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State of Ohio, )  
County of Cuyahoga. ) SS:

IN THE COURT OF COMMON PLEAS

Michelle R. Freeman, Executrix, )  
etc., )  
Plaintiff, )  
vs. ) Case No. 410596  
Cardiovascular Clinic, et al., ) Judge Griffin  
Defendants. )

DEPOSITION OF RAJU MODI, M.D.

MONDAY, JULY 9, 2001

The deposition of Raju Modi, M.D., a Defendant herein,  
called by the Plaintiff for examination under the Ohio  
Rules of Civil Procedure, taken before me, Ivy J.  
Gantverg, Registered Professional Reporter and Notary  
Public in and for the State of Ohio, by agreement of  
counsel and without further notice or other legal  
formalities, at the offices of Buckingham, Doolittle &  
Burroughs, One Cleveland Center - Suite 1700, Cleveland,  
Ohio, commencing at 12:55 p.m., on the day and date above  
set forth.



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APPEARANCES:

On Behalf of the Plaintiff:

John W. Burnett, Esq.  
Becker & Mishkind  
134 Middle Avenue  
Elyria, Ohio 44035

On Behalf of Defendants Cardiovascular Clinic;  
James Sechler, M.D.; Christine Zirafi, M.D. and  
Raju Modi, M.D.:

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1375 East Ninth Street - Suite 1700  
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On Behalf of Defendant K. V. Gopal, M.D.:

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On Behalf of Defendants Patrick Renner, M.D. and  
General Surgery Associates:

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On Behalf of Defendants John Lazo, Jr., M.D. and  
Community Emergency Physicians:

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On Behalf of Defendants Leonard Quallich, M.D. and  
Unni Kumar, Inc.:

Pamela E. Loesel, Esq.  
Ulmer & Berne  
900 Bond Court Building  
Cleveland, Ohio 44114

1 RAJU MODI, M.D.  
2 a defendant herein, called by the plaintiff for  
3 examination under the Rules, having been first duly  
4 sworn, as hereinafter certified, was deposed and said as  
5 follows:

6 CROSS EXAMINATION

7 BY MR. BURNETT:

8 Q. My name is John Burnett. I represent the Estate  
9 of Sally Huerster in this matter, sir.

10 Do you understand that this is a question and  
11 answer session under oath?

12 A. I do.

13 Q. Please tell us your name?

14 A. Raju Modi.

15 Q. And that is R-A-J-U, sir?

16 A. That is correct.

17 Q. Dr. Modi, I hope you will do me the courtesy of,  
18 in the event I have asked you a question that is unclear  
19 or that you don't understand, that you will tell me this,  
20 and then I will do my best to rephrase the question; is  
21 that fair enough?

22 A. Yes.

23 Q. Doctor, if you answer my question, I am going to  
24 conclude that you have understood it and you are giving  
25 me your best answer today; is that fair enough?

1 A. Yes.

2 Q. Doctor, of course I think you understand you have  
3 to answer audibly, right?

4 A. Correct.

5 Q. And it is helpful to everybody if you wait to  
6 start answering my question until I have finished asking  
7 it. That will enable the court reporter to finish typing  
8 the question, and it will enable you to wait a split  
9 second and formulate an accurate response to my question;  
10 is that fair enough?

11 A. Yes.

12 Q. Have you reviewed the chart in preparation for  
13 this, Doctor?

14 A. I have.

15 Q. Instead of going into your educational background  
16 at this point, tell me if you are Board certified?

17 A. I am.

18 Q. In what, please?

19 A. Internal medicine and cardiology.

20 Q. What year did you become Board certified in  
21 internal medicine?

22 A. 1994, I believe.

23 Q. Did you pass the Boards the first time?

24 A. I did.

25 Q. What year did you become Board certified in

- 1 cardiology?
- 2 A. 1998.
- 3 Q. Did you pass the Boards the first time?
- 4 A. I did.
- 5 Q. Have you been deposed before?
- 6 A. I have.
- 7 Q. Please tell me how many times?
- 8 A. Once.
- 9 Q. Tell me when, roughly?
- 10 A. About a year ago.
- 11 Q. Were the circumstances that you were a defendant
- 12 in a lawsuit?
- 13 A. No, I was not.
- 14 Q. What were the circumstances regarding that
- 15 deposition, please?
- 16 A. My corporation being sued by another physician.
- 17 Q. Okay, this wasn't a medical malpractice issue?
- 18 A. No.
- 19 Q. This was like a business dispute?
- 20 A. Exactly.
- 21 Q. You have never been deposed other than that; is
- 22 that right?
- 23 A. That is correct.
- 24 Q. Have you ever given testimony in court?
- 25 A. I have not.

1 Q. To your knowledge, aside from this case, have you  
2 ever been a defendant in a lawsuit?

3 A. Not to my knowledge.

4 Q. To your knowledge, has your group ever been a  
5 defendant and has it been alleged that your conduct was  
6 at issue in that lawsuit?

7 A. Not to my knowledge.

8 Q. Have you ever done any medical-legal work?

9 A. No.

10 Q. Have you published anything, Doctor?

11 A. Yes.

12 Q. Tell me what, please?

13 A. A novel gene associated disease back in 1994.

14 Q. What was that published in?

15 A. Nature Genetics.

16 Q. Anything else?

17 A. No.

18 Q. Anything currently that you are trying to get  
19 published?

20 A. No.

21 Q. Anything that you submitted for publication that  
22 was rejected?

23 A. No.

24 Q. Doctor, aside from the entries you made in this  
25 chart, have you generated any notes, diary entries,

1 journal entries, anything of that sort, relative to the  
2 care you provided Sally Huerster?

3 A. No.

4 Q. Doctor, let's turn to the chart, and I want you to  
5 feel free to look at the chart anytime during this  
6 deposition. It is not a memory contest.

7 It appears to me that your first involvement with  
8 this case was a consult that took place on the morning of  
9 July 3rd, 1999; is that fair?

