

1 State of Ohio,)
) SS:
2 County of Lorain.)

3 - - -

4 IN THE COURT OF COMMON PLEAS

5 - - -

6 Shwu-Chen Chai, Executrix of the)
Estate of An-Ti Chai, et al.,)

7)
Plaintiffs)

8) Case No. 99 CV 124670

9 vs.)

10 The Oberlin Clinic, et al.,)

Defendants.)

11 - - -

12 DEPOSITION OF NATHAN LEVITAN, M.D.

13 WEDNESDAY, SEPTEMBER 5, 2001

14 - - -

15
16 The deposition of Nathan Levitan, M.D., a witness herein,
17 called by the Plaintiffs for examination under the Ohio
18 Rules of Civil Procedure, taken before me, Ivy J.
19 Gantverg, Registered Professional Reporter and Notary
20 Public in and for the State of Ohio, by agreement of
21 counsel and without further notice or other legal
22 formalities, at the offices of Ulmer & Berne, 900 Bond
23 Court Building, Cleveland, Ohio, commencing at 6:15 p.m.,
24 on the day and date above set forth.
25

1 APPEARANCES:

2 On Behalf of the Plaintiffs:

3 Michael F. Becker, Esq.
4 Becker & Mishkind
134 Middle Avenue
Elyria, Ohio 44035

5 On Behalf of the Defendants:

6 Brian N. Ramm, Esq.
7 Elizabeth A. Harvey, Esq.
Ulmer & Berne
8 900 Bond Court Building
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1 esophagus.

2 Q. May I look at your file.

3 (Thereupon, the file was handed to
4 Mr. Becker.)5 Q. (Continuing) While I am looking at this, let me
6 just give you the standard caveats.7 You have had your deposition taken before, I know,
8 I have taken it before, but just to review the ground
9 rules with you, this is a question and answer session
10 under oath. It is important you understand the question
11 that I ask. If the question doesn't make sense or is
12 inartfully phrased, you stop me and tell me so, and I
13 would be pleased to restate or attempt to rephrase the
14 question, fair enough?

15 A. Yes.

16 Q. However, unless you indicate otherwise to me, I am
17 going to assume that you have fully understood the
18 question that has been posed and you are giving your best
19 and most complete answer today, fair enough?

20 A. Yes.

21 Q. Would you tell me what you have reviewed in
22 preparation for today's deposition? And I will hand your
23 file back to you real quick.24 (Thereupon, the file was handed to the
25 witness.)1 (Thereupon, Plaintiffs' Exhibit 1 (Levitan)
2 was marked for identification.)

3 NATHAN LEVITAN, M.D.

4 a witness herein, called by the plaintiffs for
5 examination under the Rules, having been first duly
6 sworn, as hereinafter certified, was deposed and said as
7 follows:

8 CROSS EXAMINATION

9 BY MR. BECKER:

10 Q. Doctor, would you tell me your full name, please?

11 A. Dr. Nathan Levitan.

12 Q. Doctor, I am going to hand you what has been
13 marked as Plaintiffs' Exhibit 1, and I wonder if you can
14 identify that for the record, please?15 A. Sure. That is my curriculum vitae as of March
16 3rd, 2000.17 Q. Would you take a look at it and see if you can
18 bring it up-to-date, if there are any changes to be made,
19 particularly relative to medical journal articles or
20 textbooks that you have authored or coauthored?21 A. So this is about a year and a half old. I have
22 several additional publications that are not listed here.
23 I am going to have a hard time recalling exactly what
24 they cover. But in general, it is likely that they
25 pertain largely to thoracic malignancies, lung and

1 A. Could I have those documents (indicating)?

2 Q. Sure.

3 A. Thank you.

4 I have reviewed records from the Oberlin Clinic,
5 from Allen Memorial Hospital, from the NASA Health
6 Screening Clinic, from Dr. Chuang, Dr. Tavill, the death
7 certificate, death notice, expert reports written by
8 Drs. Morgenstern-Clarren and Rothstein, and depositions
9 of Dr. Morgenstern-Clarren and Rothstein.10 Q. I don't see any medical journal articles within
11 your file on primary liver cancer or screening for liver
12 cancer. Had you done research prior to generating your
13 report on those topics?14 A. You know, the only reference that I pulled was one
15 that I knew of but wanted to make specific reference to
16 in my expert report, and that was the New England Journal
17 article cited here on 2-B of my report. But I didn't
18 actually review textbooks or specific journal articles in
19 preparation for writing my report.

20 Q. How about in preparation for today's deposition?

21 A. Again, nothing specific.

22 I will tell you, since it may come up later, that
23 I -- pertaining not to this topic, but just in my general
24 patient care activities, I did recently come across the
25 most up-to-date National Cancer Institute recommendations

1 pertaining to screening for hepatocellular carcinoma, and
 2 I imagine the questions you ask me this evening might
 3 induce me to bring up that particular reference. I
 4 didn't actually go and search for it in preparation for
 5 tonight, but it is another reference that I am now
 6 familiar with and may refer to.
 7 Q. Can you do the best you can and give me a citation
 8 for that medical journal article?
 9 A. Well, it actually isn't a medical journal article,
 10 it is the National Cancer Institute formal recommendation
 11 regarding screening.
 12 Q. What was the year of publication of that?
 13 A. It is a policy statement that is updated
 14 periodically. It is actually available on the Internet,
 15 and is available to both patients and physicians through
 16 the National Cancer Institute website. And they
 17 summarize their current recommendations for cancer
 18 screening.
 19 Q. Is there anything about that particular National
 20 Cancer Institute recommendation that provides any type of
 21 support for the opinions you have rendered in this case?
 22 A. Yes. But to clarify the chronology, I wrote this
 23 letter certainly prior to coming across this document
 24 which I noted just a few days ago, actually. But this is
 25 a document which states that the National Cancer

1 Institute formal recommendation is not in support of the
 2 use of alpha-fetoprotein and ultrasound to screen for
 3 hepatocellular carcinoma in patients with underlying
 4 liver disease.
 5 Q. What was the date of the recommendation -- or date
 6 of the publication? I guess I asked you that already.
 7 A. Yes.
 8 Q. All right. I am getting tired, so just bear with
 9 me.
 10 Why don't we identify these three documents, and I
 11 will give Ivy a second to mark them as 2, 3 and 4.
 12 (Thereupon, Plaintiffs' Exhibits 2 through
 13 4 (Levitan) were marked for identification.)
 14 Q. (Continuing) Doctor, I marked a document from your
 15 file as Plaintiffs' Exhibit 2. Would you identify that
 16 for the record, please?
 17 A. Sure. This is a list of the materials -- records
 18 that I have reviewed pertaining to this case.
 19 Q. Showing you what has been marked as Plaintiffs'
 20 Exhibit 3, would you identify that document?
 21 A. This is a summary of dates that I imagined I might
 22 want to refer to in response to your questions tonight.
 23 This is a summary that I prepared over the weekend, after
 24 going through these records again.
 25 Q. Plaintiffs' Exhibit 3 was recently prepared?

1 A. Yes, this weekend.
 2 Q. In preparation for today's deposition?
 3 A. Correct.
 4 Q. Did you generate any notes as a result of your
 5 initial review of this case?
 6 A. Those notes have been edited into this document
 7 here (indicating), which you have a copy of.
 8 Q. Your report?
 9 A. Correct.
 10 Q. So you don't have the original notes?
 11 A. No.
 12 Q. Let me take a look at Plaintiffs' Exhibit 3 and
 13 see if I can read your handwriting.
 14 Showing you what has been marked as Plaintiffs'
 15 Exhibit 4, would you identify that document for me,
 16 please?
 17 A. This is a page from the American Joint Committee
 18 on Cancer Staging pertaining to the staging of
 19 hepatocellular carcinoma. I believe it is a 1996
 20 edition.
 21 Q. Doctor, the last time I took your deposition, you
 22 told me that you were doing primarily work with lung
 23 cancer patients. Is that the case today?
 24 A. I don't believe that I told you that. My practice
 25 is general, but my research activities pertain to lung

1 cancer.
 2 Q. Maybe I misunderstood.
 3 So your area of particular interest or your area
 4 of research is lung cancer?
 5 A. My area of clinical research is lung cancer, but
 6 my general -- but my patient practice is a general one.
 7 Q. And when you say, general, you take care of all
 8 kinds of cancer patients?
 9 A. I tend to take care of patients with what we call
 10 solid tumors, more than hematologic malignancies, that is
 11 to say I am Board certified in hematology as well as
 12 oncology, but only a minority of my practice consists of
 13 patients with blood disorders, leukemias, diseases of
 14 that sort.
 15 Q. Well, if you can break down by type of cancer,
 16 would 50 percent of your patients be lung cancer and
 17 another 20 percent esophageal, or can you do that for me?
 18 A. Well, my practice follows the pattern of incidence
 19 of solid tumors in the U.S., which is to say, I have a
 20 lot of patients with lung, breast, colorectal, I have a
 21 fair number of esophageal cancer patients, I have some
 22 myeloma patients, I have some hepatocellular carcinoma
 23 patients, I have some renal cancer patients, but any
 24 general medical oncologist will have a predominance of
 25 the major cancers.

