the baby's**
 (21) **neck?**
 (22) **A That's correct, I'm saying that. There is no**
 (23) *evidence of any excessive pressure being*
 (24) *applied to the baby's neck. And the fact of*
 (25) *the injury doesn't prove that excessive*

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- (1) describing the - a baby in that state of
 (2) distress that I mentioned, because of that
 (3) physical - physiological state would be
 (4) easier to deliver, you wouldn't say that?
 (5) A Well, I think logically that makes sense
 (6) in - you know, you would think that.
 (7) But based on the maneuver that he was
 (8) doing that actually disimpacted the baby, the
 (9) screw maneuver, now we're talking about
 (10) mechanical and bony factors.
 (11) If it were just a fat shoulder that was
 (12) holding up the baby and a lot of muscle
 (13) tension, that might be - you know, once the
 (14) baby gets a little hypoxic it might actually
 (15) slip out a little easier.
 (16) So, you know, if we are all smart enough
 (17) to know when that becomes a factor and when
 (18) it doesn't, we might be able to act on it.
 (19) But we're not, we can't predict that very
 (20) well. So it could go either way.
 (21) Q Can babies of mothers with gestational
 (22) diabetes upon delivery be perfectly normal in
 (23) laboratory testing?
 (24) A Well, actually only about a third of babies
 (25) of diabetics are big, fat babies.

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- (1) Overwhelming -
 (2) Q I'm taking about laboratory studies, not
 (3) weight, Doctor.
 (4) A In laboratory studies, babies of gestational
 (5) diabetics would be perfectly normal if they
 (6) didn't have a traumatic delivery, if they
 (7) didn't have a hypoxia, if their Apgar scores
 (8) were okay, and particularly if they were able
 (9) to breastfeed rather quickly, thus getting
 (10) the amount of proteins and sugars and fats
 (11) into them, their blood sugars wouldn't drop.
 (12) You would probably not even know they were
 (13) infants of diabetic or gestational diabetic
 (14) mothers even where the gestational diabetes
 (15) was proved.
 (16) In this case it was never documented
 (17) because she didn't have gestational diabetes
 (18) by criteria.
 (19) Q Tell me what organizations you belong to that
 (20) deal with the - that deal specifically with
 (21) the subject of gestational diabetes.
 (22) A The American College of Obstetrics And
 (23) Gynecology, and as a teacher at Indiana
 (24) University I teach gestational diabetes to
 (25) our residents and our students. That's part

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- (1) of the - there is a section on that in the
 (2) seminar that I wrote for our students.
 (3) That's my organization.
 (4) Q Are you aware that there are some national
 (5) organizations and regional organizations that
 (6) deal with the subject matter exclusively of
 (7) gestational diabetes?
 (8) A Sure, for patients who have that, yeah. It's
 (9) great for them. I'm glad it's there.
 (10) Q Not for patients, for doctors that belong to
 (11) and study this thing more than just
 (12) generally.
 (13) A Sure, I know that doctors have interests in
 (14) various areas. That's one of them, sure.
 (15) Q Okay.
 (16) A I'm glad that they are there.
 (17) Q Was the use of Pitocin appropriate in this
 (18) case?
 (19) A Sure.
 (20) Q Does Pitocin sometimes cover up the normal
 (21) course of labor when you have a larger than
 (22) gestational age baby and you are concerned
 (23) about that particular fact?
 (24) A No.
 (25) Q You're shaking your head no, is the answer -

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- (1) A The answer to the question is no,
 (2) unequivocally no.
 (3) Q What do you say is the range of error in
 (4) ultrasounds determining gestational weight in
 (5) a term fetus?
 (6) A Between 10 and 20 percent. A year ago I
 (7) would have said 15 percent. We've had a
 (8) number of - I don't know if we have some new
 (9) technicians, but our range of error lately
 (10) has been closer to 20 percent.
 (11) Q I'm talking about on a national basis, would
 (12) you argue that 15 percent is not reasonable
 (13) or would you accept that?
 (14) A Oh, I would -
 (15) MR. WALTERS: He said 10 to 20, 15
 (16) fits in that range.
 (17) MR. LANCIONE: Thanks; I figured
 (18) that out, too, that's why I asked the
 (19) question.
 (20) MR. WALTERS: That's what I
 (21) figured.
 (22) A I would accept 15 percent as reasonable, but
 (23) I want to qualify that in that, you know, at
 (24) any given time new technicians - there is a
 (25) lot of turnover in this business of

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- (1) A Very well.
- (2) Q Do you consider him a knowledgeable
- (3) obstetrician?
- (4) A I consider him knowledgeable, I consider him
- (5) an excellent teacher, a wonderful friend,
- (6) compassionate man. He's a maternal fetal
- (7) medicine specialist. His approach is that of
- (8) the specialist who deals with high risk
- (9) pregnancies.
- (10) My judgment is that he's very aggressive
- (11) in that approach and would be treating more
- (12) people than other maternal fetal medicine
- (13) people would be under different situations,
- (14) and I have no criticism of that whatsoever.
- (15) I think that's his position and his thinking
- (16) and that's his approach. I respect him.
- (17) Q And you disagree with his opinions in this
- (18) case?
- (19) A Yes.
- (20) Q That you have read?
- (21) A Yes.
- (22) Q Do you know Dr. Landon?
- (23) A Yes.
- (24) Q Do you consider him a competent expert in his
- (25) field?