10 A. It was actually a history and physical, correct.

11 Q. Tell me how that came about, how was it that you  
12 were doing the history and physical?

13 A. The patient was admitted to our service --

14 Q. Okay.

15 A. -- the evening prior, and so therefore I was  
16 rounding that weekend.

17 Q. You were rounding that weekend, I understand.

18 A. Correct.

19 Q. Did you ever speak with Dr. Zirafi about this  
20 patient prior to taking the history and physical?

21 A. I don't believe so. I don't recall.

22 Q. Would that have been a normal course, that is,  
23 if a patient was admitted to your service, would you  
24 have normally spoken with Dr. Zirafi about the patient?

25 A. Not necessarily, no.

- 1 Q. Since you were rounding that weekend, what was  
2 your role throughout the weekend? Help me with this.  
3 Were you considered her attending physician throughout  
4 the weekend?
- 5 A. That is correct.
- 6 Q. And were you an attending physician regarding  
7 cardiology issues, or all issues; tell me how that  
8 worked?
- 9 A. I was her attending physician for the entire  
10 hospital admission.
- 11 Q. It appears that your note was dictated at 10:27 in  
12 the morning; does that appear likely?
- 13 A. That is correct.
- 14 Q. You also concluded that her symptoms involving the  
15 increased white blood cell count and the diarrhea were  
16 likely attributable to *Clostridium difficile* colitis,  
17 correct?
- 18 A. That was a consideration, yes.
- 19 Q. I am probably going to mispronounce it throughout  
20 the balance of the deposition. Do you mind if I just  
21 call it C. diff?
- 22 A. That is fine.
- 23 Q. Now, as of July 3rd, 1999, had you ever treated a  
24 patient for C. diff?
- 25 A. I had.

- 1 Q. Tell me roughly how many times?
- 2 A. I can't give you an exact number. Probably ten  
3 years ago during my medical residency.
- 4 Q. You probably treated one patient ten years ago  
5 during your medical residency?
- 6 A. Probably several patients ten years ago.
- 7 Q. This was during your residency in internal  
8 medicine?
- 9 A. That is correct.
- 10 Q. Doctor, you concluded that the patient should be  
11 continued on Clindamycin, correct?
- 12 A. That is what the dictation shows.
- 13 Q. Why?
- 14 A. The reason for continuation of Clindamycin, that  
15 was predominantly for treatment of URI, which she had  
16 just been discharged with a week before.
- 17 Q. What is URI?
- 18 A. Upper respiratory tract infection, whether that be  
19 pneumonia, bronchitis.
- 20 Q. Okay.
- 21 Certainly as of the time you saw her, you  
22 concluded, however, that she had symptoms that were  
23 consistent with C. diff, though, right?
- 24 A. That was a consideration, yes.
- 25 Q. Did you know at that time whether or not

1 Clindamycin would exacerbate or aggravate C. diff?

2 A. I did not.

3 Q. Have you learned since then that C. diff can  
4 exacerbate or aggravate -- strike that.

5 Have you learned subsequently that Clindamycin can  
6 aggravate or exacerbate C. diff?

7 A. I understand that as a possibly, yes.

8 Q. Did you understand it as a possibility at that  
9 time?

10 A. As a possibility, yes.

11 Q. In your conclusion that C. diff [sic] should be  
12 continued, did you consult, for instance, any  
13 publications on medications, such as the Physicians'  
14 Desk Reference, or anything like that, in coming to  
15 your conclusion that Clindamycin should be continued?

16 A. I did not consult the PDR.

17 Q. But are you telling me in your mind, the  
18 Clindamycin was primarily for the upper respiratory tract  
19 infection?

20 A. It was to continue therapy for a potential upper  
21 respiratory tract infection.

22 Q. What symptoms did she have of an upper respiratory  
23 tract infection when you saw her?

24 A. Predominantly shortness of breath.

25 Q. As her attending throughout the balance of the

- 1 weekend, did you continue to believe that she was  
2 suffering from an upper respiratory infection?
- 3 A. I did not.
- 4 Q. When did you, in your own mind, conclude that she  
5 was not suffering from an upper respiratory infection?
- 6 A. After Dr. Bacik had seen the patient, the  
7 pulmonologist.
- 8 Q. And when did he see her?
- 9 A. The 3rd. I don't know exactly what time.
- 10 Q. Sometime after you saw her?
- 11 A. Correct.
- 12 Q. I am sorry, sometime after you saw her, correct?
- 13 A. Correct.
- 14 Q. Did Dr. Bacik tell you of the results of his  
15 consult?
- 16 A. I don't recall.
- 17 Q. By the time you learned that from Dr. Bacik, had  
18 the patient already been seen by Dr. Gopal and  
19 Dr. Quallich?
- 20 A. Actually, Dr. Gopal and Dr. Quallich saw the  
21 patient initially, and then Dr. Bacik.
- 22 Q. They saw the patient before you did?
- 23 A. No, immediately after I did.
- 24 Q. Okay.
- 25 And you understand that both of those physicians

1 recommended discontinuing the Clindamycin and starting  
2 Flagyl; is that right?

3 A. That is correct.

4 Q. So essentially, your input to continue Clindamycin  
5 was -- strike that.

6 Any idea how many hours after you saw the patient  
7 Dr. Gopal and Dr. Quallich saw the patient?

8 A. I am not sure about Dr. Quallich. I do know that  
9 Dr. Gopal was already on the floor and seeing other  
10 patients, so I knew that he would be seeing the patient.

11 Q. What was your understanding as to how often the  
12 Clindamycin would be prescribed for the patient?

13 A. As per the orders, it was b.i.d., twice a day.

14 Q. That means twice a day?

15 A. Every twelve hours.

16 Q. So she likely had one first thing in the morning,  
17 correct?

18 A. She had one, correct.

19 Q. And you understood she would likely have one later  
20 in the afternoon?

21 A. At 10:00 p.m.

22 Q. Oh, at 10:00 p.m.?

23 A. As I understand it, it was q12 hours, correct.

24 Q. So she probably had Clindamycin -- did she likely  
25 have Clindamycin before you saw her, or while you saw

1 her, or after you saw her; tell me what your  
2 understanding is?

3 A. Going through the chart, my understanding would be  
4 that she received it before I had seen her.

5 Q. And therefore, as far as you understand, the  
6 patient did not receive another dose of Clindamycin,  
7 regardless of your conclusion that she should continue on  
8 Clindamycin; is that right?

