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IN THE COURT OF COMMON PLEAS

CUYAHOGA COUNTY, OHIO

KIMBERLY RICHLEY,

Plaintiff,

JUDGE CAROLYN B. FRIEDLAND

-vs-

CASE NO. CV 03511510

REICHENBACH FAMILY CHIROPRACTIC
PROFESSIONAL COMPANY, et al.,

Defendants.

- - - -

Video deposition of MATT J. LIKAVEC, M.D.,
taken as if upon direct examination before Kelli
Rae Page, a Notary Public within and for the
State of Ohio, at MetroHealth Medical Center,
2500 MetroHealth Drive, Cleveland, Ohio, at 2:01
p.m. on Tuesday, October 12, 2004, pursuant to
notice and/or stipulations of counsel, on behalf
of the Plaintiff in this cause.

- - - -

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8 On behalf of the Plaintiff;

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15 On behalf of the Defendants.

16 ALSO PRESENT:

17 Dan Edmondson, Videographer
18
19
20
21
22
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24
25

1 MATT J. LIKAVEC, M.D., of lawful age,
2 called by the Plaintiff for the purpose of direct
3 examination, as provided by the Rules of Civil
4 Procedure, being by me first duly sworn, as
5 hereinafter certified, deposed and said as
6 follows:

7 DIRECT EXAMINATION OF MATT J. LIKAVEC, M.D.
8 BY MR. RUF:

9 Q. Could you please introduce yourself to the jury?

10 A. My name is Dr. Matt J. Likavec.

11 Q. What is your profession?

12 A. I'm a physician.

13 Q. Are you licensed to practice medicine in any
14 states?

15 A. I am licensed to practice medicine in the State
16 of Ohio.

17 Q. And how long have you been licensed to practice
18 medicine?

19 A. I have been licensed to practice medicine in the
20 State of Ohio since 1975.

21 Q. So how many years have you been practicing?

22 A. Twenty-nine.

23 Q. Do you have a specialty in medicine?

24 A. I have the specialty of neurological surgery in
25 medicine.

1 Q. And what is neurological surgery?

2 A. Neurological surgery is the diagnosis, care and
3 treatment of problems of the nervous system
4 including surgery which encompasses the brain,
5 the spinal cord, the peripheral nerves and their
6 covering. So I'm basically a brain and spine
7 surgeon.

8 Q. Are you board certified in neurosurgery?

9 A. Yes, I am board certified in neurological
10 surgery.

11 Q. And what does it mean to be board certified in
12 neurological surgery?

13 A. In neurological surgery to be board certified you
14 first have to complete an approved residency.
15 There are approximately -- when I went through
16 approximately a hundred candidates per year that
17 are taken into approved programs. After you
18 complete an approved program which was anywhere
19 from six to seven years when I went through you
20 got to take an all day written examination. If
21 you passed the all day written examination you
22 then went on into practice for two years in a
23 status called board eligible. In those two years
24 you kept track of all the patients you operated
25 on and were consulted on more than twice. At the

1 end of those two years you submitted your list to
2 the American Board of Neurological Surgery. If
3 the board felt you had a sufficient depth of
4 experience you got to sit for an all day oral
5 examination and if you passed all three parts of
6 that you became board certified.

7 Q. Do you practice at a hospital?

8 A. Yes, sir.

9 Q. What hospital do you practice at?

10 A. I practice at MetroHealth Medical Center.

11 Q. And what type of hospital is that?

12 A. MetroHealth Medical Center is a general hospital
13 which is also the largest trauma center in the
14 State of Ohio and it is Cuyahoga County's safety
15 net hospital in that we take care of everyone no
16 matter race, color, creed or financial status if
17 they're residents of Cuyahoga County.

18 Q. And what is trauma?

19 A. Trauma is the violence that can occur to the
20 human body encompassing things like blows,
21 gunshots, auto accidents, burning, fires, et
22 cetera, and we're the area specialists in taking
23 care of those type of people.

24 Q. So how many traumatic injuries do you see at this
25 facility as compared with other hospitals in

1 Cleveland?

2 A. We see more -- we see more traumatic injuries
3 than any other hospital in the State of Ohio and
4 so obviously more than any other hospital in the
5 Cleveland area and, in fact, all the hospitals in
6 the Cleveland area will send some of their more
7 severely injured patients here for our
8 specialized care and expertise.

9 Q. What is the cervical spine? Can you explain that
10 to the jury using one of these models?

11 A. For the jury's sake the cervical spine is if you
12 all remember the Ernie Ford song "The Head Bone
13 Connected to the Neck Bone," but it's where the
14 head is attached to the neck and the cervical
15 spine refers to the bones of the neck. There are
16 seven bones in the neck and then twelve bones
17 below that that correspond to your ribs.

18 Q. Could you point out where C6-C7 is?

19 A. The way the doctors decided to do this is you
20 would start at the top and count down so on this
21 model of the cervical spine with the skull
22 attached there is spine 1, 2, 3, 4, 5, 6, 7 so
23 6-7 are these two largest vertebrae. And
24 vertebrae is the fancy medical term for neck
25 bones.

1 Q. Are there nerves that run out of the cervical
2 spine?

3 A. As this model has nicely demonstrated there are
4 not only nerves that run out of the cervical
5 spine but blood vessels that run through part of
6 it and in front of it. These yellow tags
7 correspond to the nerves. They're not color
8 coded or yellow in real life, but for this model
9 they point out how the nerves run out between
10 each bone of the cervical spine so between the
11 6th and 7th vertebrae the 7th nerve root comes
12 out, between the 5th and 6th vertebrae the 6th
13 nerve root comes out, and the nerve roots are
14 numbered just like the bones from the top coming
15 down.

16 Q. And where do those nerves run to from C6-7?

17 A. The nerve that runs between C6 and C7 is the C7th
18 nerve root and that runs down from your neck,
19 down the back of your shoulder, down the back of
20 your arm to the triceps muscle and then it goes
21 continues down your arm to your hand muscles and
22 supplies some of the muscles that have to do with
23 grip and opening your hand.

24 Q. So can an injury at C6-7 affect your arm?

25 A. Yes, sir.

1 Q. Or both arms?

2 A. It can affect both arms.

3 Q. During your 29 years have you regularly been
4 involved with treating patients that have
5 sustained traumatic injuries to the cervical
6 spine?

7 A. Yes, sir.

8 Q. Could you tell the jury what percentage of your
9 time you spend in the active clinical practice of
10 medicine?

11 A. In the active clinical practice of medicine I
12 spend approximately 85 to 90 percent of my time.

13 Q. Okay. Let me show you what's been marked as
14 Plaintiff's Exhibit 15, could you identify that,
15 please?

16 A. It says curriculum vitae of Matt J. Likavec, M.D.

17 Q. And what's curriculum vitae mean, curriculum
18 vitae?

19 A. That's a fancy term for a resume. That shows
20 where I went to -- my name, my children, where my
21 practice is.

22 Q. Does Plaintiff's Exhibit 15 accurately state your
23 list of credentials?

24 A. Yes, with the corrections we made at the
25 deposition.

1 Q. Okay. In this deposition I'm going to ask you a
2 number of opinions and I am going to ask that you
3 render those opinions based upon reasonable
4 medical certainty. If at any time you cannot
5 render an opinion based on reasonable medical
6 certainty will you tell us?

7 A. Yes, sir.

8 Q. How many facet fractures have you seen during
9 your career?

10 A. As I stated in deposition, well over a hundred.

11 Q. And what about subluxations?

12 A. Well over a hundred.

13 Q. Could you explain to the jury where the facet is
14 in the cervical spine?

15 A. Yes. I'm going to use this model because it's a
16 little simpler and I can move it. If here's --
17 as we looked at this model before and you saw the
18 bones here's everything else subtracted. And
19 these are just the bones. The nerve roots are
20 taken out of the way. If you look at the front
21 of the cervical spine is here, this is the body
22 and then this is the back and these are called
23 the spinous processes. These are the bones you
24 feel when you run your fingers down your kids or
25 your grandchildren's back. And then the bones

1 shingle out and these are called the lamina. And
2 the facets are a fancy term for joints. These
3 are -- the joints are where the two bones come
4 together just like your joints of your finger
5 where two bones come together, so the facets are
6 where the two bones come together in the back
7 here.

8 And a facet fracture refers to this is part
9 of the superior facet, this -- I'm actually
10 working at 6-7 on this model. This is part of
11 the superior part of the facet at 6-7 and this is
12 part of the inferior part, and you can see it
13 shingles just like the shingle on a roof with one
14 bone overlapping another, and that's how the
15 spine normally moves, you usually slide one bone
16 on top of each other normally.

17 Q. What's the purpose of a facet?

18 A. It's to hold the neck on straight so it doesn't
19 go too far because if it went too far you could
20 -- easy way to think about it for the jury, if
21 the bone -- if the spinal cord is in the middle
22 of two bones like this if one bone slid too far
23 from the other you could pinch the spinal cord.
24 So the facets are just like the facets of a knee,
25 you don't want the knee to move too far. When

1 you see a football player moves too far they tear
2 all the ligaments and end up on the disabled
3 list. If you do too far in the neck you end up
4 like poor Christopher Reeve did.

5 Q. So is one of the purposes of the facet to
6 stabilize the vertebral bodies in the neck?

7 A. Yes. That's a fancy term for saying not let the
8 bones move too much.

9 Q. What is a subluxation?

10 A. Subluxation refers to the fact that one bone can
11 slip forward on another, so an example would be
12 on this model of the spine if you broke the bones
13 then one bone could slide forward on another, or
14 if you rotated one bone this is a subluxation the
15 way this bone is slipped forward on the bone
16 below.

17 I hope they can make that out. Okay?

18 Q. And how many subluxations have you seen during
19 your career?

20 A. Well over a hundred.

21 Q. Is a subluxation any different than a
22 dislocation?

23 A. It's a fancier term for a dislocation.

24 Q. What amount of force does it take to fracture a
25 facet in the cervical spine?

1 A. I am unable to say how many foot-pounds or
2 anything like that because I'm not an expert at
3 that, but it's a significant amount of force
4 meaning a blow that will cause a bone to be
5 broken because the jury knows you move your neck
6 all the time you don't fracture bones in your
7 neck.

8 Q. Is a facet fracture usually caused by a certain
9 type of motion?

10 A. Yes, it is.

11 Q. And what type of motion is that?

12 A. The classic way a facet fracture occurs is what's
13 called flexion and rotation so for the jury's
14 sake --

15 Q. Could you explain it with the model what flexion
16 is?

17 A. Flexion, if this is the model and this is the
18 front and this is the back, flexion means bending
19 forward.

20 Q. So you move your neck down to your chin?

21 A. Right. So that's flexion is your head down. It
22 doesn't have to be all the way down on your chin
23 just off -- on my neck just moving it forward
24 that's flexion. That's a flexion movement.

25 And then rotation is just what the jury

1 would assume, it's one bone rotating on another,
2 so an example if you look at my neck this would
3 be rotation and this would be a flexion extension
4 injury. My neck is going down and it's rotating
5 and obviously I didn't fracture a facet with
6 that. That's just -- it's -- it's how do I put
7 it, a nonphysiological progression of that
8 movement.

9 Q. Was Kimberly Richley a patient of yours?

10 A. Yes, sir.

11 Q. On what date did you see her?

12 A. I first saw Miss Richley on October 22nd, 2002.

13 Q. Do you know how she came into the Metro facility?

14 A. Yes, I do. She was seen at -- by the records I
15 had available to me she gave a -- she was seen at
16 an outside hospital with neck pain, had an X-ray,
17 was referred to MetroHealth Medical Center
18 because of the abnormality on the X-ray and her
19 pain and was seen in our emergency room and
20 admitted to the trauma service here at
21 MetroHealth.

22 Q. Okay, I'm handing you what's been marked as
23 Exhibit 12-I, is that a report from the emergency
24 room?

25 A. This is a copy of the report from the emergency

1 room on Kimberly Richley dated 10/22/2002 at 1:45
2 p.m.

3 Q. Is there a history recorded in the emergency room
4 report?

5 A. Yes.

6 MR. REGNIER: Objection.

7 Go ahead, Doctor.

8 Q. What was the history that was taken?

9 A. It starts off with history of present illness.
10 It says in big bold letters neck pain. It says
11 location, diffuse. Quality, achy and sharp.
12 Severity, moderate. Radiation, none. Okay
13 yesterday. Duration, constant. Frequency,
14 unpredictable.

