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1 IN THE COURT OF COMMON PLEAS  
 2 OF SUMMIT COUNTY, OHIO  
 3 DOROTHY S. MAYNARD, et al.,  
 4 Plaintiffs,  
 5 vs.  
 6 AKRON GENERAL MEDICAL Case No.  
 7 CENTER, et al., CV 97 01 0228  
 8 Defendants. Judge Whitmore  
 9 - - - - -  
 10 Deposition of DANIEL P. GUYTON, M.D.,  
 11 called for examination under the statute, taken  
 12 before me, Amie R. First, a Registered Professional  
 13 Reporter and Notary Public in and for the State of  
 14 Ohio, by agreement of counsel, at the offices of  
 15 Jacobson, Maynard, Tuschman & Kalur Co., L.P.A.,  
 16 202 Montrose Avenue West, Suite 200, Akron, Ohio,  
 17 on Friday, July 11, 1997, at 1:10 p.m.  
 18 - - - - -  
 19 Computer-aided Transcription and  
 20 Litigation Support Services by:  
 21 KALAPODIS REPORTING SERVICES, INC.  
 22 926 First National Tower  
 23 Akron, Ohio 44308  
 24  
 25

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1 APPEARANCES:  
 2 On behalf of the Plaintiffs:  
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 4 Co., L.P.A., by  
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 9 On behalf of the Defendant  
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 12 Kalur Co., E.P.A., by  
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 25 - - - - -

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1 DANIEL P. GUYTON, M.D., of lawful  
 2 age, called for examination, as provided by the  
 3 Ohio Rules of Civil Procedure, being by me first  
 4 duly sworn, as hereinafter certified, deposed and  
 5 said as follows:  
 6 CROSS EXAMINATION OF DANIEL P. GUYTON, M.D.  
 7 BY MS. KOLIS:  
 8 Q. Dr. Guyton, as you know, we've been  
 9 introduced. My name is Donna Kolis. I've been  
 10 retained to represent Dorothy and Cecil Maynard.  
 11 My purpose today in taking your  
 12 deposition is to clarify information hopefully  
 13 that's contained in the medical charts and ask you  
 14 some hopefully short and direct questions.  
 15 If at any point I ask a question that  
 16 doesn't seemingly make any good common sense to  
 17 you, you'll let me know if you don't understand my  
 18 question?  
 19 A. I will.  
 20 Q. And the reason I put it that way is if  
 21 I ask a question on the record and there's an  
 22 affirmative response of some sort, it will be  
 23 assumed at a later point you understood my question  
 24 generally.  
 25 If at any time you want to take a break

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1 -- perhaps you have a surgical page -- that's  
 2 acceptable. If at any time you want to confer with  
 3 Michael, unlike some lawyers, I don't object to  
 4 that. You need to indicate that for the record.  
 5 With that in mind, also, you have to  
 6 answer all questions verbally. We try not to put  
 7 the court reporter in the duty of interpreting  
 8 anyone's body language. Do you understand?  
 9 A. Yes, I do.  
 10 Q. Starting with an easy issue, can you  
 11 tell me briefly about the education which led you  
 12 to your current profession?  
 13 A. Well, I went to college and medical  
 14 school at Case Western Reserve and then completed a  
 15 surgical residency at New York University Medical  
 16 Center in Manhattan.  
 17 Q. Okay. When did you graduate from Case?  
 18 A. 1975.  
 19 Q. At a time other than today, if you  
 20 would, provide a copy of your CV to your counsel.  
 21 He'll forward it to me.  
 22 A. I will.  
 23 Q. All right. You indicated when you  
 24 graduated from Case you then did a surgical  
 25 residency in New York; correct?

1 A. Correct.  
 2 Q. Okay. What kind of surgical residency  
 3 program was it? Number of years and specialty, I  
 4 guess is what I'm asking.  
 5 A. Well, it was five years, and it was a  
 6 specialty in surgery.  
 7 Q. Okay. Just general surgery?  
 8 A. Right.  
 9 Q. All right. During your last year, were  
 10 you the chief resident?  
 11 A. Yes, I was.  
 12 Q. Okay. Did you publish any articles or  
 13 have any area of interest during that five-year  
 14 residency program?  
 15 A. During that five-year residency, I did  
 16 not publish any articles.  
 17 Q. Okay. When you finished that program,  
 18 did you undertake another surgical residency at  
 19 another hospital?  
 20 A. No, I did not.  
 21 Q. Okay. Following that, what did you  
 22 then do?  
 23 A. I entered private practice.  
 24 Q. Okay. Where did you enter private  
 25 practice?

1 A. Akron, Ohio.  
 2 Q. Okay. What year would that have been?  
 3 A. 1980.  
 4 Q. Okay. Prior to going into private  
 5 practice, did you become boarded in general  
 6 surgery?  
 7 A. To become boarded in general surgery,  
 8 you have to be in practice for several years. So  
 9 once I completed that time period, yes, I did  
 10 become boarded in general surgery.  
 11 Q. What year did you obtain that board?  
 12 A. I think around 1982 or 1983.  
 13 Q. Okay. You won't be punished for not  
 14 knowing it today, but just generally speaking that  
 15 time frame?  
 16 A. Approximately.  
 17 Q. Okay. When you came here in 1980 and  
 18 went into private practice, who were you in  
 19 practice with?  
 20 A. Solo practice.  
 21 Q. Solo practice. How long were you in  
 22 solo practice?  
 23 A. I've been in solo practice since that  
 24 time.  
 25 Q. See, I'm disadvantaged here. I've

1 never met you before, and I don't have your CV, so  
 2 I have to ask these kinds of questions.  
 3 From 1980 to the present, which is  
 4 1997, you've maintained a surgical practice on your  
 5 own. You have no partners; correct?  
 6 A. Correct.  
 7 Q. All right. What hospitals have you  
 8 been affiliated with since 1980?  
 9 A. Well, from 1980 to 1983, I was  
 10 affiliated with Akron General, and from 1983 until  
 11 approximately 1987, I was affiliated with Huron  
 12 Road Hospital in Cleveland. And then from 1987 to  
 13 the present time, I've been back at Akron General.  
 14 Q. Okay. Now, in '83 to '87, when I asked  
 15 about affiliation, of course what I want is what  
 16 hospitals you did have privileges at. The  
 17 hospitals you had privileges at was to do surgery;  
 18 correct?  
 19 A. Correct.  
 20 Q. Were you also doing surgeries at Akron  
 21 General during that four-year period of time?  
 22 A. What four-year period?  
 23 Q. '83 to '87.  
 24 A. No. I was in Cleveland, Ohio.  
 25 Q. Okay. Did you relocate your medical

1 practice?  
 2 A. Yes, I did.  
 3 Q. During the years 1983 to 1987 while you  
 4 were at Huron Road Hospital, what chiefs of surgery  
 5 did you work under?  
 6 A. Dr. Helmet Schreiber.  
 7 Q. Okay. Was he the chief the whole four  
 8 years you were there?  
 9 A. Yes, he was.  
 10 Q. It is my understanding you are  
 11 currently the chief at Akron General.  
 12 A. That's correct.  
 13 Q. When did you become the chief of  
 14 surgery at Akron General?  
 15 A. I believe it was 1991.  
 16 Q. Okay. And you've remained the same  
 17 since; right?  
 18 A. Correct.  
 19 Q. Okay. As part of that program, are you  
 20 involved in the teaching in the hospital setting of  
 21 the residents at Akron General?  
 22 A. Yes, I am.  
 23 Q. Okay. Being a general surgeon, can you  
 24 describe for me -- let's just sort of say the last  
 25 ten-year period, 1987 to 1997 -- what kinds of