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- (1) A I sure do. Mark has written a lot of good
- (2) stuff on diabetes. He wrote the chapter in
- (3) Gabbe's textbook. I think it's Chapter 33 in
- (4) the 2nd Edition. I reviewed that.
- (5) Q You disagree with his conclusions and
- (6) opinions in the case?
- (7) A I think Dr. Landon gave some pretty
- (8) straightforward opinions. I think that - I
- (9) think he failed to acknowledge that in his
- (10) own chapter. In the Gabbe textbook he talks
- (11) about the standard for evaluating gestational
- (12) diabetes as the National Diabetic Diagnostic
- (13) Group standard. That's the one that he
- (14) recommends. He talked about another standard
- (15) that would put this patient into the realm of
- (16) diabetes.
- (17) Q Did you say you think he forgot about his
- (18) chapter?
- (19) A He offered more than what was in his book
- (20) chapter. If I were the average practicing
- (21) obstetrician out in Ohio reading his book
- (22) which was the - reading his book chapter
- (23) which was the current edition, what he put in
- (24) his book chapter is exactly what
- (25) Dr. Haftkowycz relied upon.

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- (1) But in his deposition, Mark went on to
- (2) add a little bit more, and I think that was
- (3) not fair and not objective.
- (4) Q When you see an eleven-pound baby, do you
- (5) presume that the mother has gestational
- (6) diabetes?
- (7) A Sure, that's the first thing I think of;
- (8) she's got gestational diabetes. That doesn't
- (9) mean that she does. And the fact of the
- (10) matter is probably 95 percent of the time I'm
- (11) probably going to be wrong. But that's
- (12) exactly what I think of.
- (13) Q Have you ever testified in a case involving
- (14) shoulder dystocia on behalf of a patient -
- (15) A Sure.
- (16) Q - in trial?
- (17) A I believe so.
- (18) Q Where was that trial?
- (19) A I'm pretty sure it was in Michigan, and the
- (20) attorney would be Bob Blaske, B-l-a-s-k-e, or
- (21) it may be his brother, Tom Blaske. And Tom
- (22) is Ann Arbor and Bob is in Battle Creek. And
- (23) I know that I have reviewed a couple cases of
- (24) shoulder dystocia for them where I testified
- (25) for the patient.

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- (1) MR. LANCIONE: That's all I have.
- (2) CROSS-EXAMINATION,
- (3) QUESTIONS BY THOMAS H. ALLISON:
- (4) Q Dr. Nocon, we met right before your
- (5) deposition. My name is Tom Allison. I
- (6) represent the hospital in this case. I
- (7) believe I'll just have a few questions for
- (8) you here.
- (9) As I understand from your report and
- (10) your earlier testimony, you have reviewed not
- (11) only the medical records of Beth Paoloni from
- (12) Dr. Haftkowycz's office but also from the
- (13) time of the delivery as well as the
- (14) depositions of Dr. Haftkowycz, two of the
- (15) nurses, and I believe you said you have
- (16) also - did you say you also reviewed
- (17) Mrs. Paoloni's deposition as well?
- (18) A That's correct.
- (19) Q Doctor, in all of that information, in the
- (20) office records of Dr. Haftkowycz, in the
- (21) hospital chart of Beth Paoloni, the hospital
- (22) chart of the baby, the depositions of the
- (23) nursing personnel, Dr. Haftkowycz and
- (24) Mrs. Paoloni, did you ever see anything in
- (25) there that would indicate that fundal

(1) STATE OF INDIANA)
) SS:
 (2) COUNTY OF HENDRICKS)
 (3) I, Sherry R. Reckas, a Notary Public in and
 (4) for the County of Hendricks, State of Indiana at
 (5) large, do hereby certify that JAMES J. NOCON, M.D.,
 (6) the deponent herein, was by me first duly sworn to
 (7) tell the truth, the whole truth, and nothing but
 (8) the truth in the above-captioned cause.
 (9) That the foregoing deposition was taken on
 (10) behalf of the Plaintiffs at the offices of the
 (11) deponent, Wishard Hospital, 1001 West 10th Street,
 (12) Room F-5, Indianapolis, Marion County, Indiana, on
 (13) the 21st day of May, 1998, pursuant to the
 (14) Applicable Rules.
 (15) That said deposition was taken down in
 (16) stenograph notes and afterwards reduced to
 (17) typewriting under my direction, and that the
 (18) typewritten transcript is a true record of the
 (19) testimony given by said deponent; and thereafter
 (20) presented to said deponent for his/her signature;
 (21) That the parties were represented by their
 (22) aforementioned counsel;
 (23) I do further certify that I am a
 (24) disinterested person in this cause of action; that
 (25) I am not a relative or attorney of either party, or

(1) otherwise interested in the event of this action,
 (2) and am not in the employ of the attorneys for
 (3) either party.
 (4) IN WITNESS WHEREOF, I have hereunto set my
 (5) hand and affixed my notarial seal this day of
 (6) , 1998.
 (7)
 (8)

Sherry R. Reckas

(9)
 My Commission Expires:
 (10) September 18, 1999
 (11) County of Residence:
 Hendricks
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