

1 State of Ohio,) SS:

2 County of Ashtabula.)

Doe, 2/8

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IN THE COURT OF COMMON PLEAS

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6 ROGER GLENN MERRITT, et al.,)

7 Plaintiffs,)

8 v.) Case No. 93CV496

9 ILDEA ASKINS,)

10 Defendant.)

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12 THE VIDEOTAPED DEPOSITION OF RICHARD S. KAUFMAN, M.D.,

13 FRIDAY, JUNE 2, 1995

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15 The videotaped deposition of RICHARD S. KAUFMAN, M.D.,

16 a Witness, called for cross-examination by the Defendant,

17 under the Ohio Rules of Civil Procedure, taken before me,

18 Michele E. Eddy, Registered Professional Reporter and

19 a Notary Public in and for the State of Ohio, pursuant

20 to notice, at the offices of Richard S. Kaufman, M.D.,

21 23250 Mercantile Road, Beachwood Ohio, commencing at

22 1:30 p.m., the day and date above set forth.

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HOFFMASTER COURT REPORTERS

1 APPEARANCES:

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3 On behalf of the Plaintiff:

4 HENRY W. CHAMBERLAIN, ESQ.
Weisman, Goldberg & Weisman
5 1600 Midland Building
Cleveland, Ohio 44115

6

7 On behalf of the Defendant:

8 JOSEPH TIRA, ESQ.
Quandt, Giffels & Buck
9 800 Leader Building
Cleveland, Ohio 44114

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12 ALSO PRESENT:

13 Frank J. Kerka, Video Evidence, Inc.

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1 MR. TIRA: Let the record
2 reflect that this deposition is being taken
3 for trial purposes in lieu of Dr. Kaufman's
4 personal appearance at trial, taken
5 stenographically and by videotape, and it
6 will be played to the jury.

7 - - -

8 RICHARD S. KAUFMAN, M.D.
9 a Witness, called for direct examination by the Defendant,
10 under the Rules, having been first duly sworn, as
11 hereinafter certified, deposed and said as follows:

12 DIRECT EXAMINATION

13 BY MR. TIRA:

14 Q. Doctor, will you state your name for the ladies and
15 gentlemen of the jury, please?

16 A. Richard S. Kaufman, M.D.

17 Q. We're here this afternoon at your offices?

18 A. That's correct.

19 Q. They are located where?

20 A. 23250 Mercantile Road, Beachwood, Ohio.

21 Q. Doctor, will you tell us briefly about your
22 educational background beginning with college, please?

23 A. I received my BA degree summa cum laude, that means
24 with highest honors, from Yale University in 1952, and my
25 MD degree from Columbia University in 1956.

1 I then had five years of postgraduate training; a
2 year of internship at Mt. Sinai Hospital in Cleveland; a
3 year of residency at University Hospitals in Cleveland;
4 two years of orthopaedic surgery residency at Mt. Sinai
5 Hospital; and a year of orthopaedic surgery residency at
6 Indiana University Medical Center in Indianapolis.

7 Q. Doctor, are you licensed to practice medicine in
8 any states?

9 A. Yes, I'm licensed to practice in the State of Ohio
10 since 3.956, which is now 38 years. And I'm also licensed
11 to practice in Indiana and California.

12 Q. Doctor, do you specialize in any area of medicine?

13 A. I specialize in the field of orthopaedic surgery.

14 Q. What is orthopaedic surgery?

15 A. Orthopaedic surgery is the branch of medicine that
16 deals with the diagnosis and treatment both medically and
17 surgically of diseases and injuries to what we might call
18 the locomotor system, the parts of the body that move you
3.9 about. Primarily that's the bones and joints, but it
20 also involves the muscles and ligaments, tendons, nerves
21 of the spine and the arms and legs.

22 Q. Doctor, are you Board certified in orthopaedic
23 surgery?

24 A. I'm certified by the American Board of Orthopaedic
25 Surgery.

1 Q. When were you so certified?

2 A. 1963.

3 Q. How does one go about becoming Board certified and
4 what does it mean?

5 A. When I became Board certified, I had to have, of
6 course, four years of college, four years of medical
7 school, five years of postgraduate training. Following
8 this I took a three-day series of written and oral
9 examinations, which I passed the first time.

10 I then had to be in practice two and a half years
11 and take a second set of written and oral examinations,
12 which I also passed the first time and was certified by
13 the American Board of Orthopaedic Surgery as a fully
14 trained and competent specialist.

15 Q. Doctor, will you tell us about the staff privileges
16 at various hospitals you have had and do have?

17 A. I'm on the active staff at Meridia Southpoint
18 Hospital where I've been the chief of orthopaedic surgery
19 for 29 years; Mt. Sinai Hospital; Hillcrest Hospital. I
20 was the chief of orthopaedic surgery at Woman's General
21 Hospital for 23 years until it closed, and I'm the
22 orthopaedic consultant to the Arthritis Clinic at
23 Cleveland Metropolitan General Hospital.

24 Q. Doctor, do you hold any teaching positions?

25 A. I'm a clinical instructor of orthopaedic surgery at

1 Case Western Reserve University Medical School for the
2 last 31 years. And I was a professor for 20 years at the
3 Ohio College of Podiatry.

4 Q. Doctor, are you published in your area of
5 expertise?

6 A. I've published some papers dealing primarily with
7 the healing of fractures or broken bones, which are the
8 same thing, and I've given innumerable lectures on
9 various topics. I was invited to present a paper at
10 Orthopaedic Grand Rounds at Harvard University Medical
11 School in Boston. I gave the Harold Cummins Lectureship
12 at Tulane University in New Orleans. I was invited to
13 participate in a symposium at the Midamerica Orthopaedic
14 meeting at Colorado Springs, and I gave the Dr. Russell
15 Risso Memorial Lectureship here in town.

16 Q. Doctor, would you relate to the ladies and
17 gentlemen of the jury, please, a few of the professional
18 organizations to which you belong?

19 A. I'm a member of the Cleveland Orthopaedic Society,
20 the Ohio State Orthopaedic Society, the Great Lakes
21 Orthopaedic Club, the Midamerica Orthopaedic Society, the
22 Clinical Orthopaedic Society, the Bioelectric Repair and
23 Growth Society. I'm a Fellow of the American College of
24 Surgeons, a Fellow of the American Academy of Orthopaedic
25 Surgeons, and a Diplomate of the American Board of

1 Orthopaedic Surgery.

2 Q. Doctor, in the past have you given testimony as a
3 medical expert in litigation matters?

4 A. Oh, yes. Because I'm an orthopaedic surgeon, a lot
5 of our patients come to us with broken bones or injuries
6 who are injured, and people who are injured sometimes
7 have attorneys, and people who have attorneys sometimes
8 go to litigation, so I certainly have given testimony in
9 litigation matters before.

10 Q. And as giving testimony in litigation, Doctor, has
11 that been both on behalf of your patients and in
12 connection with independent medical examinations
13 requested by the defense?

14 A. Yes, I've been asked both by defense attorneys and
15 plaintiff's attorneys to be an expert witness, more
16 frequently by plaintiff's attorneys, because about five
17 percent of my practice is seeing patients for an
18 examination and report only either to plaintiff's
19 attorneys or defense attorneys or third parties, or
20 whatever.

21 Ninety-five percent of the people I see are sick
22 and injured patients, so that I do -- I'm asked to do a
23 deposition more frequently for -- by the patient's
24 attorneys, plaintiff's attorneys than by defense
25 attorneys.

1 Q. Doctor, in the past I've requested you to conduct
2 independent medical examination matters, have I not?

3 A. Yes.

4 Q. And has Mr. Weisman's office requested you to give
5 testimony on behalf of plaintiffs who claim injuries in
6 litigation?

7 A. Yes.

8 MR. CHAMBERLAIN:

dir
~~Objection.~~

9 BY MR. TIRA:

10 Q. Now, what would you be doing if you were not taking
11 your time this afternoon to give this trial deposition,
12 Doctor?

13 A. I would be either seeing patients or surgery or
14 whatever I'm scheduled to do.

15 Q. Would you be compensated for those services?

16 A. Yes.

17 Q. And in that you're taking your time away from your
18 practice, I take it there is a charge for your services
19 rendered today?

20 A. Yes, there is.

21 Q. Doctor, you do perform surgery, do you not?

22 A. Oh, yes.

23 Q. Also you treat individuals, patients who are
24 injured in motor vehicle accidents?

25 A. Yes.

1 Q. Have you had occasion to treat patients suffering
2 from degenerative disc disease and arthritis?

3 A. Yes, most people who are over 40 have some degree'
4 of degenerative disc disease and arthritis of the spine. ✓

5 Q. Have you also had occasion to treat individuals
6 with bulging, protruding or herniated discs?

7 A. Yes.

8 Q. Doctor, what is degenerative disc disease?

9 A. Well --

10 Q. If it would help with a model, please do so.

11 A. The back is made up of a series of bones stacked
12 one on the other, and between each of the bones is a disc
13 of cartilage that acts like a shock absorber.

