

IN THE COURT OF COMMON PLEAS
OF SUMMIT COUNTY, OHIO

- - - - -

Karen Armour, :
Administratrix of the :
Estate of M. Jean Speicher :
AKA Minnie Jean Speicher, :
Plaintiff, :
vs. : Case No. 01 2002-07-4063
Patrick A. Rich, D.O., :
et al., :
Defendants. :

- - - - -

DEPOSITION OF THEODOR F. HERWIG, M.D.

- - - - -

Taken at the residence of Theodor F. Herwig, M.D.
657 Bay Drive
Westerville, Ohio 43082
November 20, 2003, 4:10 p.m.

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<p style="text-align: right;">Page 2</p> <p>1 APPEARANCES (By Telephone)</p> <p>2</p> <p>3 ON BEHALF OF PLAINTIFF:</p> <p>4 Howard D. Mishkind, Esq. Becker & Mishkind 1660 West Second Street Cleveland, Ohio 44113</p> <p>6</p> <p>7 ON BEHALF OF DEFENDANT PATRICK A. RICH, D.O.:</p> <p>8 Andrew D. Jamison, Esq. Reminger & Reminger 101 Prospect Avenue Cleveland, Ohio 44115</p> <p>10</p> <p>11 ON BEHALF OF DEFENDANT DEAN P. RICH, D.O.:</p> <p>12 Patrick J. Murphy, Esq. Bonezzi, Switzer, Murphy & Polito 526 Superior Avenue Cleveland, Ohio 44114</p> <p>14</p> <p>15</p> <p>16</p> <p>17</p> <p>18</p> <p>19</p> <p>20</p> <p>21</p> <p>22</p> <p>23</p> <p>24</p>	<p style="text-align: right;">Page 4</p> <p>1 THEODOR F. HERWIG, M.D.</p> <p>2 being first duly sworn, as hereinafter certified,</p> <p>3 testifies and says as follows:</p> <p>4 CROSS-EXAMINATION</p> <p>5 -----</p> <p>6 BY MR. MISHKIND:</p> <p>7 Q. Good afternoon, Doctor.</p> <p>8 A. Good afternoon.</p> <p>9 Q. Would you please state your name for</p> <p>10 the record?</p> <p>11 A. Theodor, T-h-e-o-d-o-r, F.,</p> <p>12 H-e-r-w-i-g, Herwig.</p> <p>13 Q. Dr. Herwig, my name is Howard Mishkind,</p> <p>14 and I'm going to be asking you some questions</p> <p>15 concerning a letter you wrote dated July 9,</p> <p>16 2003 --</p> <p>17 (There was a brief interruption.)</p> <p>18 Q. -- a letter you wrote July 9, 2003, to</p> <p>19 Mr. Murphy, and the opinions that you have in this</p> <p>20 case.</p> <p>21 A. I have -- go ahead.</p> <p>22 Q. We're doing this deposition by phone.</p> <p>23 What I would ask is that you make sure that you</p> <p>24 wait until I'm done entirely, even more than what</p>
<p style="text-align: right;">Page 3</p> <p>1 Thursday Afternoon Session</p> <p>2 November 20, 2003, 4:10 p.m.</p> <p>3 -----</p> <p>4 STIPULATIONS</p> <p>5 -----</p> <p>6 It is stipulated by and between counsel</p> <p>7 for the respective parties that the deposition of</p> <p>8 THEODOR F. HERWIG, M.D., a witness herein, called by</p> <p>9 the Plaintiff for cross-examination, may be taken at</p> <p>10 this time by the notary by agreement of counsel and</p> <p>11 without other legal formality; that said deposition</p> <p>12 may be reduced to writing in stenotypy by the notary,</p> <p>13 whose notes may thereafter be transcribed out of the</p> <p>14 presence of the witness; that proof of the official</p> <p>15 character and qualification of the notary is waived.</p> <p>16 -----</p> <p>17</p> <p>18</p> <p>19</p> <p>20</p> <p>21</p> <p>22</p> <p>23</p> <p>24</p>	<p style="text-align: right;">Page 5</p> <p>1 you would do face to face so that we don't have</p> <p>2 any words cut off during the course of the</p> <p>3 transmission, and also so the court reporter</p> <p>4 doesn't have more of a difficult time than she</p> <p>5 otherwise might have.</p> <p>6 A. Very well.</p> <p>7 Q. And I will do the same with you.</p> <p>8 Obviously I'll wait until you have finished your</p> <p>9 answer before I move on to the next question,</p> <p>10 okay?</p> <p>11 A. Yes.</p> <p>12 MR. MISHKIND: And again, for the court</p> <p>13 reporter's benefit, please let me know if my</p> <p>14 questions or my statements are being cut off in</p> <p>15 any respect, and I will pick up the phone and take</p> <p>16 it off speaker.</p> <p>17 THE REPORTER: All right. Thank you.</p> <p>18 Q. Doctor, to begin with, I have your</p> <p>19 letter dated July 9, 2003. Do you have that also?</p> <p>20 A. Yes. I'm looking at it right now.</p> <p>21 Q. I also have your CV that was faxed to</p> <p>22 me late yesterday from Mr. Murphy's office. And</p> <p>23 the CV is one page in length, is that -- is your</p> <p>24 CV more than one page?</p>

<p style="text-align: right;">Page 6</p> <p>1 A. No. It's one page, and my current one 2 is dated January, 2003. 3 Q. Okay. 4 A. And I have a copy here, if you want it 5 marked. 6 MR. MISHKIND: Okay. Why don't we go 7 ahead, just for purposes of the record, and have 8 your report marked as Plaintiff's Exhibit 1 and 9 your CV marked as Plaintiff's Exhibit 2. 10 ----- 11 Thereupon, Plaintiff's Exhibits 12 1 and 2 are marked for purposes of 13 identification. 14 ----- 15 Q. Doctor, for the record, the report is 16 Plaintiff's Exhibit 1 and your most recent CV is 17 Plaintiff's Exhibit 2; is that correct? 18 A. That's correct. 19 Q. Are there any additions or deletions 20 that need to be made to your CV to bring it up to 21 November, 2003 standards? 22 A. I don't believe so. 23 Q. To follow up, your professional 24 activities and your licensures and your</p>	<p style="text-align: right;">Page 8</p> <p>1 four hours for the deposition? 2 A. That's for anything up to four hours. 3 Q. So hypothetically, if I were to take 4 two hours for the deposition, it's still \$1200; is 5 that correct? 6 A. Yes, it is. 7 Q. Okay. And I presume that you had a 8 conference with Mr. Murphy before we hooked up on 9 the phone today. 10 A. Yes, I did. 11 Q. And your conference with him consists 12 of \$250 per hour? 13 A. That's correct. 14 Q. Can you explain to me why the 15 conference with the attorney is less than the 16 deposition time? 17 A. That's a pretty good question. I 18 suppose the smart alec answer is I should raise my 19 fee to the attorney, but actually the deposition 20 does require more in the way of alertness and 21 caution in the way I choose my words and is a 22 considerably more demanding enterprise. 23 Q. Okay. I don't want to be too demanding 24 on you during the course of the deposition.</p>
<p style="text-align: right;">Page 7</p> <p>1 associations are all the same? 2 A. They are. 3 Q. I also have a schedule here for your 4 medical legal consultation, and I just wanted to 5 go over a couple things on that before we move 6 into the substance of your deposition. 7 A. Very well. Is yours dated January, 8 2003? 9 Q. Yes, it is, sir. 10 A. Okay. I have a copy of it in my hand. 11 MR. MISHKIND: All right. Why don't we 12 go ahead and mark that as Exhibit 3? 13 ----- 14 Thereupon, Plaintiff's Exhibit 3 15 is marked for purposes of 16 identification. 17 ----- 18 Q. And again, for the record, Exhibit 3 is 19 your fee schedule for medical legal consultation; 20 is that true? 21 A. Yes. 22 Q. A couple questions on that, sir. The 23 deposition today, it says standard fee of \$1200. 24 Is that regardless of whether I take one hour or</p>	<p style="text-align: right;">Page 9</p> <p>1 A. Swell. 2 Q. Okay. In addition to your report and 3 the exhibits that we have just marked, when your 4 report refers to "case material," can you tell me 5 what case material you were referencing in your 6 letter? 7 A. Yes. This includes a notebook marked 8 Armour versus Rich, 460150, Akron General Medical 9 Center, which is hospital records from Akron 10 General. It includes a binder, Armour versus 11 Rich, 460150, Barberton Citizens Hospital, 12 01-25-01 to 01-28-01, which is the Barberton 13 hospital record. It includes a deposition of Dean 14 Rich, D.O., Wednesday, December 18th, 2002. It 15 includes a file, Armour versus Rich, BSMP file No. 16 460150, Patrick Rich, D.O., medical records, and 17 it includes expert letters from Ohio Chest 18 Physicians, from Health Systems Design, and from 19 Dr. Mark Bibler. 20 Q. Any other material that you are 21 referring to in terms of case material in your 22 July 9 letter, sir? 23 A. No. 24 Q. Have you received anything from anyone</p>

