1 The State of Ohio, County of Cuyahoga.) SS: 2 3 IN THE COURT OF COMMON PLEAS CHRISTOPHER S. LONG, ETC., 4) 5 Plaintiffs, 6 - V -Case No. 321518 7 CLEVELAND CLINIC FOUNDATION, 8 Defendant. - -9 10 DEPOSITION OF CHARLES J. HEARN, D.O. 11 Wednesday, February 10, 1999 12 - - - - -13 14 Deposition of CHARLES J. HEARN, D.O., called for 15 examination by the Plaintiffs under the Ohio Rules of Civil Procedure, taken before me, Robert A. Cangemi, a 16 17 Notary Public in and for the State of Ohio, at the Cleveland Clinic Foundation, Cleveland, Ohio, commencing 18 19 at 9:30 p.m., the day and date set forth. 20 21 22 23 24 COMPUTER-AIDED TRANSCRIPTION 25

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APPEARANCES:

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3	On Behalf of the Plaintiffs:
4	JEANNE M. TOSTI, ESQUIRE Becker & Mishkind
5	660 Skylight Office Tower Cleveland, Ohio 44113
6	On Behalf of the Defendant:
7	JOHN V. JACKSON, III ESQUIRE INGRID KINKAPF-ZAJAC, ESQUIRE
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1 CHARLES J. HEARN, D.O. called by the Plaintiffs for examination under the 2 Ohio Rules of Civil Procedure, after having been first 3 duly sworn, as hereinafter certified, was examined and 4 testified as follows: 5 6 ~ _ _ _ _ 7 EXAMINATION 8 - - - - -9 BY MS. TOSTI: Doctor, would you please state your name for 10 Q 11 us, your complete name? Charges J. Hearn, D.O. 12 А 13 Q And your home address? 22827 Lake Road, Number 2, Rocky River, Ohio 14 A 15 44116. 16 Q Is that an apartment? 17 A It is a condo. 18 O And in August of 1996, was your business address 19 here at the Cleveland Clinic? 20 A Yes, it was. 21 Q And your current business address, is that the 22 Cleveland Clinic? 23 A Yes, it is. And in August of 1996 you were an employee of the 24 Q 25 Cleveland Clinic Foundation?

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1 A Yes.

2 Q Have you ever had your deposition taken
3 before?

4 A Yes.

5 Q How many times?

6 A Once.

7 Q And why was your deposition being taken? By that, 8 I mean, was it as a treating physician, a defendant, an 9 expert?

10 A Defendant.

11 MR. JACKSON: No.

12 You were a treating physician.

13 A Treating physician.

14 Q Was it a case in which the Cleveland Clinic was a 15 defendant?

16 A Yes.

17 Q What was the allegation of negligence in that 18 case?

19MR. JACKSON:Objection, but20answer, if you can.

21 A I believe it was a surgical related

22 death.

23 Q Do you know what type of surgery?

24 A An aortic valve surgery.

25 Q Do you know the name of the patient?

1 Α Michael Broadwater. And how was that case resolved? In other words, 2 0 3 did it go to trial? 4 Was there a settlement? 5 MR. JACKSON: Do you 6 know? 7 0 Was it dismissed? 8 А I do not know the case at this point in 9 time. I want to review a few things as far as depositions 10 0 I am sure Mr. Jackson talked to you. 11 qo. This is a question and answer session that's under 12 13 oath, and it is important that you understand the 14 questions that I ask you. If you don't understand them, if I have asked a 15 confusing question, tell me, and I will be happy to 16 17 rephrase it or repeat it. 18 Otherwise, I am going to assume that you understand 19 the question that I have asked you, that your able to 20 answer it. 21 It is important that you give all of your answers verbally, because the Court Reporter can't take down head 22 23 nodes or hand motions. If at any point you would like to refer to medical 24 records -- I am sure Mr. Jackson has provided you with a 25

1 copy -- feel free to do so.

2 At some point he may choose to enter an objection. You are still required to answer my question, 3 unless he instructs you not to, okay? 4 5 А I understand. Have you ever been named as a defendant in a 6 0 medical negligence case? 7 8 Α No. Have you ever had your hospital privileges called 9 Q into question, suspended or revoked? 10 11 MR. JACKSON: Objection. Go 12 ahead. 13 A No. And in August of 1996 you were lincensed to 14 0 practice medicine in the State of Ohio, is that 15 16 correct? That is correct. 17 A And were you licensed in any other states at that 18 O 19 time? 20 A No. 21 And are you currently licensed in any other Q 22 states? 23 А NO. Has your medical license in Ohio ever been 24 Q 25 suspended, revoked, or called in question?

1 MR. JACKSON: Objection. Go 2 ahead, answer. 3 А No. Have you ever acted as an expert in a medical/legal 4 Q 5 proceeding? А 6 No. Have you ever given testimony, other than the one 7 0 deposition that you previously mentioned, in a 8 medical/legal matter? 9 10 А No. 11 MS. TOSTI: Would you mark 12 this as Plaintiffs' Exhibit No. 1? 13 _ _ _ _ _ _ 14(Plaintiffs' Exhibit No. 1 15 was marked for identification.) 16 _ _ _ _ _ BY MS. TOSTI: 17 Defense counsel provided me just now with a copy of 18 Q 19 your curriculum vitae. I would like you to just take a look at it and tell 20 me if there is any additions or corrections that you would 21 22 like to make to that to have it up-to-date. 23 A It is correct. Do you have any additional publications that are 24 0 25 currently in progress?