9 A. That is correct.

10 Q. I think later in the day, she received Flagyl  
11 instead of Clindamycin, right?

12 A. Soon thereafter.

13 Q. Do you know how soon she received Flagyl?

14 A. I can't say that exactly.

15 Q. To your knowledge, had Dr. Zirafi ever treated a  
16 patient with suspected C. diff?

17 A. Not to my knowledge.

18 Q. Have you ever spoken, either that day or up until  
19 the present, unless the conversation occurred in the  
20 presence of your counsel, have you ever spoken with  
21 either Dr. Quallich or Dr. Gopal about their decisions to  
22 start the patient on Flagyl?

23 A. I have not.

24 Q. Did you, in your evaluation of the patient, even  
25 consider treating her for the diarrhea and the increased

1 white blood cells?

2 A. My intent --

3 Q. Yes, please tell me what your intent was?

4 A. My intent was to mainly treat the other medical  
5 issues, and as to infectious disease, the  
6 gastroenterologist and the pulmonologist would be taking  
7 on that portion of the case, so my intention was to  
8 really defer the therapy to them.

9 Q. And did you understand that they were going to be  
10 seeing her shortly after you?

11 A. I did.

12 Q. Did you call for the consult of Dr. Gopal and  
13 Dr. Quallich?

14 A. I called for Dr. Quallich.

15 Q. You did?

16 A. Yes.

17 Q. Forgive me, Dr. Quallich's specialty is?

18 A. Gastroenterology.

19 Q. Do you know who called for the consult for the  
20 infectious disease physician?

21 A. Dr. Zirafi.

22 Q. Did she do that the previous evening?

23 A. That is correct.

24 Q. By the way, had she wanted to, given your  
25 understanding of how things work at Parma Community

- 1 Hospital with your group and calling in consults, had she  
2 wanted to call for a stat infectious disease or GI  
3 consult on the evening of July 2nd, could she have done  
4 so, and would it likely have been responded to that  
5 evening?
- 6 A. Yes, a stat consult could be called.
- 7 Q. Okay.
- 8 A. I can't comment as to whether or not it would be  
9 responded to that evening.
- 10 Q. You know, I think you concluded that she had  
11 significant hyponatremia; am I pronouncing that  
12 correctly?
- 13 A. Hyponatremia.
- 14 Q. Please tell me what that means?
- 15 A. Low sodium.
- 16 Q. You also seemed to indicate that she either had or  
17 you suspected she had thrombocytopenia?
- 18 A. Correct.
- 19 Q. Did you think she -- forgive me, I am not looking  
20 at your note. Let's see what you said about that. Would  
21 you turn to your note and tell me what you said about  
22 thrombocytopenia?
- 23 A. I believe I commented on it, and that we would  
24 follow this unclear etiology.
- 25 Q. Did you think she likely had thrombocytopenia?

1 A. Biochemically, she did.

2 Q. What about her biochemical studies led you to  
3 believe that she had thrombocytopenia?

4 A. A platelet count of 99,000.

5 Q. What do you think was the likely etiology of the  
6 thrombocytopenia in this patient?

7 A. I do not know.

8 Q. What was the significance of the thrombocytopenia  
9 at the point she had it?

10 A. At this point, it was merely a biochemical  
11 abnormality.

12 Q. Was it life-threatening?

13 A. It was not.

14 Q. As you saw this patient on the morning of June  
15 3rd, what was of greatest concern to you, as her  
16 attending physician, what you thought was her upper  
17 respiratory infection, or the C. diff?

18 MR. WILT: Just let me object. You just  
19 said, the morning of June 3rd.

20 MR. BURNETT: Oh, July 3rd, I am sorry.

21 Thank you.

22 Q. (Continuing) The same question with the morning of  
23 July 3rd, what did you think was of greater hazard to  
24 this patient, the upper respiratory infection or the  
25 C. diff?

1 MR. WILT: Objection.

2 MR. BURNETT: You can still answer.

3 A. I was concerned about both.

4 Q. Did you think one was any more dangerous to the  
5 patient than the other?

6 MR. WILT: Objection.

7 MR. BURNETT: When he objects --

8 MR. WILT: You can go ahead and answer. I  
9 am protecting the record. For legal reasons, I  
10 don't agree with the form of his question, but he  
11 can either reword the question or he can just say  
12 he would like you to go ahead and answer. I think  
13 he wants you to go ahead and answer the question,  
14 if you can.

15 MR. BURNETT: When he gets to the point  
16 where he doesn't want you to answer a question,  
17 you will know it. He will kick you under the  
18 table. No, he will just say --

19 MR. WILT: I instruct the witness not to  
20 answer.

21 MR. BURNETT: -- he instructs you not to  
22 answer.

23 A. Can you repeat the question?

24 Q. Yes.

25 As of the morning of July 3rd, what condition do

1 you think posed a greater hazard to the patient, the  
2 upper respiratory infection or the C. diff?

3 A. As of the morning when I evaluated her, I honestly  
4 could not say which one was more hazardous.

5 Q. Were there any conditions in your mind that caused  
6 a greater hazard in your mind of what you suspected to be  
7 the upper respiratory infection or the C. diff?

8 A. I am sorry, please restate that.

9 Q. Sure.

10 When you saw her that morning, was there any other  
11 condition that caused you as much concern as what you  
12 expected -- or suspected to be the upper respiratory  
13 infection and the C. diff?

14 A. Yes.

15 Q. What was that other condition?

16 A. The low sodium and the low potassium.

17 Q. What did that signify to you?

18 A. Dehydration.

19 Q. What do you think the likely cause was for the  
20 dehydration?

21 A. Most likely, a combination of the diarrhea which  
22 she had had, and likely decrease in intake, oral intake.

23 Q. For a woman with her co-morbidities, was  
24 dehydration especially more dangerous than to someone  
25 without those co-morbidities?

