

1 State of Ohio,)
2 County of Cuyahoga.) SS:

Doc. 192

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4 IN THE COURT OF COMMON PLEAS

5 - - -

6 Rosemary Koch,)
7 Plaintiff,) Case No. 213303
8 vs.) Judge McMonagle
9 Jeffrey A. Runyon, et al.,)
10 Defendants.)

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12 DEPOSITION OF BYRON H. HOFFMAN, M.D.

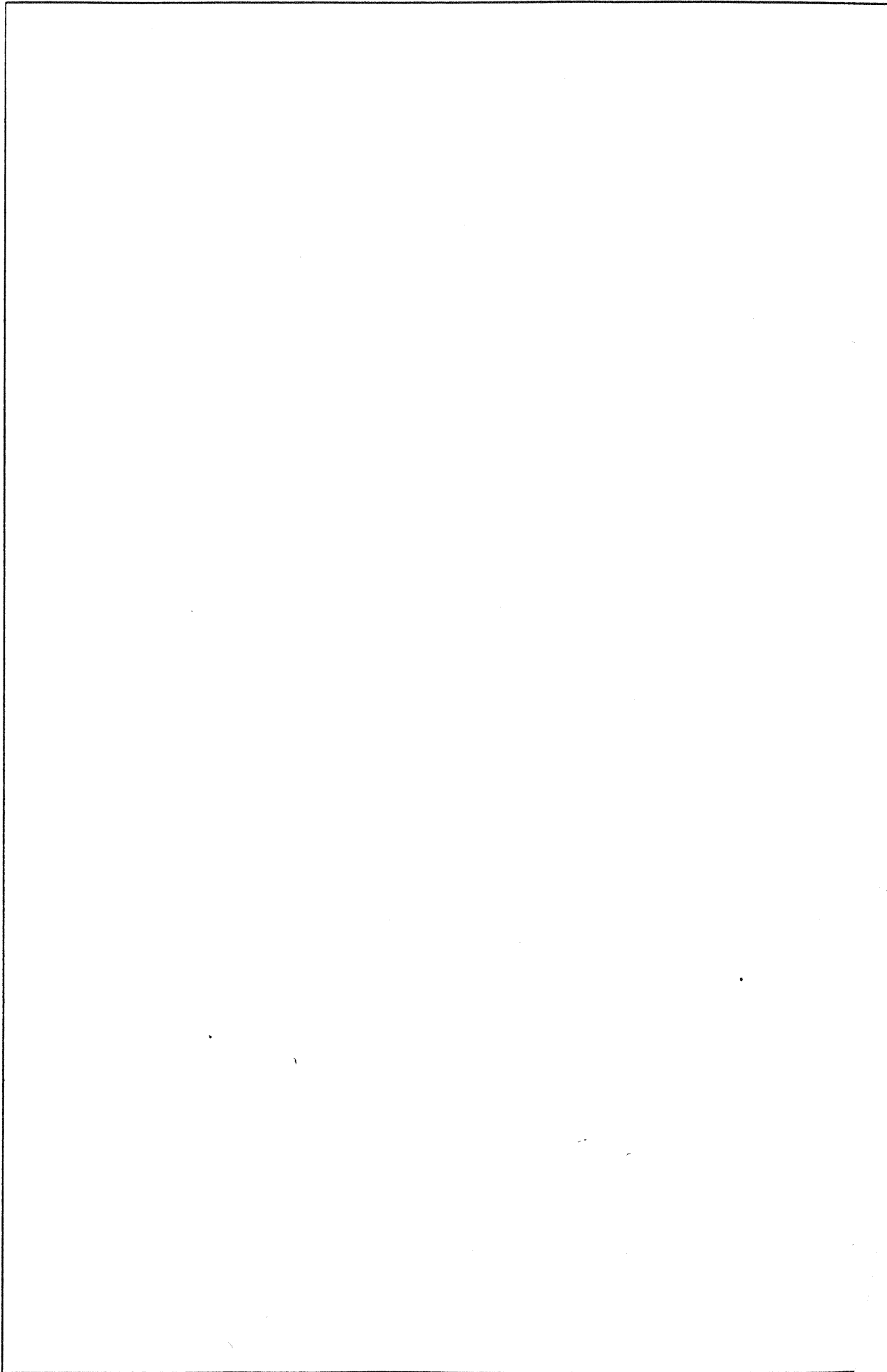
13 Tuesday, November 17, 1992

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15 The deposition of BYRON H. HOFFMAN, M.D., a witness,
16 called by Defendant Runyon for examination under
17 the Ohio Rules of Civil Procedure, taken before me,
18 Devonna H. Tucker, Notary Public in and for the
19 State of Ohio, by agreement of counsel and without
20 further notice or other legal formalities, at
21 Meridia Huron Hospital, Room 305, East Cleveland,
22 Ohio, commencing at 1:30 p.m., on the day and date
23 above set forth.

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1 APPEARANCES:

2 On behalf of the Plaintiff:

3 Mark Barbour, Esq.
4 Jeffries, Rube & Monteleone
1650 Midland Building
Cleveland, Ohio 44115

5 On behalf of Defendant Runyon:

6 Walter Krohngold, Esq.
7 Keller, Scully, Williams & Curtin
330 Hanna Building
8 Cleveland, Ohio 44115

9 Also present:

10 John Simon, Videographer
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1 MR. BARBOUR: Just for the record, I
2 want to object to the use of Dr. Hoffman's
3 testimony at trial in this matter, because
4 pursuant to the local rule of Court, I did
5 not receive a copy of his medical report
6 until yesterday, November 16th. It was faxed
7 to me by Mr. Krohngold, and I didn't have it
8 until that time, in violation of the rule,
9 and for that reason, I'm objecting for the
10 use of his testimony at trial.

11 MR. HROHNGOLD: Under the rules,
12 plaintiff is obligated to send me his
13 expert's report first. As soon as I received
14 his expert report, from Mr. Barbour, which was
15 Friday, I had Dr. Hoffman's record faxed
16 back to him. I believe Monday was just under
17 the rules. I wanted to wait, as I should,
18 until I got his expert report from
19 Dr. Elghazawi first.

20 BYRON K. HOFFMAN, M.D.

21 a witness, called by Defendant Runyon for
22 examination under the Rules, having been first duly
23 sworn, as hereinafter certified, **was** deposed and
24 said as follows:

25 MR. RROHNGOLD: Let the record reflect

1 that this is the deposition of Dr. Byron
2 R. Hoffman, which is being taken pursuant to
3 notice, and it is my understanding that the
4 statutory and procedural formalities of
5 notice, service, and filing of this
6 deposition will be waived; is that correct?