1 A I have one, possibly two, that have been 2 submitted.

3 Q What is the subject matter of those?

4 A Transesophageal echocardiography.

5 Q Doctor, you are currently Board certified in 6 anesthesiology, is that correct?

7 A That is correct.

8 Q Did you pass that on your first try?

9 A Yes, I did.

10 Q There is one manuscript that you have listed here 11 that is entitled minimally invasive aortic valve surgery, 12 anesthetic considerations.

13 Is that a publication that deals with the specifics 14 of minimally invasive aortic valve surgery?

15 A That publication deals with the specifics regarding 16 the anesthetic management of patients undergoing that 17 procedure.

18 Q Have you ever taught or given a lecture or a formal 19 presentation on the subject matter of bleeding 20 complications with cardiothoracic surgery?

21 A I do not have a lecture of that nature that is 22 prepared.

23 Q Tell me what you reviewed for this

24 deposition.

25 A I reviewed the chart, as well as all of the records

1 related to the chart for this case.

2 Q And in regards to the medical chart, did you review3 all of it or certain portions of it?

4 A I reviewed the preoperative anesthetic assessment
5 form, as well as the anesthetic record for case, which I
6 participated in.

7 Q Would that have been James Long's first surgery in 8 which the aortic valve was replaced?

9 A That is correct.

10 Q Have you reviewed any textbooks or articles in 11 preparation for this deposition?

12 A No.

13 Q Have you spoken to any physicians in preparation 14 for this deposition?

15 A No.

16 Q And since this case was filed, have you discussed 17 this case with any physicians?

18 A No.

19 Q Other than with counsel, have you discussed this 20 case with anyone else?

21 A No.

22 Q Do you have any personal notes or a personal file 23 on this case?

24 A No.

25 Q And have you ever generated any such

1 notes?

2 A No.

Are there any publications that you 3 0 feel have particular relevance to the issues in this 4 case? 5 There is no one specific publication that I am 6 А aware of. 7 Prior to the time of James Long's aortic valve 8 Q replacement, which was August 20th of 1996, had you ever 9 provided anesthesia on an aortic valve replacement that 10 was done via minimally invasive procedure? 11 12 А Yes. 13 0 How many times, approximately? I can't tell you the exact number. 14 Α Do you recall when you first started doing 15 0 anesthesia for minimally invasive procedures? 16 I couldn't tell you the specifics of that. I would 17 Α possibly guess early in 1996. 18 19 Although, I can't be one hundred percent 20 sure. Do you know how many minimally invasive aortic 21 Q valve replacements have been done at the Cleveland Clinic, 22 23 other than James Long's case? 24 А I don't know the specific number. 25 Q Do you know approximately?

1 A I could guess at possibly thirty.

2 MR. JACKSON: Don't quess. Ιf 3 you have a good estimate, go ahead, that's 4 okay. For publications which I was the primary author at 5 Α patients the time of the writing was thirty-some papers. I don't 6 7 remember the specific number. Now, Doctor, do you make adjustments in the 8 0 anesthesia induction of a patient or management of a 9 patient when they are undergoing minimally invasive 10 procedures, as opposed to standard median sternotomy type 11 12 procedures? Is an adjustment made in the anesthesia 13 technique? I am not sure what you mena- by adjustment. 14 Ā 15 0 Is there a difference in the way that the anesthesia is provided to a patient because of the 16 17 technique that is being used surgically? 18 There's no specific change in the medications that Α are chosen. That's a choice made by the attending 19 20 anesthesiologist, if you will. 21 The monitoring is the same. And the basic anesthesia is unchanged. 22 23 0 Now, in regards to the article that 24 you have on your CV, minimally invasive aortic 25 surgery anesthetics, can you give me a highlight as to

what the anesthetic considerations are that appear in that 1 article? 2 I am not sure. Do you want me to repeat the whole 3 Α article to you? 4 5 Just the basic areas that are referred to in that 0 6 article. 7 MR. JACKSON: Can you do 8 that? I can go through the whole article, if you like. I 9 А don't know that I can give you highlights. 10 Basically the anesthetic considerations remain the 11 same for a patient undergoing median sternotomies, as do 12 for the patient undergoing a minimally invasive 13 14 procedure. The chances are that the anesthesiologist cannot 15 see the patient's heart beating because of the small 16 17 incision. Just because the incision is small does not mean 18 that the monitoring or the ability to resuscitate that 1.519 changes, ordinarilly, and that the same vigilance, if not 20 more, is required for these type of procedures/with than 21 conventional median sternotomies; did that answer your 22 question? 23 24 Q Did you do a preoperative anesthesia evaluation of 25 James Long?

1 A Yes.

2 Q When did you do the preop anesthesia
3 evaluation?

4 A That was performed in the operating room.
5 Q Just prior to the surgery then on the
6 20th?

7 A That is correct.

8 Q Is that generally when the preoperative 9 evaluations are done for these types of patients, just 10 prior to the surgery in the operating room; is that 11 standard?

12 A That depends on the patient.

13 Q And when you say depends on the patient, what does 14 it depend on?

15 A There are multiple factors that

16 determine when an anesthetic assessment is performed on 17 any patient in this institution.

Some of the factors that may change when that seessment is performed would be factors such as whether or not the patient is admitted, and if that was the case, it may be performed the night before the patient comes in on an outpatient basis.

The assessment may be performed up to a couple of weeks in advance of an operation, or it could be performed in the operating room, as well. 1 Q In James Long's case, what was the reason his was 2 done in the operating room?