1 A. I can't say that.

2 Q. Okay.

3 Is this your curriculum vitae, Doctor, that I am  
4 looking at?

5 A. I don't know.

6 MR. WILT: Here you go.

7 Q. I am sorry.

8 MR. WILT: It is the same thing.

9 A. Yes.

10 Q. Doctor, relative to your Ohio license, has it ever  
11 been suspended, revoked or called into question?

12 A. No.

13 Q. Relative to your Pennsylvania license, has it ever  
14 been suspended, revoked or called into question?

15 A. No.

16 Q. In either state, has your conduct ever been the  
17 subject of a complaint to the State Medical Board, to  
18 your knowledge?

19 A. No.

20 MR. WILT: John, he said '98 for the  
21 cardiology Board certification. It is 1999.

22 THE WITNESS: 1999.

23 MR. BURNETT: Would you mark that, please.

24 (Thereupon, Plaintiff's Exhibit 1 (Modi)  
25 was marked for identification.)

- 1 BY MR. BURNETT:
- 2 Q. Doctor, have you ever had any conversations with
- 3 Dr. Zirafi about this patient?
- 4 A. I have.
- 5 Q. Please tell me when?
- 6 A. The 25th of July, 1999.
- 7 Q. The 25th or the 5th?
- 8 A. I am sorry, the 5th.
- 9 Q. Who else was present during the conversation?
- 10 A. Nobody. This was just over the telephone.
- 11 Q. Please tell me, as best you remember, what you
- 12 said and what she said?
- 13 A. It was mainly to notify her that Mrs. Huerster had
- 14 passed away.
- 15 Q. Okay.
- 16 A. And I don't recall what she had said.
- 17 Q. You can't recall what Dr. Zirafi said to you?
- 18 A. That is correct.
- 19 Q. You say it was mainly to tell her that
- 20 Mrs. Huerster had passed away. Was there anything else?
- 21 A. Not pertaining to this case.
- 22 Q. Do you recall having any communications with
- 23 Dr. Sechler about this patient?
- 24 A. I do, but I don't remember specifics.
- 25 Q. When was the conversation?

- 1 A. I can't tell you a direct time. It was somewhere  
2 in and around that week.
- 3 Q. Was it during her hospitalization?
- 4 A. It was not.
- 5 Q. It was after her hospitalization?
- 6 A. Correct.
- 7 Q. Would the conversation have likely been about her  
8 death?
- 9 A. Probably to notify him.
- 10 Q. But you don't remember talking about the cause of  
11 death with him, do you?
- 12 A. I don't recall.
- 13 Q. Do you think you talked about the cause or your  
14 belief as to the cause of the patient's death when you  
15 spoke with Dr. Zirafi?
- 16 A. I don't remember.
- 17 Q. Since those conversations with these two  
18 physicians, have you ever talked with them again about  
19 this patient?
- 20 A. After -- yes, with Dr. Zirafi.
- 21 Q. Tell me when you spoke with Dr. Zirafi?
- 22 A. Mainly after the autopsy results, after we knew  
23 about the potassium, and things like that.
- 24 Q. What did you talk about?
- 25 A. It was mainly just bringing out the point of the

1 autopsy, and saying what had happened.

2 Q. Okay, let's deal with that issue right now.

3 Do you have an opinion as to the likely cause of  
4 this patient's death?

5 A. Yes.

6 Q. Please tell me what your opinion is?

7 A. Most likely related to sepsis.

8 Q. Was the sepsis most likely a result of the C. diff?

9 A. In retrospect, yes.

10 Q. Why in retrospect?

11 A. After putting all the findings with the autopsy  
12 together, that is the only cause that I could think of.

13 Q. Have you gone back and looked through the chart  
14 separate and apart from the autopsy to see bits and  
15 pieces of her presentation that you believe should have  
16 alerted you to the fact that she was extremely sick from  
17 C. diff and was developing sepsis?

18 A. I am sorry, state the question again, please.

19 Q. Sure.

20 Separate and apart from the autopsy, have you gone  
21 back to the chart to look at symptoms and test results  
22 which you believe should have alerted you to the fact  
23 that she was developing sepsis?

24 MR. SHROGE: I just want to make it clear.

25 In his chart, his notes, or the entire --

1 MR. BURNETT: The entire chart.

2 MR. SHROGE: Objection.

3 MS. LOESEL: Objection.

4 MR. BURNETT: Go ahead.

5 A. I can't really answer that question because there  
6 are two individual components.

7 Q. Okay.

8 A. The individual components, number one, yes, I did  
9 go back and look at the chart.

10 Q. Okay.

11 A. The second part, did I find something that should  
12 have --

13 Q. That you believe should have alerted you to the  
14 fact that she was developing sepsis or was in sepsis  
15 prior to her death.

16 A. Nothing more than what we already knew and had  
17 suspected from the first day.

18 Q. Okay, what did you know and suspect from the first  
19 day?

20 A. That she had a leukocytosis, an elevated white  
21 count.

22 Q. Okay.

23 A. Which had actually decreased, from my  
24 understanding, and that she had abdominal pain.

25 Q. Those were indicators of sepsis to you?

1 A. Those were not. Those were indicative of an  
2 infection.

3 Q. Okay.

4 A. Sepsis is a very distinct clinical syndrome, and  
5 to this point, I still can't say she meets all the  
6 criteria, but overall, that is what I believe happened.

7 Q. More likely than not, her death was the result of  
8 sepsis?

9 A. As we had suspected, right.

10 Q. And more likely than not, the sepsis resulted from  
11 the C. diff colitis, correct?

12 A. Probably.

13 Q. Doctor, had the patient been started on Flagyl on  
14 June 2nd, 1999 instead of -- strike that -- July 3rd,  
15 1999 instead of -- let me start all over again, okay?

16 Doctor, had the patient been started on Flagyl  
17 when she presented to the hospital in the afternoon and  
18 evening of July 2nd, 1999, is it more likely than not  
19 that the C. diff colitis would have been arrested and the  
20 patient would not have developed sepsis?