7 MR. BARBOUR: Yes.

8 DIRECT EXAMINATION

9 BY MR. HROHNGOLD:

10 Q. My name is Walter Krohngold. This is the
11 deposition being taken upon direct examination in
12 order to preserve the doctor's testimony for use at
13 the time of the trial of this action brought by
14 Rosemary Koch against my client, Jeffrey Runyon, and
15 against David McCallum.

16 This action has case Number 213303, and it is
17 before the Honorable Judge Timothy McMonagle in the
18 Court of Common Pleas Cuyahoga County Ohio.

19 Q. Doctor, would you please state your full name
20 for the record? ,

21 A. Byron Hoffman.

22 Q. What is your current professional address,
23 and are we at that address today?

24 A. Meridia Huron Hospital, East: Cleveland, Ohio,
25 and you're at that address today.

1 Q. Doctor, what is your profession?

2 A. Orthopedic surgery.

3 Q. And when were you first licensed to practice
4 medicine in the State of Ohio?

5 A. 1953.

6 Q. And are you currently licensed to practice in
7 the State of Ohio?

8 A. Yes.

9 Q. Doctor, would you please explain to the
10 ladies and gentlemen of the jury what is involved
11 with the specialty of orthopedic surgery?

12 A. It's that branch of surgery that specializes
13 in the treatment and prevention of diseases and
14 injury to what we call a skeletomuscular system,
15 that is bones, joints, ligaments, tendons, muscles,
16 blood vessels, nerves, et cetera.

17 Q. Doctor, are you board certified in orthopedic
18 surgery?

19 A. 1963.

20 Q. And can you please explain what is involved
21 in board certification?

22 A. Satisfying the training prerequisites. At
23 the end of that training, taking a written and oral
24 examination and then two years later taking another
25 written and oral examination. If you pass both of

1 them, then you're board certified.

2 Q. Is board certification one of if not the
3 highest achievement obtainable in your specialty?

4 A. Yes.

5 Q. Doctor, would you **please** give the ladies and
6 gentlemen of the jury a little of your background
7 including college through medical school and your
8 internships and residencies up until the **present**,
9 please?

10 A. Ohio State Undergraduate School; Western
11 Reserve Medical School; five years **postgraduate**
12 training in orthopedic surgery at University
13 Hospitals here in Cleveland, and two years **as** chief
14 of surgery in an Air Force hospital.

15 Q. Doctor, have you had any teaching positions
16 in the past?

17 A. Yes.

18 Q. Could you detail some of those?

19 A. Well, I taught orthopedics at University
20 Hospitals, St. Luke's Hospital, Veterans
21 Administration Hospital, and here at Meridia Huron
22 Hospital.

23 I was orthopedic director of the arthritis
24 clinics at university Hospitals for te'n years, and
25 taught anatomy in the Medical School of **Reserve**.

1 Q. And what **about** any past staff and courtesy
2 privileges in any of the area hospitals?

3 A. Well, I've been on the staff of University
4 Hospitals and **its** affiliated hospitals; St. Luke's;
5 Hillcrest; Euclid General; Medina and Geauga; Lake
6 County. Here at Huron Road I've been chief of
7 orthopedics; director of quality assurance, and
8 medical affairs director.

9 Q. Are you presently, or in the past, a member
10 of any medical organizations or societies? Could
11 you list some of those?

12 A. Well, the usual local and national general
13 medical societies -- The American College of
14 Surgeons; The American Board of Orthopedic Surgery;
15 The American Academy of Orthopedic Surgery; American
16 Association for Hand Surgery.

17 Q. Doctor, as part of your professional
18 practice, do you on occasion examine individuals who
19 are not your patients for the purposes of evaluation
20 including consultations or second opinions involving
21 legal matters or Workers' Compensation proceedings?

22 A, Yes.

23 Q. And Doctor, did you have an occasion to talk
24 to and examine Rosemary Koch at the request of the
25 attorney for Mr. McCallum in this action?

1 A. We examined Mrs. Koch on October the 26th,
2 1992 in the office where we are now.

3 Q. Thank you.

4 And as part of your records, you have a copy
5 of the report prepared in connection with that
6 examination, Doctor?

7 A. Yes.

8 Q. Please feel free to refer to that report in
9 responding to some of the questions,

10 When you first met Ms. Koch, did you take a
11 history from her?

12 A. Yes.

13 Q. And could you please detail some of that?

14 A. We asked her where she hurt as a result of
15 whatever we were about to consider, and she said,
16 and I quote, "I have pain here." She indicated the
17 superior gluteal fold of the buttock, "And I get
18 pain in here- Greater on the right than on the
19 left," and she indicated the posterior aspects of
20 the thighs bilaterally, from the inferior gluteal
21 folds to the popliteal spaces and --

22 Q. Could you explain what areas you're talking
23 about?

24 A. To make that graphically, (indicating) she
25 complained of pain in the areas in black, that is,

1 over the superior gluteal fold and the Sacks of both
2 thighs, as far **as the** back of the knees.

3 Anatomically, where those areas are, if this
4 **is** the back of your pelvis (indicating), this is
5 your hip joint and your pelvis and your hip joint
6 and the low back -- oh, let's see -- wherever she
7 was complaining of pain was over the back of the
8 sacrum, not over the low back, but over the **back** of
9 the sacrum, (indicating) this area, and that's the
10 crack in your fanny. That's the superior gluteal
11 fold.

12 Q. Okay.

13 A. Then **we** had a history relative to that --
14 those complaints, and that history consisted of a
15 combination of responses from the patient as well as
16 a review of records that were available at the time
17 of the exam, and those two cumulative sources of
18 information revealed the following: On 1-12-90 she
19 **was** involved in a vehicular accident, She **was** the
20 driver of her vehicle, which was in motion, It
21 received an impact on its right side. She was not
22 unconscious. She walked away from the accident, so
23 to speak, and that is in counterdistinction to
24 having to be carried away on a stretcher,

25 She first received medical attention for this

1 problem, or for complaints relative to this
2 accident, on 1-23-90, about 11 days following the
3 accident.

4 Following the accident of 1-12-90 she was
5 seen several times between 1-12-90 and 1-23-90 at
6 Kaiser, and there was no mention of complaints or of
7 this accident, but when seen on 1-23-90 at the
8 Cleveland Clinic, that is 11 days following the
9 accident, complaints relative to the accident were
10 the neck, the low back, the left foot, and both
11 legs.

12 X-rays on 1-23-90 -- or a report of them
13 show -- indicated that the cervical spine -- that is
14 the neck portion of the spine -- **was** normal. X-rays
15 of 1-28-90 of the thoracic spine -- that's the
16 portion of the your spine between your shoulder
17 blades -- were reported as being normal. An MRI of
18 the -- which is an x-ray examination -- of the low
19 back on 2-8-90 didn't show a herniated disk.