15 And then it says additional history
16 39-year-old status -- there is a commonly used
17 abbreviation called status post means this
18 happened status post manipulation by report in
19 the patient's chiropractor's office yesterday
20 with exquisite pain, sharp and shooting down
21 right arm per patient. Her pain is eight to ten
22 out of ten at this time. It waned overnight but
23 increased this morning with weakness to extension
24 on the right. Numbness C7-C8 distribution. No
25 other NT, I don't know what that abbreviation, no

1 other trauma fall per patient. No -- there is no
2 known other trauma, just the manipulation.

3 Q. Did you take your own history from Kim Richley?

4 A. Yes, I did.

5 Q. And what was the history that you obtained?

6 A. I had a history from Kim Richley that she was in
7 her chiropractor's office, she had a manipulation
8 and at the point of manipulation she got a sharp
9 pain in her neck and the description she used to
10 me on at least two occasions was she heard the
11 sound of shattering glass. And she went home --
12 she complained about it. She went home. The
13 pain got worse during the night, then got a
14 little better, then got worse the next morning
15 and then she was seen at the outside hospital
16 because of that pain.

17 Q. Based upon your experience is that a fairly
18 typical presentation for a facet fracture?

19 A. Based on my experience that is not a fairly
20 typical presentation for a facet fracture.

21 Q. All right. Why isn't it not?

22 A. Out of the hundreds of facet fractures I have
23 seen I have never seen one from a chiropractic
24 manipulation. The classic history and where I've
25 seen most of them has been in motor vehicle

1 accidents, motorcycles accident, all terrain
2 vehicle accidents, falls, pedestrian versus car
3 accidents. That probably accommodates 95 percent
4 of them.

5 Q. Was a physical examination conducted here at
6 Metro Hospital?

7 A. Physical examination was conducted here at Metro
8 Hospital both in the emergency room, by the
9 emergency physician, the -- my resident on
10 neurological surgery and I conducted one on the
11 22nd.

12 Q. Okay. Let me show you what's been marked as
13 Plaintiff's Exhibits 12-G and H along with other
14 sections in the Metro chart, do those contain the
15 physical examination that was done at Metro?

16 MR. REGNIER: Objection as to
17 testimony other than his examination.

18 A. These appear to be copies of the physical
19 examination part of the trauma admission note
20 from MetroHealth and the trauma attending's note
21 at MetroHealth and my note at MetroHealth.

22 Q. Did you rely on the physical examinations that
23 were conducted in deciding how to treat Kim
24 Richley in addition to your own physical
25 examination?

1 A. Yes, sir. I found them all confirmatory.

2 Q. Okay. Could you go over the findings, the
3 abnormal findings in the physical examinations?

4 MR. REGNIER: Objection.

5 A. Yes, I can. The abnormal findings on the
6 physical examinations were -- well, first is when
7 all of us saw Miss Richley she was already in a
8 cervical collar, so this is a woman who's got a
9 collar on.

10 Number two, when I saw her at least she was
11 holding her right arm close to her body and
12 obviously found it uncomfortable to move it.

13 Number three, I didn't move her neck because
14 by that time I was aware and the other doctors,
15 obviously, were aware that there was evidence of
16 a fracture.

17 Number four, she had -- we tested sensation,
18 she had some decreased sensation going down the
19 back of her arm to the middle part of her hand
20 and these two last fingers. That means it felt
21 numb.

22 Number four, she had some weakness of the
23 whole arm because the whole arm hurt, but what
24 was most marked was weakness of what's called
25 triceps function or what is also qualified as

1 elbow extension which, for the jury's sake, is
2 your ability to straighten your arm out like this
3 and some weakness of grip, your ability to
4 squeeze. Those were the most marked points of
5 weakness. And those were the positive physical
6 findings. There may have been -- let me check
7 that. No, that was it.

8 Q. Okay, Doctor, I want to go back in time before
9 Kim Richley saw a chiropractor, Dr. Reichenbach,
10 have you reviewed Plaintiff's Exhibit 1 which is
11 a report from a cervical X-ray of 1/21/99?

12 A. Yes, I have.

13 Q. And based upon that report what was the condition
14 of Kim Richley's neck back on 1/21/1999?

15 MR. REGNIER: Objection.

16 A. The report states from an X-ray at Parma
17 Community Hospital on 1/21/99 it says cervical
18 spine with obliques. There is normal alignment
19 and curvature, no fracture or dislocation is
20 seen. No degenerative changes are seen. And
21 it's signed by a Dr. Bradford.

22 Q. So how would you characterize the condition of
23 her neck?

24 MR. REGNIER: Objection.

25 A. It's a normal X-ray.

1 Q. Were any abnormalities noted in the X-ray --

2 MR. REGNIER: Objection.

3 Q. -- of her cervical spine?

4 A. Not that I could see in the medical record -- in
5 the record I had available.

6 Q. S part of your job as a neurosurgeon to read
7 films?

8 A. It's part of my job, yes, sir.

9 Q. And how often do you review films?

10 A. Every day I practice neurosurgery.

11 Q. Okay. I would like you to take a look at
12 Plaintiff's Exhibits 2 through 5, they are X-rays
13 dated 10/17/2002 which were taken at
14 Dr. Reichenbach's office. Let's take a look at
15 those at the X-ray box.

16 MR. RUF: Let's go off the record.

17 VIDEOGRAPHER: We're off the
18 record.

19 - - - -

20 (Thereupon, a discussion was had off
21 the record.)

22 - - - -

23 VIDEOGRAPHER: Back on the record.

24 Q. Okay. Doctor, what do you have up on the X-ray
25 box?

1 A. I have the first of Kim Richley's X-rays marked
2 Plaintiff's Exhibit 2 which is dated October
3 17th, 2002 and has Kim Richley's name on it.

4 For the jury's sake, this is what's called
5 an AP picture meaning it's an X-ray taken from
6 the front. In here you can see the collar bones
7 and here's part of the top of her chest and
8 here's her neck and this is part of her jaw and
9 her skull in the way and these are the bones of
10 the neck, and these are just pictures from the
11 front. And by my reading these X-rays are
12 absolutely -- this X-ray is absolutely normal.
13 There is no sign of any fracture or break or
14 increased soft tissue density or any other funny
15 thing. This would fulfill my criteria for a
16 normal AP X-ray.

17 Q. Okay. And could you put up the other view.

18 A. The other view is what's called a lateral view of
19 Kim Richley's spine and here -- so the jury can
20 tell, here's the jaw, you're looking at the
21 side. Here's the back of the head. She's
22 obviously wearing her glasses. These are the
23 bones of the neck and you count the bones as I
24 stated earlier from the top down, 1, 2, 3, 4, 5,
25 6, 7.

1 And these X-rays would by my reading be
2 normal. In fact, there would be a 90 percent
3 chance you could tell it's a woman X-rays by the
4 size of the bone and their shape, but this is
5 normal. This is a nice gentle curve. There is
6 no crack through here. One bone isn't slipped on
7 another. And for the jury's sake, you can tell
8 that the -- this is 6-7, the bones line up. The
9 front of the bone lines up with the back of the
10 bone.

11 Q. Is there any evidence of subluxation or fracture
12 in either of those X-rays of 10/17/2002?

13 A. No, sir.

14 Q. Do you have an opinion based upon reasonable
15 medical certainty as to whether Kim Richley had a
16 facet fracture at C6-7 on 10/17/02 when
17 Dr. Reichenbach took those X-rays?

18 A. Yes, I do have an opinion.

19 Q. And what is your opinion?

20 A. My opinion is based on a reasonable degree of
21 medical certainty that there was no evidence of
22 Miss Richley having a facet fracture on these
23 X-rays of 10/17/02.

24 Q. Is there any evidence in those X-rays that she
25 had a facet fracture years earlier which healed

1 over?

2 A. Based on a reasonable degree of medical certainty
3 I have no evidence that there is a facet fracture
4 that's healed from years before.

5 MR. RUF: Let's go off the record.

6 VIDEOGRAPHER: We're off the
7 record.

8 - - - -

9 (Thereupon, a discussion was had off
10 the record.)

11 - - - -

12 VIDEOGRAPHER: We're back on the
13 record.

14 Q. Doctor, have you reviewed Exhibits 4 through 8?

15 A. Yes, sir.

16 Q. And what are those Exhibits?

17 A. Those Exhibits are X-rays done on Kimberly
18 Richley at MetroHealth Medical Center on October
19 22nd, 2002 which were done at approximately 9:50
20 in the morning of 10/22. And --

21 Q. So those are five days after Dr. Reichenbach's
22 X-rays?

23 A. Yes, sir.

24 Q. Do you notice anything significant in the X-rays
25 marked as Exhibits 4 through 8?

1 A. Yes, I do. I will start with Exhibit 7 and go
2 back. Well, let's start with Exhibit 6 so it's
3 fresh in the jury's mind.

4 This is Exhibit Number 6. This is a lateral
5 X-ray, same X-ray that I just showed you with the
6 bones, 1, 2, 3, 4, 5, 6, 7. And as I drew on
7 Kimberly this bone is suppose to line up with
8 this bone and what you see is these six bones are
9 forward of this 7th bone.

10 MR. RUF: Okay. Let's go off the
11 record for one minute.

12 - - - -

13 (Thereupon, a discussion was had off
14 the record.)

15 - - - -

16 VIDEOGRAPHER: We're back on the
17 record.

18 A. For the jury's sake I put up the X-ray from 10/17
19 and the X-ray from 10/22 and the major difference
20 is is number one, Kimberly is not wearing her
21 glasses on the X-ray of 10/22 and, number two, if
22 you look -- this is the X-ray done at Metro. The
23 way the bones line up are the front's here, the
24 back's here, but at this level 6-7 they're not
25 lined up. One bone has slipped forward of

1 another and you get the hint that there may be
2 possibly a fracture right in here and if you look
3 back at this X-ray that was done on 10/17, a
4 lateral X-ray with the glasses on, you can see
5 that same area of those bones were lined up
6 perfectly.

7 Q. Okay. Anything else that you found significant
8 in Exhibits 4 through 8, the X-rays taken at
9 Metro?

10 A. Yes. Yes, I did. In looking back at the -- if
11 the jury thinks back to the previous film, this
12 is the same X-ray that we took -- kind of X-ray
13 we took with Kimberly looking at the front and
14 you can see her neck is a little crooked this
15 time. On the previous X-ray it was absolutely
16 straight, and a crooked neck can be a sign that,
17 A, she's holding her neck crooked or, B, that
18 it's painful and you're holding it in that
19 particular position because that decreases the
20 amount of pain.

21 Q. Okay. Doctor, were some other films taken at
22 Metro?

23 A. Yes, sir.

24 Q. And what kind of films were those?

25 A. She had what's called a CAT scan and it doesn't

1 refer to the --

2 Q. What about an MRI?

3 A. And an MRI.

4 Q. Okay. I'm handing you what's been marked
5 Plaintiff's Exhibit -- Exhibits 9 through 11.
6 Would you identify those?

7 A. Yes, sir. These are the CAT scan reproductions
8 on Kimberly Richley done on the 22nd of 2004.
9 And for the --

10 Q. Do those show the facet fracture?

11 A. Yes, sir, and they also show the subluxation. I
12 need you to hone in on this picture. This is
13 slicing the person from the side ride down the
14 middle and here the bones line up and then there
15 is this little jump. Here the bones line up and
16 then there is this little jump, and that's a sign
17 that there is the subluxation.

18 The other thing they did was that they also
19 show the facet fracture, and now for the jury's
20 sake we're going to -- it's like taking
21 Kimberly's head and chopping it in slices from
22 the top going down. For the jury's sake if you
23 look down here this is a more normal vertebrae.
24 See how it lines up here and the spinal cord
25 actually sits in the middle here and here's that

1 bone off the back and this is altogether, and
2 here this is marked from before, but you can see
3 this -- there is not suppose to be a lucent line
4 that goes through this bone on the left side.
5 This is a crack that goes through that facet.

6 Q. And what level is that at?

7 A. That's at 6-7 and here's part of the facet here
8 and then here's a little crack in what's called
9 the spinous process out of the side.

10 Q. Okay. Is anything else shown on the last film?

11 A. No, that's just another slice of the spinous
12 process.

13 Q. Do you have an opinion based on a reasonable
14 medical certainty as to whether the fracture was
15 continuous?

16 A. Yes, I do have an opinion. My opinion is based
17 on a reasonable degree of medical certainty that
18 the fracture was one continuous fracture going
19 through the facet joint out to the transverse
20 process.

