

STATE OF OHIO       )  
                          ) SS:  
COUNTY OF LORAIN )

IN THE LORAIN COUNTY COURT OF COMMON PLEAS

KATHRYN L. WEEKLEY,                )  
Administratrix, et al.,            )  
                                      )  
          Plaintiffs,                )  
                                      )  
          vs.                         ) CAUSE NO.  
                                      ) 90CV105471  
Liwanag A. Asuncion,                )  
                                      )  
          Defendant.                )

The deposition upon oral examination  
of DR. GLEN LEHMAN, a witness produced and  
sworn to before me, Dana S. Miller, RPR, a  
Notary Public at large in and for the State  
of Indiana, taken on behalf of the Plaintiff  
at University Hospital, Room 2300, 926 West  
Michigan Street, Indianapolis, Indiana, on  
September 24, 1992 at 10:00 a.m. pursuant to  
the Ohio Rules of Trial Procedure and  
pursuant to Agreement as to time and place  
thereof.

ASSOCIATED REPORTING, INC.  
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APPEARANCES

## FOR THE PLAINTIFF:

Michael F. Becker, Esq.  
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## FOR THE DEFENDANT:

Michael Djordjevic, Esq.  
Jacobson, Maynard, Tuschman & Kalur  
1001 Lakeside Avenue, Suite 1600  
Cleveland, OH 44114-1192

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## DIRECT EXAMINATION

Questions By: Mr. Michael Becker

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1 DR. GLEN LEHMAN,  
2 having been first duly sworn to tell the  
3 truth, the whole truth and nothing but the  
4 truth, relating to said matter, was examined  
5 and testified as follows:

6 DIRECT EXAMINATION,

7 QUESTIONS BY MR. MICHAEL BECKER:

8 Q Doctor, would you state your full name for  
9 me?

10 A Glen Arthur Lehman.

11 Q And can you tell me approximately what year  
12 you started doing medical legal reviews?

13 A Approximately 1980.

14 Q Okay. And how many do you do a year?

15 A Approximately four.

16 Q All right. And have you done any previously  
17 for the law firm of Jacobson, Maynard,  
18 Tuschman & Kalur?

19 A No.

20 Q What would be the percentage breakdown  
21 between plaintiffs' cases and defendants'  
22 cases?

23 A Approximately half and half.

1 Q Okay. And in any of those cases that you've  
2 reviewed, whether for the patient or for the  
3 medical provider, had to do with the subject  
4 matter that we're dealing with today?

5 A Several have had to do with esophageal  
6 perforations, but I'm not -- I don't believe  
7 any have had to do with cancer, esophageal  
8 cancer.

9 Q Have any of them had to do with when an  
10 endoscopy is indicated?

11 A Relatively, yes.

12 Q Do you remember the names of those cases,  
13 Doctor, or the names of the counsel, whether  
14 plaintiffs or defendants?

15 A Not right off, but I could get that  
16 information if required.

17 Q Okay. I'd appreciate that if you would do  
18 that?

19 MR. DJORDJEVIC: We'll see  
20 what we can find.

21 Q Have you given any opinions relative to the  
22 subject matter of Barrett's esophagus?

23 A Not specifically.

1 Q Or adenocarcinoma of the esophagus?

2 A Not specifically.

3 Q Doctor, have you ever lectured to internists  
4 or dental practitioners relative to when an  
5 endoscopy is indicated?

6 A Hundreds of times.

7 Q Did you say hundreds?

8 A Yes.

9 Q Do you have a standard lecture format on that  
10 area?

11 A More or less, but it's always adjusted to the  
12 audience.

13 Q All right. Do you have like outlines  
14 available from those lectures, or have any of  
15 them been reduced to video tape?

16 A None to video tape, I certainly have notes.  
17 I'm not sure I have a concise handout,  
18 however.

19 Q Would you check on that one as well?

20 MR. DJORDJEVIC: If we can  
21 find something.

22 A Yes.

23 Q Okay. And I assume that you lecture to

1           medical students on the subject of when an  
2           endoscopy is indicated, as well?

3       A     Yes.

4       Q     The same question, if you can find anything  
5           that's been reduced to writing, any standard  
6           outlines or text on that area, I'd appreciate  
7           it.

8       A     All right.

9       Q     Doctor, I notice in your vitae that Mr.  
10           Djordjevic's office was kind enough to send  
11           me that you have a practice of speaking or at  
12           least presenting oral presentation of the  
13           posters of the American Society of  
14           Gastrointestinal Endoscopy?

15                               MR. DJORDJEVIC:   Michael,  
16           just a moment, the court reporter had a bit  
17           of a problem in understanding the first part  
18           of your question.  So if you could repeat it,  
19           I think it would be helpful to the court  
20           reporter.

21                               MR. BECKER:   I'd be happy  
22           to.

23       Q     Doctor, I have a copy of your vitae, and it

1           appears on there that you have given multiple  
2           oral presentations and actual poster  
3           presentations at the American Society of  
4           Gastrointestinal Endoscopy --

5     A     Yes.

6     Q     -- is that accurate?

7     A     Yes.

8     Q     Do any of those have to do with when an  
9           endoscopy is indicated?

10    A     I don't believe so.

11    Q     Okay. Doctor, I'm not sure how current this  
12           vitae is that I have at hand, and there's no  
13           date on it. I can tell you that the last  
14           bib. article is No. 73 entitled, "Prolonged  
15           Ambulatory pH Monitoring." Is that the most  
16           current vitae available?

17                           MR. DJORDJEVIC: I think we  
18           have one with 78 publications now, Mike.

19                           MR. BECKER: Okay.

20    Q     Well, I just want to call your attention,  
21           Doctor, to the last five publications.

22    A     Yes.

23    Q     Do you have that at hand?

1     A     Now, are you talking about the last five  
2           abstracts or the last five full  
3           publications? Those are separate.

