

## COURT OF COMMON PLEAS

STARK COUNTY, OHIO

DAVID S. RICHESON,

PLAINTIFF,

-VS-

DR. GEORGE PRIOLEAU, ET AL.

DEFENDANTS.

Doc. 305-  
CASE NO. 87-1811

Deposition of ROBERT L. MCLRURIN, M.D., a  
witness herein, taken by the plaintiff as upon direct  
examination pursuant to the Ohio Rules of Civil  
Procedure and pursuant to agreement and stipulations  
hereinafter set forth, at the offices of Robert L.  
McLaurin, M.D., 111 Wellington Place, Cincinnati, Ohio  
at 2:05 on Tuesday, April 17, 1990 before Lisa Conley,  
a notary public within and for the State of Ohio,

## S T I P U L A T I O N S

2           It is stipulated by and among counsel for  
3 the respective parties that the deposition of ROBERT  
4 L. MCLAURIN, M.D., a witness herein, may be taken at  
5 this time by the plaintiff as upon direct examination  
6 pursuant to the Ohio Rules of Civil Procedure, and  
7 pursuant to agreement; that the deposition may be  
8 taken in stenotypy by the notary public- court reporter  
9 and transcribed by her out of the presence of the  
10 witness; that the transcribed deposition is to be  
11 submitted to the witness for his examination and  
12 signature, and that signature may be affixed out of  
13 the presence of the notary public- court reporter.

14           - - -

1 APP

NCES:

2 On behalf of the Plaintiff:

3 Amy Sue Taylor, Esq.

4 of

5 Michael F. Colley Co., L.P.A.

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7 Columbus, Ohio 43215

8 On behalf of the Defendant, Timkin Mercy  
9 Medical Center:

10 Alicia M. Wyler, Esq.

11 of

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13 800 William R. Day Building

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15 Canton, Ohio 44702

16 On behalf of the Defendant, Dr. George  
17 Prioleau:

18 Lee J. Bell, Esq.

19 of

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22 P.O. Box 35548

23 Canton, Ohio 44735

24 - - -

*Spangler Reporting Services*

1 (513) 381-3330

I N D E X

WITNESS	DIRECT	CROSS-
Robert L. McLaurin, M.D.	EXAM	EXA!
	4	94
	REDIRECT	CROSS-
	EXAM	EXAM
	95	98

E X H I B I T S

PLAINTIFF'S EXHIBITS	MARKED
No. 1 A copy of two-page letter.	28

- - -

1 ROBERT L. MCLAURIN, M.D.  
2 of lawful age, a witness herein, being first duly  
3 sworn a5 hereinafter certified, was examined and  
deposed as follows:

5 DIRECT EXAMINATION

6 BY MS. TAYLOR:

7 Q. Please state your name.

8 A. Robert L. McLaurin.

9 Q. And your professional address?

10 A. 111 Wellington Place, Cincinnati, Ohio.

What's the name of your corporate  
12 entity:

13 A. Robert L. McLaurin, M.D., Inc.

14 Q. Was that the same for 1986?

15 A. Yes.

16 Q. And in 1972 also?

17 A. Yes, I believe so.

18 Q. Do you have any partners?

19 A. No.

20 Q. Have you in the past had partners?

21 A. Not in my corporation, no.

22 Q. Where else have you had partners?

23 A. Well, when I was Chairman of the  
24 Department of Neurosurgery at the University, which

1 was p to 1982, there were other members of the  
2 faculty that were associated on the faculty.  
3 They were no partners in a type of economic  
4 capacity, but we functioned as a group at the  
5 University.

6 Q. Tell me what current practice  
7 involves.

8 A. I'm sorry?

9 Q. Tell me what your current practice  
10 involves.

11 A. Well, it's entirely neurosurgery.

12 Q. Are you a full-time neurosurgeon?

13 A. NO, I'm not a full-time neurosurgeon.  
14 I have cut back on my neurosurgery, because I have  
15 returned to school in the past few years. So I'm  
16 doing about half-time neurosurgeon.

17 Q. YOU returned to school. What type of  
18 school are you going to, sir?

19 A. Well, I'm going to law school.

20 Q. At the University of Cincinnati?

21 A. Yes.

22 Q. When do you expect to graduate?

23 A. The twentieth of next month.

24 Q. Then what are you going to do?

1           A.     Hell, for the record, I don't know.

2           Q.     Are you intending on practicing law?

3           A.     I'm intending on continuing both  
4 neurosurgery and law.

5           Q.     Are you going to be making up a -- Why  
6 don't you tell me what you mean by practicing both.

7           A.     I intend to continue practicing  
8 neurosurgery part time and law part time.

9           Q.     Is there a firm that you are going to  
10 be a member of or associated with?

11          A.     I don't have any definite commitments  
12 at this time, no.

13          Q.     Did doing medical/legal reviews spur  
14 you on into going into law school?

15          A.     Well, I think that was one thing that  
16 got me interested in law school and being involved in  
17 a fair number of personal injury cases, which, of  
18 course, are very common in the practice of  
19 neurosurgery. An3 between those two factors I think I  
20 became interested in the law, yes.

21          Q.     When did you first start reviewing  
22 cases for medical/legal matters?

23          A.     I can't remember when I first did that.  
I presume it was maybe as long as 20 years ago.

1 Q. On the average, how frequently do you  
2 review medical malpractice -- medical/legal matters?

3 A. Well, I would think that probably in  
4 the past few years I have reviewed maybe three or four  
5 per year, something of that sort,

6 Q. And how many depositions have you had  
7 aken with respect to medical malpractice matters?

8 Let's go over the last five years.

9 A. Well, this necessarily has to be a  
10 guess, but I would guess that it would be in the  
11 neighborhood of maybe 15, something of that sort.

12 Q. Have you ever testified in court for a  
13 medical malpractice matter?

14 A. Yes.

15 Q. How many times have you testified in  
16 court?

17 A. To the best of my recollection, only  
18 twice.

19 Q. Do you recall for whom you have  
20 testified?

21 A. Well, I don't remember the names. One  
22 of those was for the plaintiff, and the other was for  
23 the physician.

24 Q. What percentage of your reviews and



1 depositions are for the defendant?

2 A. I would estimate probably two-thirds,  
3 60 percent, 65 percent.

4 Q. Have you ever reviewed cases for Mr.  
5 Bell or his law firm?

6 A. Yes.

7 Q. How many cases have you reviewed for  
8 his firm?

9 A. Again, I don't recall specifically, but  
10 I would imagine it would be three or four.

11 Q. And have you had --

12 A. Well, wait a minute, I'm not sure  
13 that's correct. Quite frankly, I can only recall one  
14 other one specifically, So I'm going to say two or  
15 three.

16 Q. Were depositions taken in those cases?

17 A. I'm sorry?

18 Q. Were depositions taken in those cases?

19 A. I believe there was. One was a  
20 deposition. One that I can recall, yes.

21 Q. What medical malpractice insurer do you  
22 have?

23 A. PIE.

24 Q. How long have you been with PIE?

1 A. Well, I think probably six to eight  
2 years.

3 MR. BELL: hn objection **as** to  
4 relevance as to his insurance company, but I just want  
5 to note that on the record. Anything in that regard I  
6 object to, but the doctor can go ahead and answer.

7 BY MS. TAYLOR:

8 Q. Have you ever been a board member for  
9 PIE?

10 A. No.

11 Q. For the local board?

12 A. NO.

13 Q. Have you ever participated in any claim  
14 reviews?

15 A. No, no. I have reviewed a case for PIE  
16 but not claim reviews, no.

17 Q. Who did you review the case for?

18 A. You mean which attorney?

19 Q. which attorney.

20 A. Mr. Kalur.

21 Q. And do you recall what that case was  
22 about?

23 A. Quite frankly -- well, ~~I~~ I don't  
24 recall what the case was about. It was approximately

1 a year ago, or a little more, that I reviewed that  
2 case, and I don't really recall what the nature of the  
3 case was.

4 Q. Is it a pending case?

5 A. No, I think it's been resolved.  
6 Actually -- wait a minute, I do remember now. It's  
7 coming back to me.

8 It was a case in which there was a  
complication of an arteriogram, and the patient became  
paralyzed following an arteriogram, that was what the  
11 case was. I think that the case is being appealed at  
12 this point. I'm not certain about that.

13 Q. Have you reviewed any other cases for  
14 PIE besides that one case?

15 A. I don't believe so.

16 Q. Have you reviewed cases on behalf of P.  
17 Co.?

18 A. No.

19 MR. BELL: I object to this line of  
20 inquiry as to insurance companies. That's not  
21 appropriate. That's not going to lead to anything  
22 discoverable. I have no objection to cases he's  
23 reviewed, but I don't think you should be making  
24 reference to which insurance company it's for.

1 BY MS. TAYLOR:

2 Q.. Doctor, you previously stated that you  
3 are the Chairman of the Department of Neurosurgery?

4 A. That's correct.

5 Q. Is that at UC?

6 A. Yes.

7 Q. Is that the same thing as the Chief of  
8 the Department of Neurosurgery?

9 A. Yes.

10 Q. How does the Chairman of the Department  
11 of Neurosurgery coordinate with Cincinnati General?  
12 Is it the same position?

13 A. Well, Cincinnati -- you're talking  
14 about Cincinnati General Hospital --

15  
16  
17  
18 The name was changed probably five years ago. The  
19 Chief of Neurosurgery at the University -- Well, let

20  
21  
22 teaching hospital for the University. Therefore, the  
23 Chief of Neurosurgery for the University is  
24 automatically the Chief of Neurosurgery at the

1 University ~ ~ s p i t a l .

2 Q. And how many years were you the Chief  
3 of Neurosurgery for the University?

4 A. Twenty - eight years.

5 Q. And why did you step down as the Chief  
6 of Neurosurgery?

7 A. Well, I was requested to step down  
8 because a new Chairman of the Department of Surgery  
9 arrived on the scene and disagreed with some of my  
10 administrative methods, which had been in effect for  
11 29 years without any problems; and because he  
12 disagreed with some of my administrative policies, he  
13 requested that I step down.

14 Q. What was the name of the new Chairman  
15 of the Department of Surgery?

16 A. Dr. Joseph Fisher.

17 Q. And who followed you then as the Chief  
18 of Neurosurgery of the University?

19 A. Dr. John Tew, T E W.

20 Q. Now, as the Chief of Neurosurgery, do  
21 you have responsibilities for Children's Hospital?

22  
23  
24 at Children's?

1 A. Yes.

2 Q. And am I correct, when you were the  
3 Chief of Neurosurgery for the University of Cincinnati,  
4 you were primarily doing pediatric neurosurgery?

5 A. No. Well, pediatric neurosurgery has  
6 always been one of my major interests and  
7 subspecialties, so to speak. Actually, my two  
8 subspecialties or interests in neurosurgery have been  
9 one, in pediatric work, and secondly in relation to  
10 trauma.

11 Q. What percentage of your practice have  
12 you -- practice of neurosurgery have you devoted to  
13 pediatrics?

14 A. Probably, overall, 40 percent.

15 Q. And what percentage of your practice,  
16 neurosurgery practice, have you devoted to trauma?

17 A. Hell, earlier a larger percentage.  
18 Well, let me see. Let me try to answer that.

19 Early in my career, I would say that  
20 probably 40 percent of my practice was trauma. In the  
21 last 10 years, I have purposely reduced the amount of  
22 trauma that I care for, and so right now it's probably  
23 not more than 20 percent, or maybe even less, 15  
24 percent.

1 Q. And what do you do with respect to the  
2 remaining 40 percent of your practice of neurosurgery?

3 A. Well, that is the rest of neurosurgery  
surge;

4 A very large part of that has to do with vertebral  
5 disc surgery, because that's a common problem in  
6 neurosurgery. And I would say that probably the  
remaining -- well, say the remaining 50 percent of  
8 thereabout 5 of my practice, probably 35 percent of  
that would be disc surgery.