20 On 3 -- correction -- between 3-14-90 **and**
21 4-6-90 she received physical therapy on an
22 outpatient basis at Southwest General Hospital for
23 complaints in the low back, the neck, both lower
24 extremities, and the right shoulder.

25 Q. Doctor, with respect to that physical

1 therapy, I believe you had a chance to review some
2 of thoss records and notes on the patient by the
3 physical therapist that she had a passive/aggressive
4 personality. I don't know whether that was
5 significant, but it was mentioned in the records,
6 and I don't know whether you have any comments on
7 that, with respect to her complaints to you, or 'what
8 that can mean in terms of a medical diagnosis, or in
9 terms of treatment,

10 MR. BARBOUR: Objection.

11 A. I can only testify to the patient's condition
12 at that time I saw her, so what they were implying
13 at the time that they saw her, with a passive/
14 aggressive personality diagnosis, I don't know
15 specifically to what they were alluding. A passive/
16 progress -- a passive/aggressive personality **would**
17 indicate that subjective complaints on the part of
18 an individual would be magnified, so to speak.

19 MR. BARBOUR: I'm sorry, Doctor, I
20 didn't hear you.

21 THE WITNESS: Would be magnified, so
22 to speak.

23 Q. So in your report you had mentioned that the
24 evaluation around the end of her physical therapy
25 suggested histrionic traits; is that consistent with

1 the same sort of finding?

2 A. Well, the records I reviewed -- there was
3 a physical therapy note covering -- during that
4 period that we're talking about dated 4-19-90 that
5 indicated "Her reports of pain appear exaggerated.
6 Her main areas of pain in the same area as previous
7 injuries," so apparently she -- and we'll take that
8 up a little bit later -- had previous pain prior to
9 the accident that we're considering in those
10 areas, in the same areas that she was complaining
11 about when I saw her.

12 She also had on 4-19-90 a Pain Management
13 Evaluation, which is a part of physical therapy and
14 it indicated, "Has histrionic traits,"

15 Q. What does that mean, Doctor?

16 A. That -- we don't use the word hysteria any
17 more. It's called personality disorder. Hysteria
18 was kind of eliminated from the psychiatric lexicon
19 -- well -- maybe 15, 20 years ago, but it indicates
20 that the patient had a tendency on an emotional
21 basis to have subjective complaints.

22 Q. Would those be subjective complaints without
23 any objective basis?

24 A. Yes. They're on an emotional basis.

25 Q. All right.

1 A. That is -- that's what means histrionic
2 traits.

3 Q. While you're on that subject -- I don't mean
4 to go off that too much, but could you explain what
5 is meant about the subjective versus objective
6 complaints by a patient?

7 A, An objective -- well, all complaints are
8 subjective.

9 Q. Okay.

10 A. An objective finding is one that you can
11 appreciate by your modalities of perception, That
12 is you can hear it, smell it, see it, touch it, feel
13 it.

14 A subjective complaint is one that you can't
15 appreciate by your modalities of preception.

16 Q. So an objective one could be -- is a
17 complaint that can be measured in some **way**?

18 A. An objective finding is one that can be
19 measured, seen, heard, touched.

20 Q. Otherwise, subjective would be just the
21 person's vocal complaints of pain?

22 A. All complaints are subjective, yes.

23 Q. All right. But would it be fair to say that
24 you oftentimes attempt to match an **objective** finding
25 in other words, a test or a procedure -- to verify

1 or give **some** credence to a person's complaints?

2 A. Yes.

3 MR. BARBOUR: Objection.

4 Q. I'm sorry, Doctor, you were, I think, talking
5 about her physical therapy.

6 A. Well, we've concluded that.

7 Q. Were there some notations in the records
8 about how often she treated in the spring of '90,
9 and why she stopped treating physical therapy?

10 A. I didn't count up the number of visits she
11 had in physical therapy, but there was a note on
12 4-6-90 from physical therapy. It was a discharge
13 note on that date. It indicated, "Has not shown
14 times three" -- that is missed appointments -- "and
15 is not interested in treatment."

16 Q. Doctor, if you wanted to continue with the
17 history given by the patient --

18 A. Well, she was last seen at the Cleveland
19 Clinic, according to the records I have, on 6-13-90,
20 prior to a subsequent vehicular accident that
21 occurred on 7-4-90, approximately three weeks later.

22 On that 7-4-90 vehicular accident it **was**
23 indicated that she was involved in the accident.
24 She was a passenger in the right front seat of a
25 stopped vehicle. The vehicle in which **she was**

1 riding first received an impact in **its** rear end,
2 then in its front end, so it was one of these
3 accordion type accidents. She **was** not unconscious.
4 She walked away from the accident, so to speak, and
5 she first had medical attention following that
6 accident two days later on 7-6-90.

7 A progress note from the Cleveland Clinic on
8 7-6-90 indicates that x-rays of the lumbar spine
9 were unchanged from previous x-rays which were done
10 on 1-23-90. X-rays --

11 Q. What --

12 A. Pardon me?

13 Q. -- what part of the back is the lumbar spine?

14 A. The low back.

15 -- x-rays of the thoracic spine on that day,
16 that is 7-6-90 -- and the thoracic spine is that
17 section of the spine between the shoulder blades --
18 again did not **show** any change since the x-rays of
19 1-23-90.

20 Complaints at the time she was seen on 7-6-90
21 at the Cleveland Clinic were in the neck and back,
22 and that was the last record I had of that 7-4-90
23 accident.

24 Following the visit to the Cleveland Clinic
25 on 7-6-90 -- the information relative to that

1 subsequent period was obtained from the patient, and
2 she indicates -- she indicated she continued being
3 treated at the Cleveland Clinic from 7-6-90 to
4 either August or September of '90, and she said that
5 during that period treatment consisted of "a little
6 bit of therapy." Then she switched treatment to
7 Kaiser Foundation, and had been treated at the
8 Kaiser Foundation up until approximately one month
9 prior to the time that I saw her.

10 She indicated that during that period at
11 Kaiser treatment consisted of shots in the spine and
12 oral medication, that is pills,

13 She indicated that about a month before I saw
14 her -- and as you remember, I **saw** her on October
15 26th of '92 -- she switched to a Dr. Elghazawi --
16 Q. Elghazawi.

17 A* -- and this doctor was her treating doctor at
18 the Cleveland Clinic but in the interim had gone
19 into private practice, and treatment during the
20 month prior to the time that I saw her by
21 Dr. Elghazawi she indicated consisted of pills.