4     Q     Let me just cut it short, Doctor. Let me ask  
5           this another way. I've got four articles  
6           that deal with the subject of screening and  
7           use of gastrointestinal endoscopy, one from  
8           1985, "Annals of Internal Medicine"; one of  
9           '79 from "Gastrointernology"; one of '82  
10          "Gastrointestinal Endoscopy"; and one from  
11          "Annals of Internal Medicine," 1976. Have  
12          you written anything other than those  
13          regarding that subject matter? Have you  
14          written any other journal articles that I'm  
15          not aware of?

16    A     We always have journal articles in various  
17           stages of preparation and publication that  
18           have not reached full publication to the  
19           public; and so there's another -- there are  
20           some more in progress, if I've answered your  
21           question.

22    Q     All right. And when do you anticipate that  
23           they might be published?



1     A     They're in various stages of publication  
2           pending versus not yet even submitted for  
3           publication.

4     Q     Okay. Well, do you have any problem in  
5           turning those over to Mr. Djordjevic so I can  
6           take a look at them if they're relevant to  
7           the subject matter here today?

8                         MR. DJORDJEVIC: Well, Mike,  
9           I think it's inappropriate to turn over  
10          unpublished reports for a number of  
11          proprietary reasons.

12                        MR. BECKER: Well, I  
13          gathered that, but I thought I'd ask anyway.

14                        MR. DJORDJEVIC: Yes, we'll  
15          object to that.

16     Q     Okay. Doctor, let's move on, then. Do you  
17           consider yourself an expert in the field of  
18           esophageal cancer?

19     A     In the diagnosis of it, yes.

20     Q     What about in the treatment of it?

21     A     Moderately to the treatment, but certainly  
22           I'm not a chemotherapist, I'm not a surgeon  
23           to resect, so the treatment is relative.

1 Q Have you met Dr. Rosenberg of Detroit?

2 A I don't believe so.

3 Q Have you heard of Dr. Rosenberg of Detroit?

4 A Not prior to this deposition.

5 Q Okay. So you don't know whether or not he's  
6 written extensively in the field of  
7 esophageal cancer?

8 A I do not.

9 Q And you don't know whether or not he's a  
10 nationally recognized authority in the field  
11 of esophageal cancer?

12 A I do not. I do know that he's not an  
13 authority in the diagnosis of cancer, because  
14 I'm very familiar with that literature.

15 Q Tell me what you reviewed in preparation for  
16 this deposition, Doctor?

17 A Just did my daily work that I do every day  
18 and --

19 Q Well, specifically in this case, did you look  
20 at any charts, did you look at any depos, any  
21 reports, that kind of thing?

22 A Yes.

23 MR. DJORDJEVIC: I'm handing

1           him the materials, Mike.

2       A     Yes, I've reviewed the office chart of Dr.  
3           Asuncion; the Cleveland Clinic records; the,  
4           I guess they're multiple parts of the  
5           Cleveland Clinic record; and a deposition  
6           from Dr. Asuncion.

7       Q     Have you looked at Dr. Rosenberg's  
8           deposition?

9       A     I don't believe I've had access to that.

10                       MR. DJORDJEVIC:  No, he  
11           hasn't, Michael.

12      Q     And have you looked at Dr. Rosenberg's  
13           report?

14      A     I've read a one to two-page report from him,  
15           yes.

16      Q     And I believe I have a report from a Dr.  
17           Lanza in Texas.  Have you looked at that  
18           report?

19      A     I just briefly looked at that this morning,  
20           yes.

21      Q     Do you know Dr. Lanza?

22      A     I know him, yes.  Yes, I know him.

23      Q     Okay.  Doctor, on this case, before you

1       reached your final opinion, was there any  
2       additional information you needed after  
3       looking at that from the attending Dr.  
4       Asuncion where you made contact with  
5       Mr. Djordjevic and said, for example, I need  
6       to know this or I need to know that? Was  
7       there any additional information you needed  
8       prior to writing your report directly from  
9       the doctor that was not contained in the  
10      records?

11     A     No, I sought no additional information.

12     Q     Okay. Doctor, can you explain to me what the  
13       difference is between an EGD and an  
14       endoscopy, or are they one and the same?

15     A     Well, an EGD means an endoscopy of the  
16       esophagus, G stomach, D duodenum; and  
17       endoscopy could refer to a telescopic exam of  
18       virtually any orifice, mouth, colon, etc.  
19       The implication is they're the same for this  
20       case.

21     Q     Okay. What are the risk factors for  
22       Barrett's esophagus?

23     A     Well, the only real risk factor -- the major

1 risk factor is gastroesophageal reflux of  
2 prolonged duration; and that's really the  
3 only way Barrett's comes along.

4 Q Do you agree that many times Barrett's  
5 esophagus is a precursor to adenocarcinoma of  
6 the esophagus?

7 A Occasionally it is, yes.

8 Q Can you give me a real brief idea of what  
9 your working week is like, Doctor? I'm  
10 particularly interested in your actual  
11 hands-on patient contact. I read this part  
12 of your vitae on patient care service, but  
13 it's not real clear to me; so I'm just  
14 interested in how often you have hands-on  
15 care contact with patients.

16 A Nearly all of my daily work is hands-on with  
17 patients in the sense that an average day  
18 would be a teaching conference from 7 a.m. to  
19 8 a.m. 8 a.m. to 8:15 would be a patient  
20 planning conference where we go over the  
21 cases of the day; then the rest of the day,  
22 virtually all day long, involves direct  
23 office visits or telescoping of patients or

1 phone calls to patients. And then almost all  
2 our research is centered around taking care  
3 of the patients, and then most of our writing  
4 is done in the evening. Perhaps one day  
5 every other week, on the average, I'm out of  
6 the office giving a speech at some national  
7 or other organization.