<p style="text-align: right;">Page 9</p> <p>1 surgeries do you regularly perform?</p> <p>2 A. Most commonly they have to do with</p> <p>3 malignancies. Most recently it seems to be</p> <p>4 concentrated more on breast disease and breast</p> <p>5 cancer. Prior to that, I was doing a lot of colon</p> <p>6 surgery, breast surgery.</p> <p>7 Q. I guess, you know, all folks who are</p> <p>8 general surgeons seem to have some area that they</p> <p>9 focus on more than others, perhaps that they get a</p> <p>10 reputation in or just something they actively do.</p> <p>11 And, you know, that's what I was asking you.</p> <p>12 And if I understand the answer you're</p> <p>13 giving me, in the past ten years, you said -- let's</p> <p>14 start with A, you told me your focus was on</p> <p>15 malignancies; correct?</p> <p>16 A. Correct.</p> <p>17 Q. Malignancies of any particular organ or</p> <p>18 area of the body more so than others?</p> <p>19 A. No. I would say pretty much all.</p> <p>20 Q. Okay. Let's talk about whipple</p> <p>21 procedures. I'm going to call it a whipple</p> <p>22 procedure, the operation that you did on</p> <p>23 Mrs. Maynard. Is that all right with you, if I</p> <p>24 call it a whipple?</p> <p>25 A. That's fine.</p>	<p style="text-align: right;">Page 11</p> <p>1 specialist in hepatic biliary surgeries?</p> <p>2 A. No.</p> <p>3 Q. Okay. In preparation for today's</p> <p>4 deposition, can you tell me what medical documents</p> <p>5 you've reviewed?</p> <p>6 A. Well, I've reviewed my office notes.</p> <p>7 Q. Okay.</p> <p>8 A. The X-ray reports.</p> <p>9 Q. Oh, X-ray reports. Okay.</p> <p>10 A. The pathology reports, and that's it.</p> <p>11 Q. Have you had an occasion since I filed</p> <p>12 this lawsuit to re-review the hospital chart</p> <p>13 itself?</p> <p>14 A. No, I did not look at the hospital</p> <p>15 chart.</p> <p>16 Q. Okay. Well, I have some pages paper</p> <p>17 clipped. We'll probably look at them. But I was</p> <p>18 just curious if you had looked at it.</p> <p>19 Have you been provided with</p> <p>20 Mrs. Maynard's subsequent care records from the</p> <p>21 Cleveland Clinic?</p> <p>22 A. Regarding?</p> <p>23 Q. Any care and treatment that she</p> <p>24 received from the department of gastroenterology</p> <p>25 and the department of surgery after she was told</p>
<p style="text-align: right;">Page 10</p> <p>1 Q. It's easier than trying to spell it out</p> <p>2 and pronounce it, the complete name of the surgery,</p> <p>3 all the names. When did you first learn how to</p> <p>4 perform a whipple?</p> <p>5 A. 1975, probably.</p> <p>6 Q. And you were still at -- was it New</p> <p>7 York University?</p> <p>8 A. That's correct.</p> <p>9 Q. I wasn't listening too well. You were</p> <p>10 at NYU. And how many did you perform during your</p> <p>11 residency program?</p> <p>12 A. Oh, I have no idea.</p> <p>13 Q. Okay. You don't keep a chart or</p> <p>14 anything, of course?</p> <p>15 A. (Witness shakes head from side to</p> <p>16 side.)</p> <p>17 Q. In the time since you've come back to</p> <p>18 Akron General after leaving Huron through the</p> <p>19 present, what is the frequency with which you</p> <p>20 perform whipple procedures?</p> <p>21 A. I would estimate somewhere between two</p> <p>22 and four a year.</p> <p>23 Q. Okay. At Akron General, as part of the</p> <p>24 doctors who have privileges in general surgery, is</p> <p>25 there anyone who you would consider to be a</p>	<p style="text-align: right;">Page 12</p> <p>1 she did not have cancer. Have you looked at those</p> <p>2 records?</p> <p>3 A. Most recently, yes.</p> <p>4 Q. Okay. That's fine. The first</p> <p>5 question, I guess, I want to ask you is -- let's</p> <p>6 see what points of agreement, I suppose, we can</p> <p>7 arrive at. Is it clear to you, Doctor, based upon</p> <p>8 your overall analysis of the records that we've</p> <p>9 just discussed that Dorothy Maynard in the final</p> <p>10 analysis did not actually need a whipple procedure?</p> <p>11 A. No. That would be an incorrect</p> <p>12 statement.</p> <p>13 Q. Okay. Tell me what would be incorrect</p> <p>14 about that statement.</p> <p>15 A. The reason Mrs. Maynard had her</p> <p>16 procedure was because she presented with signs of</p> <p>17 obstructive jaundice as well as radiographic</p> <p>18 evidence of a lesion in the distal common bile</p> <p>19 duct.</p> <p>20 Q. Okay. The answer that you've just</p> <p>21 given me leads me to conclude that I probably</p> <p>22 inartfully asked the first question. So we'll</p> <p>23 break it up this way.</p> <p>24 It's clear from the record that at the</p> <p>25 time the operation was performed, there were</p>

1 adequate indications for the surgery to occur. Do  
 2 you agree with that?  
 3 A. I think we had every indication to  
 4 perform the operation.  
 5 Q. Okay. All right. The question I'm  
 6 asking you is, based upon information which  
 7 subsequently became available to you, are you and I  
 8 in agreement that ultimately she really didn't need  
 9 a whipple?  
 10 MR. EDMINISTER: Objection. Asked  
 11 and answered.  
 12 THE WITNESS: No. I would stand  
 13 by my first answer.  
 14 Q. Okay. Well, let's go through it then.  
 15 Do you have a copy of your office chart available  
 16 to look at?  
 17 A. Sure.  
 18 MR. EDMINISTER: sure.  
 19 Q. Okay. Great. When did you first meet  
 20 Dorothy Maynard?  
 21 A. My office note is dictated 2/19/96.  
 22 Q. Okay. It's my understanding that  
 23 Mrs. Maynard was referred to you by another  
 24 physician who has privileges at Akron General; is  
 25 that accurate?

1 asking you to get into his brain, but can you tell  
 2 me what about those symptoms would have required an  
 3 evaluation by yourself?  
 4 MR. EDMINISTER: Objection. Go  
 5 ahead, if you can answer.  
 6 THE WITNESS: Well --  
 7 MR. EDMINISTER: what does he  
 8 think? Can you rephrase it?  
 9 Q. Yeah. Based upon the symptoms she  
 10 presented with, why was the referral to a surgeon a  
 11 necessary thing?  
 12 MR. EDMINISTER: From his  
 13 perspective?  
 14 MS. KOLIS: Uh-huh.  
 15 THE WITNESS: He was worried, as I  
 16 said here. "He called me today and informed me  
 17 that in his opinion she had obstructive jaundice  
 18 and required surgical evaluation."  
 19 Q. Okay. You physically examined  
 20 Mrs. Maynard at that point in time; correct?  
 21 A. I did.  
 22 Q. And you found some evidence of jaundice  
 23 both in her eyes and in her mouth. Am I fairly  
 24 reading your note?  
 25 A. Correct.

1 A. I don't know if this individual has  
 2 privileges at Akron General or not.  
 3 Q. Okay. I cannot pronounce the doctor's  
 4 name. It's Dr. --  
 5 A. Maseelall.  
 6 Q. Maseelall. Is this somebody who had  
 7 previously referred patients to you?  
 8 A. On rare occasions, yes.  
 9 Q. Do you know what kind of doctor he is?  
 10 A. I believe he is an internist.  
 11 Q. Okay. It's my understanding that he  
 12 examined her on that date and sent her directly  
 13 across the street to see you. Do you know if  
 14 that's accurate or not?  
 15 A. I believe that was the sequence of  
 16 events.  
 17 Q. Okay. Tell me what her presenting  
 18 symptoms were as are recorded in the chart.  
 19 A. I see here, "The patient states that  
 20 since August she has had the following  
 21 constellation of symptoms. Whenever she eats, she  
 22 develops nausea, midepigastric pain and then vomits  
 23 her dinner."  
 24 Q. Okay. Why did that history cause the  
 25 other doctor to send Mrs. Maynard to you? I'm not

1 Q. Okay. Fair enough. It also says, "The  
 2 examination suggests the presence of a  
 3 midepigastric right upper quadrant mass as well."  
 4 I wasn't there, so I don't know what you're telling  
 5 me. Can you explain to me in laymen's terms what  
 6 was suggestive in that physical that there was a  
 7 mass in that area?  
 8 A. On exam, there was an irregularity to  
 9 the examination that would be consistent with this.  
 10 Q. And when you say "there was an  
 11 irregularity," was there something you could  
 12 palpate or feel as you examined her?  
 13 A. I believed I could.  
 14 Q. Okay. I'm just asking.  
 15 A. Right.  
 16 Q. Okay. And at that point in time, it's  
 17 pretty evident from the note and subsequent course  
 18 of events that you thought she should be admitted  
 19 for an evaluation; is that correct?  
 20 A. That's correct.  
 21 Q. And it's pretty clear you had a concern  
 22 there might be a malignancy?  
 23 A. Correct.  
 24 Q. Okay. Did you communicate that concern  
 25 to Mrs. Maynard at that time, if you remember?