10 Q. When you were the Chief of the Division  
11 of Neurosurgery, what percentage of your time did you

m

16 A. Practicing neurosurgery, and teaching  
17 neurosurgery, and the research of neurosurgery.

18 Q. Do you have a residency program at the  
19 University of Cincinnati?

20 A. Yes.

21 Q. How many neurosurgical residents were  
22 there at University?

23 A. There are six at any given time.

24 Q. Have you ever practiced in an

1 environment where there are no neurosurgical residents?

2 A. Well, some of the hospitals at which I  
3 practice in this community, I'm on the staff of, do  
not have a residency program.

6 hospitals?

7 A. Oh, yes.

8 Q. Have you practiced at any hospital  
9 where they do not have surgical residencies?

10 A. Well, I have in the past, I'm not  
11 practicing at any right now. I have practiced in the  
12 past at hospitals without any surgical residents, yes

13 Q. What hospitals would that be?

14 A. I'm thinking specifically of Our Lady  
15 of Mercy Hospital, which had no surgical residents. I  
16 believe that Bethesda Hospital for a period of time  
17 did not have any surgical residents. Those are the  
18 two that come to my mind.

19 Q. What's the University of Cincinnati  
20 Surgical Association?

21 A. What is it?

22 Q. Yes.

23 A. Well, I guess -- Where did you get that  
24 term? Is that from my Cv or something?



1 Q. No. Is there no such entity or --

2 A. I thought it was Neurosurgical  
3 Association, that's why I want to see.

4 Q. What did I say?

5 A. You said Society, I think.

6 Q. Association, excuse me.

7 A. Well, wait a minute.

8 Q. If I misspoke --

9 A. What were you asking?

10 Q. What's the University of Cincinnati  
11 Surgical Association?

12 A. Well, I don't even know that one, to  
13 tell you the truth. I was thinking really of the  
14 Montray Surgical Society, which is at the University  
15 of Cincinnati, I don't know what that is.

16 Q. There's a department of surgery at the  
17 University of Cincinnati providing loans to physicians  
18 that are coming on staff. Has it in the past provided  
19 loans to physicians?

20 A. The department of surgery?

21 Q. Yes.

A. I can't answer that. I don't know  
whether they have or not. They haven't provided any  
loans to any of my residents that I'm aware of. I

1 presume you're asking about loans to -

2 Q. Resident5 or to bring in staff members,  
3 attending.

4 A. I'm not aware of that at all,

5 Q. It's my understanding that you are  
6 acquainted with Dr. Prioleau?

7 A. Yes.

8 Q. And do you know exactly when he was at  
9 the University of Cincinnati?

10 A. Well, he left, I think, in 1982, I  
11 believe. And I believe he was here approximately two  
12 years, so I would guess it was 1980.

13 Q. Was he here from January to December o  
14 those years, a portion thereof?

15 A. I can't remember the exact dates, quite  
16 frankly.

17 Q. Do you have any recollection as to how  
18 Dr. Prioleau came to practice, became a member of the  
19 University of Cincinnati?

20 A. Yes. I had recruited him from the  
21  
22 training.

23 Q. Did anybody assist you in recruiting  
24 Dr. Prioleau?

1           A.     hell, his chief from the University of  
California was -- who was a close friend of mine, was  
the one who recommended him to me. And I knew that  
the program out in California was an extremely good  
program, and that Dr. Prioleau had been specifically  
6     trained in a certain area in which we were somewhat  
7     deficient in neurosurgery, and, therefore, that was  
8     the basis for my recruiting him.

9           Q.     Who was this close friend of yours at  
the University of California?

11          A.     Dr. Charles Wilson.

12          Q.     And what was the specialty of  
14     were deficient in here?

          A.     It's called transphenoidal surgery on  
16     the pituitary gland. And the University of California  
17     was, and still is, a place where this has been highly  
18     developed and has been a specialty, and we had not  
19     previously done much. We had done some but not much  
20     in the way of transphenoidal surgery, and I was  
21     anxious to have someone on the staff who was more  
22     familiar with it.

          Can you tell me what specifically was  
done to evaluate his practice while he was at the

1 University of Cincinnati?

2 A. Well, specifically, I **guess**, daily  
3 observations. I made rounds with him regularly, once  
4 a week, and we consulted probably **almost** every day  
5 about patients, and we held conferences, several  
6 conferences, on a weekly **basis** in which he would  
7 participate, **as** well as the other members of the  
8 faculty. So there was a very close observation of his  
9 care of patients and his management of the teaching  
10 responsibilities.

11 Q. Did you ever assist him or perform  
12 surgery with him?

13 A. Yes.

14 Q. What type of surgery did you perform  
15 with him?

16 A. I think primarily it was transphenoidal  
17 surgery, because that's the one that I can recall most  
18 prominently, because that's what I was anxious to  
19 learn more about.

20 Q. What was his position when he was at  
21 the University of Cincinnati?

22 A. Well, I believe he started as an  
23 Assistant Professor. I think he remained as an  
24 Assistant Professor during his time here.

1 Q. What are the qualifications for being  
an Assistant Professor as opposed to an Associate  
professor?

4 A. Well, an Associate professor generally  
has tenure, and by and large one does not become an  
6 Associate Professor until they've demonstrated their  
abilities and responsibilities over a period of at  
least five years, and usually it takes longer than  
9 that actually, because the University, of course, does  
not want to grant tenure to people until they have  
11 demonstrated over a period of years their commitment  
12 to the process of teaching.

13 Q. Looks like it took you three years to  
14 become an Associate Professor at UC?

15 A. I beg your pardon?

16 Q. Looks like it took you three years to  
17 become an Associate Professor at UC?

18 A. That was probably a little bit  
19 anomalous, if it only took me three years. And the  
20 reason for that was that during that time the Chief of  
21 Surgery -- I mean, the Chief of Neurosurgery left to  
22 become Chief of Neurosurgery at the University of  
23 Chicago, and, therefore, I was, I guess) moved up in  
rank a little bit earlier than usual.

1 Q. Now, did Dr. Prioleau have any other  
2 positions while at the University of Cincinnati, any  
3 other administrative positions?

4 A. well, he had some administrative  
5 responsibilities, and I believe he was on some  
6 committees at the hospital, I don't recall  
7 specifically which committees they were, but I do  
8 remember he **was** on the Operating Room Committee at the  
9 University Hospital, and he may have been on one or  
10 two other committees, and he certainly had some  
11 responsibility for the residency program.

12 Q. And the residency program, did he  
13 primarily teach about the transphenoidal procedure?

14 A. Well, he taught general neurosurgery  
15 and a great deal of trauma, because he was acting as  
16 the principal neurosurgeon at university Hospital, and  
17 University Hospital sees a lot of trauma. So he was  
18 involved in a good deal of trauma there. He was  
19 involved in the transphenoidal surgery when that came  
20 along, and he **was** involved in the general practice of  
21 neurosurgery otherwise.

22 Q. Did he have any private patients?

23 A. Yes.

24 Q. Do you have any recollection as to the

number of patients he would have had in private practice?

3 A. No, I don't have a good recollection of  
4 that. His practice was not extensive, because he was

6 During the first year probably that he  
was here, he was pretty much confined to the  
University Hospital, which at that time did not have  
much in the way of private practice. And so it was  
1 probably during the latter part of his tenure here  
11 that he was developing a private practice, and

12  
13 extensive one.

14 Q. Did he associate himself with any  
specific neurosurgeons?

16 A. I'm not quite sure what you're asking.  
17 You mean other than the faculty?

18 Q. Was there a neurosurgeon that he was  
19 getting; not a partnership kind of an arrangement, but  
20 that he associated himself with, had his private  
21 office with?

24 the four.

1 Q. Who were the other two?

2 A. Dr, McClinon, James McClinon. And I'm  
3 blanking on the name of the fourth one. He's now  
4 practicing in Cleveland. I'll think of his name in a  
5 minute, but right now I can't recall it. Matt Likavek  
6 was his name.

7 Q. Who's Dr. Tornheim?

8 A. Well, she's a PhD in the Department of  
9 Anatomy at the University.

10 Q. What was your role in the writing of  
11 the article, "Acute Responses to Experimental Blunt  
12 Head Trauma"?

13 A. Well, at that point we had a research  
14 grant from the National Institutes of Health to  
15 investigate some aspects of head injury. And Dr.  
16 Tornheim was one of the principal investigators on  
17 that research project, and Dr. Prioleau and I both  
18 participated in it with her.

19 Q. Did the research continue after Dr.  
20 Prioleau left?

21 A. Yes. It has been discontinued since  
22 then, but, yes, it continued after he left.

23 Q. Are you acquainted with a Dr. Thibadaeu,  
L. Thibadaeu?



1 A. The name doesn't sound familiar. Is  
2 that --

3 Q. T H I B A D A E U.

4 A. Thibadseu. I presume -- Can I see the  
5 article?

6 Q. sure, sir.

7 A. No, I don't know him. That must have  
8 been after Dr. Prioleau went to Yale, because that was  
9 published in 1987.

10 Q. The article of general neurosurgery was  
11 published in '84, and that was after he left also?

12 A. I'm looking at the wrong one.

13 Q. I was just referring you to the other  
14 article.

15 A. You're talking about Thibadaeu?

16 Q. Right.

17 A. That was '87.

18 Q. And the article in '84 that you  
19 co-authored with Dr. Prioleau?

20 A. That was published in '84, but that was  
21 work done prior to his leaving. But the one in '87  
22 would definitely have been one after he left here.  
23 Articles in medical journals usually ~~are~~ (published one  
or two years after the work has been done.

20

1 Q. I notice that you have an abstract that  
2 was done in the proceedings in the Fifth Conference of  
3 Neurotraumatology that you co-authored with Dr,  
4 McGuire -- excuse me, Dr. prioleau?

5 A. Can I see what you're referring to?

6 Q. Sure, sir.

7 A. Proceedings, yes, okay. Yes, um-hmm.

8 Q. Since Dr. Prioleau left the University  
9 of Cincinnati, have you kept in contact with him?

10 A. Oh, on probably several occasions I've  
11 seen him at neurosurgical meetings. I have not kept  
12 in regular contact with him.

13 Q. Have any of the other neurosurgeons at  
14 University kept in contact with Dr. Prioleau?

15 A. Not to my knowledge.

16 Q. Do you know how you came to be a  
17 witness in this case, an expert in this case?

18 A. In this case?

19 Q. Correct.

20 A. I was requested by Mr. Bell to review  
21 the case.

22 Q. Have you discussed this case with Dr.  
23 Prioleau?

24 A. No.

1 Q. Have you talked with Dr. Prioleau since  
2 you were retained as an expert in this case?

3 A. No, I'm sure I haven't.

4 Q. Do you recall when you first were  
5 retained or contacted by Mr. Bell?

6 A. Well, not really. I don't. I think it  
7 wits a year ago or thereabouts, probably. I don't have  
8 any dates on here, but I would presume it was about a  
9 year ago.

10 Q. How were you contacted by Mr. Bell?

11 A. Well, I don't recall specifically  
12 whether he wrote to me or whether he initially called  
13 me on the telephone, quite frankly.

14 Q. Do you have any of the correpondence  
15 from Mr. Bell?

16 A. I'm sorry?

17 Q. Do you have any of the correspondence  
18 from Mr. Bell?

19 A. I don't have it here. I have it wjth  
20 the rest of the records.

21 Q. Where are the rest of the records?

22 A. Across the hall.

23 MS. TAYLOR: Why don't we go off the  
24 record and we'll look at that.

(Off the record.)