8 Q Doctor, how is it that you're familiar with  
9 the standard of care of an <sup>internist</sup> ~~insurance~~ when  
10 treating a patient with a history of  
11 gastroesophageal reflux?

12 A Well, first, I am an internist, I'm board  
13 certified in internal medicine; and then a  
14 high percent of my patients come from  
15 internists having previously been evaluated  
16 by them, I talk with them almost daily about  
17 some aspect of GI patient care.

18 Q These internists that refer you cases, are  
19 they within the university structure, are  
20 they within the community or both?

21 A Approximately 50/50 each.

22 Q Doctor, I'm gathering from your report that  
23 if an internist treats a patient that has a

1 history of gastroesophageal reflux with  
2 Pepcid, and as long as there's good control  
3 an endoscopy is not indicated; is that  
4 correct?

5 A In general that is correct if there are not  
6 additional symptoms of dysphagia, bleeding,  
7 weight loss or some unusual chest pain, as  
8 long as there's not something extra going on.

9 Q Well, I guess whether or not someone is  
10 treating --

11 MR. DJORDJEVIC: Michael,  
12 the doctor's beeper just went off.

13 THE WITNESS: One second.

14 (A discussion was held off  
15 the record.)

16 Q Doctor, that general statement about treating  
17 people as long as they're in good control  
18 with Pepcid with a history of  
19 gastroesophageal reflux, that applies whether  
20 someone's on Pepcid for six weeks, six  
21 months, or six years; is that accurate?

22 A Six weeks, six months, yes; six years,  
23 probably a little long. After maybe a couple

1       years, what I usually do is evaluate the  
2       patient initially with barium or scoping,  
3       either being good, and then probably  
4       reevaluate in two or three years even if  
5       they're under good control.

6       Q     Well, you indicated when a patient becomes  
7       refractory to management via Pepcid that  
8       endoscopy is indicated; and I guess that's  
9       where the health comes here on this case is  
10      what do you mean by refractory, and can you  
11      give me some examples?

12     A     Refractory meaning that one of these new  
13      complications or new features, swallowing  
14      trouble, bleeding, weight loss, one of those  
15      new things occurs while on treatment, or  
16      while taking treatment the patient still has  
17      bad pain or bad routine daily symptoms.

18     Q     Okay. So if the patient still has symptoms  
19      even though he's taking Pepcid, then it would  
20      be refractory in your mind?

21     A     If the patient has bad symptoms.

22     Q     Well, that's kind of vague. How do you  
23      distinguish as the treating physician what's



1           good, bad, fair and --

2                           MR. DJORDJEVIC: Other than  
3           by clinical judgment, Mike?

4   Q       Yes, I mean, can you help me appreciate that  
5           any better, Doctor?

6   A       Well, usually it's a matter of the patient  
7           has a certain amount of discomfort, we give a  
8           medication, and we ask the patient, are you  
9           better, better enough that you're happy and  
10          generally satisfied, or are you not better,  
11          not better enough that we need to do more.  
12          And it's a combination decision between the  
13          patient and the doctor, and usually it's  
14          pretty obvious that the person either is or  
15          isn't better.

16   Q       Let me just give you a hypothetical. If  
17           someone has severe gastroesophageal reflux  
18           problems, took Pepcid and their symptoms went  
19           from severe to moderate, would you say that  
20           that was still a refractory scenario which  
21           would require an endoscopy?

22   A       Well, moderate is relative. If moderate was  
23           a happy medium, then probably I'd be happy.

1           If moderate the patient was still clearly  
2           dissatisfied and complaining a lot, then I  
3           would not be satisfied either.

4       Q     Doctor, you ultimately find in this case that  
5           there was no substandard care by Dr. Asuncion  
6           based on your assumptions and your inferences  
7           that there was good control of Mr. Weekley's  
8           symptoms; is that fair?

9                               MR. DJORDJEVIC:   Well, we  
10          contend the chart maintains that, Michael,  
11          but I'm not going to argue with you over the  
12          phone.   Doctor, you can answer the question.

13       A     Yes, my review of the chart would -- I  
14           extract that the patient's symptoms were  
15           under control.

16       Q     Can you be more specific?   What are you  
17           referring to on the chart that causes you to  
18           have that impression?

19       A     Just that with follow-up visits, some of the  
20           visits say, "stomach doing fine," implying  
21           that, yes, indeed symptoms were under  
22           control; and many of the visits focused on  
23           blood pressure and other things implying that

1           if the patient was complaining about the  
2           stomach, the stomach would have been  
3           mentioned again.

4       Q     Okay. So you're making an assumption that  
5           the absence of anything implies that there  
6           was no complaint?

7                               MR. DJORDJEVIC: Well,  
8           again, the doctor knows that on some  
9           occasions there are specific notations,  
10          "stomach doing fine" or "ulcer better"; but  
11          in addition to that, the doctor is making  
12          some extrapolation from the chart. Is that  
13          fair, Doctor?

14                            THE WITNESS: That's  
15          correct.

16       Q     Doctor, did you look at Dr. Asuncion's  
17           deposition?

18       A     Yes, I did.

19       Q     So the difference between refractory and  
20           nonrefractory or good and bad control is if  
21           the patient was satisfied with the result?

22       A     If the patient is satisfied and the doctor is  
23           satisfied.

1     Q     If you were treating a patient with Pepcid  
2           and you learned that while you were treating  
3           them with Pepcid they were still taking  
4           additional drugs like Maalox and Tagamet and  
5           other drugs like that, would that concern  
6           you?

7     A     Most of the time when we put people on Pepcid  
8           or one of those type drugs, we ask them to  
9           take additional Mylanta or Maalox for any  
10          additional pain. And if they're taking an  
11          average amount, that's okay, that's still  
12          under good control, yes.