<p style="text-align: right;">Page 10</p> <p>1 relative to the Speicher case since you wrote your 2 report?</p> <p>3 A. Yes. Mr. Murphy sent me a transcript 4 of Dr. Dean Rich's notes this afternoon.</p> <p>5 Q. A transcript of notes?</p> <p>6 A. Yes, a typed rendering of his less than 7 completely legible progress notes for the 02-01-01 8 office visit.</p> <p>9 Q. Were you not able to decipher his 10 office note based upon the written record?</p> <p>11 A. There were areas where I was less than 12 100 percent certain.</p> <p>13 Q. And in reading over Dr. Dean Rich's 14 deposition, were you able to get a literal 15 translation of what Dr. Dean Rich wrote in his 16 office note for February 1, '01?</p> <p>17 A. Yes, I was. In his deposition, he read 18 into the record his notes.</p> <p>19 Q. Okay. Was this transcription, then, 20 that was sent down to you today by Mr. Murphy then 21 necessary for you?</p> <p>22 A. Probably not.</p> <p>23 Q. Anything else that you have received 24 other than what you just stated?</p>	<p style="text-align: right;">Page 12</p> <p>1 is the transcription of Dr. Dean Rich's office 2 note; is that correct?</p> <p>3 A. Yes.</p> <p>4 Q. Was that prepared by Mr. Murphy's 5 office or was it prepared by Dr. Rich's office?</p> <p>6 A. It appears to have been prepared by 7 Dr. Rich.</p> <p>8 Q. Have you ever talked to either Patrick 9 Rich or Dr. Dean Rich?</p> <p>10 A. I have not.</p> <p>11 Q. Do you know either of them?</p> <p>12 A. No.</p> <p>13 Q. You mentioned you had the three expert 14 reports. One is from Dr. Bacik. That's 15 B-a-c-i-k. The other is Dr. Kotomy, and then 16 Dr. Bibler. Do you know any of those physicians?</p> <p>17 A. I never have met them. I don't know 18 them.</p> <p>19 Q. You have not seen, I take it, the 20 deposition transcripts of the family?</p> <p>21 A. I have not.</p> <p>22 Q. Do you know what the testimony has been 23 of, for example, Karen Armour, the daughter, 24 relative to her mother's symptoms following the</p>
<p style="text-align: right;">Page 11</p> <p>1 A. No.</p> <p>2 Q. And do you have that transcription 3 right there with you?</p> <p>4 A. I do.</p> <p>5 MR. MISHKIND: All right. I'd like to 6 get a copy of that and have that marked as 7 Plaintiff's Exhibit 4, if you wouldn't mind, sir.</p> <p>8 THE WITNESS: Okay. I have a very 9 small copying machine here, and I can get a copy. 10 Or do you want me to give this to the reporter and 11 have her send my original back?</p> <p>12 MR. MISHKIND: You can give it to the 13 reporter, and then when you guys are done go ahead 14 and run it through the copy machine and you can 15 hold on to the original.</p> <p>16 THE WITNESS: Okay.</p> <p>17 MR. MISHKIND: This is No. 4, is it?</p> <p>18 THE WITNESS: Yes, sir.</p> <p>19 -----</p> <p>20 Thereupon, Plaintiff's Exhibit 4 21 is marked for purposes of 22 identification.</p> <p>23 -----</p> <p>24 Q. For the record, Plaintiff's Exhibit 4</p>	<p style="text-align: right;">Page 13</p> <p>1 discharge from Barberton leading up to the office 2 visit of February 1?</p> <p>3 A. No, I don't.</p> <p>4 Q. Would you agree that a patient's 5 symptoms, certainly if in fact she had continuing 6 shortness of breath following the discharge and 7 had shortness of breath at the time of her visit 8 to Dr. Dean Rich, that would be something 9 important for you to know?</p> <p>10 A. Yes, it would.</p> <p>11 Q. Okay. Now, Doctor, besides the 12 material that you've identified for me, and 13 obviously since I'm not there with you, is there 14 anything else that you have in your possession 15 right now relative to this case other than what 16 you've told us about?</p> <p>17 A. No. I have records of transmittal from 18 Mr. Murphy, which simply are identifying the 19 materials that he mailed me, but that's all.</p> <p>20 Q. Is there anything that you have 21 requested, Doctor, that you wanted to see that, 22 for whatever reason, has not been provided to you?</p> <p>23 A. No.</p> <p>24 Q. You have never seen the deposition of</p>

<p style="text-align: right;">Page 14</p> <p>1 Dr. Patrick Rich; is that correct?</p> <p>2 A. That's correct.</p> <p>3 Q. Have you done any literature search or</p> <p>4 research for purposes of this case?</p> <p>5 A. No.</p> <p>6 Q. You do teaching, as I recall; is that</p> <p>7 correct?</p> <p>8 A. Yes, I do.</p> <p>9 Q. And does any of your teaching surround</p> <p>10 the topic of the diagnosis and treatment of DVT?</p> <p>11 A. I've never given a specific lecture</p> <p>12 regarding DVT, but in our rounds, clinical</p> <p>13 teaching, office teaching, this is a subject which</p> <p>14 comes up very frequently; and in that sense, yes,</p> <p>15 I have taught regarding it.</p> <p>16 Q. What about the diagnosis of pulmonary</p> <p>17 embolism? Has that also been the topic of lecture</p> <p>18 to medical students on your part?</p> <p>19 A. Again, I've never given a lecture on</p> <p>20 that subject; but again, it's an important and</p> <p>21 serious thing which we consider frequently in</p> <p>22 clinical practice.</p> <p>23 Q. Are there any sources, Doctor, that you</p> <p>24 would refer a medical student or a resident to</p>	<p style="text-align: right;">Page 16</p> <p>1 MR. JAMISON: Objection.</p> <p>2 A. I would think that it's a good point of</p> <p>3 departure, yes.</p> <p>4 Q. Doctor, there was a recent article in</p> <p>5 the new England Journal of Medicine in September.</p> <p>6 Do you get the new England Journal?</p> <p>7 A. I do not.</p> <p>8 Q. Okay. Would you agree with this</p> <p>9 statement: When the diagnosis of embolism is</p> <p>10 confirmed and effective therapy is initiated,</p> <p>11 recurrence of the embolism is rare and death is</p> <p>12 uncommon.</p> <p>13 A. I have to think about that a moment. I</p> <p>14 think we believe that appropriate treatment of</p> <p>15 thrombophlebitis and embolic disease significantly</p> <p>16 reduces the risk of the patient of having further</p> <p>17 embolic problems. I certainly am not a person</p> <p>18 that can offer any numbers to support that or</p> <p>19 clarify it.</p> <p>20 Q. With regard to the specifics on</p> <p>21 numbers, would you defer to a pulmonary doctor as</p> <p>22 it relates to a likelihood of death from</p> <p>23 recurrence of embolism?</p> <p>24 A. Yes. Either a pulmonologist or a</p>
<p style="text-align: right;">Page 15</p> <p>1 that you consider to be reasonably reliable for</p> <p>2 purposes of the topic of the evaluation of</p> <p>3 suspected pulmonary embolism?</p> <p>4 A. If I had a student who or a resident</p> <p>5 who asked for sources, I would probably start with</p> <p>6 Harrison's Textbook of Medicine, the current</p> <p>7 edition, and I don't know what number that is at</p> <p>8 the present time, as general information. And I</p> <p>9 suspect that probably a literature search would</p> <p>10 show something in Medical Clinics of North America</p> <p>11 and so forth, but I don't have any other specific</p> <p>12 favorite sources.</p> <p>13 Q. Can we agree that from a standpoint of</p> <p>14 a reasonably reliable resource for information on</p> <p>15 the evaluation of suspected pulmonary embolism,</p> <p>16 that Principles of Internal Medicine by Harrison</p> <p>17 is a good resource?</p> <p>18 A. As you know, we're very reluctant to</p> <p>19 label anything as completely authoritative, but</p> <p>20 Harrison certainly has been recognized as a good</p> <p>21 general textbook of medicine for many years.</p> <p>22 Q. Even though we may not use the term</p> <p>23 authoritative, would you acknowledge that it's</p> <p>24 reasonably reliable?</p>	<p style="text-align: right;">Page 17</p> <p>1 vascular surgeon I think would speak with more</p> <p>2 authority than I.</p> <p>3 Q. Okay. Again, another question for you</p> <p>4 whether you agree with this or not: The majority</p> <p>5 of preventable deaths associated with pulmonary</p> <p>6 embolism can be ascribed to a missed diagnosis</p> <p>7 rather than to a failure of existing therapies.</p> <p>8 A. Another time to think a little bit.</p> <p>9 Q. Take your time.</p> <p>10 A. I really don't think that I'm qualified</p> <p>11 to give an opinion on that. It's not -- it's not</p> <p>12 my special field, and I think others with more</p> <p>13 experience would probably be more reliable to</p> <p>14 answer that.</p> <p>15 Q. Okay. What is your area of practice or</p> <p>16 subspecialty?</p> <p>17 A. I'm a family physician. I take care of</p> <p>18 people as their primary contact physician. I work</p> <p>19 with my colleagues in treating patients with</p> <p>20 established diagnoses and in confirming diagnoses,</p> <p>21 and I do that for people of all ages and with all</p> <p>22 sorts of problems.</p> <p>23 Q. Basically from crib to grave?</p> <p>24 A. I say that I see people -- I take care</p>

