

The State of Ohio,)
) SS:
County of Cuyahoga.)

IN THE COURT OF COMMON PLEAS

Gloria Maslanka,
Individually and as Parent
and Natural Guardian of
Shane Maslanka,

Plaintiff,

vs.

Case No. CV-05-552424

MetroHealth Medical Center,

Defendant.

* * *

Transcript of the videotaped deposition
Of RICHARD MARTIN, M.D., called as a witness on
cross-examination by the Plaintiff, taken before
Kathleen A. Hopkins, a Notary Public within and for
the State of Ohio, at the Rainbow Babies and
Children's Hospital, 11100 Euclid Avenue, Suite 3100,
Cleveland, Ohio, on Monday, the 25th day of September,
2006, at 9:45 a.m., pursuant to notice.

* * *

1 APPEARANCES:

2 On behalf of the Plaintiff:

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10 On behalf of the Defendant:

11 James L. Malone
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19 CURRICULUM VITA MARKED PLAINTIFF'S EXHIBIT 1 FOR
20 IDENTIFICATION.

21 DR. MARTIN'S REVIEW NOTES MARKED PLAINTIFF'S
22 EXHIBIT 2 FOR IDENTIFICATION.

23 * * *

1 RICHARD MARTIN, M.D.,
2 of lawful age, called as a witness by the
3 Plaintiff, being first duly sworn as
4 hereinafter certified, was examined and
5 testified as follows:

6 CROSS-EXAMINATION OF RICHARD MARTIN, M.D.

7 BY MR. BECKER:

8 Q. Morning, Doctor.

9 A. Good morning, sir.

10 Q. For the record, please state your full name.

11 A. Dr. Richard J. Martin, M-A-R-T-I-N.

12 Q. All right. You have had your deposition taken
13 before, correct?

14 A. Yes.

15 Q. Just to review the groundrules, this is a
16 question and answer session under oath. It's
17 important you understand the question that I ask. If
18 the question doesn't make sense or is inartfully
19 phrased, I want you to let me know and I would be
20 pleased to restate or rephrase the question. Fair
21 enough?

22 A. I will do that.

23 Q. However, unless you indicate otherwise to me
24 today, I'm going to assume that you've fully
25 understood the question that I have posed and you're

1 giving me your best and most complete answer today.

2 Fair enough?

3 A. Yes, sir.

4 Q. Doctor, what have you reviewed in preparation
5 for today's deposition?

6 A. I have reviewed the medical records of
7 Mrs. Maslanka and her child, Shane. I have reviewed
8 three or four depositions. I can list them for you.
9 I think that's about it. A couple of reports.

10 Q. Okay. You have had an opportunity to see the
11 pediatric infectious disease, Dr. Rotbart from
12 Denver's?

13 A. I saw that report over the weekend, yes.

14 Q. And have you read both volumes of
15 Michael Sherman's deposition?

16 A. Yes.

17 Q. Okay. Have you done any research in
18 preparation for today's testimony?

19 A. No, sir.

20 Q. Okay. I'm going to start off and go through
21 some exhibits, Doctor, what's been marked as
22 Plaintiff's Exhibit 1. Would you identify that for
23 the record?

24 A. Yes, that's an updated CV of myself.

25 Q. Okay. Are there any articles that you have

1 authored thereon that would be potentially relevant to
2 the subject matter of this case?

3 A. There are neonatology review articles that may
4 be relevant for various textbooks. No specific
5 scientific articles I think are immediately relevant.

6 Q. Okay. What, is it something you could go
7 through right now and just put a check mark as to
8 which articles those are, or would it take some time?

9 A. Not really. I think it would be, if you look
10 at the chapters, there is general pediatric chapters,
11 for example, a review of neonatology for Creasy and
12 Resnik, which is an obstetrics book which is just a
13 general neonatology text. I haven't looked at it
14 recently. And it may indicate broad issues about
15 neonatal care. I don't think they are specifically
16 related to this case.

17 Q. Okay, fair enough.

18 And are there any articles that you have
19 authored or co-authored that are not reflected on
20 Exhibit 1?

21 A. No, sir.

22 Q. Showing you what's been marked as Plaintiff's
23 Exhibit 2, would you identify that for me, please?

24 A. Yes, these are several pages of notes, as I was
25 sent information. Save me going back to the original

1 chart each time, I make notes.

2 Q. Fair enough. May I have that, Doctor.

3 MR. BECKER: And, Kath, I'm just going
4 to, so I don't walk off with these, make sure that you
5 get the exhibits from me and include them with the
6 deposition.

7 Q. Doctor, do you have the original notes with
8 you?

9 A. I do.

10 Q. And I'd like to go through with you these notes
11 to make sure I can interpret, understand your
12 abbreviation and your comments.

13 And for the record, if you would, just kind of
14 slowly read through each page for me, please.

15 A. I can do that.

16 It says, Shane Maslanka versus Metro. It's a
17 male. Has asthma. That refers to the mother.
18 Should I editorialize?

19 Q. Yes, because sometimes I see some
20 abbreviations. I want to make sure I know what they
21 mean.

22 A. '04 Cleveland Clinic admission - failure to
23 thrive, epilepsy, G tube feeding, cortical blindness.

24 MetroHealth admission from 8-1 to 10-15-01.
25 Birth weight 1100 grams. White male. 32 weeks by

1 dates, 27 weeks by exam. Apgars 7 and 8. Blow by
2 oxygen at delivery. Mother A pos. Twenty-four year
3 old mother, GBS negative, screens unknown at delivery.

4 That's what I thought at that time of my
5 review.

6 Other screens negative; G7, P4, AB2.
7 Treatment: Antibiotics prior to delivery.

8 That's, as I was reading, this is what I came
9 up with, and some of those things, as you know, are
10 probably not correct.

11 Spontaneous rupture of membranes. Rupture of
12 membranes less than 12 hours. Vaginal vertex
13 delivery.

14 Needed Surfactant times 3. Ventilated 18 days.
15 Lasix, Aldactone, Dopamine. Nasal IMV times 4 days.
16 Nasal cannula times 46 days. PVL grade III IVH.
17 Hydrocephaly. PDA. Presumed sepsis.
18 Hyperbilirubinemia. Birth weight 1100 grams, 58th
19 percentile. Length, 36.5, 49 percentile. Head size,
20 26, 54th percentile. Discharge on caffeine thiazide.

21 Next page: Prenatal care began late, June 1.
22 July: Enlarged kidney on antenatal ultrasound.
23 Thirty-two weeks by dates, twenty-seven by exam.
24 Date of birth 8-1-01, 6:31 a.m. Male infant, white.

25 Plus tobacco half a packet a day. SRON, which

1 is spontaneous rupture of membranes, eleven and a half
2 hours prior to delivery.

3 UAC, UVC placed. Initial ventilator settings,
4 a hundred percent oxygen, 20 over 4, 30 per minute,
5 intubated for RDS. First ABG 741, 36, 260, 22.5,
6 minus 1.6. Weaned well. Hematocrit 37 percent.
7 Glucose is okay. CBC 4.4 times 10 to the third.
8 Blood culture sent. Treatment: Amp/Gent. Received
9 nasal, received, sorry, normal saline bolus to
10 Dopamine for several days for pressa support.

11 8-2-01: IV Calcium gluconate for low ionized
12 calcium.

13 8-3: Grade II IVH. Vent down to 35 percent.
14 Slight metabolic acidosis-7.38 to 28/97/13.6/minus 7.
15 Treatment sodium bicarbonate. Hypernatremia, about
16 154. Transfused to hematocrit of 39.6.

17 8-4: PDA treatment with Indomethacin deferred
18 because of IVH. One gas with bicarb 15. Base excess
19 minus 10.7. Treatment bicarb.

20 8-5: Still on Dopamine. Pink endotracheal
21 tube secretions.

22 Next page. 8-6: Dopamine weaning.
23 Needed another transfusion. Started trophic feeds.
24 LFT's okay. Bilirubin 3.4. Fresh frozen plasma.
25 Bloody endotracheal secretions. Abdominal ultrasound,

1 mild hydronephrosis. Nasal IMV from 8-8 to 8-12 to
2 reintubated. About 8-8 Dopamine dc'd.

3 8-10 to 8-11: Aspirants an issue.

4 8-12: Needed re-intubation.

5 8-15: Now Grade III IVH.

6 8-19: PDA ligation.

7 8-23: Started on Dex. Weaned vent for three
8 days, 8-23 to 8-26. Positive endotracheal aspirant to
9 Tobramycin aerosols.

10 8-24: Extubated.

11 8-30: Nasal cannula.

12 8-31: Reintubated for apnea, only about one
13 day. Vanco/Gent started for a positive blood culture
14 for coagulase negative staph. Appears to be collected
15 on 9-2. CSF OK.

16 Note indicates bilateral cystic PVL on 9-5.

17 9-27: Nasal cannula O2.

18 10-8: Passed hearing screen. Some ROP.

19 10-15: Discharge.

20 Labs, next page. Labs - chemistry about 8-2
21 sodium increased at 154. Creatinine 0.5 to 0.7 range.
22 BUN about 12. Bili to 4.5 on 8-9.

23 CBC's: 8-1, 8:00 a.m., white cell count 5.3 by
24 10 to the third. Corrected to 4.4. 31 percent of 4.4
25 equals 1.36 times 10 to the third. 28P, 3B, 58L, 11M,

1 NRBC's 20.

2 8-3: Midnight, white cell count 5.5, 6 times
3 10 to the third. Corrected to 3.7 - 37P, 54L, 7M.
4 37 percent of 3.7 equals 1.37 times 10 to the third.

5 8-4: 4.7 times 10 to the third.
6 22 granulocytes, 69 lymphocytes, that is a thousand
7 granulocytes. Hematocrit 42. Platelets okay. 8-4 to
8 8-6 NRBC's relatively low. 16 on 8-4. Stayed low.
9 For example, 8-6, 4.9 times 10 to the third - 15
10 granulocytes, 56 lymphocytes, 22 monocytes, total less
11 than a thousand.

12 Transfused on 8-2. Reason, question mark.
13 Hematocrit mid 30's.

14 By 8-8 - white cell count 8.7 by 10 to the
15 Third. 28P, 5B, 38L, 27M.

16 Coags: PTT elevated 60 on 8-4, to 99 on 8-6.
17 Normal is about 25 to 35. PT about 13 to 14. Normal
18 is 10 to 13. Blood culture negative from 8-1.

19 Blood gasses basically okay. PO2 is a bit
20 high. PCO2's in 30's and 40's. FI02 about 50 percent
21 on 8-1. Down to 30 to 40 percent by 8-2.

22 8-2: Some metabolic acidosis. Bicarb down to
23 14. Base excess minus 11.

24 8-3: Bicarb in 14 to 20 range. Base excess
25 minus 5 to minus 7. Baby A pos. Mother A pos also.

1 You're pretty good.

2 Imaging: RDS described as Grade I.

3 8-3: Grade I --

4 Q. Excuse me, Doctor. I want to interrupt on
5 imaging. Are you simply restating the official
6 interpretation or are you actually --

7 A. Yes, sir.

8 Q. You didn't read the ultrasounds yourself?

9 A. I didn't -- I don't think I've seen any images
10 myself.

11 Q. Okay. Go ahead.

12 A. 8-3: Grade I to II IVH's. Possible increased
13 white meta echogenicity.

14 8-6: Moderate right hydronephrosis, mild on
15 left.

16 8-7: Head ultrasound - resolving Grade II,
17 consistent with ventriculitis, mild increase in
18 ventricular size.

19 8-15: Bilateral Grade III bleeds, mild
20 ventriculitis, increased ventricular size. Subsequent
21 chest x-rays show BPD with pulmonary edema.