1 Q. What percentage of your clinical practice has to
 2 do with hepatocellular cancer; would it be less than five
 3 percent?
 4 A. Well, it depends on whether --
 5 Q. As a primary, as a primary cancer.
 6 A. It depends on whether you do that calculation on
 7 the basis of the number of visits a year by diagnosis or
 8 the number of new patients a year with this diagnosis. I
 9 would imagine that I see five to six new cases a year of
 10 hepatocellular carcinoma. It is a very unusual cancer.
 11 Q. Primary?
 12 A. Correct.
 13 Q. So five or six cases a year?
 14 A. Correct.
 15 Q. Is there an oncologist at UH that has a particular
 16 interest in primary liver cancer?
 17 A. No, it is a rare enough entity that no one person
 18 sees all of those.
 19 Q. You have not written, authored or coauthored on
 20 the topic of primary liver cancer, correct?
 21 A. No.
 22 Q. You did write an article on nonresectable liver
 23 metastases from colorectal cancer; do you recall that
 24 article?
 25 A. I do.

1 Q. Can you just kind of give me the general
 2 conclusions from that article?
 3 A. Oh, this was a written a number of years ago. I
 4 recall writing it, I can't recall the specific contents.
 5 Q. Okay.
 6 Back in the '90s, Doctor, in the mid '90s, can you
 7 give me an idea of what your -- how your week broke down
 8 between clinical practice and research? Would you spend
 9 one day a week on research and four days a week seeing
 10 patients?
 11 A. My research activities are actually a fairly small
 12 part of what I do. Most of my time is spent on patient
 13 care, with some administrative activities, as well.
 14 Being in an academic institution, I do a lot of
 15 things, I do some teaching, but certainly more than 50
 16 percent of my time is spent on patient care. And that
 17 was the case in the mid '90s, as well.
 18 Q. And is it similar today?
 19 A. Yes.
 20 Q. When was the last time you were engaged in the
 21 general practice of internal medicine?
 22 A. I haven't practiced general internal medicine in
 23 many years, except to say that as an oncologist, I become
 24 the primary care physician for my patients when they are
 25 ill. So in that situation, I refer them for screening, I

1 treat their urinary infections and their pneumonias. So
 2 I function as an oncologist for these patients, but I am
 3 actually functioning as an internist.
 4 Q. I appreciate that. But when was the last time you
 5 practiced as a general internal medicine physician?
 6 A. So if you are asking, when was the last time my
 7 schedule was open to new patients who had no diagnosis of
 8 cancer --
 9 Q. Right.
 10 A. -- that has been --
 11 Q. Back to your residency days?
 12 A. No, ten years.
 13 Q. And ten years ago, you were seeing patients?
 14 A. When I was at the Lahey Clinic outside of Boston,
 15 I had a number of general internal medicine patients in
 16 addition to my oncology patients.
 17 But I want to stress that in terms of expertise in
 18 general internal medicine, I do a lot of that for my
 19 cancer patients, I do practice those skills.
 20 Q. By way of hospital appointments on the vitae, it
 21 says, co-director and co-founder of the Breast Cancer
 22 Treatment Center at the Lahey Clinic, '90 to '91. Were
 23 you doing other things besides that?
 24 A. I was doing full-time practice.
 25 Q. You said in 1990 or '91. Was it for a period of

1 one year or two years while you were at the Lahey -- is
 2 it the --
 3 A. Lahey.
 4 Q. -- Lahey Clinic that you were doing general
 5 internal --
 6 A. I was there for five years.
 7 Q. And during the whole five years, did you do
 8 general internal medicine?
 9 A. Correct.
 10 Q. What percentage of your patients were general
 11 internal medicine?
 12 A. Oh, I don't recall, Mr. Becker. It is long enough
 13 ago, I can't tell you that.
 14 Q. So you stopped doing general internal medicine in
 15 at least 1991; would that be fair?
 16 A. Well, in response to your question, when did I
 17 stop having new patients without a diagnosis of cancer
 18 assigned to my practice, that was in 1991.
 19 Q. Okay.
 20 A. But as I have said, I believe that I practice
 21 general internal medicine on my cancer patients everyday.
 22 Q. I understand that.
 23 Do you know whether or not defense counsel that
 24 hired you, Mr. Lenson, has attempted to obtain an
 25 internal medicine expert on the issue of standard of

1 care?

2 MR. RAMM: Objection.

3 Q. (Continuing) If you know, on this case.

4 A. I have no information in that regard.

5 Q. How do you keep current as to the standard of care
6 for a general internal medicine specialist?

7 A. I would say through practicing internal medicine,
8 particularly at an academic institution where I attend
9 Medical Grand Rounds and interface with highly skilled
10 physicians from multiple disciplines, through teaching
11 house staff, which certainly has to keep me on my toes,
12 and the house staff that I work with are general internal
13 medicine house staff, part of the residency program at
14 University Hospitals, and in addition to my oncology
15 journals, I keep up with general medicine journals, as
16 well.

17 Q. Which journals of those do you continue to
18 subscribe to?

19 A. Well, New England Journal of Medicine, the Annals
20 of Internal Medicine, Internal Medicine Alert, which is a
21 summary journal of new developments, the Medical Letter,
22 those are the ones that come to mind.

23 Q. Can you tell me when the last time was that you
24 actively took care of a patient who had hepatitis B
25 without a confirmed diagnosis of cancer?

1 physician will round on anywhere between 25 and 30
2 patients a day, with the house staff, most of them
3 unknown to him or her prior to that time, and will manage
4 all of their medical problems.

5 Q. How about on an outpatient basis, that is, when
6 was the last time you took care, actively took care of a
7 patient who had hepatitis B without a confirmed diagnosis
8 of cancer, outside of a hospital setting?

9 A. Well, I actually have a patient in my practice
10 currently who has sickle cell anemia and hepatitis C, as
11 it turns out. And I have had others over the years in
12 that situation.

13 Q. Why would a patient like that be in your current
14 practice, without cancer?

15 A. Well, sickle cell anemia is a hematologic
16 condition, and we all have a certain number of those
17 patients in our practice.

18 Q. All right.

19 You are both a hematologist, as well as an
20 oncologist?

21 A. Correct.

22 Q. So let me see if I can limit it a little bit
23 further. When was the last time you took care of a
24 patient who had hepatitis B without a confirmed diagnosis
25 of cancer or a blood abnormality?

1 A. While attending on the inpatient service, which I
2 do every year for a number of weeks, I take care of a
3 broad range of patients, and issues such as hepatitis
4 arise among those patients. So I am certain that as
5 recently as a few months ago when I was on service, we
6 had patients with hepatitis without a diagnosis of
7 hepatocellular carcinoma.

8 Q. Well, would you explain that to me in lay terms,
9 what does it mean to be on service?

10 A. Sure. When a patient is admitted to University
11 Hospitals, he or she is assigned to an intern and a
12 resident, and also to an overseeing physician who makes
13 rounds every day, seven days a week, with that team of
14 house officers, of interns and residents.