22 Q. Doctor, did she give you any information
23 about her employment history at the time of or
24 subsequent to the accident?

25 A. Well, let's see. At the time of the accident

4 of 1-12-90 she indicated she was employed in **sales**
2 and that she did not engage in gainful employment
3 following that accident for about eight months, so
4 therefore, she must have been unemployed at the time
5 of the second accident, which occurred on 7-4-90.

6 Q. What about the time of your examination?

7 A. She lost about eight months from work, she
8 said. At the time of my examination, she indicated
9 she was employed in office type work without any
10 restrictions being imposed by a doctor due to either
11 the episode of 1-12-90, or the one on 7-4-90,

12 Q. Doctor, you have mentioned that the
13 information obtained from Ms. Koch regarding the two
14 accidents was a result of your interviews with her
15 as well as review of some records that were provided
16 to you, Did your review of those records provide
17 you with any additional history of Ms. Koch prior to
18 either of these motor vehicle accidents, which is
19 significant with respect to her injuries after?

20 A. We had her fill out a past history form, and
21 (indicating) this is the past history form that she
22 filled out and signed, and according to what **she**
23 volunteered, she had had the usual childhood
24 diseases. She had a Caesarean Section twice and an
25 appendectomy, a partial hysterectomy -- hysterectomy, and

1 that being followed by a total hysterectomy. She
2 had asthma. She indicated she was on Premarin and
3 asthma medication, That was for -- that's a steroid
4 cortisone.

5 Previous accidents and fractures -- she
6 indicated she fractured her right arm times three as
7 a child, and she fractured her coccyx in the past,
8 and previous hospitalizations which -- just for the
9 above surgery that I've already outlined.

10 Q. Where is the coccyx, Doctor?

11 A, (Indicating) It's at the -- the coccyx
12 doesn't show on here, but it's a segment of **about**
13 three bones, little tiny bones, that hang from the
14 end of your sacrum right here, and again this is
15 above your rectum and in the crack of your fanny,

16 Q. Okay.

17 A. Then we found past history from the records
18 that we reviewed --

19 MR. BARBOUR: Objection.

20 A. -- and they consisted of -- that review
21 indicated the following: Fairveiw General Hospital,
22 we had records extending from 5-13-78 to 3-30-88, a
23 total of 14 emergency room visits and three
24 admissions to that hospital.

25 In June of '82 she had female surgery. She

1 was in the hospital from 9-17-83 to 9-22-83 [sic]
2 with a diagnosis of fractured coccyx, tail bone;
3 contusion of the right sciatic nerve. That is the
4 nerve that runs down the back of your leg.

5 In October of '83 she was admitted for rectal
6 bleeding. In December of '83 she was admitted for a
7 hysterectomy. On 2-10-83 she had an emergency room
8 visit, "Patient seeking psychiatric help. Hit by a
9 car last summer,"

10 On 12-21-83 she had an emergency room visit.
11 The patient was involved in a vehicular accident.
12 She had complaints of pain in the abdomen -- that is
13 the stomach -- the dorsal spine -- that is the
14 section of the spine between the shoulder blades.
15 X-rays of that section of the spine were reported as
16 being normal.

17 MR. BARBOUR: Objection. Move to
18 strike,

19 A. Then we had some records from the Cleveland
20 Clinic Foundation extending from 9-21-83 to 8-9-91.

21 MR. BARBOUR: Objection.

22 A. On 9-23-93 [sic] she was seen for low back
23 pain. On 10-3-83 she was seen for low back pain.
24 On 1-19-84 she was seen for complaints in the
25 coccyx, the right leg, and it's indicated -- it was

1 indicatad in those notes, "Problems **she has** are
2 magnified. Are functional basically in natura."

3 Q. What does that functional -- what does that
4 phrase mean, functional basically in nature?

5 A. It means that they were on an emotional basis
6 rather than a physica'l basis.

7 11-10-86 she was seen at Northeast Ohio
8 Neurosurgical. It is indicated that she was
9 assaulted on 10-4-86 with complaints in the face and
10 head. On 1-17-87 she was seen in the Osteoporosis
11 Clinic, that's the soft bone clinic, so to speak,
12 and on 1-19-87 she **was** seen for complaints in the
13 low back and right shoulder. Then we had additional
14 past history than what she had had fractured, a
15 broken bone of the right shoulder blade, broken
16 ribs, migraine. There was a mention of rheumatoid
17 arthritis in 1976, and a fracture of the left ankle
18 on 4-27-88,

19 Q. Doctor, did you have an opportunity to
20 perform a physical examination upon Miss Koch?

21 A. **Yes.**

22 Q. Could you please detail what was done in that
23 examination as well **as** your findings upon the
24 examination?

25 A. In orthopedics you are interested in the

1 patient's body mechanics, so your examination on the
2 patient is divided into two equally important parts.
3 The first part of the examination is done while
4 you're taking your history. That is, you watch the
5 patient walk from the hall into your consulting
6 room; how she walks; how she sits down in a chair;
7 her posture in the chair during history taking; how
8 she gets up out of the chair and walks to the
9 treatment room, so during that portion, the first
10 portion of the physical examination, there were no
11 abnormal findings in her body mechanics.

12 The second portion of the physical
13 examination done in the treatment room, in her case --
14 let's see -- consisted of examining her low back;
15 her sacrum. That is her pelvis; her flanks. That
16 is the upper parts; her sacroiliac joints; her
17 buttocks and her hips, and her lower extremities
18 from a bone, joint, ligament, tendon, muscle, blood
19 vessel, and nerve standpoint, and in that exam, in
20 the second part ,of the exam, she was examined in the
21 standing, sitting, and lying down positions. She
22 was undressed wearing her bra, panties, and an
23 examination gown in the presence of a female medical
24 assistant.

25 The culmination of the first and second

1 portions of the physical exam was normal. There
2 were no abnormal findings in either portion of the
3 physical examination I've just alluded to.

4 Q. Were your observations of her before you
5 actually began to conduct your examination,. in other
6 words while she is giving you the history and while
7 she is walking between offices, would that in your
8 opinion carry as much weight as far as her problems in
9 the sense that she may not be aware that you're
10 observing her for medical purposes?

11 A. I'm not quite sure I understand your
12 question, but both portions of the physical
13 examination are equally important, are equally
14 valid. I guess that's the best I can answer you.

15 Q. When you discussed your examination as far as
16 the neurologic and the sensory and the motor
17 portions, could you explain what was done to test
18 some of these -- to perform some of these tests?