21 Q. And do you have an opinion based on reasonable
22 medical certainty as to where the fracture
23 started and where it finished?

24 A. I have an opinion. Yes, I do have an opinion,
25 and my opinion based on a reasonable degree of

1 medical certainty was that the fracture started
2 at the facet and went out to the transverse
3 process.

4 Q. So this was one continuous fracture, it was not
5 two separate fractures?

6 A. Yes, sir, part of the same insult.

7 Q. If you could take your seat again I have some
8 other questions.

9 VIDEOGRAPHER: We're off the
10 record.

11 - - - -
12 (Thereupon, a discussion was had off
13 the record.)

14 - - - -
15 VIDEOGRAPHER: We're back on the
16 record.

17 Q. Doctor, could you please go through the materials
18 you have reviewed?

19 A. The materials I reviewed were, number one, the
20 medical records available to me from MetroHealth
21 and including my office notes all of which are in
22 her medical record.

23 Number two, it includes the deposition of
24 Dr. Reichenbach.

25 Number three, it was the deposition of --

1 Q. A portion of Kim Richley's deposition?

2 A. A portion of Kim Richley's deposition. Number
3 four, the X-rays available to me to MetroHealth,
4 the X-ray report from Parma Hospital and the
5 X-rays that Dr. Reichenbach did.

6 And number five, follow-up note by a
7 Dr. Chauhan including EMG's and nerve conduction
8 study results and the-- an evaluation.

9 Q. Did you review the actual EMG results?

10 A. I think I just reviewed the report. Oh, yeah, I
11 read the whole lab note and the result -- and the
12 impression.

13 Q. Did you also review a physical therapy note in
14 Dr. Chauhan's records?

15 A. Yes, sir.

16 Q. And what's the date of that note?

17 A. Date of that note is January 26th, 2004.

18 Q. Did you review Dr. Chauhan's report?

19 MR. REGNIER: Objection.

20 A. As far as I recall I did, yeah.

21 Did I? That one?

22 Q. Do you want to take a second and look through
23 your file?

24 A. Yeah.

25 MR. RUF: Let's go off the record.

1 VIDEOGRAPHER: We're off the
2 record.

3 - - - -

4 (Thereupon, a discussion was had off
5 the record.)

6 - - - -

7 VIDEOGRAPHER: We're back on the
8 record.

9 A. I did review Dr. Chauhan's report.

10 Q. Have you also reviewed Plaintiff's Exhibit 16
11 which is an audit of medical expenses?

12 MR. REGNIER: Objection.

13 A. Yes, I have reviewed that.

14 Q. Doctor, do you have an opinion based upon
15 reasonable medical certainty as to the cause of
16 Kim Richley's facet fracture?

17 A. Yes, I do have an opinion.

18 Q. And what is your opinion, Doctor?

19 A. My opinion is based on a reasonable degree of
20 medical certainty the facet fracture was
21 proximately caused by the manipulation of
22 10/21/2002.

23 Q. Are you aware of any other trauma or force to Kim
24 Richley's neck between 10/17 and 10/22/02 other
25 than the chiropractic manipulation?

1 MR. REGNIER: Objection.

2 A. I'm aware of no other trauma to Kim Richley's
3 neck in that time period.

4 Q. Is there any reference in the MetroHealth medical
5 records to any other force or trauma to Kim
6 Richley's neck other than the chiropractic
7 manipulation?

8 A. There is no evidence in the MetroHealth record of
9 any other trauma to Kim Richley's neck between
10 10/17 and 10/22/2002 besides the chiropractic
11 manipulation.

12 Q. Do you note in your records whether or not Kim
13 Richley had a history of seizures?

14 A. I know she had a history of seizures.

15 Q. Do you have an opinion based on reasonable
16 medical certainty as to whether a seizure caused
17 Kim Richley's facet fracture?

18 A. I do have an opinion based on a reasonable degree
19 of medical certainty.

20 Q. And what is your opinion?

21 A. My opinion is based on a reasonable degree of
22 medical certainty the seizure did not cause a
23 facet fracture.

24 Q. And why is that?

25 A. A number of reasons. Number one, I've seen at

1 least 500 people with terrible grand mal seizures
2 that were difficult to control and needed
3 paralyzation and intensive care treatment and I
4 have never seen a facet fracture with it.

5 Number two, Kim, by my recollection and the
6 history I have available to me, never had a
7 terrible fall down, shake seizure like that. Her
8 seizures were more mild and more controlled and
9 she knew they were coming. So for those major
10 two reasons I have never seen it -- never seen it
11 described, never seen it reported, have 20 some
12 years' experience doing this, I just find it
13 extremely -- I find it extremely, extremely,
14 extremely unlikely.

15 Q. Is there any medical evidence to support that a
16 seizure caused Kim Richley's facet fracture?

17 A. I have no evidence that Kim Richley had a seizure
18 that caused her facet fracture.

19 Q. Do you have an opinion as to what type of
20 movement caused her facet fracture?

21 A. Yes, I do have an opinion as to what type of
22 movement caused her facet fracture.

23 Q. And what is your opinion?

24 A. My opinion is that with a reasonable degree of
25 medical certainty it would be rotation flexion

1 injury as we've discussed, the most common cause
2 of a fracture like this.

3 Q. What type of subluxation did Kim Richley had --
4 have at C6-7?

5 A. Kim Richley had a Grade I subluxation which means
6 it was less than one quarter of the vertebral
7 body. So for English for the jury, if here's a
8 whole vertebral body if it slipped one quarter
9 that's one degree, if it slips a half so a whole
10 half the body slipped forward like this that's a
11 second degree, third degree is three-quarters and
12 fourth degree is your head is falling off.

13 Q. Did the one vertebral body slip directly over the
14 other vertebral body?

15 A. It slipped and it rotated at 6-7. Because of the
16 fracture it not only slipped forward it did, for
17 the jury, like this so that you can see with one
18 body floating forward on another and you can see
19 how if one body rotates on another if you look at
20 the lateral film you can see how part of the body
21 overhangs the one below, and that's exactly what
22 we saw in Kim Richley.

23 Q. Does that give us any insight into the mechanism
24 of injury for the subluxation?

25 A. Most common mechanism for that is a primary --

1 actually the most common reason for a primary --
2 a -- first degree traumatic subluxation. You can
3 have some subluxations from degeneration and
4 arthritis after years or rheumatoid arthritis,
5 but the most common cause for degenerative or for
6 an acute subluxation is a rotation flexion
7 injury. Most sometimes associated with a
8 fracture, sometimes what's just called a jump
9 facet where one bone jumps on the other and it's
10 not associated with a crack.

11 MR. RUF: Okay. Let's go off the
12 record for a minute.

13 VIDEOGRAPHER: We're off the
14 record.

15 - - - -
16 (Thereupon, a discussion was had off
17 the record.)

18 - - - -
19 VIDEOGRAPHER: We're back on the
20 record.

21 Q. Doctor, I've handed you what's been marked as
22 Plaintiff's Exhibit 19, it's a model of the
23 cervical spine and the head. Could you draw on
24 that model where the fracture was in Kim
25 Richley.

1 A. Okay. This is the model we looked at
2 previously. Here's the front. Here's the back.
3 We're going to go to the right side. This is the
4 6-7 vertebral body, so this is the 6th one. This
5 is the 7th one. And the fracture actually came
6 across this underneath, under this body here and
7 out through this transverse process. Here's this
8 transverse process, so the fracture went like
9 this and broke off this bone right here, the top
10 of this bone here which was driven in and pushed
11 on that nerve root.

12 Q. So did a piece of bone actually break off?

13 A. Yes, sir.

14 Q. Do you have an opinion based on reasonable
15 medical certainty as to whether Kim Richley's
16 neck was pushed beyond it's pathophysiological
17 limits?

18 MR. REGNIER: Objection.

19 A. Yes, I do have an opinion.

20 Q. And what's your opinion?

21 A. My opinion is it was pushed past its
22 pathophysiologic limits, which for the jury's
23 sake in English means it went -- it was pushed
24 too far.

25 Q. It was pushed beyond its normal range of motion?

1 A. Yes, sir.

2 Q. Did you perform surgery on Kim Richley?

3 A. Yes, sir.

4 Q. What date did you perform surgery on her?

5 A. I performed surgery on Kim Richley on October
6 31st, 2002.

7 Q. Okay. I'm handing you what's been marked as
8 Plaintiff's Exhibit 12, are those the records at
9 Metro Hospital including the records from your
10 surgery?

11 MR. REGNIER: Objection.

12 A. These are copies of the records from Metro
13 Hospital including copies of my surgical note and
14 my notes.

15 Q. Why was surgery necessary for Kim Richley?

16 A. Surgery was necessary for two reasons. Number
17 one, Kim was in terrible pain and had weakness of
18 that arm and it was to take care of the pain and
19 weakness. And number two because one bone was
20 slipping forward on another it was to fuse her
21 spine to prevent further motion with the
22 possibility of further damage to her nervous
23 system. So in fancy medical terms the term is
24 decompression and the stabilization. For the
25 jury's sake it's take the pressure off and

1 prevent it from moving too much.

2 Q. Okay. Could you describe in detail the surgery
3 that you performed on Kim Richley and first could
4 you talk about how you actually get down to the
5 cervical spine?

6 A. Okay. On this model I'll start off with, if this
7 is looking at the back, except on people like me
8 you have a nice head of hair up here, and then it
9 comes down and it stops and you start at the
10 neck. And this neck is covered by skin and
11 muscle and the tissue comes all the way out to
12 here so all these bones are covered.

13 Well, the fracture is down here, you have to
14 open up the skin, go down to where the muscles
15 are starting to attach and scrape the muscles off
16 to get all the way down to the bone here where
17 the crack was.

18 And Mr. Ruf was kind enough to have this
19 color atlas of anatomy and this is a cadaver and
20 so the jury knows the skin has been taken off and
21 this is down to the first layer of muscle and
22 this is called the midline. That's right in the
23 middle where those bones come, and all these
24 muscles that are attached here, they're called
25 the capitis and the trapezius and they're

1 actually seven sets of muscles, all that has to
2 be peeled off so you're getting down on something
3 like this.

4 Q. Try and hold it a little more vertical, please.

5 A. On the next page right down to the bones, or
6 easier for the jury to understand, you scrape off
7 all those muscles so you come and dock right down
8 on these bones right here. So these muscles that
9 are attached in different layers like this, they
10 are all open in the middle and pulled back.

11 An idea for what the jury might understand,
12 it's like I took your biceps muscle and moved it
13 out to here and then put it back so I could get
14 at the upper arm. And it's a lot of movement.
15 And then you dock here and then you have that
16 piece of bone that's pushed in on the nerve root
17 as we pointed out.

18 Q. Can the dissection of that tissue away from the
19 cervical spine cause any residual problems?

20 A. Yes, it can. I mean, it's not -- we do surgery
21 from the front and in back. If you operate from
22 the back we tell everyone they're going to have a
23 sore neck, they're going to have burning between
24 their shoulder blades, if they move too much it's
25 going to ache and pull and there tends to be

1 quite a bit of that from moving -- just moving
2 those muscles back and putting them back again.

3 Q. What was the amount of time that Kim Richley's
4 surgery took?

5 A. It was approximately two and a half hours.

6 Q. What was the condition that you found
7 intraoperatively?

8 A. The condition that I found intraoperatively was,
9 number one, I found that there was no evidence of
10 an old fracture or anything like that, anything
11 healing, an abnormality like a cyst or a tumor.

12 Number two, I found the cracked bone which
13 was that bone that I described on here that was
14 cracked off that body and pushed forward into
15 this space where the nerve was. So easy way of
16 thinking about it for the jury it's as if
17 somebody -- you hit your crazy bone it hurts,
18 well here there is something always rubbing on
19 that crazy bone, always rubbing on that nerve.

20 Number three, it looked like an acute
21 fracture meaning it didn't look like something
22 that had occurred six months or five months
23 before, it was something within the last couple
24 of days.

25 And number four, her spine was a little

1 softer than I would have given her credit for for
2 a 39-year-old woman, perhaps on the order of
3 somebody 50 some. And as we all get older our
4 bones get softer, woman more commonly than men.
5 So those were the four findings.

6 Q. What was the significance of the piece of bone
7 that was pushing on the nerve?

8 A. I felt real good about getting it out because I
9 was sure that was the fracture and that was most
10 of the cause of her pain.