3 A The assessment done in the operating room is done4 by staff anesthesiologist.

5 The assessments done out of the operating 6 room are typically done by an anesthesia resident or 7 fellow.

8 Q As to the anesthesia evaluation that you did, did 9 you write down your assessment anywhere?

10 A The preoperative anesthetic assessment is in the 11 chart, written in the chart.

12 Q Could you show me your assessment; where it 13 is?

14 A It is right here.

15 Q And did James Long present any problems 16 in regards to anesthesia management for his valve 17 surgery?

18 A The problem that we encountered, which is
19 documented in the chart, is a dental injury during the
20 intubation.

21 Q But in regards to the assessment, was there 22 anything in the assessment that caused you to change, or 23 that you had to give extra consideration to, that was out 24 of the ordinary in regards to what you would do for 25 anesthesia induction for this patient? 1 A No.

2 Q When is the first time that you came in contact 3 with James Long?

4 A The day of the operation.

5 Q And, Doctor, were you the anesthesiologist that 6 provided anesthesia to James Long during his aortic valve 7 surgery?

8 A The initial surgery, yes.

9 Q And were you in the room the whole time during 10 James Long's surgery?

11 A I was not in the room the whole time, as I12 frequently have multiple rooms to supervise.

13 Q Can you tell me what portion of the surgery you 14 were in the room for?

15 A I was present for the placement of all of the 16 monitors and lines of the patient, as well as the 17 induction of the patient.

I also performed the transesophageal echo examination, and would have been present during the separation of the bypass, as well as transportation to intensive care.

22 Q Doctor, do you go in and out of the room --

- 23 A Yes.
- 24 Q -- when you are supervising?
- 25 A Yes.

From what you told me, you were there at least at 1 Q the beginning. You were also there at the end, when they 2 removed him from coronary bypass; is that what I 3 4 understood you to say? 5 А I was present at the separation from 6 cardiopulmonary bypass. How many rooms are you supervising? 7 0 8 A Two. And in this particular instance, when you were not 9 Q 10 in the room, who was responsible for the anesthesia 11 management? Either an anesthesia fellow or a nurse 12 Α 13 anesthetist. 14 In this case, who was that? 0 15 А In this case the nurse anesthetist would have been Gates, and the fellow would have been 16 17 Gupta. 18 0 What is Dr. Gupta's first name? 19 А I don't recall. Is Dr. Gupta still with the Cleveland Clinic 20 Q 21 Foundation? 22 А No. 23 Q Did a Dr. Williams assist with the anesthesia at any time? 24 25 A That name is unfamiliar to me.

1 Q What about Dr. Koch?

2 A Dr. Koch did not participate in the

3 anesthetic management of Mr. Long during his initial 4 operation.

5 Q Did James Long's size present any problems in doing 6 his surgery by minimally invasive technique, that you are 7 aware of?

8 A I am not an expert in surgery, and it would be 9 inappropriate for me to comment on the surgical technique 10 in patients.

However, we did have a problem with his intubation, which was related to his size.

13 Q And what problem, if you can just describe 14 that in a little more detail for me; you mentioned that 15 there was a problem in which I believe a cap or something 16 came off?

17 A Yes.

18 Q What was the problem that you had in doing 19 intubation?

20 A The difficulty was visualizing the glotis for the 21 placement of an endotracheal tube.

22 Q And was that related in anyway to his body 23 size?

24 A That was related to his body anatomy.

25 Q What was the problem, Doctor?

A The problem in intubating the patient was to
 visualize his glotis to place the endotracheal tube, and
 to displace the tongue out of the way.

And during that process, his bridge was damaged by a metal instrument.

6 Q But in regard to visualizing the glotis, were you
7 having difficulty hyperextending his neck or moving the
8 tongue out of the way?

9 What was the difficulty?

10 A I don't recall specifically.

11 Q Are there any increased risks for bleeding 12 complications associated with the type of homograft mini-13 root procedure that was done on James Long, as opposed to 14 if it was done by a median sternotomy procedure?

15 A I am not sure what you are asking me.

16 Q I am asking you if there is an increased 17 risk for bleeding complications when the procedure 18 is done via minimally invasive, as opposed to median 19 sternotomy?

20 A I am unaware that the risks are significantly21 different.

22 Q Do you have an independent recollection of James 23 Long as you sit here, beyond what you see here, in the 24 medical records that you have reviewed?

25 A I remember this individual from talking with him

1 preoperatively.

Now, Doctor, in August of 1996, was it standard 2 0 practice to do a transesophageal echo on a patient before 3 and after cardiopulmonary bypass? 4 5 А What are you referring to, a standard 6 practice? All patients that underwent this type of 7 0 procedure would have a transesophageal echo done before 8 and a transesophageal echo done after; is that 9 10 standard? It is very common that patients that present for 11 Α valve surgery obtain an echo preoperatively, 12 intra-operatively, as well as postoperatively, in this 13 institution. 14 15 In August of 1996 were most patients having that Q done? 16 17 Α I would say that would be an accurate 18 assumption. 19 And when they are done before bypass 0 and after bypass, who is reponsible for doing the 20 echos? 21 22 That varies between anesthesia personnel, if they А 23 are in the room, that are capable of doing it, or the 24 cardiologist, if he is immediately available. And why are those done before bypass and after 25 Q

l bypass?