1 A. I can't recall exactly what I said to  
 2 her, but I believe I expressed with them she could  
 3 have a serious problem that could need prompt  
 4 attention.  
 5 Q. Fair enough. Do you remember her  
 6 husband being present at that first evaluation?  
 7 A. I believe he was.  
 8 Q. Do you have a pretty clear memory of  
 9 both Mr. and Mrs. Maynard at this point in time?  
 10 MR. EDMINISTER: In what way?  
 11 Q. If you saw them, would you remember who  
 12 they were?  
 13 A. I don't know if I would recognize them,  
 14 no.  
 15 Q. Okay. Fair enough. What was your plan  
 16 of diagnostic exams during this admission?  
 17 A. Well, I think I would have to refer to  
 18 the hospital chart on that, but I think we  
 19 proceeded right with a CAT scan and the like.  
 20 Q. Okay. Well, I guess we'll go over  
 21 those things in detail. I guess what I'm asking is  
 22 customarily when I read a doctor's chart, it  
 23 usually tells me what the plan is, what series of  
 24 testing is going to occur. Would you like to look  
 25 at the hospital chart?

1 A. Sure.  
 2 Q. Because that might help you answer some  
 3 of these questions. And it's chronological, and  
 4 it's tabbed.  
 5 MS. BARKER: Off the record for a  
 6 moment.  
 7 (Discussion had off the record.)  
 8 Q. I think the first section is the  
 9 admission of 2/19/96.  
 10 A. Okay. We admitted her and went right  
 11 to a CAT scan with IV contrast done the same day of  
 12 admission.  
 13 Q. Okay, What else is in there? Are you  
 14 reading your order sheets from the first admission?  
 15 A. Yes.  
 16 Q. Okay. Why don't you just, for the  
 17 record, tell us what the plan was on admission?  
 18 A. Well, the plan was to try to pinpoint  
 19 exactly what was causing the obstructive jaundice.  
 20 Q. Okay. An important thing obviously.  
 21 What were you going to do in the endeavor to find  
 22 out what was causing the jaundice?  
 23 A. Our first step, as I said, was to have  
 24 a CAT scan of the abdomen and pelvis.  
 25 Q. Okay. And initially you weren't going

1 to do anything past -- you wanted to see what the  
 2 results of the CAT scan were before you decided on  
 3 further testing?  
 4 A. We were going to get some blood work.  
 5 Q. Okay. Did you get the blood work?  
 6 A. Yes.  
 7 Q. Okay. I probably have labs in a  
 8 section marked labs, I would guess. What kind of  
 9 blood work were you going to do for Mrs. Maynard?  
 10 A. Well, we were going to get a liver  
 11 profile which would tell us indeed the degree of  
 12 the obstructive jaundice.  
 13 Q. Okay. What were the results of those  
 14 blood studies?  
 15 MR. EDMINISTER: Which ones?  
 16 MS. KOLIS: The ones that he's  
 17 indicating he initially ordered to do the liver  
 18 profile to see how much obstruction there was, I  
 19 suppose, if I'm paraphrasing.  
 20 THE WITNESS: I don't see them in  
 21 here.  
 22 Q. You don't see them in here. All  
 23 right. Well, let me just represent to you that --  
 24 maybe we should have counsel for Akron General get  
 25 her records. What's in that notebook is everything

1 I received under a subpoena from the hospital, and  
 2 I guess I'm surprised what you're looking for may  
 3 not be there.  
 4 A. These are Dr. Rehms' lab reports from  
 5 April 1996. Well, postop.  
 6 MR. EDMINISTER: Donna --  
 7 MS. KOLIS: I don't want him to  
 8 have to sit here and dig all day.  
 9 MR. EDMINISTER: You have this  
 10 organized in a chronological fashion?  
 11 MS. KOLIS: Right.  
 12 MR. EDMINISTER: But in the  
 13 initial admit, there is no breakdown for labs, so  
 14 if they're in here, they must be mixed in with  
 15 progress notes.  
 16 MS. KOLIS: Well, that's possible.  
 17 MR. EDMINISTER: I think so.  
 18 Here's a CT. That's all within that same admit.  
 19 Is there any more?  
 20 THE WITNESS: No.  
 21 MR. EDMINISTER: And there's  
 22 nothing there.  
 23 Q. Okay. Let's do it this way. In your  
 24 office chart, customarily when you order labs on a  
 25 patient, does the hospital forward those labs to

1 you to keep a copy in your office chart?  
 2 A. Not as an inpatient.  
 3 Q. Not as an inpatient. Okay. Because I  
 4 didn't see any labs. Do you have any way of  
 5 telling from looking at the chart what the result  
 6 of that blood work was?  
 7 A. Well, it's not readily available.  
 8 Q. Okay. For the moment, I think we're  
 9 going to let that go.  
 10 A. I would say they were consistent with  
 11 obstructive jaundice.  
 12 Q. Okay. And I suspect that would be true  
 13 since she had a polypoid lesion, correct, in the  
 14 bile duct; is that right?  
 15 A. We did not know that at the time.  
 16 Q. Right. But what I'm saying to you now  
 17 is you ordered a liver series; correct? That's  
 18 what you told me?  
 19 A. Yes, that's correct.  
 20 Q. You and I cannot locate those results;  
 21 right?  
 22 A. (Witness nods head up and down.)  
 23 MR. EDMINISTER: At present.  
 24 Q. At present. That doesn't mean they  
 25 don't exist. It just means we don't have them.

1 And I guess the question I was looking for, was  
 2 there anything remarkable about the liver series  
 3 that you ordered that aided you and assisted you in  
 4 any way in coming to a preliminary diagnosis as to  
 5 the nature of her problem?  
 6 MR. EDMINISTER: Off the record.  
 7 (Discussion had off the record.)  
 8 THE WITNESS: Yes. Here. Here  
 9 they are.  
 10 Q. Okay. What Bates stamp page is that  
 11 on, just for reference?  
 12 A. 000460.  
 13 Q. Thanks a lot. Okay. You've located  
 14 the results now?  
 15 A. Yes, I have.  
 16 Q. Can you tell me what they were?  
 17 A. They are indicative of obstructive  
 18 jaundice.  
 19 Q. Fine. That's all I wanted to know. Do  
 20 you see how hard this can be? All right. You had  
 21 the blood work done. You also ordered a CT;  
 22 correct?  
 23 A. Correct.  
 24 Q. Okay. What did the CAT scan reveal?  
 25 It's probably easier to use your own chart for

1 that. (Handing to witness.)  
 2 A. Thanks. Let's see. Here we are.  
 3 Q. Okay.  
 4 A. "CAT scan reveals cholelithiasis and  
 5 intrahepatic biliary duct dilatation. Prominent  
 6 pancreatic head without definite CT evidence of  
 7 pancreatic mass. Etiology of the biliary duct  
 8 dilatation, however, remains uncertain and further  
 9 evaluation of ERCP is suggested as indicated."  
 10 Q. Okay. Let's talk about where you would  
 11 have been at diagnostically at that point. You've  
 12 received lab work, I assume, somewhere around the  
 13 time you got the CT results that tell you, in fact,  
 14 she's got obstructive jaundice; correct?  
 15 A. Correct.  
 16 Q. And then you get CT results, and the CT  
 17 is telling you that A, she's got some sort of  
 18 problem with her gallbladder; right?  
 19 A. She has gallstones.  
 20 Q. Stones. Okay. Does this CT reading,  
 21 as you interpret it, tell you that there -- let me  
 22 ask you what it tells you about the pancreas. I'll  
 23 change it to that way.  
 24 A. It tells me that the pancreatic head is  
 25 enlarged.

1 Q. Okay. What concern does that raise for  
 2 you?  
 3 A. That there may be a tumor in the distal  
 4 bile duct or in the head of the pancreas that's  
 5 causing the obstructive jaundice.  
 6 Q. Okay. Now, at that point, based upon  
 7 this examination, are you aware that there is a  
 8 polypoid mass?  
 9 A. No.  
 10 Q. Okay. So you've done your blood work.  
 11 You've done your physical exam. You've taken her  
 12 history and physical. You've got the CT. What at  
 13 this point in time is your diagnosis for this  
 14 person?  
 15 A. Still obstructive jaundice.  
 16 Q. Okay. All right. And, of course, you  
 17 follow-up on the advice of getting an ERCP;  
 18 correct?  
 19 A. Correct.  
 20 Q. All right. Who is Dr. Maxwell?  
 21 A. Dr. Maxwell is a gastroenterologist.  
 22 Q. Okay. Is that someone you regularly  
 23 worked with at that time?  
 24 A. Pretty much.  
 25 Q. Okay. You don't do ERCPS yourself?