11 Q. What types of problems did that cause in Kim
12 Richley?

13 A. It caused pain and weakness.

14 Q. And did it cause any problems with her arms?

15 A. It caused pain -- I'm sorry, it caused pain and
16 weakness of her right arm. I mean, it's
17 exquisite pain and you have weakness. You can't
18 -- as I stated earlier, the fact that she
19 couldn't grip and she couldn't move her arm with
20 the normal strength was caused by that pressure
21 on that nerve.

22 Q. If she had had a fracture of the facet more than
23 a year before you did your surgery what type of
24 changes would you see in the bone, if any?

25 A. Well, you'd see one of two changes. Assuming the

1 fracture was a year old and healed you would see
2 evidence of a healed fracture. That looks
3 different on CAT scan and MRI scan than this
4 looked and I can say that with certainty because
5 I just somebody today in my office who has an old
6 fracture of 5-6 but it has certain
7 characteristics, and Kim had none of those.

8 Number two, if it had not healed it would
9 not look -- the piece of bone would have looked
10 different. It would have been smooth. It
11 wouldn't have looked, as I said earlier, acute,
12 meaning something that had happened recently.
13 The edges would have been rounded off because
14 some of the bone would have been reabsorbed.

15 Q. So based on your findings was there any evidence
16 that she had a preexisting fracture that was
17 refractured on October 21st or October 22nd?

18 A. Based on my findings I have no evidence that
19 there was any sign of preexisting fracture that
20 had healed or not healed.

21 Q. Could you explain to the jury exactly what you
22 did in this surgery using the models?

23 A. Sure. You want to use the models?

24 Q. Sure. Why don't we use this board first and then
25 also use the models.

1 A. Okay. Let me put this aside here.

2 Okay. Let's start with down here.

3 Already?

4 I'm starting with down here because we use
5 artificial devices to hold the bone when we're
6 trying to get it to heal. An example that the
7 jury might understand is that they hear about
8 these athletes who have pins and screws put into
9 fractures. We can use those same kind of things
10 in the spine. We sometimes use wires, but all
11 these things will break with time unless the bone
12 heals. So in Kimberly's case we started what's
13 called an iliac crest bone graft, meaning we take
14 a little bone from your hip, not from where you
15 walk but from where your bikini underwear is held
16 up and in here they show the model of -- we cut
17 down on the back of somebody's butt, this is from
18 behind, split some of the muscle, come down and
19 take slivers of bone that are shown here.

20 And so first they start off with an incision
21 on their -- just above their butt, and a sore
22 posterior hip because we took -- split some bone
23 off from there. And this is closed up and we go
24 up to the neck. And that's up here. And then
25 saving the bone we got from the hip we open the

1 spine, come down and dissect all those muscles
2 off as I showed in the anatomy book, and come
3 down to the bone from here and back. And then
4 when that bone is there and back, so the jury
5 understands, it comes to something like this,
6 here's that bone in the back called the spinous
7 process. Here's the lamina. Here's the facets.
8 Here's the facet on one side, here's the facet on
9 the other side. And if we were looking at this
10 the crack in the facet would be the crack through
11 here and would go out to this spinous process in
12 the back. Here's a -- here's a better view.
13 This is -- well, this is the left side. The
14 crack would go -- this bone is shingled
15 underneath as I showed you on the model. A crack
16 went like this crack, crack, crack, crack, crack,
17 crack, right through this transverse process
18 here.

19 So first we put a hole in the base of the
20 spinous process here. A hole -- in her case I
21 put a hole in the base of the spinous process
22 there and got them ready for the future. Then
23 you have to get -- this piece of bone is broken
24 off and is pushing forward on the nerve which is
25 coming out here. So I first make a little

1 opening in the bone here and then take off a
2 little of this bone, and what I'm doing is making
3 a window to take off the piece of bone that's
4 broken forward, having taken off the piece of
5 bone here and was able to take out the fractured
6 bone that was pushing on the nerve which is lying
7 underneath here.

8 After doing that put this wire in here, put
9 it around here, tied the two bones together and
10 pulled them tight with a special surgical cable
11 and then tightened them down. We use a little
12 more advanced system, a little more newer system
13 than this just twisting the wire, and then the
14 bone we've taken from the hip down and back to
15 get this to fuse forward so one bone fuses on top
16 of another.

17 Q. Do you replace the piece of bone that you took
18 out so that you can get the piece of bone that
19 was broken off?

20 MR. REGNIER: Objection. Leading.

21 A. No, you have to take out the piece of bone and
22 it's done in very small pieces so the bone is
23 chewed up and actually some of the bone we bite
24 off here we use to help the fusion so, no, that
25 bone is missing.

1 Q. So what is the purpose of this surgery? What are
2 you trying to accomplish?

3 A. As I stated earlier the fancy medical terms are
4 decompression and stabilization. In English for
5 the jury it's, one, take the pressure off the
6 nerve which is coming out here, number two,
7 prevent the bones from sliding forward one on
8 another.

9 Q. Okay. I'm handing you what's been marked as
10 Plaintiff's Exhibit 20, what is that?

11 A. This is a real live version of the surgical cable
12 that we used to put into Kim Richley's neck. And
13 for the jury's sake, if I can sit down and maybe
14 use the white background so they can see. This
15 is a very fine cable and it's actually a twisted
16 -- it's a set of twisted titanium wires, and
17 what you do is on this model we drilled the hole
18 through here, goes through here, a hole through
19 here, wrapped it around and then the -- the fancy
20 medical term for this is called a crimper. The
21 easy way to think about it is like a top hat.
22 It's a little thing that goes through like this
23 and the cable gets threaded through that and then
24 you tighten it with a special tightening device
25 so that one bone is snugged up against the other

1 and doesn't move, and then with a special
2 surgical device you crimp this top and it holds
3 the wire in that exact position and then you cut
4 it and take out the extra wire.

5 Q. Does the wire permanently remain in Kim Richley's
6 spine?

7 A. Yes, it does.

8 Q. Okay.

9 MR. RUF: Let's go off the record.

10 VIDEOGRAPHER: We're off the
11 record.

12 - - - -

13 (Thereupon, a discussion was had off
14 the record.)

15 - - - -

16 VIDEOGRAPHER: We're back on the
17 record.

18 Q. Okay. Doctor, what Exhibits do you have there?

19 A. These are Exhibits, Plaintiff's Exhibits 17 and
20 18.

21 Q. Okay. What are the dates of those films?

22 A. Well, one film is marked 11 -- November 11, 2002
23 and the other actually is June 17th, 2004.

24 Q. Okay. Can we see the cable that was inserted in
25 Kim Richley's neck?

1 A. Yes. This is, again for the jury, we're looking
2 at in front and you see Kim's collar bones.
3 Here's her neck. Here's her jaw. Her head's up
4 here. Here's the cable. And you can see her
5 neck is straight again.

6 And then here's a lateral X-ray done even
7 later than this and this is from 2004.

8 MR. REGNIER: Pardon me, Doctor,
9 objection as to the 6/17/04 X-ray.

10 Go ahead.

11 A. Yes, 6/17/04. This is looking at the side. This
12 is her jaw. This is the back of her head. You
13 can see the bones here 1, 2, 3, 4, 5, 6, 7. And
14 here it's lined up. Here it's lined up, and here
15 it's lined up again.

16 Q. And where is the wire?

17 A. The wire is right here.

18 Q. So that wire is going to permanently remain in
19 her spine?

20 A. Yes, sir.

21 Q. Thank you, Doctor.

22 MR. RUF: Let's go off the record.

23 VIDEOGRAPHER: We're off record.

24 - - - -

25 (Thereupon, a discussion was had off

1 the record.)

2 - - - -

3 VIDEOGRAPHER: Back on the record.

4 Q. Doctor, based on your experience over what time
5 period does healing occur in the cervical spine?

6 A. Usually years. Six months to a year, but you get
7 a -- it starts a week after your surgery.

8 Q. Has it been your experience that conditions which
9 persist longer than a year are permanent?

10 A. Yes, sir.

11 Q. Do you have an opinion upon on a reasonable
12 medical certainty as to whether Kim Richley's
13 current condition is permanent?

14 MR. REGNIER: Objection.

15 A. Yes, I do have an opinion.

16 Q. What is your opinion?

17 MR. REGNIER: Objection.

18 A. My opinion based on a reasonable degree of
19 medical certainty is that with the assumption
20 that nothing else happened to Kim Richley that
21 her condition now would be permanent.

22 Q. Okay, Doctor, I want you to assume the following,
23 that Kim Richley's current physical abilities are
24 as follows, she is only able to lift a maximum of
25 2.5 pounds on an occasional basis. She is only

1 able to carry a maximum of 2.5 pounds on an
2 occasional basis, that she is only able to push
3 up to a maximum of 75 pounds on an occasional
4 basis, she is only able to pull up to a maximum
5 of 80 pounds on an occasional basis, she can
6 stand on an occasional basis, she can walk on an
7 occasional basis, she cannot perform any overhead
8 activities, she can reach forward on an
9 occasional basis, she can perform light and firm
10 grip on an occasional basis, and that she can
11 perform pinching and fine motor activities on an
12 occasional basis. Do you have an opinion based
13 on reasonable medical certainty as to whether
14 those conditions are permanent?

15 MR. REGNIER: Objection.

16 A. With those assumptions I have an opinion based on
17 a reasonable degree of medical certainty that
18 those conditions would be permanent.

19 Q. Okay, Doctor, I want you to assume that Dr. Mann,
20 an expert for the defense, conducted a
21 neurological examination September 9th, 2004
22 which documented that Kim Richley was troubled
23 with burning, tightness and pain in the neck
24 radiating down the right arm to the fingers and
25 headaches originating in the neck. Do you have

1 an opinion based on a reasonable medical
2 certainty as to whether those conditions are
3 permanent?

4 A. Yes, I do have an opinion.

5 Q. And what is your opinion?

6 A. My opinion is based on a reasonable degree of
7 medical certainty that the -- that the -- as
8 described in the September 9th of 2004 findings
9 these conditions -- the condition would be
10 permanent.

11 Q. If Dr. Mann found that the paraspinous muscles
12 showed mild tightness what's the significance of
13 that?

14 A. It means the significance -- my assumption would
15 be the significance for Kimberly Richley was that
16 her neck hurt.

17 Q. Okay. Where are the paraspinous muscles?

18 A. Paraspinous were part of those muscles that we
19 split on opening up to get down to the bone and
20 they're the muscles that are covered right over
21 the back of the neck. And para means around,
22 spinous means they're attached to the spinous
23 processes which is what we took the muscles off
24 of to do the surgery.

25 Q. Okay. Could you look at the physical therapy

1 note of 1/26/2004?

2 A. Okay. This one, yes.

3 Q. Okay. Let's look at the second paragraph. Is
4 there a notation about range of motion?

5 A. Yes. It's listed under O meaning objective
6 meaning objective findings.

7 Q. Could you read into the record what it states
8 about range of motion? First of all, what's the
9 date of this note?

10 A. January 26th, 2004.

11 Q. Okay.

12 A. It says --

13 MR. REGNIER: Objection.

14 Go ahead, Doctor.

15 A. -- range of motion was assessed actively. She
16 was found to be lacking 50 percent of forward
17 bending of the cervical spine. Backward bending
18 was within normal limits. That's WNL, that's an
19 accepted abbreviation, and pain free. Side
20 bending to the right was limited by 50 percent
21 and reproduced her typical right-sided cervical
22 pains. Side bending to the left was limited by
23 25 percent and produced a stretch to the upper
24 trap, it means the trapezius muscle, the muscle
25 that attaches the shoulder to the neck. Rotation

1 was -- to the left was limited by 25 percent and
2 reproduced some upper cervical trap pain, and
3 rotation to the right was limited by 75 percent
4 and caused severe pain through the right upper
5 extremity.

6 Q. Okay. Doctor, do you have an opinion upon on
7 reasonable medical certainty as to whether those
8 limitations on the range of motion in Kim
9 Richley's cervical spine are permanent?

10 MR. REGNIER: Objection.

11 A. Yes, I do have an opinion.

12 Q. And what is your opinion?

13 A. My opinion is based on a reasonable degree of
14 medical certainty the conditions of Kim Richley's
15 cervical spine rotation is permanent.

16 Q. Did you review the EMG findings?

17 A. Yes, I did review --

18 MR. RUF: Let's stop one second,
19 Doctor.

20 VIDEOGRAPHER: We're off the
21 record.

22 - - - -

23 (Thereupon, a discussion was had off
24 the record.)