14 Now, with wear and tear, the discs degenerate.
15 They get worn out, they get -- lose their water content.
16 They get less spongy or less elastic, and they shrink up
17 and get smaller, also. This is a degenerative disc
18 disease. We see it primarily -- it's more pronounced at
19 the ends of the removable sections of the spine, that is
20 at the base of the neck, the lower vertebrae in the neck
21 and the lower vertebrae in the lower back.

22 And besides the degenerating discs, you also see
23 generally arthritis or spur formation in the little
24 joints in the back of the neck and around the bones which
25 are **also** part of the degenerative process.

1 Q. Is that the same as arthritis, Doctor?

2 A. It's a form of arthritis. It's called degenerative
3 osteoarthritis. There are lots of other forms like
4 rheumatoid arthritis and gout and things like that. This
5 type of arthritis is what we call degenerative
6 osteoarthritis, which is a wear and tear type of
7 arthritis,

8 Q. Is that part of the aging process?

9 A. Yes.

10 Q. Doctor, in your experience of 38 years as an
11 orthopaedic surgeon, based upon that experience can you
12 tell us whether or not an individual can sustain a neck
13 injury when they are punched in the head?

14 A. Oh, yes, you can -- if your head is snapped around,
15 you can hurt your neck, yes.

16 Q. What about being kicked in the head?

17 A. Absolutely, same way, probably even more so.

18 Q. Now, Doctor, I provided you with various hospital
19 records, physician office records and medical reports,
20 x-ray films and MRI films of the Plaintiff -- one of the
21 plaintiffs in this case, Evelyn Merritt, did I not?

22 A. Yes.

23 Q. And would you relate for us what records and
24 radiographic studies you did in fact receive and review,
25 please?

1 A. Well, at the time I saw her, I reviewed x-rays from
2 Geauga County Hospital of her arm and leg and neck, and
3 an MRI, which is a magnetic resonance imaging. It's a
4 type of imaging in which the patient is placed in a large
5 magnetic field. The magnetic field is spun 90 degrees,
6 it's spun back again, and the atoms and molecules of the
7 patient's tissue disturb the magnetic field, and this is
8 all recorded and run into a computer. That's all I know
9 about it, but it comes out with a picture not only of the
10 bones but of the soft tissues, the nerves and the discs
11 that you can't see on the regular x-ray. And an MRI
12 was -- I reviewed that, one was done February 22nd, '93.

13 Q. Doctor --

14 A. This is Evelyn's, right.

15 Q. Excuse me, Doctor.

16 Do you recall my providing you with records from
17 Warren General Hospital?

18 A. Yes.

19 Q. From University Orthopaedic Associates?

20 A. Yes.

21 Q. Office records from Dr. Brandeberry?

22 A. Yes.

23 Q. Some records from University Hospitals?

24 A. Some records, yes.

25 Q. The emergency room record of January 16, 1992 from

- 1 Geauga Community Hospital?
- 2 A. Yes.
- 3 Q. And records from Miller Outpatient Therapy Clinic?
- 4 A. Yes.
- 5 Q. Did you review those records and films?
- 6 A. Yes, I did.
- 7 Q. And some of those records, did they predate the
- 8 motor vehicle accident of January 16, 1992?
- 9 A. Yes.
- 10 Q. Now, I asked you to examine the patient Evelyn
- 11 Merritt, did I not?
- 12 A. That's correct.
- 13 Q. What was the date of your examination?
- 14 A. August 2nd, 1994.
- 15 Q. Did you obtain a history from her at that time?
- 16 A. Yes, I did.
- 17 Q. Before we get into the history, Doctor, would you
- 18 please tell us briefly what the purpose is of obtaining a
- 19 history from a patient?
- 20 A. Well, a history is the patient's story as she tells
- 21 it to me. Whatever she says I put down and I ask her how
- 22 she's been hurt, how she's been treated, how she's
- 23 feeling today, how her symptoms have changed, and
- 24 whatever she says I put down.
- 25 Q. Does that include any questions concerning past

1 history and injuries?

2 A. Oh, yes, that would be important as, in any case of
3 an injury, to find out if they've had problems with the
4 same areas in the past.

5 Q. Is that past history of any significance when you
6 as an examiner is attempting to determine what injuries
7 are related to a certain accident or event as opposed to
8 some other accident or event or physical condition?

9 A. Oh, yes, it would be quite important. Often it is
10 quite important because if a patient is already having
11 problems in that area, then obviously the event may have
12 had nothing to do with it.

13 Q. Now, the Plaintiff Evelyn Merritt is complaining of
14 neck discomfort as a result of the motor vehicle accident
15 in question. At the time you obtained the history from
16 her, did she relate to you any past history of neck
17 discomfort?

18 A. I asked her specifically if she had any previous
19 injuries or symptoms to her neck, and she said she had
20 not.

21 Q. Now, Doctor, based upon your review of the medical
22 and hospital records we previously discussed, did any of
23 those records reveal any injury involving the neck?

24 A. Yes, the Dr. Brandeberry -- Brandeberry had seen
25 her just three months before this incident, at which time

1 she had had a neck injury. As a matter of fact I think
2 she was being seen as late as about three weeks before
3 this accident with a lot of neck pain and spasm in the
4 muscles of the neck.

5 Q. Was that the result of an altercation that occurred
6 in October of **1991**?

7 A. I believe it was a result of an altercation in
8 which she was hit or kicked in the head and developed
9 neck pain.

10 Q. Now, Doctor, I did provide you with the records and
11 office notes and reports from University Orthopedics
12 where Drs. Bolesta, was formerly associated, and Drs. Yoo
13 and Wilber are currently associated, which two latter
14 doctors have -- or will give -- have given testimony in
15 this case by videotape, have I not?

16 A. Yes.

17 Q. Based upon your review of their notes concerning
18 the history obtained from the Plaintiff, did you find any
19 notation of the Plaintiff relating to them a history of
20 this neck injury occurring in October **1991**?

21 A. No, she did not.

22 Q. Okay. Doctor, based upon your review of the
23 records pertaining to the October **1991** neck x-ray, in
24 your opinion do you believe that to be a significant
25 injury --

1 MR. CHAMBERLAIN:

~~Objection.~~

2 Q. -- or not?

3 MR. CHAMBERLAIN:

~~same objection.~~

4 A. The October --

5 Q. The altercation.

6 A. Yes. Oh, I'm sorry.

7 Yes, the altercation was in October of 1991, which
8 was three months before this automobile incident. It
9 apparently was a very significant injury. She had pain
10 and spasm in the muscles in her neck.

Dr. B. said
it wasn't
significant

11 Q. Is spasm an objective finding?

12 A. Yes, spasm is the involuntary contracture of the
13 muscle when there's underlying pain. The muscles go into
14 spasm and prevent the joint from moving in order to
15 minimize the amount of pain. You can feel spasm through
16 the skin, an examiner can, and that was what would make
17 it an objective finding.

18 Objective findings are those which the examiner can
19 tell without the patient telling them they are present;
20 such things as muscle spasms, swelling, redness, heat, a
21 deformity, skin discoloration, that sort of thing. These
22 are all objective findings.

23 Subjective findings are those things the patient
24 tells the examiner that they're there and there's no way
25 of **knowing** one way or another whether they are, **such**

1 things as tenderness or pain on motion.

2 So spasm is an objective finding, yes.

3 Q. Doctor, based upon your experience of 38 years as
4 an orthopaedic surgeon, would you expect a patient to
5 relate to you a neck injury such as that which you saw in
6 Mrs. Merritt's medical records as a result of the October
7 '91 altercation, if she presented to you with complaints
8 of neck pain following a January 1992 motor vehicle
9 accident?

10

MR. CHAMBERLAIN:

~~Objection.~~ W/P

11 A. Yes, based on my experience as a treating
12 physician, the patient certainly should and I would
13 expect the patient to tell me that three and a half weeks
14 prior to this automobile accident she was having neck
15 spasms and pain in her neck, prior to this.

16 Q. Doctor, you told us that you have reviewed cervical
17 x-ray films and a cervical MRI study?

18 A. Yes.

19 Q. What did those films reveal? Excuse me.

20 A. The plain films, that is regular x-rays, showed
21 disc degeneration at multiple levels and spurring
22 osteophytes, which are the little like icicles and bones
23 that are a part of the degenerative process. The MRI
24 also showed the same with disc bulges -- some disc
25 bulging around osteophytes, large osteophytes at

1 particularly three different levels, the three lowest
2 levels in the neck.

3 Q. Which are --

4 A. Well, there are the -- they name the levels by the
5 bones, so there are seven bones in the neck. They call
6 them **C-1** through **C-7**. And this was between **C-4/5**, **C-5/6**
7 and **C-6/7**, which would be the last three levels in the
8 neck. This is the area where you get the most
9 degeneration. **It's** sort of the short end of the stick,
10 where you get most of the wear and tear, and this is
11 where you expect to find osteophytes and disc
12 degeneration, and that's what she had.