22 8-31: Head ultrasound - biliteral cystic PVL,
23 right greater than left. Slight increase in
24 ventricular size.

25 9-18: PVL progressing. Increased ventricle

1 size.

2 9-30: Ditto.

3 New page. Deposition - Gloria Maslanka,
4 mother. Went to hospital evening of 7-31 with
5 tightness of breath. Question asthma. ER to L&D to
6 spontaneous rupture of membranes. Has seizures.
7 G tube for feeds. Baclofen for increased muscle tone.
8 Increased secretions.

9 Deposition - Dr. Razi, 5-31-06. Attending at
10 deliver. Suggestion that info on younger gestation on
11 antenatal ultrasound not appreciated. Decels prior to
12 delivery. High risk perinatologist not involved
13 initially on hospital admission of about 8:30 p.m.
14 Not appreciated to be 26, 27 weeks.

15 6-28-01: Positive urine culture for GBS, but
16 insignificant -- but no significant growth elsewhere.

17 Mid July, urine culture for GBS negative.

18 Infection risk not quite clear.

19 Claim seems to be that perinatology should have
20 been involved earlier.

21 Deposition - Dr. Louis, L-O-U-I-S, resident in
22 OB at time.

23 Admit note - Assumed about 36 weeks. No
24 infection. GBS negative. SR0M two hours earlier in
25 evening before delivery. Says he would not have

1 augmented labor if known to be 27 weeks so he could
2 have given steroids. Would have given antibiotics if
3 known to be 27 weeks. Had an amnio infusion about
4 2:00 a.m. Question mark for decels. Mother not
5 considered infected. Probably did not get
6 antibiotics.

7 Deposition - Dr. Sherman. Doubts infection.
8 Seems to question whether SROM occurred. Will not
9 come and comment, as will not commit as to how long
10 pregnancy could have been prolonged.

11 Critical of neos: Charting. Delayed treatment
12 of PDA to brain ischemia contributed to PVL. Says PVL
13 first recognized at four weeks. Caused at first day
14 or second of life. Says brain injury contributed to
15 hyperoxia. Says hypernatremia contributed to IVH and
16 caused by amnio infusion with saline. Believes
17 hypotension and need for Dopamine secondary to PDA.
18 Does not believe possible Grade II bleed -- I can't
19 read the word -- justified withholding Indomethacin.

20 Presence -- does not believe --I'm sorry --
21 does not believe possible Grade II bleed presence
22 justified withholding Indomethacin.

23 Critical of treating hypernatremia with sterile
24 water feed drip. Says delay in PDA treatment caused
25 bleed. Believes bleed extended to Grade III between

1 6th and 9th of August. Does not believe neutropenia
2 resectic. Critical of postnatal dex. Says with
3 antenatal steroid IVH would not have occurred or been
4 reduced. Says that if in utero early bleed -- says
5 that if in utero/early bleed, would have seen signs of
6 general bleeding.

7 Continued depo: Believes delayed echo and PDA
8 closure contributed to morbidity. Believes infant
9 would not have had IVH, et cetera, if PDA closed.

10 Critical of 8-3 head ultrasound that says
11 Grade I to II bleed. Believes just Grade I.
12 Is okay with diagnosis of Grade II on the 7th,
13 Grade III on the 15th. Discusses cystic resolution of
14 clots. Disputes neutropenia data.

15 Q. I don't see any notes off of the second volume
16 of Sherman's depo.

17 A. I think when it said continued deposition, I
18 think that probably was the second volume.

19 Q. Oh, okay.

20 A. I made the point, continued depo on the top of
21 that last page, sir.

22 Q. Okay.

23 A. I think that was the continuation.

24 Q. So that was your summary of the second volume?

25 A. I think so.

1 Q. On the last page of your notes?

2 A. I think so.

3 Q. Showing you what's been marked as Plaintiff's
4 Exhibit Number 3, would you identify that for the
5 record?

6 A. These are some clinic follow-up notes.

7 Q. It's a letter to you from whom?

8 A. From Jim Malone.

9 Q. Okay. What's the date of the letter?

10 A. March 24th, '06.

11 Q. There is some handwriting in the upper right
12 corner?

13 A. Yeah, it's my note. It says, 4/2 review of
14 records and notes, two hours.

15 Q. Okay. Showing you what's been marked as
16 Plaintiff's Exhibit 4, would you identify that for us,
17 please?

18 A. I think that's an index, when the whole thing
19 first arrived, with a gazillion.

20 Q. Records?

21 A. Records.

22 Q. And you've reviewed all those records that are
23 delineated in that summary?

24 A. I have overviewed or reviewed all this stuff,
25 yup.

1 Q. Okay.

2 A. If it was sent to me. I mean, I didn't
3 crosscheck.

4 Q. One more, Doctor.

5 Showing you what's been marked as Plaintiff's
6 Exhibit 5, which I believe is your report in this
7 case, would you identify it for me, please?

8 A. Yes, that is the report I wrote to Reminger as
9 dated July the 17th, '06.

10 Q. And do you have a copy of that report at hand?

11 A. I do.

12 Q. Okay. I want to start off, Doctor --

13 MR. MALONE: He has written a second
14 report.

15 A. There was a little extra page. You have that.

16 Q. I don't know that I have. Let me see it.

17 MR. MALONE: This was just a
18 supplemental report that came in response to your
19 submission of Dr. Carfi's.

20 A. Remember you asked earlier who is Dr. Carfi.
21 That's when you were looking at that.

22 Q. Thank you for that.

23 Showing you what's been marked as Plaintiff's
24 Exhibit 6, identify that for me, please.

25 A. Yeah, that's the supplementary sentence I sent

1 to Mr. Malone.

2 Q. Let me see that one more time.

3 I want to start off with some definitions,
4 Doctor, and then we're going to talk about these
5 medical concepts in more detail.

6 What does PDA mean?

7 A. Patent ductus arteriosus.

8 Q. And what does that mean?

9 A. It's a communication between the circulation
10 going to the lungs and the circulation going to the
11 systemic parts of the body. It's typically patent in
12 a fetus and closes after birth.

13 Q. In a 27 weeker, when does it generally close?

14 A. Most patent ductuses close spontaneously and
15 there are data on that. I think it varies a lot in
16 time and how sick the baby is. I think there is a
17 wide variability in when they close. I'm not sure I
18 can give you a number.

19 Q. What does PVL mean?

20 A. PVL stands for periventricular leukomalacia.

21 Q. And what does that mean?

22 A. Well, to me that means white matter injury in
23 the substance of the brain, most commonly in premature
24 infants, but not necessarily.

25 Q. And do you restrict that concept to just simply

1 injury around the ventricles?

2 A. That's my understanding, yes.

3 Q. Okay. Newborn sepsis or neonatal sepsis, what
4 does that mean?

5 A. Neonatal sepsis is infection which occurs in
6 the neonatal period.

7 Q. And by the term sepsis?

8 A. Sepsis means infection.

9 Q. Neutropenia?

10 A. Neutropenia is a low total neutrophil
11 granulocyte count in the blood.

12 Q. So when you use in your report the phrase or
13 the word neutropenia, you're referring to the total
14 neutrophil count?

15 A. Right. What we typically do is we take the
16 mature granulocytes and what's called the bands, which
17 is the immature neutrophils, and we add them together.

18 Q. Now, is that the same or different than
19 absolute neutrophil count?

20 A. I think it's the same.

21 Q. Okay. What does neutrophilia mean?

22 A. Neutrophilia is an excess neutrophil count.

23 Q. IVH?

24 A. Intraventricular hemorrhage.

25 Q. GMH?

1 A. GMH is germinal matrix hemorrhage, I think. I
2 don't use that term too much, but --

3 Q. Okay. Is there a difference between IVH and
4 GMH?

5 A. Not really. IVH is graded. Germinal -- no,
6 no, no, not seriously.

7 Q. NRBC's?

8 A. Red blood cells.

9 Q. ROP, retinopathy of prematurity, what does that
10 mean?

11 A. That's the eye problem that can impair the
12 vision of typically premature babies.

13 Q. What's the cause of ROP?

14 A. The cause of ROP is multifactorial, which means
15 there is lots of things that can cause it. It's a
16 problem of prematurity. Traditionally high arterial
17 oxygen, just being one of the culprits, although other
18 factors predispose babies to ROP. I don't think we
19 quite know what really causes it.

20 Q. Do you have an opinion in this case what caused
21 the child's ROP, in terms of likelihood?

22 A. I assume it's the prematurity. I see no other
23 specific risk factor here that would incriminate.

24 Q. Specifically would it be the oxygen
25 administration to the newborn?

1 A. Well, as I indicated, certainly a persistently
2 high arterial oxygen tension in the blood has been
3 implicated in predisposing to ROP.

4 In reviewing the blood gasses of this baby,
5 while there were a few PO2's that were on the high
6 side, this was not really different than what happens
7 in most babies. So why some get it and why some
8 don't, I don't think we quite know.

9 Q. Now, what number are you utilizing for a
10 definition of neutropenia; 1,500?

11 A. Fifteen hundred or a thousand are the most
12 commonly used definitions.

13 Q. Some authors utilize a thousand and your
14 textbook uses 1,500, correct?

15 A. I don't remember, but it's between the two.

16 Q. You didn't write the chapter on neutropenia, a
17 pediatric hematologist from St. Louis wrote the
18 chapter?

19 A. Correct.

20 Q. Can we agree that neutrophil count can vary
21 considerably during the neonatal period?

22 A. Yes.

23 Q. Do you have a copy of your most recent edition?

24 A. Yes. I think that, we just need that volume, I
25 think.

1 Q. I was looking for this table in your textbook,
2 and I thought I tabbed it, but apparently I didn't,
3 where you have the normal ratios of, I think, bands to
4 total, and what's, what's generally considered?

5 A. There are a bunch of tables in the appendix.
6 Are you not finding it?

7 Q. No. I'll stumble upon it here in a minute.
8 It's Table 44-11.

9 A. Do you have a page?

10 Q. I don't.

11 A. Table what?

12 Q Maybe I do. Maybe I do, Doctor, I'm sorry.
13 Page 1310.

14 Tell me when you're there on Page 1310.

15 A. I'm there.

16 Q. Okay. I want to understand the Table 44-11 up
17 here. This shows a, what a normal absolute neutrophil
18 count should be?

19 A. Correct.

20 Q. Of 3,500 to 6,000, at the, within the first 12
21 hours of life. Did I read that correctly?

22 A. Yes.

23 Q. And what a normal absolute band count is?

24 A. Yes. I got it.

25 Q. And then the column to the far right is what

1 the band to neutrophil ratio is, correct?

2 A. Yes.

3 Q. Now, if there were signs of infection, if a
4 newborn had sepsis, would you expect the numbers to be
5 higher or lower than what's reflected in this table?

6 A. The most common things we see when babies are
7 septic is neutropenia rather than neutrophylia, and
8 that's often associated with an elevated band count.

9 Q. Okay. And if the band count in this newborn
10 was less than .14, that would be inconsistent with
11 newborn sepsis?

12 A. That would be not supportive of newborn sepsis.
13 On the other hand, I think when a baby is persistently
14 so neutropenic as this child was, that would be quite
15 a concern to me about sepsis.

16 Q. If a pediatric hematologist would opine that
17 this child didn't have neutropenia, would you defer to
18 a pediatric hematologist on that issue?

19 MS. REID: Objection.

20 A. I wouldn't for a couple reasons.

21 The first is that pediatric hematologists make
22 pretty rare appearances in most NICU's, so I think
23 they are rarely consulted and don't spend a lot of
24 time there.