21 MR. WILT: Objection.

22 A. I can't answer that. I don't know.

23 Q. Do you recall anything the patient said to you  
24 during this hospitalization?

25 A. Specifically?

- 1 Q. I mean, certainly you can look at your chart and  
2 you recall things --
- 3 A. She said a lot.
- 4 Q. -- but separate and apart from your chart, do you  
5 remember anything she said to you, do you have any  
6 memories of things she said to you that are not reflected  
7 in the chart?
- 8 A. There were many ancillary type issues. Yes, I do  
9 remember some.
- 10 Q. Tell me, in general, what you remember?
- 11 A. Just her level of frustration.
- 12 Q. Okay, what was she frustrated about?
- 13 A. About being sick.
- 14 Q. The diarrhea?
- 15 A. No, no, in general.
- 16 Q. In general?
- 17 A. Just being sick and in the hospital.
- 18 Q. Had you cared for this patient prior to this  
19 admission?
- 20 A. I had not.
- 21 Q. Given what you have learned of this patient during  
22 her admission, I want you to assume for a minute she  
23 didn't develop sepsis, let's say she pulled out of this  
24 infection.
- 25 Do you have an opinion to a reasonable degree of

1 medical probability as to how much longer she would have  
2 lived?

3 MR. WILT: Objection.

4 A. I can't make that decision or answer your  
5 question.

6 Q. Did you ever have any conversations with Dr. Lazo  
7 about this patient?

8 A. No.

9 Q. And when I say, ever, I mean from the date you  
10 started seeing this patient, or even before you saw this  
11 patient, until we sit here today.

12 A. No.

13 Q. Okay.

14 Are you aware of the patient refusing a blood draw  
15 on the evening of July 4th, 1999?

16 A. I am.

17 Q. Okay.

18 A. It was the morning.

19 Q. Was it the morning?

20 A. Yes.

21 Q. And tell me about the circumstances of that, as  
22 you understand them?

23 A. I had been speaking with her, going over the case  
24 and just where we were at this point, and noting the  
25 elevation of her potassium on the morning labs.

- 1 Q. And why was that concerning to you?
- 2 A. It was just higher than the norm.
- 3 Q. And you brought this to her attention, right?
- 4 A. I did.
- 5 Q. And what was your recommendation to her?
- 6 A. That we consider repeating the blood sample.
- 7 Q. And what was her response?
- 8 A. Once again, more of frustration, and I don't know
- 9 the appropriate term, not really fear, but just not
- 10 wanting to be stuck all the time.
- 11 Q. She didn't want somebody sticking a needle in her
- 12 again?
- 13 A. Yes. Again, these were commonly expressed
- 14 sentiments and understandable.
- 15 Q. Doctor, is it more likely than not that had the
- 16 patient consented to that blood draw, that you would have
- 17 learned something that would have prevented her death?
- 18 A. I can't answer that. I don't know.
- 19 Q. Are you aware of any other circumstances on the
- 20 day before her death in which she refused a blood draw?
- 21 A. I am not.
- 22 Q. As her attending physician throughout the weekend,
- 23 sum up for me her progress? I mean, for instance, did
- 24 she appear fairly stable to you relative to her
- 25 infections and then her death was unexpected, or did you

1 see a downward progression? Give me an idea how you, as  
2 an overview, saw this patient throughout the weekend?

3 A. Mainly from my review of the charts, her course  
4 had been relatively stable from admission on. Minor  
5 peaks and valleys, but really nothing of note.

6 Q. Was her death unexpected?

7 A. It was.

8 Q. I know we have talked about the likely cause of  
9 her death. Tell me what it is about the autopsy that  
10 leads you to conclude that the likely cause of the death  
11 was sepsis?

12 A. It was the manifestations of the colon and the  
13 edema of the colon, the size and edema of the colonic  
14 walls showing that she in fact did have a very -- she did  
15 have pseudomembranous colitis, which had been suspected  
16 since the 2nd -- or the 3rd, actually.

17 Q. Okay.

18 A. And that was really it. Blood cultures were still  
19 negative.

20 Q. For what?

21 A. For *Clostridium difficile*, in order to fully say  
22 this is sepsis.

23 Q. Why would you need positive *C. diff* blood cultures  
24 to call it sepsis?

25 A. Because that is the definition of sepsis.

1 Q. What is?

2 A. Blood cultures being positive for an organism.

3 Q. Then how can you conclude that this was likely  
4 sepsis, if it was not in the blood?

5 A. I didn't conclude, it was my assumption. I  
6 believe that this was the cause. Frequently we have --  
7 we believe something to be the case when it is not  
8 proven.

9 Q. Yes.

10 And please understand, when I say, likely, I mean  
11 in terms of probability as we define it here in the law,  
12 that is, if you are 51 percent or more certain of a fact,  
13 I always tell people, then you can say it is the likely  
14 cause of something, and I want to make sure you  
15 understand that.

16 MR. WILT: And also, it goes to your level  
17 of expertise, if you feel comfortable speaking  
18 towards C. diff, and this organism and sepsis,  
19 then that plays into the likelihood and your  
20 ability to give an opinion about causation. If  
21 you don't feel like you are that expert, then tell  
22 us you are not.

23 A. And that actually is the case, I am not an expert  
24 in C. diff, for that matter, and again, I speak of sepsis  
25 in a generic term regarding other types of infections,

1 also, not necessarily confined to C. diff.

2 Q. Let me make sure I understand your testimony.

3 Given your background in internal medicine --

4 A. Yes.

5 Q. -- your background in cardiology, the likely cause  
6 of her death was sepsis?

7 A. Yes.

8 Q. Okay.

9 What was it about the sepsis that -- well, let me  
10 get a little bit more specific, if I may. I am looking  
11 at your cardiology progress note, and --

12 A. What day?

13 Q. 6:20 in the morning.

14 MR. WILT: What day?

15 MR. BURNETT: On the day of her death.

16 MR. WILT: Okay.

17 A. Okay.

18 Q. I mean, you indicate that -- negative arrhythmias  
19 before event, no bradycardia with event.

20 What happened, did her heart just stop?

21 A. It appears to be the case, yes.

22 Q. So she had organ failure, the organ being her  
23 heart, correct?

24 A. Correct.

25 Q. She had white blood cell counts, laboratory

1 values, that day, I am showing, of 29,000; do you see that?