19 A. I can run through the examination or you if
20 you like to', or if you just want me to discuss --

21 Q. If you can just describe it, please, Doctor?

22 A. Well, we already described the first part of
23 the examination. The second part of the
24 examination, that's done in the treatment room -- is
25 in the mode of dress that I've already outlined of

1 the patient. You start out in a standing position.
2 You have them walk normally; walk on heels; walk on
3 toes; squat; rise from the **squatting** position. You
4 check for the levelness of the pelvis, the
5 configuration of the back, the motion of the low
6 back in four directions.

7 Then you have the patient sit down on the
8 edge of the examining table, You test the various
9 reflexes in the lower extremities, vibratory sense,
10 straight leg raising,. Lasegue sign; you check for
11 the pulses, the muscle development, et cetera, then
12 you have the patient lie down on their back on the
13 examining table; you again test for straight leg
14 raising; leg length; Lasegue sign, motion of the
15 knees and hips, then you have the patient roll over
16 on their face. You check the configuration of the
17 various curves in the back, Palpate -- that is feel
18 the back for muscle spasm in that position, then you
19 have the patient stand up, so that's basically the
20 exam that you do,.

21 Q. And this will allow you to test **all** of these
22 different things that you discussed?

23 A. Yes.

24 Q. You note in your report that all the
25 maneuvers that were done by Ms. Koch were performed

1 actively by the patient, there being no passive
2 manipulation by the examiner.

3 What is the difference between that, and what
4 is the significance of that?

5 A. She did the twisting, turning, and bending.
6 I didn't push her, or manipulate her. She did it on
7 her own,

8 Q. And did she have adequate or normal ranges of
9 motions of the various maneuvers that she did?

10 A. Yes.

11 Q. I think your report also indicated that there
12 was no evidence of spasm or tenderness over the
13 various parts of her body that she complained about.
14 Could you explain what the significance of that is,
15 Doctor?

16 A. Well, she had no muscle spasms in the area
17 that she complained about, and she had no tenderness
18 in those areas when you touched them.

19 Q. What does muscle spasm indicate?

20 A. Well, muscle spasm is the involuntary
21 contraction of a muscle, and it's indicative of an
22 abnormality causing that muscle spasm, and there are
23 a thousand things that can cause muscle spasm.

24 Q. But is the finding of muscle spasm indicative
25 of severity of the problem, or whether there is a

1 touch the patient and they say it hurts, so on this
2 particular case she didn't have either objective or
3 subjective findings in the area in which she
4 complained of pain.

5 Q. Okay, she just complained of pain, but when
6 you touched it there was no complaint of pain?

7 A. That's correct.

8 Q. Doctor, were there any other findings or lack
9 of findings in your examination which you consider
10 significant?

11 A. No. I found nothing objectively or
12 subjectively, for that matter.

13 Q. Doctor, I would like to ask you a couple
14 other questions based upon a reasonable degree of
15 medical certainty.

16 Doctor, from your review of the medical
17 records as well as your discussions with the patient
18 and your examination of her, at the time of your
19 exam was there any evidence of any type of
20 neurological or ,orthopedic abnormality with
21 Ms. Koch?

22 A. No.

23 MR. BARBOUR: Objection,

24 A. No.

25 Q. Doctor, did you make any diagnosis at the

1 time of your exam? And again, I ask that you answer
2 to a reasonable degree of medical certainty.

3 MR. BARBOUR: Objection.

4 A. Well, I guess no, we didn't make a diagnosis,
5 but I guess that we made an absence of a diagnosis.
6 We found no orthopedic abnormality at the time of my
7 exam that we could attribute to either the episode
8 of 1-12-90, or the one on 7-4-90,

9 Q. Were there any evidence of any kind of
10 chronic symptoms which she had at that time, long --
12 long standing or ongoing symptoms?

12 A. Well, as I've already alluded to when we
13 discussed past history that she had symptoms in the
14 low back going back to 1983.

15 Q- But there was no indication of any ongoing
16 problems at the time you examined her?

17 A, I don't know what you mean by ongoing
18 problems.

19 Q. Of any kind of ongoing chronic ailments or
20 illnesses with her low back at that time?

21 A. At the time I examined her I found nothing
22 abnormal in the back either of a current or a past
23 nature.

24 Q. Okay, Doctor, let me ask you to assume
25 something for a moment. Let's assume that she got

1 in -- Mrs. Koch got into an accident in January of
2 1990; had some treatment primarily at the Cleveland
3 Clinic, and then was involved in a second accident
4 in July of 1990, which also caused her neck and back
5 pain, and at the time she was asked some questions
6 regarding the impact of this second accident upon
7 her, and again, assume that she stated the
8 following: At the time of the second accident she
9 had finished some cortisone shots in her back for
10 injuries suffered in the earlier accident, and after
11 the second accident the pain returned worse than
12 ever. She had tremendous pain in her back and legs,
13 as well as numbness, and she has lost feelings in
14 her toes and feet to the point where she has fallen
15 on occasion, and I'm reading from her responses to
16 questions put to her regarding the second accident
17 of July of 1990.

18 Assuming all of this, Doctor, she continued
19 to have problems or pain after the second accident --
20 is there any medical way to differentiate what
21 percent or to what extent these problems were caused
22 by the second accident versus the first accident?

23 A. Based on the information that I had at the
24 time of my examination, no.

25 Q. Doctor, do you have any opinion as to a

1 prognosis for Ms. Koch, again with a reasonable
2 degree of medical certainty?

3 A. At the time I examined her I found no
4 evidence of orthopedic abnormality of an objective
5 nature that would cause a continuing difficulty due
6 to these two accidents.

7 Q. And Doctor, did you have adequate time in
8 which to conduct your examination of Ms. Koch?

9 A. Yes.

10 Q. **And were** the tests that you performed -- were
11 they tests that are typically performed by
12 orthopedic surgeons and acceptable among
13 practitioners in the field?

14 A. Yes.

15 Q. Doctor, have all the opinions you've given us
16 today been to a reasonable degree of medical
17 certainty?

18 A. Yes.

19 Q. Doctor, I don't think I have any further
20 questions. Thank you very much.

21 - - -

22 **CROSS-EXAMINATION**

23 **BY MR. BARBOUR:**

24 Q. Hello, Doctor, how are you **today?**

25 A. Now do you do?

1 Q. Good.

2 My name is Mark Barbour. I represent Rose,
3 If I could just take one second and look at whatever
4 records you might have regarding your examination of
5 Rose, I would like to do that.

6 THE VIDEOGRAPHER: We are off the
7 record.

8 (Attorney reviews records.)

9 THE VIREOGRAPHER: We are on the
10 record.

11 Q. Thank you, Doctor.

12 Doctor, I notice looking in this folder that
13 there appears to be a copy of your report, which I
14 have, and some handwritten notes, which I'm going to
15 assume are yours; is that correct?