15 The interns and residents primarily manage the
16 patients, but the attending physician has ultimate
17 responsibility for the well-being of those patients and
18 for every decision that is made, and bills for the care
19 that is provided to those patients, and sees those
20 patients every day and performs physical examination and
21 writes a note in the chart every day. And that attending
22 physician is responsible for oversight and teaching of
23 the house staff. So during -- and this generally is
24 carried out in either two week or four week increments.

25 And during this time on service, an attending

1 A. On an outpatient basis?

2 Q. Yes.

3 A. I can't specifically recall.

4 Q. Would it have been in your residency?

5 A. No, I am sure it has happened since that time, but
6 I can't specifically recall.

7 Q. When was the last time that you actively took care
8 of a patient who had cirrhosis of the liver without a
9 confirmed diagnosis of cancer or a blood abnormality?

10 A. Well, again, I would say on an outpatient basis, I
11 am sure that that has occurred, but it is not a common
12 occurrence for me on an outpatient basis.

13 But I have to stress that, for instance, patients
14 with head/neck cancer and esophageal cancer often have
15 alcoholic cirrhosis, and so I will take care of those
16 patients as part and parcel of their oncology care.

17 Q. I notice that you are the "course director" at
18 Case for the subject entitled, "Introduction to Clinical
19 Oncology."

20 A. That is correct.

21 Q. Now, do you have any general outlines or slides
22 you utilize for that lecture or course presentation?

23 A. I have been doing that for so many years, my
24 secretary handles that, at this point. But they are not
25 slides, there are handout materials that are given to the

1 students.
 2 Q. Is that something that you could produce and give
 3 a copy to Mr. Ramm?
 4 A. Sure.
 5 Q. You have been the director of the Ireland Cancer
 6 Center clinical seminars for some time, correct?
 7 A. Correct.
 8 Q. What does that mean?
 9 A. It is an educational program for all of the
 10 Ireland Cancer Center clinicians, that is to say, medical
 11 and radiation oncologists, hematologists, the house
 12 staff, the Fellows, both at University Hospitals of
 13 Cleveland and at all of our community based sites. And
 14 every Wednesday morning, we have a speaker come in and
 15 make a presentation on some patient care related topic.
 16 I coordinate that lecture series.
 17 Q. Okay, I notice that you have been on a planning
 18 committee for the annual education program entitled,
 19 "Update on Cancer for the Primary Care Physician." What
 20 is that all about?
 21 A. This is again something that I do periodically,
 22 and my secretary does more of it than I do at this point.
 23 But it is a continuing medical education program for
 24 internists in the community to update them on cancer
 25 related issues that a primary care physician should

1 understand.
 2 Q. Is it something that you actually produce a
 3 lecture, or an audio tape, or a videotape?
 4 A. No.
 5 Q. How is that disseminated?
 6 A. For instance, this year, it is on October 6th at
 7 the Marriott, a Saturday morning, and it lasts from 7:30
 8 until 1:00 o'clock, and we have a series of speakers that
 9 I have coordinated.
 10 Q. All right.
 11 Is that program recorded?
 12 A. No.
 13 Q. And to your knowledge, has anyone ever spoken at
 14 that program on screening for primary liver cancer in
 15 patients who are at high risk for the same?
 16 A. We do have a speaker on screening. However,
 17 hepatocellular carcinoma is an unusual enough cancer that
 18 we don't even address it in that presentation. It is not
 19 something that is really on the radar screen of
 20 internists.
 21 Q. Since 1994, you have provided lectures to
 22 community forums. Have any of those been on the topic of
 23 primary liver cancer or screening for liver cancer?
 24 A. I am not sure what you are referring to from my
 25 curriculum vitae with that question.

1 Q. Maybe I misspoke, but right here (indicating).
 2 A. Okay, over the years -- that simply refers to the
 3 fact that I have given a number of lectures around the
 4 metropolitan Cleveland area on different topics.
 5 But in answer to your question, I can't recall
 6 lectures on hepatocellular carcinoma.
 7 Q. Doctor, I am going to turn to Mr. Chai, and I want
 8 you to know that this is not a memory contest, you are
 9 more than free to look at the chart before responding to
 10 any of my questions, fair enough?
 11 A. Yes.
 12 Q. Before that, I forgot to ask you a couple of
 13 questions about medical malpractice. Have you ever been
 14 sued for malpractice?
 15 A. Yes, on two occasions. One was when I was a --
 16 either a resident or a Fellow in training, and I
 17 moonlighted in an emergency room in New Hampshire. And
 18 the patient had an orthopedic injury to the Achilles
 19 tendon, and many people were sued by this plaintiff for a
 20 delay in diagnosis, and my portion in that suit was
 21 settled for one dollar.
 22 Q. Anything else?
 23 A. Yes. When I was practicing at the Lahey Clinic, a
 24 suit was filed and subsequently dropped by the plaintiff.
 25 Q. What were the allegations in that case?

1 A. It was so illogical, I can't even tell you. And
 2 it was short-lived, and dismissed by the plaintiff.
 3 Q. All right, turning to Mr. Chai, would you agree
 4 that more likely than not, even as early as 1988, that
 5 Mr. Chai likely had cirrhosis of his liver, or in his
 6 liver?
 7 A. On the topic of cirrhosis, what I remember from
 8 the records is a discussion by Dr. Rothstein,
 9 gastroenterologist expert for the plaintiff, where he
 10 indicates that the patient had a small liver on
 11 examination. And I believe he was referring to the time
 12 of Dr. Chuang's evaluation around 1991, and he concluded
 13 that at that point in time the patient likely had
 14 cirrhosis.
 15 I am not a gastroenterologist, so I don't want to
 16 render opinions outside of my area of expertise. So on
 17 my own, I would not hazard a guess as to specifically
 18 when, and hence I refer to Dr. Rothstein's comments.
 19 MR. BECKER: I didn't hear the end of that.
 20 (Record read.)
 21 BY MR. BECKER:
 22 Q. You understand when I use the phrase, serial
 23 monitoring in a hepatitis B patient, I mean the
 24 modalities of ultrasound as well as regular checking
 25 alpha-fetoprotein; would you please understand that to be

1 true?

2 A. I do.

3 Q. Do you have an opinion, Doctor, more likely than
4 not, that had serial monitoring been undertaken on
5 Mr. Chai beginning in 1991, that his renal cell -- that
6 his liver cancer -- too many cases -- that his liver
7 cancer would have been diagnosed much earlier, do you
8 have an opinion?

9 A. I have no way of knowing whether or not those
10 modalities would have resulted in an earlier diagnosis,
11 because their positive predictive value, their
12 sensitivity and specificity, are so limited in detecting
13 liver cancer.

14 Q. What is the basis of that opinion?

15 A. My general fund of knowledge concerning screening
16 modalities, including these, indicates that these tests
17 are of limited value in the early -- I will say they are
18 of uncertain value in the early diagnosis of liver
19 cancer, and more importantly, they have never been shown
20 to have any impact on mortality.

21 Q. Have you, yourself, ever ordered serial monitoring
22 of a hepatitis B patient with ultrasound and
23 alpha-fetoprotein serum levels?

24 A. I don't specifically recall.

25 Q. You could have, you could not have; you don't

1 moderately aggressive or slow growing?

2 A. In terms of histology, there are various subtypes
3 of liver cancer. But those categories are really not
4 aggressive, nonaggressive, fast growing, slow growing,
5 they are histologic descriptions of -- and this is an
6 area, of course, only a histologist would have expertise
7 in -- there is a hanging type, a pushing type, and so
8 forth.

9 We don't know, if my memory serves me correctly,
10 we don't know what the specific histologic subtype was of
11 Mr. Chai's liver cancer because the diagnosis was made
12 based on the elevated alpha-fetoprotein, and if I am not
13 mistaken, I don't think he had a biopsy.

14 Q. Absent the histology, do you have an opinion as to
15 the doubling time of this particular cancer?

16 A. In general, liver cancer is an extremely slow
17 growing malignancy with a doubling time that has been
18 described in the literature as being greater than a
19 hundred days.

20 Q. Do you have an opinion whether ultrasound is more
21 sensitive to picking up liver cancer than a CT scan?

22 A. I am not aware of specific data comparing the two,
23 though in general, a CAT scan is a more sensitive test
24 than an ultrasound.