2 A. I do.

3 Q. And again, the significance of that to you is what?

4 A. I don't know the significance of that particular  
5 sample, and the reason I say that -- 29,000, it is up  
6 from 15,000, so to me, that indicates that there is a  
7 higher white count which needs to be further explained.

8 Q. Did you know at some point in time throughout the  
9 weekend her stool samples were positive for C. diff?

10 A. I did know that, correct.

11 Q. Given the fact that she likely had sepsis prior to  
12 her death, and that sepsis likely caused her death, as  
13 you look at this case, from your standpoint as an  
14 internist, is there anything that you believe -- any  
15 intervention from, let's just say, the morning of June  
16 3rd [sic] onward, that could have been undertaken by  
17 either yourself, the GI physician, the infectious disease  
18 physician, the pulmonary physician, all these experts,  
19 which would have likely resulted in saving her life?

20 MR. WILT: Objection.

21 MR. JONES: Objection.

22 MR. SHROGE: Objection.

23 MS. LOESEL: Objection.

24 MR. WILT: You can answer.

25 A. No.

1 Q. When do you think, Doctor, given this case, that  
2 the likely window of opportunity in salvaging this  
3 patient was?

4 MR. WILT: Objection.

5 MR. JONES: Objection.

6 MR. SHROGE: Objection.

7 MS. LOESEL: Objection.

8 A. I can't answer that question.

9 Q. Doctor, I see on the morning of July 5th, the  
10 nurses notes indicate at one or two points abdominal  
11 discomfort. What was the likely cause of the abdominal  
12 discomfort at that point in time, do you know?

13 A. I don't know.

14 Q. Do you remember speaking with the nurse at about  
15 0600 that morning?

16 A. I know from the chart only.

17 Q. You don't have a recollection of the conversation?

18 A. I don't.

19 Q. I note from looking at the autopsy that you spoke  
20 with Edward Cottle?

21 A. Yes.

22 Q. He is a physician?

23 A. He is a pathologist.

24 Q. It says, case discussed with Dr. Modi?

25 A. Uh-huh.

- 1 Q. Is that a yes?
- 2 A. Yes.
- 3 Q. Do you remember the discussion with Dr. Cottle?
- 4 A. Yes.
- 5 Q. Tell me what you discussed?
- 6 A. The findings of the case, what had happened  
7 through the weekend, how she had progressed, and I went  
8 down for the gross autopsy, just to see.
- 9 Q. Oh, you were present during the autopsy?
- 10 A. Yes, I usually do attend.
- 11 Q. Do you remember --
- 12 A. I was present for parts of it, not the whole thing.
- 13 Q. Do you remember anything Dr. Cottle told you?
- 14 A. A surprising lack of findings, other than the  
15 colon.
- 16 Q. You discussed with Dr. Cottle your perception of  
17 the events as they transpired throughout the weekend,  
18 correct?
- 19 A. I did.
- 20 Q. Now, do you recall speaking with any member of  
21 Mrs. Huerster's family?
- 22 A. I do.
- 23 Q. She has a couple of daughters and she has a  
24 daughter-in-law and a son, okay? Tell me who you  
25 remember speaking to, and when you remember speaking to

- 1     them?
- 2     A.     I remember speaking to Shirley --
- 3     Q.     Sheehan?
- 4     A.     -- Sheehan.
- 5     Q.     That is the daughter who is a nurse, correct?
- 6     A.     Correct, an L.P.N. or something.
- 7     Q.     How many times did you speak with her?
- 8     A.     I don't know exactly. I know the morning of, and
- 9     I am sure during the hospitalization.
- 10    Q.     The morning of the death?
- 11    A.     That is correct.
- 12    Q.     Okay.
- 13    A.     And at other times during that weekend, also.
- 14    Q.     Do you remember the conversation the morning of
- 15    her death, what you told her?
- 16    A.     Vaguely, but yes.
- 17    Q.     Tell me what you remember?
- 18    A.     Basically expressing my condolences.
- 19    Q.     Did you give her any reasons as to why
- 20    Mrs. Huerster had expired?
- 21    A.     No, not knowing at that point.
- 22    Q.     Do you remember anything Shirley Sheehan said to
- 23    you that weekend?
- 24    A.     No, not specifically.
- 25    Q.     Do you remember any displeasure she expressed with

1 Dr. Modi, for instance -- I am sorry -- with Dr. Sechler,  
2 for instance?

3 A. I do not.

4 Q. Did she ever express displeasure to you,  
5 challenging you on the care she was -- that you were  
6 providing her mother?

7 A. Not at all.

8 Q. Do you remember her expressing any displeasure  
9 with Dr. Zirafi?

10 A. No.

11 Q. Are you critical of the patient at all in this  
12 case, that is, in your mind, did Mrs. Huerster do  
13 anything or fail to do something that likely contributed  
14 to her death?

15 A. I don't think so.

16 Q. And I am sorry, did you speak with Dr. Quallich  
17 about this patient throughout the weekend?

18 A. As per the records, I did. I don't recall the  
19 conversations.

20 Q. And you spoke with Dr. Gopal, correct?

21 A. I don't recall. I don't believe I did.

22 Q. Okay.

23 A. I had seen him on the floor, but I don't recall  
24 speaking directly to him.

25 Q. Aside from Shirley Sheehan, did you speak with any

1 other member of Mrs. Huerster's family, to your knowledge?

2 A. During that weekend?

3 Q. Yes.

4 A. I do recall a room full of people. I don't know  
5 who all was present.

6 Q. Do you remember anything they said?

7 A. It was a fairly tense moment, and I don't recall  
8 specifics.

9 MR. BURNETT: Let's go off the record for a  
10 minute.

11 (Thereupon, a discussion was had off the  
12 record.)

13 BY MR. BURNETT:

14 Q. Doctor, there were a number of other physicians  
15 consulting with this patient throughout the weekend, correct?

16 A. Yes.

17 Q. Are you critical of any of these physicians in  
18 regard to any of their activities or inactivities being a  
19 possible cause of Mrs. Huerster's death?