25 - - - -

1 VIDEOGRAPHER: Back on the record.

2 Q. Doctor, did you review the EMG findings?

3 A. Yes, I did review the EMG findings.

4 Q. What's an EMG?

5 A. EMG is a fancy term for electromyogram. What it
6 does is it's usually done -- it's actually -- EMG
7 is sort of the generic term, it usually refers to
8 EMG and nerve conduction studies meaning you
9 attach little electrodes to the nerves that run
10 through whatever you're measuring, the arms or
11 the legs, referring pain to the back or the
12 neck. But let's take an example. You're going
13 to do it on the arm and they attach little
14 electrodes to see that the nerves conduct the
15 electricity that we normally use normally and
16 then it tests the muscles that are innervated by
17 the nerve, so where a member of the jury might
18 have seen or heard about it as you're testing for
19 a carpal tunnel. They'll do EMG's and see that
20 the way the blockage and the way the nerve works
21 is in the wrist and they'll test the muscles in
22 which the nerve involved in carpal tunnel goes to
23 the hand, the muscles that are affected when that
24 nerve isn't working, and that gives you an idea
25 of there is classically a nerve injury or there

1 is something wrong with the muscles.

2 Q. What was the date of the EMG?

3 A. Date of the EMG was March 2nd, 2004.

4 Q. And were there any significant findings with the
5 EMG?

6 MR. REGNIER: Objection.

7 A. Yes, there were some significant findings.

8 Q. Okay. What were those?

9 MR. REGNIER: Objection.

10 A. The significant findings were as it says it's
11 summarized under impression, some ongoing
12 evidence of chronic nerve damage but
13 reinnervation is now noted. Findings are
14 consistent with some motor recovery from the
15 previous injuries and no signs of ongoing acute
16 deinnervation. In English she has a nerve that's
17 regenerating and trying to heal itself. She also
18 had bilateral carpal tunnel syndrome which is
19 part two of that. And for the record that has
20 nothing to do with her neck injury.

21 Q. Was that the nerve that the bone was pushing on
22 that you removed?

23 A. Yes, sir, that was the right C7th nerve.

24 Q. In your experience based on the conditions that
25 we went over would those limit Kim Richley's

1 ability to work and perform normal activities of
2 daily living?

3 A. Yes.

4 Q. Do you have an opinion based upon a reasonable
5 medical certainty if her condition limits her
6 ability to work and perform normal activities of
7 daily living whether those limitations are
8 permanent?

9 MR. REGNIER: Objection.

10 A. Yes, I do have an opinion.

11 Q. And what is your opinion?

12 A. My opinion is that this type of injury would
13 preclude her from regular employment and curtail
14 some of her activities of daily living.

15 Q. Are you aware of any medical condition in Kim
16 Richley which would shorten her life expectancy?

17 A. I am not aware of any condition -- medical
18 condition in Kim Richley that would shorten her
19 life expectancy.

20 Q. Are you familiar with medical treatments to the
21 cervical spine?

22 A. Yes, I am.

23 Q. Is there any medical basis for performing a
24 cervical manipulation for a wrist sprain strain?

25 MR. REGNIER: Objection.

1 A. I know of no indication for performing a cervical
2 manipulation for a wrist sprain strain.

3 Q. Is an indication the same as medical basis?

4 A. Yes, sir. It's -- you don't -- for the jury's
5 sake, an indication is if your left ankle hurts
6 you don't -- a bad indication would be you don't
7 X-ray the right ankle, you X-ray the ankle that
8 hurts. The indication is you're doing something
9 to deal with the symptom and the complaint.

10 Q. Do you have an opinion based on reasonable
11 medical certainty as to whether the acceptable
12 standard of practice in treating the cervical
13 spine requires an indication for the treatment?

14 MR. REGNIER: Objection.

15 A. Yes, I do have an opinion.

16 Q. And what's your opinion?

17 MR. REGNIER: Objection.

18 A. My opinion is any medical therapy including one
19 for the cervical spine needs an indication.

20 Q. Do you have an opinion based on a reasonable
21 medical certainty as to whether the Chiropractor
22 Daren Reichenbach deviated from acceptable
23 medical practice in treating the spine?

24 MR. REGNIER: Objection.

25 A. Yes, I do have an opinion.

1 Q. And what is that opinion?

2 MR. REGNIER: Objection.

3 A. My opinion is based on a reasonable degree of
4 medical certainty that Dr. Reichenbach's
5 treatment exceeded the normal care.

6 Q. And why is that?

7 MR. REGNIER: Objection.

8 A. It's my opinion because I see dozens if not
9 hundreds of patients who have been seen by a
10 chiropractor, I have sent some of my own patients
11 to chiropractors, I have never -- and I have
12 never seen a spine fracture.

13 Q. Do you have an opinion as to whether
14 Dr. Reichenbach used too much force in
15 manipulating Kim Richley's neck?

16 MR. REGNIER: Objection.

17 A. Yes, I do have an opinion.

18 Q. And what's that opinion?

19 MR. REGNIER: Objection.

20 A. My opinion based on reasonable medical certainty
21 is that he exceeded the normal force. You have a
22 fracture.

23 Q. Do you have an opinion as to whether
24 Dr. Reichenbach deviated from acceptable practice
25 for a physician or doctor treating the spine by

1 not having an indication for performing a
2 manipulation?

3 MR. REGNIER: Objection.

4 A. Yes, I do have an opinion.

5 Q. And what is the opinion?

6 MR. REGNIER: Objection.

7 A. My opinion is you have to have an indication for
8 your treatment and cervical spine, leg, doesn't
9 matter. You treat the cervical spine you must
10 have an indication related to the cervical
11 spine.

12 Q. Do you have an opinion based on reasonable
13 medical certainty as to whether Dr. Reichenbach's
14 deviations from acceptable practice in treating
15 the cervical spine proximately resulted in Kim
16 Richley's facet fracture?

17 MR. REGNIER: Objection.

18 A. Yes, I do have an opinion.

19 Q. And what's your opinion?

20 MR. REGNIER: Objection.

21 A. My opinion is is that the nonindicated
22 manipulation proximately caused the facet
23 fracture.

24 Q. Have you reviewed Plaintiff's Exhibit 16?

25 A. Yes, I have.

1 Q. What is Plaintiff's Exhibit 16?

2 A. Plaintiff's Exhibit 16 is an audit of medical
3 expenses on Kimberly J. Richley which this
4 updated version is dated October 4th, 2004.

5 Q. And what's the total amount of medical bills?

6 A. \$33,287.51.

7 Q. Do you have an opinion based on reasonable
8 medical certainty as to whether the expenses of
9 \$33,287.51 were the proximate result of the
10 fracture in Kim Richley's cervical spine?

11 A. Yes, I do have an opinion.

12 Q. And what is your opinion?

13 A. My opinion is based on a reasonable degree of
14 medical certainty the expenses of \$33,000 plus
15 are proximately related to the fracture of Kim
16 Richley's spine.

17 Q. Are those fair and reasonable expenses for the
18 surgery that Kim Richley went through and
19 subsequent medical treatment?

20 A. It is fair and reasonable practice -- fair and
21 reasonable expenses for what it costs to take
22 care of this in 2002 or 2003 or 2004.

23 Q. Approximately what range is there?

24 A. As I stated in deposition previously, a ball-park
25 figure for this type of injury would be 25 to

1 \$40,000.

2 Q. If Kim Richley felt a shattering sensation, as
3 you described, at the time of the chiropractic
4 manipulation on 10/21/02 would that be consistent
5 or inconsistent with a facet fracture occurring
6 at that point?

7 A. It would be consistent.

8 Q. What about a soreness described as a toothache
9 type pain?

10 A. It would be consistent.

11 Q. What about an increase in pain over time which
12 resulted in incapacitating pain and weakness the
13 next morning on the 22nd?

14 A. It would be consistent.

15 Q. Has it been your experience that when somebody
16 sustains a facet fracture they have immediate
17 intense pain?

18 A. They have immediate pain. They often times tells
19 me the pain gets worse afterwards.

20 Q. Is there a medical explanation for why the pain
21 would get worse over time?

22 A. There is a fancy medical explanation called acute
23 phase reactance that would confuse the jury. The
24 easy way for them to think about it is there is
25 the release of chemicals from the injured tissue

1 that cause inflammation, pain and swelling. So
2 an example the jury might know is years ago
3 Bernie Kosar fractured his ankle and played the
4 whole second half on it and threw two touchdown
5 passes and they almost pulled out a game against
6 Miami. He was out for the next six weeks, but it
7 occurs and it's not unusual for people to have
8 injuries and deal with them and then it gets
9 worse as time -- over the next hours and days.

10 Q. So why does it get worse?

11 A. The fancy term is acute phase reactance. What it
12 is in English you get swelling. You sprain an
13 ankle at 3:00 in the afternoon, it doesn't hurt
14 until -- it hurts a little bit. You get up in
15 the morning and it feels like you can't walk on
16 it. There is injured tissue sets off certain
17 chemicals. There is breakdown of the tissue
18 around it. There is swelling. There is
19 inflammation. And there is literally pain
20 chemicals that are released to prevent you from
21 moving that injured area.

22 Q. I'm showing you what's been marked as Plaintiff's
23 Exhibit 14, could you identify that document?

24 A. Plaintiff's Exhibit 14 is my letter to you, Mark
25 Ruf, concerning a medical opinion about Kimberly

1 Richley dated January 10th, 2004.

2 Q. Was that prepared in the regular course of your
3 medical practice?

4 MR. REGNIER: Objection.

5 A. Yes, sir.

6 Q. Do you consider that document to be part of your
7 medical chart?

8 A. It is kept in my medical chart, yes, sir.

9 Q. What do you charge for a deposition?

10 A. A thousand bucks and some an hour.

11 Q. And what do you do with the money?

12 A. All money I make in medical deposition and in
13 filling out medical reports is donated to charity
14 every year for the last 20 years.

15 Q. Doctor, I want to go over a few more of your
16 credentials.

17 Where did you go to medical school?

18 A. I went to Harvard Medical School in Boston,
19 Massachusetts. Graduated in June of 1974.

20 Q. What is your position here at Metro with respect
21 to the department of neurosurgery?

22 A. I'm the director of neurosurgery at MetroHealth
23 Medical Center.

24 Q. What's the director? Are you head of the
25 neurosurgery division?

1 A. Yes, sir.

2 Q. Have you been chief of neurosurgery at a hospital
3 in Cincinnati?

4 A. I was chief of neurosurgery at Cincinnati
5 Veterans Hospital for a short time in 1982.

6 Q. Have you been a professor of neurosurgery?

7 A. I'm an associate professor of neurosurgery at
8 Case Western Reserve Medical School.

9 Q. And how long have you been doing that?

10 A. I have been -- I was an assistant professor at
11 the University of Cincinnati from 1980 to 1982.
12 I was an assistant professor at Case from 1982 to
13 1989 or '90, and then in 1989 or '90 I became
14 associate professor of neurological surgery at
15 Case Western Reserve and have been so since then.

16 Q. Are you the member of any professional societies?

17 A. I belong to multiple professional societies
18 including the American Association of
19 Neurological Surgeons, the Congress of
20 Neurological Surgeons, the American Association
21 for the Surgery of Trauma, the AMA, the Ohio
22 State Medical Society. When you get to my
23 advanced stage of decline you belong to many of
24 them.

25 Q. Have you been on hospital committees?

1 A. Yes, sir. I have been on the OR committee, the
2 quality care committee, the trauma committee, the
3 trauma quality assurance committee, the tissue
4 committee, the laser committee, et cetera, et
5 cetera.

6 Q. Have you received any awards as a physician?

7 A. Well, I was fortunate enough to get a -- a
8 scholarship to Harvard Medical School and I was
9 fortunate enough to be nominated for a Rhodes
10 scholarship out of college. I didn't get it.
11 And I was fortunate enough to be named
12 St. Ignatius High School alumnus of the year in
13 1989 or '88, '98, '98, I'm sorry.

14 Q. Have you published medical publications and
15 chapters in medical books?

16 A. Yes, sir.

17 Q. Have you published on the subject of pain
18 management?

19 A. Yes, sir.

20 Q. Have you published on the subject of spinal
21 trauma?

22 A. Yes, sir.

23 Q. Have you published on the subject of fractures?

24 A. Of spinal fractures, yes, sir.

25 Q. And do you have a publication that specifically

1 relates to cervical fractures and subluxation?