BY MS. TAYLOR:

Q. How much are you charging per hour for this case, sir?

A. For the deposition or for the review of records?

Q. Either and both.

A. Well, I charge -- I charge \$200 an hour for review of the records, and my usual charge is \$300 an hour for a deposition.

Q. You wrote a letter in this case, a report in this case?

Well, I guess I probably did, looks like it.

MS. TAYLOR: I'd like that marked as an exhibit, please.

(Plaintiff's Exhibit No. 1 was marked for identification.)

BY MS. TAYLOR:

Q. Doctor, I notice in the letter that's dated April 24th, 1989 from Mr. Bell, it starts off, "Thank you for agreeing to review the above case," would that be the first correspondence you had?

22

1 Q. How much have you received from the  
2 defendants in your reviewing of this case?

3 MS. WYLER: I'll object.

A. In terms of money?

5 Q. Correct.

6 A. I don't have any idea. I don't recall.

7 Q. Am I correct, you received \$1,100 for  
the review of the depositions of Mr. Prioleau,  
9 Shoneheim, Retter, Richeson, and the ho

0 A. Well, I assume that's been received, I  
11 hope.

12 Q. And it's reasonable to assume that you  
13 billed for other depositions you have reviewed in this  
14 case?

15 A. Yes. As far as I know, I have billed  
16 for whatever time I have spent, yes.

17 Q. I notice, Doctor, we have two sets of  
18 medical records here.

19 MR. BELL: That's my copy there.

20 MS. TAYLOR: Well, I would like all of  
21 the copies of the letters -- of letters of you back  
22 and forth with Dr. McLaurin.

23 BY MS. TAYLOR:

24 Q. I notice, apparently, you reviewed an

1 arbitration brief in this case?

2 A. Yes.

3 Q. I do not see that in this pile of  
4 materials. Perhaps you can find it, because I'm  
5 missing it.

6 MR. BELL: I took it back. I don't  
7 plan to give you that arbitration brief. You can file  
a motion with the court.

9 BY MS. TAYLOR:

10 Q. What was set forth in the arbitration  
11 brief?

12 A. well, it was -- To the best of my  
recollection, it was simply a summary of the case.

13 Did you receive any summaries of the  
14 medical records?

15 A. I don't believe so.

16  
17 approximately 50 percent of your professional time  
18 doing neurosurgery?

19 A. At present, yes.

20 Q. And when did you cut back to doing 50  
21 percent?  
22

23 MR. BELL: I object to that question  
24

time?

2           A.     A hundred percent of my professional  
3 time, I'm sorry, is in neurosurgery because the time  
4 I'm going to school is not professional time, that's  
educational time. But my professional time is 105  
6 percent neurosurgery.

7           Q.     How many hours a week would you  
8 estimate you do neurosurgery, practice neurosurgery?

9           A.     Well, it's a good 20, 25 hours a week.

10          Q.     How many hours are you taking at UC in  
11 law school?

12          A.     Well, at the present time, I'm taking  
13 12 hours.

14          Q.     And, of course, you study for at least  
15 that amount of time a week?

16          A.     I would think so, yes.

17  
18          A.     No.

19          Q.     Are you working through any law office?

20          A.     No.

21          Q.     The 12 hours per week you're taking at  
22 UC, that's considered full time, correct?

23          A.     yes.

24          Q.     What were the circumstances behind Dr.

1 Prioleau leaving UC?

2 A. Specifically, he decided to leave when  
3 my position as chairman **was** terminated. **He** had come  
4 here from the University of California to work with me  
5 on the faculty, and when my position **was** terminated,  
6 Dr. Prioleau decided to leave. And he was recruited  
7 to Yale university.

8 Q. And you said that he decided to leave  
9 when your position was terminated. What effect did  
10 the termination of your position as the Director of  
11 the Division of Neurosurgery have on his position?

12 A. Well, obviously, I was his chief, and  
13 he did not know who was going to be the chief from  
14 there on or what the circumstances would be, and he  
15 didn't want to continue in his position without  
16 knowing who the chief was going to be, or whether he  
17 would have a job, I suppose, down the road. And he  
18 had an opportunity to join the faculty at Yale and did  
19 so, and I thought it was a very sensible move for him.

20 Q. When did you first become aware of who  
21 the new chief for the Department of Neurosurgery was  
22 going to be?

23 A. Probably about a year ~~after~~ my  
24 termination. I don't remember specifically,



1 Q In the interim from the time that you  
2 were terminated as the Chief of the Department of  
3 Surgery until when Dr. Tew --

4 A. Uh-hmm.

5 Q. -- became the chief, who was the Acting  
6 Chief of the Directors?

7 A. Dr. Prioleau was acting chief for a  
8 short time, until he left, and then -- actually, Dr  
9 Fisher, Joseph Fisher, I think, took over being  
10 nominally chief of the division, although, he is not a  
11 neurosurgeon. But there was no actual neurosurgeon  
12 chief as such for a period of a good many months  
13 Q Four months, three, four months?  
14 A. Well, it would have been at least that,  
15 I guess. I don't recall exactly.

16 Q Was Dr. Prioleau ever formally the  
17 Acting Chief of the Department of Neurosurgery?

18 A. He was the acting chief until the time  
19 that he left, yes.

20 Q. What duties did he have as the Acting  
21 Chief of the Department of Neurosurgery?

22 A. Well, essentially it was a matter of  
23 continuing to administer the residency training  
24 program.

Q. And that would have been **for** a good  
2 number of months?

A. Well, I think **it was** probably only  
3 about three, four months.  
4

Q. Have there ever been any other black  
5 neurosurgeons at UC?  
6

A. Not on the faculty, no. **We did** have a  
7 black member of the residency program.  
8

Q. Who **was** that?  
9

A. Who?  
10

Q. Yes.  
11

A. I can't recall his name now, this **was**  
12 some 10, 12 years ago.  
13

Q. Did the University, or the Department  
14 of Neurosurgery, or the hospital receive any type of  
15 federal funding for having a black neurosurgeon on  
16 their faculty?  
17

A. Not to my knowledge.  
18

Q. Were there any type of -- other types  
19 of compensation, federal or local, for having a black  
20 neurosurgeon?  
21

A. Not that I'm aware of, no.  
22

Q. Do you know the circumstances behind  
23 Dr. Prioleau receiving a loan from the --

3 A. Oh, --

4 Q. -- surgical association I mentioned  
5 before?

6 A. That's something that Dr. Fisher  
7 obviously controls. I'm not aware of that. I was not  
8 aware of it. I think I did -- After Dr. Prioleau left,  
9 I believe I did become aware that he had received a  
10 loan of some sort, but I know no details about it,  
11 because that was strictly between him and Dr. Fisher.

12 Q. Do you know anything, what it was for?

13 A. I have no idea.

14 A. Did I socialize with him? Well, yes, I  
15 suppose that I socialized with him.

16 I think we went to certain  
17 neurosurgical social functions. He **was** invited to the  
18 reception when I remarried in 1982. So there were  
19 occasional social functions, yes.

20 Q. What was the type of procedure that was  
21 done on Mr. Richeson?

22 A. Well, that was an anterior  
23 cervicaldissectomy and interbody fusion.

24 Q. Are you acquainted with that procedure?

1 A. Yes.

2 Q. How many of those procedures have you  
3 done in the last five years?

4 A. Not many in the last five years,  
5 because I have gone back to doing my surgery  
6 posteriorly rather than anteriorly.

7 Originally, I did this kind of surgery  
8 from behind, and then for several years I started  
9 doing it from anteriorly, which was the way this was  
10 one was done, and in the past few years I've gone back  
11 to the old method, because I prefer it. So in the  
12 past few years, I've not done many of them. Prior to  
13 that, I was doing them more frequently.

14 Q. How frequently do you do the  
15 cervical dissections and interbody fusion?

16 A. Well, are we asking now about the last  
17 five years?

18 Q. Let's go with the last five years.

19 A. As I just finished saying, not often at  
20 all, probably one a year, because I do most of my  
21 surgery from behind rather than in the front. But  
22 prior to five or ten years ago, I was doing then quite

24 Q. what's, "quite frequently," mean?

1

2

3

Q. How frequently do you do the posterior approach of that procedure?

4

A. About one or two a month,

5

6

Q. Why is it you changed back to the posterior approach?

7

8

9

10

11

12

13

14

A, Because I -- Well, there are some technical reasons. It does not involve a fusion, for one thing, so that, in my judgment, it is a little simpler from that standpoint. I think it accomplishes the same things as far as the patient is concerned, and I don't think there are any greater complications or postoperative discomfort, and, therefore, I just prefer it.

15

16

17

18

19

Neurosurgeons are divided into two camps, one that prefer the anterior approach and one that prefer the posterior approach, and neither one can say that the other is not entirely appropriate, but it simply is a matter of preference.

20

21

22

Q. When Dr. Prioleau was at the University of Cincinnati, how frequently were they doing the anterior cervical dissection and interbody fusion?

23

24

A. I suppose he was doing -- I would estimate one or two a month at that point.

1 Q. Do you know that for a fact, sir, or  
2 are you just estimating?

3 A. I'm really just estimating, because I  
4 don't really know precisely how much surgery he was  
5 doing on the cervical spine in 1980 to '82, that would  
6 have been ten years ago. I'm estimating that it was  
7 at least once a month, and I suspect it was not more  
8 than two times a month.

9 Q. But it could have been less than that,  
10 too, correct?

11 A. Less than --

12 Q. One or two a month.

13 A. I doubt that it was less than one a  
14 month, although, I can't be dogmatic about that, but I  
15 would doubt that it was less than that.

16 Q. And you have reviewed the medical

17 A. Yes.

18 Q. Can you explain to me why there was a  
19 bone fusion at only one of the levels as opposed to  
20 both levels?  
21

22 A. I'm not sure exactly why he decided to  
do a fusion at one level and not the other. Again

1 from in front, they are divided.

2 Some neurosurgeons do not feel that  
fusion is necessary, another group of neurosurgeons  
feel that fusion is necessary. And, again, there is  
no clear-cut preference as to those procedures. Now,  
6 why he decided to do a fusion at one level and not the  
other, I'm not certain.

8 Q. That isn't something you would have  
9 taught or done at UC, is it?

10 A. No, probably not. Although, I have  
11 done them without fusion, and I have done them with  
12 the fusion myself, personally.

13 Q. But have you done them both ways in the  
14 same operation?

15 A. NO.

16 Q. Would you agree with me that that's  
17 somewhat unusual?

18 A. I think it's a little unusual. I don't  
19 think there's anything to be criticized by it, though,  
20 because it can be done either way.

21 Q. Sir, was the surgery in this case  
22 indicated?

23 A. I would think so. Yes, I believe so.  
24 It was.

1 Q. What kind of conservative treatment was  
2 provided? You're looking right now at --

3 A. Well, I **was** trying to review my notes  
4 **about** Dr. Prioleau's deposition.

5 Q. **You're** looking at approximately **six**,  
6 seven pages of yellow notes?

7 A. Right.

8 MS. TAYLOR: I'd like to get those  
9 marked when we're done and get copies of them.

10 A. Specifically, my notes about the  
11 deposition of Dr. Prioleau. And all I have recorded  
12 is that there was a six-week history, and I did not  
13 record what treatment **had** been recommended during that  
14 tim

15 Q. Is it fair to say you don't recall  
16 whether any type of conservative treatment was  
17 provided?

18 A. Well, there was six weeks of some type  
19 of management.

20 Q. Is it fair to say you don't know the  
21 circumstances of what type of conservative treatment?

22 A. No, I do not at this moment.

23 Q. Doctor, what's a normal amount of blood



1 fusion?