2	A They can be done for a multitude of
3	reasons, to assess ventricular function; to confirm the
4	diagnosis made preoperative of the valve or the coronary
5	lesion, or for sizing or measurement purposes, to assess
6	ventricular function and valvular function post-bypass,
7	those are some of the reasons.
8	Q And in James Long's case why was it
9	done?
10	A Mr. Long would have had an echo placed to size the
11	homograft, in addition to monitor his ventricular
12	function, as it was not normal preoperatively.
13	Q Now, in regard to the anesthesia sheet,
14	is there a particular point in time when these
15	transesophageal echos are done, that is recorded on the
16	anesthesia sheet?
17	A No.
18	Q Is there a particular time when it is usually done
19	in regards to the procedure?
20	A The transesophageal echo probe is placed
21	after the intubation of the patient and suctioning
22	of the stomach by NG tube; between the time of the
23	placement of the probe and the placement on bypass, the
24	times vary.
25	Q And the one that is done after removal

1 from bypass, is it done after, immediately after
2 the --

3 A It is usually done prior to the separation from4 bypass and after separation of the bypass.

5 Q And you were the individual that did the 6 transesophageal on James Long prior to him being placed on 7 cardiopulmonary bypass, is that correct?

8 A Yes. I was the staff that performed the

9 intraoperative TE before the cardiopulmonary

10 bypass.

11 Q On that particular transesophageal, what were your 12 findings for Mr. Long?

13 A The findings of the echo demonstrated moderate 14 dysfunction of the left ventricle, moderate left 15 ventricular dysfunction, and severe bicuspid and aortic 16 valve stenosis.

17 Q And there was a transesophageal that was 18 done after the patient or at the time the patient was 19 coming off of bypass; is there any difference in the 20 findings on that?

21 A Yes, there is a difference.

Now there is a homograft in place. The left ventricular function is normal by this report.

Q Now, I believe on the sheet that you are looking at, Doctor, Dr. Koch's name is listed.

Dr. Koch was in the surgical suite at the time to 2 Q 3 do that transesophageal echo? That is what is demonstrated by this 4 A report. 5 Dr. Koch is also an anesthesiologist, is that 6 0 7 correct? А 8 Yes. Would Dr. Koch have had any reponsibilty for the 9 Q anesthesia on this case, if she was doing that post 10 cardiopulmonary bypass transesophageal echo? 11 12 А No. 13 She would just come into the room to assist with Q that, the echocardiogram, and be out? 14 15 Α That would be correct. 16 Q Doctor, on the anesthesia record, if you can flip to that, in the upper left-hand corner 17 there's a listing. It says ASA status; what does that 18 refer to? 19

1 A

Yes.

20 A ASA status is a classification of the patient. It 21 relates to the extent of co-existing disease.

22 Q And James Long is listed as having an ASA status of 23 four; what does that mean?

24 A An ASA status of four would identify a

25 patient that had severe disease which limited his daily

1 activities.

2 Q And in regards to anesthesia management, listing 3 him as a four, does that have any implications for your 4 anesthesia management?

5 A No.

6 Q Now, near the bottom of the page, there is a 7 notation that is written in there, surgery delay; what 8 does that mean?

9 A That identifies a period of time that we were 10 waiting for the staff surgeon.

11 Q And in this instance, how long was that?

12 A About one hour and fifteen minutes.

13 Q And were you waiting for Dr. Cosgrove at this point 14 in time; is that who you were waiting for?

15 A He is the staff surgeon on this case.

16 Q Now, what time did James Long undergo anesthesia 17 induction?

18 A Approximately 11:20.

19 Q And on the left-hand side of the page there's an 20 area that says anesthesia time, with 9:20 written into it; 21 what does that 9:20 refer to?

22 A That refers to the time the patient was met by the23 anesthesia team.

24 Q Now, on page 2 of the anesthesia record, in the 25 center of the page there is an area where there is a notation that says CPB, which I assume stands for
 cardiopulmonary bypass.

3 Do you see that area?

4 A Yes, I see that area.

5 Q And there's four boxes that have CPB written into 6 it.

7 Then there is an area where there appears to be, I 8 think some blood gases, then CPB in the box following 9 it.

Why is there a change from the initials of cardiopulmonary bypass to blood gases, and then back to the cardiopulmonary bypass?

13 What does that mean?

14 A In this case the patient was separated from 15 cardiopulmonary bypass, and shortly after returned to 16 cardiopulmonary bypass.

17 Q He was taken off the bypass, then put back 18 on?

19 A That is correct.

20 Q And in this particular instance why was he put back 21 on cardiopulmonary bypass?

22 A I do not recall the specifics regarding that23 decision.

24 That was a surgical decision.

25 Q Did James Long have any complications during his

1 surgery?

Are you referring to surgical complications or are 2 A you referring to anesthetic complications? 3 Any complications that you are aware of, 4 0 5 Doctor. I am aware of a dental injury that Mr. Long 6 А sustained during his intubation. 7 Are you aware of any other complications? 8 0 9 А No. Did you become aware at any time that James Long 10 Q had a bleeding episode during surgery? 11 12 No, I do not recall that information. А 13 0 Dr. Cosgrove never mentioned that he was having bleeding in the aortic suture line at any time during the 14 15 surgery? 16 MR. JACKSON: I am going to 17 object. That's not what he said. 18 Go ahead, answer. I don't recall that specific conversation. 19 А 20 0 Doctor, there's an area on the anesthesia sheet that looks to be about 1600 hours; what is James Long's 21 22 blood pressure recorded at that time? 23 From the trend, it would be a mean А arterial pressure of approximately 65 millimeters of 24 25 mercury.