2 A. Yes, sir.

3 Q. Which publication number is that?

4 A. It's publication number 10 with Harrington,
5 Dr. Fred Harrington, Dr. Allison Smith and myself
6 which was disk herniation and cervical fracture
7 subluxation which was published in neurosurgery
8 in 1991.

9 MR. RUF: Let's go off the record
10 for one minute.

11 VIDEOGRAPHER: We're off the
12 record.

13 - - - -

14 (Thereupon, a discussion was had off
15 the record.)

16 - - - -

17 VIDEOGRAPHER: We're back on the
18 record.

19 Q. Doctor, I'm handing you what's been marked as
20 Plaintiff's Exhibit 12, is that part of the Metro
21 chart?

22 A. This is a copy of --

23 Q. I'm sorry, it's 12-A?

24 A. 12-A, this is a copy of the trauma discharge
25 orders progress note on Kimberly Richley dated

1 10/23/2002.

2 Q. Could you read into the record the section about
3 cause?

4 MR. REGNIER: Objection.

5 Go ahead, Doctor.

6 A. Cause of injury, I think it's blank -- it's
7 typical medical scribble, year old female with
8 sharp pain, paresthesias after manipulation.

9 Q. Okay. I'm handing you what's been marked as
10 12-B, is that part of the Metro chart?

11 A. Yes, it is. This is dated 10/22/02 and this is
12 the trauma flow sheet. This is part of the
13 medical record that is kept in the -- started in
14 the emergency department when anybody with a
15 trauma arrives.

16 Q. Okay. And could you read the highlighted
17 portion?

18 MR. REGNIER: Objection.

19 A. It says prehospital summary, 39-year-old female,
20 6-7 disk location with numbness in right upper
21 extremity after chiropractic manipulation
22 yesterday.

23 Q. Okay. Let me hand you what's been marked as
24 12-C, is that part of the Metro chart?

25 A. Yes, it is. This is a note 12-C from 10/22/02

1 and it's part of the -- this is the attending
2 note on -- in the emergency room on Kimberly
3 Richley.

4 Q. Could you read the highlighted portion, please?

5 MR. REGNIER: Objection.

6 A. It says patient with chiropractic yesterday felt
7 pain post manipulation, paresthesias continued
8 and went to bed, woke up with severe neck pain,
9 no -- and numbness and tingling to right second
10 to fourth fingers. Alert, comfortable. Head
11 with -- I can't read -- head ET at (inaudible).

12 Q. Okay. I'm handing you what's been marked as
13 12-F, is that part of the Metro chart?

14 A. Yes, it is.

15 Q. And what's that document?

16 A. It says -- it's a copy of the X-ray report
17 generated as to the official reading of the CT of
18 the cervical spine without contrast, so those
19 were the pictures we looked at previously.

20 Q. Could you read the highlighted portion?

21 MR. REGNIER: Objection.

22 A. It says history, C6-7 dislocation and with
23 numbness and upper extremities, chiropractic --
24 excuse me.

25 It says, to repeat before my two sneezes,

1 history C6-7 dislocation with numbness in upper
2 extremities, chiropractor dislocation.

3 Q. Is it your understanding that it was the
4 consensus of the physicians at Metro that this
5 injury was caused by the chiropractic
6 manipulation?

7 MR. REGNIER: Objection.

8 A. It is my understanding that the physicians at
9 Metro thought the fracture was caused by the
10 chiropractic manipulation.

11 Q. Are you aware of any note in the Metro chart that
12 relates Kim Richley's fracture to a seizure?

13 A. I know of no note in the Metro chart that relates
14 Kim Richley's fracture to a seizure.

15 MR. RUF: Okay. Thank you, Doctor.
16 That's all I have. I'm sure Mr. Regnier has
17 some questions for you.

18 VIDEOGRAPHER: We're off the
19 record.

20 - - - -

21 (Thereupon, a discussion was had off
22 the record.)

23 - - - -

24 VIDEOGRAPHER: We're back on the
25 record.

1 CROSS-EXAMINATION OF MATT J. LIKAVEC, M.D.

2 BY MR. REGNIER:

3 Q. Good afternoon, Doctor.

4 You've treated over a hundred facet
5 fractures, correct?

6 A. Correct.

7 Q. And in that time you have never seen a facet
8 fracture caused by chiropractic manipulation,
9 correct?

10 A. Yes.

11 Q. In fact, you have never heard of a chiropractor
12 manipulation causing a facet fracture, correct?

13 A. Correct.

14 Q. If -- in fact, you've described it, you said if a
15 manipulation -- chiropractic manipulation caused
16 a fracture it would be distinctly unusual,
17 wouldn't it?

18 A. Yes, sir.

19 Q. In fact, you said you would find it to be a very,
20 very unusual circumstance, wouldn't you?

21 A. Yes, sir.

22 Q. The most common cause of the facet fractures you
23 see are motor vehicle accidents, aren't they?

24 A. Correct.

25 Q. And it happens when the person -- the patient's

1 head is rapidly decelerated, is that correct?

2 A. Yes, sir.

3 Q. Now transverse process fractures can be caused
4 differently, can't they?

5 A. Yes, sir.

6 Q. They're usually caused by direct blows, aren't
7 they?

8 A. Yes, sir.

9 Q. The most common way that you have described
10 seeing a transverse process fracture is somebody
11 getting hit with a pipe, that's one way, correct?

12 A. Yes, sir.

13 Q. Another is a blow to the chest, is that right?

14 A. Yes, sir.

15 Q. And another is an acute deceleration when they're
16 thrown out of a car and smacked their back, is
17 that correct?

18 A. Yes, sir.

19 Q. Or when someone lands severely on their back, is
20 that right?

21 A. Yes, sir.

22 Q. Significant force is required to cause either of
23 those sorts of injuries, correct?

24 A. Yes, sir.

25 Q. The model you have, Doctor, that you have been

1 showing to the jury, the one in your hands,
2 that's looser than a normal spine is, isn't it?

3 A. Oh, yes, so you can -- I can demonstrate.

4 Q. That's right. The jury shouldn't assume that the
5 spine moves that easily as that model does,
6 correct?

7 A. That's absolutely correct.

8 Q. Okay. For that reason because it's difficult to
9 move the spine you've never seen a facet fracture
10 subluxation with what you call more minor trauma
11 like a cough or a sneeze, correct?

12 A. Correct.

13 Q. And that's assuming that there is no underlining
14 pathology that softens someone's bones, correct?

15 A. Correct.

16 Q. You have said it's always something like a fall
17 or an auto accident or a motorcycle accident
18 correct?

19 A. That's my experience, yes, sir.

20 Q. Okay. And the most common -- the reason that's
21 the most common mechanism is because it requires
22 something violent like an auto accident because
23 the force is so big that your muscles and
24 ligaments can't protect your neck, correct?

25 A. Yes, sir.

1 Q. In your experience as a physician people
2 subjected to a violent force like that normally
3 cry out, don't they, if they're conscious?

4 A. Well, I'm never there and I don't know.

5 Q. Well, wouldn't you assume they'd at least have a
6 change in facial expression if they were
7 subjected to violent force like that, Doctor?

8 A. I would expect that they would have a change of
9 facial expression.

10 Q. And you would expect that they would complain and
11 they would say ouch, correct?

12 A. I would expect so, yes, sir.

13 Q. In fact, Doctor, it's your opinion that you can't
14 have an acute fracture of the facet without pain,
15 correct?

16 A. Yes, sir.

17 Q. And you would expect it to be painful at the time
18 the fracture happened, wouldn't you?

19 A. Yes, sir.

20 Q. And, Doctor, you have seen falls caused facet
21 fractures, correct?

22 A. Yes, sir.

23 Q. And it is your opinion that you have heard of
24 intense clenching of muscles causing transverse
25 process fractures, haven't you?

1 A. Yes, sir.

2 Q. And you have testified that it's been reported
3 that a seizure can cause a transverse process
4 fracture, hasn't it?

5 A. Yes, sir.

6 Q. Now, Doctor, you are not a chiropractor, are you?

7 A. No, sir.

8 Q. You did not graduate from chiropractic school,
9 did you?

10 A. No, sir.

11 Q. You did not pass a chiropractic licensing
12 examination, did you?

13 A. That is correct.

14 Q. You have not taken any courses in chiropractic,
15 is that correct?

16 A. That is correct.

17 Q. Spinal manipulation is not part of your practice
18 as a neurosurgeon, is it?

19 A. That is correct.

20 Q. Neurosurgeons do not in general perform spinal
21 manipulations, do they?

22 A. Not to my knowledge, sir.

23 Q. Neurosurgeons are not trained in spinal
24 manipulations, correct?

25 A. Not from any of the residencies I know of, sir.

1 Q. Okay. Doctor, you have never even seen a
2 cervical manipulation in your professional life,
3 is that correct?

4 A. That's correct.

5 Q. The only time you have said you have ever seen a
6 cervical manipulation is in the movies, correct?

7 A. Correct.

8 Q. And you said you maybe saw it in a Billy Crystal
9 movie or something like that?

10 A. Yes.

11 Q. Correct?

12 A. Yes, sir.

13 Q. You have no way of knowing whether a Billy
14 Crystal movie accurately portrays a chiropractic
15 manipulation, do you?

16 A. I would assume it didn't.

17 Q. Doctor, you don't know how Dr. Reichenbach
18 manipulated Kimberly Richley, do you?

19 A. No, sir.

20 Q. You do not know the name of the chiropractic
21 technique he used, do you?

22 A. I think I read it in his deposition, but I don't
23 remember what the fancy term was.

24 Q. Well, Doctor, you weren't given all of
25 Dr. Reichenbach's --

1 A. No, I was not.

2 Q. -- deposition, were you?

3 A. I was not. But the part I read I thought it was
4 referred to, but I may be mistaken.

5 Q. You do not recall the name of that technique?

6 A. I don't recall the name of that technique, sir.

7 Q. You do not know how that technique is performed,
8 do you?

9 A. No, I do not.

10 Q. You do not know how to do that technique
11 properly, correct?

12 A. You're absolutely correct.

13 Q. And you are not telling the jury that you know
14 how to perform that chiropractic technique,
15 correct?

16 A. No, sir, I am not telling the jury that.

17 Q. You have not reviewed Dr. Reichenbach's records,
18 have you?

19 A. No, sir.

20 Q. You have not reviewed all of his deposition, have
21 you?

22 A. I have reviewed part of his deposition, sir.

23 Q. But you have not reviewed all of it?

24 A. Yes, that's correct.

25 Q. You have not reviewed all of Kimberly Richley's

1 deposition, have you?

2 A. No, I don't think so.

3 Q. Okay. Now although you have no chiropractic
4 experience you mentioned you have sent patients
5 to chiropractors before, haven't you?

6 A. Yes, sir, and I get patients from chiropractors.

7 Q. And you have testified that their treatment seems
8 to help some of your patients, is that correct?

9 A. Yes, sir.

10 Q. Chiropractic has an accepted place in the medical
11 pantheon, doesn't it?

12 A. Depending on which member of the union you talk
13 to, yes.

14 Q. Okay. In your opinion?

15 A. Yes, sir.

16 Q. Okay. Doctor, your opinion that
17 Dr. Reichenbach's chiropractic adjustment caused
18 Kim Richley's fractures is based in large part on
19 the history that Kimberly Richley gave you,
20 correct?

21 A. Correct.

22 Q. And it is based on an assumption that the history
23 she gave you is accurate, correct?

24 A. Correct.

25 Q. Doctor, just so the jury is clear, I want to make

1 clear the injuries Kim Richley does not have.

2 She did not have an injury to her spinal cord,

3 correct?

4 A. That is correct.

5 Q. People hear of broken necks, she did not -- was

6 not paralyzed in any way, correct?

7 A. No, she was not paralyzed. She had some weakness

8 of that right arm.

9 Q. Of her right, correct arm?

10 A. Correct.

11 Q. And that stemmed from the C7 nerve root not the

12 spinal cord itself, correct?

13 A. That's correct.

14 Q. She did not have an injury to her blood vessels,

15 correct?

16 A. Correct.

17 Q. She did not have a herniated disk, correct?

18 A. Correct.

19 Q. You've shown the jury she had a broken facet on

20 C7, correct?

21 A. Correct.

22 Q. And the model's a little confusing. You drew a

23 line on C6 but there was no fracture on C6, was

24 there?

25 A. No. I drew the line on C6 because the part of C7

1 is covered up and like this is too loose, that's
2 too tight.