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1 of people from before they're thought of till
 2 after they're forgotten.
 3 Q. Okay. Hopefully they're never
 4 forgotten.
 5 A. Hopefully. And when the patient is
 6 gone, the family remains, and I try to serve them.
 7 Q. And provide them some comfort as well
 8 when they lose a loved one?
 9 A. Yes, and medical care when they need it
 10 as well.
 11 Q. Certainly. Dr. Patrick Rich and
 12 Dr. Dean Rich, what is your understanding as to
 13 their area of practice?
 14 A. As I understand it, Drs. Rich are both
 15 community-based family practice practitioners. I
 16 know that Dr. Dean is boarded in family practice.
 17 I don't know about Dr. Patrick, but I would assume
 18 that they do a practice very similar to mine.
 19 Q. I believe one or both of the
 20 doctors -- and I say it this way because you
 21 haven't read Patrick's deposition. But one or
 22 both of the doctors indicated that from a
 23 standpoint of the diagnosis and treatment of BVT
 24 or the ruling out of the existence or nonexistence

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1 of pulmonary embolism, that the standard of care
 2 is the same for an internist as it is for a family
 3 physician. Do you agree with that statement?
 4 A. Yes. I think the standard of care for
 5 a family physician is in almost every incidence
 6 the same as the standard of care of a specialist.
 7 Q. So we wouldn't have any difference in
 8 terms of what the standard of care is for an
 9 internist that's presented with signs and symptoms
 10 of a DVT or signs or symptoms of a PE as compared
 11 to a family practice doctor in the same situation;
 12 is that true?
 13 A. I agree.
 14 Q. Okay. Doctor, I want to just ask you a
 15 few questions about your medical legal experience.
 16 In terms of the percentage of work that you do by
 17 way of review of cases, can you tell me what
 18 percentage is at the request of plaintiff's
 19 counsel and what percentage is at the request of
 20 defense counsel?
 21 A. Interestingly, my work has been just
 22 about evenly balanced between cases given to me by
 23 plaintiffs and defense counsel.
 24 Q. In terms of your -- that's pretty much

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1 been consistent throughout the years?
 2 A. Yes, it has.
 3 Q. You've been doing this for how many
 4 years now, sir?
 5 A. Probably 10 or 12.
 6 Q. And are you in the active clinical
 7 practice of medicine currently?
 8 A. Yes, I am.
 9 Q. What percentage of your time do you
 10 spend in the active clinical practice?
 11 A. 100 percent.
 12 Q. Okay. Other than when you're called
 13 upon in this setting.
 14 A. That's correct.
 15 Q. Can you give me an idea of how many
 16 cases you review on a yearly basis?
 17 A. Over the years, it's been pretty
 18 steadily about 10 or 12 cases a year.
 19 Q. And how many times are you called upon
 20 to give deposition testimony?
 21 A. About half the time.
 22 Q. So five to six depositions a year?
 23 A. Correct.
 24 Q. And trial testimony where you actually

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1 walk in the courtroom and give testimony?
 2 A. That's, of course, much less common,
 3 but I would say I average perhaps two to three a
 4 year.
 5 Q. And are the percentages concerning
 6 depositions and trial testimony, is it equally
 7 balanced, fifty-fifty?
 8 A. Pretty much, yes.
 9 Q. Now, you and I have never met before,
 10 have we?
 11 A. That's correct.
 12 Q. Have you worked with Mr. Murphy or any
 13 of the attorneys from Bonezzi, Switzer, Murphy &
 14 Polito before?
 15 A. Yes, I have.
 16 Q. Can you just give me an idea roughly, I
 17 guess we'll start with how many cases are you
 18 currently participating as an expert for them?
 19 A. I probably have two or three cases that
 20 are pending presently. As you know, there's
 21 several large firms that do defense work as there
 22 are plaintiff's work, and as a result, I have a
 23 number of cases within the same firm. I really
 24 don't have any numbers.

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1 Q. Okay. Over the years how many cases
2 have you worked with Mr. Murphy personally as an
3 expert?
4 A. Probably four or five.
5 Q. How about actual testimony, deposition
6 or in trial?
7 A. I think I've only been deposed on his
8 cases, I think this is only the second one that
9 I've been deposed on. I'm not -- I'm not sure of
10 that, but I think this is only the second, and
11 I've never gone to trial on his cases. Oh, wait a
12 minute. No. No, I have not gone to trial on any
13 of his cases.
14 Q. I take it you have gone to trial on
15 some of the cases for the other lawyers in his
16 office.
17 A. I -- actually I don't think so. I was
18 in trial in a case one of his partners was
19 involved in, but I was on the other side.
20 Q. Okay. I'm starting to show my age. I
21 just lost my train of thought. It probably was
22 going to be a brilliant question, too.
23 A. It will come.
24 MR. JAMISON: One in a while we dodge

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1 the bullet, Howard.
2 MR. MISHKIND: Yeah, right. I knew you
3 couldn't pass that opportunity up.
4 MR. JAMISON: I couldn't.
5 Q. Now I remember what it was. Have
6 either of the cases you've worked for, either
7 written reports, depositions, or at trial involved
8 issues of the diagnosis and treatment of a patient
9 with a pulmonary embolism?
10 A. Yes. There have been several.
11 Q. Can you tell me just generally when
12 those cases were and what the outcome of those
13 cases were?
14 A. I have reviewed cases for a plaintiff's
15 attorney. I think the one I remember probably
16 went back about five years. I've -- primarily
17 I've reviewed them for defense attorneys.
18 I really don't know what the outcome
19 was. I've not gone to court, and I've not been
20 privy to what resolution was made of the case.
21 Q. Do you recall the names of any of those
22 cases either by way of a patient or the doctor
23 where a PE was involved?
24 A. I'm sorry. I don't.