20 MR. WILT: Objection.

21 MR. JONES: Objection.

22 MR. SHROGE: Objection.

23 MS. LOESEL: Objection.

24 MR. WILT: You can answer.

25 A. No, I am not.

1 Q. I understand that on the evening of the 4th at  
2 2200 she refused Mycostatin?

3 A. As per the record, yes.

4 Q. Yes.

5 What is Mycostatin?

6 A. It is an antifungal agent. She had -- I believe  
7 she had oral candidiasis.

8 Q. What was the significance of the oral candidiasis?

9 A. Chronic illness, chronic steroid use.

10 Q. The refusal to take the Mycostatin in no way  
11 contributed to her death, did it?

12 A. No, not that I can determine.

13 MR. BURNETT: Does anybody else have any  
14 questions while I just scan my notes?

15 MR. JONES: No.

16 MS. LOESEL: No.

17 MR. SHROGE: I have no questions.

18 BY MR. BURNETT:

19 Q. Doctor, are any of your notes in the chart in your  
20 own handwriting, or are they all typed?

21 A. The notes in the chart are predominantly my own  
22 handwriting, with the exception of the dictated H and P.

23 Q. So you will be under -- this would be under the  
24 progress notes, Doctor?

25 A. That is correct.

- 1 Q. Did you do a discharge summary?
- 2 A. I am pretty sure I did.
- 3 Q. May I see it?
- 4 A. I don't know if I can produce that for you.
- 5 MR. WILT: I don't think I have seen a
- 6 discharge summary in these records.
- 7 BY MR. BURNETT:
- 8 Q. Doctor, by the way, very quickly, on the autopsy,
- 9 the electrolyte imbalance, that was likely a result of
- 10 the diarrhea she was experiencing?
- 11 A. Specifically which electrolyte imbalance?
- 12 Q. Well, I see on the potassium 7.2 that is
- 13 referenced on the provisional gross diagnosis of the
- 14 autopsy report. Is that likely the result of her
- 15 diarrhea?
- 16 A. No.
- 17 Q. What do you think that is likely a result of?
- 18 A. I believe it is the result of her kidneys showing
- 19 significant deterioration overnight.
- 20 Q. And what was the likely cause of the kidney
- 21 deterioration overnight?
- 22 A. I still don't have an explanation.
- 23 Q. Could it be multi-organ shutdown from the sepsis?
- 24 A. You have to define multiple.
- 25 Q. Multi-organ shutdown.

- 1 A. Right, what other organs?
- 2 Q. Well, my understanding of the progression of  
3 sepsis is that if left untreated or not timely treated,  
4 it can lead to multi-organ shutdown and death; is that  
5 fair?
- 6 A. In certain situations, it can, yes.
- 7 Q. In this situation, was the deterioration of the  
8 kidneys overnight likely a result of the sepsis, that is  
9 one of the organs shutting down as a result of the  
10 sepsis?
- 11 A. I am not sure about that, based on the autopsy  
12 results.
- 13 Q. What makes you unsure?
- 14 A. There was a lack of acute -- excuse me -- a lack  
15 of tubular necrosis on the autopsy. And generally with  
16 sepsis and acute renal failure, it is attributable to  
17 acute tubular necrosis.
- 18 Q. That has been your experience?
- 19 A. As a cardiologist, yes.
- 20 Q. And I am sorry, I interrupted you. Please continue.
- 21 A. So that would have been my explanation for her  
22 acute decompensation of renal function, but that wasn't  
23 found on the autopsy.
- 24 Q. So her renal failure is just something you can't  
25 fit into the puzzle?

1 A. I cannot explain it.

2 Q. What significance is it to you of the fact that  
3 this provisional gross diagnosis indicates chronic  
4 passive congestion of liver, any significance to you?

5 A. Other than verifying her significant underlying  
6 heart disease, no.

7 (Thereupon, a discussion was had off the  
8 record.)

9 BY MR. BURNETT:

10 Q. You consulted with Dr. Renner, I think, at some  
11 point during the weekend, correct?

12 A. I placed a consult for him, yes.

13 Q. And he came in and saw the patient, right?

14 A. Yes.

15 Q. Do you remember talking with Dr. Renner?

16 A. I don't remember the conversation, no.

17 Q. Was there a discussion about surgical removal of  
18 the colon?

19 A. I don't recall.

20 Q. You don't recall any, okay.

21 As a cardiologist and her attending physician, had  
22 a -- had the colon been removed on Saturday or Sunday,  
23 would she have likely survived that procedure?

24 MR. WILT: Objection.

25 MR. JONES: Objection.

1 MR. SHROGE: Objection.

2 MS. LOESEL: Objection.

3 A. I can't make that assessment.

4 Q. Had the colon been removed on Saturday or Sunday,  
5 would she likely have survived?

6 MR. SHROGE: Objection.

7 MR. WILT: Objection.

8 A. I can't answer that.

9 Q. Are you critical at all of the nursing care she  
10 received the day of her death, that is, the early morning  
11 hours -- well, from midnight until her death on July 5th,  
12 1999?

13 A. No, I don't believe that I am.

14 Q. Anything that you see in the chart that you  
15 believe should have been reported to you more quickly  
16 than it was?

17 A. Can you identify where you are looking?

18 Q. Well, I am just looking at the nurses notes from  
19 July 5th, 1999. There appear to only be six or seven  
20 entries.

21 While you are looking, I am just going to step out  
22 of the room for a moment.

23 (Thereupon, Mr. Burnett left the room and  
24 reentered the room.)

25 A. The only point they really needed to notify me was

1 at 6:15 in the morning where things look like they were  
2 happening very quickly. So I don't really see that there  
3 was really time to call me at 6:15 in the morning.

4 Q. And when were you called?

5 A. It says, 6:00 o'clock in the morning.

6 Q. Do you remember receiving the call at 6:00 in the  
7 morning?

8 A. I don't remember, no.

9 Q. But did you receive a call at 6:00 in the morning?

10 A. As per the chart.

11 Q. Is there anything about her condition that you  
12 believe was not reported to you and that should have been  
13 reported to you to give this patient the maximum chance  
14 of surviving this?

15 A. No, I believe they called me at 6:00 o'clock to  
16 notify me of her lowered blood pressure, which we  
17 responded to.