25 The other thing is that this graph and this

1 table and a variety of graphs I'm sure we can find in
2 the appendix, would indicate that having a persistent
3 neutrophil count in the thousand to fifteen hundred
4 range is way below normal on all normative graphs, so
5 I would disagree with that statement, yes, sir.

6 Q. Would you agree, Doctor, that typically when a
7 newborn has an infection and is septic and responds to
8 antibiotics, a depressed white blood cell count will
9 respond within a few days, assuming it's administered
10 antibiotics?

11 A. I would anticipate an effective response,
12 effective treatment of sepsis would result in
13 improvement of the neutrophil count, yes.

14 Q. Within a few days?

15 A. I'm not sure I can give a specific time frame
16 for it, but within days, yes.

17 Q. Would you agree, Doctor, that negative blood
18 cultures, normal liver function and the absence of
19 direct hyperbilirubinemia speak against newborn
20 sepsis?

21 A. Let me handle those individually if I may.

22 Q. Sure.

23 A. The definitive diagnosis of sepsis is obviously
24 made by a positive blood culture. Some would say two
25 positive blood cultures. And that's not the case

1 here, so we have a diagnosis of presumed sepsis, not
2 proven sepsis by virtue of the negative culture.

3 Elevated liver function tests are quite
4 uncommon in neonatal sepsis, unless you're dealing
5 with a viral illness.

6 And the same applies for direct
7 hyperbilirubinemia, direct hyperbilirubinemia unless
8 you're dealing with a viral disease is a very uncommon
9 manifestation of sepsis, so that's not something I
10 would bring into play.

11 Q. Fair enough.

12 Would you agree, Doctor, that there are
13 numerous conditions of prematurity that account and
14 can account for low white blood cell count in the
15 newborn unrelated to sepsis?

16 A. I'm not quite sure what they are, sir. I think
17 neutropenia in a premie is really sepsis until proven
18 otherwise.

19 A mother who is hypertensive is one reason we
20 sometimes see neutropenic babies, but I don't believe
21 this mom was hypertensive.

22 Other causes of neutropenia, while they may
23 exist, I think they're pretty rare. There is not a
24 striking list of causes of neutropenia.

25 Q. Fair enough.

1 Would you agree that often with newborn sepsis
2 one sees low Apgar scores?

3 A. If the baby is born septic, you may have a low
4 Apgar score, yes.

5 Q. Would you expect to see a low Apgar score?

6 A. There is a lot that goes into the Apgar. I
7 don't know whether I'd expect it or not, but it
8 certainly is a clue that the baby might be septic.

9 Q. With newborn sepsis would you like to see,
10 would you expect to see evidence of an asphyxiation by
11 way of blood gasses?

12 A. Metabolic acidosis is the one blood gas feature
13 I'd expect with neonatal sepsis.

14 Q. And with newborn sepsis would you expect to see
15 evidence of thrombocytopenia?

16 A. You may.

17 Q. But more likely than not would you expect to
18 see it?

19 A. I can't answer that. I suspect most septic
20 babies are not thrombocytopenic, but I can't give you
21 numbers on that.

22 Q. Did you say that most newborns that are born
23 with, that are septic, do not have thrombocytopenia?

24 A. I suspect so, but I'm not sure I can prove
25 that.

1 Q. Would you agree that typically when there is
2 newborn sepsis there is evidence at the time of birth
3 of foul smelling amniotic fluid?

4 A. No. That's a very subjective sign. And I
5 think it's a probably a feature of a profound
6 chorioamnionitis, for which we don't have evidence
7 here.

8 Q. And one of the features of profound chorio is
9 funisitis, and we don't have that here, do we?

10 A. Correct.

11 Q. Just so I'm clear that, you're not opining in
12 this case that this child had brain injury secondary
13 to cytokines?

14 A. It's certainly a possibility.

15 Q. Well, I want to know in terms of probability if
16 that's what your opinion is here.

17 A. I believe we, based on the evidence that I
18 have, that we have a baby with white matter injury
19 manifest in the first days of life. We have a baby
20 who is, in my opinion, probably septic based on the
21 persistent neutropenia, metabolic acidosis and
22 hypertension, all of which are features of sepsis. So
23 you have likelihood of sepsis. You have evidence of
24 white matter injury. It is certainly possible that
25 the white matter injury is caused by either infection

1 or the inflammatory response of an infection, yes.

2 Is that the likely reason of the white matter
3 injury, I think that was your question. I think that
4 would be speculation on my part. White matter injury
5 can be caused by a variety of things. It's certainly
6 --

7 Q. We talked about --

8 A. -- a likely association.

9 Q. Excuse me. We talked about causes of PVO at
10 our last deposition, and just to make sure that we're
11 on the same page, you can have the cytokine
12 inflammatory response as one source and the other
13 cause would be the typical hypoxic ischemic injury,
14 correct?

15 A. Correct.

16 Q. And you can have a hypoxic ischemic injury from
17 a hemodynamically significant PDA, correct?

18 A. You know, I know that Dr. Sherman in his
19 deposition is taking that position. I tend to
20 associate significant left to right shunting through a
21 patent ductus arteriosus as a cause of pulmonary
22 edema. I think it is, and there is the theoretical
23 possibility of shunt, of blood being shunted away from
24 the systemic circulation including the brain. I think
25 that's a reasonable hypothetical argument.

1 Am I convinced that left to right shunt through
2 a patent ductus in this or any other baby can lead to
3 hypoxemic brain injury, I've not considered it very
4 much. I think it's a possibility. I don't think it's
5 likely.

6 Q. Well, is there literature that stands for the
7 proposition that with a hemodynamically significant
8 PDA you can get a ductal steal, it's called a ductal
9 steal, which results in hypotension and that leads to
10 both metabolic acidosis and ischemia, are you aware of
11 any literature to that effect?

12 A. I'm certainly aware of the fact that shunting
13 blood left to right away from the systemic circulation
14 could lead to circulatory imbalance. I think the
15 story is complicated. I mean, I think metabolic
16 acidosis is not a characteristic presentation for a
17 patent ductus arteriosus. In fact, these babies are
18 much more likely to present with a respiratory
19 acidosis because of their pulmonary edema than they
20 are with their metabolic acidosis.

21 Hypotension can certainly aggravate shunting,
22 but I have a problem, I guess as you can tell, with
23 the thinking that left to right shunt through a patent
24 ductus arteriosus will cause a metabolic acidosis and
25 will cause hypoxemic brain injury.

1 Q. Or ischemic brain injury?

2 A. Right. I think that's an unusual line of
3 thinking. It's theoretically conceivable, but I'm not
4 sure it happens.

5 Q. And you're not familiar with any literature on
6 that topic?

7 A. Well, in terms of PDA's causing brain injury,
8 that would be very hard to come up with, you know.
9 It's the smallest, sickest babies that have a PDA and
10 it's the smallest, sickest babies that have brain
11 injury and everything goes wrong in these kids.
12 They're usually the ones that, for example, there is
13 an association between PDA's and sepsis, so it becomes
14 almost impossible to unravel these various risk
15 factors.

16 Q. In the absence of interpartum antibiotics in a
17 truly septic newborn, would you expect the blood
18 cultures to be positive?

19 A. Certainly having positive blood cultures would
20 be a definitive diagnosis of sepsis. And we don't
21 have that here, hence the diagnosed of presumed
22 sepsis. So many babies we see with sepsis don't have
23 a positive blood culture and we presume they have
24 sepsis. I don't know quite know how to answer your
25 question. So would I expect it, not necessarily.

1 Q. Well, how about more likely than not, if you're
2 not giving antibiotics to the mom, that there is no
3 intrapartum antibiotics, and if, hypothetically, the
4 newborn is septic at birth, and you do cultures, would
5 you expect, if there was true sepsis causing serious
6 problems for a newborn in utero and there is no
7 intrapartum antibiotics would you generally expect the
8 blood culture to be positive?

9 A. Not necessarily. I think if the baby was, had
10 an overwhelming bacteremia you would probably expect
11 that, if the bacteremia were maybe more subtle, if the
12 more long lasting, subtle infection, not necessarily.

13 Q. So can I infer from that answer that your
14 opinion in this case that there was subtle bacteremia
15 present before birth?

16 A. Not necessarily. I'm just trying to come up
17 with an explanation for why the blood culture is so
18 frequently negative. So let me reword what I said.

19 I mean, these babies, babies who are presumed
20 to have sepsis, very frequently have a negative blood
21 culture. And I don't think we quite know why.
22 Whether it's because the volume of bacteria or the
23 amount of bacteria in the blood is not as high,
24 whether it's the way we sample the blood, I don't
25 really know the answer to that. So I was really sort

1 of speculating at that point. I don't know why sepsis
2 is so often associated with a negative blood culture
3 in the baby.

4 Q. I want to stay with this a little bit more,
5 Doctor. I want to make sure I understand your
6 opinion.

7 A. Yes.

8 Q. Do you have an explanation -- strike that.
9 Would you expect if there was newborn sepsis
10 and no intrapartum antibiotics given, and this was a
11 significant newborn sepsis to cause brain injury to
12 the child in utero, would you expect, more likely than
13 not, to see a positive blood culture?

14 A. Not necessarily.

15 Q. I don't want to argue with you, but you
16 answered not necessarily, which means a hundred
17 percent, and I'm asking you for more likely than not.

18 MR. BECKER: Kath, could you read back my
19 last question, please.

20 (Notary read back last question.)

21 A. And I'm telling you I don't know the answer to
22 that.

23 Q. Okay. Now, do you consider the, jumping back to
24 neutrophils for a second, do you consider the, which
25 of the laboratory analysis do you consider more

1 reliable as to whether a newborn is infected; absolute
2 neutrophils, band to neutrophil ratio or I to T
3 ratio, which of those three do you consider more
4 reliable as a predictor of newborn sepsis?

5 A. I don't think it's possible to differentiate
6 one as being more important than the other. I think
7 what's more important is if you have an abnormal value
8 that you repeat it and make sure that that abnormal
9 value is not just a one, one shot deal, maybe a lab
10 error or whatever, but persists.

11 And in this case we have one what I consider to
12 be abnormal lab value which was just quite persistent.
13 So I think the persistence of that phenomenon is more
14 important to me than identifying one specific marker.

15 Q. So would you agree that there are many
16 noninfectious processes that are associated with both
17 neutropenia and neutrophilia? Did we cover that
18 already?

19 A. We didn't talk about neutrophilia.
20 Neutrophilia is very nonspecific sort of thing which I
21 think can be a response to any sort of stress in a
22 baby.

23 I think when you asked me earlier if there are
24 many causes of neutropenia, I answered that I wasn't
25 aware of a whole bunch of common causes of

1 neutropenia.

2 Q. If your own textbook says that there are many
3 noninfectious processes associated with neutropenia,
4 would you disagree with it?

5 A. I probably should take a look at the sentence
6 if you don't mind.

7 Q. Sure.

8 A. Make sure what context it's in.

9 Q. Page 796.

10 MS. REID: Does that say neutropenia and
11 neutrophilia is the same sentence?

12 MR. BECKER: It does.

13 Q. It's the right-hand column, second or first
14 full paragraph. I think it's the third sentence down?

15 A. I'm just going to look at the table to see what
16 they're referring to.

17 So if I, if I may take this in the context I
18 think it's stated, the first sentence says,
19 neutropenia, especially in the first hours of life,
20 and is associated with respiratory distress can be
21 worrisome because of a strong association with GBS
22 sepsis.

23 And it goes on to say, however, many
24 noninfectious processes are associated with both
25 neutropenia and neutrophilia. And they refer you to a

1 Table 37-16. And it says clinical factors affecting
2 neutrophil counts. And they've got 4 pluses next to
3 maternal hypertension, which I think I answered
4 earlier, that the first thing you might think of other
5 than sepsis would be maternal hypertension as a cause
6 of neutropenia, which is not apparent.