Page 24

1 Q. Can you tell me when the last time your
2 deposition was taken?
3 A. In any matter?
4 Q. Yes, sir.
5 A. I think I've done a couple earlier this
6 year. I kind of live in the moment, and --
7 Q. We all do.
8 A. And I really can't tell you who it was
9 and when and where.
10 Q. But you think it was a couple earlier
11 this year?
12 A. I'm sure I have, yes.
13 Q. Do you have any depositions scheduled
14 for the balance of this year?
15 A. I don't believe so.
16 Q. And this case is set for trial December
17 9th, and I know you're scheduled to testify toward
18 the end of that week.
19 A. Yes.
20 Q. And I take it you're planning on
21 appearing live, in person, as opposed to video?
22 A. Yes. If you haven't settled it, I
23 expect to be there.
24 Q. Okay. Have you ever been named as a

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1 defendant in a malpractice case, sir?
2 A. Yes, I have.
3 Q. How many times?
4 A. Three.
5 Q. Any of those currently pending?
6 A. No.
7 Q. Any of those cases involve pulmonary
8 emboli?
9 A. No, they didn't.
10 Q. The outcome of those three cases, were
11 they all dismissed?
12 A. The first one was dismissed with
13 prejudice 40 years ago. There was one that was
14 failure to diagnose a breast cancer where \$9,000
15 was paid. That was probably about 30 years ago.
16 And there was one where an elderly lady managed to
17 hang herself on her Posey restraint, and my
18 insurance paid \$15,000 on that one.
19 Q. Doctor, could you tell me how many
20 years young you are?
21 A. I am 71.
22 Q. Okay.
23 A. There are not too many people that will
24 own up to being in practice for 40 years, will

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1 they?

2 Q. No, I guess not, but I congratulate
3 you. I just want to ask you a couple basic
4 medicine questions, and then we'll move on to the
5 specific opinions that are --

6 (The phone connection was broken; short
7 recess taken.)

8 MR. MISHKIND: Back on the record.

9 Q. I think I was indicating to you I
10 wanted to ask you some basic medicine questions.

11 A. Yes.

12 Q. And then we'll get into the opinions
13 that are involved in this case.

14 Before I put away your CV entirely, is
15 there anything on your CV by way of your
16 activities or professional associations that are
17 particularly germane to the issues involved in
18 this case as it relates to the suspicion of or
19 work-up of a patient for a pulmonary embolism?

20 A. I don't believe so.

21 Q. Okay. What is deep vein thrombosis?

22 A. The deep veins ordinarily are
23 considered to be the deep femoral veins in the
24 calf and in the thigh. It's not the femoral in

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1 the calf. That would be the deep popliteal there.
2 And thrombosis is a condition where a clot forms
3 within the blood vessel.

4 Q. What are the common signs and symptoms
5 of a DVT?

6 A. The most common sign is a painful calf,
7 swelling, perhaps heat. Those are the most common
8 signals that a venous thrombosis has occurred.

9 Q. How is a DVT diagnosed, Doctor?

10 A. That's a difficult thing to do. We
11 have two clinical tests that are considered
12 standard, the Homan's sign and a test of calf
13 tenderness, but both are considered unreliable.
14 We also do ultrasound Doppler studies of the
15 veins, which is useful for detecting clots in the
16 veins of the thigh, but is not of much value for
17 veins in the calf.

18 Q. If you have a diagnosis of DVT, how is
19 it treated?

20 A. The treatment is pretty much
21 straightforward. We put the person at rest,
22 physical rest so they're less likely to dislodge a
23 soft, friable clot. We elevate the legs to
24 promote drainage of blood. We may use compressive

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1 stockings to reduce pooling of blood in the legs,
2 and we use blood thinners. Initially ordinarily
3 it's Heparin, and that is used until the
4 prothrombin time is in the desired range with the
5 use of Coumadin. We ordinarily continue that
6 treatment for at least several months,
7 and -- well, that's basically the treatment.

8 Q. Would you define for me what a
9 pulmonary embolism is?

10 A. Pulmonary, of course, means lung.

11 Embolism is when a foreign matter goes through the
12 artery and lodges in the distant tissue. So you
13 can get a blood clot. You could presumably get a
14 bolus of air which formed an embolism. You could
15 presumably get any kinds of foreign material which
16 travel through the vessels and lodge in the lung.
17 Traditionally we talk about a clot which has come
18 from some of the large veins in the lower body,
19 which then travels and lodges in the pulmonary
20 artery of the lung.

21 Q. What are the common signs and symptoms
22 of pulmonary embolism?

23 A. That, also, can have a variety of
24 symptoms. Cough, shortness of breath, unexplained

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1 fever, chest pain are all possible symptoms of
2 pulmonary embolism.

3 Q. In a patient that does not have a
4 history of pulmonary disease, is a pulmonary
5 arterial pressure on an echo such as what we have
6 in this case consistent with a patient that is
7 high probability of having a pulmonary embolism?

8 A. An elevated pulmonary pressure needs to
9 be explained. Pulmonary embolism is a likely
10 possibility, yes.

11 Q. Was it reasonable in your opinion for
12 Dr. Patrick Rich to admit the patient to Barberton
13 to rule out congestive heart failure and to rule
14 out pulmonary embolism?

15 A. Yes, I think that was a reasonable
16 admitting position.

17 Q. And the rule out pulmonary embolism
18 from a sign or symptoms standpoint, what was the
19 history that caused that to be a reasonable reason
20 for admission?

21 A. I have to say that I really have not
22 reviewed Dr. Patrick Rich's records. I feel very
23 inappropriate in commenting about his work. I
24 haven't seen his deposition. I have looked at the

<p style="text-align: right;">Page 30</p> <p>1 hospital records, but my focus has really been 2 entirely on Dr. Dean Rich's work on the office 3 record. 4 Q. When you were provided the record for 5 Dean Rich, that obviously was contained within 6 Dr. Patrick Rich's records; is that correct? 7 A. That's true. 8 Q. So while you didn't read Dr. Patrick 9 Rich's deposition, you're aware that Dr. Patrick 10 Rich saw this patient on January 25, 2001, 11 correct? 12 A. Yes. 13 Q. And there was a history that he 14 obtained on the patient that caused him to admit 15 the patient to the hospital for the rule out -- to 16 rule out PE, right? 17 A. Yes. 18 Q. Perhaps I'm misquoting you, but what 19 you're saying is that in terms of what symptoms 20 were appreciated on January 25th that caused him 21 to say we need to admit her to rule out 22 conditions, are you able to tell me from the 23 record what it was that you believe to be 24 reasonable on his part that he had on this patient</p>	<p style="text-align: right;">Page 32</p> <p>1 thrombosis is the most common cause of pulmonary 2 emboli? 3 A. I really don't know if I have that 4 information. We believe that pulmonary emboli 5 come from the large veins of the lower body, but 6 there are several places that could come from. 7 Q. Again, in terms of that question, would 8 you defer to a pulmonary specialist? 9 A. Yes. 10 Q. How would pulmonary emboli be diagnosed 11 in the clinical setting by a family practice 12 doctor or an internist? 13 A. We have several tests which we depend 14 on. The VQ scan is probably the most universally 15 available, but is not a specific test. It gives 16 us degrees of probability. The spiral CT of the 17 chest is being used more and more now and can be 18 very helpful, and of course the ultimate test is a 19 pulmonary arteriogram. 20 Q. Would that be a pulmonary angiogram? 21 A. Yes. 22 Q. Okay. And would you agree that if 23 suspicion for pulmonary emboli exist, that a 24 diagnostic study should be done promptly to</p>
<p style="text-align: right;">Page 31</p> <p>1 that caused him to want to evaluate her for 2 pulmonary embolism? 3 A. Well, in his notes of January 25th he 4 says -- he comments about the swelling of her leg, 5 shortness of breath with walking, and a little 6 tachycardia that he found. And I think that one 7 always has to recognize that the information in 8 the notes really doesn't -- doesn't explain what 9 the doctor saw completely and what he was thinking 10 completely, but these were appropriate things to 11 support an admission, I think. 12 Q. And again, recognizing that you haven't 13 seen his deposition, but based upon the office 14 note, with those symptoms and if we include that 15 it was a sudden onset of shortness of breath that 16 followed the left leg swelling, would you agree 17 that one factor that would need to be high in the 18 differential would be whether the patient had the 19 DVT? 20 A. Yes, I think that's true. 21 Q. And whether or not the patient was at 22 high risk of pulmonary embolism at that time? 23 A. Yes. 24 Q. Would you agree that deep vein</p>	<p style="text-align: right;">Page 33</p> <p>1 confirm or to rule out the diagnosis? 2 MR. JAMISON: Objection. 3 A. I would have to agree with that, yes. 4 Q. Was it reasonable for Dr. Patrick Rich, 5 from what you can tell from the record in terms of 6 his test results, for him to rule out congestive 7 heart failure? 8 A. Yes, I think so. 9 Q. From what you can tell from the record, 10 did Dr. Patrick Rich rule out pulmonary embolism 11 during the hospitalization? 12 A. I found no record of any specific test 13 such as I mentioned. 14 Q. Can we agree that given the patient's 15 history and her admitting diagnosis as well as the 16 results from the echocardiogram showing the 17 pulmonary arterial pressure that it would have 18 been reasonable and prudent for Mrs. Speicher 19 while in the hospital to undergo a VQ scan or a 20 pulmonary angiogram to rule out or confirm the 21 existence of pulmonary emboli? 22 MR. JAMISON: Objection. 23 THE WITNESS: I was just waiting to see 24 if the reporter needed to identify the objector.</p>