16 A. Right.

17 Q. Okay, in there I don't see any of the records
18 that are from Kaiser or the Cleveland Clinic. Have
19 you returned those?

20 A. Well, I threw them away after I did the
21 review -- wrote the report.

22 Q. Okay. After you wrote the report you
23 discarded the records you had seen --

24 A. Right.

25 Q. -- and relied upon your handwritten notes?

1 A. No. I have a dictaphone here (indicating) In
2 the drawer --

3 Q. Okay.

4 A. -- and we dictate the report right as we do
5 it, at the time the patient is in the office.

6 Q. All right.

7 MR. KROHNGOLD: Just for the record, I
8 think all the records that he reviewed were
9 copies that either myself or the other
10 attorney representing Mr. McCallum had
11 obtained, and I believe they were all sent
12 off to your office, Mark.

13 BY MR. BARBOUR:

14 Q. Doctor, you only saw Rose one time, that's
15 true?

16 A. Yes.

17 Q. That one visit was not at my request?

18 A. No.

19 Q. And it **was** certainly not at the request of
20 the Court?

21 A. No.

22 Q. And Rose didn't request that you examine her?

23 A. No.

24 Q. All right. It was at the request of the
25 Defendant; is that --

1 A* It was the request --

2 Q. -- fair?

3 A* -- of an attorney, yes, for the Defendant.

4 Q. Okay, and you've indicated you examined her
5 and you wrote a report. Was that correct?

6 A. Yes.

7 Q. Now, you spent a good deal of time talking
8 about the history that you took. I assume you took
9 a lengthy history from Rose?

10 A. Well, you have a copy of the report --

11 Q. Yes.

12 A. -- it is about three pages long.

13 Q. Yes, but it seems that you spent a little bit
14 of time with her, taking down her history: is that a
15 fair statement?

16 A. I don't know how much time. Under paragraph
17 Present Illness, which is history --

18 Q. Right.

19 My question is just -- do you know how much
20 time you spent taking the history?

21 A. In obtaining the paragraph Present Illness, I
22 don't know how much time we spent, because I --

23 Q. Okay.

24 A. -- you know, I don't run a stop watch.

25 Q. All right, and then you conducted your

1 physical examination after you took the **history**?

2 A. No, We conducted part one of the physical
3 examination during the time we were taking the
4 history. We conducted part two after **we** had taken
5 the history.

6 Q. Part one being the -- her gait; her
7 appearance, and those items that you previously
8 testified to?

9 A. Yes. The entire time I was with her prior to
10 going into the treatment room.

11 Q. All right, Do you know how much time you
12 spent with her in-the treatment room? Do you recall
13 that?

14 A. Oh, an examination like this is -- if it's
15 normal -- probably takes maybe five, ten minutes,
16 something like that.

17 Q. All right- Now, I believe that the Defendant
18 has paid you for this examination and the report; is
19 that true?

20 A. Yes. As a matter of fact, I got a check
21 today.

22 Q. All right. And you were **also** paid for your
23 time in testifying today?

24 A. Not yet.

25 Q. All right.

1 A. It depends on how long **you talk**.

2 Q. **Well**, I will try to be brief, but you
3 anticipate being paid for your time in testifying
4 today?

5 A. Hopefully, yes.

6 Q. What is your rate that you charge for all of
7 these things?

8 A. For all of what things?

9 Q. Well, for example, what was the charge for
10 the examination and preparing the report?

11 MR. KROHNGOLD: Objection.

12 A, Let's see. On 10-19-92 we spent three and **a**
13 quarter hours reviewing records, and the charge for
14 that **was** \$450.

15 On 10-26-92 we did the examination that I've
16 already alluded to, and **a** report, and the charge for
17 that was \$100.

18 Q. All right. May I see the card that you're
19 referring to, please?

20 A. (Witness hands document to attorney.)

21 Q. This card shows a balance of \$850 for those
22 two things; would that be correct, or am I
23 misreading that?

24 A. No, It shows **a** balance of **zero**. **We were**
25 paid today.

1 Q. How much were you paid today?

2 A. \$850. We received the check, so the balance
3 is zero.

4 Q. I'm sorry.

5 Q. And what is your normal rate for your time in
6 testifying today?

7 A. I like to keep my court cost -- or my court
8 fee slightly below those of attorneys, so I charge
9 500 bucks an hour or any part of an hour.

10 Q. \$500 per hour?

11 A. Or part of an hour.

12 Q. Okay.

13 So if we only use 45 minutes you charge for
14 the full hour?

15 A. Correct.

16 Q. I take it then that you have testified before
17 in Court or legal matters related to injury
18 lawsuits?

19 MR. KROHNGOLD: Objection.

20 A. Yes.

21 Q. And you have testified before for the defense
22 in this matter; is that correct?

23 A. I never testify for anybody. I testify at
24 the request of.

25 Q. I'm sorry. I didn't mean to imply that. You

1 had testified before at the request of the defense
2 in injury lawsuits?

3 A. Yes.

4 Q. And you've also examined others at the
5 request of defendants in injury lawsuits?

6 MR. KROHNGOLD: Objection.

7 A. Yes.

8 Q. How often do you do this type of work,
9 Doctor?

10 A. I have no idea. I don't keep those kind of
11 records.

12 Q. Would you be able to estimate the amount of
13 time per week or per month that you devote to these
14 type of matters?

15 MR. KROHNGOLD: Objection.

16 A. Time, no, but number -- you mean on just
17 personal injury cases?

18 Q. Yes.

19 A. Maybe three or four a month, five a month --
20 something like that over the last 35 years.

21 Q. And do you have patients that you're
22 presently treating now, also?

23 A. Yes,

24 Q. But you have no intention of treating Rose,
25 do you?

1 MR. KROHNGOLD: Objection. I don't
2 think he's permitted to.

3 A. No.

4 Q. And you made no recommendations regarding
5 treatment to Rose at the time you examined her?

6 MR. KROHNGOLD: Objection.

7 A. No.

8 Q. Now, we talked about -- you talked about --
9 I'm sorry -- objective findings. You found no
10 objective findings on the day of your exam?

11 A. Correct.

12 Q. That means there was nothing you could explain
13 with your hands, or observe with your eyes?

14 A. Correct.

15 Q. No results of diagnostic tests that you
16 observed on that day?

17 A. There were no objective diagnostic tests on
18 the day I examined her --

19 Q. Yes?

20 A. -- relative to her complaints.

21 Q. That's what I meant. All right.

22 The x-rays, in other words, were negative?

23 A. I didn't take x-rays on the day I examined
24 her. She had been x-rayed enough up until that
25 point.

1 Q. But you reviewed those x-rays or x-ray
2 reports from those prior x-rays?

3 A. I reviewed the reports, **not** the x-ray films.

4 Q. All right, but you are aware that the x-rays
5 were negative?

6 A. **According** to the reports, yes.

7 Q. Okay, that means no fractures of bones?

8 A. Among other things, yes.

9 Q. All right. The x-rays do not show muscles,
10 do they --

11 A. No.

12 Q. All right.

13 -- or the tendons or soft tissues that
14 connect the muscles to bony structures?