3 Q. Okay. It was just to show the level at which C7
4 was fractured, is that right?

5 A. Yes, sir. Yes, sir.

6 Q. Okay. You also mentioned that she had a fracture
7 of the transverse process, is that correct?

8 A. Correct.

9 Q. Now when I deposed you a couple weeks ago,
10 Doctor, you had that model in front of you at
11 that deposition, correct?

12 A. No, I had a different one. I had a whiter one
13 that was a little stiffer.

14 Q. Is it your testimony that those lines that are
15 drawn on that model aren't the ones you drew
16 three weeks ago?

17 A. I thought I used the other one by memory. I
18 don't know. I got about seven of these, so in
19 all honesty, Mr. Regnier, I mean, it may be, but
20 there is a white one in my office and there is
21 two others, but it may be -- it may be the same
22 one.

23 Q. Well let me ask you, this, Doctor --

24 A. I'm not trying --

25 Q. That's okay. On the left side of that model

1 there are lines that indicate where you attempted
2 to put a plate in Kim Richley, correct?

3 A. It may have been in Kim, it may have been in
4 somebody else.

5 Q. Okay. You always have lines here that indicate
6 where the metal guide wire was placed, correct?

7 A. I believe you. By my memory, and I don't have it
8 in front of me and we didn't do a visual
9 deposition, I thought it was the other one, but I
10 may be wrong.

11 Q. I'm just asking because you don't remember,
12 Doctor --

13 A. Right.

14 Q. -- I'm asking whether the marks there are
15 consistent with the procedure and things that
16 happened?

17 A. Oh, yes. Yes, sir.

18 Q. And right here on the right there is a square
19 that shows where you would have cut out the bone
20 for Kim Richley, is that correct?

21 A. Right here, yes.

22 Q. Yes, sir. Right there?

23 A. No, it starts here to get at this area just so
24 we're all -- I'd start here because you need a
25 hole and then this crack goes like this.

1 Q. Well, Doctor, if you will stop just a second.

2 The other things we've talked about were
3 consistent with the procedure for Kim Richley,
4 correct?

5 A. Correct.

6 Q. Now, Doctor, before you drew that line across
7 there there was also a line here and a line there
8 on the transverse process, correct?

9 A. Correct.

10 Q. And those two lines don't touch each other, do
11 they?

12 A. They don't touch on the way I drew them then,
13 no.

14 Q. Okay. Thanks.

15 Doctor, as a -- you're not concerned with a
16 transverse process fracture as a neurosurgeon,
17 correct?

18 A. Correct.

19 Q. A transverse process fracture does not cause a
20 nerve root injury, is that right?

21 A. It can happen but it's very, very, very, very,
22 very, very rare and it didn't happen in Kimberly.

23 Q. You mentioned what happens with a transverse
24 process fracture is that all that happens is
25 somebody has got a sore back and it goes away in

1 two to three weeks?

2 A. If it's done on the back. If it's in the neck,
3 it's a sore neck. If it's in the rib cage, it's
4 sore ribs and it goes away over two to three
5 weeks.

6 Q. And you mentioned Kim Richley had a Grade I
7 subluxation, is that right?

8 A. Correct.

9 Q. And there are four levels of subluxations,
10 correct?

11 A. Correct.

12 Q. And that goes from Grade I to Grade IV, right?

13 A. Correct.

14 Q. And in Grade I is the least severe and Grade IV
15 is the most severe, is that accurate?

16 A. That is accurate.

17 Q. And, Doctor, once two vertebrae are subluxed or
18 there is a subluxation it normally in most cases
19 stays that way, doesn't it?

20 A. Yes, sir.

21 Q. It requires your surgical intervention to fix it,
22 correct?

23 A. My surgical intervention or as I stated on record
24 sometimes with traction you can pull it back.

25 Q. Now, Doctor, in this case you said that the

1 fractured part of the facet was pushing on the C7
2 nerve root, correct?

3 A. That's what I visualized, yes, sir.

4 Q. Okay. And as you mentioned that's the nerve that
5 goes in the upper right part of your arm,
6 correct?

7 A. It goes upper right part -- well, on the right
8 side it goes to the right upper part of the arm
9 and all the way down the arm to the fingers.

10 Q. Okay. Forgive me, it goes on either side but
11 with Kim we're talking about the right side,
12 correct?

13 A. Correct.

14 Q. Now you saw Kim Richley for this injury on
15 October 23rd, 2002, correct?

16 A. I saw her on October 22nd for the first time.

17 Q. Okay.

18 A. I saw her on October 23rd and then once in
19 between and then on the day of surgery.

20 Q. Okay. You recommended to Mrs. Richley that she
21 have surgery right away, didn't you?

22 A. Yes, sir.

23 Q. That would have been on October 22nd or 23rd, is
24 that right?

25 A. I talked to her on the 22nd so it would have been

1 -- we would have done it on the 23rd or 24th
2 normally.

3 Q. And you advised her to have that surgery right
4 away because there was a bone pressing on her C7
5 nerve, correct?

6 A. Correct.

7 Q. And you explained in great detail the delay may
8 lead to further neurological injury, correct?

9 A. Correct.

10 Q. But she insisted on going home against your
11 advice, correct?

12 A. Correct.

13 Q. And you explained to her that you didn't think
14 that was the wisest decision, didn't you?

15 A. Yes, sir.

16 Q. But she told you that she didn't care, didn't
17 she?

18 A. That's what she told.

19 Q. And so she did not have surgery until October
20 31st, 2002, correct?

21 A. Correct.

22 Q. So the bone fragment that you found at surgery
23 pressed on her C7 nerve for an additional eight
24 days due to her refusal to have the surgery,
25 correct?

1 A. Correct.

2 Q. And having a bone fragment press on your nerve
3 for eight days can cause additional injury to
4 that nerve, can't it?

5 A. Yes, sir.

6 Q. And in this case it may have contributed to some
7 of her arm pain after the operation, couldn't it?

8 A. Yes, sir.

9 Q. Doctor, on October 22nd and 23rd you've mentioned
10 you used several films to diagnose Kimberly
11 Richley's injury, correct?

12 A. Yes, sir.

13 Q. The fractures were diagnosed at the hospital with
14 the CT scan, correct?

15 A. Correct.

16 Q. The X-rays taken at the hospital on October 22nd
17 did not show the fracture, did they?

18 A. As I said, there is a suggestion of it, but you
19 need special views which were not done to
20 demonstrate the fracture.

21 Q. So the answer is they did not demonstrate the
22 fracture, correct?

23 A. Not clearly.

24 Q. And the report didn't say that there was a
25 fracture, right?

1 A. That's correct. Correct. That's correct.

2 Q. Doctor, after Mrs. Richley left the hospital on
3 October 23rd against your advice she returned to
4 your office on October 25 for an appointment,
5 correct?

6 A. That's correct.

7 Q. And at that time you took a history from her, did
8 you not?

9 A. Yes, sir.

10 Q. And at that time she clearly told you that she
11 was pretty good until Tuesday morning, didn't
12 she?

13 A. Yes.

14 Q. And she told you, Doctor, that she woke up with
15 stabbing pain in her right arm or shoulder that
16 morning on Tuesday, October 22nd, didn't she?

17 A. Yes, sir.

18 Q. And you asked her about an inciting episode,
19 didn't you?

20 A. Yes, sir.

21 Q. And all she could think of was that she fell at
22 work on August 21, 2002, correct?

23 A. I need to look at my note.

24 MR. RUF: Objection.

25 Q. Doctor, if you would like to look at your October

1 25, 2002 note.

2 A. Yes. Okay. Got it.

3 Q. Do you have it?

4 Okay, Doctor, if you look at the third
5 paragraph it first says the patient clearly tells
6 me that she was pretty good until Tuesday morning
7 and at that time she woke up with stabbing pain
8 going into her right arm or shoulder. And then
9 your note says when asked about any inciting
10 episode the only thing she could think of was
11 that she fell at work on August 21st landing on
12 her buttocks and her hands. Did she tell you
13 that that day, Doctor?

14 A. Yes, sir.

15 Q. Doctor, she also told you that day that she had
16 some tightness in her neck, did she not?

17 A. Yes, sir.

18 Q. And she told you that the tightness in her neck
19 went all the way back to when she hurt her jaw,
20 correct?

21 A. Yes, sir.

22 Q. And that was in the late '90s, wasn't it?

23 A. Yes, sir.

24 Q. And, Doctor, she specifically told you, if you
25 look at the bottom of that paragraph, she told

1 you that the patient tells me she had nothing
2 near like this until this Tuesday morning, didn't
3 she?

4 A. Yes, sir.

5 Q. Now, Doctor, you performed the operation on
6 Kimberly Richley on October 31st, 2002, correct?

7 A. Correct.

8 Q. In the history of your operative note she told
9 you or your resident that she has had soreness in
10 her neck for the last few weeks, didn't she?

11 A. Yes.

12 Q. Doctor, you ran into a small complication during
13 the operative procedure, didn't you?

14 A. Yes, sir.

15 Q. You attempted to put a plate on the left side of
16 her vertebrae, correct?

17 A. Yes, sir.

18 Q. But when you did that the screw stripped out,
19 correct?

20 A. Yes, sir.

21 Q. And a second screw kicked back, correct?

22 A. Yes, sir.

23 Q. And you were unable to place that plate, weren't
24 you?

25 A. Unable to place it safely, yes, sir.

1 Q. Yes, sir.

2 And in your operative note at that time you
3 stated that one reason was that Kim Richley was a
4 heavy smoker and her bone was soft, correct?

5 A. I comment that it is -- yes. She's a heavy
6 smoker and her bone was soft.

7 Q. That comment appears in your operative note,
8 correct?

9 A. Yes, sir.

10 Q. And that was the finding that you had when you
11 were performing the operation, correct?

12 A. Yes, sir.

13 Q. So you decided not to try a bigger screw to
14 attach the plate, correct?

15 A. Yes, sir.

16 Q. But nonetheless in your view the operation was
17 successful, correct?

18 A. Yes, sir.

19 Q. The joint was stable when you were done, right?

20 A. Yes, sir.

21 Q. And you were satisfied with the stability of the
22 joint, correct?

23 A. Yes, sir.

24 Q. Now, Doctor, it's important that when there is a
25 fracture that it be immobilized, correct?

1 A. Yes, sir.

2 Q. If it is not immobilized healing will be very
3 difficult, won't it?

4 A. Yes, sir.

5 Q. Now, Doctor, after the surgery over the next few
6 months in November and December of 2002 in your
7 opinion Kim Richley had a typical recovery from
8 this sort of operation, didn't she?

9 A. Yes.

10 Q. You last saw her and examined her on January 29,
11 2003, is that correct?

12 A. That's correct.

13 Q. At that time, Doctor, she told you that her hands
14 are better, didn't she?

15 A. Yes, sir.

16 Q. Would you like to look at your note, Doctor?

17 A. Yes, sir.

18 For the jury's sake, this is typical
19 doctor's chart. Okay. Where is it, genius?

20 MR. RUF: Do you want to go off the
21 record?

22 A. I know it's here.

23 MR. RUF: Let's go off the record.

24 MR. REGNIER: You can leave it on
25 the record.

1 A. October 25th. I know we saw it. Oh, come on.

2 Q. Doctor, let me assist you. Here's what I will
3 represent to you is your January 29, 2003
4 treatment note, if you would like to look at that
5 and confirm that that is, in fact, the case?

6 A. That's mine. Oh, you said 22, I got it right
7 here in front of me. I'm sorry.

8 Q. Do you have it, Doctor?

9 A. Yes, I'm sorry, I heard you wrong. I have it. I
10 have the same note, the exact same note.

11 Q. Doctor, the last time you saw and examined
12 Kimberly -- Mrs. Richley was on January 29, 2003,
13 correct?

14 A. Correct.

15 Q. And at that time it had been about three months
16 since her operation, right?

17 A. Correct.

18 Q. She told you on January 29, 2003 that her hands
19 are better, didn't she?

20 A. Yes.

21 Q. She told you that her strength was back to
22 normal, didn't she?

23 A. Yes, sir.

24 Q. She stated she was very happy, didn't she?

25 A. Yes, sir.

1 Q. Doctor, when you examined her you found that the
2 strength in her upper extremities is excellent,
3 didn't you?

4 A. Yes, sir.

5 Q. You, Doctor, could not appreciate any weakness of
6 her biceps, triceps or grip on January 29, 2003,
7 could you?

8 A. That's correct.

9 Q. You discharged her on that day, correct?

10 A. Yes, sir.

11 Q. And you told her that she could return on an as
12 needed basis, correct?

13 A. That's correct.

14 Q. And since that time you have not had an
15 appointment with Kimberly Richley, correct?

16 A. That's correct. I believe I had one phone call
17 from her.

18 Q. That's right. And if she had wanted to come and
19 see you you would have been more than happy to do
20 so, correct?