2 A. Probably 50 cc.

3 Q. That's not a very bloody procedure, is  
4 it?

5 A. No, not normally.

6 Q. Do you recall how many cc's of blood  
7 were lost in this case?

8 A. I don't recall.

9 Q. In this case, approximately 200 cc of  
10 blood was lost. Is that an unusual amount of blood to  
11 lose during this procedure?

12 A. Well, it's a little more than average,  
13 but 200 cc is not anything to be concerned about. We  
14 don't -- you know, we don't usually even think about  
15 giving transfusions until at least 500 cc or more have  
16 been lost. So I would estimate that the usual loss  
17 would be less than that, but that certainly is not  
18 anything to be concerned about.

19 Q. And what's your standard practice, sir,  
20 with respect to where the patient goes after having an  
21 anterior cervical dissection -- excuse me, an anterior  
22 dissection -- yes, dissection?

23 A. Well, to the recovery room.

24 Q. And after the recovery room, does your

patient normally go back to the floor?

2 A. Yes.

3 Q. Does the patient go back to a  
4 neurosurgical floor?

5 A. In the hospital that I am practicing in  
6 now, yes, because there is a neurosurgical floor. In  
7 the hospital that I previously practiced in, there was  
8 not such a thing as a neurosurgical floor.

9 Q. And what hospital is that?

1 A. Holmes Hospital.

11 Q. When did you practice at Holmes?

12 A. When I was on the University staff.

13 That essentially was the private practice hospital for  
14 the University.

15 Q. And when did you practice at Holmes?

16 A. From 1953 up until 1982 -- or no,  
17 probably 1985.

18 Q. And what's your standard order with  
19 respect to vital signs after an anterior dissection?

20 A. Well, principally --

21 Q. Once they're out of the recovery room,  
22 of course.

23 A. Well, usually it's a matter of -- I

1 signs after they're out of the recovery room are not  
2 terribly important.

3 It's a matter of -- that is in terms of  
4 blood pressure, and pulse, and respiration, which we  
5 usually consider the vital signs. Now, obviously, we  
6 do check those at least after the patient is out of  
7 the recovery room every couple of hours for probably  
8 eight hours, and then maybe every four hours after  
9 that for another 24 hours, but it's not something that  
10 needs to be checked very frequently in those patients.

11 Q. Unless, of course, the patient is  
12 starting to have complaints?

13 A. I'm sorry?

14 Q. Unless the patient has some complaints?

15 A. Obviously, if the patient starts having  
16 some problems, those orders will be changed, but that  
17 would be the routine on a patient who made a normal  
18 postoperative recovery,

20 vital signs, that being blood pressure, pulse, and  
21 respiration, more frequently than when she was or had  
22 on a patient, the nurses would not need to have a  
physician's order?

They wouldn't have to have them ordered,

no.

That would be within the nursing  
3 practice to the frequency of checking vital signs?

4 A. That's right. Nurses have some  
5 routines of their own that would at least comply with  
6 what the physician ordered, but sometimes it's even  
7 more than what the physician orders.

8 Q. Do you know how many anterior  
9 dissections and interbody fusions were performed at  
10 Temken Mercy Medical Center in 1982?

11 A. No, I have no idea.

12 Q. Excuse me, 1986.

13 A. I have no idea.

14 Q. Do you know what the staffing status  
15 was of the nurses at that hospital in 1986?

16 A. Certainly not in any detail, no.

17 Q. What do you know about the staff?

18 A. Well, I've just reviewed the deposition,  
19 I think, of -- What was her name? How do you  
20 pronounce that?

21 MR. BELL: Sonlin.

22 A. Sonlin, yes, and she -- I believe she  
23 talks about nursing and a charge nurse and staff nurse  
24 so I don't know anything more than that about it.

1 Q. And do you have any knowledge about the  
qualifications of these nurses at the hospital?

3 A. Other than being either LPN or  
registered nurses, no.

5 Q. You don't know anything about their  
6 experience with patients who have had anterior  
7 dissectomies?

8 A. No.

9 Q. Do you have any knowledge about the  
10 closeness of Mr. Richeson's room to the nursing  
11 station

12 A. No.

13 Q. Do you have any knowledge as to the  
14 proximity of the crash cart or ET tubes to Mr.  
15 Richeson's room?

16 A. No.

17 Q. Am I correct, Doctor, that it's  
18 standard practice to have a chest x-ray done for  
19 patients that have had anterior dissectomies the  
20 morning following surgery?

21 A. It hasn't been my practice, no.

22 Q. How would you confirm that a bone plug  
23 where there's been a fusion done remains in position?

24 If my question is unclear, I'll

1 rephrase it.

2 A. Well, it will be checked at some later  
3 time by a cervical spine x-ray, but not immediately  
4 Q. How soon would standard practice be to  
5 do a cervical spine x-ray to check the bone plug?

6 A. Not for several days, unless the  
7 patient were having some symptoms in the meantime

8 Q. What type of symptoms would you be  
9 concerned about?

10 A. Well, if the patient were developing  
11 problems with his spinal cord, such as weakness of his  
12 arms or legs, then one might be concerned that the  
13 blood had been displaced and was pressing on the spinal  
14 cord, and so certainly under those circumstances, one  
15 would get an x-ray, but barring some event of that  
16 sort, I would not see any need to get an x-ray

17 Q. Am I correct, doctor, from your review  
18 of the records -- please feel free to refer to them  
19 Mr. Richeson had no complaints, at  
20 least from the nurses notes, of a sore throat before 9  
21 p.m. the day after having had surgery, correct?

22 A. I believe that's correct, yes

23 Q. The surgery was completed on October  
24 23rd, 1986 at 3:30 p.m., correct?

1 A. I'm sorry, what was the date?

2 Q. The surgery was completed on October  
3 23rd, 1986 at 6:30 p.m.?

4 A. I believe that's right, yes.

5 Q. So from 6:30 p.m. on the 23rd until 9  
6 p.m. the following day, there are no notes regarding a  
sore throat?

8 A. I believe that is correct, yes.

9 Q. There were -- Am I also correct, there  
were no complaints about an inability to swallow --  
11 Strike that.

12 Let's go chronologically on this.

13 A. Yes, I believe that's correct,

14 Q. There were no complaints of a tightness  
in his throat until 9:30 p.m. on the 24th?

16 A. I'm having a little difficulty at this  
17 moment finding the nurses' notes for that time. Let's  
18 see. Let's go off the record just a second.

19 (Off the record.)

20 BY MS. TAYLOR:

21 Q. Starting with the evening after the  
22 surgery that was performed by Dr. Prioleau, there are  
23 no complaints about any respiratory problems until  
24 what time? Am I correct, the records reflect 10:30

1 p.m.?

2 A. Okay. States having some difficulty  
3 breathing, yes. 10:30 p.m., right.

4 Q. Doctor, you will agree with me that is  
5 unusual for a patient to suddenly have complaints of a  
6 sore throat over a day after a surgery was performed?

7 A. A little bit unusual, it's certainly  
8 not unheard of. Just from anesthesia alone sometimes  
9 the patient's sore throat will be worse a day or two  
10 later than it is immediately, and they may not even  
11 complain of it immediately, then later on it becomes  
12 more sore. So that's not too unusual just from the  
13 anesthesia itself.

14 Q. That's from the intubation?

15 A. From the intubation.

16 3. But wouldn't you expect, Doctor, that  
17 the patients -- or there would have been some comment  
18 in the nursing notes of a sore throat or some  
19 complaint regarding their complaint over a day after  
20 surgery?

21 A. It depends on whether the patient  
22 complains to the nurses about it. If the patient  
23 doesn't complain to the nurses, obviously, the nurse  
23 wouldn't put anything down. And I'm sure that I have



1     seen instances in which the patient -- it wasn't  
2     significant enough to the patient that he would  
3     complain about it for 12, 24 hours, something of that  
4     sort. Well, it may be a little unusual, it certainly  
5     is not terribly unusual, in my judgment.

6             Q.     Am I correct, Doctor, from your review  
7     of the depositions of Dr. Prioleau and the nurses'  
8     depositions you looked at, there is no agreement  
9     between Dr. Prioleau and the nurses as to when he was  
10    called and what information was provided to him by the  
11    nurses?

12            A.     There is not disagreement, is that what  
13    you said?

14            Q.     No. There is disagreement between Dr.  
15    Prioleau and the nurses as to when he was called and  
16    what information he received from the nurses, as well  
17    as when he arrived at the hospital?

18            MR. BELL: I'm going to object to that  
19    question.

20            A.     I was not aware of so much disagreement  
21    or any disagreement.

22            Q.     Therefore, it's your opinion, then,  
23    that the testimony of the nurses, as well as the  
24    nursing notes by the nurses, in addition to the

1 deposition of Dr. Prioleau, they're all in agreement  
2 as to the chronology of what occurred in this case?

3 A. Well, to the extent that I can recall,  
4 yes. Dr. Prioleau was first called at about 8:30, and  
5 he was told that the patient had some hoarseness.

6 Let's see, is that correct? Yes, he  
7 had some hoarseness, and had some difficulty in  
8 swallowing, and a sore throat, and some swelling on  
9 the right side of his neck had been noted by the  
10 nurses, and Dr. Prioleau was notified about that at --

11 Q. At 8:30?

12 A. I believe that was the correct time, at  
13 least that was the time that I think Dr. Prioleau --  
14 Well, Dr. Prioleau said about 8:30 in his report, and  
15 the nurses' notes say 9:30 -- well, no. I guess they  
16 don't give a definite time about that. Well, they say  
17 10:00, I think.

18 And Dr. Prioleau, I believe, at that  
19 time requested that they give him some medication and  
20 then he was called again at 10:30, 10 to 10:30,  
21 because of more swelling, and at that time he was  
22 having some difficulty in breathing, and that's when  
23 Dr. Prioleau made the decision to go to the hospital.  
24 I'm not aware of any specific discrepancies in those.

1 Q. How, Doctor, what's your understanding  
2 of the degree of swelling that was present on Mr.  
3 Richeson's right side of his neck?

4 A. I understand that he had a moderate  
5 amount of swelling.

6 Q. Explain to me what you mean by, "a  
7 moderate amount of swelling."

6 A. Well, how do you define moderate amount?  
9 It was more than a very slight amount, but less than  
an extremely large amount.

11 Q. Well, are we talking about swelling of  
12 just the incision line?

13 A. No, of the tissues in that general area.

14 Q. Did you frequently have swelling, a  
15 moderate amount of swelling of the tissues, when you  
16 did anterior dissections?

17 A. Some of them have a moderate amount of  
18 swelling. Most have a small amount of swelling, but  
19 sometimes there is a moderate amount of swelling.

20 Q. What causes there to be a moderate  
21 amount of swelling?

22 A. Well, I think there's a great deal of  
23 variation in the amount of swelling tissues from one  
person to another. Sometimes it is a matter of some

1 seepage of blood occurring after surgery, in some  
2 individuals, and, then, some people, their tissues  
3 just swell more easily than others.

4 Q. Do you have an opinion as to what  
5 caused the swelling in Mr. Richeson's neck?

6 A. Well, I think, in his neck it was a  
7 matter of Some bleeding of the surgery, primarily.

8 Q. Do you have an opinion as to when that  
9 bleeding started?

10 MR. BCLL: Excuse me, Amy, I have no  
11

12

13

14 THE WITNESS: I hope it was, because  
15 that's --

16

MS. TAYLOR: Yes.

17

18 A. When it started? I have no idea when  
19 it started. My guess is that it was -- that there was  
20 probably some bleeding there from the early  
21 postoperative period.

22

Q. And that bleeding continued thereafter?

23

A. Perhaps it continued, yes.

24

Q. When you say, "continued," it would  
have continued at least until the time of the arrest,  
correct?

1           A.     Well, it's impossible to say whether  
2 the bleeding itself continued up to that time, because  
3 what happens is that after there **has** been bleeding,  
4 then there can be what we call edema of the tissues  
5 around them, the bleeding, and edema simply means an  
6 accumulation of fluid in the tissues.