13    Q     Well, to me, if you're still having pain and  
14          still on Pepcid, that means that you don't  
15          have good control; is that an unfair  
16          conclusion or --

17    A     We would obviously like the patient to have  
18          100 percent pain relief from the medication,  
19          that usually does not occur or often does not  
20          occur. Oftentimes some additional antacid is  
21          required, and that would still qualify for  
22          good control.

23    Q     Doctor, if Mr. Weekly had persistent

1 esophagitis during the approximate  
2 two-and-a-half years while he was under Dr.  
3 Asuncion's care and while she prescribed  
4 Pepcid during most of that period, don't you  
5 feel that an endoscopy somewhere along the  
6 line would have been indicated?

7 MR. DJORDJEVIC: And you're  
8 assuming that Mr. Weekley makes Dr. Asuncion  
9 aware of that, I take it?

10 A You've said he has esophagitis continuously  
11 for two-and-a-half years, we have no proof of  
12 that.

13 Q Doctor, didn't you tell me that you looked at  
14 Dr. Asuncion's deposition?

15 A Yes.

16 Q Did you note on around Page 56 where she  
17 admitted that in essence that he had  
18 persistent esophagitis to some type and  
19 degree throughout that whole course?

20 A She's using the term esophagitis there to  
21 mean gastroesophageal reflux. Without  
22 actually looking in the esophagus or having a  
23 biopsy or something, one doesn't know whether

1           it's actually esophagitis or just acid  
2           irritation, symptoms without esophagitis;  
3           there's no way to tell. So we certainly  
4           agree he had reflux during the whole time,  
5           yes.

6       Q     Persistent?

7       A     Yes, it lasted, yes.

8       Q     And you're saying that even though it  
9           persisted notwithstanding Pepcid, throughout  
10          the course of that two-and-a-half years you  
11          feel that an endoscopy was not indicated?

12      A     An endoscopy was not necessary for the degree  
13          of symptoms he was having.

14      Q     Doctor, I want to talk a little bit about the  
15          responsibility of a physician to elicit  
16          information from the patient as to how they  
17          are progressing while under the care and  
18          treatment of them. Do you agree that the  
19          physician has a responsibility to elicit or  
20          ask the patient about the present signs and  
21          symptoms a patient is having if the doctor is  
22          giving drug therapy?

23      A     In general, yes.

1       Q     And if the physician failed to elicit  
2             information along those lines, would you  
3             agree with me that would be substandard care?

4                         MR. DJORDJEVIC:  I'm going  
5             to object to the general nature of the  
6             question, Mike.  You know, I think the doctor  
7             needs more information in terms of where the  
8             drug therapy is, how long the doctor's been  
9             seeing the patient, so on and so forth.  
10            You're asking him a question in the vacuum  
11            that I think can't fairly be addressed by  
12            this physician.

13                        MR. BECKER:  The question's  
14            before you, Doctor.

15                        MR. DJORDJEVIC:  Doctor, can  
16            you answer that?

17       A     In general, yes, one should quiz the patient  
18             about diseases for which one is giving a  
19             drug.  Now, in a patient with multiple  
20             problems, five or six problems, such as this  
21             patient, to quiz about each problem each  
22             visit is not necessary; but over the year,  
23             one should quiz, yes, on certain visits.

1 Q Doctor, do you agree with me that it would be  
2 imprudent and even dangerous sometimes to  
3 assume that a sign or symptom has been  
4 eliminated if the patient didn't specifically  
5 complain about it?

6 A At times, yes, that would be possible.

7 Q And that would be particularly so, Doctor, in  
8 a patient who is not known to be a  
9 complainer, correct?

10 A A patient who complains less may voice their  
11 complaints less, yes.

12 Q And a patient that has no medical background,  
13 correct?

14 A In general, yes.

15 Q And a patient that has a less than a high  
16 school graduate education?

17 A Education may not have anything to do with  
18 native intelligence, so I'm not sure about  
19 that.

20 Q Okay. Do you agree, Doctor, that the  
21 patient's specific reaction to drug therapy  
22 should be charted in the physician's record  
23 particularly if she's going to continue the



1           prescribed medication on a chronic or  
2           long-term basis?

3                           MR. DJORDJEVIC:   You mean  
4           should she say improved or stomach doing  
5           fine, like she did in this case?

6    A       Yes, one would expect some notes over the  
7           year of how a given drug or symptoms were  
8           progressing, as was noted in this case.

9    Q       Well, you say over the year, you mean each  
10           time that she prescribes it there should be  
11           some indication along those lines, shouldn't  
12           there?

13   A       No, absolutely not.

14   Q       Doctor, if the physician who prescribed a  
15           course of drug treatment failed to  
16           specifically ask the patient whether or not  
17           the medication she was given was eliminating  
18           or merely subsiding the symptoms, would that  
19           be substandard care?

20   A       Sometime during the course of care that  
21           question should be raised, yes; that question  
22           need not be raised at each visit.

23   Q       I'm going to refer you now, Doctor, to the

1       second page of your report dated March 30th,  
2       1992; and before I speak directly about that  
3       report, is that the only report you've  
4       generated for this case?

5       A     Yes.

6       Q     And have you had a chance to review that  
7       report recently?

8       A     Yes.

9       Q     Do you want to stand on that report or make  
10      any corrections or additions?

11      A     In general, I agree with it. As I reviewed  
12      it last night, I didn't find anything I  
13      disagreed.

14      Q     Okay. Turning to Page 2, Doctor, last  
15      paragraph on Page 2, we talk about Dr.  
16      Rosenberg's statement being a, quote,  
17      hindsight call, end of quote. What do you  
18      mean by that?