18 Q. And how did you respond to that?

19 A. I increased her IV fluids, held her blood pressure  
20 medications until I had made rounds in the morning to see  
21 her.

22 Q. And given that report of -- well, the critical  
23 report to you at that point in time was the fact that her  
24 blood pressure was so low, correct?

25 A. That is correct.

1 Q. What about the telemetry atrial fib 68, what was  
2 the significance of that to you?

3 A. She was stable.

4 Q. In retrospect, is there anything you believe you  
5 should have done at this point in time, based on these  
6 test results as reported to you by the nurses?

7 A. I had no test results reported to me, and I had no  
8 symptoms other than she was feeling fine. I just had a  
9 blood pressure.

10 Q. Given that blood pressure, is there anything else  
11 in retrospect you believe you should have done which  
12 would have likely resulted in saving this woman's life?

13 A. No.

14 MR. BURNETT: I don't have any further  
15 questions, Doctor.

16 MR. WILT: Anybody?

17 MS. LOESEL: No.

18 MR. JONES: No.

19 MR. SHROGE: No.

20 MR. WILT: Great, all done.

21 We will read.

22 - - -

23 (DEPOSITION CONCLUDED)

24 - - -

25

\_\_\_\_\_  
Raju Modi, M.D.

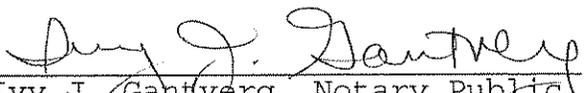
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CERTIFICATE

State of Ohio,            )  
                              )    SS:  
County of Cuyahoga.    )

I, Ivy J. Gantverg, Registered Professional Reporter and Notary Public in and for the State of Ohio, duly commissioned and qualified, do hereby certify that the above-named RAJU MODI, M.D., was by me first duly sworn to testify to the truth, the whole truth, and nothing but the truth in the cause aforesaid; that the deposition as above set forth was reduced to writing by me, by means of stenotype, and was later transcribed into typewriting under my direction by computer-aided transcription; that I am not a relative or attorney of either party or otherwise interested in the event of this action.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of office at Cleveland, Ohio, this 16th day of July, 2001.

  
Ivy J. Gantverg, Notary Public  
in and for the State of Ohio  
Registered Professional Reporter.  
My commission expires November 5, 2003.



# Curriculum Vitae

## *Raju Modi M.D.*

Cardiovascular Clinic  
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### Education

- 1987-1991 Northeastern Ohio Universities College of Medicine-  
*Combined Six Year BS/MD Program, Rootstown, OH.*
- 1985-1987 Kent State University - Magna Cum Laude, Kent, OH.

### Postgraduate Education

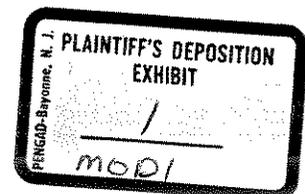
- 1994-1998 Fellowship: Cardiology  
University of Pittsburgh Heart Institute, Pittsburgh, PA.  
Chief, Arthur M. Feldman M.D. Ph.D.
- 1992-1994 Residency: Internal Medicine  
University of Pittsburgh Medical Center, Pittsburgh, PA.
- 1991-1992 Internship: Internal Medicine  
University of Pittsburgh Medical Center, Pittsburgh, PA.

### Board Certification

- 1999 Diplomat: American Board of Cardiology #158346
- 1998 State Medical Board of Ohio License -- #74487
- 1994 Diplomat: American Board of Internal Medicine- #158346
- 1993 State of Pennsylvania Medical License- MD 051236L
- 1992 Diplomat: National Board of Medical Examiners #398716

### Honors and Awards

- 1997 Chief Cardiology Fellow- University of Pittsburgh Medical Center
- 1990 Alpha Omega Alpha
- 1985-1989 Ohio State Board of Regents Scholarship
- 1985-1987 Kent State Valedictorial Scholarship



1985-1987 Kent State Honors Scholarship  
1985 High School Valedictorian  
1984 President, National Honor Society

**Clinical Experience-**

ACC guidelines met for:  
Nuclear licensure  
Transesophageal Echocardiography  
Stress and Dobutamine Echocardiography  
Diagnostic right and left heart catheterization

**Clinical Appointments**

2000- Medical Director- Parma Community General Hospital Coronary Care Unit  
2000- Chairman- Critical Care Committee Parma Hospital  
1998-2000 Medical Staff Member  
-Parma General Community Hospital  
-Southwest General Medical Center  
-Deaconess Hospital  
-Fairview General Hospital  
-St. Vincent Charity Hospital

**Research Experience**

1997-1998 The utility of Ultrafast CT scanning in early detection of coronary disease in people originating from the Indian subcontinent.  
Department of Preventative Cardiology  
Dr. Daniel Edmundowicz and Dr. Hershail Rao  
1997-1998 Myocardial Contrast Echocardiography Research  
University of Pittsburgh Heart Institute  
Department of Echocardiography  
Dr. Flordeliza S. Villanueva  
1994-1995 Cardiology Research Fellowship:  
University of Pittsburgh Medical Center  
Department of Biochemistry and Molecular Genetics  
Dr. Eric P.Hoffman  
1989-1990 Microbiology Research Fellowship:  
Northeastern Ohio Universities College of Medicine  
Division of Infectious Disease  
Dr. William Gardner

1987

The Role of The Medical Practitioner in Managing the  
Illness Trajectory of the AIDS Patient -North Central  
Sociological Association Annual Conference, Cincinnati, Ohio

**Publications**

Bonnemann CG., Modi R., et al. Beta-sarcoglycan (A3b)  
mutations cause autosomal recessive muscular dystrophy with  
loss of the sarcoglycan complex.  
**Nature Genetics** 11(3): 266-73, 1995 Nov

Bonnemann C.G., Modi R., et al. The 43 kDa dystrophin-  
associated glycoprotein A3b is mutated in an autosomal  
recessive muscular dystrophy. **American Journal of  
Human Genetics** 1995; 57; A22

**Personal**

Citizenship: United States

Birthdate- May 18, 1967

Married

Career Interest: Invasive, non-interventional cardiology