7 They've got a one plus associated with
8 asphyxia, and I was not aware of asphyxia causing a
9 decrease in neutrophils, but there is a one plus.

10 There is a three plus for periventricular
11 hemorrhage, which could be consistent with this baby
12 coming out with a periventricular hemorrhage. I was
13 personally not aware of the fact that periventricular
14 hemorrhage at birth causes neutropenia. And hemolytic
15 disease we have no evidence for.

16 So I think that sentence probably refers more
17 to the neutrophilia, which is the right side, I think,
18 where you've got pluses everywhere. So I think it
19 might be a little out of context. I don't think there
20 is a lot of things that cause neutropenia, is my
21 bottom line.

22 Q. Do you have any experience as to whether
23 nucleated red blood cells cause a drop in red blood
24 cell count, in RBC's?

25 A. I'm not specifically aware of that. I know we

1 correct for the right for the nucleated red blood
2 cells. But that's not your question, right?

3 Q. Right. You are not aware of that?

4 A. No.

5 Although I will say the nucleated red cell
6 count in this baby of around 20 was not particularly
7 elevated.

8 Q. Well, what's normal?

9 A. Normal is sort of anywhere from about zero to
10 ten. Some of these babies have like several hundred
11 if they're stressed, so at 20 is upper range normal
12 maybe.

13 Q. On that same page, 37, Table 37-15 shows the
14 range of normal absolute neutrophil count at birth.
15 Do you see that, 500 to 6,000? It's in the bottom
16 right-hand corner of Page 796.

17 A. Yes, I see that. I do see that, yeah.

18 Q. Do you agree with that, that that's a normal
19 range; minimum and maximum?

20 A. This is what somebody is proposing. And they
21 have minimum values of around a thousand at 60 hours
22 with 500 at birth. That's very, very low.

23 I think this gentleman is proposing that and
24 that's, he's entitled to propose that, but that's, I'm
25 sure that's greater than two standard deviations below

1 the mean.

2 Q. Doctor, it's in your textbook. Do you review
3 the materials that ultimately are contained, I realize
4 that you didn't write the chapter, but do you
5 generally review each author's chapter before you
6 include it in your final textbook?

7 A. Well, yeah, we do, but I think there's tables
8 in the tables in the back where there are white cell
9 counts. I think there maybe even an elaboration of
10 this particular study, we can go to it if you like.
11 So, so this is a small piece of a larger body of data
12 which I think appear in the appendix of the book.

13 Q. Just for the record I want to make sure, what
14 was your calculation for the absolute neutrophil count
15 for, at 8:10 a.m., the blood drawn I think two hours
16 after birth, what was your calculation?

17 And feel free to, at any time during this depo,
18 feel free to look at your notes, the chart.

19 A. You know, there is a series, let me just in a
20 general comment make this. There is a series of white
21 cell counts between about a thousand and fifteen
22 hundred and it's complicated by the fact that some
23 have nucleated red cell corrections, some don't. Some
24 have diffs, some don't.

25 If you want to go to the specific one, maybe I

1 should go to the record. Is that okay?

2 I'm on 8-1 at 8:00 a.m, is that correct?

3 Q. Yeah, that's the first blood.

4 A. That's five. I'm not very good at math, but
5 you've got 5.3 corrected to 4.4. 4.4, 28 polys and 3
6 bands, so you have to take 31 percent of 4.43.

7 I can't do that in my head. I'm sorry.

8 Q. I came up with 1,373. Any reason to disagree?

9 A. Sounds reasonable.

10 Q. Sounds reasonable?

11 A. Yes.

12 Q. You feel that that's an abnormal for the --

13 A. We talked earlier --

14 Q. -- blood work done within the first six hours
15 of life?

16 A. We talked earlier about the fact that a
17 thousand or fifteen hundred is the lower range of
18 normal that most people take. That's sort of in
19 between.

20 Q. Now, is it your practice, Doctor, if you
21 suspect a newborn sepsis, if you have a feeling that
22 this child may be septic but you have a negative
23 culture, is the next step that you, Dr. Martin, does
24 is do a C reactive protein analysis?

25 A. We tend to, that's sort of become pretty

1 fashionable. We tend to do that. Whether we were
2 doing that in 2001, I don't remember.

3 Q. Okay. That helps the clinician?

4 A. It does.

5 Q. In their diagnosis, correct?

6 A. It does.

7 Q. And if you choose not to do a C reactive
8 protein and you feel the child may well have newborn
9 sepsis, do you engage in lumbar puncture, assuming the
10 child is stable?

11 A. We routinely do a lumbar puncture if a baby has
12 a positive blood culture. If a baby with respiratory
13 disease does not have a positive blood culture, most
14 of us would not do an LP. The practice varies a bit
15 on that.

16 Q. But if you're not going to do an LP but your
17 working with the presumption of sepsis, would you then
18 institute high doses of antibiotic therapy?

19 A. Not necessarily, no.

20 Q. Now, I want to talk about steroids. Is a
21 steroid -- is betamethasone a steroid?

22 A. Yes.

23 Q. And dexamethasone is a steroid, correct?

24 A. Yes.

25 Q. And I've heard the phrase corticosteroid, is

1 that the same thing?

2 A. There are mineral corticoids and
3 glucocorticoids. We're talking here about
4 glucocorticoids. So for practical purposes we're
5 talking about the same thing.

6 Q. Okay. Doctor, you recognize that the advent of
7 betamethasone for an intrapartum use is one of the
8 most promising drugs that neonatology has encountered,
9 correct?

10 A. I'd accept that.

11 Q. In fact, your textbook says, "The most
12 promising specific prophylactic drugs are antenatal
13 steroids." Do you agree with that? I'm not sure who
14 wrote that chapter on the central nervous system, but
15 let me tell you. Maybe it's you.

16 A. No, it's European authors, but I'm not going to
17 argue with that. That sounds fine.

18 Q. Pierre Gressens?

19 A. Yeah.

20 Q. You agree with that?

21 A. Yes.

22 Q. It goes on to state that, "A systemic review
23 published in 1999, showed a significant reduction in
24 the risk of GMH-IVH diagnosed by ultrasonography."
25 Any reason to disagree with that?

1 A. That statement is correct.

2 Q. The next sentence I find very interesting if
3 you can find it, if you want to look at it, it's Page
4 931 in the right-hand column.

5 It also says that, "There was also a strong
6 trend towards improved long term neurologic outcome."
7 Do you agree with that?

8 A. I'm trying to think of the literature on
9 antenatal steroids and long term outcome. And I'm not
10 recollecting if, how strong those data are. When
11 someone says a strong trend that usually means it
12 looking encouraging but it's not quite there, right.
13 And I have no reason to dispute that statement.

14 Q. Okay. Let's go on to the next sentence. It
15 says, "It is not certain whether the effect," and
16 that's the effect of the steroids, "is mainly due to
17 increased lung maturation and therefore less severe
18 RDS, stabilization of postnatal blood pressure, or
19 perhaps a direct protective effect on the brain." Do
20 you have any reason to disagree with that statement?

21 A. No.

22 Q. Would you agree that the effects of
23 betamethasone administration on lung maturity are
24 relatively rapid and most dramatic and effective if
25 administered, if administration is provided to the mom

1 approximately one to seven days before delivery?

2 A. That's correct.

3 Q. Would you agree that betamethasone decreases
4 the likelihood of a hemodynamically significant PDA?

5 A. I don't think that's correct.

6 Q. Are you aware of any literature to that effect,
7 that betamethasone has a positive influence on
8 subsequent development of a hemodynamically
9 significant PDA?

10 A. That literature is not at my fingertips. You
11 might expect if a baby has less RDS, they would be
12 less likely to have problems with the PDA. I imagine
13 that's the association, rather than a direct effect.

14 VIDEOGRAPHER: Excuse me. I need to
15 change the videotape.

16 * * *

17 Thereupon, a short recess was had.

18 Thereupon, the deposition continued pursuant to
19 recess.

20 * * *

21 Q. Still going through your textbook, Doctor, it
22 notes that, "Advances in neonatal intensive care and
23 increase in the use of prenatal corticosteroids
24 enhance fetal lung maturation and have resulted in
25 lowering of the incidence of intercranial hemorrhage

1 to less than 20 percent of infants with low birth
2 weight." Would you agree with that percentage?

3 A. Yes.

4 Q. Now, moving on to dexamethasone. Was this
5 institution in 2001 utilizing dexamethasone to assist
6 in extubation?

7 A. Yes. And in fact it's still used on occasions.

8 Q. Does this institution in low birth weight kids
9 use indomethacin prophylactically?

10 A. Some of my colleagues may. Most of my
11 colleagues do not.

12 Q. And that was the same in 2001?

13 A. Yes. This is one of the hardest things,
14 indications for indomethacins is one of the hardest
15 things we've had as a group to come to closure on, as
16 is the case nationally.

17 Q. You recognize that there is some studies that
18 show that indomethacin when used prophylactically
19 significantly reduces the incidence of Grade III and
20 Grade IV hemorrhage?

21 A. Correct.

22 Q. Now, I want to jump on to the topic of PDA.

23 Would you agree that when you see a 27 weeker
24 that has a murmur, you want to be thinking about PDA?

25 A. Yes.

1 Q. And when you think about PDA, you have to move
2 quickly for confirmation via an echo, which is the
3 gold standard?

4 A. Yes.

5 Q. That's the standard of care?

6 A. Yes.

7 Q. Do you read your own echoes or do you just rely
8 on interpretations by cardiology?

9 A. I rely on the cardiologists.

10 Q. Would you agree that failure of the ductus to
11 close is a common complication in infants with RDS
12 and has been linked to the development of both BPD,
13 bronchopulmonary dysplasia, as well as necrotizing
14 enterocolitis?

15 A. That is correct.

16 Q. What are the clinical heralding signs or
17 symptoms of a ductus?

18 A. In various combinations they would be a murmur,
19 bounding pulses or a wide pulse pressure, and
20 development of CO -- retention of CO2, in other words,
21 development of a respiratory acidosis. Those are the
22 main things that come to mind.

23 Q. And I have read that it's not uncommon, and
24 particularly in 27 weekers who have hemodynamically
25 significance PDA, to not be able to pick up a murmur,

1 is that true?

2 A. I don't know of any study that -- to answer
3 that you'd have to know, you'd have to echo everybody,
4 wouldn't you, those with a murmur and those without a
5 murmur. And I don't know that that's been done.

6 I imagine most 27 weekers would have some
7 patency of a ductus. But if it's not clinically
8 significant, I'm not sure it matters.

9 Q. And the way you can tell whether it's
10 clinically significant is what you just described to
11 me about respiratory acidosis, murmur, and I forgot
12 what the third was you just said to me?

13 A. Bounding pulses or wide pulse pressure.

14 Let me qualify what you said. I think that a
15 murmur and bounding pulses are, certainly a murmur is
16 a suggestion of a duct, not necessarily clinically
17 significant. If the ventilator settings start going
18 up and the CO2 starts going up, and the baby develops
19 pulmonary edema, that's sort of more likely to be
20 clinically significant.

21 Q. And pulmonary edema is apparent on chest
22 x-rays?

23 A. Yes.

24 Q. And can one make a determination from an echo
25 whether or not there is hemodynamically significant

1 PDA?

2 A. Yes. Although I think that decision is a
3 combination of echographic criteria and the clinical
4 status of the infant.

5 Q. You would agree that a PDA should be suspected
6 when there is a delay in clinical improvement from RDS
7 and the infant has prolonged requirements for high
8 ventilating setting?