19      A     That means he's saying had something been  
20      done in this -- in 1987, he's saying, that  
21      the outcome would be probably different and  
22      that a cancer would have been found at a, he  
23      implied, curable stage. Cancers grow at

1       variable rates, and that cancer may have  
2       popped up and metastasized in six months. We  
3       just don't know how fast his cancer grew,  
4       it's impossible to tell.

5       Q     Maybe I'm trying to read something into that  
6       hindsight call that really isn't there. I  
7       mean, were you offended by the way that Dr.  
8       Rosenberg opined along those lines?

9       A     Not offended, just I -- he's drawing a firm  
10      conclusion in a very vague area that is  
11      inappropriate, I believe.

12     Q     You state that it's impossible to determine  
13      when the cancer started or when it became  
14      incurable. Do you have an opinion more  
15      likely than not, Doctor, that's not  
16      certainty, it's more likely than not  
17      probability in Ohio, whether the cancer was  
18      present in March or April of '87?

19     A     Again, it's impossible to say; but the fact  
20      that the X-ray showed no sign of cancer, I  
21      would say it -- well, you just can't say.  
22      Some of these cancers are metastatic when  
23      they're just tiny, tiny, and others -- well,

1           you just can't say.

2       Q       When do you feel esophageal cancer is  
3           curable?

4                               MR. DJORDJEVIC:  If at all.

5       A       Well, it's curable when it's at its smallest  
6           size.

7       Q       What stage would that be or stages?

8       A       Well, it depends whose staging grades you're  
9           using, it's when it's confined to the  
10          esophageal wall and not spread beyond the  
11          wall.

12      Q       What do you base that on, Doctor?

13      A       Just surgery data or laser data where  
14          patients have been treated with very early  
15          cancer and then they've lived a long time, so  
16          presumably they're cured.  Unfortunately, we  
17          don't find patients like that very often.

18      Q       Doctor, you don't feel that a cancer expert  
19          can state in terms of probability that cancer  
20          a few years earlier would have been in an  
21          earlier in situ stage?

22                               MR. DJORDJEVIC:  Well,  
23          that's another question.  What do you mean an

1 earlier in situ stage? Dr. Rosenberg doesn't  
2 even say that, he says it's stage 1 or in  
3 situ.

4 Q Okay, stage 1 or in situ. Do you feel that a  
5 cancer expert can state that in terms of  
6 probability here?

7 A No, you can't. I'm a ~~cancer expert~~ *But not treatment!* in the  
8 diagnosis of cancer, and people who -- cancer  
9 treating experts have no extra information  
10 that I don't have; and one just can't tell.

11 Q You state that five years survival rate of  
12 patients with gastroesophageal cancers are  
13 less than 20 percent. What do you base that  
14 on, Doctor?

15 A That's mostly from surgical series where  
16 cancers in this area are removed, and then  
17 the patients are followed to see if they  
18 survive.

19 Q Can you cite me those theories?

20 A I'll have to get them out of a textbook for  
21 you, but I don't have them. That's just  
22 standard textbook knowledge, but I don't have  
23 that page in front of me.

1 Q Have you authored or co-authored any articles  
2 in any of the gastrointernology textbooks?

3 A Several textbooks have my chapters in, yes.

4 Q Do any of them have to do with the subject  
5 matter of endoscopy?

6 A Almost all.

7 Q I don't know how we missed that. Let's go  
8 back to your vitae a moment, Doctor.

9 MR. DJORDJEVIC: We're  
10 looking for it right now, Mike.

11 A Okay, got it.

12 Q Which of these chapters in books, Doctor?  
13 Apparently you have contributed to seven  
14 textbooks; is that accurate?

15 A Mine has eight here in front of it, and we  
16 have some more in progress.

17 Q What is the eighth one, Doctor?

18 A Eighth one has to do with cancers of the --  
19 excuse me, of diseases of the anus and  
20 rectum, a German publication from last year.

21 Q Okay. Do any of these articles in these  
22 seminary textbooks deal with the subject  
23 matter of gastroesophageal reflux and when

1           endoscopy is indicated?

2       A     None of the book chapters have to do with  
3           that. Several of the articles have to do  
4           with gastroesophageal reflux detection or  
5           gastroesophageal reflux treatment, none have  
6           specifically to do with indications for  
7           endoscopy.

8       Q     Okay. I just want to make sure, then, going  
9           back to your articles, now, Doctor, that I  
10          have all of them. I think we went through  
11          this once before, to make you understand  
12          where I'm coming from, I want to make sure  
13          that I have any new ones.

14                               MR. DJORDJEVIC: We'll give  
15          you a copy of the latest CV, Mike, and you  
16          can confirm it for yourself.

17       Q     Doctor, I wonder if you could quickly go  
18           through the publications and just give me the  
19           number that is relevant to gastroesophageal  
20           reflux?

21                               MR. DJORDJEVIC: You want to  
22          go through both the abstracts and the peer  
23          review journal articles, Mike?

1 MR. BECKER: Yes.

2 THE WITNESS: We'll go  
3 through all 150 of them, if you'd like.

4 MR. BECKER: I tell you  
5 what, Doctor, you don't have to do it on the  
6 phone to me, you can just give that  
7 information to Mr. Djordjevic, and I trust  
8 him.

9 MR. DJORDJEVIC: Okay.

10 THE WITNESS: All right,  
11 thank you.

12 MR. BECKER: And I trust  
13 you, too, Doctor, so we'll save time on the  
14 phone.

15 MR. DJORDJEVIC: Very good.

16 THE WITNESS: Thank you.

17 MR. BECKER: I forgot where  
18 I was now.

19 MR. DJORDJEVIC: I think you  
20 were asking about book chapters, and before  
21 that you were talking about curability for  
22 stage of cancer.