1 Q I am looking at a checkmark that appears to be on a 2 line that is marked at 80; is that a systolic pressure of 80 at about 1600 hours? 3 That would be a systolic blood pressure. 4 Α 5 0 Of 80? 6 А Yes. 65 millimeters of mercury. What caused 7 Q him to have his blood pressure go down to 80 at that point 8 9 in time? It could be a multitude of factors. 10 Α Well, in this case, what do you think caused it to 11 0 12 go down to 80? 13 A I can only speculate. 14 MR. JACKSON: Don't speculate. If you can, give her a reasonable answer to 15 16 that. I can tell you at that point in time we are running 17 Α the three vasodialators, according to this record, which 18 may be reponsible for that pressure. 19 20 And which three vasodialators are you 0 running? 21 Isoflurane, which is an anesthetic agent, sodium 22 А nitroprusside and nitroglycerin. 23 24 O What was the third one? 25 A Nitroglycerin?

1 Q Now, what was the time that James Long came off of 2 cardiopulmonary bypass for the last time?

3 A From the anesthetic record, it is 1540.

Q Now, Doctor, in that same time period, 1600 hours, it appears, if I am reading this correctly, that his pulse was up over one hundred or at one hundred; am I reading that correctly?

8 A That appears to be the pulse at 1600.

9 Q And what would cause his pulse to go to that level 10 of one hundred?

11 A Multiple factors.

12 Q And in this case, what do you think the multiple 13 factored were?

14 A Sodium nitroprusside can cause a reflex

15 tachycardia. Low blood pressure can causes reflex

16 tachycardia. Vasodilitation, regardless of the mechanism,

17 can cause tachycardia.

18 Q Do you have any knowledge of a suture breaking or a 19 suture coming lose during this surgery that caused 20 bleeding?

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21 A No.

22 Q Why did Mr. Long receive Protamine?

23 A Protamine is a reversal for heparin.

Q Is that standard in patients that have this type of surgery?

A Most patients who receive large doses of heparin
 receive Protamine reversal.

3 Q And in this case, how much protamine did Mr. Long 4 receive?

5 A A told of 500 milligrams.

6 Q And is that a fairly standard dose for patients
7 that undergo this type of surgery, if they require
8 Protamine?

9 A There is no standard dose.

10 Q Do most patients that require Protamine receive a 11 dose of 500 milligrams?

12 A Protamine is a drug which

13 is titrated. Each patient is different in the amount they 14 receive.

15 Q Is that dosage that Mr. Long received within the 16 range of what most patients receive, less or greater than 17 what most patients receive?

18 A Related to Mr. Long's body weight, that dose is in 19 the average range for most patients his size.

20 Q Did Dr. Gupta at anytime speak to

21 you about the bleeding that occurred during James Long's 22 surgery?

23 A No.

Q What was James Long's condition at the time that he left the surgical suite? 1 A Condition in regards to -- what are you asking 2 me?

3 Q I am asking you what his condition was at the time 4 that he left the surgical suite; was he having any 5 problems, that you were aware of?

A When James Long left the surgical suite, he was
still under the influence of the anesthesia and arrived in
intensive care with stable hemodynamics.

9 Q Did you accompany him to the Intensive Care 10 Unit?

11 A Yes, I did.

12 Q Did you provide any information to Dr. Yared in the 13 Intensive Care Unit?

14 A I do not recall specifically speaking with Dr.15 Yared.

16 Q Did you give Dr. Yared or someone in the Intensive 17 Care Unit a report?

18 A There is a report that's given between the 19 anesthesia time, delivering the patient, and accepting 20 intensive care personnel.

21 Q I asked if you gave a report to anyone in the 22 Intensive Care Unit.

23 A I don't specifically recall that

24 conversation.

25 Q Dr. Hrobat wrote an order to keep James Long's

1 pressure below one hundred systolic; do you know why that 2 was necessary?

3 A I don't recall.

4 Q Is that a typical order for a patient that has had 5 this type valve surgery?

A Each surgeon and each patient receives a different
order from each surgeon. I cannot comment on the surgical
8 management.

9 Q Dr. Yared wrote an admission note to the Intensive 10 Care Unit in which he indicated that this patient had had 11 some bleeding in the OR.

Did you transmit any information regarding bleeding that Mr. Long had in the OR to anyone in the Intensive Care Unit?

15 A I don't specifically recall that

16 conversation.

17 Q Doctor, did you see James Long at any time after he 18 went to the Intensive Care Unit?

19 A Yes, I did.

20 Q And when was that?

21 I am speaking after his first surgery.

22MR. JACKSON:Do you have the23whole chart here?

24 MS. TOSTI: Probably, but I 25 have got my notations in it, so I am not going

1 to give it to the Doctor. 2 MR. JACKSON: If you can't find 3 it, tell her that, Doctor. I can't find it from what I have. 4 Α 5 What are you looking for? 0 For the postanesthesia care note that I 6 Α 7 wrote. At what point in time did you see Mr. 8 Q 9 Long? 10 I saw Mr. Long after his operation. If I had the А note in front of me, I could tell you the date and the 11 12 time. Did you see him on the evening of the 20th, after 13 0 14 his surgery? I did not see him until at least the following 15 Α 16 morning. Did you participate in anyway in his second 17 Q 18 surgery? 19 А No. So your anesthesia note would have been written 20 0 after his second surgery, because I believe he returned to 21 22 surgery that evening? 23 I cannot comment, because I do not have the record А 24 in front of me, or the chart. I don't recall seeing an anesthetic note, either, 25 Q

1 but you believe it was the following day that you wrote 2 the note?