<p style="text-align: right;">Page 34</p> <p>1 MR. JAMISON: Jamison.</p> <p>2 THE WITNESS: Can you repeat that</p> <p>3 question?</p> <p>4 MR. MISHKIND: That's why we bring the</p> <p>5 court reporter along.</p> <p>6 THE REPORTER: (Reading) "Question:</p> <p>7 Can we agree that given the patient's history and</p> <p>8 her admitting diagnosis as well as the results</p> <p>9 from the echocardiogram showing the pulmonary</p> <p>10 arterial pressure that it would have been</p> <p>11 reasonable and prudent for Mrs. Speicher while in</p> <p>12 the hospital to undergo a VQ scan or a pulmonary</p> <p>13 angiogram to rule out or confirm the existence of</p> <p>14 pulmonary emboli?"</p> <p>15 A. I would have to say yes, it would be</p> <p>16 reasonable to have done those tests.</p> <p>17 Q. And given the facts that you have from</p> <p>18 the record in the hospital and the office records</p> <p>19 of Dr. Patrick Rich and Dr. Dean Rich, can we</p> <p>20 agree that from what you can see that the failure</p> <p>21 to rule out pulmonary embolism during that</p> <p>22 hospitalization represents a departure from</p> <p>23 accepted standards of care on the part of</p> <p>24 Dr. Patrick Rich?</p>	<p style="text-align: right;">Page 36</p> <p>1 to do that.</p> <p>2 A. If I would have been asked to review</p> <p>3 Dr. Patrick Rich's work, I believe I would be</p> <p>4 qualified; but as I've not reviewed it, I feel</p> <p>5 that it's inappropriate for me to either criticize</p> <p>6 or support what he did.</p> <p>7 Q. All right. Doctor, if you were caring</p> <p>8 for this patient -- I take it you consider</p> <p>9 yourself to be a reasonable and prudent family</p> <p>10 practice doctor.</p> <p>11 A. Yes.</p> <p>12 Q. If you had admitted this patient with a</p> <p>13 recent history of left leg swelling with a sudden</p> <p>14 onset of shortness of breath that had increased</p> <p>15 within the last several days and you admitted the</p> <p>16 patient to rule out CHF and rule out PE, and you</p> <p>17 ruled out CHF but had an echo that showed</p> <p>18 pulmonary arterial pressures of 55 to 60 and no</p> <p>19 prior pulmonary history on the patient, what would</p> <p>20 you have done in order to comply with the standard</p> <p>21 of care?</p> <p>22 MR. JAMISON: Objection.</p> <p>23 A. I believe I would have ordered a VQ</p> <p>24 scan and a work-up for PE.</p>
<p style="text-align: right;">Page 35</p> <p>1 MR. JAMISON: Objection.</p> <p>2 MR. MURPHY: Objection.</p> <p>3 A. I really hate to be put in a position</p> <p>4 to answer that since I've not really looked at</p> <p>5 this case with regard to Dr. Patrick Rich, and I</p> <p>6 have no idea what his reasoning was for not</p> <p>7 pursuing that test. I really feel that it's</p> <p>8 inappropriate for me to answer that.</p> <p>9 Q. Well, Doctor, certainly if you felt</p> <p>10 that Dr. Patrick Rich's care was appropriate, even</p> <p>11 though you were only asked to concentrate on</p> <p>12 Dr. Dean Rich, because the records are from the</p> <p>13 same office and we're dealing with a relatively</p> <p>14 short period of time, you would have certainly</p> <p>15 commented on that, correct?</p> <p>16 A. Actually I did not.</p> <p>17 Q. Well, if you felt that his care,</p> <p>18 Dr. Patrick Rich's care was entirely consistent</p> <p>19 with the standard of care, you certainly are</p> <p>20 qualified to testify on that point, correct?</p> <p>21 MR. JAMISON: Objection. I think he</p> <p>22 already said he was asked to do that. Go ahead.</p> <p>23 MR. MISHKIND: I'm not saying whether</p> <p>24 he was asked to do that. I said was he qualified</p>	<p style="text-align: right;">Page 37</p> <p>1 Q. And do you believe that that would have</p> <p>2 been in keeping with or in compliance with the</p> <p>3 standard of care?</p> <p>4 MR. JAMISON: Objection.</p> <p>5 A. Yes.</p> <p>6 Q. Okay. Do you see any evidence that a</p> <p>7 VQ scan or a work-up for PE was done by</p> <p>8 Dr. Patrick Rich while the patient was in the</p> <p>9 hospital?</p> <p>10 A. I did not.</p> <p>11 Q. Okay. As I understand it, pulmonary</p> <p>12 emboli, if left untreated, can cause blood oxygen</p> <p>13 levels to fall drastically in some instances; is</p> <p>14 that correct?</p> <p>15 A. That's true.</p> <p>16 Q. Okay. Bear with me for a second while</p> <p>17 I shift to the hospital record. Doctor, you've</p> <p>18 read over Dr. Bacik's report, the pulmonary</p> <p>19 expert, correct?</p> <p>20 A. Yes, I have.</p> <p>21 Q. Just to simplify matters, there are a</p> <p>22 number of opinions that he provides at the end of</p> <p>23 his report.</p> <p>24 A. Yes. I have it in front of me.</p>

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1 Q. Are there any areas of disagreement
2 that you have a basis to state on the record as
3 his views in this case?

4 A. Let me look at it here. I believe on
5 his opinion No. 3 concerning the thyroid function
6 studies and so forth, I think that the diagnosis
7 of early hypothyroidism is not necessarily a
8 misdiagnosis. I think there's no reason to
9 believe that that was not a correct diagnosis. I
10 think that's a small point, but I do disagree with
11 that.

12 I think that in item No. 4, he states
13 that Dr. Dean Rich had opportunity to review the
14 hospital data, and I do not believe that's true.
15 It's my understanding that the records from the
16 hospital had not reached the office by the time
17 Dr. Dean saw her.

18 On item No. 5, I don't believe I'm
19 qualified to have an opinion as to the
20 relationship of her stroke and her embolism. This
21 is something that I'm unfamiliar with and I am not
22 entitled to an opinion there.

23 As far as the life expectancy, again, I
24 am not -- I'm not going to render an opinion

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1 A. Again, as a -- not knowing the
2 relationship between hyperthyroidism and pulmonary
3 artery pressure, I don't know. I would think PE
4 would be more likely, however. I agree with you.

5 Q. In terms of potential for fatal
6 outcome, is a patient more likely to die of
7 complications from untreated pulmonary embolism
8 than untreated hyperthyroidism?

9 A. Yes. Pulmonary embolism is far more
10 lethal.

11 Q. Let's move to Dr. Bibler. I'm sorry.
12 Now you've got me -- and I may have said Bibler
13 before, too, so --

14 If we could move to Dr. Bacik's report
15 for a moment, which is another report that you had
16 reviewed.

17 A. Yes.

18 Q. And he has opinions expressed on page
19 3. If you could just take a look at those
20 opinions and tell me whether you agree or
21 disagree.

22 A. In his first paragraph on page 3,
23 Dr. Bacik says, "Had appropriate therapy been
24 administered, Ms. Speicher would have survived."

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1 there.

2 Those are my only objections to that
3 letter.

4 Q. Now, I think you were referring to
5 Dr. Bibler's letter.

6 A. Yes. Isn't that what you --

7 Q. I'm not sure whether I --

8 A. Okay.

9 Q. I was going to actually get to
10 Dr. Bibler's letter. I thought I was
11 asking -- and I may be wrong, but I thought I was
12 asking about Dr. Bacik's, but in any event, you've
13 answered question number next. In terms of -- so
14 let's keep on Dr. Bibler's a moment.

15 In terms of her thyroid, do you believe
16 that the pulmonary arterial pressures of 55 to 60
17 would be explained by a low PSH?