9 A. Yes.

10 Q. Would you agree that indomethacin is effective
11 in closing the ductus, particularly closer to the day
12 one or day two of life in a 27 weeker?

13 A. Early use of indomethacin is thought to be more
14 effective than delaying its administration.

15 Q. Now, I understand that there are some
16 contraindications for indomethacin. And one of those
17 which we're going to talk about today is active
18 bleeding, correct?

19 A. Yes.

20 Q. And how do you as the clinician make a
21 determination whether or not there is active bleeding?

22 A. The, obviously you look for clinical signs of
23 bleeding.

24 Q. Just give me some examples of what those might
25 be.

1 A. Well, if the baby is oozing, for example, the
2 baby is excessively bruised.

3 Q. Oozing from what area, any area?

4 A. Maybe puncture sites for example.

5 Q. Okay?

6 A. We would be concerned if the baby has a low
7 platelet count.

8 Q. Okay.

9 A. Or as in the case of this child, we would be
10 concerned if an ultrasound of the head has been done
11 and shows a bleed.

12 Q. Okay. But just merely because there's a bleed
13 doesn't mean it's active bleeding, does it?

14 A. Correct.

15 Q. So you as a clinician, if you're concerned
16 whether or not you want to treat the PDA and you've
17 got an ultrasound showing a bleed, you have to take
18 other steps to satisfy yourself as to whether or not
19 this is active bleeding, including coagulation
20 studies, correct?

21 A. That's a consideration. I think obviously when
22 you worry about bleeding, the place that you're most
23 worried about bleeding is in the brain. And if you've
24 got evidence of a bleed in the brain and the concern
25 would be whether that's an actively progressing

1 phenomenon, but really the way to check that would be
2 to do another ultrasound to see if the bleed is
3 progressing.

4 Q. The next day?

5 A. The next day or soon thereafter, yes.

6 Q. Have you in your career ever had a concern,
7 first of all, in a clinical setting where you feel the
8 child needs indomethacin, you're not sure whether or
9 not there is active bleeding, and then you order
10 certain coagulation studies, have you done that in
11 your career?

12 A. I'm sure I've done it. I'm not sure, if I
13 haven't done it, the residents have done it. But I, I
14 would not routinely order coagulation studies based on
15 a Grade I to II intraventricular hemorrhage, let's say
16 on day three.

17 Q. Okay. Have you or your residents on occasion
18 given coagulation products or blood replacement
19 therapy to help in the cessation of a bleeding so that
20 indomethacin can be then administered?

21 A. No. I would imagine that if it's bleeding that
22 actually required administration for example of FFP, I
23 would be a little worried about giving such a baby
24 indomethacin.

25 Q. Then you would consider ligation as an

1 alternative?

2 A. That would be an alternative.

3 Q. I think we talked about this earlier with PDA.
4 Do you agree that PDA can cause diastolic hypotension?

5 A. Hypo?

6 Q. Hypo, H-Y-P-O.

7 A. Yes.

8 Q. And diastolic hypotension can lead to poor
9 perfusion?

10 A. Yes.

11 Q. And poor perfusion can lead to metabolic
12 acidosis?

13 A. Yes.

14 Q. Can PDA cause hyperoxemia?

15 A. Not that's immediately apparent to me.

16 Q. And can PDA cause hypercarbia?

17 A. Yes.

18 Q. How does it cause it?

19 A. On the basis of pulmonary edema. That's easy.
20 The flood, the lungs get, you're shunting left to
21 right, you're getting excess blood going to the lungs
22 and the gas exchange is impaired. In fact, a
23 respiratory acidosis is a common manifestation of a
24 clinically significant PDA, whereas I don't think
25 you'll find metabolic acidosis in that context to be a

1 common phenomenon.

2 Q. We can agree, Doctor, that it could be
3 potentially very dangerous to let a hemodynamically
4 significant PDA go unaddressed, to a 27 weeker?

5 A. I'm only hesitating because of your use of the
6 term very dangerous.

7 Q. It can be dangerous?

8 A. Yes.

9 Q. It can be harmful if it's --

10 A. Yes, yes.

11 Q. Would you agree that a diastolic blood pressure
12 in the teens in a newborn 27 weeker would make one
13 highly suspicious of PDA, particularly also in the
14 presence of a murmur?

15 A. You see that's where I disagree with that
16 statement. And I think I sort of maybe indicated
17 earlier, I think in the context of this infant, with
18 his neutropenia, I would associate a metabolic
19 acidosis and hypotension more likely with sepsis than
20 with a PDA.

21 Q. You can appreciate how someone might say that
22 that could equally be attributable to PDA in day two
23 of life rather than sepsis?

24 A. Yes, I can appreciate that. I think, yes,
25 that's a possibility, yeah.

1 Q. High oxygen concentrations administered during
2 venting, can that cause a further dilation of the
3 ductus?

4 A. Well, keep in mind we're talking about the
5 arterial P02 that's a consequence of the high inspired
6 oxygen as you know.

7 Q. Yes.

8 A. Hypoxemia is associated with opening up of the
9 ductus, which is why the fetus in utero that has a low
10 P02 has a duct that's open. It follows that
11 hyperoxemia would tend to close the duct, so I think
12 I'm disagreeing with your statement.

13 Q. Okay. And you've not, and I forgot to ask you,
14 you've not done any specific research, I know you've
15 got a subspecialty interest within neonatology, you've
16 done not a subspecialty research study in PDA's or in
17 white blood cells of newborns, correct?

18 A. That is correct.

19 Q. In an unaddressed hemodynamically significant
20 PDA increases the risk of an intracranial hemorrhage
21 and worsening chronic lung disease, correct?

22 A. The later statement I agree with, that I think
23 an unaddressed PDA is associated with a higher
24 incidence of BPD. Whether it causes it or not is
25 another story, but there is an association between

1 having a PDA and BPD. I think we've talked about that
2 already.

3 Q. Okay.

4 A. Does a PDA cause an intracranial hemorrhage, I
5 don't think so. If a PDA is shunting blood away from
6 the brain, I don't see why it would cause a bleed,
7 that doesn't, unless it's on the basis of --

8 Q. Ischemia?

9 A. Yeah, but that's not a bleed then. I think
10 that would be more of an ischemic lesion in the brain,
11 I think.

12 Q. Do you agree that PDA, a hemodynamically
13 significant PDA if untreated is associated with
14 worsening IVH in pulmonary hemorrhage?

15 MR. MALONE: I'm sorry, could I have the
16 first part of that question read back? Did you say
17 clinically unstable, was that?

18 NOTARY: Do you agree that PDA, a
19 hemodynamically --

20 MR. BECKER: In a hemodynamically --

21 NOTARY: Significant --

22 MR. BECKER: PDA.

23 MR. MALONE: You got it?

24 A. I thought we've sort of already addressed that,
25 sir. I mean, I think I'm having a problem associating

1 a PDA with intraventricular hemorrhage. And we've
2 already discussed the pulmonary edema.

3 Q. Okay. You do feel that untreated PDA can lead
4 to pulmonary hemorrhage?

5 A. Yes.

6 Q. And bloody secretions are classic findings
7 associated with PDA?

8 A. Bloody secretions can be a manifestation of a
9 PDA, yes.

10 Q. If you saw bloody secretions in a 27 weeker
11 that would make you think about a PDA first and
12 foremost, correct?

13 A. Yes.

14 Q. You still have problems with newborns with PDA
15 every day? As you did, you told me last year, every
16 day, there is not a day goes by that we don't have a
17 PDA issue in our nursery?

18 A. That is correct.

19 Q. Next topic, PDL.

20 Do you, I think I covered this already, Doctor,
21 do you agree that hypotension postbirth or in the
22 neonatal period related to a ductus can cause PDL?

23 A. I wouldn't be as specific as that, so I'm not
24 sure. I would disagree with the way you phrased it,
25 sir. I mean, I think we've talked about the fact that

1 PDL can be, there are opposing camps, whether PDL is a
2 sign of circulatory instability to a vulnerable area
3 of the brain pre or postnatally, whether it's a
4 manifestation of an inflammatory response pre or
5 postnatally. So the way you worded it I didn't buy
6 it, I guess.

7 Q. Would you agree that Grade III hemorrhages can
8 cause periventricular leukomalacia?

9 A. I would disagree with that.

10 Q. Why?

11 A. There are people making research careers trying
12 to figure out the relationship between IVH's and PVL.
13 And it's very confusing.

14 My sense is that they're either separate, the
15 current research would suggest that they're either
16 phenomenon or that, if anything, the PVL causes the
17 bleed. In other words, you are bleeding into an
18 ischemic injured area of the brain rather than the
19 other way around. I don't know that anybody believes
20 that a bleed causes PVL, but it's a hot area of
21 controversy.

22 Q. Okay. Would you agree that the majority of 27
23 weekers in 2001, assuming good obstetrical and
24 neonatal care, were surviving without neurologic
25 compromise?

1 A. Yeah, most of the data, quote, babies like for
2 example less than 1,500 grams, less than a thousand
3 grams, so if you narrow it down to a specific 27 week
4 group, it's going to be hard to come up with specific
5 data. I would say the statement is correct, but it's
6 qualified by lack of data that specifically, okay.

7 Q. Back to betamethasone for a second. It, it
8 also helps in reducing inflammation from significant
9 chorioamnionitis, correct?

10 A. I was not aware of that.

11 Q. Are you aware of any literature that stands for
12 the proposition that antenatal steroid treatment is
13 associated with over a fifty percent reduction in the
14 incidence of periventricular leukomalacia in preterm
15 neonates?

16 A. I'm aware of the fact that large studies show a
17 nonsignificant trend towards the reduction in PVL, but
18 it's not impressive and it's not significant in almost
19 all studies.

20 Q. Well, would you consider a fifty percent, over
21 a fifty percent reduction in the incidence of PVL
22 significant?

23 A. I think we're using the term significant in a
24 different context, in that there are many papers, and
25 I don't know which paper you're referring to, sir, but

1 there are many papers which have had difficulty
2 showing an improvement in PVL with antenatal steroids.
3 The best study of this I can cite you if you'd like.

4 Q. Go ahead.

5 A I think that the largest body of data, the
6 first author is I think Stoll, S-T-O-L-L,
7 Barbara Stoll, S-T-O-L-L.

8 Q. Okay.

9 A. And they found a, I believe it was a
10 nonsignificant trend towards the reduction in PVL,
11 which others have shown too.

12 Q. All right.

13 Are you familiar with The New England Journal
14 of Medicine article that stands for the proposition
15 that antenatal exposure to betamethasone is associated
16 with a decreased risk of cystic periventricular
17 leukomalacia among very premature infants?

18 A. Is that the, is that a French, French author
19 that wrote that?

20 Q. Correct.

21 A. I haven't read it for a while. I'm aware of
22 the paper. And that has not been substantiated by
23 other larger series.

24 Q. Well, it's peer review by The New England
25 Journal of Medicine.

1 A. Yes.

2 Q. So --

3 A. I don't doubt their findings. I'm just saying
4 that that's not necessarily held up.

5 Q. Okay. Let me just take a few minute break and
6 then I'm going to go into your report, Doctor?

7 A. Okay.

8 VIDEOGRAPHER: We're off the record.

9 * * *

10 Thereupon, a short recess was had.

11 Thereupon, the deposition continued pursuant to
12 recess.

13 * * *

14 VIDEOGRAPHER: We're on the record.

15 A. I think I was asked by you about a question
16 about how 27 weekers do, whether they have or do not
17 have morbidity. And I think I started by answering,
18 you know, there is data for babies less than 1,500
19 grams, there is data for babies less than a thousand
20 grams. And if you take 27 weekers, I'd have to sort
21 dig in the literature to see how 27 weekers as a group
22 do in terms of morbidity. And I don't have that
23 information quite at my fingertips... I suspect about
24 half of them do fine. I just don't exactly know what
25 the numbers are. I didn't want to mislead you in my

1 answer.