3 A My typical practice is seeing the

4 patient the day after their anesthetic. Whether or not 5 that was after his return to the operating room, I cannot 6 comment.

Q Did you have any conversations with Dr. Cosgrove or
B Dr. Mullbach regarding any bleeding complications that
James Long had during surgery?

10 A I don't recall those conversations.

11 Q Did you have any conversations with either of them 12 about the complications of bleeding that he had while he 13 was in the Intensive Care Unit?

14 A I don't recall those conversations

15 either.

16 Q If a patient has bleeding from an aortic suture 17 line which has been reinforced during surgery, would there 18 be a heightened concern for bleeding in the post-op period 19 for the patient?

20 A That is a possibilty.

21 Q And, Doctor, if James Long had bleeding

22 complications during the surgery, such as bleeding from an 23 aortic suture line, would you agree it is important that

24 he be watched closely for post-op bleeding?

25 A That would be a concern, yes.

Do you review the postoperative orders for a 1 Q 2 patient when they are being transferred to the Intensive 3 Care Unit? Do you look over the surgical orders that are 4 5 written? 6 Ã No. 7 Do you consult with the surgeons at all in regards Q to what those post-op orders are going to be? 8 9 A On ocassion. And in James Long's case, did you consult with Dr. 10 0 Mullbach or Dr. Cosgrove in regards to his postoperative 11 12 orders? 13 А I don't recall. 14 Do you know why he had an order written to keep his 0 systolic blood pressure below 100? 15 16 MR. JACKSON: He answered that 17 before. 18 Go ahead. I don't know the specifics about why that order was 19 А 20 written. Doctor, if a post-op aortic valve replacement 21 Q patient develops cardiac tamponade, what changes would you 22 23 make in the hemodynamic parameters? There could be many changes. 24 Α What characteristically occurs with cardiac 25 Q

1 tamponade?

2 A Cardiac tamponade can manifest as low cardiac3 output syndrome.

4 It can manifest as hypotension.

5 It can manifest on the transesophageal echo, if 6 that was performed postoperatively.

7 It can manifest as equalization of pressures within 8 the chambers of the heart.

9 There are a multitude of signs that can 10 occur.

11 Q Doctor, I would like you to look at the ICU flow 12 sheet that's in the record, or where the hemodynamic 13 parameters are listed.

I would like you to look at the initial parameters from when he was admitted to the Intensive Care Unit, and were any of those initial values on admission of any concern to you?

18 A There is nothing there that would identify an 19 emergent cardiac process needing immediate

20 intervention.

21 Q Are any of them causes for concern in regard to him 22 needing close monitoring?

23 A Our patients are closely monitored in the Intensive24 Care Unit.

25 Q The values that you see there are all desirable

1 values for this patient?

2 MR. JACKSON: Object to your word "desirable." I don't know what that would 3 4 mean. BY MS. TOSTI: 5 That means whatever the doctor feels is appropriate 6 0 for this patient are represented by the values that are 7 there in that initial assessment. 8 The hemodynamic parameters, are those all within 9 the range that was desirable for the patient, from the 10 perspective of you as an anesthesiologist? 11 12 MR. JACKSON: Objection to 13 desirable. If you are talking about acceptable, 14 I think that is a different word. 15 Desirable may mean different things. Go 16 ahead, answer, Doctor if you can. We are running drugs to keep the blood pressure low 17 A at this time, and I can't comment to the specifics about 18 why that was done. 19 20 I don't recall. 21 These numbers are not numbers of alarm to 22 me. 23 Were those all acceptable values for that 0 patient? 24 25 A Acceptable? What is your definition of
1 acceptable?

2 0 Doctor, it is your definition. You were the treating physician that was the anesthesiologist coming 3 off this case. 4 I am asking you, in a patient that has those 5 initial hemodynamic parameters, are those acceptable to 6 7 you? 8 А Yes, they are acceptable, yes. These are acceptable. 9 Is a transesophageal echo helpful in diagnosing 10 Q 11 cardiac tamponade in post-op cardiac patients? Yes. 12 A 13 Doctor, this patient's blood pressure at 1750 hours 0 falls to, I believe, 75 over 46. His arterial pressure 14 15 drops to 55. The cardiac index is down to two, and the 16 peripheral vascular resistance, I think, is 52.2; what 17 would cause his parameters to drop like that? 18 MR. JACKSON: What time is that 19 20 again, please? 1750 hours. 21 0 Those are signs of low cardiac output 22 Ά 23 syndrome. Could bleeding cause those changes? 24 Q Bleeding is a possibility. 25 A

1 0 And other than low cardiac output 2 syndrome, what would cause him to have a low cardiac output syndrome? 3 Cardiac tamponade at this point is a 4 А possibility. 5 So those changes would be consistent with cardiac 6 0 7 tamponade? 8 Yes, they would be consistent. Α Now, if a transesophageal echo had been 9 0 done at that point in time, is it likely that cardiac 10 tamponade, if it was there, would be seen on a 11 transesophageal echo? 12 13 It could be diagnosed by a transesophageal echo at A that time. 14 Do you think that a transesophageal echo should 15 0 have been done at that point in time for this 16 17 patient? MR. JACKSON: Objection. You 18 19 can answer. A transesophageal echo at this time would be a 20 А reasonable intervention. 21 Now, James Long's blood pressure 22 0 again falls at 1950 hour and continues to go down to be 23 2030 hours; do you know what caused those changes in this 24 25 patient?