25 Q. Can we agree in general that when liver cancer,

1 recall?

2 A. I do not remember.

3 Q. If you would have, what would have been the reason
4 for you to do so?

5 MR. RAMM: Objection.

6 You can answer.

7 A. Well, it is so theoretical, I can't answer that.
8 As I sit here today, I don't believe that those tests
9 have any benefit in terms of reducing mortality from
10 liver cancer.

11 Q. You didn't answer my question, Doctor.

12 My question, I asked you if you had ever ordered
13 serial monitoring by way of ultrasound and AFP on a
14 patient, and you said you may have, you may not have, and
15 then I responded by saying, if you would have, under what
16 circumstances would you have ordered the same?

17 A. Well, Mr. Becker, since I can't specifically
18 recall having done it, it would be hard for me to recall
19 the rationale in doing so.

20 Q. All right.

21 What stage was Mr. Chai's cancer ultimately
22 diagnosed in?

23 A. Stage IV.

24 Q. Do you have an opinion as to the histology of his
25 particular lesion, whether it was aggressive or

1 primary liver cancer, manifests itself causing the
2 patient symptoms, that at that point it is usually well
3 advanced?

4 A. If this answers your question, it is well known
5 that most, i.e. the vast majority of liver cancers are
6 advanced at the time of diagnosis such that they are not
7 resectable, if that answers your question.

8 Q. Okay.

9 Hypothetically, if Mr. Chai's liver cancer had
10 been diagnosed in the mid '90s, do you have an opinion
11 whether he would have been a better candidate for a
12 resection, or one for transplantation?

13 MR. RAMM: Objection as to the mid '90s
14 time frame.

15 You can answer, if you can.

16 A. It would be more helpful if you could give me a
17 sense of what time period you are referring to.

18 Q. Let's say 1996.

19 A. We know that in 1998, at the time of diagnosis, he
20 had cancer involving the entire right lobe measuring 11
21 centimeters, there was portal vein thrombosis, there was
22 additional lesion on the left lobe, he had lymph node
23 involvement in the retroperitoneum and he had bilateral
24 lung nodules and occlusion of the portal vein with tumor
25 emboli.

1 So this was -- and let me add, his CAT scan at
2 that time showed tumor involving the entire right lobe
3 and 50 to 70 percent of the left. So this cancer,
4 despite its slow growing nature, was huge in May and June
5 of 1998.

6 Given how slowly this cancer grows, I don't
7 believe that it would have been likely resectable in
8 1996.

9 Q. Same question, 1994.

10 A. Well, since I imagine you will ask me that
11 question in 1992 and 1990, as well, let me try to cut to
12 the chase and say that theoretically speaking, at some
13 point in time, if it had been somehow possible magically
14 to identify this cancer when it was small in size, he
15 would have been a better candidate for resection.

16 If he in fact had cirrhosis as early as 1991,
17 resection would have been quite problematic. But I guess
18 it is theoretically possible that there might have been
19 some point in time when he might have been either a
20 resection or a transplant candidate, but the cirrhosis
21 would certainly have been a complicating factor.

22 Q. Are you on any transplant teams, liver transplant
23 teams at University Hospital?

24 A. I am not.

25 Q. Are you familiar with what the -- of the location

1 survival is also about 30 percent.

2 Q. So your opinion is that the chances of cure are
3 about the same between transplant and resection?

4 A. The numbers that I have seen most recently look a
5 little bit better for transplant than for resection.

6 However, the approach that is taken is that if a patient
7 is healthy and has a small tumor, resection is preferred
8 over transplant because the perioperative mortality is
9 considerably less, the complication rate is considerably
10 less.

11 So resection is the preferable approach over
12 transplant, though as I said, the populations are
13 different. But the five year survival for each is very
14 poor.

15 Q. Are you currently following any patients who have
16 had a liver transplant for primary liver cancer?

17 A. Yes.

18 Q. And how long have they been living?

19 A. I can think of two patients in my practice, one of
20 whom has recurred and died recently, and one of whom is,
21 oh, I think a couple of years out from transplant.

22 Q. So the first one died?

23 A. Right.

24 Q. After the transplant?

25 A. Correct, recurred, with liver cancer.

1 of the regional transplant, liver transplant center is in
2 Northeast Ohio?

3 A. That is something that the transplant surgeons are
4 involved in, rather than the medical oncologists.

5 Q. So you don't know which hospital would be
6 considered the transplant regional center?

7 A. I don't know which hospital.

8 Q. Okay.

9 A. I know we do them at University Hospitals. So my
10 involvement is with those transplants that are done
11 there.

12 Q. Do you have any knowledge whether or not the
13 chances of a cure with liver cancer are greater with
14 resection or greater with a transplant?

15 A. Well, the literature --

16 MR. RAMM: I am sorry, did you say cure?

17 MR. BECKER: Yes.

18 MR. RAMM: Okay, thank you.

19 A. (Continuing) I am familiar with that literature.

20 As you probably are aware, the indications for
21 resection and transplant are different. The patient
22 populations are different. And following resection, the
23 five year survival is only about 30 percent. It used to
24 be about 20 percent, now it is as high as about 30
25 percent. And following transplant, the five year

1 Q. And then you have one that is still living, and it
2 is a few years --

3 A. Two years out, correct.

4 Q. You don't know where they had their transplant?

5 A. At University Hospitals.

6 Q. Do you know whether or not if a patient is
7 resected, that is if they have liver cancer and their
8 liver is amenable to resection, the type of surveillance
9 that patient undergoes after surgery?

10 A. Yes. Patients who have undergone resection for
11 liver cancer will undergo alpha-fetoprotein levels every
12 few months, and either CT every -- it is variable, every
13 six months, every four months, it depends on the duration
14 of time following transplant, and to some extent, it
15 depends on the preference of the surgeon.

16 Q. I think I asked the question about resection. You
17 answered it in terms of transplant.

18 A. I am sorry.

19 I would say following resection or transplant,
20 that kind of surveillance would be utilized.

21 Q. And have you ever ordered such a surveillance
22 yourself?

23 A. In general, these patients are followed very
24 closely by the transplant surgeons, and generally they
25 will order these, in my experience.

- 1 Q. Is it your experience that this surveillance of
2 alpha-fetoprotein and/or ultrasound and CT scan is
3 effective in detecting recurrence?
4 A. Well, it is certainly done. A study has never
5 been conducted to ask the question, does it impact on
6 mortality, in contrast to the situation of a patient with
7 hepatitis who has not yet developed liver cancer, where a
8 study has been done asking that question, and as I said
9 before, the results were negative.
10 Q. You are not aware of any literature that says the
11 results are positive as far as the effectiveness of
12 surveillance, are you?
13 A. To my knowledge, there has never been a randomized
14 study that has shown a positive impact on mortality in
15 the population of patients that we are discussing, i.e.
16 underlying hepatitis screening for the development of
17 liver cancer.
18 Q. Well, I don't know that you answered my question.
19 Let me have that last question back, Ivy.
20 (Record read.)
21 A. I think I answered that question. Do you want to
22 read the answer? I believe I answered it.
23 Q. I thought -- what type of study did you reference
24 in your answer?
25 A. Okay, let's be clear.

- 1 Your question is, if I understand it properly, am
2 I aware of any studies that have proven that screening of
3 a population of patients who are hepatitis B or C
4 positive, and they are screened with AFP and ultrasound,
5 will that favorably impact their mortality from liver
6 cancer.
7 And my answer is, there is no randomized study
8 that has ever shown that, and there is a single study
9 that I have referenced here showing a lack of benefit
10 from that screening.
11 Q. All right, what I want to get at is, you used the
12 phrase, randomized study --
13 A. Right.
14 Q. -- and I want to make sure I understand what you
15 mean by that qualifier, randomized study. What do you
16 mean by randomized study?
17 A. You have one group of patients that undergoes
18 screening and another group of patients that do not, and
19 then you can actually compare and determine accurately
20 whether or not those screening measures impact mortality.
21 Q. All right.
22 What would you say to the physician that had an
23 opinion there are ethical problems with doing a
24 randomized study for screening for liver cancer?
25 MR. RAMM: Objection.