2 Q. Okay. This comment is in response to my
3 inquiry about don't most with good obstetrical and
4 neonatal care, don't most, that is 51 percent of the
5 27 weekers you see, survive without long term
6 neurological outcome?

7 A. Right. And I, I would have to answer that I'm
8 not exactly sure about putting a number to a 27 weeker
9 under those conditions.

10 Q. How about 29 weekers, would you agree that most
11 29 weekers, assuming good obstetrical and neonatal
12 care, survive without --

13 A. I'll go with that one and say that's okay.

14 Q. Okay. Thank you.

15 Okay. Do you have a copy of your report at
16 hand, Doctor?

17 A. Yes.

18 Q. This July 17th, 2006 report marked as
19 Plaintiff's Exhibit 5, is that the only report other,
20 well, actually you have now done two reports, but is
21 that the, up until July 17, is that the only report
22 you wrote on this case?

23 A. Yes.

24 Q. And have you had an opportunity to review this
25 report before today's deposition?

1 A. Yes.

2 Q. Do you want to make any changes, corrections,
3 particularly as to the numbers on neutropenia, before
4 we begin questioning?

5 A. No.

6 Q. Do not?

7 A. No.

8 Q. Have you checked, rechecked your math on that?

9 A. I did not go back to the precise math, because
10 I think the numbers are very close.

11 Q. Okay.

12 A. If there's one thing that I would say
13 differently today, I think I used the term mild RDS
14 twice. Once, the first time in the context of the
15 infant was intubated. A chest x-ray was consistent
16 with mild RDS. I think I was referring to the x-ray
17 report.

18 And then the baby received, had a pretty good
19 course received several courses of Surfactin. And in
20 my concluding statement I think I say he experienced
21 mild RDS that progressed to BPD. Some might challenge
22 that statement and say, well, if you need Surfactin by
23 definition you've probably got moderate RDS.

24 Q. Fair enough.

25 A. It's a rather arbitrary adjective.

1 Q. In the second paragraph of the report you
2 reference the spontaneous rupture of membranes
3 occurring 12 hours prior to the vag delivery. What's
4 the source of that information?

5 A. My review of the records.

6 Q. Can you be more specific for me?

7 A. Not at this time.

8 Q. At the end of that first paragraph you mention,
9 quote, "It was not apparently recognized by the
10 obstetrical team managing this patient this was a 27
11 week gestational infant, and neither antibiotics or
12 antenatal steroids were administered." Do you see
13 that?

14 A. Yes.

15 Q. Can I infer from there that you feel had it
16 been recognized such drugs should have been
17 administered to this baby?

18 A. No. I think they're just two related
19 statements. And I don't think it's unqualified to
20 indicate what the best prenatal management should be.
21 Although we've already acknowledged that certainly one
22 of the obstetricians indicates that if he or she had
23 known they might have tried to give steroids, but I
24 don't want to get into the prenatal management of this
25 baby.

1 Q. The first gasses on this baby, blood work,
2 would that be inconsistent with sepsis or inconsistent
3 with an asphyxiated baby, asphyxiated baby, the first
4 gasses?

5 A. The first gas shows no signs of a metabolic
6 acidosis, which might be apparent in sepsis. So I
7 wouldn't say it's inconsistent, but it's not
8 supportive of.

9 Q. This child was, you mentioned at the bottom of
10 the first page, this child was reintubated August
11 12th. Can we agree that the reason that he was
12 reintubated was his unaddressed PDA, the reason for
13 the need for re-intubation was his unaddressed PDA?

14 A. That's a possibility. It's, he was also
15 developing BPD. He was having some apnea. I can't
16 specifically identify at this time exactly why he was
17 reintubated except he was obviously needing respirant.

18 Q. Can we agree that this child's BPD was likely
19 secondary to the long course of assisted ventilation
20 and lung disease?

21 A. No. There is so many things that go into the
22 development of BPD. There is immaturity, exposure to
23 oxygen, exposure to a ventilator, potentially
24 infection. PDA, I don't feel comfortable singling
25 out, singling out one factor that caused this child to

1 develop BPD.

2 Q. Can we agree in retrospect, Doctor, that the
3 lung disease that was prolonged was due to the
4 apparent delay in treating the PDA?

5 A. No.

6 Q. I'm on Page 2 now.

7 A. Okay.

8 Q. In the first full paragraph you mention that
9 the PDA was diagnosed on August 3rd. Do you see that?

10 A. Yes.

11 Q. And the diagnosis was made because an echo was
12 performed, correct?

13 A. There was an echo performed, and I think the
14 echo indicated that there was an intermittently open
15 PDA. I think that's what the report said.

16 Q. Did it conclude that it was a large PDA?

17 A. I just remember them saying it was an
18 intermittent PDA. We can check the report if you
19 like.

20 Q. Go ahead.

21 A. "Intermittent PDA. No evidence of ductal
22 dependent lesions. "There is a large PDA," it says
23 that down further.

24 I don't know what they mean by large.

25 Typically if a PDA is intermittent and is opening and

1 closing it's not large, so there is a bit of
2 inconsistency there.

3 Q. Would you conclude from reading this report as
4 a neonatologist that this was a hemodynamically
5 significant PDA?

6 A. Not necessarily. I think what the consultant
7 here is telling the neonatologist primarily is that
8 this is an intermittent PDA. And I think as the
9 neonatologist that's what they probably would have
10 interpreted out of this. Which means that there is a
11 PDA that's opening and closing, that's what I
12 understand by intermittent to be.

13 Q. So if you were a treating neonatologist and you
14 received this echo report, you would more likely than
15 not conclude that this was not a hemodynamically
16 significant PDA?

17 A. I think I indicated earlier that whether it's
18 hemodynamically significant, or what's the term you
19 used, clinically significant or hemodynamically
20 significant?

21 Q. I think I --

22 A. It doesn't matter.

23 Q. I didn't mean to interchange it but --

24 A. But I mean a clinically significant PDA is one
25 that is readily apparent on an echo and shows signs of

1 wide pulse pressures and some of the things we've
2 talked about earlier, pulmonary edema, so I don't
3 think you could just rely on the echo for that alone.
4 And I think it depends on whether there was wide pulse
5 pressures at the time, whether the hypertension was
6 still a problem at the time. So I think all those
7 factors would go into the judgment call, whether this
8 was clinically significant.

9 Q. Can we agree that if this echo that was done on
10 the 3rd was done on the 2nd, it likely would have
11 reflected the same thing, more likely than not?

12 A. I have no idea. It could have closed and
13 opened up, it could have been open all the time. It's
14 pure speculation. I have no idea.

15 Q. Can we agree, Doctor, on the 2nd we have a
16 murmur in a 27 weeker and we have some evidence of low
17 diastolic hypotension and that should have caused the
18 care givers on the 2nd to have ordered an echo to rule
19 out a PDA?

20 A. I don't think it made any difference whether
21 the echo was done on the 2nd or the 3rd.

22 Q. I'm not talking about causation. I'm talking
23 about standard of care.

24 A. Typically if we have a murmur on a baby on day
25 2 we would order an echo.

1 Q. Can we agree that it was a standard of care
2 violation by Dr. Kumar and his residents in failing to
3 order an echo on day 2 in this case?

4 A. Not really. I mean, I think most
5 neonatologists would order an echo in such a child
6 when they, when they notice a murmur.

7 Q. And to update you, the standard of care by way
8 of Ohio Jury Instructions has changed a little bit in
9 the last few years. It is now defined as what a
10 diligent and skillful specialist of the same training
11 would do under those circumstances.

12 Can we agree that a diligent and skillful
13 neonatologist would likely, should order a echo on day
14 2?

15 MR. MALONE: Objection. Go ahead.

16 A. I would say, if at that time there was a murmur
17 associated with, for example, the development of
18 pulmonary edema, if the blood stained secretions were
19 reported at that time, then the standard of care would
20 have required you to order an echo.

21 If there were, if the only other feature was
22 hypotension, and we have, we already talked about the
23 fact that there are other reasons why this baby might
24 have been hypotension, which was being treated, I'm
25 not sure that there was any tremendous urgency to it.

1 So I would, I don't remember whether the pulmonary
2 edema or the secretions were bloody at that time or
3 not, but I think certainly that would of course call
4 for an echo at that time.

5 Q. If Dr. Martin is managing the patient, day two
6 of life, 27 weeker, we have a murmur, we have evidence
7 of metabolic acidosis, we have evidence of low
8 diastolic hypotension, would Dr. Martin order an echo?

9 A. I would order an echo.

10 Q. And do you know Dr. Kumar?

11 A. I may have met him. It's not -- I don't
12 recollect meeting him.

13 Q. Do you go over to Metro and consult
14 occasionally?

15 A. No. That's a completely separate program. In
16 fact, we're in competition because they've aligned
17 their program with the Cleveland Clinic. I may go
18 over there once a year to meet with their director and
19 discuss giving a lecture or something, but there is no
20 cross-coverage at any level, resident, fellow or
21 attending.

22 Q. Is there any referral or consultation between
23 the offices or between the hospitals?

24 A. No. Were the only echmo program in town, so
25 they will send us babies for echmo. That's about all.

1 Q. When did they become aligned with the Cleveland
2 Clinic to your knowledge?

3 A. I don't know. You probably read the Plain
4 Dealer as much as I do. I know they have a combined
5 fellowship program in neonatology with the Cleveland
6 Clinic. I think that's been going for about two or
7 three years.

8 Q. Under the umbrella of Case Western Reserve
9 University is both UH and MetroHealth, you're both
10 professors, people that are attendings at both
11 institutions or professors at the same university?

12 A. Yes, it's a screwy system, right. They have
13 academic appointments at Case.

14 Q. Okay. And at least from that relationship that
15 UH and Metro from that perspective are sister
16 hospitals?

17 A. No. They're competitors.

18 MR. MALONE: Cleveland Clinic shares a
19 medical school with them, Case. It's one big happy
20 family, Mike.

21 Q. Now, Doctor, and I failed to note the page on
22 here, but you conclude that the August 3rd bleeds were
23 at least a few days old. Somewhere in this report you
24 mention that.

25 A. I'm basing that on the reports I have at hand,

1 that's correct.

2 Q. That's, your opinion is based on reports of
3 someone else?

4 A. They're based on the x-ray report, the
5 ultrasound report in the chart from the radiologist.
6 And I think some subsequently confirmed by I think one
7 of the defense experts, although I don't know whether
8 I had that in my hand at the time or not.

9 Q. Well, prior to drafting this July report you
10 received Mr. Malone's letter which has been marked as
11 Plaintiff's Exhibit 3, where he is suggesting that
12 there is expert opinion that this child had a sepsis
13 in utero. It's on that second paragraph. Is that
14 true?

15 MR. MALONE: That's my March 24th
16 letter. Look at the date of the letter.

17 A. That's March the 24th. When was my report was
18 dated?

19 Q. I have July.

20 A. Okay. So what's the question, sir? I'm sorry.

21 Q. I don't remember now.

22 I think I asked you, is it true that Mr. Malone
23 on the second page is suggesting via other
24 consultation with experts that there was an in utero
25 bleed and an in utero infection?

1 A. Yes, but I don't think I would have, I
2 certainly would have considered the report of that
3 individual in my opinions if I would have had it at
4 hand. Based on what Mr. Malone said here, I doubt I
5 would have paid much attention to that.