13 Q. Doctor, do you have an a opinion based upon
14 reasonable medical certainty as to whether those changes
15 that you observed on the MRI and x-ray films of the
16 cervical spine predated the motor vehicle accident of
17 January 16, 1992?

18 A. Oh, yes, these are changes which take a long
19 period -- a long time to develop. They're a slowly
20 growing degenerative process, and it doesn't get -- it
21 obviously gets, increases over time as all arthritis
22 does, but it takes a long time to do it. And it was
23 obviously present at the time of the accident.

24 Q. That is part of the aging process?

25 A. Yes.

1 Q. Doctor, I'm going to hand you -- it hasn't been
2 marked as an exhibit yet, but I believe it will comprise
3 one of the Plaintiff's exhibits -- the x-ray
4 interpretation from Geauga Hospital on January 16, 1992,
5 the day of the accident.

6 A. Yes.

7 Q. The interpretation has a word called in it,
8 degenerative osteophytic or phytic spurs. What is that?

9 A. Well, an osteophyte is a bony spur, it's a little
10 like an icicle or bone. And with the degenerative
11 process, these little icicles of bone form around the
12 joints, and this is a degenerative process. So a
13 degenerative osteophyte is a bony spur formed by the wear
14 and tear process.

15 Q. The impression on this interpretation also
16 indicates the words neural foraminal encroachment. What
17 does that mean, Doctor?

18 A. At each level in the spine, the nerves go down the
19 inside of the bone, and then at each level a pair of
20 nerves are given off that go to the arms or legs or where
21 they're supposed to go.

22 Now, this hole that the nerve comes out of isn't
23 really a hole. Actually it's a space between the bone
24 above it and the bone below it, and this is called a
25 foramen. A foramen is I guess Latin for hole, and the

1 foraminal encroachment is the fact that this hole or
2 space has gotten smaller because of the degenerative
3 changes, and that tends to pinch the nerve.

4 Q. And what happens when the nerve is pinched because
5 of the degenerative changes?

6 A. You can have pain in the distribution of the nerve
7 where it goes down the arm or down the leg depending on
8 where **it's** pinched. You can have numbness wherever the
9 nerve is supposed to be going, in the arm, there are
10 certain areas that each nerve goes to in the arm or in
11 the leg, very specific areas, and this is the sort of
12 symptoms you'll get with a pinched nerve.

13 Q. Doctor, getting back to the history obtained from
14 Mrs. Merritt on August 2, 1994, would you relate the
15 history that she gave to you at that time, please?

16 A. She said that she was injured January 16th, 1992
17 when the van in which she was riding in the front seat
18 was involved in a collision from the front with a car
19 which turned in front of her. ^{OUT} She was not wearing a seat
20 belt. ^{IN} She said that her left side hit the dashboard.

21 She said her head hit the windshield. She said she was
22 stunned and somewhat shaken, but not unconscious.

23 Following the accident she said, quote, her whole
24 body hurt her, unquote. When asked where she hurt, she
25 said her whole body hurt.

1 In particular she said she had pain in her neck and
2 upper spine as well as bruises of the left arm from the
3 shoulder to the elbow and bruises of the chest, the left
4 hip and thigh and the left knee.

5 She went to Geauga Community Hospital the day of
6 the accident and was released after examination and
7 x-rays.

8 Following the accident she saw Dr. Brandeberry who
9 treated her with ultrasound, which is a type of deep
10 heat, and osteopathic manipulations to her neck without
11 relief. She said her symptoms had gotten worse.

12 She then went to Miller Therapy, who treated her
13 with massage to her neck without relief.

14 She had an MRI -- that's that magnetic resonance
15 imaging, of her neck and of her thoracic spine -- that's
16 her mid back where the ribs are attached -- February 2nd,
17 1993, which would be three weeks after the accident,
18 which was said to show moderate right-sided disc
19 protrusion or osteophyte. It's hard to tell whether it's
20 an osteophyte or disc, but it's called osteophyte disc
21 complex at C-5/6, which is the second to the lowest level
22 and the one which gets the most amount of stress. That's
23 the one that usually degenerates. And a broad-based disc
24 bulge or osteophyte at disc C-6/7.

25 The transverse sections, that is the pictures

1 looking at the spine this way (indicating) were said to
2 suggest disc herniation at **C-4/5**, but only disc
3 degeneration and bulging at **C-5/6** and **C-6/7**. And I
4 reviewed that MRI and I agree with it.

5 She also had a **CT** scan. A **CT** scan is a
6 computerized x-ray in which a series of x-rays are taken
7 around the body, and then all the x-rays are fed into a
8 computer and you get a picture as if you're looking on it
9 end on like this, as if you sliced it down and you can
10 see all these different slices. It's called a **CAT** scan
11 or a **CT** scan.

12 And a myelogram is a test in which they inject
13 special fluid into the space surrounding the nerves,
14 there's a watery fluid there. They inject some special
15 material in there that x-rays won't go through, and that
16 then outlines the space and it outlines the nerves and it
17 outlines the discs. And then you can do the two
18 together, a myelogram and a **CT** scan at the same time.
19 And that was done of her neck, and that was said to show
20 large osteophytes at **C-5/6** and **C-6/7** with some disc
21 material. But she did have large osteophytes, which is
22 from this degenerative process.

23 There was also said to be some indentation of the
24 sac, that is the sac that surrounds the nerves, and some
25 flattening of the cord at **C-5/6**. The patient then

1 apparently was seen by Dr. Bolesta who recommended
2 surgery of her neck, but this wasn't done. She said that
3 she'd been on Ibuprofin, which is Motrin; and Daypro,
4 which is an anti-inflammatory medication; along with
5 Soma, which is a muscle relaxant, at night. It's also
6 sort of a tranquilizer. And she said she sometimes takes
7 it during the day.

8 At the time that I saw her, she said that the neck
9 pain had become worse. She said that it was located on
10 both sides of her neck, in the front going down to the
11 collarbone, and in the back going down to the top of the
12 collarbones the left side more than the right.

13 The pain was said to be constant and varying in
14 degree from bad to worse. Those were her words, quote,
15 bad to worse.

16 She said that it was made worse by lifting with her
17 right arm and also by damp and cold weather. She said it
18 was worse with any activity and with any motion or any
19 jarring of her neck. She said it was relieved by nothing
20 that she knew of. She said she used a cervical collar
21 when driving, but it didn't help her pain, so I really
22 don't know why she used it, but she said she used it
23 anyway.

24 She said that there was spread of the pain to both
25 shoulders. She said that she would have intermittent

1 numbness of both hands from the mid palm down, including,
2 she said, quote, maybe the whole hand.

3 This is a nonanatomical distribution, that is there
4 is no nerve that goes from the middle of the palm and
5 involves all the fingers. There are actually three
6 different nerves that go to the fingers, and they go to
7 places -- more places than just the mid-palm.

8 She said there was constant weakness of the entire
9 area of both of her arms, the entire upper extremities,
10 the right more than the left. Again there's no one nerve
11 that goes to all the muscles of the upper extremities;
12 there's actually five or six. So that this is again a
13 non -- what we call a nonanatomical complaint, that she
14 had constant weakness of the entirety of both arms.

15 The midback pain was said to have become worse.
16 She said it was located on both sides, mostly to the
17 right. It was primarily in the lower dorsal spine
18 region, that is the midback, the lower part of the
19 midback The midback is the part where the ribs are
20 attached. It was said to be constant and moderate in
21 degree. It was said to be made worse by nothing that she
22 knew of and was relieved by nothing that she knew of.
23 There was no spread of this pain, it just stayed right in
24 the back.

25 The symptoms in her left knee she said had become

1 worse. The pain was said to be located in the front of
2 the knee and in the front of the shin bone. It was said
3 to be constant and aching in nature. It was said to be
4 made worse by nothing that she knew of and it was
5 relieved by nothing that she knew of. She said that she
6 had experienced no symptoms of swelling of her knee. She
7 said the knee would lock at times as if it was, quote,
8 out of position, unquote, and that she had to exercise to
9 get it back in.

10 The last time this had happened was a couple of
11 days earlier. She said that she had had some giving way
12 of the knee, the last time a couple of days earlier.

13 The left hip pain she said had become worse.

14 Q. Doctor, if I could stop you there. Mrs. Merritt
15 complained of left knee discomfort?

16 A. Yes.

17 **a.** I'm going to hand you -- it hasn't been marked as
18 an exhibit yet -- the Geauga Hospital Emergency Room
19 record. And if you would look at that record to
20 determine what complaints were voiced and what x-rays
21 were obtained, is there any indication of a left knee
22 complaint or any left knee x-rays?

23 A. No, just the pain in the neck, the left arm and the
24 right leg, not the left leg.

25 Q. So the only complaint at the time of the accident

1 was the right leg, nothing involving the left knee or
2 left leg?