- 1 A. Well, I would say that in order for there to be
2 ethical problems with doing a randomized study, you would
3 need to have some evidence that these studies are in fact
4 beneficial.
5 Q. And to your knowledge, you are not aware of any
6 studies, any literature that says these screening
7 techniques are beneficial?
8 A. Well, the best study that was ever done was the
9 study referenced in the New England Journal, which was
10 negative. And it is for this reason that the National
11 Cancer Institute does not recommend routine screening.
12 Q. Do you have an opinion whether Mr. Chai was
13 healthy enough for a liver transplant in 1994?
14 A. I have no way of knowing that.
15 Q. Okay.
16 A. But I think it is certainly possible that he was.
17 Q. What is it about hepatitis B that predisposes
18 someone to liver cancer?
19 A. The actual mechanism isn't known. But the
20 association is clear.
21 Q. Is there also an association between cirrhosis and
22 liver cancer?
23 A. Yes.
24 Q. So if one had both cirrhosis as well as hepatitis
25 B, then they would be at high risk to develop liver

- 1 cancer?
2 A. Correct.
3 Q. So if in fact Mr. Chai had longstanding hepatitis
4 B as well as cirrhosis by 1991, he had two strong risk
5 factors for the development of liver cancer?
6 A. Correct.
7 Q. How does one contract hepatitis B?
8 A. Hepatitis B is generally a blood borne virus, so
9 it is generally contracted either through transfusions,
10 or it can be contracted sexually, as well.
11 Q. Have you heard of something called genetically
12 transferred hepatitis B?
13 A. Well, are you referring to transfer at the time of
14 delivery?
15 Q. Yes.
16 A. That can happen, as well.
17 Q. What is it, to your knowledge, about the Far
18 Eastern culture that makes those folks have such a high
19 proportion of hepatitis B?
20 A. Well --
21 MR. RAMM: Objection.
22 Go ahead.
23 A. (Continuing) It is a fascinating question. There
24 is no doubt about the fact that that population is at
25 extremely high risk, but it is not known what in

1 particular is the cause of their increased incidence of
 2 liver cancer.
 3 Q. Aren't gastroenterologists generally the type of
 4 physicians that take care of liver disease in patients
 5 who are at high risk for liver cancer?
 6 A. I will say that gastroenterologists often take
 7 care of patients with hepatitis A, B and C, if that is
 8 what you are asking. More commonly B and C, since A is
 9 generally a short-lived illness.
 10 Q. And to your knowledge, you don't know whether
 11 gastroenterologists regularly screen for hepatomas in
 12 people that are at high risk for the same?
 13 MR. RAMM: Objection.
 14 A. I don't know, not being a gastroenterologist.
 15 Q. The article that you cited in Colombo -- I think
 16 his name is Colombo -- it references a study of hepatitis
 17 B patients, a study that was done in the Far East, where
 18 they had a very high yearly incidence of hepatocellular
 19 carcinoma vis-a-vis screening, anywhere in the range of 5
 20 to 11 percent. Do you feel that statistic would justify
 21 regular screening for people at high risk for the same?
 22 A. I would have to look at that reference to respond
 23 to it.
 24 Q. Do you agree that the Colombo study confirmed that
 25 elevated alpha-fetoprotein levels were a predictor of the

1 position is what?
 2 A. That is my general fund of knowledge in reading
 3 the literature on this topic.
 4 Q. So let's go back to my -- are you familiar with
 5 any literature that concludes that liver transplantation
 6 is an effective treatment for small unresectable
 7 hepatocellular carcinomas in patients with cirrhosis?
 8 A. Well, that is a very vague statement, because one
 9 might say that the ability to cure 30 percent of patients
 10 with liver cancer who are eligible for transplant, one
 11 might say that that in fact is an effective intervention
 12 for this group.
 13 So the term, effective, needs to be more clearly
 14 defined. I would agree that in the right population,
 15 liver transplant is an appropriate procedure for somebody
 16 with liver cancer.
 17 Q. Are you familiar with or aware of any medical
 18 literature that stands for the proposition that modern
 19 screening methods permit earlier detection of
 20 hepatocellular carcinomas?
 21 A. It is likely that screening will lead to earlier
 22 detection in some cases, but the important question is,
 23 so what? Does it effect mortality?
 24 Q. So the answer to my question is, you are familiar
 25 or you are unfamiliar?

1 development of hepatocellular cancers?
 2 A. I don't believe that that was an end point in the
 3 design of that study.
 4 Q. Is that referenced in the study, to your
 5 knowledge?
 6 MR. RAMM: Do you recall?
 7 A. I don't recall.
 8 Q. Are you familiar with or aware of any medical
 9 literature on the topic of the efficacy of liver
 10 transplantation for hepatocellular carcinoma?
 11 A. Yes, I am familiar with that literature.
 12 Q. Are you familiar -- do you agree with the
 13 literature that liver transplantation is an effective
 14 treatment for small unresectable hepatocellular carcinoma
 15 in patients with cirrhosis?
 16 MR. RAMM: Objection.
 17 A. Well, I want to stress the point that if you look
 18 overall at the mortality rates for patients who undergo
 19 liver transplant for hepatocellular carcinoma, to begin
 20 with, a very small, carefully selected subset of patients
 21 are eligible for that procedure, and even in that
 22 carefully selected small subset of patients, only 30, 35
 23 percent, in some studies, a little higher, of those
 24 patients are actually alive at five years.
 25 Q. And that article -- the authority for that

1 A. I am familiar with literature suggesting that it
 2 can result in some cases in an earlier diagnosis of that
 3 cancer, but the missing link is, does it matter?
 4 Q. They die anyways.
 5 A. That is the question.
 6 Mr. Becker, I want to be clear that I am not
 7 discrediting the value of either liver resection or liver
 8 transplantation in the treatment of this disease.
 9 However, it is very important to keep in perspective how
 10 likely these procedures are to achieve cure for every
 11 hundred patients that come in with liver cancer.
 12 Q. Are you familiar with any literature that speaks
 13 to the topic of liver transplantation, long-term survival
 14 rates with patients with small hepatocellular carcinomas?
 15 A. In the, again, carefully selected population of
 16 patients who undergo liver transplant for liver cancer,
 17 overall, about 30 to 35 percent of those patients, again,
 18 as I said, perhaps a little higher in some series, will
 19 be cured. But the majority, well over 50 percent, are
 20 dead at five years.
 21 Q. Doctor, you keep mentioning this 30 or 35 percent.
 22 I am trying to pin you down for the source of that, you
 23 respond by saying, my general knowledge.
 24 Is there anything that you can point me to, I
 25 mean, if you go back in your office and look at your

1 notes on liver cancer, that you can say, oh, I can give
 2 that to Becker, it says 30 or 35 percent? Because I am
 3 not familiar with that statistic.
 4 A. I can certainly conduct a literature search for
 5 you, if you are interested in having me put the time into
 6 it, and come up with a wealth of literature to support
 7 that statement. But I can't quote you specific
 8 references as we sit here today.
 9 Q. All right, but how is it that you remember 30 or
 10 35 percent?
 11 A. Because I am familiar with those kinds of
 12 statistics for a whole multitude of cancer related
 13 issues, and I can't cite journal articles and pages for
 14 specific numbers, though as I said, if you would like me
 15 to spend my time in that fashion, I am absolutely
 16 confident I could produce plenty of literature to support
 17 that.
 18 Q. Are you familiar with any national survey to liver
 19 specialists to see whether or not they regularly screen --
 20 A. I am not.
 21 Q. -- for hepatocellular cancer in patients with
 22 cirrhosis or hepatitis B?
 23 A. I am not familiar with that information.
 24 Q. Are you familiar with a textbook entitled
 25 Harrison's?