21 A. Yes, sir.

22 Q. Doctor, with a C6-C7 fusion, and that's the
23 surgery you performed, right?

24 A. Yes, sir, decompression and fusion.

25 Q. Thank you, sir.

1 You -- you fuse and make it so that C6 and
2 C7 cannot move anymore, correct?

3 A. They move as a unit.

4 Q. Okay. Doctor, with a C6-C7 fusion you lose very
5 little movement in your neck, correct?

6 A. Yes, sir.

7 Q. It's correct that you don't lose any movement?

8 A. It's correct that you lose very little movement.

9 Q. Thank you.

10 Typically you might lose three to five
11 degrees of range of motion, correct?

12 A. That's my experience, yes, sir.

13 Q. And one way you've explained that so the jury can
14 understand it is if you could normally touch your
15 chin to your chest you can almost touch your chin
16 to your chest after the fusion, is that correct?

17 A. That's correct.

18 Q. Doctor, when you testify you rarely testify for
19 defendant physicians, is that correct?

20 A. No, I have testified for defendant physicians.

21 Q. I said rarely, Doctor.

22 A. Out of all my -- well, defendant physicians
23 implies malpractice cases?

24 Q. Yes.

25 A. I have testified for defendant physicians, yes,

1 sir.

2 Q. Right, but what I asked is whether that's rare,
3 Doctor?

4 A. For malpractice is rare for my most of my
5 depositions. Most of mine are personal injury
6 because of where I work.

7 Q. Doctor, when I deposed you several weeks ago I
8 asked you if you testified primarily as a
9 treating physician --

10 MR. RUF: Can we have a page and
11 line number, please.

12 MR. REGNIER: Sure can. It's Page
13 86, Line 25 going into Page 87, Lines 1 and
14 2.

15 Q. And you testified that you testified primarily as
16 to treating physician and I have testified for
17 the defendant but that's rare, is that accurate?

18 A. Well, I think we're doing semantics. That's an
19 accurate statement.

20 Q. Okay. That's all I wondered.

21 A. When I said defendant I was making a distinction
22 between physician malpractice cases and the
23 defendant in a personal injury which is mostly
24 somebody gets hit by a car and they're driving
25 the car. My reading of that and my understanding

1 at the time was we were just talking about
2 plaintiff and defendant, we weren't separating it
3 into just malpractice.

4 Q. Okay. And, Doctor, though you are one of
5 Mrs. Richley's treating physicians you are paid
6 for your testimony today, correct?

7 A. Correct.

8 Q. Doctor, when you reviewed the medical audit
9 expenses did you review all the expenses in
10 there?

11 A. I looked at them. I didn't line item them and
12 say -- it's sort of like reading the box score of
13 a baseball game. I know they had so many hits
14 and it seemed reasonable, but I --

15 Q. You didn't look at it item by item, is that
16 correct?

17 A. I didn't line item it, no, sir.

18 Q. Okay. So if before you know I've got a report
19 from you in this case, right?

20 A. Yes, sir.

21 Q. And it was the report that Mr. Ruf just entered
22 as an Exhibit a little bit ago, correct?

23 A. It may be slightly different.

24 Q. The letter -- that's okay, but it's the letter,
25 correct?

1 A. Yes, sir.

2 Q. And at that time you said that 35,000 in medical
3 expenses was roughly accurate, correct?

4 A. Yes, sir. Yes, sir.

5 Q. And at that time there was a surgery on her elbow
6 that was included that has since been removed?

7 A. I didn't -- well, obviously didn't pick that up.

8 Q. So you didn't review at it closely when you
9 received it, is that accurate?

10 A. I didn't review it that closely, that's
11 accurate.

12 Q. Okay. And, Doctor, when you testified as to the
13 33,000 that's currently being claimed you stated
14 that that's the usual inflated doctor and
15 hospital charges that nobody really pays,
16 correct?

17 MR. RUF: Objection.

18 A. I think I made that comment to the 35.

19 Q. You made that comment as to the 35,000?

20 A. Right. I don't think it was the 33.

21 Q. Okay.

22 MR. RUF: Objection.

23 Q. Doctor, as to permanency, so the jury
24 understands, you haven't seen Kimberly Richley
25 since January 29, 2003, correct?

1 A. Yes, we're on record as that.

2 Q. Correct. You have no opinion as to what her
3 current condition is, correct?

4 A. I have -- the only opinion I have is based on the
5 assumptions I was given.

6 Q. Okay. Okay, Doctor, do you agree with me that
7 it's necessary to exercise muscles to recover
8 fully from an operation such as this?

9 A. Yes, sir.

10 Q. Doctor, would you agree with me that someone who
11 uses narcotics for years for pain control
12 develops a tolerance to those drugs?

13 A. Yes, sir.

14 Q. Doctor, you were asked about your report of
15 January 10, 2004 by Mr. Ruf, whether that's done
16 in the usual course and scope of your practice.
17 You do not send reports to your attorneys as part
18 of your medical practice, do you?

19 A. Yes and no. I mean, I don't know what you mean
20 by medical practice.

21 Q. Well, Doctor, an attorney can't render medical
22 treatment to a patient, can he?

23 A. Not to my knowledge.

24 Q. A letter that goes to an attorney has no impact
25 on the patient's medical care, does it?

1 A. It can.

2 Q. The attorney doesn't provide medical treatment?

3 A. No, no, the attorney doesn't.

4 Q. You're saying it could impact their getting paid
5 for the medical treatment, or what's your
6 suggestion?

7 A. There are some letters I write to attorneys that
8 make it -- a Bureau Workers' Compensation injury
9 so that patients get their hospital bills paid
10 for. Some people don't get treatment for certain
11 things I take care of unless the Bureau Workers
12 Compensation or some insurance company is going
13 to pay for it, and I do medical reports for that,
14 too.

15 Q. That wasn't the case with this letter to Mr. Ruf,
16 was it?

17 A. No, it wasn't. This was a request for medical
18 information.

19 Q. And, Doctor, you were also taken through a series
20 of reports and Exhibits from Exhibit 12 that had
21 to do with Metro here, you did not author any of
22 those Exhibits, did you?

23 A. A number of them flashed by me, one of them may
24 have been my note from the hospital from the
25 22nd, but, no, I didn't author 99 percent of

1 them, and I honestly don't remember because I
2 mean I looked at five or six of them.

3 Q. That's all right. Unless your name appeared at
4 the bottom of it you didn't author it, right?

5 A. No, that's absolutely correct.

6 MR. REGNIER: That's all I have.

7 DIRECT EXAMINATION OF MATT J. LIKAVEC, M.D.

8 BY MR. RUF:

9 Q. Doctor, I have a few follow-up questions.

10 At your deposition did Mr. Regnier ask your
11 opinion as to whether or not this was a
12 continuous fracture from the facet to the
13 transverse process?

14 MR. REGNIER: Objection.

15 A. I believe he did, yes, sir.

16 Q. And what opinion did you give him at that time?

17 A. That it was part of the same fracture.

18 Q. So would it be dishonest or misleading to try and
19 suggest that this was two fractures instead of
20 one?

21 MR. REGNIER: Objection.

22 A. I don't know if he tried to do that. We were
23 talking about lines on a model. I mean, in my
24 opinion based on a reasonable degree of medical
25 probability it was one continuous fracture.

1 Q. And you told Mr. Regnier that?

2 A. Yes, sir.

3 Q. Would Kim Richley ever have had compression on
4 the C6 nerve if Dr. Reichenbach had not
5 manipulated her spine?

6 MR. REGNIER: Objection.

7 A. Not to be an attorney, I think it was the C7
8 nerve.

9 Q. I'm sorry, C7 nerve?

10 A. I have no evidence of -- that there would be any
11 other mechanism that Kim Richley would have
12 pressure on the C7 nerve without that
13 manipulation.

14 Q. So without this fracture she wouldn't have had to
15 make the decision whether or not to have surgery,
16 correct?

17 A. That's correct.

18 Q. Are there some significant risks to performing
19 this surgery?

20 A. Yes, sir.

21 Q. What kind of significant risks?

22 A. As I tell people I'm not a happy doctor where you
23 come in and you get a bouncing, nine pound bundle
24 of joy. When you come to see me the major risks
25 are paralysis, stroke and death. It's unusual,

1 but I have to tell everyone that. The major
2 risks with this are continuous pain or
3 paralysis.

4 Q. Given the risks do you think it's reasonable to
5 get a second opinion on this surgery?

6 A. Unless it's a matter of life and death I never
7 tell anyone it's a bad idea to get a second
8 opinion.

9 Q. And did Kim Richley want a second opinion?

10 A. She told me she did, yes.

11 Q. And did she get one?

12 A. I assume so. I never got a report that said she
13 had a second opinion. I know she said she wanted
14 one. She came back to me and I examined her
15 again with her husband and then she said, yes, go
16 ahead and do the surgery.

17 Q. Do you use a written consent form to describe the
18 risks?

19 A. I use a written consent form to sign. The
20 description is verbal and in my record and office
21 notes, and I put it in my operative report
22 because I dictate all of my own operative
23 reports.

24 Q. Do you feel qualified to comment on whether or
25 not Dr. Reichenbach met the standard of care for

1 a doctor treating the cervical spine?

2 MR. REGNIER: Objection.

3 A. Yes, I feel -- with the caveat that I'm not a
4 chiropractor, it is my opinion that he exceeded
5 the standard of care for the cervical spine
6 because she got a spine fracture.

7 Q. And why do you think you're qualified to
8 comment --

9 MR. REGNIER: Objection.

10 Q. -- on whether or not he deviated from acceptable
11 practice as a doctor treating the cervical spine?

12 MR. REGNIER: Sorry, objection.

13 A. As I said, I see a lot of people with cervical
14 spine injuries. I see -- send and get people
15 from chiropractors. They help a lot of people.
16 I have never seen this.

17 It's a distinctly unusual condition and
18 Miss Richley was not a 92-year-old grandma with
19 putty bones and rheumatoid arthritis and 13 other
20 medical conditions that could cause very soft
21 bones.

22 MR. RUF: Okay. Thank you, Doctor.

23 VIDEOGRAPHER: Doctor, you have a
24 right to review this videotape and the
25 written transcript to check for the

1 accuracy, or you can waive this right.

2 THE WITNESS: I will waive that
3 right.

4 VIDEOGRAPHER: Would counsel like to
5 waive the filing of the videotape?

6 MR. RUF: You waive it?

7 MR. REGNIER: Yeah, that's fine.

8 VIDEOGRAPHER: We're off the
9 record.

10 - - - -

11 (Whereupon, the deposition was concluded
12 at 4:00 p.m.)

13 - - - -

14 (Signature waived.)

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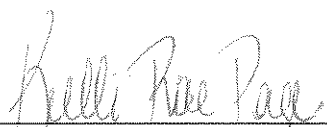
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C E R T I F I C A T E

The State of Ohio,) SS:
County of Cuyahoga.)

I, Kelli Rae Page, a Notary Public within
and for the State of Ohio, authorized to
administer oaths and to take and certify
depositions, do hereby certify that the
above-named MATT J. LIKAVEC, M.D. Was by me,
before the giving of his deposition, first duly
sworn to testify the truth, the whole truth, and
nothing but the truth; that the deposition as
above-set forth was reduced to writing by me by
means of stenotypy, and was later transcribed
into typewriting under my direction; that this is
a true record of the testimony given by the
witness, and the reading and signing of the
deposition was expressly waived by the witness
and by stipulation of counsel; that said
deposition was taken at the aforementioned time,
date and place, pursuant to notice or stipulation
of counsel; and that I am not a relative or
employee or attorney of any of the parties, or a
relative or employee of such attorney, or
financially interested in this action. I am not,
nor is the court reporting firm with which I am
affiliated, under a contract as defined in Civil
Rule 28 (D).

IN WITNESS WHEREOF, I have hereunto set my
hand and seal of office, at Cleveland, Ohio, this
19th day of October, A.D. 20 04.


Kelli Rae Page, Notary Public, State of Ohio
My commission expires October 30, 2005.

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