1 A. No, I do not.  
 2 Q. That's his thing; right?  
 3 A. Correct.  
 4 Q. All right. You ordered that, I  
 5 believe, for the following day. I think that was  
 6 the 20th. Let's take a look at the results that  
 7 you got from the ERCP.  
 8 A. Okay.  
 9 Q. Okay. Are we at the same page? You've  
 10 got one -- oh, I'm looking at the radiology  
 11 report. You're looking at Dr. Maxwell's report;  
 12 right?  
 13 A. Right.  
 14 Q. Tell me what Dr. Maxwell revealed to  
 15 you based on the ERCP.  
 16 A. Polypoid lesion distal common bile  
 17 duct, biliary tumor.  
 18 Q. Now, at that point, he doesn't know  
 19 what this mass represents; is that right?  
 20 A. He says it's a biliary tumor.  
 21 Q. Okay. Were you convinced that it was a  
 22 biliary tumor at that point?  
 23 A. Yes, I was.  
 24 Q. Okay. What would be the basis upon  
 25 which you were convinced it was a biliary tumor?

1 A. Its appearance on the X-ray film, the  
 2 fact that it could not be dislodged as Dr. Maxwell  
 3 performed several brushings for cytology.  
 4 Q. Okay. Just to make sure we're speaking  
 5 the same language, when I say are you sure that it  
 6 was a biliary tumor, I guess what I'm trying to  
 7 distinguish is at that point in time were you  
 8 convinced that this mass that is in the common bile  
 9 duct is cancerous, or do you just not know at that  
 10 point?  
 11 A. I think the probability is very high  
 12 this was a cancerous mass.  
 13 Q. So in your mind, based upon your  
 14 experience, the probability mitigated higher in  
 15 favor of malignancy versus a mass alone --  
 16 A. Correct.  
 17 Q. -- that would be nonmalignant; right?  
 18 A. That is correct.  
 19 Q. Okay. As we sit here today knowing all  
 20 the things that transpired, what do you believe  
 21 that mass was that was actually in the common bile  
 22 duct?  
 23 A. I think it was a benign mass.  
 24 Q. Do you know or do you have an opinion  
 25 based upon your experience as to what caused that

1 benign mass?  
 2 A. No.  
 3 Q. Do you have -- when I say  
 4 "probability," I believe it would have occurred to  
 5 you subsequent to learning that Dorothy did not  
 6 really have cancer to think as to what kind of  
 7 conditions would have caused the mass. Maybe I'm  
 8 wrong.  
 9 Do you believe that the mass that was  
 10 in the common bile duct had anything to do with  
 11 calculus from the gallbladder because she had  
 12 stones?  
 13 A. It would be unlikely for a calculus of  
 14 the gallbladder to cause a growth. Usually you see  
 15 an indentation or ulcer. This was a growth  
 16 protruding.  
 17 Q. So you don't have an opinion today as  
 18 to what caused that mass to grow?  
 19 A. No.  
 20 Q. I'm just asking. I don't want to be  
 21 surprised later when someone has rethought the  
 22 issue.  
 23 Now, at the time of the ERCP, did they  
 24 do brushings on the mass at that point?  
 25 A. Dr. Maxwell said he had cytologically

1 brushed the mass.  
 2 Q. You obviously got the results of those  
 3 cytology brushings; right?  
 4 A. That's correct.  
 5 Q. Let's take a look at those. Those are  
 6 in your chart?  
 7 A. Right.  
 8 Q. It's my understanding that the brush  
 9 biopsy was interpreted by a cytotechnician probably  
 10 and a cytologist, both. Do you agree with that?  
 11 A. Both have signed this.  
 12 Q. Okay. Fine. And it reflects, "Acute  
 13 and chronic inflammatory cells. Features of  
 14 malignancy are not identified."  
 15 A. Right before this it says, "Reactive  
 16 glandular cells." It could be very indicative of a  
 17 growth within the bile duct.  
 18 Q. Okay. We know there's a growth within  
 19 the bile duct at this point; right?  
 20 A. Correct.  
 21 Q. We just don't know what it is?  
 22 A. Correct.  
 23 Q. It happens to be -- I didn't highlight  
 24 that. I left it out -- reactive glandular cells.  
 25 You can see that in a nonmalignant growth; correct?

1 A. Reactive glandular cells, correct.  
 2 Q. So those do exist in nonmalignant  
 3 growth?  
 4 A. Right.  
 5 Q. What did you make of the acute and  
 6 chronic inflammatory cell description?  
 7 A. This is cytology. This is a surface  
 8 brushing.  
 9 Q. Right.  
 10 A. I don't place much evidence in that.  
 11 Q. All right. So it's just one additional  
 12 piece of information that you had, and it didn't  
 13 necessarily change your impression that it was more  
 14 likely than not that a malignancy existed; right?  
 15 A. Correct.  
 16 Q. Okay. I want to do this nice and  
 17 sequentially. All right. You've got the CT  
 18 results, blood results. You know what the ERCP  
 19 says, and now you know the cytology; right?  
 20 A. Right.  
 21 Q. Okay. At this point, what decision do  
 22 you make?  
 23 A. Well, we talked it over, Dr. Maxwell  
 24 and I, and we both agreed that the patient needed  
 25 exploration.

1 Q. Okay. So the record is clear -- you  
 2 are a very soft-spoken person, but I think -- the  
 3 court reporter may have gotten it. You referred to  
 4 Dr. Maxwell?  
 5 A. Correct.  
 6 Q. And you were both in agreement based  
 7 upon what you had seen on exploration of  
 8 Mrs. Maynard's bile duct. Am I right about that?  
 9 A. Correct, correct. Dr. Maxwell says at  
 10 the bottom of his note here, "Will review films.  
 11 Will likely need surgery."  
 12 Q. Okay. But you were going to be the  
 13 surgeon and the person to make the decision on what  
 14 direction to go; right?  
 15 A. Correct.  
 16 Q. Okay. Let's go back. We get to do a  
 17 lot of flipping of papers here. I would like to go  
 18 back and look at your 2/21/96 office note together;  
 19 okay?  
 20 A. All right.  
 21 Q. Now, at this point in time, you  
 22 obviously have all the information, and you are  
 23 going to meet with the patient and her husband to  
 24 discuss what it probably means. Is that a fair  
 25 assessment of where you would have been at on

1 February 21, 1996?  
 2 A. According to my note here, I already  
 3 had met with them.  
 4 Q. All right. I'm assuming you had met  
 5 with them after you had all the test results back.  
 6 A. That's correct.  
 7 Q. Okay. At that point, you indicate in  
 8 your note -- and by the way, her daughter was also  
 9 there; right?  
 10 A. I can't remember.  
 11 Q. Your note says she was there.  
 12 A. Fine, fine.  
 13 Q. Okay. Your note says, "We will open  
 14 the bile duct and excise the tumor"; correct? I'm  
 15 reading that with no problem?  
 16 A. Correct.  
 17 Q. "We will send this for frozen section  
 18 analysis."  
 19 A. Correct.  
 20 Q. "If it is returned as a cancer, we will  
 21 proceed with a" -- I can never say the word -- a  
 22 whipple, but it obviously says the correct name of  
 23 the procedure. "I've informed them we will take  
 24 out part of the stomach, pancreas and some of the  
 25 intestines, et cetera. I've informed them this is

1 a large operation, dot, dot, dot. I've informed  
 2 them, however, if this is benign, what we will do  
 3 is just excise the tumor and close the duodenum";  
 4 correct?  
 5 A. Correct.  
 6 Q. And obviously your note reflects,  
 7 "Unfortunately, we will not know this until the  
 8 time of surgery. She understands this and  
 9 concurs."  
 10 This is the sum and substance of the  
 11 explanation you gave to Mr. and Mrs. Maynard about  
 12 what procedure Dorothy would undergo the following  
 13 day; am I right?  
 14 A. This is a summary of a very long  
 15 meeting we had where we outlined all of this, where  
 16 I believe I drew them several pictures of things --  
 17 Q. I think that you did.  
 18 A. -- and explained to them exactly what  
 19 was what and what we were doing.  
 20 Q. Okay. Now, in your decision with them,  
 21 your note makes it clear -- and I think there's  
 22 some hospital notes. We'll get to that.  
 23 You explained to them one of two things  
 24 will happen based upon positive or negative  
 25 pathology. That's what I'm going to call it.