1 A. I am.
 2 Q. And do you have that in your library?
 3 A. I do.
 4 Q. Do you know what Harrison's says on the topic of
 5 screening for patients with hepatitis B and/or cirrhosis?
 6 A. I don't recall specifically what they say on that
 7 topic.
 8 Q. Do you think Harrison's is a recognized, reliable
 9 textbook?
 10 A. Well, I don't consider any single textbook or
 11 journal article to be authoritative.
 12 Q. Okay. Boy, I have heard that before.
 13 Is there one internal medicine textbook that you
 14 would turn to, of the four, or five, or six or eight
 15 textbooks, and say this one is the most reliable?
 16 A. I think it is very important to look at the
 17 references on which any statement is based, and to simply
 18 not accept any single textbook as authoritative.
 19 Q. So can you answer my question? Do you remember my
 20 question?
 21 A. I think that I answered your question.
 22 Q. My question was -- I didn't ask you, is any one
 23 authoritative of the many, I said, is there any one more
 24 reliable than the others, in your mind?
 25 A. Really, it is a hard question to answer. These

1 books are thousands of pages long, and address a whole
 2 variety of topics. I don't know how to say that one is
 3 more reliable than another.
 4 Q. Can we agree that when a journal article is
 5 published, the work that supports the research, the data,
 6 the surveys, the support that goes into a journal
 7 article, that likely took place a year or two before the
 8 actual date of publication?
 9 A. It depends on the textbook, but often a year or
 10 two, or sometimes more.
 11 Q. I am talking -- I am not talking textbooks. My
 12 prior question was textbooks. But now I am talking about
 13 journal articles.
 14 A. So your question again is?
 15 Q. As to journal articles, if you see a study
 16 published, isn't it true that the study, the data for
 17 that study has generally been collected and refined and
 18 worked and processed and a paper developed for at least
 19 one year if not longer before it is actually published?
 20 A. I think it really depends on the study. There are
 21 certainly rapid publications that occur in the medicine
 22 journals when important data are collected.
 23 Q. Okay.
 24 A. So I think it really varies.
 25 Q. Okay, but going back to textbooks, I think you

1 already answered this, isn't it true that with textbooks,
 2 what you read in textbooks is generally an embodiment of
 3 what is recommended if not two, three years before the
 4 actual book is published?
 5 MR. RAMM: Objection.
 6 You can answer, if you know.
 7 A. I am hesitant to generalize. It really depends
 8 upon the gestation period of that textbook. Clearly it
 9 takes time to write a textbook, but I wouldn't agree with
 10 a generalization of that sort.
 11 Q. Well, would you stand by your one year if not
 12 longer earlier answer?
 13 A. You could ask me -- the new version of Harrison's
 14 was published in 2001, and frankly in the computer age, I
 15 don't know how recently any particular chapter might have
 16 been edited before it went to press. So I frankly don't
 17 know. I mean, it is generally accepted that textbooks
 18 take longer to publish than journals.
 19 Q. Thank you.
 20 A. But I am not comfortable giving you a particular
 21 time period.
 22 Q. Looking at your report dated August 28th, is this
 23 the only report you generated on this case?
 24 A. Yes.
 25 Q. And have you had an opportunity to look at this

1 report prior to today's deposition?
 2 A. I briefly read it over this evening.
 3 Q. Do you want to make any corrections,
 4 modifications, or do you want to stand on the report?
 5 A. I would stand by the report.
 6 Q. Can we agree that Dr. Chuang was Mr. Chai's
 7 primary care physician?
 8 A. I think that there is a lot of ambiguity as to
 9 whether or not he was the primary care physician, or the
 10 physician at NASA, who actually saw him many more times
 11 than Doctor -- is it pronounced Chuang or Chuang?
 12 Q. I don't know.
 13 A. Than the physician in question here did.
 14 Q. So you are uncertain on that issue?
 15 A. Right, because there were so many visits to NASA.
 16 Q. Well, it was just part of an annual physical,
 17 wasn't it, that NASA required?
 18 A. Again, I think it is really unclear who would be
 19 considered his primary care physician.
 20 Q. Well, if Dr. Chuang considered himself the primary
 21 care physician, would you disagree with that?
 22 A. I don't remember seeing in the record where he
 23 stated that.
 24 Q. Okay.
 25 So if he did, then you would defer to Dr. Chuang?

1 A. I would still say, even if somewhere Dr. Chuang
 2 described himself as the primary care physician, in
 3 looking at the responsibility that one or another
 4 physician bore in his care, I think it is important to
 5 remain cognizant of how involved the physicians at NASA
 6 were in following Mr. Chai.
 7 Q. Doctor, didn't you refer to Dr. Chuang as his
 8 primary care physician in your report?
 9 A. Let me see where that is.
 10 Can you help me out?
 11 Q. Yes, Page 4, A.
 12 A. I believe I said, as a primary care physician.
 13 Q. Okay.
 14 You don't feel that primary care physicians should
 15 be aware that individuals, in 1994 or 1996, individuals --
 16 even 1991 -- individuals at high risk for liver cancer
 17 should be screened for liver cancer or referred to a
 18 liver specialist for such screening; you don't think
 19 primary care physicians, general internal medicine
 20 doctors, should know that?
 21 MR. RAMM: Objection.
 22 A. Mr. Becker, in believing that any screening
 23 procedure should be standard care for any type of
 24 physician, it must be demonstrated that that screening
 25 procedure will favorably impact mortality. And --

1 Q. By the --
 2 A. Please let me finish.
 3 And that information has not been established.
 4 Therefore, I find it difficult to state that it is
 5 incumbent upon a primary care physician to perform the
 6 screening.
 7 Q. You are saying, absent a randomized study
 8 demonstrating the advantages to a patient of screening
 9 high risk patients, there cannot be a standard of care
 10 for a general internist to do such screening?
 11 A. No, I didn't say that. I said, looking at the
 12 available data on this topic, they don't support a
 13 favorable impact on mortality with screening, and will
 14 add to that the fact that the National Cancer Institute
 15 doesn't even recommend it.
 16 Q. Well, you are taking two positions in this case,
 17 Doctor, you are wearing two hats. You have been around
 18 medical-legal cases for a number of years. You are
 19 wearing a standard of care hat, and you are wearing a
 20 proximate cause hat; do you understand that?
 21 MR. RAMM: Is that a question, Mike?
 22 MR. BECKER: Yes.
 23 Q. (Continuing) Do you understand that in this case,
 24 you are the sole defense expert on standard of care, and
 25 you are the sole defense expert on causation; do you

1 understand that?
 2 A. I certainly --
 3 MR. RAMM: Do you understand that you are
 4 an expert in this case?
 5 A. (Continuing) Yes.
 6 MR. RAMM: Fair enough.
 7 Q. Do you understand you are the only defense expert
 8 in this case?
 9 A. I am not aware of that.
 10 Q. Okay.
 11 I mean, you are giving expert opinion on this case
 12 on standard of care as well as proximate cause, correct?
 13 A. I am.
 14 Q. Doctor, tell me the definition of standard of care
 15 in Ohio?
 16 A. I cannot give you that legal term, legal
 17 definition, as a physician.
 18 Q. You are giving the opinion in your report, you
 19 will give the opinion at trial, that Dr. Chuang met the
 20 standard of care of a reasonably situated internal
 21 medicine doctor, correct?
 22 A. That is stated so in Section 4-C of my report, and
 23 I would stand by that, where I say, it is my opinion as
 24 an internist as well as a medical oncologist that
 25 Dr. Chuang practiced within the standard of care for a

1 primary care physician during each of his encounters with
2 Mr. Chai.

3 Q. Okay, I want you to define standard of care as you
4 have used it in that letter?

5 A. This will not be a legal definition, since I am
6 not an attorney, but my understanding of standard of care
7 is a medical practice which is generally expected of a
8 physician based on similar practices of other physicians
9 within a community.

10 Q. Okay.

11 A. And I would add that my sense of standard of care
12 is also colored by the medical literature indicating
13 whether any particular practice has been proven to be
14 effective or not.

15 But to make this simple, I have been clear that I
16 don't think there is sufficient data to mandate that a
17 primary care physician, in following a patient with
18 hepatitis, must obtain periodic alpha-fetoprotein and
19 liver ultrasound testing because these procedures are not
20 recommended by the National Cancer Institute and have not
21 been shown to favorably impact mortality.

22 Q. What was the data? Did I ask you this earlier?
23 What was the data of the National Cancer Institute
24 publication?