1 A I was not present at that time.

2 Doctor, when James Long's blood pressure is falling 0 around 1950 hours, and it is down in the 70's, and 3 Levophed and Epinephrine are running, shouldn't that raise 4 a suspicion that this patient was bleeding? 5 6 MR. JACKSON: Objection. Go 7 ahead and answer, Doctor. I do not have enough information to tell from this 8 A 9 that the patient is bleeding. 10 I can tell you that the interventions that were made and the resulting hemodynamics are worrisome that the 11 12 bleeding is an ongoing process at this time, in addition 13 to cardiac tamponade. What would be the rationale for giving this patient 14 0 15 the Levophed and Epinephrine? Why did he require the Epinephrine and Levophed? 16 17 Epinephrine is a drug given to maintain А 18 the cardiac output, in addition to maintaining mean arterial pressure. 19 What is the expected amount of chest 20 0 21 tube drainage per hour on patients undergoing this type of 22 surgery? It varies widely. 23 А Is drainage of 250 CC's in an hour 24 0 25 concerning?

Α All chest tube drainage is concerning; however, 1 2 that number is somewhat high. 3 0 Why would James Long's chest tube drainage be 4 increasing from 50 to 100 to 250 cc's per hour from 1730 hours to 1930 hours? 5 6 MR. JACKSON: Objection. You 7 can answer. Not being there at the bedside and having observed 8 Α 9 the chart, to base a comment on ongoing bleeding would be a recent causative factor. 10 Would that be a concern with that amount of chest 11 0 tube drainage from 30 to 100 to 250? 12 Yes, it would be a concern. 13 A 14 0 And if you were caring for this patient, would you have done a transesophageal echo at that point 15 in time --16 I object. 17 MR. JACKSON: -- to check for tamponade? 18 Q MR. JACKSON: Object. The 19 doctor is not looking at the entire chart. He 20 told you he wasn't there. 21 If you think you can answer in absence 22 23 of having all of that information, go ahead, Doctor. 24 I don't think that's a fair question to 25

1 this Doctor, given what he has in front of him 2 and what he has reviewed. 3 Go ahead. 4 BY MS. TOSTI: 5 0 You may answer, Doctor. 6 Α My responsibility to the patient are in the realm of resuscitation. 7 If I was present at this time, I would be 8 resuscitating this patient. In a addition, a 9 10 transesophageal, to make a diagnosis; in addition to the 11 presence of a surgeon at the bed space would be a 12 reasonable multi-team approach. 13 0 Doctor, looking over all of the hemodynamic values 14 that are there, do you see any trends that would be consistent with a patient that's bleeding? 15 16 А I see a period of time from 2010 to 2050 where blood pressures of 55 to 58 is charted. 17 This could coincide with ongoing bleeding at that 18 time. 19 20 0 What is your understanding as to what happened to James Long that caused him to go back to 21 22 surgery? MR. JACKSON: State your 23 understanding, if you know, Doctor. 24 25 A From reading the surgical note that was dictated,

it is my understanding that there was a problem with the
 distal anastomosis of the aortic valve homograft that was
 placed.

4 Q Now, Doctor, I am going to ask you a series of
5 questions, and if you have no opinion on it, just tell me
6 that.

7 Do you have an opinion as to at what
8 point in time James Long suffered an ischemic injury to
9 his brain?

10 A I can't comment.

11 Q Did you speak to the family of James Long at any 12 time after his first surgery?

13 A I don't recall.

14 Q Do you recall speaking to the family at any time 15 after either of his surgeries?

16 A I don't recall.

17 Q Do you have an opinion as to what caused James 18 Long's postoperative bleeding?

19 A I have a dictated surgical note that states there 20 was a problem with the distal anastomosis of aortic valve 21 homograft.

22 Q Do you have an opinion as to what point

23 in time if any James Long's neurological condition was 24 irreversible?

25 A I can't comment.

1 Q Was James Long's case ever discussed in any type of 2 staff meeting?

MR. JACKSON: Object to that. If you are talking about a morbidity-mortality conference or something of that nature, to let him answer whether or not it was discussed at something like that would be the extent of the discussion.

9 You can answer that question, was it 10 discussed at some type of conference like 11 that?

12 A I don't recall specifically. Because of his dental 13 injury, it would be marked as a morbidity-mortality 14 conference for the department of anesthesia, and therefore 15 the chart would be reviewed from that nature.

16MR. JACKSON:That nature being17the dental injury?

18 THE WITNESS: Yes.

19 BY MS. TOSTI:

20 Q Do you have opinion as to James Long's reasonable 21 life expectancy, if he had not suffered hypotension and a 22 severe ischemic brain injury?

23 A I can't comment.

24 Q Are you critical of anyone that rendered care to 25 James Long?

1 A No. MS. TOSTI: I have no further questions for you, Doctor. I thank you for your time this morning. MR. JACKSON: He will read it. _ _ ~ _ _ (Deposition concluded.) - - - - -Charles J. Hearn, D.O. (Please sign and date.)