7           And so it's a combination, of course,  
8 of the bleeding plus the edema that really caused the  
9 problem, and also it caused the swelling that one sees.  
10 And so it's impossible to determine, then, whether  
11 there was actually still active bleeding occurring or  
12 whether the bleeding had stopped and there was still  
13 accumulation of edema.

14          Q.     Have **you** ever had the situation arise  
15 in your practice when a patient has had edema such as  
16 it would threaten the airway after having an anterior  
17 dissection?

18          A.     Not after an anterior dissection. I  
19 have had it after carotid artery surgery.

20          Q.     Do you have an opinion as to why you  
21 have not had that situation with an anterior  
22 dissection?

23          A.     No. It's a very rare occurrence in  
24 anybody's experience, I think, and I just simply have

1 not had that occur in any patient that I can recall.

2 Q. Could one reason be that you rarely  
3 have this edema threatening an airway with an anterior  
4 dissection be because of the small amount of blood  
5 that is lost during surgery?

6 A. It isn't the blood lost during surgery  
7 that causes the problem, it's the bleeding that occurs  
8 after the surgery that causes the problem. The amount  
9 of blood that's lost during the surgery has nothing to  
10 do with it, because that's going to be removed at the  
11 time of surgery.

12 Q. Do you have an opinion as to why you  
13 have not seen edema threatening an airway with an  
14 anterior dissection as opposed to the carotid artery  
surgery?

A.  
16 I have an opinion. Carotid artery  
17 surgery is obviously -- deals with arteries, and  
18 bleeding from arteries is more dangerous and more  
19 rapidly accumulating and more extensive bleeding than  
20 bleeding from anterior dissections, which is usually  
21 venous in origin and, therefore? is under lower  
22 pressure and accumulates more slowly, and so on.

23 So that anterior -- Therefore, carotid  
artery surgery is more likely to have an accumulation

1 of blood and be under higher pressure than the  
2 bleeding that occurs after anterior dissection,

3 Q. The bleeding with an anterior  
4 dissection, why is it normally venous?

5 A. Because it's blood from -- that one  
6 gets into from -- You don't get into major arteries,  
7 that's what it amounts to. You avoid all the major  
8 arteries in doing -- approaching and performing an  
9 anterior dissection, so that the bleeding one gets  
10 into is the bleeding primarily from the bone itself or  
11 from the muscles that attach to the bone, and most of  
12 that is venous bleeding under low pressure.

13 Q. Did Mr. Richeson do anything to cause  
14 himself to have this bleeding?

15 A. I don't know that he did, unless --

16 Q. From your review of the records, have  
17 you seen anything he did to cause himself to have th  
18 bleeding?

19 A. I don't know of anything he did. The  
20 only thing I was going to refer to is, I know there  
21 was smoking, and there was some question about smoking.  
22 And, of course, coughing, particularly vigorous  
23 coughing, can cause some venous bleeding, but I don't  
know that that occurred in his case.

1 Q. Don't patient's after surgery, don't  
2 they normally have **some** type of respiratory therapy to  
3 encourage them to breathe deeply and cough **so** they can  
4 **clear** the airways; isn't that standard procedure?

5 A. Breathing deeply, yes. We don't  
6 necessarily encourage coughing immediately after  
7 surgery. Well, **we** do encourage deep breathing and  
8 even what we call a blow-bottle in which the person  
9 will blow on or inhale through under pressure to  
10 expand the alveoli of the lungs, but that's a little  
11 different than vigorous coughing. We don't normally  
12 encourage vigorous coughing.

13 Q. You don't see any indication in his  
14 record that Mr. Richeson did any vigorous coughing, do  
15 you?

16 A. No. That's why I said I have no  
17 awareness that that occurred in his case. I just do  
18 recall that there was some problem about his smoking.

19 Q. But smoking itself would not have  
20 Caused him to have this bleeding?

21 A. No. No, smoking would not.

22 Q. Doctor, referring back to the nursing  
23 notes, at 9:30 p.m. there is a nursing ~~note~~ note that he  
24 complained of some tightness in his throat. Would you



1 agree with me that that **is** an unusual complaint for a  
patient who has had an anterior **dissectomy**?

3 A. It's a little unusual, but the feeling  
4 of tightness, **or** sore throat, or soreness of the  
5 throat, **is** not by any means too unusual just from the  
6 surgery itself or **from** the **anesthetic**, from the  
endotrachial tube.

8 3. Are you saying that the sore throat is  
9 the same complaint as a tightness in the throat?

10 A. Well, I'm not sure exactly. You know,  
11 a patient uses the term tightness in their throat and  
12 they use **it** in different ways, and I'm not quite sure  
13 exactly what tightness in the throat really refers to.  
14 It **is** obviously not a pain, but it's a feeling of, I  
15 guess, some discomfort or some constriction in the  
16 throat that's a sensory or a subjective experience,  
17 and the patient describes **it** as tightness in the  
18 throat.

19 Q. Would you agree with me that a  
20 complaint of tightness in the throat and discomfort  
21 radiating to the chest, those two symptoms would have  
22 should have concerned the nurses?

23 A. Should have concerned **them**?

24 Q. correct.

1           A.    Well, it should have concerned them to  
2 the point of noting it and reporting it to the  
3 physician.

4           Q.    Immediate reporting to the physician,  
5 correct?

6           A.    Well, it doesn't sound like it was an  
7 emergency situation.

8           Q.    Doctor, wouldn't the complaint of  
9 discomfort radiating to the chest -- that is an  
10 unusual complaint for a patient who has had an  
11 anterior dissection, correct?

12          A.    I don't know what part of the chest  
13 they were referring to. If they're talking about the  
14 upper part of the chest, no, that's not unusual. The  
15 surgery is here at the upper part of the chest, so  
16 that wouldn't be too unusual. If they're talking  
17 about the chest down here in the region of the heart,  
18 that would be unusual. yes.

19          Q.    And the concern of a patient  
20 complaining of discomfort radiating to the chest could  
21 be that a patient might be having a cardiac problem  
22 such as an MI, correct?

23          A.    If it was radiating down to the  
24 pericardial area, to the region of the heart, and it

1 was described **as** a tightness or pressure sensation in  
2 that area, that would certainly **make** you concerned  
3 about a myocardial infarction.

4 Q. Wouldn't you agree, patients who have  
5 myocardial infarction, they can have tightness anywhere  
6 Over the chest wall, not just the pericardial area?

7 A. True. But 90 percent **is** going to be in  
8 the pericardial area or the left arm.

9 Q. And the neck, correct?

10 A. Or radiating up to the neck, correct.

11 Q. At 9:30 p.m. there were no vital signs  
12 noted in the nursing notes?

13 A. Not on this sheet, unless they were  
14 recorded on some other sheet.

15 Q. If there were no vital signs recorded  
16 on this sheet or any other sheet for the 9:30 p.m.  
17 period when the patient was complaining of tightness  
18 in the throat and tightness radiating to the chest,  
19 that would be a deviation from nursing standards,  
wouldn't it?

21 MS. WYLER: Object.

22 MS. TAYLOR:

23 Q. Would you expect a nurse ~~to~~ do pulse  
24 and respiration on the patient -- to a patient who's

1 complaining of tightness of the throat and tightness  
2 radiating to the chest?

3 A. It certainly should be done during that  
4 area of time.

5 Q. What is the best of time, sir?

6 MR. BELL: He didn't complete his  
7 answer.

8 A. I don't see any vital signs on this  
9 particular sheet. And so while I don't recall  
10 specifically at this moment, I would have to guess  
11 that there are some vital signs recorded elsewhere,  
12 because this sheet goes actually from 3 p.m. to 11:30  
13 p.m., and I'm sure they checked something or other  
14 during that time.

15 Q. Doctor, do you have in front of you --  
16 Can you pull out what's considered the --

17 MR. BELL: Give him a page number.

18 BY MS. TAYLOR:

19 Q. The clinical record, let me show it to  
20 you.

21 A. Okay.

22 Q. For October 24th?

23 A. Okay.

24 Q. The vital signs that are recorded by

1 the nurses, the last two sets of vital signs are at 6  
2 p.m. and 10 p.m.; is that correct?

3 A. Correct.

4 Q. So am I correct, based upon the records  
5 that you reviewed in this case --

6 A. Uh-hmm.

7 Q. -- there were no vital signs taken when  
8 the patient complained of this tightness in the throat  
9 and discomfort radiating to the chest?

10 A. At 9:30?

11 Q. Correct.

12 A. Well, I don't know whether those were  
13 taken precisely at 10100, but they were certainly  
14 taken within a short time of 9:30. And there was  
15 essentially no change in the vital signs between 6  
16 p.m. and 10 p.m., so I have to conclude that there was  
17 not any significant change going on at that time.

18 Q. Doctor, of course, you're speculating  
19 as to what the vital signs would have been at  
20 precisely 9:30 p.m. when the patient was complaining  
21 of these symptoms, correct?

22 A. It's not pure speculation.

23  
24 At least from the vital signs, you knew

1 what the patient was at 10 from the vital signs?

2 A. They were the same.

3 Q. Except they were decreased?

4 A. They were decreased in relation to 9  
5 p.m. and 10 p.m. I have to believe that this 10 was  
6 an unusual feature, an unusual recording. It appeared  
7 that the respiration **was** certainly in keeping with  
8 what it had been within the previous 24 hours. I  
9 can't explain why that **was** recorded as 10, but there  
10 was certainly no significant change in the vital signs  
11 during this 24-hour period.

12 Q. But you do not know for a fact as to  
13 what Mr. Richeson's blood pressure or his pulse or his  
14 respirations would have been at 9:30 p.m.?

15 A. Obviously not. But if they resulted --  
16 If they were different, the result of anything  
17 significant going on, they would not have come back to  
18 normal.

19 MS. TAYLOR: Can we take a short break?  
20 I got to call and make sure someone picks up my little  
21 wild man.

22 (off the record.)

23 BY MS. TAYLOR:

24 Q. Do you know whether or not Mr. Richeson

1 had the Philadelphia collar on at 9:30 p.m.?

2 A. According to the nurses' notes here,  
3 that had been removed, I believe, at 8 p.m. -- no, at  
4 9 p.m. The front of the collar was removed for  
5 several minutes, and it says that he was instructed  
6 that he's to only have the collar off to wash his neck.  
7 So I would have to assume it was still on.

8 Q. At 10:00 did the nurses note that the  
9 patient complained of a sore throat, his voice being  
10 very hoarse, inability to swallow, pressure on the  
11 right side of his neck?

12 A. Uh-hmm.

13 Q. Would those -- If you had been advised  
14 as a physician, advised of those symptoms in a patient  
15 that had had an anterior dissection a little over 24  
16 hours before, what would have been your practice?  
17 What would you have done?

18 A. Are you asking from a nursing standpoint  
19 or as a physician?

20 Q. As a physician.

21 A. Well, that seems to be sort of a  
22 continuation of what the complaints were at 9:30,  
23 which was sore throat and tightness and discomfort,  
24 and the only thing that has been added here is

1 difficulty in swallowing.

2 Q. It's inability to swallow as **opposed** to  
3 difficulty in swallowing.

4 A. Well, obviously, he was able to swallow  
5 something because he would drown if he didn't and, of  
6 course, that goes **along** with the person having the  
7 feeling of tightness and pain in the throat or  
8 discomfort in the throat, sore throat. And the only  
9 other thing that has been added **is** the hoarseness.

10 So the answer to your question is: I  
11 don't see that there has been a great deal of change  
12 other than the hoarseness; therefore, I don't think I  
13 would do anything specific at that point.