25 A. I don't -- it is well referenced, but I don't have

1 that information with me. It is available on the
2 Internet.

3 Q. Did you imply that it is a rather recent one, in
4 the last year or two?

5 A. Oh, I don't know the date of this. It is a
6 current recommendation, but I don't know the date of this
7 particular document. I could certainly produce that for
8 you, if you would like it.

9 Q. Well, would you do that, and give it to Mr. Lenson
10 or Mr. Ramm?

11 A. Sure.

12 Q. Because I have promised Mr. Lenson to help him
13 with his research, and my expert has tendered me reports,
14 and I am going to do that shortly.

15 So would you do that, and send that to Murray?

16 A. I would be happy to.

17 Q. Now, you have worked with Murray before on other
18 cases?

19 A. Yes.

20 Q. What type of cases?

21 A. I remember a breast cancer case, I remember a
22 kidney cancer case, it might even have been two kidney
23 cancer cases, I am not sure, and that is all I can recall
24 at the moment.

25 Q. Are you still reviewing six or eight to ten

1 medical-legal cases a year?

2 A. That is about right.

3 Q. And predominantly for the medical provider?

4 A. About 20 percent are for the plaintiff.

5 Q. When we last met at a deposition, if you recall, I
6 asked you about doing plaintiffs work, and you told me
7 that you have that data at home, and you can look up the
8 plaintiffs cases. Do you remember telling me that under
9 oath?

10 MR. RAMM: Objection.

11 Do you recall?

12 A. I believe -- well, I believe what I told you,
13 which is still the case, is that my active files are at
14 home, and I can go through those and let you know which
15 ones are plaintiff cases and which ones are defense
16 cases. I don't keep an historical log of those, because
17 I discard the records when the cases are completed.

18 But I believe I told you then, and would stand by
19 that today, that I could go through my filing cabinet and
20 tell you how they break down, and I would be happy to do
21 it.

22 Q. Well, would it be too much trouble to -- how many
23 cases are in your filing cabinet?

24 A. I don't know. I would have to look through.

25 Q. Twenty or 30?

1 A. Given the fact that these cases drag on for so
2 long, I think it is probably more than 20. I don't think
3 it is more than 30.

4 But if you would like me to spend the time doing
5 that, I could certainly do it. It would take me some
6 time to go through and clarify whether they are plaintiff
7 or defense cases.

8 Q. Is it your opinion that you have active plaintiff
9 cases?

10 A. Yes.

11 Q. And who are the plaintiffs' lawyers that hired
12 you?

13 A. Give me a minute, and I will think of a couple of
14 them.

15 There is a fellow named Terry Gafney in West
16 Virginia for whom I have done some work and he is sending
17 me a case currently.

18 Q. What type of cancer?

19 A. It is a breast cancer case.

20 Q. Okay.

21 A. And --

22 Q. Let's stay with him for a moment, okay?

23 A. Sure.

24 Q. Have you actually reviewed the case, found it
25 meritorious, written a report?

1 A. No, I got an e-mail from him recently, the case is
 2 on the way.
 3 Q. Okay, any active cases that you reviewed and found
 4 meritorious --
 5 A. Yes.
 6 Q. -- from a plaintiff's perspective?
 7 A. Yes. I can't recall the attorney, but there is a
 8 colon cancer case, delay in diagnosis, where my opinion
 9 is in support of the plaintiff.
 10 Q. And have you written a report in that case?
 11 A. I can't recall whether I have written a report,
 12 but I have certainly verbally given my opinion to the
 13 attorney and have committed to my involvement in that
 14 case.
 15 Q. And you don't recall the attorney?
 16 A. I don't.
 17 Q. Do you recall what state the case is from?
 18 A. I think the case is from Southern Ohio, but I am
 19 not positive.
 20 Q. Have you been deposed in that case?
 21 A. No.
 22 Q. Can you think of any -- going to plaintiffs cases,
 23 so you have found a colon cancer case, from a plaintiff's
 24 perspective, meritorious, correct?
 25 A. Correct.

1 Q. Any other types of cancers, from a plaintiff's
 2 perspective, that you found meritorious?
 3 A. I have gone to court and testified in a case where
 4 there was a delay in diagnosis in lung cancer, testified
 5 for the plaintiff.
 6 Q. When was that?
 7 A. Last year.
 8 Q. Where did you testify?
 9 A. I believe that I went to -- I think that was
 10 Dayton.
 11 Q. What kind of lung cancer was it, small cell,
 12 large?
 13 A. It was nonsmall cell.
 14 Q. Okay.
 15 A. And this is -- Mr. Becker, this is a memory game.
 16 I have this information, and I would be glad to provide
 17 it to you. But it is hard to pull up this information
 18 from memory.
 19 Q. Have we covered the cases that you can recall from
 20 memory that you found -- I just want to exhaust your
 21 memory right now -- that you found meritorious?
 22 A. I am sure there are others, because the 20 percent
 23 figure is actually pretty accurate.
 24 Q. Dr. Rothstein, the plaintiffs' expert, one of the
 25 plaintiffs' experts, as you may recall, is a

1 gastroenterologist with a particular interest in liver
 2 transplantation; do you recall that?
 3 A. I do.
 4 Q. And he has followed liver transplant patients,
 5 transplantation being for liver cancer, anywhere from 30
 6 to 40 patients over his 15 -- roughly 15 years involved
 7 in the liver transplant team, and approximately 85
 8 percent of those patients are still alive.
 9 Would you feel that Dr. Rothstein, based on that
 10 experience, is in a better position to comment on
 11 prognosis had Mr. Chai been subjected to and given the
 12 opportunity for an early diagnosis and transplantation,
 13 than you?
 14 MR. RAMM: Objection.
 15 First, first of all, Mike, I think I took a
 16 look at his deposition, I don't recall that
 17 testimony. So within that realm, I am going to
 18 object --
 19 MR. BECKER: Okay.
 20 MR. RAMM: -- to anything more than a
 21 hypothetical.
 22 MR. BECKER: And I am winding up, Doctor.
 23 We are all tired.
 24 A. I don't recall that testimony, either.
 25 Q. Okay.

1 So I want you to assume it is true.
 2 A. I would have two thoughts about that. First of
 3 all, a transplant surgeon or a hepatologist involved
 4 primarily in a transplant program works with a small
 5 subset of patients with liver cancer, because we all
 6 know, or at least I have expressed the opinion tonight,
 7 that approximately 80 percent of patients who are
 8 diagnosed with liver cancer are absolutely not candidates
 9 for any kind of surgical intervention. And it is that
 10 remaining 20 percent that Dr. Rothstein apparently is
 11 involved with. So already we are taking a small subset
 12 of patients who present with liver cancer. And of that
 13 20 percent, some will be eligible for primary resection
 14 and some will be eligible for transplant.
 15 And if Dr. Rothstein is primarily a transplant
 16 gastroenterologist, then he is working with a subset of
 17 that 20 percent, call it 10 percent, 12 percent. And of
 18 that 10 or 12 percent, my knowledge of the literature
 19 does not support that 80 percent of them are cured. But
 20 even if it did, we would be talking about curing less
 21 than 10 percent of patients who are diagnosed with liver
 22 cancer with these aggressive interventions.
 23 Q. Repeat the very end of that.
 24 So even if it did, we would be talking about --
 25 A. Curing a very small minority of patients who are

1 diagnosed with liver cancer.
 2 Q. You don't have any knowledge as to what percentage
 3 of the patients who have liver cancer diagnosed at an
 4 earlier stage than Mr. Chai, what percentage of those go
 5 on to resection and what percentage are recommended for
 6 transplant, do you, really?

7 MR. RAMM: Objection, referring to earlier
 8 stage, given the gradients of staging, I am just
 9 objecting, because that is not defined.

10 A. So my question is, are you talking about liver
 11 cancer in general, are you talking about Mr. Chai? If
 12 you are talking about Mr. Chai, at what point in time?
 13 Could you perhaps make the question clearer?