23 Q Doctor, do you recognize as a mode of



1 treatment for esophageal cancer has changed  
2 in approximately 1987 and the new mode of  
3 treatment with multi-modality approach has  
4 been more successful?

5 A Over the last five plus years, yes, the  
6 multi-modality treatment has become more  
7 popular.

8 Q Doctor, do you agree that if esophageal  
9 cancer is detected at an earlier stage before  
10 dysphagia is evident that the chances are  
11 improved of attaining a five-year survival?

12 MR. DJORDJEVIC: Well,  
13 Michael, again, I'd like to caution the  
14 doctor, and I'd like a more specific question  
15 in terms you're saying at an earlier stage  
16 and improved, I mean, that's so vague.

17 MR. BECKER: Let me read the  
18 question again, Doctor, and for the benefit  
19 of your counsel, I'm not sure he understood  
20 it. Let me read it again.

21 MR. DJORDJEVIC: Okay.

22 Q Do you agree that if esophageal cancer is  
23 detected in an early stage and before

1           dysphagia is evident that the chances are  
2           improved to obtain a five-year survival?

3       A     Well, we certainly hope so; but, actually, at  
4           the present time, we unfortunately don't have  
5           any proof of that.

6       Q     Doctor, what do you feel in retrospect was  
7           actually causing Mr. Weekley's symptoms of  
8           this gastroesophageal reflux back in '87 and  
9           '88?

10                       MR. DJORDJEVIC: Well, are  
11           you talking about the symptoms, or are you  
12           talking about the esophageal reflux, Mike?

13                       MR. BECKER: I use that  
14           synonymously, that's what I mean.

15                       MR. DJORDJEVIC: I don't  
16           think they are synonymous.

17       Q     Well, gastroesophageal reflux just means you  
18           have food or burning acids coming up into  
19           your throat, doesn't it, Doctor?

20       A     One may have those symptoms from reflux, yes.

21       Q     What other symptoms may you have?

22       A     Oh, many others, cough, hiccups, ear pain.

23       Q     Let me be more specific to Mr. Weekley. What

1 symptoms that he complained about, to your  
2 knowledge, do you feel -- strike that. What  
3 do you feel was responsible for Mr. Weekley's  
4 symptoms back in '87 or '88?

5 A His gastroesophageal reflux.

6 Q What do you feel that was secondary to?

7 A To an incompetent valve between the esophagus  
8 and the stomach which permitted the reflux to  
9 occur.

10 Q What is the relationship between incompetent  
11 valve, the sphincter valve and something  
12 called the small sliding hiatal hernia?

13 A The hernia probably helps to make the valve  
14 incompetent.

15 Q What's the surgical procedure to repair the  
16 incompetent valve, what's that called,  
17 Doctor, repair of hiatal hernia?

18 A Yes.

19 Q The Nissen procedure?

20 A The Nissen's fundoplication is the most  
21 common hiatal hernia repair operation.

22 Q And that was developed back in the '70s?

23 A I believe that's correct.

1 Q Was that, in your opinion, recommended for  
2 Mr. Weekley?

3 A No.

4 Q When do you feel that's indicated?

5 A When symptoms are refractory to medical  
6 therapy or some major complication is  
7 occurring that's refractory to medical  
8 therapy.

9 Q Can cancer itself cause these symptoms or the  
10 appearance of esophageal reflux?

11 A Essentially never.

12 Q Can cancer cause this burning acid-like  
13 sensation in your throat?

14 A No.

15 Q Dr. Rosenberg implied that when one is  
16 treating a patient with a history of  
17 gastroesophageal reflux and treating that  
18 patient with Pepcid and after a six-week  
19 course or so you can't totally eliminate the  
20 symptoms, then one is obliged to be sure that  
21 those symptoms are not being caused by  
22 cancer. Do you agree with that philosophy?

23 A That's an overstatement. As long as symptoms

1           are reasonably controlled during that  
2           six-week interval or the follow-up interval,  
3           then scoping is not mandatory.

4       Q     Doctor, looking back retrospectively again,  
5           had Mr. Weekley been subjected to an  
6           endoscopy in mid-1987 do you have an opinion  
7           more likely than not whether Barrett's  
8           esophagus and/or adenocarcinoma would have  
9           been discovered at that time?

10      A     Probably Barrett's esophagus would have been  
11           seen, but the cancer is virtually impossible  
12           to say.

13      Q     Let's talk a little bit about Barrett's  
14           esophagus. You've not written specifically  
15           on Barrett's, have you? I guess you did in  
16           the familial studies?

17      A     Right, we published an article on that with a  
18           family that many members had it.

19      Q     Right. I've got that in my hand here.  
20           Talking about Barrett's esophagus a minute,  
21           had that been diagnosed, you would agree with  
22           me that the standard of care would have  
23           required at least annual surveillance via

1 endoscopy with that condition, correct?

2 A No, the one suggested appropriate management  
3 for Barrett's esophagus is annual endoscopy,  
4 but that's not the all accepted standard of  
5 care.

6 Q Well, that's what you've written, haven't  
7 you, Doctor?

8 A Well, that's one accepted standard, but  
9 that's not the only standard.

10 Q All right, what's the other one, Doctor?

11 A The other standard is to say if you've  
12 diagnosed Barrett's and biopsy it, let's say,  
13 in '87, and the biopsies are perfectly okay,  
14 then to not repeat the scoping for two to  
15 five years is the other standard.

16 Q Okay. You're saying you can do one or the  
17 other?

18 A There's more than one correct standard, yes.

19 Q When you reviewed cases on behalf of the  
20 patient, on behalf of the plaintiff, how many  
21 times would that be, approximately 45 or 50  
22 cases you've looked at?

23 A That's probably a little too many, four or

1           five, three or four a year now, and ten years  
2           ago I probably only did one every year or  
3           two.