14 Q. Are those symptoms consistent with  
15 edema of the neck area in a patient that's had an  
16 anterior dissection?

17 A. Yes,

18 Q. And, Doctor, from viewing a patient  
19 who's developing or has edema of the neck after having  
20 had an anterior dissection, one cannot **see** the degree  
21 of internal swelling as opposed to the external  
22 swelling, correct?

23 A. Yes, that's correct.

24 Q. So a patient **can** have significant



1 swelling inward and have minimal appearance on the  
2 outside of swelling, correct?

3 A. Yes, that's possible.

4 Q. So the nurses and the physician would  
5 have to be on alert in a patient who has had a  
6 procedure such as an anterior dissection that they can  
7 develop significant swelling in the neck from having  
8 had the procedure and some bleeding, correct?

9 A. That certainly is possible, yes. It's  
10 very rare, but it certainly is possible,

11 Q. And so the nurses and the physicians  
12 would have to be on alert for this potential problem  
13 after surgery?

14 A. The problem that you're referring to is  
15 internal swelling?

16 Q. Edema of the neck,

17 A. Edema of the neck, you --

18 3. You seem to have a problem. Please  
19 help me correct my question so I can ask it.

20 A. The main concern is, of course,  
21 pressure or swelling of the tissues inside the trachea  
22 the wind pipe, because that's the thing that really is  
23 the most dangerous. The swelling in the tissues out  
24 on the side of the neck is not significant, that

1 doesn't do any harm to the patient, It's only if that  
2 pressure becomes such that it obstructs the trachea or  
3 if there is swelling of the tissues inside the trachea

4  
5  
6  
7  
8 answer it.

9 Q. Okay. Let me try asking this question:  
10 After a patient has had an anterior dissection and  
11 develops edema --

12 A. Uh-hmm.

13 Q. -- in the throat area --

14 A. And you're talking about external edema  
15 or are you talking about the symptoms of internal  
16

18 A. That would be the difficulty in  
19 breathing.

20 Q. Are there any other symptoms for  
21 internal swelling?

22 h. Of course, the hoarseness and soreness  
23 of the throat are due to some edema, ~~That~~ that can be  
24 attributed either to the surgery itself or to the

1 endotrachial tube, but it doesn't reach a critical  
2 point unless it obstructs breathing. And 60 that's  
3 really the red flag, when a person is having trouble  
4 breathing.

5 The other symptoms, the sore throat and  
6 the hoarseness, are sufficiently common after any kind  
7 of surgery, in particular that involving use of  
8 endotrachial tube, that in itself is not a critical --  
9 usually a critical matter.

10 Q. The patient's complaints of an  
11 inability to swallow, would you agree with me that  
12 that is a symptom that could be consistent with  
13 internal compression from swelling?

14 A. Well, it's consistent with some edema,  
15 causing some soreness and feeling of tightness in the  
16 throat or irritation and tightness and so on. It is  
17 not a symptom of obstruction, no.

18 Q. What about threatened obstruction as  
19 opposed to actual obstruction?

20 A. All of these can be considered as  
21 threatened obstruction,

22 Q. Symptoms of threatened obstruction?

23 A. Threatened obstruction, "because edema  
24 and whatever the cause of it maybe can progress to a

1 critical point. This is what happens, of course, in a  
2 youngster who has croup, and it can progress to a  
3 critical point. But the fact is that it is extremely  
4 rare that it ever progresses to that point.

5 Q. What's the range of time in which a  
6 patient who has internal edema develops sufficient  
7 edema to cause occlusion of the airway or sufficient  
8 edema to cause obstruction of the airway?

9 A. There is no time frame on this. As I  
10 say, a youngster with croup can develop croup very  
11 rapidly, within a matter of almost a few minutes or a  
12 few hours.

13 Q. What about the patient that has had  
14 neck surgery?

15 A. Has had neck surgery and endotracheal  
16 tube, that's usually over a period of hours or even a  
17 day or two.

18 Q. What symptoms, aside from a patient  
19 complaining of difficulty in breathing, should place  
20 the physician and/or the nurse on alert that there is  
21 developing swelling in the throat area after having  
22 had an anterior dissection?

23 A. All of these things that we have talked  
24 about are indications of irritation, which are

associated with **some** swelling. Now, many of these things -- most of these things thus far **have** been things that are very commonly **seen** after this kind of surgery, **and** it is only when it reaches **a** point where there is some evidence of obstruction of the airway that one needs to become very concerned.

Q. Would you agree with me that patients that are developing hypoxia tend to be anxious?

A. Yes.

Q. Would you agree with me that morphine depresses the respiratory drive of patients?

A. It does in certain doses. I don't think that there **would** be any significance from the dosage that was given, and I don't recall \*precisely what the dosage **was**, but I did not feel that the dosage was enough to cause any respiratory depression. It **was** given **mainly** to decrease his anxiety and apprehension, and the dosage for that would not cause respiratory depression.

Q. What dosage of morphine causes respiratory depression?

A. Well, you'd have to have a dose of probably 12 to 16 milligrams,

Q. Would you agree with me that it is not

1 appropriate to give a medication such as morphine for  
2 anxiety in a patient who has symptoms of a consistent  
3 internal edema to the throat area after having had an  
4 anterior dissection?

5 A. Again, it's a matter of dosage, I  
6 think you would not want to give a dosage that would  
7 cause respiratory depression, but the effect of  
8 morphine -- There's several effects of morphine, of  
9 course, and one of the earlier effects is to decrease  
10 anxiety and discomfort and apprehension. And so if  
11 one gives a dosage for that particular symptom, I  
12 don't see that there's any contraindication to it.

13 Q. According to the nurses' notes, at  
14 10:30 p.m., Dr. Prioleau was once again advised. He  
15 was advised that the patient had complaints of  
16 increased swelling and dyspnea, correct?

17 A. Difficulty breathing, yes.

18 Q. Would you agree with me that those  
19 complaints should have been a red flag to the nurses  
20 that a major problem could be developing?

21 A. Yes.

22 Q. And that this patient needed to be seen  
23 immediately by a physician?

of time, yes. I don't know what you mean by immediate.  
I don't think it's a matter of five minutes or ten minutes that the patient needed to be seen.

Q How soon SHOULD have a patient with those complaints have been seen?

A. Well, that's a very difficult question to answer. I don't think there is a magic time under those circumstances. I think it is obvious that the situation at that time was not such that the patient was an emergency in the sense that somebody needed to see him within the next minute or five minutes or ten minutes, but it is something that a person should have -- physician should see him within the next -- oh, I don't know, between a half hour and an hour we'll say, something of that sort is the difference between emergency, a true emergency and an urgent situation. And I would say that this was urgent but not an emergency.

Q. Do you see any vital signs that were taken at 10:30?

A. I don't recall any specific ones that were taken at 10:30.

Q. Do you have any knowledge as to what his respiratory rate was at 10:30?

1 A. I recall that -- Well, no, I don't know  
2 at 10:30. recall a respiratory rate of about 25, I  
3 think, and I'm not sure whether that was at 10:30 or a  
4 little after that.

5 Q. Who did that respiratory rate?

6 A. I'm sorry?

7 Q. Where do you get that information?

8 A.

9 Dr. Prioleau  
10 taken before he got there.

11 Q. Would I find that in the nursing notes?  
12 Where in the chart would I find that?

13 A. I don't remember where I got that,  
14 frankly. remember a respiratory rate at some point,  
15 a reference to a respiratory rate being in the figure  
16 of -- 25 sticks in my mind, but I don't recall where I  
17 got that.

18 Q. My review of the records doesn't show  
19 any mention of a respiratory rate until after the  
20 patient arrests after the 10 p.m. check.

21 was thinking of.

22 Q. That was the 16 respiratory rate.  
23 That was the 16 respiratory rate.

24 A. No.



73  
1 Q. If you found a respiratory rate at 25

2 somewhere else, I would be interested in it, sir

3 THE WITNESS: Can we go off the record?

4 MS. TAYLOR: Sure.

5 (Off the record)

6 BY MS. TAYLOR:

7 Q. From your review of the record, though,  
8 Doctor, the medical records for Mr. Richardson, you do  
9 not see a respiratory rate recorded for him after the  
10 10 p.m. rate and before his respiratory arrest.

11 correct?

12 MR. BELL: Can we go over the record  
13 for him to have a chance to review his progress? I  
14 don't know if Dr. Prioleau mentioned it in the  
15 progress notes.

16 THE WITNESS: I can't tell you where  
17 that figure came from, but it must have been from Dr.  
18 Prioleau's deposition.

19 BY MS. TAYLOR:

20 Q. Where do you think you saw that, Doctor?

21 A. By exclusion, I think it must have been  
22 in Dr. Prioleau's deposition

23 Q. Because it's not in the medical records.  
24 as best you can see today when you're looking at those

1 records?

2 A. I don't see it recorded in the progress  
3 notes here or in the nurses' notes.

4 Q. With respect to the 10:30 p.m. notation  
5 by the nurse, there are no recorded objective signs or  
6 symptoms regarding the ability of Mr. Richeson to  
7 breathe or any difficulty he **had**, correct?

8 A. What are you referring to when you say,  
9 "objective"? Are you talking about just the  
10 respiratory rate?

11 Q. Doctor, at 10:30 p.m. there are no  
12 notes regarding the quality of his breathing, correct?

13 A. Again, I'm not quite sure what **you're**  
14 including in quality of breathing. He **was** obviously  
15 breathing, and he told them he had some difficulty  
16 breathing.

17 Q. Is there any notation as to any stridor?

18 A. No.

Q. Any notation **as** to whether or not he  
was having wheezing?

21 A. No.

22 Q. Any notation as to the rate of his  
23 respiratory?

24 A. No, I don't see it here, That's what

1 we've been discussing.

2 Q. Is there any notation --

3 MR. BELL: Amy, I wanted to point this  
4 out, because you asked the Doctor where he saw the  
5 reference to the breathing rate of 25, and it's on  
6 page 51, I believe, of Dr. Prioleau's deposition.

7 BY MS. TAYLOR:

8 Q. Going back, Doctor, is there any  
9 description by the nurses as to the color of Mr.  
10 Richeson at 10:30 p.m. as to whether or not he had  
11 cyanosis?

12 A. No.

13 Q. There's no notation as to whether or  
14 not he had any sweating?

15 A. That's correct.

16 Q. There is no notation, am I correct, as  
17 to whether or not he had any mottling?

18 A. That's correct.

19 Q. Am I correct that there is no  
20 assessment by the nurses that included -- no  
21 evaluation as to his breathing?

22 A. No, I can't say that. What you've been  
23 asking about are abnormalities, and, of course, nurses  
24 don't write and make notes about the absence of

1 everything that might be abnormal. They make notes  
2 about things that are abnormal, so the fact that they  
3 were not recorded does not mean that they were not  
4 observed, it simply means that they were not present.

5 Q. And the nurses also do not record  
6 whether they made an objective finding that he was not  
7 having difficulty breathing, do they?

8 MR. BELL: I object to the form of the  
9 question.

10 BY MS. TAYLOR:

11 Q. There is evidence he was breathing, but  
12 there is no evaluation **as** to any difficulty he had or  
13 any **easeness** he had?

14 A. This is a very difficult line of  
15 questioning for me. A person states they have  
16 difficulty breathing. Now, unless they have wheezing,  
17 or stridor, or cyanosis, or something, there are no  
18 objective observations that one can make.

19 Now, a nurse who even looks at a  
20 patient who says he has difficulty breathing will  
21 record obviously if the patient **is** turning blue, which  
22 is what we mean by cyanosis, but a nurse does not  
23 normally put down, "Patient **was** not **turning** blue." If  
24 he **was** turning blue, they would put it down. And so

1 the absence of those things is as important to me as  
2 if they were present and were recorded.