15 A. X-rays can show them, depending upon the
16 quality of the x-rays, **but** those structures are not
17 amenable to diagnostic -- diagnosis by just x-ray
18 alone.

19 Q. All right.

20 So you wouldn't expect x-rays to be useful in
21 diagnosing strains to **muscles** or ligaments **or** other
22 soft tissues alone?

23 A. That is correct, **yes**.

24 Q. Now, the physical examination that you
25 performed, Rose could move **normally**; that's what **you**

1 observed?

2 A. Yes.

3 Q. And you were looking -- during your
4 examination in October of '92 -- for objective
5 findings, as we indicated, correct?

6 A. Relative to the episode that we are
7 considering, yes.

8 Q. Yes, And one of the objective findings that
9 you would have looked for on this day would have
10 been muscle spasms over areas of her body?

11 A. Yes. We indicated that we had her lie down
12 on the table on her face, and we felt those areas of
13 the body that she **was** complaining about to see if
14 there was muscle spasm and there wasn't.

15 Q. On that particular day?

16 A, Right.

17 Q. All right. It is possible though that muscle
18 spasms may be present on other days; is it not?

19 A, Yes. We call that exacerbations in
20 remissions.

21 Q. All right.

22 For example, could someone's activities
23 induce muscle spasms on a given day?

24 A. Yes.

25 Q. All right. So it is possible that she didn't

1 have muscle spasms on the day you examined her but
2 had them on days preceding and **days** subsequent to
3 your examination?

4 A. Anything is possible under the sun. As far
5 as probability goes, since she was having pain in
6 the areas that we showed on the pain diagram, the
7 muscles would have been in spasm on the day I
8 examined her, had that **pain** been **an** objective
9 finding.

10 Q. But it is possible that she could have had
11 muscle spasms on days preceding and **days** subsequent
12 to your examination?

13 A, Yes.

14 MR. KROHNGOLD: Objection.

15 Q. And I believe you testified that you don't
16 remember whether the prior physicians who examined
17 Rose before you did noted that there were muscle
18 spasms in their notes?

19 A. **No.**

20 Q. You don't, remember that?

21 A. I don't remember it, because I **don't** think it
22 was germane from the frame of reference that I was
23 examining the patient.

24 Q. All right.

25 So the prior comments of the **doctors** who

1 treated her weren't important for what you were
2 doing that particular day?

3 A. No, because I can't go on the reliability of
4 those doctors. All I can do is testify to her
5 condition at the time I saw her,

6 Q. All right.

7 Now, we talked about subjective findings or
8 statements and you indicated that all pain is a
9 subjective matter; is that correct?

10 A. The complaint of pain is a subjective
11 finding, yes.

12 Q. All right.

13 And generally, as a doctor, you would look
14 for objective findings such as fracture on a **x-ray**
15 to explain that subjective finding; is that right?

16 A. Yes, I think that's the thrust of medicine,
17 that the patient gives you complaints and you try to
18 objectify them through --

19 Q. All right.

20 A. -- various diagnostic techniques.

21 Q. But there are not always objective findings
22 to explain the pain, yet the patients in some cases
23 still have that complaint; is that fair?

24 A. That is correct.

25 Q. And that is one of the reasons why a history

1 is taken, to hear what the patient's complaints are;
2 is that fair?

3 A. The history is always taken.

4 Q. But one of the reasons a history is taken is
5 so the patient can tell you what the complaints are -

6 A. Correct.

7 Q. -- is that fair?

8 Now, would it be fair to say that you have
9 provided treatment to your own patients over the
10 course of your career based upon their subjective
11 complaints?

12 A. Yes.

13 Q. Now, Rose has been involved in two motor
14 vehicle collisions in 1990, which you are aware of.
15 Are you also aware that the first collision she went
16 to the Cleveland Clinic; you've reviewed the notes;
17 you know that to be the case, correct --

18 A. Yes.

19 Q. -- and physical therapy was prescribed,
20 correct?

21 A. Yes.

22 Q. I also assume you're aware from the review of
23 the records that she received caudal epidural
24 blocks?

25 A. No, I wasn't aware of that. She told me she

1 **had received** shots, but she didn't say where.

2 Q. Okay. Did you review the records to review
3 that matter?

4 A. I had no records of her having had caudal
5 blocks on the records that I reviewed.

6 Q. All right. If they were contained in the
7 Cleveland Clinic notes, would it be possible that
8 you either missed them, or did not have that section
9 **of** the notes?

10 A. Either one is a possibility.

11 Q. All right.

12 But for whatever reason, you were not aware
13 **of** exactly the shots that she had received?

14 A, That's correct, other than what she told me.

15 Q. Right, I meant from the records, though.

16 Have *you* ever prescribed caudal epidural
17 blocks for the treatment of pain?

18 A. **No.**

19 Q. But you are aware of them?

20 A. Yes.

21 Q. And you were aware that she was seen at the
22 Pain Management Clinic at the Cleveland Clinic?

23 A. **Yes.**

24 Q. And that was contained in the records,
25 **correct?**

1 A. Yes.

2 Q. You apparently, according to your report, did
3 not have records after -- I want to say July of 1990
4 or thereabouts?

5 A. Let's see when the records ended. I had no
6 further records following the visit at the Cleveland
7 Clinic of 7-6-90,

8 Q. All right. So you don't really -- or you
9 cannot really comment on the treatment that she
10 received after that time, if any, other than what
11 she told you during her history?

12 A. That is correct.

13 Q. So if I were to tell you that she had the
14 majority of her medical treatment in 1990 before the
15 second accident, you would have no reason to agree
16 or disagree with that, or no basis to agree or
17 disagree with that statement, would you?

18 MR. KROHNGOLD: Objection.

19 A. I would have no reason to disagree with it,
20 no.

21 Q. All right.

22 Would the records after July of 1990
23 influence your opinions that are contained in your
24 report and your testimony today?

25 A. I have no idea, because I don't know **what**

1 they would show.

2 Q. Okay.

3 As an experienced physician, if there -- if an
4 injury was sustained in the second accident, would
5 you expect a patient such as Rose to have had
6 additional treatment?

7 A. Well, that's too general a question. First
8 of all, what injury did she sustain in the second
9 accident? I don't know.