1 Malignant or benign pathology; correct?  
 2 A. Correct.  
 3 Q. And as your memory seems to be pretty  
 4 good -- your lawyer will find that out -- you did  
 5 have a very lengthy conversation with the Maynards  
 6 about it; right?  
 7 A. As I recall, I believe it was lengthy,  
 8 right.  
 9 Q. Okay. In your experience as a general  
 10 surgeon, before Dorothy Maynard --  
 11 A. Uh-huh.  
 12 Q. -- had you ever encountered a situation  
 13 where the pathology of a growth in a bile duct was  
 14 equivocal?  
 15 MR. EDMINISTER: Objection to the  
 16 form of the question. Go ahead.  
 17 THE WITNESS: I wrote a paper on  
 18 this topic, as a matter of fact, on ampullary  
 19 tumors. And the sense is that at that time -- and  
 20 I believe I said this in the paper -- that one is  
 21 best to proceed with surgery if the diagnosis is  
 22 based on suspicion, clinical presentation and  
 23 things like that.  
 24 Q. Okay. I don't know that that answered  
 25 my question. We're getting close. First of all,

1 paper?  
 2 A. I can't recall. I think it was the  
 3 Journal of Surgical Oncology.  
 4 Q. Okay. My initial question -- although  
 5 I'm glad you gave me that answer -- was prior to  
 6 Mrs. Maynard --  
 7 A. Uh-huh.  
 8 Q. -- undergoing this exploration by  
 9 yourself, had you encountered a situation where the  
 10 pathology as it was -- I'm going to use the phrase  
 11 "read out to you during the time of  
 12 surgery" -- was equivocal?  
 13 A. Usually pathologists can state yes or  
 14 no. So no, I am not --  
 15 Q. You had not previously encountered  
 16 that?  
 17 A. No.  
 18 Q. Did you, Doctor, discuss with Mr. and  
 19 Mrs. Maynard what you would do in a situation where  
 20 you encountered an equivocal pathology call at the  
 21 time of surgery?  
 22 A. I can't specifically remember that.  
 23 Q. Okay. Well, while we're on the subject  
 24 of equivocal, what, to you, would be an equivocal  
 25 pathology call from a bile duct tumor?

1 can I assume that this paper that you wrote is  
 2 included in the publications section of your CV?  
 3 A. I'm sure it will be.  
 4 Q. Can you tell me when you wrote it?  
 5 A. It was in the late '80s.  
 6 Q. Okay. Who were you working under, or  
 7 were you doing this on your own at the time?  
 8 A. Helmet Schreiber.  
 9 Q. Okay. Why doesn't that surprise me?  
 10 Okay. Did you do -- tell me a little bit about  
 11 that paper. Did you do a retrospective analysis of  
 12 cases? Is that what you did?  
 13 A. No. Actually as I'm sitting here  
 14 recalling this now, we had a patient who had a  
 15 similar situation but who had congenital problems  
 16 with the colon who also developed polyps in this  
 17 area.  
 18 Q. Okay. So it was a paper based upon a  
 19 case study of one patient?  
 20 A. No. We reviewed many others.  
 21 Q. Okay. I'm just trying to get a flavor  
 22 for writing it. And can you tell me what the title  
 23 of the paper is?  
 24 A. I can't recall the exact title.  
 25 Q. That's all right. Who published this

1 MR. EDMINISTER: Objection.  
 2 THE WITNESS: I guess the  
 3 pathologist just says I can't tell one way or the  
 4 other.  
 5 Q. Are you and I discussing the pathology  
 6 call of defer? I'm trying to --  
 7 A. They would have to defer to permanent  
 8 sections.  
 9 Q. Okay. If you had received a pathology  
 10 reading during this particular surgery from frozen  
 11 section that indicated from the pathologist that  
 12 the pathologist would need to defer because it was  
 13 not clear evidence of malignancy, what would you  
 14 have done?  
 15 A. I would have done the same thing after  
 16 discussing it with the Maynards.  
 17 Q. Now, you added "after discussing it  
 18 with the Maynards."  
 19 A. Right.  
 20 Q. Let's talk about that for a second.  
 21 First, I need to ask you why you would have gone  
 22 ahead and done the surgery if you would have  
 23 received an interoperative pathology read of  
 24 defer.  
 25 A. Well, because of the age of the

1 patient, because of the location of the polyp,  
2 because of its appearance on the ERCP, all of these  
3 make a very strong indication this is a malignant  
4 tumor and not a benign tumor. And had we found  
5 what we found with a dilated bile duct, I would  
6 have to call this a malignancy or there was a  
7 malignancy lurking in the general region of that  
8 area. We hadn't biopsied, and it would have been  
9 picked up, then, on the permanent sections.

10 Q. Given that you've published at least  
11 one article as you're relating -- you think it's in  
12 the Journal of Surgical Oncology -- are you  
13 familiar with the statistical percentages in terms  
14 of increasing a person's life expectancy by doing a  
15 whipple when they actually have a pancreatic  
16 cancer?

17 A. Pancreatic cancer is different than  
18 bile duct.

19 Q. I agree with you. Let me first ask for  
20 pancreatic cancer. Are you aware of whether or not  
21 a whipple actually statistically improves the  
22 chance of survival?

23 A. Yes.

24 MR. EDMINISTER: Objection.

25 Q. Okay. What do you believe the

1 A. Well, the patient will be asleep at  
2 this time.

3 Q. Right.

4 A. So what I would do is speak to the  
5 patient's family and explain the situation.

6 Q. Okay. Under that circumstance, if you  
7 hadn't explained to a person prior to going under  
8 anesthesia that there is a third potential category  
9 of pathology readings that could occur -- not just  
10 positive and negative but equivocal -- from whom  
11 would you obtain the consent to then complete the  
12 operation?

13 A. Well, first of all, the equivocal  
14 reading in one of these must be very, very low.  
15 But in that situation, what I would do is speak to  
16 the patient's husband or wife.

17 Q. Okay. In this case -- just so we clear  
18 things up and you don't think I'm looking at issues  
19 I'm not looking at -- you didn't have the  
20 opportunity to discuss an equivocal reading with  
21 Mr. Maynard because you weren't given an equivocal  
22 reading; correct?

23 A. That's correct.

24 Q. All right. If there's an equivocal  
25 reading and a patient and/or her family at that

1 statistics are?

2 MR. BDMINISTER: Objection.

3 MS. KOLIS: He says that he's  
4 aware of them.

5 MR. EDMINISTER: Correct.

6 THE WITNESS: In pancreatic  
7 cancers that can be resected without lymphatic  
8 spread that are confined to the pancreas that are  
9 under two centimeters in size, as many as 40  
10 percent of those patients can live five years.

11 Q. What about a bile duct cancer?

12 A. Even higher percent.

13 Q. Okay. Can you tell me what studies or  
14 literature you rely upon in making that assertion?

15 A. Any number of standard textbooks.

16 Q. Can you tell me which textbooks you  
17 relied on for those statistics?

18 A. Schwartz Principles of Surgery,  
19 Sabiston Biological Basis of Modern Surgical  
20 Practice, Cameron's Current Surgical Therapy.

21 Q. Okay. If you are uncertain if there is  
22 a malignancy, do you believe that you have an  
23 obligation to advise the patient that there is  
24 uncertainty as to whether or not there's a  
25 malignancy?

1 point in time does not wish for you to proceed with  
2 a whipple for whatever reason, at that moment if  
3 they chose not to proceed with the surgery,  
4 theoretically -- or not even theoretically --  
5 realistically could you not close the patient, wait  
6 for the final section read and then if it was  
7 confirmed to be a malignancy, go back in and do the  
8 whipple?

9 A. Well, from an oncologic point of view,  
10 you would be worried about contamination of the  
11 operative field with potential tumor cells. I  
12 would be personally worried about leaving a  
13 residual tumor behind in that situation.