18 A. I know of no such connection.

19 Q. Can we agree that in terms of
20 differential diagnosis, with the information that
21 you're aware of from the hospitalization that a
22 pulmonary embolism would be much higher on the
23 differential than a possible early
24 hyperthyroidism?

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1 I think I'd qualify that a bit and say it's more
2 likely she would have survived.

3 Q. And you recognize, having done this
4 before, that more likely means greater than 50
5 percent, correct?

6 A. Yes.

7 Q. And you realize that in the law, the
8 burden of proof is to prove more likely than not
9 as opposed to an epidemiological certainty --

10 A. Okay.

11 Q. -- or to a beyond a reasonable doubt.

12 You recognize that, correct?

13 A. Yes.

14 Q. Okay. I'm sorry. Go ahead.

15 A. On his second paragraph, I don't feel
16 I'm qualified to have an opinion on what he states
17 there.

18 Q. Okay.

19 A. The combination of many factors,
20 particularly the likelihood of 50 percent, I'm not
21 qualified to have an opinion there.

22 And I'm also not qualified to have an
23 opinion on paragraph 3.

24 Q. So as far as the relationship between

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1 the PE and the PE causing hypotension which then
 2 caused a stroke, you don't feel qualified to
 3 comment on that.
 4 A. That's correct.
 5 Q. Okay. I'm looking for your report and
 6 then I'm going to hopefully focus the balance of
 7 my questions on the opinions that you have in your
 8 report, and then we'll be done. That's assuming I
 9 can find your report; if you could see what my
 10 office looks like.
 11 A. I believe an empty desk is the mark of
 12 an empty mind.
 13 Q. I still have an empty mind even with a
 14 cluttered desk. I'm a multi-talented individual.
 15 Okay. Now, in your report, Doctor, you
 16 indicate that symptoms presented by Miss Speicher
 17 at that visit -- and we're talking about the
 18 February 1 visit, true?
 19 A. Correct.
 20 Q. And the medical history provided and
 21 the physical exam were compatible with Dr. Rich's
 22 diagnosis of bronchitis, correct?
 23 A. Yes.
 24 Q. And based upon that, you believe that

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1 Dr. Dean Rich complied with the standard of care
 2 in terms of his treatment of the patient on that
 3 limited visit; is that correct?
 4 A. That's correct.
 5 Q. Now, based upon your review, can you
 6 tell me what information Dr. Dean Rich had from
 7 his father prior to the February 1 visit as it
 8 relates to the patient's recent hospitalization?
 9 A. I'm not aware that Dr. Dean Rich had
 10 any information about Ms. Speicher's prior
 11 hospitalization.
 12 Q. Certainly Dr. Dean Rich would have had
 13 the January 25, '01 office notes when he saw the
 14 patient, correct?
 15 A. Yes.
 16 Q. So is it fair to say that Dr. Dean Rich
 17 would have been aware that his dad had admitted
 18 the patient to rule out CHF and to rule out PE?
 19 A. Correct.
 20 Q. Do you see anything in the deposition
 21 or in the records that there was any dialogue or
 22 discussion with the patient as to her
 23 understanding of what was or was not ruled out
 24 during that hospitalization?

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1 A. I am looking through the deposition
 2 right now, and I didn't flag the page, but it's my
 3 memory that she told Dr. Dean Rich that she had
 4 been in the hospital for her shortness of breath
 5 and so forth and had been diagnosed with
 6 hyperthyroidism and that her symptoms had cleared.
 7 MR. MURPHY: You might be looking for
 8 page 37, Doctor. I'm not sure.
 9 THE WITNESS: Thank you.
 10 MR. MISHKIND: I knew we brought Pat
 11 along for some reason.
 12 A. Yes. On page 38 is the information
 13 that I was remembering.
 14 Q. Okay. Now, if the patient had
 15 shortness of breath on February 1 as opposed to
 16 resolved shortness of breath, how would that
 17 impact the opinions that you hold on Dr. Dean
 18 Rich?
 19 A. Shortness of breath is not a really
 20 typical major complaint with bronchitis, and in a
 21 patient complaining of shortness of breath, I'd
 22 expect a more vigorous evaluation.
 23 Q. In the context of a patient that
 24 Dr. Dean Rich knew had recently been admitted to

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1 the hospital to rule out CHS and to rule out PE,
 2 if the patient also had shortness of breath on
 3 February 1, what would that vigorous evaluation,
 4 in your opinion, have required?
 5 MR. MURPHY: Objection to that. Like I
 6 said, I think it's contrary to Dean Rich's
 7 testimony.
 8 MR. MISHKIND: I recognize that. I'm
 9 asking him to assume that it will be in evidence
 10 that there was shortness of breath on February 1.
 11 Q. And in the context of the recent
 12 hospitalization, again, what would be your
 13 opinion, Doctor, in terms of what would be
 14 incumbent on the part of Dr. Dean Rich in order to
 15 comply with the standard of care? What should he
 16 have done?
 17 MR. MURPHY: Before he answers, for the
 18 record, I'm not objecting to the hypothetical so
 19 much about Mrs. Armour's testimony. That's going
 20 to be a jury question, I'm sure. But your
 21 question goes to Dean Rich was aware for the
 22 reasons that his father previously admitted her to
 23 the hospital previously. I don't think that's
 24 contained in his deposition, so --

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1 MR. MISHKIND: Let me just respond to
2 that. He may have said that, but certainly he
3 had Dr. Patrick Rich's office note there says rule
4 out CHF and rule out PE. So he can say what he
5 wants to in his deposition. I think the office
6 records clearly indicate what Patrick Rich was
7 admitting the patient for. But we can debate that
8 until the cows come home.

9 Q. Doctor, now that Pat and I have had
10 this nice conversation, are you still with me on
11 the question?

12 A. Yes.

13 Q. Okay.

14 MR. MURPHY: I'm done.

15 A. I would think if the patient had told
16 Dr. Dean Rich that she'd been admitted for a
17 possible embolism and that she continued to be
18 short of breath, and especially if she said that
19 her leg was still swollen and so forth, that a
20 much more elaborate work-up would have been
21 necessary. I think most family physicians would
22 have obtained an electrocardiogram in the office,
23 possibly a chest x-ray, and if the lungs were
24 clear and the leg was soft and nontender, that

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1 might be all they would do at that time.

2 Q. Would it be reasonable for Dr. Dean
3 Rich to have called over to the hospital to get
4 the results of any tests that had been ordered or
5 performed during that recent hospitalization?

6 MR. MURPHY: Are we still on the same
7 hypothetical here or not, or --

8 MR. MISHKIND: Yes.

9 Q. Let me redefine it, Doctor, so we're on
10 the same page. Assume that the patient came to
11 doctor -- just one second. That's my cell phone.
12 Let me turn it off. I had every other phone
13 protected, but -- now if I can only figure out how
14 to turn it off.

15 In my hypothetical I was asking you to
16 assume that the patient had shortness of breath on
17 February 1 rather than shortness of breath that
18 had resolved, okay?

19 A. Okay.

20 Q. I didn't ask you necessarily to assume
21 that there was swelling in the leg at the time of
22 that visit, but a history that within the previous
23 office notes that the patient had left leg
24 swelling earlier the week prior to January 25th,

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1 with sudden onset of shortness of breath, worse
2 last several days, and then resulting in the
3 admissions to the hospital. If those are the
4 facts, as well as the cause that Dr. Dean Rich
5 detected, with that in mind and knowing that
6 Patrick Rich had admitted the patient to rule out
7 CHS and to rule out PE, under that set of
8 circumstances, what would have been a reasonable
9 and prudent course of action for Dr. Dean Rich to
10 have taken?

11 MR. MURPHY: Objection; Murphy.

12 A. Okay. That was a pretty long question.
13 As I understand what you're asking me is given
14 persistent shortness of breath, given the
15 knowledge that Mrs. Speicher had been admitted to
16 rule out a DVT and that it had not been done in
17 the hospital, the rule out had not been done, what
18 should Dr. Rich have done? And of course, with
19 that scenario, probably she should have been
20 admitted for a more vigorous work-up for a DVT.

21 Q. Would that admission that's
22 hypothetical have been an immediate admission or
23 is this something that could have been done in the
24 next couple days?

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1 A. No. If she was short of breath,
2 increasingly short of breath, I think it would be
3 an immediate admission.

4 Q. How would the patient have known
5 typically whether she was or was not given a clean
6 bill of health with regard to the existence of
7 pulmonary embolism?