3 A. That's correct.

4 MR. CHAMBERLAIN:

~~objection.~~ 8/R

5 BY MR. TIRA:

6 Q. Thank you.

7 Continue, please.

8 A. The left hip pain she said had become worse. She
9 said it was located on the outer side of the hip around
10 the bump -- you feel the bump -- the bony bump you feel
11 on the outer side of your hip.

12 She said that the pain was constant the first month
13 that she had it, that it was moderate now and severe at
14 times. It was made worse by nothing that she knew of and
15 was relieved by nothing that she knew of. There was no
16 spread of this pain, so -- and that was all the pain that
17 she complained of.

18 **She** said they all had become worse and she didn't
19 have any idea what made them worse or what made them
20 better. She had just said they had gotten worse.

21 Q. Any additional history?

22 A. She said that she was not employed at the time of
23 the accident and that she had not worked since the
24 accident. She said she had no previous or subsequent
25 injuries or symptoms in any of these areas, in the neck,

1 the mid back, the knee or the hip.

2 I asked her specifically if she had any other
3 previous problems with these areas and she said she had
4 not. She said she'd been in good health with no serious
5 illnesses or operations.

6 She had taken no medication which would affect her
7 symptoms on the day of this examination. She said that
8 she took Advil three and a half hours before I saw her,
9 but she said it was not having any effect at the time I
10 examined her.

11 Q. Did you conduct a history of Mrs. Merritt, Doctor?

12 A. A physical exam.

13 Q. A physical exam, I'm sorry.

14 A. Yes.

15 Q. Would you tell the ladies and gentlemen of the jury
16 what your physical examination consisted of and what your
17 findings were, please?

18 A. On physical examination, Mrs. Merritt appeared to
19 be in no discomfort when I was taking the history. She
20 certainly seemed quite comfortable. However, during the
21 examination, she immediately began to groan and grimace
22 with any neck motion.

23 Coming into the examining room she wore a cervical
24 collar, one of these soft, cervical collars. But when
25 she took it off, she moved her head quite easily without

1 it until she was examined.

2 She was told to let me know if anything caused her
3 pain during the examination.

4 Her gait, the way she walked was normal, and she
5 moved about easily. She could walk on her heels and toes
6 without difficulty. Examination of her cervical spine,
7 of her neck -- cervical spine means neck -- showed her to
8 hold her head straight. It wasn't tipped to one side or
9 the other.

10 There was moderate restriction of all motion due to
11 the complaints of pain when she was being examined. That
12 is when I asked her to put her head down and back and
13 side-to-side and turn it from side-to-side, she
14 complained of pain, actually of moderate pain on all
15 motion and it was quite restricted. However during the
16 other parts of the examination when I wasn't examining
17 her neck, she moved her head quite freely.

18 Q. Is that of any significance to you, Doctor, as an
19 examiner?

20 A. Well, yes, obviously when I asked her -- when I
21 tried to examine her neck, she would hold it stiff and
22 say it hurt. But when I wasn't examining her neck, she
23 moved it really quite freely. That is significant.

24 Q. Thank you, Doctor.

25 A. Moderate tenderness was said to be present in both

1 the trapezius muscles, that's these big muscles of the
2 side of the neck. When I pressed on it, she said it was
3 tender.

4 Neurological examination of the upper extremities
5 showed the reflexes to be normal. Just as when you tap
6 the knee, the leg kicks, there are other tendons that you
7 can tap and the muscles will twitch. Actually there are
8 three in the arms, and these were all normal.

9 There was weakness of grip in the right hand when I
10 asked her to voluntarily grip my hand, I asked her just
11 to see how strong she was, I asked her to grip my hand,
12 and the grip on the right hand seemed weaker than the
13 left. There was numbness said to be present over both
14 hands from these joints here, these joints here to the
15 tips of the fingers of these four fingers. This is again
16 a nonanatomical distribution. There is no nerve
17 distribution which will give numbness from these joints
18 outwards on all four fingers.

19 Examination of her left hip joint revealed the
20 contour appeared to be normal. There was no swelling or
21 fluid in the joint or instability of the hip joint.
22 There was no redness or heat or any evidence of the joint
23 was inflamed. There was no skin discoloration such as
24 redness or black and blue.

25 Range of motion in the joint was normal. All

1 motions were painfree. There was no crepitus. Crepitus
2 is a sort of feeling of grinding sensation when there's a
3 rough joint surface, one rough surface moving on another,
4 that's sort of a sandpapery feeling. It's called
5 crepitus. That was not present.

6 There was no tenderness anywhere about the hip
7 joint, and there was specifically no tenderness over the
8 outer side of the hip joint. That bony bump where she
9 said she was having pain, there was no tenderness there
10 specifically.

11 Examination of her left knee revealed the contours
12 to be normal. There was no swelling or fluid in the knee
13 joint. There was no instability of the knee joint.
14 There was no redness or heat or evidence of inflammation.
15 There was no skin discoloration such as black and blue.

16 Range of motion in the knee was normal, it
17 straightened completely and bent completely and all
18 motions were without any pain at all. Again there was no
19 crepitus present, this sandpapery feeling. There was no
20 tenderness anywhere about the knee joint.

21 The examination of the left knee joint was totally
22 normal. Examination of her mid back, thoracic spine,
23 showed no deformity. There was moderate restriction of
24 motion due to complaints of pain. That is, again, she
25 would not move much at all in her mid back because she

1 said it hurt.

2 There was no spasm in the muscles of her mid back.
3 Spasm, as I say, is the involuntary contracture of the
4 muscle when there is underlying pain. You can feel this
5 through the skin, and there wasn't any.

6 There was no tenderness noted about the perispinal
7 muscles or the shoulder blade areas, which you would
8 expect to find if you have pain on motion, you expect to
9 find tenderness in the muscles and ligaments, and there
10 was no tenderness found.

11 Moving the shoulder blades across the chest, back
12 and forth and up and down, was normal in range without
13 pain.

14 Q. Doctor, I'm going to ask you a series of questions
15 based upon the following factors which I would ask that
16 you keep in mind. Your experience as an orthopaedic
17 surgeon for the past 38 years, the history you obtained
18 from the Plaintiff and your examination of her on
19 August 2, 1994, your review of her records from Geauga
20 Hospital, from Warren General Hospital and the office
21 records of Dr. Brandeberry pertaining to his treatment
22 following the altercation of October 9, 1991 and based
23 upon your review of Mrs. Merritt's x-ray films of the
24 cervical spine and the MRI study of the cervical spine
25 obtained at the aforementioned hospitals, the MRI at

1 University Hospitals. Doctor, with those factors in
2 mind, do you have an opinion based upon reasonable-
3 medical certainty as to the nature and extent of the
4 injuries sustained by Plaintiff Evelyn Merritt in the
5 motor vehicle accident of January 16, 1992?

6 A. Yes.

7 Q. What is your opinion, sir? --

8 MR. CHAMBERLAIN:

Objection. *OK*

9 A. It was my opinion that based on reasonable medical
10 certainty that she had, I'll say it first in medical
11 terms and then translate it, possible cervical
12 myofascitis. Myofascitis. Myo means muscle, fascia
13 means ligament, and itis is an inflammation. So it's an
14 inflammation of the muscles and ligaments. Cervical,
15 means neck, so it would be of the neck. This was based
16 entirely on her complaints of pain in the neck. She
17 obviously had degenerative changes in the neck which were
18 due to wear and tear and not to this accident. There was
19 obvious exaggeration of symptoms.

20 Q. Doctor, with the same factors in mind, do you have
21 an opinion based upon reasonable medical certainty as to
22 the duration of that possible cervical myofascitis as a
23 result of the motor vehicle accident in question?

24 MR. CHAMBERLAIN:

Objection. *OK*

25 A. Usually it's been my experience based on reasonable

1 medical certainty of this type of injury, the symptoms
2 last about four weeks, six weeks, something like that.

3 Q. Doctor, with the same factors in mind, do you have
4 an opinion based upon reasonable medical certainty as to
5 whether the motor vehicle accident of January 16, 1992
6 aggravated or accelerated Plaintiff Evelyn Merritt's
7 preexisting degenerative disc disease?

8 A. Yes, it's my --

9 Q. What is your opinion, sir?

10 MR. CHAMBERLAIN:

c/r
~~Objection.~~

11 A. It's my opinion based on reasonable medical
12 certainty that osteoarthritis or degenerative
13 osteoarthritis, which is degenerative disc disease, which
14 is what she has, is not accelerated or aggravated by this
15 type of trauma at all. I've never seen it happen in,
16 I've been following patients for 34 years from my office.

17 Q. Doctor, do you have an opinion based upon
18 reasonable medical certainty with the same factors in
19 mind as to whether the motor vehicle accident of
20 January 16, 1992 is the direct and proximate cause of
21 the Plaintiff's cervical surgery at University Hospitals
22 in December of 1994?