14 Q. Doctor, how long does it take to get to  
15 frozen section from final read on pathology,  
16 generally speaking?

17 MR. EDMINISTER: If you know.

18 THE WITNESS: Well, I think this  
19 case took four or five days.

20 Q. We are talking about four or five days  
21 of delay; correct?

22 A. Four or five days of delay, right.

23 Q. So understanding and accepting that at  
24 least at Akron General, you're able to get a final  
25 read in four to five days, my first simple question

1 was, you could close and wait for a final section  
 2 reading and then go back in and do this procedure  
 3 if there was found to be a true malignancy in the  
 4 final reading; correct?  
 5 A. You could. I would not advocate that,  
 6 but --  
 7 Q. Okay. Let's go through this. We might  
 8 as well get everything out on the table. Why  
 9 wouldn't you advocate that if a family did not want  
 10 a person to undergo a surgery such as this and  
 11 wanted to wait for the final read?  
 12 A. Well, first of all, I would never go  
 13 against a family's wishes --  
 14 Q. I understand that.  
 15 A. -- number one. Number two, I would try  
 16 my best to educate the family as to the  
 17 probabilities of a malignancy versus a benign  
 18 tumor.  
 19 Q. Right.  
 20 A. And I would stress to them sometimes we  
 21 just don't know and you have to go ahead and do a  
 22 resection even though on final report it may be  
 23 benign.  
 24 Q. Okay. What I'm asking is this. I'm  
 25 just trying to listen and write, and that's always

1 five. Does that seem accurate?  
 2 A. My note says it measures about five to  
 3 six millimeters in size and was obstructing the  
 4 common bile duct.  
 5 Q. Okay. Five to six milliliters is --  
 6 here. I'm going to give you a piece of paper.  
 7 Don't worry. We're not going to bring out a ruler  
 8 later and say you don't know what five to six  
 9 milliliters is.  
 10 Draw a line approximately five to six  
 11 millimeters.  
 12 A. (Complying. ) Somewhere between here  
 13 and here. (Indicating.)  
 14 Q. Okay. That is a relatively small  
 15 polypoid lesion, isn't it?  
 16 A. That's correct.  
 17 Q. Given that it's a small lesion, if you  
 18 took the entire lesion out and had the pathology  
 19 done on it, do you think it's a high likelihood if  
 20 it was truly cancerous you would have received a  
 21 benign --  
 22 A. A small lesion like that -- I was  
 23 worried we had missed the lesion, or there was an  
 24 additional tumor around that area.  
 25 Q. At what point were you worried you had

1 hard.  
 2 There is no medical standard or no  
 3 medical issue that would prevent you from closing  
 4 and then doing a whipple five to six days later if  
 5 the final came out that it was actually a  
 6 malignancy?  
 7 MR. EDMINISTER: Objection.  
 8 THE WITNESS: No, there is,  
 9 because you may not have done a proper biopsy of  
 10 the lesion. You may have missed the lesion, and  
 11 the lesion can be hidden in these tissues. This  
 12 was a very tiny lesion we were after, but big  
 13 enough -- and she was very, very fortunate that  
 14 this caused obstructivejaundice because that's  
 15 what led to this whole thing.  
 16 Q. Doctor, excuse me. Let's talk about  
 17 the size of this since we're on this issue. This  
 18 particular lesion was five milliliters; am I  
 19 right? You can look.  
 20 A. I don't know the exact size.  
 21 Q. Don't ever trust my --  
 22 A. It was fairly small.  
 23 Q. Well, your office note of 2/21 says  
 24 five to six. I've seen it reported as four to  
 25 five. So I just rounded it and said that it's

1 missed the lesion?  
 2 A. What point was I worried in this case?  
 3 When our initial biopsies came back as benign  
 4 tissue.  
 5 Q. When they came back as benign tissue,  
 6 were you inclined to disbelieve those other areas  
 7 were benign? I'm paraphrasing what I thought I  
 8 heard you say.  
 9 A. I was very concerned -- because a  
 10 biopsy is a superficial sampling -- that there was  
 11 a tumor deeper to our biopsies.  
 12 Q. If you had that concern that there was  
 13 a tumor, as you're phrasing it, "deeper to your  
 14 biopsies" -- I think I know what you mean -- what  
 15 additional areas could you excise to have sent for  
 16 pathology if you were concerned about that?  
 17 A. Well, you try to biopsy around as much  
 18 as you can in other areas.  
 19 Q. And you did, in fact, do some  
 20 additional biopsying, didn't you, at the time of  
 21 the surgery?  
 22 A. Well, I think we were very persistent  
 23 in attempting to delineate exactly what the problem  
 24 was here.  
 25 Q. Right.

1 A. And we sent out one, two, three, four,  
 2 five different samples for frozen section.  
 3 Q. Okay. Do you have a recollection --  
 4 and I'm just asking what you recall. I assume you  
 5 have not reviewed the pathology slides; is that  
 6 right?  
 7 A. No, I have not.  
 8 Q. I have them today. I gave them to  
 9 Michael because I've had them for a while. And if  
 10 you needed to look at them, that would be  
 11 acceptable, of course.  
 12 Do you have a recollection that  
 13 specimen C, which was sent, was a very small  
 14 sample?  
 15 A. I can't recall the size of the frozen  
 16 sections.  
 17 Q. Okay. If you had received a readback  
 18 from the pathologist that indicated defer on two  
 19 basis -- one, there wasn't clear evidence of  
 20 malignancies, and B, that the sample size was  
 21 inadequate -- could you have rebiopsied in that  
 22 approximate same area to obtain additional tissue?  
 23 A. Yes.  
 24 Q. Okay. But that didn't happen in this  
 25 case either? You didn't get that phone call from

1 Q. 00051 or so.  
 2 A. Okay. Here we are. The first thing we  
 3 did was to -- let me just read this right here.  
 4 Q. Doctor, we've got plenty of time. You  
 5 can read whatever you want.  
 6 A. The first thing we did was open the  
 7 duodenum to visualize the ampulla. In my mind, the  
 8 benign tumors are not in the bile duct for the most  
 9 part but in the ampulla region in the bile duct.  
 10 This is the part within the duodenum. So we didn't  
 11 know from the ERCP where this tumor was.  
 12 Q. Let me stop you right now. Now, you  
 13 normally don't do ERCPs; right?  
 14 A. Right.  
 15 Q. What information does an ERCP give you  
 16 about the location of the mass?  
 17 A. Well, it can tell you if it's high  
 18 within the bile duct or low within the bile duct.  
 19 Q. Okay.  
 20 A. So this says distal common bile duct.  
 21 Q. So you assumed that it was where?  
 22 A. Low in the bile duct, but I did not  
 23 know exactly where this was until the time of  
 24 surgery when I could visualize this area.  
 25 Q. Okay.

1 the pathologist; right?  
 2 A. That's correct.  
 3 Q. Okay. Fairenough. Let me ask you  
 4 this hypothetically, since, I guess, it's important  
 5 to know it now. Based upon what I hear you saying,  
 6 if all of these biopsies that were done had come  
 7 back -- is it okay if I say negative instead of  
 8 nonmalignant? Whatever you're comfortable with.  
 9 We'll call them negative -- would you have  
 10 proceeded to do a whipple at that point?  
 11 A. I said in my office notes we would  
 12 biopsy, and if it was benign, we would simply  
 13 excise the tumor.  
 14 Q. Right.  
 15 A. A lot of this depends on where the  
 16 tumor was located, which we could not determine  
 17 from the ERCP. If the tumor -- let me refer to the  
 18 report here.  
 19 Q. That would be fine.  
 20 A. Do we have it?  
 21 Q. Sure. There's an op report. But I  
 22 have one highlighted, if you want it. I know  
 23 there's one in your chart because I got one when  
 24 you answered my subpoena.  
 25 A. Here we are.

1 A. We visualized this area, and the  
 2 ampulla appeared normal. So, therefore, in that  
 3 situation, this tumor was up in the distal portion  
 4 of the bile duct in an area that has a very high  
 5 probability of it being a malignancy.  
 6 So to answer your question, if  
 7 everything had come back negative, what I would  
 8 have done was to walk over to the telephone, call  
 9 Mr. Maynard and say here is the situation. My  
 10 recommendation is that we proceed with surgery  
 11 because we simply cannot 100 percent tell you that  
 12 this is not a cancer.  
 13 Q. You didn't tell Mr. and Mrs. Maynard  
 14 that before the surgery, did you?  
 15 A. I did not know where in the bile duct  
 16 this was.  
 17 Q. I understand.  
 18 A. This could be in the ampulla or the  
 19 distal bile duct. And what I told them, I believe,  
 20 is pretty well recorded in my notes; that if it was  
 21 benign -- I was trying to be very hopeful here this  
 22 was a benign bile duct tumor. "We will excise the  
 23 tumor and close the duodenum."  
 24 Q. Let's say two things today, in fact, we  
 25 know. It was a benign tumor?