6 Q. I don't follow what you said. Tell me that
7 again.

8 A. Okay. I don't think I would have taken much
9 notice of that paragraph in his letter unless I had
10 seen a report from such an individual, and I don't
11 remember when I saw that.

12 Q. All right.

13 You mentioned that you in part are basing your
14 opinion that this bleed was several days old based on
15 Metro's radiographic interpretations as well, correct?

16 A. Yes, absolutely.

17 Q. Pull that out and tell me what it is about the
18 early ultrasound report that suggests to you that this
19 bleed is several days old?

20 MS. REID: Do you have at it hand,
21 Dr. Martin?

22 THE WITNESS: I'm sure I do.

23 Q. You know, I think it's attached to Jim's letter
24 to you. I believe the radiograph, if I'm, maybe I --

25 MR. MALONE: Look at the letter, see

1 what's attached to that.

2 Q. Maybe, no, maybe it's an exhibit, one of these
3 exhibits. I saw that somewhere. Maybe not.

4 Sorry, Doctor. I don't want to mislead you
5 there.

6 A. I know that the Metro report on the 3rd
7 indicates concern about some white matter injury on
8 day 3.

9 Q. Okay. I want to be very specific, because this
10 is important, and if you can pull that.

11 MR. MALONE: You know Segal's report was
12 in his hands before July, because that's actually
13 dated March 24th as well.

14 MR. BECKER: I understand, Jim. I want
15 to know, he mentioned --

16 THE WITNESS: I didn't remember that. I
17 wasn't sure when I got that.

18 A. Here we go. I got it, got it, got it, got it.

19 MR. BECKER: Thank you, Chris.

20 Q. You understand the question?

21 A. I understand the question.

22 Okay. There is a statement on the 3rd of
23 August by the radiologist at Metro which says, "areas
24 of hypogenicity are demonstrated within the bleed
25 suggesting that these may be of some duration, at

1 least a few days old." I think that suggests, I read
2 that as indicating that someone thinks the bleed is of
3 several days duration.

4 Then there is separate --

5 Q. I apologize, Doctor, I misunderstood what you
6 said. I thought you were implying in here that this
7 bleed was antenatal in nature. In other words, the
8 bleed occurred before the child was born. Maybe I
9 read too much into your report.

10 A. My impression was that this bleed which was
11 recognized on 8-3, at which time the baby was about
12 two days old, was several days duration, of at least a
13 few days old, indicated that this bleed either
14 occurred prior to birth or around the time of birth.

15 I was also concerned about the fact that the
16 echogenicity of the white matter is slightly increased
17 which suggests to me some sort of a PVL kind of
18 lesion, which we all know take days to weeks to
19 develop. The fact that that was apparent on day 3 was
20 strongly suggestive to me of an intrauterine lesion.

21 Q. Would you defer to a pediatric neuroradiologist
22 on that issue?

23 MR. MALONE: What aspect of the issue?

24 MR. BECKER: Well, let me have his --

25 MR. MALONE: Because what he's just said

1 is fundamental pathophysiology.

2 MR. BECKER: Let me have his answer back,
3 Kath, please.

4 (Notary read back last answer.)

5 Q. Let me be specific, Doctor. On the opinion
6 that echogenicity of the white matters takes days or
7 weeks to develop, would you defer that issue to a
8 pediatric neuroradiologist?

9 A. No. I would defer to them evaluation of the
10 lesion. The concept that PVL takes, takes weeks to
11 manifest on an imaging study, that's widely held,
12 widely accepted.

13 Q. Are you talking about the end cystic formation
14 takes weeks to develop?

15 A. That takes even longer, but just the, just the
16 visualization of echodensity is thought to take days
17 to weeks. Plus the cystic change when it occurs then
18 follows upon that.

19 Q. And what's your authority that it takes days to
20 weeks for echogenicity to appear, can you cite me
21 anything?

22 A. Not off the top of my head.

23 Q. Now, how unusual is it for a 27 weeker to have
24 an antepartum brain bleed?

25 MR. MALONE: You said brain bleed?

1 MR. BECKER: Yes.

2 MR. MALONE: Excuse me.

3 A. I think about 20 percent or so of premies of
4 this gestation will have intraventricular hemorrhage,
5 some of them occur prior to birth, some occur at the
6 time of birth, some just after birth. I don't think
7 my report indicates that I know when this bleed
8 occurred. I think it occurred a few days prior to its
9 recognition, being recognized. I don't think I feel
10 strongly that I know that this bleed occurred prior to
11 birth.

12 Q. Okay. You mention in your report that
13 ventriculitis is seen or at least reported. Can we
14 agree that the ventriculitis is likely just a reaction
15 to the blood being present in the IVH?

16 A. I think that's reasonable, yes.

17 Q. Can we agree, Doctor, that there are some times
18 when it's the neonatologist's actions or inactions
19 that can cause the development of ROP?

20 A. Everything is possible, but I think, you know,
21 ROP has multifactorial etiology and it is unusual that
22 you can implicate specific physician action or
23 inaction in its course.

24 Q. Well, you want the oxygen concentration to be
25 maintained in a 70 to 80 percent ballpark area?

1 A. Yes. So that's millimeters of Mercury, not
2 percent.

3 Q. I'm sorry.

4 You certainly don't want it over a hundred
5 percent or a hundred?

6 A. You try to keep it below a hundred, that's
7 right.

8 Q. If it's over a hundred that creates the risk of
9 ROP?

10 A. Traditional thinking is that hyperoxemia may
11 be a risk factor in ROP, and that's probably correct.
12 I think in this particular case, I don't think the
13 hyperoxemia was any different to what we would see in
14 most of our babies as we try to adjust their
15 ventilator settings.

16 Q. In the last paragraph on Page 2 you mention
17 that, "Shane suffers from severe morbidity associated
18 with preterm birth and its complications." I think
19 it's the first sentence in the last paragraph. Do you
20 see that?

21 MR. MALONE: Page 2?

22 MR. BECKER: Page 2.

23 A. I agree with that statement.

24 Q. And I just want to understand what you mean by
25 that.

1 A. That the problems he has --

2 Q. Today?

3 A. Yes. -- are the recognized complications of a
4 preterm birth, of being born prematurely.

5 Q. At 27 weeks without the benefit of
6 betamethasone, correct?

7 A. I, I don't feel real comfortable, you can tell,
8 the way you're expressing it. I think he was born
9 prematurely and he has PVL, he has an IVH, he had bad
10 lungs and so forth, and this is the price he's paying
11 for this.

12 If he would have received antenatal steroid, he
13 still may well have all the problems he has today. I
14 mean, I think we know, for example, it would appear in
15 all likelihood that he had PVL already going on at
16 that time when he was born. That's a major risk
17 factor for cerebral palsy. Would that have been
18 impacted by being, by betamethasone, I would maintain
19 probably not. So --

20 Q. Did his newborn course worsen his PVL, likely?

21 A. I think likely it was already established. I
22 mean, I, that's speculation.

23 Q. I didn't say whether it was established or not.

24 It is speculation whether it was established
25 already?

1 A. No, it's speculation what impact postnatal --
2 your question I think was whether postnatal events
3 from being born prematurely aggravated the PVL.

4 Q. Made it worse.

5 A. And I say that's speculation.

6 Q. Okay. So --

7 A. I really have no idea.

8 Q. So what is your opinion as to was caused, do
9 you have an opinion in terms of probability as to what
10 caused this child's brain injury? Is it more likely
11 the IVH or more likely the PVL or a combination which
12 you can't separate out?

13 A. I would say it's more likely the PVL.

14 Q. Okay. And what caused, what caused the PVL in
15 this case, if you have an opinion in terms of
16 probability?

17 A. I don't know what caused the PVL. Infection
18 may have contributed to it, but that's, I don't know
19 that.

20 Q. You don't know that in terms of probability,
21 based on what you've just said?

22 A. You know, I think we've talked about the fact
23 that there is several things that can cause PVL in
24 utero; circulatory imbalances, infection, things that
25 we may not yet understand.

1 I believe this baby as I've indicated had an
2 infection acquired in utero. Can I go the next step
3 and say, therefore, the PVL was caused by that
4 presumed infection. I think that gets a little
5 speculative.

6 Q. And the sole basis for your conclusion that
7 that baby was, was -- do you believe this baby had
8 bacteremia or was actually septic before birth?

9 A. If I say he's septic, the assumption is that at
10 some point there was a bacteremia, which we haven't
11 recognized. We know that he was neutropenic from
12 almost the beginning. I think the assumption that I'm
13 making is that he acquired that infection from his mom
14 prior to birth at the time of delivery, but, again, I
15 don't know exactly. I can't tell.

16 Q. Okay. Is there a, is there a difference
17 medically between the term bacteremic and septic or
18 sepsis?

19 A. I think sepsis implies that you're bacteremic.

20 Q. Well --

21 A. In the case of a bacterial infection anyway.

22 Q. Right. I've learned that, and I certainly can
23 be wrong, that sepsis or septic implies more of a
24 systemic response, multiorgan response to an infection
25 versus someone can be bacteremic, I can be bacteremic

1 today.

2 A. And be well.

3 Q. And be well.

4 A. Okay.

5 Q. So I'm trying to understand your opinions as to
6 whether or not this child in utero was merely
7 bacteremic or actually septic, if you have an opinion?

8 A. I don't have an opinion. I do not have an
9 opinion.

10 VIDEOGRAPHER: Excuse me. I need to
11 change the videotape. We're off the record.

12 * * *

13 Thereupon, a short recess was had.

14 Thereupon, the deposition continued pursuant to
15 recess.

16 * * *

17 VIDEOGRAPHER: We're on the record.

18 Q. Going to your final conclusions in your report,
19 Doctor, where you say that, "The complications of
20 Shane's preterm birth probably could not have been
21 avoided by an altered course of neonatal or perinatal
22 management," is it your opinion that his neonatal
23 course would have been the same had tokolytics and
24 betamethasone been applied antepartum and this
25 pregnancy extended 10 to 20 days?

1 A. No, I don't think it would have been the same.
2 I think if he would have received betamethasone, I
3 think the lung disease might have been diminished.
4 Probably would have.

5 Whether it would have affected the
6 intraventricular hemorrhage really depends a little
7 bit on when that intraventricular hemorrhage occurred,
8 so I'm not sure if that was already there or if that
9 occurred around the time of the birth.

10 I'm concerned as you know that the baby was
11 infected, and what prolonging the pregnancy would have
12 done to that is hard to say. It could have had an
13 adverse effect. I don't know.

14 I'm concerned that this baby had an ischemic
15 brain injury or PVL, ischemic/inflammatory brain
16 injury of PVL prior to birth.

17 Q. That's based on your interpretation of how long
18 it takes for echogenicity of the periventricular
19 region to appear, correct?

20 A. Yes.

21 Q. Okay.

22 A. And I don't think that prolonging the pregnancy
23 as you describe would have improved that problem.

24 Q. Do you think the PDA played any part in this
25 child's ultimate development of BPD?

1 A. I don't think the PDA was a big part of this
2 story. It obviously contributed to the pulmonary
3 edema this baby had, and as such it may have prolonged
4 the ventilator course. May have aggravated the BPD,
5 it's possible. I don't think it was the major factor,
6 a major factor.

7 Q. So are you saying that even had betamethasone
8 been administered and tokolytics and this pregnancy
9 extended to 29 weeks, you don't feel that it would
10 have altered the outcome in this case?

11 A. I think it might have been improved the
12 respiratory outcome. I don't think it would have
13 improved the neurologic outcome.

14 Q. And the basis for your opinion that it would
15 not have improved the neurologic outcome is, goes back
16 to your interpretation that there was evidence of PVL
17 early on and that likely was prior to birth?