23 A. Yes.

24 Q. What is your opinion?

25 MR. CHAMBERLAIN:

c/r
~~Objection.~~

1 A. It is my opinion based on reasonable medical
2 certainty that the surgery which was subsequently done in
3 her neck, subsequent to my examination of her, was not
4 the direct result of the automobile accident of January
5 16th, 1992, but was necessitated by the degenerative
6 osteoarthritis, which she had -- which preexisted at the
7 time of that accident.

8 Q. As a follow-up to that question, Doctor, do you
9 have an opinion based on reasonable medical certainty
10 with the same factors in mind as to whether the expenses
11 related to the December 1994 surgical procedure involving
12 the cervical spine at University Hospitals is related to
13 the motor vehicle accident of January 16, 1992?

14 MR. CHAMBERLAIN:

Obj~~e~~ on. *D/R*

15 A. Yes, it's my opinion based on reasonable medical
16 certainty that since I do not think the surgery was
17 related to the accident, I don't think expenses were
18 either.

19 Q. Okay. Doctor, Dr. Wilber has given testimony in
20 this case that there has been a nonunion of bone
21 following the December 1994 -- I'm sorry, yes, December
22 1994 cervical operation.

23 Doctor, with the same factors in mind, do you have
24 an opinion based upon reasonable medical certainty as to
25 whether any future surgery upon Mrs. Merritt's cervical

1 spine is a direct and proximate cause or related to the
2 motor vehicle accident of January 16, 1992?

3 MR. CHAMBERLAIN:

objection.

4 A. Yes, it would be my opinion since I don't think the
5 original **surgery** was a result of the accident that any
6 subsequent surgery, it is my opinion it would not be a
7 result of the accident, either.

8 Q. Doctor, the surgery that Mrs. Merritt did undergo
9 in December 1994, what levels did that involve?

10 A. I believe C-4 -- 4/5, 5/6 and 6/7.

11 Q. And what levels did she -- does she have
12 degenerative disc disease at?

13 A. All those three levels. That's why I -- that's my
14 opinion why it was done was for degenerative disc
15 disease, for her degenerative arthritis and not for any
16 trauma which she might have sustained in the accident.
17 The surgery was done at the exact levels that she has the
18 ~~degenerative changes.~~

19 Q. Based upon the history that Mrs. Merritt gave you
20 about going forward and her head hitting the windshield
21 and injuring her neck, based upon your experience of
22 individuals who are involved in such injuries where they
23 injure their neck, has it been your experience that if
24 there is any disc injury, it is at one level, two levels,
25 three levels, or more?

1 A. It's been my experience that many patients that I
2 have followed that if the disc herniation is caused by
3 injury by trauma, that it is at one level. It certainly
4 is not at three levels. Occasionally it may be at two,
5 but certainly not at three, that you would get the disc
6 herniation at one level. That's where the major forces
7 would be applied if that were the cause of the herniation
8 and that it -- the force would be dissipated and that
9 would be it, there wouldn't be herniating discs at
10 various levels.

Then
Fight
has
no
relevance

11 Q. Doctor, with the previous factors I had
12 mentioned, if you keep those in mind, based upon those
13 factors, do you have an opinion based upon reasonable
14 medical certainty as to whether or not Plaintiff
15 Evelyn Merritt sustained any permanent disability as a
16 result of the motor vehicle accident of January 16,
17 1992?

18 MR. CHAMBERLAIN:

~~Objection.~~ o/r

19 A. Yes.

20 Q. What is your opinion, sir?

21 A. It is my opinion that she probably did not
22 result -- develop a permanent disability from that
23 accident, that her problems are her degenerative
24 arthritis and not from the accident.

25 MR. CHAMBERLAIN:

Same o 'ection. o/r

1 BY MR. TIRA:

2 Q. Doctor, Dr. Wilber has given a videotape trial
3 deposition testimony in this case that based upon his
4 examination of Plaintiff Evelyn Merritt in May of 1995,
5 he found that the surgical procedure he had performed,
6 there has not been a nonunion of the bone at C-7 and that
7 future surgery is required.

8 You've already given your opinion concerning the
9 future surgery, I won't ask you again, but relative to
10 that nonunion, Dr. Wilber has testified that prior to the
11 surgery he advised Mrs. Merritt to stop smoking
12 cigarettes because the smoking of cigarettes can result
13 in a nonunion of bone following this type of surgery.

14 Do you agree with that statement?

15 A. Oh, yes, yes, absolutely, smoking cigarettes --
16 cigarette smoking has been shown to be one of the major
17 factors in, we call it nonunion, that is not healing of
18 the bony fusion.

19 MR. TIRA: Okay. Thank you,
20 Doctor.

21 I have no further questions.

22 - - -

23 CROSS-EXAMINATION

24 BY MR. CHAMBERLAIN:

25 Q. Okay. Doctor, my name is Hank Chamberlain.

- 1 A. Mr. Chamberlain.
- 2 Q. Doctor, how are you?
- 3 Along with Mitchell Weisman, we represent Mr. and
- 4 Mrs. Merritt who have brought the claim. Before I begin
- 5 your cross-examination, I would like to take a moment, if
- 6 I can, and see the files that you have on both Mr. and
- 7 Mrs. Merritt.
- 8 A. Certainly.
- 9 MR. CHAMBERLAIN: We can go off the
- 10 record.
- 11 MR. TIRA: Sure.
- 12 (Thereupon, there was a discussion
- 13 off the record.)
- 14 Q. We've met before, haven't we?
- 15 A. Yes, sir.
- 16 Q. In fact in the professional circumstances that you
- 17 and I seem to run into, it's when a defense lawyer in the
- 18 City of Cleveland asks you to examine somebody that we
- 19 represent, huh?
- 20 A. Not always. I see people from your office as well.
- 21 Q. But you've never seen anybody that I represent, is
- 22 that true?
- 23 A. I don't remember. I probably don't.
- 24 Q. In fact this is probably the eighth or ninth time
- 25 that we've got together in the circumstances where a

1 defense attorney has asked you to examine one of my
2 clients; is that correct?

3 A. I don't remember. I don't keep track of this.

4 Q. Well, Doctor, you're familiar with the care and
5 treatment that was provided to Evelyn Merritt, correct?

6 A. I hope so. I presume I am.

7 Q. Okay. I want to -- I'm going to ask you some
8 questions about that, but before I get to that, I want to
9 talk to you about your role in this lawsuit.

10 You were contacted by the defense attorney,
11 Mr. Tira, in this case, correct?

12 A. My office was, that's correct.

13 Q. Right. The sole purpose of your involvement was to
14 examine the Merritts and report back to Mr. Tira,
15 correct?

16 A. That's correct.

17 Q. Your purpose was not to care or provide help to
18 Mr. or Mrs. Merritt?

19 A. No.

20 Q. Okay. You had a one-time examination of these
21 individuals, correct?

22 A. Yes.

23 Q. And that occurred on August 2nd of 1994, correct?

24 A. Well, let me just look at Mr. Merritt's as
25 well.

1 Yes.

2 Q. That was over two and a half years after the
3 collision that took place, correct?

4 A. Yes.

5 Q. And you never saw them before that, correct?

6 A. That's correct.

7 Q. Your physical examination that you spoke about of
8 Ms. Merritt, can you tell first of all the jury what the
9 physical examination is?

10 A. Well, it's a -- when I examine the -- actually it
11 starts when I am taking the history because I watch the
12 way she moves, the way she looks around the room, the way
13 she handles herself, and then after I take the history, I
14 do a more formal examination of the areas of which she
15 complains.

16 Q. Well, Doctor, setting aside watching her move and
17 getting to the hands-on portion of your examination, are
18 you aware that that portion of your physical exam lasted
19 seven minutes?

20 A. No, I don't keep track of it. I go in, I do a
21 complete and thorough examination and then I leave. As I
22 say the examination starts when I'm taking the history,
23 but it doesn't take as long when there's not much wrong,
24 but I don't know how long it took.

25 MR. TIRA:

Objection. *c/r*

1 BY MR. CHAMBERLAIN:

2 Q. You wouldn't dispute that someone from my office
3 was there during your examination of Mrs. Merritt?

4 A. I don't dispute anything, that's your profession,
5 not mine. My profession is taking care of people.

6 Q. Was somebody from my office in your examining room
7 while you examined Miss Merritt?

8 A. Dan Geotz.

9 Q. And you wouldn't dispute if Mr. Geotz --

10 A. I don't dispute anything.

11 Q. -- timed your physical examination at seven
12 minutes?

13 A. I don't know what Mr. Geotz would tell you. He's
14 your employee. I think you've got a dispute with him,
15 not me.

16 MR. TIRA:

Objection. o/r

17 Q. And, Doctor, you've done examinations and reports
18 before for defense attorneys, correct?

19 A. For Plaintiff's attorneys, for defense attorneys,
20 for a lot of different people, yes.

E1 Q. And without pulling out your other depositions that
'22 I have here, you've testified before that you do
23 independent medical examinations at least on the order of
' 24 six to eight a week?