<p style="text-align: right;">Page 49</p> <p>1 A. We know there was no cancer.</p> <p>2 Q. Okay. Doctor, is it clear to you as</p> <p>3 you sit here and talk to me today that you never</p> <p>4 discussed with the Maynards the possibility that if</p> <p>5 all of the pathology was benign, that based on</p> <p>6 location, you would still go ahead and do this</p> <p>7 surgery?</p> <p>8 A. Well, as I said, I would discuss it</p> <p>9 with him at the time of operation.</p> <p>10 Q. Okay. But you didn't -- I'm just</p> <p>11 clarifying. You didn't discuss that particular</p> <p>12 suspicion that the ERCP had not defined clearly</p> <p>13 enough for you --</p> <p>14 A. Right.</p> <p>15 Q. -- where it was? And maybe even based</p> <p>16 on that, even if it was benign, you were going to</p> <p>17 do it anyway?</p> <p>18 A. I didn't have my mind made up. I</p> <p>19 didn't know what we would do. They were very, very</p> <p>20 anxious about this. I gave them a straightforward</p> <p>21 analysis about what we would do in a</p> <p>22 straightforward fashion.</p> <p>23 Q. Prior to going in for this surgery, did</p> <p>24 you explain to Mrs. Maynard the morbidity that</p> <p>25 follows a whipple procedure?</p>	<p style="text-align: right;">Page 51</p> <p>1 A. According to his note, she had</p> <p>2 gastroparesis.</p> <p>3 Q. Do you know what the cause of that</p> <p>4 gastroparesis was?</p> <p>5 A. I'm not sure anyone knows what the</p> <p>6 cause was.</p> <p>7 Q. Recently I provided to your counsel the</p> <p>8 final discharge summaries from the Cleveland</p> <p>9 Clinic. Have you seen those? Maybe Mike hasn't</p> <p>10 even seen them. He gets a lot of mail.</p> <p>11 MR. EDMINISTER: I think what</p> <p>12 you're referring to has just arrived within days.</p> <p>13 MS. KOLIS: It did. That's why</p> <p>14 I'm asking if he got to see it.</p> <p>15 MR. EDMINISTER: So I think he</p> <p>16 only had an opportunity to briefly review those,</p> <p>17 and I think what you're reviewing is the discharge</p> <p>18 dates of 2/14/97 and 3/10/97.</p> <p>19 MS. KOLIS: Right. It's the</p> <p>20 3/10/97 following -- he doesn't have to read it. I</p> <p>21 was just asking if he had an opportunity to see it</p> <p>22 as of yet.</p> <p>23 Q. When did you receive the final section</p> <p>24 reads on this surgery? Final section is not the</p> <p>25 right phrase for it, but --</p>
<p style="text-align: right;">Page 50</p> <p>1 A. I'm certain I did.</p> <p>2 Q. Okay. You've reviewed the Cleveland</p> <p>3 Clinic records; correct?</p> <p>4 A. Yes.</p> <p>5 Q. And this is not a very lawyerly</p> <p>6 question, but you were not surprised that she has</p> <p>7 had to undergo the surgery that she did with</p> <p>8 Dr. Ponski?</p> <p>9 A. I've not seen Mrs. Maynard since we had</p> <p>10 that conference, and at that time -- according to</p> <p>11 Dr. Rehmus who was following her -- she seemed to</p> <p>12 be doing quite well.</p> <p>13 Q. That wasn't the question I asked. In</p> <p>14 terms of your knowledge, the subsequent morbidity</p> <p>15 that follows logically from doing this rather large</p> <p>16 operation, you're not surprised that she has</p> <p>17 developed a problem which required yet another</p> <p>18 corrective surgery?</p> <p>19 A. To the contrary, I'm very surprised.</p> <p>20 MR. EDMINISTER: Objection.</p> <p>21 Q. You're surprised because you think</p> <p>22 that's uncommon following a whipple?</p> <p>23 A. It is uncommon.</p> <p>24 Q. Do you know why she had to have the</p> <p>25 surgery with Dr. Ponski?</p>	<p style="text-align: right;">Page 52</p> <p>1 A. I don't know when I received it. It</p> <p>2 was -- according to the note, it was completed on</p> <p>3 2/27/96.</p> <p>4 Q. Okay. Did you see the actual printed</p> <p>5 copy of the pathologist's analysis at that time?</p> <p>6 MR. EDMINISTER: You mean as</p> <p>7 opposed to on the computer?</p> <p>8 Q. As opposed to being told what the final</p> <p>9 was. Did they actually forward you a copy of the</p> <p>10 pathology?</p> <p>11 A. It's right here, surgical pathology</p> <p>12 report.</p> <p>13 Q. Fine. Was there anything in the</p> <p>14 description by Dr. Mucitelli -- I can never</p> <p>15 pronounce her name -- that caused you concern that,</p> <p>16 in fact, this was not really a cancer?</p> <p>17 A. No.</p> <p>18 Q. All right. When did you learn that</p> <p>19 there was a possibility that there had been a</p> <p>20 misread surgically?</p> <p>21 A. Let me see if I dictated it.</p> <p>22 Q. That's fine.</p> <p>23 A. In my note of 8/17/96, I say I was</p> <p>24 informed last week.</p> <p>25 Q. Okay. Do you recall how you were</p>

1 informed? It says by Scott Shorten.  
 2 A. Right.  
 3 Q. Dr. Shorten is whom?  
 4 A. He's a pathologist.  
 5 Q. And do you know if he -- well, you  
 6 might not know, but is he -- does he work for Akron  
 7 Pathology?  
 8 A. I don't know who he works for.  
 9 Q. He's in an office at the hospital?  
 10 A. He works there.  
 11 Q. Okay. How did he let you know what  
 12 happened?  
 13 A. I think he called me, as I remember.  
 14 Q. All right. And at that point in time,  
 15 he told you that he had discovered a misdiagnosis  
 16 in the case of Dorothy Maynard?  
 17 A. That's what I see here.  
 18 Q. Okay. At that time, he let you know  
 19 there was an internal review as well as one  
 20 external review at the Cleveland Clinic suggesting  
 21 that is what it says. Your note says, "Both their  
 22 own internal review as well as the outside review  
 23 at the Cleveland Clinic suggests strongly there was  
 24 no evidence of cancer in the resected head." Is  
 25 that what you meant to say?

1 A. Well, in the bile duct, within the head  
 2 of the pancreas, so --  
 3 Q. Okay. I just wanted to make sure we  
 4 were -- that this information meant what I thought  
 5 it meant. That wasn't just a different way of  
 6 stating it; right?  
 7 A. (No response.)  
 8 Q. All right. You then discuss this with  
 9 Dr. Rehms; correct?  
 10 A. I did.  
 11 Q. And the two of you -- at least your  
 12 note indicates -- decided to have a meeting with  
 13 Mr. and Mrs. Maynard; right?  
 14 A. Correct.  
 15 Q. Okay. And, in fact -- now, this note  
 16 is dictated 8/27/96, and it says, "There's a third  
 17 outside opinion being sought." Am I right that  
 18 that's what it says?  
 19 A. Yes.  
 20 Q. Okay. Did you know that that was  
 21 already out for review at the Mayo Clinic?  
 22 A. I believe I asked Dr. Shorten to send  
 23 it out to the Mayo Clinic.  
 24 Q. You think you suggested that?  
 25 A. I'm fairly certain I did.

1 Q. Do you know pathologists at the Mayo  
 2 Clinic?  
 3 A. No, I didn't, but I wanted  
 4 experienced --  
 5 Q. You wanted a good facility that you  
 6 would feel confident about the read?  
 7 A. I wanted to be certain this is what  
 8 this was.  
 9 Q. Okay. To make it clear to you that  
 10 Dorothy Maynard never needed chemotherapy or  
 11 radiation treatment?  
 12 MR. EDMINISTER: Objection.  
 13 THE WITNESS: On the basis of the  
 14 final pathology from the operation --  
 15 Q. Right.  
 16 A. -- we were dealing with an invasive  
 17 bile duct tumor. I'm not an expert in chemotherapy  
 18 or radiation.  
 19 Q. All right. Well, let me ask this. At  
 20 the time that you dictated this note back in August  
 21 of 1996, basically what you say is as follows. And  
 22 I want to talk to you about what you  
 23 contemporaneously wrote with your discovery at the  
 24 situation.  
 25 "I'm extremely upset with this.