3 Q. So are you assuming from the fact that  
4 there are no vital signs or no comments regarding the  
5 patient's breathing ability that his vital signs would  
6 or were normal and that he did not have cyanosis or  
7 any mottling or any other symptoms consistent with  
8 signs -- or symptoms consistent with --

9 A. Yes I am assuming he was not cyanotic  
10 and was not mottled, and did not have stridor, because  
11 those would be the obvious things a nurse would record  
12 under these circumstances. But a nurse would not  
13 record that they were not present. She would record  
14 only if they were present, because those are the  
15 obvious things that one looks for under these  
16 circumstances

17 Q. At 10:45 it was noted that the patient  
18 continued to be agitated and that morphine was  
19 provided.

20 A. Uh-hmm.

21 Q. Is there any evaluation or assessment  
22 recorded of his respiratory status at 10:45?

23 A. Well, only that the patient was talking  
24 to the charge nurse. Now, a person has to be able to

1 breathe pretty well to be able to talk. So that in  
2 itself indicates that his respirations were not too  
3 impaired at that point. A person can't talk when they  
4 have a very impaired respiration.

5 Q. What assessment do you see at 10:45 as  
6 to the degree of his swelling?

7 A. I don't see any specific note about  
8 that, except that the patient said that he had some  
9 swelling -- a swelling sensation -- I'm sorry,  
10 swelling sensations.

11 Q. But going back to 10:30 p.m., what  
12 assessment do you see written in the nursing notes as  
13 to the swelling he may or may not have had?

14 A. I don't see any. I assume it had not  
15 changed since previously recorded.

16 Q. What assessment or what nursing notes  
17 do you see between 10:45 and 11:30 p.m.?

18 A. About swelling?

19 Q. About anything.

20 A. Between 10:45 and 11:30, there's  
21 nothing between those two times.

22 Q. Would you agree with me that from 10  
23 p.m. until the time of the arrest that there were --  
24 there are noted no vital signs on Mr. Richeson?

1           A.     I see none on the nurses' notes.  
2     That's correct.

3           Q.     And you've also looked at the progress  
4     notes, and there were no vital signs noted in the  
5     progress notes, correct?

6           A.     Yes, But I also have noted that  
7     apparently Dr. Prioleau --

8           Q.     In his deposition?

9           A.     -- in his deposition noted that vital  
10    signs were somewhat -- or respirations were somewhat  
11    increased.

12          Q.     And Dr. Prioleau in his deposition also  
stated that he arrived at the  
10 p.m., correct?

15           MR. BELL: Objection. If you are  
16    going to ask him any questions about Dr. Prioleau's  
17    deposition, I'd like you to show him the deposition,  
18    the page, the line.

19           MS. TAYLOR: Sure, I can do that.

21          Q.     Here we go, Doctor. Here's Dr.  
22    Prioleau's deposition. Why don't you flip to page,  
23    you would, please, page 31.

24                   When did he say he arrived at the

1 hospital?

2 A. "Exact time, I'm not certain about. It  
3 was sometime shortly after 10:00, perhaps 10:30 "

4 Q. And the nurses' notes reflect that he  
5 was phrased at 11:30 p.m. , correct?

6 A. Yes, that's correct.

7 Q. And the nurses' notes reflect that Dr.  
8 Prioleau was notified at 10:30 p.m. of the patient's  
9 complaints, correct?

10 A That's correct.

11 Q. Would you agree with me  
12 retrospectively that Mr. Richeson was exhibiting  
13 signs of edema compressing his esophagus and his  
14 trachea sometime before his arrest?

15 MR. BELL: Objection. You can answer.

16 A Well, yes As I have stated before,  
17 all of those things that he had were signs of some  
18 edema and irritation of the -- mainly the trachea, to  
19 a lesser extent, the esophagus, is at all

20 Q Am I understanding correctly, from your  
21 testimony, it's not the fact that he was having signs  
22 of this developing edema, this internal edema, that's  
23 something that the nurses and the doctors were aware  
24 of and they should be concerned about, but the



1  
2 is not a rush situation, something **you** treat  
3 immediately. Am I understanding correctly?  
4  
5  
6  
7  
8  
9  
10

11                   Some degree of edema is normal, is  
12 inevitable, because any time you do surgery you're  
13 going to have some edema, any time you put an  
14 endotrachial tube **down**, you're going to have some  
15 edema. So that edema and swelling of those tissues is  
16 a normal thing, and it is only when it reaches a  
17 certain critical point that it becomes an urgent  
18 situation to deal with.

19                   Q. At what time in this case did it become  
20 an urgent situation?

21                   A. I think when they started talking about  
22 difficulty in breathing, then it became an urgent  
23 situation.  
24

soon for the patient to be seen by a physician? How soon? I'm trying to understand that, sir.

A. I thought I had pointed that out specifically before. I'm thinking about the terms of an hour for an urgent situation and five to ten minutes for an emergency situation.

Q. When did it become an emergency situation in this case?

A. I think when he arrested it became an emergency situation.

Q. So until the time he arrested it was an urgent situation, and urgent in the sense that he needed to be evaluated by a physician?

A. Right, When he arrested, it became an emergency situation,

3. Would you agree with me that it is easier to intubate a patient who has not totally occluded his airway?

A. Oh, sure.

Q. Would you agree with me that in a patient that has threatened occlusion of airways, having difficulty breathing, such as in this case, it's important to get them intubated while they are still breathing?

1           A.     Surely, absolutely, This was exactly  
2 why Dr. Prioleau recommended had that he be  
3 transferred to the ICU and be intubated, because he  
4 was still breathing at that point, and he wasn't  
5 waiting until he arrested. Unfortunately, he did  
6 arrest prior to that time, but that was exactly why  
7 Dr. Prioleau recommended that, because he wanted to  
8 get it done before he stopped breathing,

9           Q.     Once a patient arrests, isn't it  
10 important that the most experienced person intubating  
11 patients attempt to intubate the patient?

12          A.     Well, by and large I would say that's  
13 true. I'm not sure exactly what all you're including  
14 in the most experienced. You know, hospitals are set  
15 up so that there are teams of people who perform  
16 certain functions under certain circumstances, and the  
17 person who's on the team to perform that function may  
18 not necessarily be the most experienced person in the  
19 hospital, but he is the person who's assigned to  
20 perform that function at that particular time.

21          Q.     In a patient such as Mr. Richeson,  
22 who's having occlusion of an airway, wouldn't you want  
23 to have someone such as an anesthesiologist or a nurse  
24 anethetist intubate that patient?

A. It would be yes, obviously, but it isn't always possible to do that nor is it usually necessary to have the most experienced person perform these things. As I say, the team approach in a situation like this does not necessarily use the most experienced person at all times,

Q. However, if the person is available, it is wise to use the person who's most experienced, and a patient such as Mr. Richeson would be a difficult intubation, correct?

A. Can I hear the first part of the question again?

Q. In a situation such as this, with a patient who's occluding or who has occluded the airway from swelling, if that person is available, is it incumbent upon the physician to request that the person who's most experienced attempt the intubation?

A. If that person is immediately available certainly, but if there is a somewhat less experienced person who's on -- who's assigned on that team at that particular time to perform that function, then that's the way the hospital usually operates and --

Q. Even if it's to the detriment of the patient?

1           A"     No, no, it's not to the detriment of  
2     the patient.     What it amounts to is that the most  
3     experienced anesthesiologist cannot be on **call** for  
4     emergencies **24** hours a day for everybody, and so that  
5     has to be delegated, that responsibility has to be  
6     delegated to other individuals who may not be quite as  
7     experienced but **who** are experienced sufficiently to  
8     handle 99 percent of the problems.     And that's the  
9     only way hospitals can function.

10           And ~~so~~ one does not necessarily have to  
11     have the most experienced person In the hospital do it  
12     even under emergency conditions, because that would  
13     imply that the most experienced person has to remain  
14     in the hospital 24 hours a day, 7 days a week, and be  
15     on call all of that time, and that can not occur.

16           Q.     Doctor, assume for me, if you will,  
17     Doctor, please, that there was a nurse anesthetist in  
18     the hospital who was available and could have come to  
19     intubate Mr. Richeson at the time of the arrest.

20           A.     Uh-hmm.

21           Q.     Assuming that fact, Doctor --

22           A.     Uh-hnm.

23           Q.     -- wouldn't it have been wise, prudent  
24     of the physician to request that the nurse anethetist

1 who was available attempt the intubation of this  
2 patient?

3 MR. BELL: I object.

4 A. I think that depends on many factors.  
5 I think it depends on the setup in the hospital, the  
6 modus operandi in the hospital, whether the physician  
7 even knew that the person was available. I think  
8 there are many factors that would have to be  
9 considered in making that judgment. I don't know that  
10 I can answer it any better than that. But as I say  
11 hospitals are set up differently, and there are teams  
12 that are supposed to respond under certain conditions,  
13 and the physician relies on those teams. And then if  
14 there are further problems, then, obviously, further  
15 requests have to be made.

16 Q. Do you have an opinion as to how long  
17 Mr. Richeson was without oxygen to have caused the  
18 injuries he has?

19 A. Probably. As a matter of fact, I don't  
20 know that he was totally without oxygen at any time,  
21 but he was hypoxic, which means that he had decreased  
22 oxygen for, I would estimate, probably 10 to 15  
23 minutes, or something of that sort.

24 Q. Do you have an opinion as to whether or

1 not a tracheostomy, first of all, would have been  
2 successful in this case?

3 A. I don't think it would.

4 Q. Why not, sir?

5 A. Because I think the blockage was below  
6 the point where a tracheostomy would have done any  
7 good.

8 Q. What about using an EM tube, an  
9 endotracheal tube, in the tracheal incision, have you  
10 ever done that?

11 A. Is that could have been inserted,  
12 that's correct. Now, that would take some time to do  
13 all of that, of course, and actually, generally  
14 speaking, it takes less time to intubate the usual way  
15 rather than doing a tracheostomy and intubate that way

16 Q. But how is a viable option in a  
17 patient that has an airway obstruction?

18 A. It's a viable option. I think just a  
19 tracheostomy itself would not have been successful  
20 I'm sure a tracheostomy with a tracheal tube through  
21 the tracheotomy opening would certainly have been a  
22 viable option, but as I say, that takes more time  
23 usually than intubation through the nose/trachea route

24 Q. How long does it normally take to do a

1 tracheostomy plus put in an ET tube?

2 MR. BELL: Objection,

3 BY MS. TAYLOR:

4 Q. Have you ever done a tracheostomy and  
5 inserted an ET in a trachia?

6 A. Yes, sure.

7 Q. How frequently?

8 A. I used to do it frequently. I haven't  
9 done it recently.

10 Q. "Frequently," means how often?

11 A. How many times have I done it?

12 Q. Yes.

13 A. Probably 25, 30 times.

14 Q. When was the last time **you did** that?

15 A. I imagine I haven't done it for the  
16 last ten years, quite frankly. But that's a procedure  
17 that takes, I don't know, probably a minimum of 20  
18 minutes at any rate.

19 Q. Therefore, it's a procedure you **like** to  
20 do on a patient that hasn't obstructed already?

21 A. I'm sorry?

22 Q. It's a procedure you **like** to do on a  
23 patient that hasn't obstructed already.?? '-

24 A. well, yes. You prefer to intubate a



1 patient who's not obstructed. That's correct.

2 Q. Why is that? Why?

3 A. Why?

4 Q. Why?

5 MR. BELL: I object to that question.  
6 That's ridiculous.

7 A. To prevent hypoxia,

8 Q. Is there any problem that the actual  
9 obstruction -- any difficulty the actual obstruction  
10 makes to intubate the patient?