8 A. Not too many patients know what
9 pulmonary embolism is, and I would think that most
10 likely, this is pure conjecture, that the
11 physician would say we have things cleared up and
12 it's okay. Everything's okay and you can go home.

13 Q. If there's questions on the part of
14 Dr. Dean Rich, again following my hypothetical
15 persistent shortness of breath in the context of
16 the recent hospitalization knowing what his father
17 had marked down on January 25th in terms of ruling
18 out CFH and ruling out PE, if Dr. Dean Rich had
19 any questions, would it have been reasonable and
20 prudent for him to have called over to the
21 hospital results of any of the tests that had been
22 done?

23 MR. MURPHY: Objection; Murphy.

24 A. I think if he suspected a condition

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1 which was related to the prior hospitalization, he
2 certainly could have -- could have called to
3 obtain information. I don't know what day of the
4 week it was that he saw Mrs. Speicher and whether
5 the record room would have been -- may have made
6 things available to him, but that's another
7 question.

8 Q. Assuming that the records were
9 available and it wasn't a Sunday or a Saturday
10 whereas the records might be otherwise less
11 available, would it be reasonable and prudent for
12 a family practice doctor where there was a
13 question as to the results of any test in a
14 patient that had persistent shortness of breath to
15 call over, try to get that information?

16 A. This sort of thing certainly can be
17 done, but we ordinarily don't do it unless there's
18 a reason.

19 Q. Sure. Absolutely. And I take it you
20 have on occasion, where you're perhaps seeing
21 someone on a coverage basis for someone in your
22 office and there's a history given about a recent
23 hospitalization, whether it's a lab result or a
24 x-ray, you have a question as to what the results

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1 it have been reasonable and prudent for him to
2 have called the hospital to get that information?"

3 A. I think if Dr. Dean Rich suspected
4 thromboembolic disease, it certainly would have
5 been reasonable to do that; however, there's
6 nothing in the patient's presentation as listed in
7 Dr. Dean Rich's note to indicate that is a
8 concern.

9 Q. And I appreciate that looking at his
10 note, and that's in large part the reason that you
11 have said that you have no criticism of Dr. Dean
12 Rich, correct?

13 A. Yes. This is the information I have to
14 work with.

15 Q. Sure. Now, where the medical assistant
16 marks down "shortness of breath" as the chief
17 complaint, normally when a patient comes to a
18 doctor's office and they mark down "chief
19 complaint," what does that represent in lay terms?

20 A. This is supposed to be the very words
21 the patient speaks as to why they're there.

22 Q. Okay. And based upon everything that
23 you've reviewed, are you able to balance the note
24 of "Chief complaint, shortness of breath," against

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1 were, you have on occasion called over to the
2 hospital to get that information, correct?

3 A. Yes. It's unusual, but we have done
4 that.

5 Q. All right. In the context again of my
6 hypothetical that the patient had shortness of
7 breath, had a cough, and there was no evidence
8 that Dr. Dean Rich had available to him on that
9 visit to confirm or to rule out whether this
10 patient had testing done for a DVT or a PE, would
11 it have been reasonable and prudent for him to
12 have called the hospital to get that information?

13 MR. MURPHY: Objection; Murphy.
14 A. Can I have that question back again,
15 please?

16 MR. MISHKIND: Sure. Again, if the
17 court reporter won't mind indulging me?

18 THE REPORTER: (Reading) "Question:
19 All right. In the context again of my
20 hypothetical that the patient had shortness of
21 breath, had a cough, and there was no evidence
22 that Dr. Dean Rich had available to him on that
23 visit to confirm or to rule out whether this
24 patient had testing done for a DVT or a PE, would

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1 Dr. Dean Rich's note that the shortness of breath
2 had resolved?

3 A. Yes. He says in his note on the, let's
4 see, one, two, three, four, fifth line, he says,
5 "SOB resolved."

6 Q. But I guess my question to you, and I
7 guess it wasn't artfully stated, is the chief
8 complaint that was given to the medical assistant
9 as to why she was at the office was shortness of
10 breath, correct?

11 A. Yes.

12 Q. It doesn't say I'm here for a cough or
13 I'm here because my cough resolved. Do you follow
14 me?

15 A. Correct. Yes, I do.

16 Q. Can we agree that there's at least some
17 inconsistency? Perhaps it's explainable, but
18 there's some inconsistency between what the
19 medical assistant marked down in terms of what
20 Mrs. Speicher said her reason was for being there
21 and Dr. Rich's note when he actually saw her
22 during his examination.

23 MR. JAMISON: Objection.

24 A. I guess the best way to respond -- that

<p style="text-align: right;">Page 54</p> <p>1 I can respond to that is that the medical 2 assistant put down a very quick, short note. When 3 Dr. Rich took the history, he got a more 4 elaborate, more detailed, and somewhat different 5 history. And this is not uncommon. 6 Q. So you're giving the benefit of the 7 doubt to Dr. Dean Rich's note as opposed to what 8 the medical assistant marked down as the chief 9 complaint -- 10 MR. JAMISON: Objection. 11 Q. -- is that correct? 12 A. Yes, I am. 13 Q. Okay. Doctor, in the context of my 14 hypothetical with the patient truly having a 15 continued shortness of breath on February 1 with 16 the history of rule out CHF and rule out PE, with 17 the patient also presenting with clots, how would 18 you have handled the patient on February 1, again 19 assuming that the shortness of breath wasn't a 20 matter of history, but it was a matter of 21 continued presence? 22 MR. MURPHY: Objection; Murphy. 23 Hypothetical; I think you just asked that. 24 MR. JAMISON: Objection.</p>	<p style="text-align: right;">Page 56</p> <p>1 origin, if you had given the type of treatment 2 that you talked about a moment ago, including 3 stopping this Tenormin or giving some other 4 treatment, if this was related to ongoing 5 pulmonary emboli, would that treatment in the 6 office have been successful? 7 A. It may have afforded some temporary 8 relief of symptoms, but would probably not have 9 altered the ultimate outcome. 10 Q. Do you have an opinion on February 1, 11 2001 if the patient had been admitted to the 12 hospital for further work-up to in fact rule out 13 PE whether or not a VQ scan would have been high 14 probability for PE? 15 A. I think that very likely over 51 16 percent of VQ scan or a PE work up would have been 17 positive. 18 Q. And the Heparin would have been the 19 standard treatment of choice? 20 A. Yes. 21 Q. Okay. And if that had been the case, 22 why in fact the patient was admitted with a 23 diagnosis of PE, do you have an opinion to a 24 reasonable degree of medical probability as to</p>
<p style="text-align: right;">Page 55</p> <p>1 Q. I conceivably could have. 2 A. I think that for a person complaining 3 of shortness of breath, a simple difference in 4 treatment would have been to use a medication to 5 relieve bronchospasms, such as Albuterol, Med Neb, 6 perhaps. I might have stopped the Tenormin, which 7 is known to cause shortness of breath in some 8 people. There are a lot of possible approaches to 9 the patient with a cough and shortness of breath. 10 Q. Would PE have been within your 11 differential given the history on January 25 that 12 was contained in Dr. Dean Rich's father's record? 13 A. Knowing that the patient had been very 14 recently in the hospital and worked up and 15 admitted for PE, if I knew that, I think PE would 16 be rather low on my list of possibilities a week 17 later. 18 Q. Again, you're assuming that the care 19 provided in the hospital had been to rule out PE 20 as opposed to overlooking the ruling on it, PE? 21 A. I suppose so, yes. 22 Q. Do you have an opinion, Doctor, in the 23 fact there had been at least an index of suspicion 24 that that patient's symptoms were peaking in</p>	<p style="text-align: right;">Page 57</p> <p>1 whether the patient would have survived the 2 effects of the pulmonary emboli? 3 MR. JAMISON: Objection; Jamison. 4 A. I really am not expert enough in this 5 sort of determination to have an opinion. 6 Q. Fair enough. Doctor, let me just check 7 my notes for a moment to see. I may be done. 8 Before I actually try to find my notes 9 again, from the standpoint of why you are not 10 critical of Dr. Dean Rich, have we covered all the 11 bases upon which you are relying, to be more 12 specific, the office record of Dr. Patrick Rich 13 and Dr. Dean Rich and Dr. Dean Rich's deposition 14 testimony? 15 A. Yes, I think we have. 16 Q. And again, depending upon whether my 17 hypothetical relative to shortness of breath 18 continuing is or is not factually accurate, that 19 may change your opinion in terms of what Dr. Dean 20 Rich should have done under the circumstances, 21 correct? 22 A. I would think that at any time my 23 opinion could be changed by new information. 24 Q. And again, if that new information that</p>

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1 I'm suggesting in the shape of a hypothetical
2 fashion to you is in fact what the evidence is at
3 trial, can we agree that shortness of breath on a
4 continuing basis would be a factor that you would
5 have to take into account in terms of having to
6 modify your opinions?