/ 25 A. No, about -- I think about six I have testified to

1 in the past.

2 Q. All right. Well, let's -- you gave your deposition
3 back in another case that you were involved in, a
4 different defense lawyer, back in February of '93 and
5 you --

6 A. February of '93 would be what, two and a half years
7 ago?

8 Q. Right.

9 A. Yes.

10 Q. And you were asked the question, "And during this
11 deposition" --

12 A. May I see it?

13 Q. Sure.

14 A. Yes, it was six to eight. I think it's more --
15 it's closer to six. I was just estimating it. I don't
16 really keep track of it. It doesn't -- as I say, when I
17 examine a patient like this, I examine the patient
18 exactly the same way as I would a patient I would go to
19 treat. A new patient is done exactly the same way, but I
20 -- it's probably the ones I don't treat, altogether
21 that is Plaintiff's attorneys and defense attorneys and
22 / third parties might be six to eight a week.

23 Q. Six to eight.

24 And what do you charge for those examinations?

25 A. \$350.00.

1 Q. So if we do the math, that comes out to somewhere
2 between \$2,100 and \$2,200 a week for doing independent
3 medical examinations?

4 A. I don't know. Six times that would be about --
5 yes, that sounds about right.

6 Q. And you testify like you're doing here today at
7 least two times a week, correct?

8 A. About. At least, but about. Again including
9 Plaintiff's attorneys and defense attorneys, about two
10 times a week.

11 Q. All right. Again, in another deposition that I
12 have here that was taken on September 27th of '91 where
13 you were deposed --

14 A. You're really getting back there, that's four years
15 ago.

16 Q. You were asked a question there --

17 A. Yes.

18 Q. "Can you give me a typical month, for example, let
19 me give you an example, I have a case -- strike that,"

20 "If you said half a dozen times per month, would
21 that be in the ballpark that could be" --

22 A. Six.

23 Q. And you said, "oh, I think at least that, yes."

24 A. I think that's six. That's -- yeah.

25 Q. At least two times a week?

- 1 A. I didn't say at least two times a week. I said at
2 least six a month, That's about one and a half a week.
- 3 Q. Doctor --
- 4 A. I think two a week is about right, but I don't keep
5 track of it, I don't know.
- 6 Q. Doctor, let's talk specifically about your care and
7 treatment of Evelyn -- or not your care and treatment,
8 your examination, excuse me.
- 9 A. Yes.
- 10 Q. Your examination of Evelyn Merritt.
- 11 You mentioned during your direct examination and in
12 your report that the symptoms of Evelyn Merritt were
13 exaggerated, correct?
- 14 A. Yes.
- 15 Q. I want to talk about this right up front here. In
16 your report you say there is some exaggeration of
17 symptoms. You put that in your report, right?
- 18 A. That's correct.
- 19 Q. And you testified on direct that that's what you
20 said -- that that is what she did?
- 21 A. Yes.
- 22 Q. Evelyn Merritt exaggerated her symptoms.
- 23 A. I believe so.
- 24 Q. In fact you also said that there was a hand
25 strength evaluation done which showed evidence of a

1 voluntary lack of cooperation. You put that in your
2 report, correct?

3 A. Yes, that's correct.

4 Q. She was faking, correct?

5 A. I didn't say she was faking. I said there was a
6 lack of cooperation.

7 Q. And exaggeration?

8 A. And exaggeration.

9 Q. Correct?

10 A. That's right. That's true.

11 Q. Would you agree with me that she was exaggerating
12 or manufacturing signs or symptoms and that was your
13 opinion when you looked at her?

14 A. I think some of the symptoms she exhibited were
15 definitely exaggerated.

16 Q. You're familiar with Dr. Wilber, correct?

17 A. I know his name. I don't know him.

18 Q. All right. Well, are you aware that Dr. Wilber
19 spends about 95 percent of his time treating spinal
20 injuries?

21 A. Operating, that's correct.

22 Q. And he's the Director of the Spinal -- of Spinal
23 Surgery at Metro?

24 A. That's right. If he doesn't operate on them, he
25 doesn't take care of them.

1 Q. Are you aware that Metro has the largest trauma
2 unit in the State of Ohio?

3 MR. TIRA:

Objection. *W*

4 A. What does that have to do with -- no, I'm not aware
5 of that.

6 Q. Let me ask you, Doctor, if she was exaggerating her
7 symptoms at the time she saw you and some of these
8 symptoms were manufactured, why would Dr. Wilber operate
9 on her four months after you saw her?

10 A. I certainly wouldn't have.

11 MR. TIRA:

Objection. *W*

12 A. I can't speak for Dr. Wilber, but I certainly think
13 it's a very poor idea to operate on somebody who's
14 exaggerating her symptoms.

15 Q. You don't want to suggest that Dr. Wilber had
16 committed medical malpractice, do you?

17 MR. TIRA:

Objection. *W*

18 A. If you want to suggest that, that's up to you. I
19 didn't say that.

20 Q. Doctor, in your practice, you wouldn't operate or
21 do a triple laminectomy infusion on someone who you felt
22 was exaggerating her symptoms, would you?

23 A. No, I certainly would not.

24 Q. But that was done on Evelyn Merritt, correct?

25 MR. TIRA:

Objection. *W*

1 A. That's up to Dr. Wilber. I didn't do it.

2 Q. Whether the symptoms were caused by degenerative
3 disc disease or by trauma, if someone's faking it and
4 faking their symptoms, you don't operate, fair enough?

5 A. I certainly wouldn't, no.

6 Q. Now, let's talk about this October 9th of '91
7 incident. You're familiar with the records in regard to
8 that, correct?

9 A. I don't know, how many --

10 MR. TIRA: '92. Oh, I'm
11 sorry, '91, excuse me.

12 A. The altercation thing. You say the records, I
13 referred to some of the records, but I'm not sure what
14 you mean by the records.

15 Q. Doctor, I want to show you the Warren General
16 Hospital Emergency Room record, and if you could show
17 me -- and that's where she went after the altercation
18 that she was involved where she was kicked and hit,
19 correct?

20 Correct, Doctor?

21 A. I'm just looking to see. I believe so, but I just
22 want to be sure.

23 Q. All right.

24 A. I believe so, yes.

25 Q. Those are the records that you have reviewed

- 1 previously, right?
- 2 A. Yes.
- 3 Q. Is there a complaint of neck pain anywhere in that
- 4 record?
- 5 A. Just a moment, I've got to look at it.
- 6 No.
- 7 Q. Okay. And, Doctor, if you'll flip to the page that
- 8 has the home-going instructions, please.
- 9 A. They took an x-ray of her neck at that time, took
- 10 an x-ray of her neck.
- 11 Yes.
- 12 Q. Okay.
- 13 A. Follow-up care at emergency room, is that the page
- 14 you mean?
- 15 a. Yes.
- 16 A. M-hm.
- 17 Q. Is there a section there, Doctor, that advises the
- 18 patient about neck or back problems and how to watch for
- 19 problems subsequently after discharge from that emergency
- 20 room visit?
- 21 A. No, it doesn't say how to watch for problems. It
- 22 just says how to take care of problems.
- 23 Q. How to take care of the problems.
- 24 A. Yes.
- 25 Q. Is there any indication on that home-going

1 instruction sheet that she has a neck problem?

2 A. No.

3 Q. That's not evident on October 9th of '91 in that
4 incident?

5 A. Not on this sheet, no.

6 Q. Are you familiar with the testimony of
7 Dr. Brandeberry, the treating physician that saw her
8 after she went to Warren General Hospital in October
9 of '91?

10 A. No, I'm not familiar with his testimony.

11 Q. Are you aware that he considers what happened in
12 October of '91 a minor incident compared to the impact
13 and the injury that she sustained in this automobile
14 collision in January of '92?

15 A. I'm not aware of what he testified.

16 Q. Okay. But **it's** fair to say you disagree with her
17 treating physician who saw her at that time?

18 MR. TIRA:

objection. *W/D*

19 A. I didn't say that at all. I just said that she
20 apparently had muscle spasm three weeks before the
21 accident, the van accident, and she told me she never had
22 any trouble with her neck before.

23 Q. Let's talk about what she didn't have. Have you
24 seen any evidence between October and January of
25 radiating neck pain or radiculopathy?

1 A. Radiating or radiculopathy, no. I don't think she
2 had evidence of radiculopathy at any time.

3 Q. You haven't reviewed or seen any evidence of
4 radiculopathy in this case whatsoever?

5 A. I'm not aware of any. The symptoms that she
6 related to me are bizarre and not anatomical. And I'm
7 not aware -- I don't have any notes of an EMG that might
8 show some radiculopathy, but I'm not aware that she has
9 any.

10 Q. Well, can we agree, Doctor, that a complaint or
11 when a patient of yours injures their neck and they say
12 that the pain goes from their neck and down into their
13 arms, that is a radiculopathy or a radiating pain?