4       Q     Okay. So maybe you've looked at a total of  
5           25 cases?

6       A     Twenty, maybe.

7       Q     Out of the 20 cases, you say half of those  
8           have been for plaintiff?

9       A     Approximately.

10      Q     And how many of those did you review that you  
11           actually found negligent to substandard care?

12      A     Probably a couple, I'd have to think back  
13           hard exactly which cases and what was done;  
14           but I'd say a couple.

15      Q     A couple out of ten?

16      A     Probably a couple out of maybe eight.

17      Q     Two out of eight? And what was the subject  
18           matter that you found substandard care on?

19                           MR. DJORDJEVIC: If you can  
20           recall, Doctor.

21      A     Yes, I'm not -- a recent case was a colon  
22           case where a colonoscopy was done, and  
23           eventually a perforation occurred; and I

1           thought that the colonoscopy was done  
2           excessively aggressively and recommended --  
3           I'm not sure I'm at liberty to say what I've  
4           recommended, because it hasn't gone to court  
5           yet.

6                           MR. DJORDJEVIC:   Yes, he  
7           found for the plaintiff, how's that, Mike?

8                           MR. BECKER:   That's fine.

9   Q       Doctor, assuming that Mr. Weekley's Barrett's  
10           esophagus would have been diagnosed back in  
11           mid-1987, and assume that the attending  
12           physician chose the route of regular  
13           surveillance, at least annually if not every  
14           six months, would you agree with me that it  
15           is more likely than not that his  
16           adenocarcinoma would have been discovered in  
17           an earlier stage than when it was actually  
18           discovered?

19   A       That may have improved the staging, but we  
20           unfortunately don't have the proof yet that  
21           that's the case.   That's why we don't --

22   Q       Tell me why you think it may have improved?

23                           MR. DJORDJEVIC:   Well, he



1           was going to do that until you cut him off.

2                           MR. BECKER: I didn't mean  
3           to cut you off, Doctor.

4    A       Because more than half the cancers found in  
5           Barrett's esophagus are already incurable  
6           right when they're first found, so --

7    Q       That's because dysphagia -- strike that.  
8           Isn't dysphagia already present, then, too?

9    A       Part of the time.

10   Q       So isn't it most of the time, Doctor?

11   A       Probably in the majority, but certainly not  
12           all.

13   Q       Okay. I didn't mean to cut you off, you want  
14           to continue with your explanation?

15   A       Well, that was just it, we covered it.

16   Q       I didn't follow you. I think you gave me a  
17           maybe that had the scope been done, Barrett's  
18           esophagus more likely than not would have  
19           been discovered. And had the physician chose  
20           the course of surveillance endoscopy for six  
21           months or every six months or every year  
22           whether or not the cancer would have been  
23           detected at an earlier stage than what it was

1 detected at, and you gave me a maybe on that,  
2 and I wanted to know why you felt that way?

3 A Well, just like we said, because many of  
4 these cancers when first detected are already  
5 --

6 Q Okay, but --

7 MR. DJORDJEVIC: Mike, let  
8 him finish, I mean, you keep asking the  
9 question, and he tries to answer it and you  
10 cut him off.

11 Q Go ahead, Doctor.

12 A Many times when cancer is detected it's  
13 already spread. Even though the cancer's  
14 very small, it's already spread outside the  
15 wall. We had a case like that just here in  
16 the last couple of years, very small cancer  
17 already spread to the mediastinum and  
18 uncurable.

19 Q Doctor, do you have an opinion if  
20 Mr. Weekley's cancer would have been  
21 diagnosed at an earlier stage whether or not  
22 it would have improved his chances of  
23 survival at least five years?

1 MR. DJORDJEVIC: I'm going  
2 to object to that question, again, for the  
3 same reasons, and why don't you talk about  
4 whether it's diagnosed in situ or at stage 1  
5 and define stage 1 as the doctor did as not  
6 penetrating the mucosa of the esophagus.

7 MR. BECKER: Okay.

8 A Well, for all cancers, whether of the  
9 esophagus or anywhere, the earlier -- if one  
10 catches them very early the chances of cure  
11 is better, that's a given.

12 Q Doctor, I want to go back to the chart, if  
13 you have that handy?

14 A Yes.

15 MR. BECKER: Dr. Asuncion's  
16 records.

17 MR. DJORDJEVIC: Right.

18 A Yes.

19 Q I just want to know, maybe I'm overlooking  
20 something here, how many times did doctor  
21 describe a good control, that you deem good  
22 control? I see evidence of his ulcer scems,  
23 S-C-E-M-S, to be doing better on 2-29-88, I

1           see that; and I don't see any other  
2           indication of assessment of condition the  
3           balance of the year.

4     A     On 3-87, she appropriately says, "Still  
5           complaining of gastric acid in throat despite  
6           Tagamet," therefore she makes the next move  
7           to a stronger medicine or full-dose  
8           medication.

9     Q     Do you believe Pepcid is stronger than  
10          Tagamet?

11    A     In full dose, I assume he was not taking a  
12          full dose of Tagamet, I'm assuming, I don't  
13          absolutely know that; because he was  
14          borrowing at that point from his wife, I  
15          believe, and therefore I assume he was not  
16          taking a full dose.

17    Q     Okay.

18    A     And let me find the other cites.

19                               MR. DJORDJEVIC: All right,  
20          7-22-87, "His stomach is doing fine."

21    A     Yes, and --

22    Q     Hold on, Doctor, let me look at that one a  
23          minute.

1 MR. DJORDJEVIC: Sure.

2 MR. BECKER: Go ahead.

3 MR. DJORDJEVIC: Did you  
4 find it, Mike?

5 A And 2-88, "Ulcer seems to be doing better,"  
6 and it's very appropriate to call esophagitis  
7 an ulcer, they're interchangeable, because  
8 esophagitis involves erosions or ulcers  
9 usually.