1 The State of Ohio,) County of Cuyahoga.) SS: CERTIFICATE 2)

3 I, Robert A. Cangemi, a Notary Public within and for . the State of Ohio, duly commissioned and qualified, do 4 hereby certify that the within-named CHARLES J. HEARN, 5 D.O., was by me first duly sworn to testify the truth, and 6 nothing but the truth in the cause aforesaid; that the 7 8 testimony then given by him/her was by me reduced to stenotypy in the presence of said witness, afterwards 9 transcribed upon a computer, and the foregoing is a true 10 and correct transcript of the testimony so given by 11 him/her as aforesaid. 12

I do further certify that this deposition was taken at the time and place in the foregoing caption specified and was completed without adjournment.

I do further certify that I am not a relative, counsel or attorney of either party or otherwise interested in the event of this aciton.

19 IN WITNESS WHEREOF, I have hereunto set my hand and 20 affixed my seal of office at Cleveland, Ohio on this 22nd 21 day of March, 1999.

22

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- 24 25

Robert A. Cangemi, Notary Public in and for the State of Ohio. My Commission expires 3-5-02.

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LAWYER'S NOTES

## DEPOSITION OF CHARLES HEARN, D.O. LONG, etc. v. THE CLEVELAND CLINIC FOUNDATION

Page/Line Description <u>Reason</u> 3/12 Charles J Hearn D.O. For the publication in which 11/5 thicky some patients 11/6 11/14 mean The anestheseologist 12/15 Because the incision iz | 18 12/19 ability to nouscitate is charged 12/21 procedures than with it may be performed the night before." IF the patient 13/21 I believe DR Kach 7/2 The problem intubating this patient 18/1 glottis and place the endotractual tube while 18/Z displaining 18/3 21/14 omit moderate left onist ventuarlar dystunction 21/15 severe brouspid aothe I do not believe so +2/A 22/12 fotal 28/5 I believe 29/5 anesthese personnelt omit sime 29/19

Charles al ain C

CHARLES HEARN, D.O.

# CURRICULUM VITAE

## CHARLES J. HEARN, D.O.

#### I. PERSONAL DATA:

PERSONAL DA	ATA:	PLAINTIFF'S
Address:	22827 Lake Road, #2 Rocky River, OH 44116	
Date of Birth:	February 4, 1958	
Place of Birth:	Quebec City, Quebec Canada US Citizen Naturalization #11035917 Cle	eveland, Ohio

#### П. **EDUCATION:**

III.

10/90 - 1/91

7/90 - 10/90

1972 - 1976	Central Catholic Canton, Ohio <i>High School Diploma</i>
1976 - 1980	St. Louis University St. Louis, Missouri <i>Bachelor Arts Chemistry</i>
1980 - 1982	Akron University Akron, Ohio <i>Masters Program</i>
1982 - 1986	Ohio University Athens, Ohio <i>Doctor of Osteopathy</i>
HOSPITAL TRAINING:	
11/93 - 10/94	Fellowship in Echocardiography Cleveland Clinic Foundation

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j.

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Fellowship in Pain Therapy Cleveland Clinic Foundation

7/89 - 6/90	<i>Fellowship in Cardiothoracic Anesthesia</i> Cleveland Clinic Foundation Dept. of Cardiothoracic Anesthesia Cleveland, Ohio
7/87 - 7/89	<b>Residency in Anesthesia</b> Detroit Osteopathic Hospital Department of Anesthesiology Detroit, Michigan
7/86 - 7/87	<i>Rotating Internship</i> Parkview Hospital Toledo, Ohio

## IV. PAST PROFESSIONAL APPOINTMENTS;

1/91 - 2/93	Assistant Staff
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	Dept. of Cardiothoracic Anesthesiology
	Cleveland, Ohio

## V. PRESENT PROFESSIONAL APPOINTMENTS:

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	Cleveland Clinic Foundation
	Dept. of Cardiothoracic Anesthesiology
	Cleveland, Ohio

## VI. PROFESSIONAL ACTIVITIES AND HONORS:

### **National Boards:**

Board Certification American Osteopathic College of Anesthesiologists #0648

ı

Licensure:

State of Ohio #4519

## Memberships:

American Society of Anesthesiologists American Osteopathic Association Ohio Society of Anesthesiologists International Anesthesia Research Society Society of Cardiovascular Anesthesiologists American Osteopathic College of Anesthesiologists

#### Appointed Committees:

Cardiothoracic Resident Admission Committee Committee on Continuing Medical Education Anesthesia-surgery operating room task force

#### **Professional Honors:**

Who's Who in America, 1990

### **Community Activities:**

None

## VII. BIBLIOGRAPHY:

#### Manuscripts

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- 2. Kinkoph RJ, Cabrales RE, Hearn C: An abnormal transesophageal echocardiography finding after retrograde cardioplegia. Submitted to J Cardiothorac & Vasc Anesth, January 1998.
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- 5. Hearn CJ, Kraenzler EJ, Wallace LK, Starr NJ et al. Minimally invasive aortic valve surgery: anesthetic considerations. Anesth Analg 1996; 83: 1342-4.
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#### Abstracts

1. Kraenzler E, Kirby T, Hearn C et al. Airway management of cystic fibrosis patients during double lung transplantation: single lumen endotracheal tubes are superior to double lumen endotracheal tubes. Presented at the Society of Cardiovasuclar Anesthesiologists, April 1993

## **Book Chapters**

1. Kraenzler E, Hearn C. Anesthetic considerations for video assisted thoracic surgery. Atlas of Thoracoscopic Surgery. W.B. Saunders, 1994, pp 66-74.

## VIII. RESEARCH IN PROGRESS:

None

## IX. LECTURES:

Available upon request.

## X. SCIENTIFIC EXHIBITS:

None

## XI. REFERENCES

Available upon request.