10 Q. In other words, it would depend on -- you
11 would need more information to make that kind of --
12 to answer that question --

13 A. To answer --

14 Q. -- as put to you?

15 A. -- to answer your question, yes.

16 Q. All right.

17 So your testimony today is really based upon
18 what you observed on the way that you examined her;
19 is that a fair statement?

20 A. Yes.

21 Q. Doctor, are you aware that there is no
22 dispute that a collision took place on January of
23 1990?

24 A. Am I aware of what?

25 Q. Are you aware that there is no dispute that a

1 collision did occur in January of 1990?

2 A. I never really considered it.

3 **a.** Okay. Well my question -- in fairness to you
4 again, Doctor -- my question is really -- the
5 accident did occur and there is no dispute that the
6 accident occurred in January of 1990. Does that
7 change any of your reasoning one way or another?

8 A, No.

9 Q. Okay.

10 Now, can a motor vehicle collision produce
11 injuries to the low back muscles and other tissues
12 that are present there?

13 A, Yes.

14 Q. All right. Can these injuries produce pain
15 and restrict activities?

16 A. Yes.

17 Q. Doctor, assuming even if Rose had **a** problem
18 with her low back before the collision of January of
19 1990, could that collision possibly aggravate those
20 problems causing, her to experience pain and limited
21 activities?

22 MR. KROHNGOLD: Objection.

23 A. Anything under the sun is possible. It's --
24 whether it's probable or not in this **case**, I don't
25 know.

1 Q. You can't **say** one way or another?

2 A. No. I wasn't there.

3 **a.** Doctor, I believe In your report you -- I'm
4 sorry -- during your testimony you indicated that
5 the Kaiser records made no mention of a motor
6 vehicle accident in January of 1990.

7 A. Well, let's see here,

8 Q. I think that's in your last paragraph on your
9 first page,

10 A. Well, let's see, the accident **was** on **1-12-90**.
11 I have a notation -- her records indicate that she
12 was seen on 1-15-90, three days later.

13 Q. With the respiratory complaint,

14 A. And those are respiratory complaints. There
15 is no mention of the **1-12-90** vehicular accident.

16 Q. Okay.

17 A. And then Kaiser records **12-9-88** to **9-7-91** --

18 Q. All right.

19 A. -- there is no mention of the vehicular
20 accident.

21 Q. All right.

22 Doctor, if I told you that in the Kaiser
23 records that we've obtained, and that all the
24 parties in this lawsuit have obtained, that these is
25 mention of the motor vehicle accident on both **Page**

1 34 and 37 of the records, would you be able to
2 explain your finding in your report?

3 A. Yes, either I missed it, or I didn't have it.

4 Q. Okay.

5 Q. That's fair enough,

6 Doctor, I don't have any other questions for
7 you. Thank you very much for your time,

8 - - -

9 REDIRECT EXAMINATION

10 BY MR. KRQHNGQLD:

11 Q. Doctor, just one last question.

12 There was a notation in the Kaiser records of
13 1-12-90, but if you could, please, was there an
14 indication in that notation regarding the accident
15 of a call to Kaiser, or the visit, of any low back
16 pain?

17 A. Well, this is not a visit. This is a
18 telephone memorandum, In other words, this is
19 memorandum completed by -- probably a secretary
20 based on a phone call from the patient on -- it
21 looks like to me like the date is 1-12-90 -- and it
22 says in motor vehicular accident 12:00 noon. Now
23 complaints of headache. Doesn't remember striking
24 head; no complaints -- no. It says no complaints of
25 neck pain, but that's not a visit, That's a

1 telephone message.

2 Q. Is there any mention in there of low back
3 pain?

4 A. No,

5 Q. Doctor, I don't think I have anything
6 further.

7 - - -

8 RECROSS-EXAMINATION

9 BY MR. BARBOUR:

10 Q. Doctor, just very briefly. Page 37 of the
11 Kaiser records which are dated 2-26-90, can you look
12 at those right in that area?

13 A. (Witness complies.)

14 MR. RROHNGOLD: What page is that?

15 MR. BARBOUR: 37.

16 A. And again this is a telephone call, not a
17 visit.

18 Q- Excuse me, Doctor, I believe that's a
19 progress note from Kaiser,

20 A. No, It's a telephone call,

21 Q. I'm sorry.

22 MR. KROHNGOLD: No, it's not.

23 A. It says, "Medical message chart request
24 caller's name,"

25 Q. I'm **sorry**, You're correct, Doctor, that is a

1 medical message.

2 A. And it says "Needs an EMG from Clewland
3 Clinic referral." The next three letters, I can't
4 read. Then it gives Dr. El --

5 Q. Elghazawi.

6 A. -- Elghazawi's phone number. Kaiser was now
7 x-ray patient. Now, that is motor vehicle
8 accident; was in Kaiser ER; neck and back pain, so I
9 had no records of her visiting Kaiser's emergency
10 room.

11 Q. All right. Thank you, Doctor. I don't have
12 anything else. Thank you very much.

13 MR. KROHNGOLD: Nothing further,
14 Doctor.

15 THE VIDEOGRAPHER: Now, it is now
16 right to review the videotape in its
17 entirety, or do you wish to waive what right?

18 THE WITNESS: I waive it.

19 THE VIDEOGRAPHER: We are off the
20 record.

21 THE WITNESS: Do you want me to waive
22 reading of the transcript?

23 MR. KROHNGOLD: Yes, if you want to.

24 THE WITNESS: I waive.

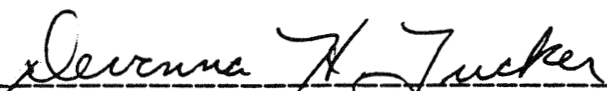
25
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(SIGNATURE WAIVED)
(DEPOSITION CONCLUDED)

CERTIFICATE

State of Ohio,)
) **SS:**
County of Cuyahoga.)

I, Devonna H. Tucker, a Notary Public in and
for the State of Ohio, duly commissioned and
qualified, do hereby certify that the above-named
BYRON K. HOFFMAN, M.D., was by me first duly sworn
to testify to the truth, the whole truth, and
nothing but the truth in the cause aforesaid; that
the deposition as above set forth **was** reduced to
writing by me, by means of stenotype, and was later
transcribed into typewriting under my direction by
computer-aided transcription; that I am not a
relative or attorney of either party or otherwise
interested in the event of this action,

IN WITNESS WHEREOF, I have hereunto set my
hand and seal of office at Cleveland, Ohio, this
23rd day of November, 1992.



Devonna H. Tucker, Notary Public
in and for the State of Ohio.

My commission expires November 18, 1996.