14 A. No, not necessarily. It may be a bizarre pain. In
15 her case that's what it is. She says that her whole --
16 the entirety of both upper extremities get weak and that
17 her fingers are numb from the proximal -- from the near
18 joints outwards. These are bizarre symptoms. This is
19 not radiculopathy.

20 Q. **So** the complaints -- the symptoms and complaints
21 that you've reviewed in the medical records, Doctor, it's
22 fair to say you don't consider any of those as legitimate
23 radiculopathy?

24 A. I don't know, I don't really think about any of
25 them, I don't remember any of them.

1 Q. You don't remember the complaints that are in the
2 records?

3 A. I don't remember any that would be radiculopathy.

4 Q. Doctor, as far as her left knee is concerned --

5 A. We're going to the knee now, okay, go ahead.

6 Q. Isn't it true that in your patients that have knee
7 problems, whether it is the right or left, because of
8 injury to one leg, that as they progress through time and
9 favor that leg, they can develop problems with the other
10 leg?

11 A. No. I mean, that's -- yeah, if you broke her leg,
12 but she didn't break her leg, she merely bruised her
13 right leg, she never hurt her left knee, and she comes up
14 with a left knee complaint, I don't think it has anything
15 to do with this accident.

16 Q. And, Doctor, I've just got to make sure your
17 testimony is clear in this regard. If you have an
18 underlying degenerative disc disease or condition that is
19 acquiescent, or it's not bothering anybody, and you're
20 involved in a motor vehicle collision that subsequently
21 causes pain to the neck area, is it your testimony that
22 it is impossible to accelerate or aggravate the disc
23 disease process?

24 A. No, I said that you don't get any exaggeration or
25 aggravation of the degenerative arthritis, which is what

1 she had her surgery for. Theoretically over a long
2 period of time you might get some degenerative disc
3 disease from trauma, but she had degenerative disc
4 disease at the time of her accident. And she had the
5 osteoarthritis at the time of her accident, but I don't
6 think the trauma aggravated or accelerated that.

7 Q. When was the diagnosis of osteoarthritis made?

8 A. At the time of her trauma. She had osteophytes
9 seen on the various imagings within a few weeks of her
10 accident.

11 Q. And, Doctor, individuals can have the condition of
12 osteoarthritis or degenerative disc disease in their neck
13 and be symptom-free, can they not?

14 A. They can, absolutely.

15 Q. You -- in fact you and I as we sit here in your
16 office, we probably have some degree of degenerative disc
17 disease going on in our neck?

18 A. I probably have more than you have.

19 Q. Exactly, **it's** related to age.

20 But people can live their whole lifetime without a
21 neck problem, correct?

22 A. Absolutely.

23 Q. And still have some signs and symptoms of
24 degenerative disc disease, correct?

25 A. No, not signs and symptoms. You just said they

1 have no problems. That doesn't make any sense. They can
2 have degenerative disc disease and have no signs and
3 symptoms.

4 Q. Thank you for correcting me. Thank you.

5 But then those same individuals such as you and I,
6 if we get in an automobile accident, that can cause our
7 neck and the degenerative disc disease process in our
8 necks to become aggravated and activate problems,
9 correct?

10 A. No.

11 Q. No, you've never seen that?

12 A. If you get in an accident and hurt your neck and
13 you can have degenerative disc disease, but I don't think
14 the accident has got anything to do with the degenerative
15 arthritis which she has.

16 Q. We have no evidence, again, between -- or before
17 the January of '92 collision **of** any radiculopathy or pain
18 that goes from the neck and radiates into the arms,
19 correct?

20 A. I don't know of any evidence of any radiculopathy
21 at any time.

22 Q. And you're aware of the force of the impact that
23 was involved here, correct?

24 A. Apparently it was quite an accident. I'm not sure
25 what the forces were exactly, but I know it apparently

1 was quite an accident.

2 Q. Evelyn Merritt went from a resting position in the
3 front seat of her van and then upon impact, left that
4 seat, hit the dashboard, and then her face impacted with
5 the windshield, correct?

6 MR. TIRA:

~~Objection.~~ P

7 A. Yes. I don't know the exact -- I mean, if you're
8 telling me to assume this, I will assume it. I don't
9 know. Are you asking me if that's the case? I obviously
10 don't know.

11 She said that she did -- her left -- she didn't
12 tell me about her -- she said her left side hit the
13 dashboard, not her knees. She told me her left side hit
14 the dashboard and her head hit the windshield. Now,
15 maybe the story's different, I don't know.

16 Q. And her head hit the windshield, so you do know
17 that her head --

18 A. No, I don't know that. I know that she said that.

19 Q. Okay. Do you dispute it?

20 A. I don't dispute things. That's your department.

21 Q. All right. But that type of impact, assuming that
22 to be what happened, that can certainly cause herniated
23 discs, can it not?

24 A. Oh, it could, but it doesn't cause degenerative
25 disc disease, and it doesn't cause osteophytes. But it

1 could cause a herniated disc, maybe even two herniated
2 discs, but certainly not three.

3 Q. And that type of impact also can cause radiating
4 pain that goes from the neck down into the arms, correct?

5 A. If -- no, that's not from the impact. The
6 herniated disc might pinch a nerve and cause true
7 radiculitis or radiculopathy, but she doesn't have.
8 We're not talking about her now. We're just talking
9 about people generally.

10 Q. I'm not sure I understood your question, that type
11 of impact -- or your answer, Doctor. Let me just
12 rephrase my question.

13 A. Sure.

14 Q. That type of impact that I've just discussed with
15 you, that impact can cause radiating pain from the neck
16 down into the upper extremities, correct?

17 A. No, my answer is the impact itself would not have
18 caused, but if she herniated -- we're not talking about
19 her now -- but if a patient herniated a disc from this
20 type of injury and if that herniated disc were pressing
21 on a nerve root, then it would cause typical nerve root
22 type of pain and a typical distribution of that nerve
23 root. This is patients in general, we're not talking
24 about her because she didn't have that.

25 Q. Doctor, I want to get back just for a moment to the

1 testifying. I believe we said that you testify twice a
2 week, correct?

3 A. About, yeah.

4 Q. That's an average.

5 What do you charge for your testimony?

6 A. \$850.00 **for a half a day.**

7 Q. Okay. And you block out half a day for each time
8 you testify?

9 A. Yes.

10 Q. And you've ~~been doing -- you've been giving~~
11 ~~testimony-such as this for the last 20 years?~~

12 A. Oh, I probably -- I don't know when my first
13 deposition was, but it probably was within a couple of
14 years of when I started practice, which was 34 years ago,
15 because it takes a couple of years for the patients I'm
16 treating to get to the point where I have to give a
17 deposition about them. So I would say probably 32 years,
18 more or less.

19 Q. Doctor, when Evelyn Merritt underwent surgery, the
20 operating physician, again, was Dr. Jeffrey Wilber,
21 correct?

22 A. I believe so.

23 Q. Do you know Dr. Jeffrey Wilber to be of a good
24 reputation in the Cleveland community as an orthopedic
25 surgeon?

1 A. I believe so. I don't know him particularly --
2 personally, but I believe he has a good reputation. He
3 certainly does a lot of surgery.

4 Q. And you haven't heard anything that would call into
5 question his ability to diagnose and treat his own
6 patients?

7 A. Oh, I can't vouch for that.

8 Q. Have you heard anything that calls into question
9 his ability to diagnose and treat his patients?

10 A. I certainly wouldn't want to pass on any hearsay.

11 Q. Well, Doctor, I take it from your testimony and you
12 may be alluding to this jury that you've heard negative
13 things about Dr. Wilber?

14 A. I didn't ask it, you did.

15 Q. And I'm asking you to answer the question.

16 MR. TIRA:

objection. WLP

17 A. I think that whatever I've heard about the people I
18 don't think is something to which I can reasonably
19 testifying.

20 Q. Well, Doctor, certainly if you had anything bad to
21 say or inappropriate or you felt that Dr. Wilber's care
22 and treatment of this patient was inappropriate, you
23 would speak up at this time, correct?

24 A. No, not necessarily. It depends on what I was
25 asked.

1 Q. Well, I'll asking you to speak up now, Doctor.

2 A. What's that?

3 Q. Do you feel the triple laminectomy infusion in her
4 spine was justified and should been done in September of
5 '94, four months after you saw her --

6 MR. TIRA:

Objection. *OR*

7 Q. -- saw Miss Merritt?

8 A. Based on my examination of her in August, I
9 certainly would not have done that surgery. I don't know
10 whether it was justified for the degenerative changes by
11 the time he saw her in December. I certainly would not
12 have operated on her when I saw her in August.

13 Q. When was the last time you did a triple laminectomy
14 infusion?

15 A. I don't do -- I don't operate on the neck. I
16 operate on the lumbar spine, but I don't do neck surgery.
17 I would not have -- I would not have even referred her to
18 him to have surgery done at that point. I don't think
19 that she needed surgery then.