18 A. Correct.

19 Q. Okay. And as to whether or not the newborn
20 course made the PVL much worse, much more severe,
21 would you defer to a neuroradiologist on that issue?

22 A. No. I think I feel comfortable, having as much
23 expertise on that as anybody, and I think, I think
24 what I answered was I think it's speculation as to
25 whether postnatal events, which were difficult at

1 times, might have aggravated that or not.

2 I think what I'm saying is that, this baby came
3 out with PVL acquired in utero. And it is speculation
4 as to whether some of the postnatal problems that they
5 ran into might have aggravated that or not.

6 Q. Well, whether you call it speculation or not,
7 you would, you would find, you would not have, or
8 would you find, strongly disagree if someone opined
9 that this child's neurologic condition is in large
10 part from his complications at Metro in the first ten
11 days of life?

12 A. Oh, I would pretty strongly disagree with that.

13 Q. And that goes back to your opinion because you
14 feel an ultrasound shows a echogenicity, which is
15 brightness, in one part around the ventricle, you feel
16 that that means this kid was doomed to have a
17 permanent neurological condition, correct?

18 A. That was a long question. Let me think what I
19 -- when I reviewed the postnatal course of this child
20 at Metro, I honestly could not fault the postnatal
21 management of this baby. I might have done things a
22 bit differently, but I saw nothing that didn't meet,
23 nothing that deviated from the standard of care on
24 this infant.

25 We know that the baby's prognosis is bad. The

1 baby is doing well, doing badly. And we have evidence
2 of an intrauterine insult to the brain. That's how I
3 draw my conclusion.

4 Or was that not the question?

5 Q. I think, I think maybe you lost my question or
6 I lost your answer.

7 MR. BECKER: Could you go back and read
8 my question again, Kath.

9 NOTARY: Question: Then that goes back
10 to your opinion because you feel an ultrasound showing
11 an echogenicity, which is brightness in one part
12 around the ventricle, you feel that that means this
13 kid was doomed to have a permanent neurological
14 condition, correct?

15 MR. MALONE: I think he answered that
16 question. For the record, Kathy, I said I think he
17 did answer that question, because it is not a simple
18 question, it's a complex question and he gave a bit of
19 a longer answer, but that is the answer.

20 Q. The answer is yes, Doctor, just so we're clear?

21 A. The answer, the primary -- I'm sorry, do you
22 want to read it again? I'm sorry, I don't know what
23 I'm saying yes to anymore.

24 Q. Okay, I understand?

25 A. I apologize.

1 Q. That's all right.

2 MR. BECKER: Would you read it again?

3 (Notary read back question.)

4 A. No, the answer to that is no. I mean, I think
5 the, I'm sure we see bad babies. I'm sure we see
6 babies with lesions such as this who we worry about
7 because they're at risk for PVL and probably do okay.
8 So if you're suggesting that I would look at a picture
9 like this at day 3 and say this baby is doomed to a
10 disastrous outcome like this poor child has, the
11 answer is no.

12 I'm putting everything together. I mean I'm
13 putting together premature infant, who had this
14 lesion, has a, I think, an appropriately managed
15 postnatal course, has now a very bad outcome, and I'm
16 attributing, a major contributor to that bad outcome
17 is what we're seeing on the ultrasound, on that first
18 ultrasound.

19 Q. But what you see, hypothetically, if that, what
20 you see in the first ultrasound occurred within, on
21 day two of life, then this, all this damage could be,
22 have occurred in the newborn period, correct?

23 MR. MALONE: Well, I'm going to object.
24 Now you're getting argumentative, Mike.

25 He said that some of the things in the

1 ultrasound can not have occurred on day 2 of life,
2 pathophysiologically impossible.

3 So go ahead and answer the question if you can,
4 but please don't get more argumentative.

5 A. I believe that the imaging finding of the
6 echodensity on day 3 points in all likelihood to an
7 antenatal etiology, not one that occurred the previous
8 day.

9 Q. And on that issue you would not defer to a
10 nationally recognized pediatric neuroradiologist as to
11 what that image says by way of timing, true?

12 A. No, that's not true. I mean, if imaging people
13 tell me this is an artifact, I would have to trust
14 them. I have the expert, I have some expert
15 testimony, I think in front of me, which suggests that
16 this is already showing some cystic change. I don't
17 read the ultrasounds, so I, I would have some faith in
18 what the experts say, yes.

19 Q. Okay. And if there was an expert that said
20 that there is not an evidence of a cyst on the first
21 ultrasound, assuming that expert to be true, then your
22 opinion as to an antenatal injury would be withdrawn,
23 correct?

24 MS. REID: Objection.

25 MR. MALONE: Yes.

1 A. Well, keep in mind, if I am told, if I am
2 convinced by experts that this white matter injury
3 doesn't exist, then obviously I would have confidence
4 in the fact that it doesn't exist. But keep in mind
5 that I'm still quite concerned that this is an
6 infected baby, and I worry about an infected baby
7 being in rather than out of the uterus for any length
8 of time. So I don't think that should be ignored.

9 Q. Right. Fair enough.

10 But I think we previously agreed that if you
11 have a baby where no antibiotics are given and there
12 is a negative culture, it's likely if there was an
13 infection it was a subtle infection versus a florid
14 infection?

15 A. I think I made a comment along those lines, but
16 I sort of withdrew it. And I think that there are
17 many reasons that we don't understand why we make the
18 diagnosis of presumed sepsis and we can never get a
19 positive blood culture. And whether that relates to,
20 I think probably the wrong term microbiologically, but
21 whether it determines, whether it's how many bugs you
22 have in your blood, whether it has to do with the
23 sampling or some other factors, I'm not in a position
24 to know.

25 Q. But I'm glad you mentioned that, Doctor,

1 because this concept of presumed sepsis, any time, is
2 it true that when you have a 27 weeker born that you
3 will most always make a presumption of sepsis and
4 treat that patient, particularly when the GBS is
5 reported as unknown, GBS status is reported as
6 unknown?

7 A. Well, here we get into semantics. I mean, I
8 think that there, all these babies are treated with
9 antibiotics when they come out because we have to make
10 sure the baby doesn't have an infection.

11 Q. So that's called presumed sepsis.

12 MR. MALONE: Please let him answer,
13 Michael.

14 A. And those antibiotics are typically stopped at
15 48 hours and we wait for the next problem to happen.
16 I personally wouldn't call that presumed sepsis, no,
17 that's a baby that needs to have sepsis ruled out.

18 Now, unfortunately what we get into now is that
19 we will not get reimbursed if we call something rule
20 out sepsis, there is no such diagnosis. Now, you get
21 into the whole business of, I think people use the
22 term presumed sepsis to give it a diagnosis for which
23 there might be some sort of reimbursement.

24 To me presumed sepsis means I assume the baby
25 is infected, not I'm just giving 48 hours of

1 antibiotics because that's what I do.

2 Q. Doctor, even at this institution you've had
3 unfortunate circumstances when there's been an
4 iatrogenic premature birth, correct? We talked about
5 that a year ago.

6 A. I'm sure it happens.

7 Q. And you would expect, Doctor, if there was
8 iatrogenic premature birth that there would be some
9 kind of investigation by the medical staff, either via
10 an incident report or quality assurance to determine
11 what happened?

12 MR. MALONE: I'm going to show an
13 objection. Whether they investigate here or don't,
14 whether we investigate or don't is absolutely out of
15 bounds for this lawsuit.

16 You don't need to answer that question.

17 What do you mean by iatrogenic? Doesn't that
18 mean man made, man caused?

19 MR. BECKER: Yeah, that means bringing
20 about a preterm birth. Isn't that what --

21 MR. MALONE: Well, what does it mean?
22 Just tell us what you mean by the word iatrogenic, so
23 that I'm not misguided. I think it means caused by
24 man.

25 MR. BECKER: Brought about by, in this

1 case it would be medical personnel.

2 MR. MALONE: Well, the preterm labor was
3 not caused by medical personnel in this case. There
4 can be no claim that it was.

5 Now, if you want to engage in colloquy with
6 this witness, we've been here now two and half hours.
7 I mean, ask more questions, but we're not going to ask
8 questions about who investigates what or whether this
9 is iatrogenic. I mean, we're getting too far afield
10 now. You've covered every issue you could possibly
11 have inquiry about in this case.

12 You may go a little bit further and then we're
13 going to stop. Thank you.

14 Q. Do you know a pediatric hematologist by the
15 name of Cairo, he's head of ped hematology at Columbia
16 Presbyterian?

17 A. I don't know him personally, but he has a good
18 reputation.

19 Q. If Dr. Cairo would say that this is not newborn
20 neutropenia, would you defer to him?

21 MS. REID: Objection.

22 A. I think I mentioned already that I don't know
23 that the, I believe that, pediatric or even neonatal
24 hematologists get very, only a very, very small
25 microcosm of what goes on in the NICU.

1 Q. But it was pediatric hematologist that wrote
2 the chapter in your newborn, in your book on
3 neonatology, correct?

4 A. Correct.

5 Q. Do you know Michael Sherman?

6 A. No.

7 Q. Going back to Dr. Kumar and the relationship or
8 absence of relationship with Metro, you have no
9 problems, you don't perceive any problems with you
10 testifying on behalf of Metro, correct?

11 A. No, none at all.

12 Q. Do you consult with pediatric infectious
13 disease doctors?

14 A. Yes.

15 Q. Who do you, is there one or just a group of
16 pediatric ID doctors here that you consult with?

17 A. There is a group, and whoever is on service
18 comes to the NICU.

19 Q. When you're not certain whether or not there is
20 newborn sepsis, do you ever consult with a ped ID
21 doctor?

22 A. No, not usually. I think the commonest
23 practice would be, the baby has an infection, what
24 antibiotics should we use, how long should we treat,
25 does this baby or does this baby not have meningitis,

1 those are the usual discussions we have.

2 Q. Do they actually physically come to the NICU?

3 A. Yeah, they come about once a day unfortunately.

4 MR. BECKER: Okay, Doctor, I think we're
5 done. I thank you for your time, sir. Good to see
6 you again.

7 THE WITNESS: I thank you.

8 VIDEOGRAPHER: Doctor, you have a right
9 to review the videotape to approve its accuracy or you
10 may waive that right.

11 MR. MALONE: You can waive the viewing
12 if you want. I want you to read the transcript
13 though.

14 THE WITNESS: Okay. The viewing of
15 this, I don't want to see myself.

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The State of Ohio,)
County of Lorain.) SS:

IN WITNESS WHEREOF, I have hereunto set my hand
and affixed my seal of office at Elyria, Ohio, this
day of _____, 2006.

Kathleen Hopkins & Associates 440-323-5620
401 Broad Street Ste. 300 Elyria, Ohio 44035

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September 29, 2006

In re: Gloria Maslanka vs. MetroHealth Medical Center
In the Cuyahoga County Common Pleas Court
Case No. CV-05-552424

Richard Martin, M.D.
Rainbow Babies & Children's Hospital
11100 Euclid Avenue
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Cleveland, Ohio 44106

Dear Dr. Martin,

The transcript of your deposition taken on September 25, 2006 has been prepared. You have the right to read the transcript and correct any errors you may find in transcribing your testimony.

Enclosed is a copy of the transcript of your deposition. You may note any corrections on the yellow certificate page enclosed with the transcript. Please return the signed certificate page to our office in the enclosed self-addressed stamped envelope within 30 days.

If our office does not receive the certificate within 30 days, we will assume that you have waived your right, and the unsigned transcript may be filed with appropriate Court. You may keep the transcript of your deposition.

If you have any questions, do not hesitate to contact us at the number listed above.

Very truly yours,

Susan Muckley
Office Manager

cc: Michael Becker
James Malone

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