11 You've got the patient who's now  
12 obstructed from internal pressure, let's say edema;  
13 does the presence of the edema make the intubation of  
14 the this obstructed patient more difficult?

15 A. Well, it may make it a little more  
16 difficult. It doesn't make it impossible, as a rule.  
17 It makes it more difficult in this case. Of course,  
18 the problem was -- or part of the problem was that the  
19 trachea was displaced and that, of course, makes it  
20 more difficult.

21 Q. How quickly do you think this  
22 displacement of the trachea occurred?

23 A. I think it was progressing. It didn't  
24 occur all of a sudden. It was progressive.

1 Q. Over the last few hours?

2 A. Yes, probably.

3 Q. Is this displacement of the trachea  
4 something that the nurses should have been able to  
5 visualize or palpate in Mr. Richeson?

6 A. Usually not, If there's some swelling  
7 there, it's very difficult in -- particularly if a  
8 person -- and I think he did have, they described it  
9 as a bull neck or something like that. In a very thin,  
10 scrawny neck you can feel it much more easily, of  
11 course, but in a person with a thick neck, it's  
12 sometimes very difficult to feel the trachea,  
13 particularly if there's no swelling there,

14 Q. But is it something, this diversion of  
15  
16

17  
18  
19 forewarning you, we need to leave about 5:25 at the  
20 latest to get to the next flight that we scheduled.

21 BY MS. TAYLOR:

22 Q. Do you know of Timkin Mercy Medical  
23 Center? Have you ever been there?

24 A. No.

Q. Did you in any way assist Mr. Prioleau  
in setting up practice in Canton?

A. No.

Q. Did you give any references to anybody  
with respect to his setting up practice in Timkin in  
Canton?

A. I may have written a note for him, a  
letter of support, because it's common practice that  
when a person applies to a new hospital and a new  
community that he has to have letters of  
recommendation from -- usually from someone -- with  
previous individuals he's worked with or trained with  
and so on, and so I may have written letters for him.  
I don't recall at this point.

Q. Are you acquainted with the  
cricothyroidic puncture?

A. Yes.

Q. Have you ever done that?

A. Yes.

Q. Do you think that would have been of  
any value in this case?

A. Not a bit. The obstruction was below  
that point.

Q. And upon what do you base that?

1           A.     The obstruction **was** to the major -- to  
2 the hematoma and swelling further down in the trachea,

3           Q.     Where in the trachea **was** the hematoma  
4 and swelling?

5           A.     Well, the x-ray report indicated a  
6 hematoma that was **retromedial** down in the chest, and  
7 the cricothyroid is up here in the neck.

8           Q.     So where **was** the obstruction?

9           A.     Presumably it was lower, down below  
10 that in the trachea, somewhere between here and where  
11 it goes into the lung, but I don't know precisely  
12 where it was. But it was below the level of  
13 cricothyroid.

14          Q.     And that is based upon the x-ray report  
15 taken that following morning?

16          A.     Well, the x-ray report plus -- well,  
17 the swelling and the surgery is all **below** the  
18 cricothyroid. The cricothyroid is certainly where the  
19 Adam's apple is, which is relatively high, and that's  
20 where one does a cricothyroid puncture, but the  
21 swelling and the surgery is farther down in the neck,  
22 and so the swelling and the hematoma were below that  
23 point.

24          Q.     Can you estimate for me at what depth

*Shirley Robinson Lewis*

1 the -- using an ET tube -- and ET tubes are marked as  
2 to so many meters, correct?

3 A. Yes ,

4 Q. Do you know at what level in Mr.  
5 Richeson the obstruction was?

6 A. No, I can't estimate that, I don't  
7 know. You know, that depends on his build, whether he  
8 had a long neck or a short neck, a lot of things of  
9 that sort. I couldn't estimate that with any degree  
10 of accuracy.

11 Q. Do you have any opinion with respect to  
12 the management of Mr. Richeson's diabetes?

13 A. Do I have any --

14 Q. Opinion5 with respect to the  
15 appropriateness or what type of management there was  
16 of his diabetes?

17 A. No. No, I really don't. I am  
18 certainly not an expert on the management of diabetes,  
19 and I would not want to render an opinion in that  
20 regard.

21 Q. Do any of your residents that come from  
22 University Hospital go up to Timkin or the Canton area  
23 for extra training?

24 Do any of your residents that are here

1 or your interns there in the area of neurosurgery do,  
2 any other training up at Timkin?

3 A. Not to my knowledge.

4 MS. TAYLOR: I think that's all, if  
at's all,

5 you give me a minute.

6 (off the record.)  
7 (off the record.)

7 CROSS-EXAMINATION

8 BY MS. WYLER:

9 Q. Doctor, my name is Allcia Wyler. I  
10 represent Timkin Mercy Medical Center in this case.

11 I gather that you don't consider  
12 yourself an expert witness in the field of nursing  
13 standards of care; is that correct?

14 A. That's correct.

15 Q. However, in your review of the medical  
16 records in this case, the depositions of Nurse Sonlin  
17 and the other depositions that you reviewed, are you  
18 of the opinion that the nursing care that was given  
19 during the critical hours of the evening of October  
20 the 24th, 1986 up to the time of Mr. Richeson's arrest  
21 met the standard of care  
22 under the same or similar circumstances?

23 MS. TAYLOR: Objection.,

24 A. Yes, I have an opinion.

Q. What's your opinion?

MS. TAYLOR: Objection.

A. I did not **see** anything that I thought  
4 **was** a deviation from the standard of care. It was  
5 acceptable,

Q. Doctor, have you ever participated in  
6 the drafting of nursing practices and procedures at  
7 any point in time during your lengthy career?  
8

A. Yes, yes. Certainly when I was  
9 Chairman of the Department of Neurosurgery at the  
10 University, I was involved at that point in drafting  
11 certain nursing procedures,  
12

Q. Did those nursing practices and  
13 procedures involve the care of patients who had  
14 undergone surgery such as the surgery that Mr.  
15 Richeson underwent in October of 1986?  
16

A. To the best of my recollection, it  
17 didn't. Most of the nursing procedures that I recall  
18 being involved in had to do more with acute trauma.  
19

MS. WYLER: I don't believe I have  
20 anything further, Doctor. Thank you.  
21

MS. TAYLOR: Just a couple more  
22 questions, Doctor.  
23

24 REDIRECT EXAMINATION

1 BY MS. TAYLOR:

2 Q. Have you **ever** in your practice hac? the  
3 nurse -- ordered the nurses to do **neck** measurements on  
4 a patient?

5 A. No, I never have, NO.

6 Q. Doctor, at what time **should** the nurses  
7 have -- or Dr. Prioleau ordered the **nurses** to do vital  
8 signs on Mr. Richeson after he began having symptoms  
9 of soreness of his throat?

10 MR. BELL: Objection, I think that's  
11 two questions. If you **ask** him about them separately,  
12 I would not have an objection.

13 A. At what time should he have ordered  
14 vital signs; is that what *you're* asking?

15 Q. Yes.

16 A. Well, you -- The reason I'm having  
17 difficulty is that I don't think vital signs are the  
18 most important things to observe at that point.

19 Q. What are the most important things to  
20 observe?

21 A. The breathing, which may include, of  
22 course, respiratory rate, but it certainly included  
23 other things that are even more **significant** or more --  
24 may occur earlier than changes in the respiratory rate..



1 Even such things **as** stridor, such thing<sup>6</sup> as cyanosis,  
2 those are not what **we call vital signs**, but vital  
3 signs meaning changes in blood pressure, **pulse**, and  
4 respiration, are not the most important things to  
5 observe under those circumstances.

6 I think they should have **observed** that,  
7 but I don't think that those **are** the critical things  
8 to observe. It's much more important to observe these  
9 other factors,

10 Q. Would you agree, **it's** more important to  
11 observe those other factors you've **been** discussing,  
12 such as stridor?

13 A. To determine whether those are present,  
14 yes.

15 Q. How frequently should those be done in  
16 a patient who has had an anterior dissection and is  
17 having symptoms with increasing internal edema?

18 A. I would say at least every 15 to 30  
19 minutes, if there seems to be a progression of things.

20 Q. Of course, if they're being observed,  
21 they should be recording the results, correct?

22 A. If they're present, they should be  
23 recorded. I don't think it's **necessary** to record  
24 things that are not present. **You** know, nurses have

1 enough things to do other than to record negative  
2 findings. And so nurses, **as** well **as** physicians,  
3 record primarily only the positive **findings**.

4 Q. Doctor, one more question: Once you  
5 graduate, what are your plans after you graduate?

6 A. Well, I'm planning to probably continue  
7 practicing neurosurgery and hopefully practice some  
8 law, too.

9 Q. Is there any special time that you  
10 anticipate knowing what you're going to be doing  
11 legally?

12 A. Any special time?

13 Q. I mean, right after you graduate, do  
14 you have an offer?

15 A. No, no.

16 Q. So at the time --

17 A. I'd be glad to accept an offer.

18 Q. So you don't know, Doctor, as to when  
19 you're going to **be** practicing law, or what your --

20 A. No.

21 MS. TAYLOR: Thank you. I have no  
22 more questions.

23 CROSS-EXAMINATION

24 BY MR. BELL:

1 Q. Doctor, I have one or two questions,  
2 very quickly.

3 In this case, did you form your  
4 opinions relative to the standard of care of Dr.  
5 Prioleau prior to receiving any arbitration brief?

6 A. I think so, yes,

7 Q. Did you prepare your report of July  
8 11th, 1989 prior to receiving my arbitration brief?

9 A. Oh, yes. I'm sure of that, yes.

10 Q. Would it be a fair statement that you  
11 findings and opinions as to the standard of care are  
12 not based upon an arbitration brief?

13 Objection. You're  
14 leading the witness. and I move to strike

15 BY MR. BELL:

16 Q. Asked another way: Were the  
17 conclusions that you reached prior to receiving the  
18 arbitration brief as to the standard of care  
19 influenced one way or the other by the arbitration  
20 brief?

21 A. No.

22 MR. BELL: I have nothing further.

23 Doctor, you have the right to read the  
24 deposition or you can waive that right. I leave it up

1 to you. I don't know this court reporter personally.  
2 It might about a good idea to review it, if you have  
3 time.

4 THE WITNESS: I would be glad to  
5 review it, if I don't have to do it in the next ten  
6 minutes or something.

7

8

9

ROBERT L. MCLAURIN, M.D.

10

- - -

11

DEPOSITION CONCLUDED AT 5:30 P.M.

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## C E R T I F I C A T E

STATE OF OHIO:

: SS

COUNTY OF HAMILTON:

I, LISA CONLEY, the undersigned, a duly qualified and commissioned notary public within and for the State of Ohio, do hereby certify that before the giving of his aforesaid deposition, the said ROBERT L. MCLAURIN, M.D. was by me first duly sworn to depose the truth, the whole truth and nothing but the truth; that the foregoing is the deposition given at said time and place by the said ROBERT L. MCLAURIN, M.D.; that said deposition was taken in all respects pursuant to agreement; that said deposition was taken by me in stenotypy and transcribed by computer-aided transcription under my supervision; that the transcribed deposition is to be submitted to the witness for his examination and signature; that I am neither a relative of nor attorney for any of the parties to this cause, nor relative of nor employee for any of their counsel, and have no interest whatever in the result of the action.

IN WITNESS WHEREOF, I hereunto set my hand and official seal of office at Cincinnati, Ohio, this

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day of , 1990.

MY COMMISSION EXPIRES: LISA CONLEY  
JULY 28, 1994. NOTARY PUBLIC - STATE OF OHIO

Robert L. K. Kaur

1. The first step is to identify the problem or question that needs to be answered. This involves understanding the context and the specific requirements of the task.