20 Q. You've never done that procedure?

21 A. No, not personally.

22 MR. CHAMBERLAIN:

I have nothing

23 further.

24 / / /

25 / / /

REDIRECT EXAMINATION

1

2 BY MR. TIRA;

3 Q. Doctor, Mr. Chamberlain asked you about fees

4 charged for conducting examinations and for your half day

5 you block off for giving of a trial deposition.

6 A. That's correct.

7 Q. Doctor, when you are requested to give testimony

8 such as this on behalf of one of your patients who is a

9 Plaintiff in an injury lawsuit, do you also charge them

10 for your professional time?

11 A. We charge the same amount, **it's** exactly the same

12 amount.

13 Q. Doctor, relative to the Warren General Hospital

14 Emergency Room record that Mr. Chamberlain showed you,

15 you had indicated that cervical x-rays were obtained?

16 A. Yes.

17 Q. And why would an emergency room physician order
18 x-rays of the cervical spine when a patient comes to the
19 emergency room?

20 MR. CHAMBERLAIN:


~~Objection.~~

21 A. Because they thought they had injured their necks
22 because they had some indication that they had injured
23 their neck.

24 Q. Doctor, based upon the emergency room record, how
25 was **Mrs.** Merritt brought into the emergency room at

1 Warren General Hospital on October 9, 1991?

2 A. She was brought in with a full cervical spine stiff
3 neck collar on a bed -- on a backboard.

4 MR. TIRA: Thank you,

5 Doctor.

6 I have no further questions.

7 MR. CHAMBERLAIN: I have nothing

8 further.

9 THE WITNESS: Yeah, I'll waive

10 viewing and I'll waive signing of this

11 deposition.

12 - - -

13 (DEPOSITION CONCLUDED.)

14 (SIGNATURE WAIVED.)

15 - - -

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CERTIFICATE

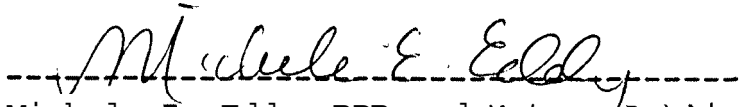
State of Ohio,) SS:
County of Cuyahoga.)

I, Michele E. Eddy, a Registered Professional Reporter and Notary Public within and for the State of Ohio, duly commissioned and qualified, do hereby certify that the within-named witness, RICHARD S. KAUFMAN, M.D., was by me first duly sworn to tell the truth, the whole truth and nothing but the truth in the cause aforesaid; that the testimony then given by him was reduced to stenotypy in the presence of said witness, and afterwards transcribed by me through the process of computer-aided transcription and that the foregoing is a true and correct transcript of the testimony so given by him as aforesaid.

I do further certify this deposition was taken at the time and place in the foregoing caption specified.

I do further certify that I am not a relative, employee or attorney of either party, or otherwise interested in the event of this action.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal of office at Cleveland, Ohio, on this 5th day of June, 1995.



Michele E. Eddy, RPR and Notary Public
in and for the State of Ohio.
My commission expires 5-22-00

LAWYER'S NOTES

Page

Line

DEPOSITION SUMMARY OF DR. RICHARD KAUFMAN
ON BEHALF OF EVELYN MERRITT
(RE: MERRITT, et al. v. ASKINS)

PAGE - LINE

- 10, 3-4 Most people who are over 40 have some degree of degenerative disc disease and arthritis of the spine.
- 11, 10-17 It is his opinion that an individual can sustain a neck a injury by either being punched or kicked in head.
- 12, 1
13, 4 Prior to seeing Evelyn Merritt he reviewed the following: an MRI film, records from Warren General Hospital, records from University Orthopedic Associates, office records of Dr. Brandenberry, records from University Hospitals, ER records of 1-16-92 from Geauga Community Hospital and records from Miller Outpatient Therapy Clinic.
- 13, 14-16 He examined Evelyn Merritt on 8-2-94.
- 14, 13-20 Dr. Kaufman states that he specifically asked Evelyn Merritt about any prior neck problems and she specifically said no..
- 15, 5-9 She was seeing Dr. Brandenberry as a result of an altercation in October of 1991.
- 15, 17-21 Based upon his review of the records, he did not see anywhere in the records where Evelyn Merritt told her physicians of the neck injury occurring in October of 1991.
- 16, 6-10 He says the altercation in October of 1991 was significant. She had pain and spasm in the muscles in her neck. Spasms are objective findings.
- 17, 3-15 Based on his experience, he would expect a patient to relay a prior injury to the same area that she was now being treated for.
- 17, 20
18, 25 He says that the x-rays and the MRI showed disc degeneration at multiple levels and spurring osteophytes. These were shown at the C4-C5, C5-C6 and C6-C7 level. He also says "These are changes which take a long period--a long time to develop. They are a slowly growing degenerative process, and it doesn't get--it obviously gets, increases over time as all arthritis does, but it takes a long time to do it and it was obviously present at the time of the accident".
- 20, 16
25, 13 The doctor describes the history that Evelyn Merritt gave to him when she saw him.
- 25, 14-24 In the history she complains of left knee discomfort however, that is not in the

Geauga Hospital ER records.

- 27, 18
28, 17 He describes the examination that he did of her and basically how everything was o.k.
- 29, 4-8 He says the reflexes of the upper extremities were normal.
- 29
31 Again he continues with what he found during his physician examination, i.e. the objection findings and once again he says that nothing is wrong.
- 32, 9-19 His diagnosis of her would be possible cervical myofascitis. What that means in inflammation of the muscles and ligaments in the neck area and this diagnosis was based entirely on her complaints of pain in the neck. He also says there was obvious exaggeration of symptoms.
- 32, 20
33, 2 It is also his opinion that these types of symptoms last 4-6 weeks.
- 33, 11-16
disease
automobile
following It is his opinion with reasonable medical certainty that this degenerative disc is not exacerbated or aggravated by this type of trauma at all, i.e. the collision, and he says he has never seen it happen in his 34 years of patients.
- 34, 1-7 It is his opinion that the surgery which was subsequently done on her neck was not the direct result of automobile accident of 1-16-92 but was necessitated by the degenerative osteoarthritis of degenerative disc disease,
- 34, 8-18 Since he feels that the surgery was not caused by the accident, he also feels the expenses weren't either.
- 35, 4-7 It is his opinion that any further revision, i.e. any further surgery, is not the result of the accident.
- 36, 1-10
by It is his opinion that when a person suffers a disc herniation type injury caused by a trauma, the herniation is not at 3 levels. It is usually at one level and occasionally it might be at two.
- 36, 11-24 It is his opinion that she did not develop a permanent disability from this accident and that her problems are degenerative arthritis.
- 37, 15-18 It is this doctor's opinion that smoking can cause non-union of bones.

This begins the cross-examination section and the cross-examination section was done by Hank,

- 40, 10-25 Dr. Kaufman says that his physical examination actually begins when he is taking

the history because he watches to see how a patient moves. Furthermore, when questioned that if he knew that his physical exam last seven minutes, he says he has no idea because he doesn't keep track of it.

- 41, 21 He agrees that he probably does 6-8 of these IMEs a week but he feels it is
42, 22 probably closer to 6.
- 42, 25 He charges \$350.00 for each IME.
- 43, 1-5 Doing the math that comes out to about \$2,100 to \$2,200.00 a week.
- 43, 6-10 He testifies at least two times a week.
- 44, 1-5 He says that he actually does about 2 a week.
- 46, 6-10 When questioned if he felt that she was exaggerating her symptoms, why Dr. Wilbur would operate on her four months later, he says that he would not have done that.
- 47, 12 When asked to look at the ER records from ^{Walker General} ~~Gaucha County~~ Hospital from the
49, 5 10-91 altercation, he does admit that there is no indication in there of neck pain anywhere in the records.
- 49, 23 He has not seen any evidence of radiculopathy either between October and
50, 2 January or any other time.
- 50, 10-25 When questioned why he ^{has} ~~is~~ not seen any evidence of radiculopathy and why the
complained symptoms in the medical record were ^{not} ~~in~~ evidence of radiculopathy he
says, "I don't know, I don't really think about any of them, I don't remember any of them".
- 51, 16 He repeats that you don't get any exaggeration or aggravation of degenerative
52, 6 arthritis from trauma.
- 52, 11-14 He agrees that individuals can have degenerative disc disease in their neck and be symptom free.
- 54, 21 When asked to assume the kind of accident that she has relayed in her history,
55, 2 he says that it could cause herniated disc but it doesn't cause degenerative disc disease and it doesn't cause osteophytes ^{and} ~~but~~ it could not cause three herniated discs.
- 56, 4-9 He testifies about twice a week and he charged for his testimony \$850.00 for a half day and he blocks out a half day each time he testifies.
- 58, 13-21 ~~He~~ does not operate on the neck and he has never done a triple laminectomy infusion.