14 Q. Well, I wasn't talking about Mr. Chai. I was
 15 trying to get an understanding as to what is your
 16 perception.

17 You say Mr. Chai was diagnosed in a Stage III, or
 18 IV?

19 A. IV.

20 Q. So that implies that there is Stage I, II or III
 21 preceding Stage IV, correct?

22 A. Correct.

23 Q. And without getting into staging right now,
 24 assuming -- what percentage of patients that are
 25 diagnosed as Stage I, II and III are divided into

1 are diagnosed in Stage IIIB and IV, i.e. very advanced
 2 disease. But if you do sputum cytology and chest x-ray
 3 on smokers, you will pick up a large number of cancers
 4 when they are small and resectable.

5 However, multiple studies conducted over the
 6 years, over the past 30 years, by multiple groups, have
 7 confirmed that you can do these chest x-rays, and you can
 8 pick them up when they are golf balls or grapes instead
 9 of grapefruits, but it doesn't make one whit of
 10 difference in the mortality from lung cancer.

11 And you can say, why is it?

12 It isn't understood. Medicine isn't always
 13 logical. It doesn't make any difference.

14 Therefore, just to let me finish, for you to
 15 presume that just because a particular modality may be
 16 able to find a liver tumor when it is smaller, for you to
 17 presume that that translates into improved survival is
 18 without a basis.

19 Q. And Doctor, this is pretty much what you say in
 20 all your cases where you are acting as a defense expert,
 21 specifically that it wouldn't have made any difference,
 22 that the patient would have died?

23 MR. RAMM: Objection, Mike.

24 Q. (Continuing) Isn't that -- Doctor, I must have 20
 25 depositions of yours where you say the same thing,

1 resection versus transplant? What is your understanding?

2 MR. RAMM: Objection.

3 Q. (Continuing) That is my question.

4 MR. RAMM: Objection.

5 A. Right.

6 The important statistics, in my opinion, are that
 7 for every hundred patients who are diagnosed with liver
 8 cancer, only 20 percent of them will have early stage
 9 disease, Stage I or II disease.

10 Q. And that is because, Doctor, they don't have
 11 surveillance of those patients --

12 MR. RAMM: Objection.

13 Q. -- and that is because, Doctor, those patients are
 14 diagnosed when they manifest themselves, that advanced
 15 cancer manifests itself, and it is too late to save that
 16 person's life?

17 MR. RAMM: Objection.

18 A. Let me respond to your statement by looking at
 19 another cancer, which I think is very illustrative, let's
 20 look at lung cancer.

21 It is very clear that with serial chest x-rays,
 22 you can -- or serial CT scans, but chest x-rays have been
 23 more carefully studied -- you can screen smokers and you
 24 can find their lung cancers when they are smaller.

25 Currently, 60 percent of patients with lung cancer

1 whether it is breast cancer, whether it is lung cancer,
 2 whether it is colon cancer, it is -- is it or is it not a
 3 common theme, a common statement by you, as a defense
 4 witness, that the patient would have died notwithstanding
 5 earlier diagnosis?

6 MR. RAMM: Objection, Mike.

7 A. You are asking for a gross overarching
 8 generalization that I don't feel comfortable making at
 9 all. If you would like to discuss a particular case in
 10 detail, I would be happy to do it. But I would have
 11 trouble making any kind of a generalization of that sort.

12 Q. You wouldn't dispute the fact that I can readily
 13 put my hands on 15 or 20 depositions, within the last few
 14 years, where you have concluded in an individual case
 15 that earlier diagnosis wouldn't improve the survival,
 16 wouldn't have made a difference; would you dispute that?

17 MR. RAMM: Objection.

18 A. I don't know what number of depositions you could
 19 produce to that effect, but you could also produce a
 20 significant number in which I have opined that a delay in
 21 diagnosis adversely affected that patient and contributed
 22 to his or her demise.

23 Q. And I guess, Doctor, I am going to have to ask you
 24 to produce those plaintiffs cases you have active,
 25 because we can't -- I can't find any plaintiffs cases by

1 you. And I am not saying they are not out there, I am
2 just saying, would you please identify, take the time to
3 notify your counsel, or the defense counsel who hired
4 you, of the plaintiffs cases, your active plaintiffs
5 cases, where you have reviewed and found it meritorious?

6 MR. RAMM: Well --

7 A. I have to ask about confidentiality issues.

8 MR. RAMM: I was about to say, I will tell
9 you what, we will take that request under
10 advisement. I will pass it along to Murray.

11 I certainly don't want you to get into any
12 trouble with confidentiality issues, Doctor.

13 THE WITNESS: That is fine.

14 MR. RAMM: We will take that up later,
15 Mike. That is fine.

16 BY MR. BECKER:

17 Q. Well, to your knowledge, in the plaintiffs cases
18 that you have committed to, have you -- has your name
19 been disclosed to the other side?

20 A. I would have no way of knowing that.

21 Q. Well, without disclosing the identity of the
22 patient, would you disclose the identity of the
23 plaintiff's lawyer?

24 A. I would have to find out from Mr. Ramm and
25 Mr. Lenson whether I would be legally permitted to do

1 Mr. Lenson know, and he will get back to me, fair enough?

2 MR. RAMM: Mike, I will tell you what. I
3 will run this past Murray, and you guys can take
4 it up later. I don't think that has much to do
5 with a discovery deposition of his opinions.

6 MR. BECKER: Well, okay.

7 MR. RAMM: I understand what you are
8 asking. I don't know that we are going to get
9 there tonight.

10 MR. BECKER: We are talking about it.

11 MR. RAMM: Like I said, I understand what
12 you are asking. I just don't think we are going
13 to get there tonight.

14 MR. BECKER: That is all I have.

15 MR. RAMM: Doctor, do you prefer to read?

16 THE WITNESS: Sure.

17 MR. RAMM: He will read.

18 (Thereupon, a discussion was had off the
19 record.)

20 MR. BECKER: I just want to clarify
21 something. I want to go back on the record.

22 BY MR. BECKER:

23 Q. When you cited that 20 percent of your cases for
24 plaintiffs, is that 20 percent that you review or 20
25 percent that you find are meritorious?

1 that. I am not an attorney, and I certainly would
2 respect the confidentiality of those cases.

3 But if in fact there are no legal
4 contraindications to it, and you want to pay me for my
5 time, I would be happy to do it.

6 Q. Okay.

7 I mean, it wouldn't take long to go through 20
8 cases, would it?

9 MR. RAMM: One never knows.

10 A. I am not prepared to predict how long it will take
11 me to look at these. I don't know.

12 Q. Well, let me say this, you can exclude Cleveland
13 defense firms whose names appear on the outside of the
14 file right away, couldn't you?

15 A. Mr. Becker, if you are asking me to do this, and
16 it is legal, I would be happy to do so. For me to give
17 you an estimate of the time involved as we sit here
18 today, I think is not a reasonable request.

19 Q. You don't have any type of data spread sheet on
20 your computer where you list, as some experts do, keep a
21 list of their active cases?

22 A. No.

23 Q. Well, you estimate, then, your cost -- your time,
24 by looking at your files, I am just asking you to look at
25 how you keep your cases, and give me an estimate, and let

1 A. I will only take on a case that I find is
2 meritorious. So it is 20 percent of the cases that I am
3 actively involved in.

4 MR. BECKER: Okay. I just wanted to
5 clarify. Thank you.

6 THE WITNESS: Okay.

7 ---

8 (DEPOSITION CONCLUDED)

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11 _____
12 Nathan Levitan, M.D.
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CERTIFICATE

State of Ohio,)

) SS:

County of Cuyahoga.)

I, Ivy J. Gantverg, Registered Professional Reporter and Notary Public in and for the State of Ohio, duly commissioned and qualified, do hereby certify that the above-named NATHAN LEVITAN, M.D., was by me first duly sworn to testify to the truth, the whole truth, and nothing but the truth in the cause aforesaid; that the deposition as above set forth was reduced to writing by me, by means of stenotype, and was later transcribed into typewriting under my direction by computer-aided transcription; that I am not a relative or attorney of either party or otherwise interested in the event of this action.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of office at Cleveland, Ohio, this 24th day of September, 2001.

Ivy J. Gantverg, Notary Public

in and for the State of Ohio.

Registered Professional Reporter.

My commission expires November 5, 2003.