10 Q I thought the word ulcer connotes a lesion  
11 within the stomach cavity?

12 A Oh, no, can be anywhere from the tongue to  
13 the anus, anywhere along the GI tract.

14 Q Okay.

15 A And actually even out of the GI tract.

16 Q Doctor, are you aware of what the PDR says  
17 relative to the description of Pepcid?

18 MR. DJORDJEVIC: Well, I'm  
19 going to object, again, unless the doctor  
20 acknowledges the PDR to be authoritative, you  
21 can't cross-examine him with that.

22 MR. BECKER: Well, I can ask  
23 him questions, but you can object to the way

1           they're phrased.

2                           MR. DJORDJEVIC: I'm going  
3           to object to them all, Mike; but it's an  
4           academic exercise at this point, we're not  
5           before a jury. If you want to go through it,  
6           let's do it.

7   Q       Doctor, I just want to know if you feel that  
8           the PDR says that Pepcid should be prescribed  
9           for chronic long-term use such as two years  
10          or two-and-a-half years?

11  A       No, the PDR does not say that one should do  
12          that.

13  Q       If the PDR doesn't say that, what authority  
14          do you have that's appropriate to treat  
15          someone with Pepcid for such a long term?

16  A       Numerous articles in the current literature  
17          on long-term treatment of gastroesophageal  
18          reflux and numerous authorities who have done  
19          that research who stand up at meetings and  
20          say, the only way to control long-term reflux  
21          is with long-term medication or surgery.

22                           MR. BECKER: I think I'm  
23          done here, one minute.

1 MR. DJORDJEVIC: Okay.

2 Q Doctor, have you looked at Mrs. Weekley's  
3 deposition?

4 A No, I have not.

5 Q Okay, one second. Mrs. Weekley said under  
6 oath that with all the medication he was on,  
7 including the Maalox, he was slightly better,  
8 but he still had the heartburn and it was  
9 persistent. Now, Doctor, would that fall in  
10 the category of refractory?

11 MR. DJORDJEVIC: I think  
12 that's a simplification of her testimony. I  
13 think it requires the doctor to make two  
14 assumptions that that testimony is true and  
15 that that was something that the patient made  
16 Dr. Asuncion aware of. But based on those  
17 assumptions, if you can answer the question,  
18 Doctor, go ahead.

19 A If indeed medication is given and the patient  
20 is not better, yes, then the patient is not  
21 responding.

22 Q Not significantly better. Do you agree with  
23 the report of Dr. Lanza?

1     A     If a patient takes standard medications and  
2           does not respond, then further studies are  
3           needed, yes, I agree if that is what happens.

4     Q     Well, let's take a look at Dr. Lanza's  
5           report, since you have that at hand, and tell  
6           me if you disagree with it then?

7                                 MR. DJORDJEVIC:  He does not  
8           have it in hand, Mike, but I will provide him  
9           with a copy.  And I would suggest that the  
10          only thing he's going to disagree with is  
11          probably the assumptions that you asked Dr.  
12          Lanza to make.

13    A     Yes, you say -- well, he says, "You have  
14           asked me to assume that there was no  
15           significant response to the long-term use of  
16           Pepcid in this case," and as I read the  
17           chart, that is not the case.  Now, if in  
18           another case where indeed a patient does not  
19           respond, then further diagnostic work would  
20           be in order.

21    Q     Assuming that assumption to be true, do you  
22           agree with Dr. Lanza's statement?

23    A     In general, but not in this case.



1 Q Thank you, Doctor. Doctor, have we covered  
2 all your opinions here today?

3 A Well, I have many other opinions about lots  
4 of things that we haven't talked about.

5 MR. DJORDJEVIC: We haven't  
6 talked about the upcoming election, I think  
7 the doctor has some opinions on that, but I  
8 think we've covered them for purposes of this  
9 case.

10 Q Have we covered all your opinions relative to  
11 your medical legal review on this case?

12 A I believe so.

13 Q Doctor, in the event you develop new opinions  
14 or change any of those opinions, I trust you  
15 would kindly advise Mr. Djordjevic so he can  
16 kindly advise me?

17 MR. DJORDJEVIC: You know I  
18 will, Michael.

19 THE WITNESS: Yes.

20 Q Okay, Doctor, thank you very much. We've  
21 taken up about an hour of your time, what do  
22 you charge per hour in depositions, Doctor?

23 A For this I hadn't decided, let me decide.

1 Q If you haven't decided, that's fine.

2 MR. BECKER: That's all I  
3 have, and at the moment this deposition will  
4 not be ordered. Maybe you can explain waiver  
5 or he'll read it, whatever, but this  
6 deposition will not be ordered.

7 MR. DJORDJEVIC: All right.  
8 Thank you, Michael, and we'll see you next  
9 week.

10 AND FURTHER THE DEPONENT SAITH NOT.

11  
12 (The reading, examination and  
13 signature by the witness are  
14 hereby waived by the witness  
15 and by the parties.)  
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1 I do further certify that I am a  
2 disinterested person in this cause of action;  
3 that I am not a relative or attorney of any  
4 of the parties, or otherwise interested in  
5 the event of this cause of action, and am not  
6 in the employ of the attorneys for any of the  
7 parties.

8 IN WITNESS WHEREOF, I have hereunto set  
9 my hand and affixed my notarial seal this  
10 \_\_\_\_\_ day of \_\_\_\_\_, 1993.

11  
12  
13  
14  
15 \_\_\_\_\_  
16 Dana S. Miller, RPR,  
17 Notary Public  
18  
19

20 My Commission Expires:  
21 January 17, 1994  
22  
23