7 A. Yes. I would have to -- have to think
8 it over again. There would be many possible
9 responses to shortness of breath. Revisiting the
10 possibility of embolism was only one.

11 Q. Okay. What else would it be that you
12 would revisit?

13 A. I think the cardiac status should
14 always be an issue, and the question of
15 inflammatory lung disease, bronchitis, atypical
16 pneumonia, and so forth, bronchospasm certainly
17 would need to be considered, and acute bronchitis,
18 which is what Dr. Rich made his diagnosis.
19 Certainly it's still a possibility.

20 Q. But again, you recognize that you deal
21 with a differential in terms of those kind of
22 things that are potentially life-threatening in
23 the near term versus something that is less lethal
24 to the patient in the nearer term, correct?

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1 A. I'm not quite sure what you mean there.

2 Q. If you have a number of explanations
3 for the shortness of breath, you want to look at
4 the totality of those explanations and determine
5 on your differential which possible explanation is
6 the most likely to kill the patient and which is
7 most likely to be benign in nature?

8 A. I've had that question many times
9 before.

10 Q. You mean I'm not a unique individual?

11 A. No. I'm afraid that's a question that
12 comes up again and again. There's actually sort
13 of a four-box grid, isn't there, the most lethal
14 on one axis and the most likely on the other, and
15 we really weigh lethality against likelihood, and
16 we simply cannot work up every possible patient
17 for the most lethal possibility.

18 Q. Well, what would be the most lethal
19 possibility in a patient with shortness of breath
20 with the recent history that Mrs. Speicher had on
21 February 1 when she presented to Dr. Dean Rich?

22 A. I would think probably the most lethal
23 and most likely combination would be hard, since
24 50 percent of us are going to die with our hearts

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1 anyhow, and that had been a consideration a week
2 earlier. Obviously pulmonary embolism is a
3 possibility, but there are, you know -- a
4 collapsed lung could conceivably be, a
5 pneumothorax. There are several, several
6 possibilities when you consider shortness of
7 breath.

8 Q. If we took two that you put at the very
9 beginning of the differential, congestive heart
10 failure or PE, would it have been incumbent on the
11 part of Dr. Rich, Dean Rich, in order to comply
12 with accepted standards of care to have had the
13 patient re-admitted to the hospital for further
14 work-up for either or both of those conditions?

15 MR. MURPHY: That's your hypo, again,
16 right, Howard?

17 MR. MISHKIND: Yes.

18 MR. MURPHY: Okay.

19 A. Recognizing your hypothetical
20 situation, yes, I would.

21 Q. And failing to do that, indicating my
22 hypothetical, would be a deviation from accepted
23 standards of practice --

24 A. Yes.

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1 Q. -- true? Now let me take a look at my
2 notes and I may be done, Doctor.

3 A. Okay.

4 Q. Just a couple finishing questions. As
5 it relates to the care at Akron General Hospital
6 when she presented on February the 5th, do you
7 have any comments as it relates to the quality of
8 care that she received during that admission?

9 A. No, I don't.

10 Q. And in terms of when the window of
11 opportunity to prevent Ms. Speicher's death closed
12 from a probability standpoint, do you intend to
13 provide any testimony along that time line?

14 A. No, I don't.

15 Q. Doctor, based upon your understanding
16 of the facts in this case and of the hypothetical
17 that I've asked you to assume, have we covered all
18 of the opinions that you have in this case?

19 A. I believe we have.

20 Q. Okay. And to the extent that you
21 review any of the depositions of the family
22 members and have a different sense of the facts in
23 terms of the hypothetical that I presented to you
24 and arrive at any different opinions, will you

<p style="text-align: right;">Page 62</p> <p>1 advise Mr. Murphy before you take the stand in 2 December? 3 A. Certainly. 4 MR. MISHKIND: Okay. I think, with 5 that, I have no further questions. Thank you, 6 Doctor. 7 THE WITNESS: Thank you. 8 MR. JAMISON: I don't have any 9 questions. 10 MR. MURPHY: I don't have any 11 questions, either. 12 MR. MISHKIND: Doctor, with regard to 13 signature, do you want to read the depo? 14 THE WITNESS: Please. 15 MR. MISHKIND: All right. 16 ----- 17 Thereupon, the foregoing 18 proceedings conclude at 5:40 p.m. 19 ----- 20 21 22 23 24</p>	<p style="text-align: right;">Page 64</p> <p>1 State of Ohio : C E R T I F I C A T E 2 County of Franklin: 3 I, Reva Chafin Mundy, a Notary Public in and 4 for the State of Ohio, do hereby certify the 5 within named THEODOR F. HERWIG, M.D. was by me 6 first duly sworn to testify to the whole truth in 7 the cause aforesaid; testimony then given was by 8 me reduced to stenotypy in the presence of said 9 witness, afterwards transcribed by me; the 10 foregoing is a true and correct transcript of the 11 testimony so given; and this deposition was taken 12 at the time and place as specified on the title 13 page. 14 I do further certify I am not a relative, 15 employee or attorney of any of the parties hereto, 16 and further I am not a relative or employee of any 17 attorney or counsel employed by the parties 18 hereto, or financially interested in the action. 19 IN WITNESS WHEREOF, I have hereunto set my 20 hand and affixed my seal of office at Columbus, 21 Ohio, on November 25, 2003. 22 _____ 23 Reva Chafin Mundy, Notary Public - State of Ohio 24 My commission expires June 23, 2007.</p>
<p style="text-align: right;">Page 63</p> <p>1 2 WITNESS SIGNATURE PAGE 3 4 I have read the entire transcript of my 5 deposition taken on November 20, 2003. The 6 changes and/or corrections, if any, which I desire 7 to make to my testimony have been noted on a 8 separate errata sheet. I request that those 9 changes, if any, be entered into the record by 10 attaching the errata sheet to the original 11 transcript. 12 13 14 15 _____ 16 THEODOR F. HERWIG, M.D. 17 18 19 20 21 22 23 Spectrum Job No.: RM5370 24</p>	<p style="text-align: right;">Page 65</p> <p>1 I N D E X 2 Examination By Page No. 3 Mr. Mishkind - Cross 4 4 5 Plaintiff's Exhibit No. Page No. 6 1 - Report of Theodor F. Herwig, M.D. 6 7 2 - Curriculum vitae of Theodor F. Herwig, M.D. 6 8 3 - Fees for Medico-Legal consultation 7 9 4 - Letter from Dr. Dean Rich to Mr. Murphy 11 10 11 12 13 14 15 16 (Plaintiff's Exhibits 1 through 4 attached to original 17 transcript.) 18 19 20 21 22 23 24</p>

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Spectrum Reporting, LLC

333 East Stewart Avenue, Columbus, Ohio 43206
P - 614-444-1000 F - 614-444-3340

November 25, 2003

Theodor F. Herwig, M.D.
637 Bay Drive
Westerville, Ohio 43082

Re: Karen Armour, et al., vs. Patrick A. Rich, D.O., et al.,

Dear Dr. Herwig:

Enclosed you will find the transcript of your deposition taken on November 20, 2003 in the above-captioned case, which is being sent to you for the purpose of reading and signing.

Please do not mark on the transcript. Any corrections or changes you wish to make in your testimony should be typewritten or printed on the attached errata sheet, indicating the page number, line number and desired correction or change. After you have read the transcript, sign your name where indicated at the close of the testimony.

The Rules of Civil Procedure allow seven days after you receive this letter for you to read and sign your deposition. Please return the original signed transcript and errata sheet(s) to Spectrum Reporting, LLC within that time.

Your prompt attention to this matter is greatly appreciated.

Sincerely,

Christy Heaney
Production Manager

cc: Howard D. Mishkind, Esq.
Andrew D. Jamison, Esq.
Patrick J. Murphy, Esq.