1 Review of everything shows that indeed the surgical  
 2 indications were clearly there, i.e., the  
 3 intraluminal obstruction of the bile duct, frozen  
 4 section, report positive for adenocarcinoma." I'm  
 5 reading what you put in your chart; correct?  
 6 A. Right.  
 7 Q. And that tells me you believe that the  
 8 surgical indications were the obstruction and the  
 9 report being positive; right?  
 10 A. Well, the indications for the operation  
 11 were the laboratory tests, the ERCP, the CAT scan.  
 12 The whole picture pointed to a tumor, not just what  
 13 I said here.  
 14 Q. Then you went on to write, "However,  
 15 the upsetting factor here is that this patient  
 16 suffered a great deal due to her chemotherapy and  
 17 radiation."  
 18 A. Correct.  
 19 Q. All right. Did Dr. Rehms tell you she  
 20 was upset because if she had known the correct  
 21 pathology, she would not have had the patient  
 22 undergo chemo and radiation?  
 23 A. I can't remember most certainly what  
 24 Dr. Rehms' comments were.  
 25 Q. To be fair for the record, are you

<p style="text-align: right;">Page 57</p> <p>1 telling me you don't remember her saying that?</p> <p>2 A. She might very well have, but a lot of</p> <p>3 people were very upset with this. I can't remember</p> <p>4 her specific comments.</p> <p>5 Q. Doctor, let me ask you a question. Why</p> <p>6 was everyone so upset if all this was indicated</p> <p>7 anyway, irrespective of the misread?</p> <p>8 A. As I said, I was upset here because she</p> <p>9 had had complications from the chemotherapy and the</p> <p>10 radiation. She had been hospitalized several times</p> <p>11 for this. I had seen her once or twice actually in</p> <p>12 the hospital.</p> <p>13 Q. So that's what you were upset about?</p> <p>14 A. I was upset that she had suffered from</p> <p>15 the chemotherapy and the radiation.</p> <p>16 Q. You weren't upset because you thought</p> <p>17 that she had received needless medical treatment</p> <p>18 for a condition she didn't have?</p> <p>19 MR. EDMINISTER: Objection.</p> <p>20 THE WITNESS: That's not my</p> <p>21 decision on the chemotherapy and the radiation.</p> <p>22 Q. I know it's not your decision. That</p> <p>23 wasn't what I asked you. But there was a meeting</p> <p>24 approximately August 30. I don't see a note, but</p> <p>25 does that sound right to you, a couple days</p>	<p style="text-align: right;">Page 59</p> <p>1 A. I was surprised by that.</p> <p>2 Q. This has nothing to do with the case,</p> <p>3 believe me, but it was your understanding that</p> <p>4 Dr. Rehmus was going to tell Dorothy -- tell her to</p> <p>5 come down with her husband for a meeting?</p> <p>6 A. Right.</p> <p>7 Q. Did you subsequently learn that that</p> <p>8 didn't happen; that Dorothy just happened to be</p> <p>9 there for an appointment?</p> <p>10 A. I don't believe I learned anything</p> <p>11 about that.</p> <p>12 Q. That's fine. Had this group of doctors</p> <p>13 that we've just discussed -- Dr. Fromm, Dr. Rehmus,</p> <p>14 yourself, Dr. Button -- had you folks had a meeting</p> <p>15 prior to meeting with Mrs. Maynard?</p> <p>16 A. I don't believe we had a meeting, no.</p> <p>17 Q. As you recall it, were you told that</p> <p>18 there was going to be a meeting at a certain day at</p> <p>19 a certain time at the hospital, or did you just</p> <p>20 happen to get called to that meeting?</p> <p>21 MR. EDMINISTER: To the meeting on</p> <p>22 the 30th with the patient?</p> <p>23 MS. KOLIS: Right.</p> <p>24 THE WITNESS: I think the meeting</p> <p>25 with the patient was at my suggestion and</p>
<p style="text-align: right;">Page 58</p> <p>1 after --</p> <p>2 MR. EDMINISTER: What kind of</p> <p>3 meeting?</p> <p>4 MS. KOLIS: With Mrs. Maynard.</p> <p>5 MR. EDMINISTER: Between</p> <p>6 Dr. Guyton and Mrs. Maynard?</p> <p>7 Q. You were in attendance at a meeting</p> <p>8 with Mrs. Maynard; correct?</p> <p>9 A. At Akron General Hospital with</p> <p>10 Dr. Rehmus and Dr. Fromm from radiation and, I</p> <p>11 believe, Dr. Button.</p> <p>12 Q. Who is he?</p> <p>13 A. The chief of pathology.</p> <p>14 Q. So the chief came, not Dr. Shorten?</p> <p>15 A. That's correct.</p> <p>16 Q. Okay. Because there's another note we</p> <p>17 can refer to that Dr. Rehmus wrote. That's how I</p> <p>18 knew who was there. You didn't dictate a note</p> <p>19 about the meeting; right?</p> <p>20 A. (Witness shakes head from side to</p> <p>21 side.)</p> <p>22 Q. Dorothy was there without her husband,</p> <p>23 wasn't she?</p> <p>24 A. Yes.</p> <p>25 Q. You were surprised by that?</p>	<p style="text-align: right;">Page 60</p> <p>1 Dr. Rehmus' suggestion. We set this up to get all</p> <p>2 of her doctors involved and explain to her what had</p> <p>3 happened.</p> <p>4 Q. Okay. I agree that that's what the</p> <p>5 notes reflect, of course. But, I guess, what my</p> <p>6 question was, do you remember if -- because there's</p> <p>7 nothing in your chart that a meeting was set up.</p> <p>8 I'm asking if you remember happening to get called</p> <p>9 to a meeting saying, gee, Mrs. Maynard is here.</p> <p>10 Let's meet with her.</p> <p>11 A. No. I'm sure it would have been</p> <p>12 scheduled.</p> <p>13 Q. What if I told you it wasn't scheduled?</p> <p>14 MR. EDMINISTER: Objection.</p> <p>15 MS. KOLIS: I'll withdraw that.</p> <p>16 Q. Do you recall what you told</p> <p>17 Mrs. Maynard at this meeting, if anything?</p> <p>18 A. As I remember the meeting, I didn't say</p> <p>19 much. The others did most of the talking.</p> <p>20 Q. Well, did Mrs. Maynard ask you any</p> <p>21 questions that you can recall today at that</p> <p>22 meeting?</p> <p>23 A. No. I can't recall that she said a</p> <p>24 word. She was very shocked and surprised.</p> <p>25 Q. You say other people did the talking;</p>

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1 right?

2 A. Right.

3 Q. Do you recall Mrs. Maynard asking you  
4 if she could have avoided the surgery?

5 A. No, I don't think she did.

6 Q. Have you seen Ester Rehmus' chart?

7 A. No.

8 MS. KOLIS: Okay. I will be  
9 deposing Esther Rehmus in the not too distant  
10 future, so I'm going to ask you to assume this is  
11 what she has written. In fact, it is what I have  
12 in writing. Mike may refute it.

13 MR. EDMINISTER: What are you  
14 referring to?

15 MS. KOLIS: It's Bates stamp  
16 00028.

17 MR. EDMINISTER: I don't have the  
18 Bates stamp. That doesn't help me.

19 MS. KOLIS: I sent you guys all  
20 these records. That's why I --

21 MR. EDMINISTER: Oh, you did.

22 MS. BARKER: It's a document  
23 dated --

24 MR. EDMINISTER: With Bates stamps  
25 on it?

1 surgery would have been the same whether or not the  
2 frozen section would have been read as equivocal  
3 for cancer."

4 Does that refresh your memory as to  
5 whether you discussed this issue with her at that  
6 meeting?

7 A. I did not say a lot at that meeting, as  
8 I remember, and she did not ask me many questions.  
9 But equivocal here should be replaced by positive  
10 for cancer because that's what the frozen section  
11 was.

12 Q. All right. Yeah, I don't want to  
13 dispute what she wrote. I'm asking if that at all  
14 helped refresh your memory that you made some  
15 representations to Mrs. Maynard at that meeting or  
16 not.

17 A. I might have explained to her,  
18 Mrs. Maynard, given everything here, we would have  
19 done the same operation, but I can't recall that  
20 specifically.

21 Q. Okay. Had you seen the corrected  
22 pathology readings as well as the outside  
23 evaluations prior to that meeting?

24 A. Let's see. That meeting was 8/30?

25 Q. Yeah. I can assure you it was August

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1 MS. KOLIS: Yes. I got everything  
2 from records depositions and copied it in that  
3 order and gave it to everyone.

4 MR. EDMINISTER: What are you  
5 looking at?

6 MS. KOLIS: It is in Dr. Rehmus'  
7 dictated notes dated 8/30/96; okay? It's close to  
8 the bottom of the page, the impression.

9 Q. We'll start close to the bottom  
10 one-third where it says "Impression. No evidence  
11 of cancer. I discussed this with her at length and  
12 again in the presence of Dr. Guyton, Fromm and  
13 Button. We all assured her that her surgery would  
14 have been the same whether or not the frozen  
15 section would have been read as equivocal for  
16 cancer."

17 Does that refresh your memory of what  
18 was told to her at that meeting?

19 A. I'm sorry. I wasn't looking at that.

20 Q. I'm sorry. It's approximately at the  
21 bottom one-third of the page.

22 A. And what did you read here? I did read  
23 this second paragraph from the bottom here.

24 Q. Yeah. The indication from Dr. Rehmus  
25 in her note is that, "We all assured her that her

1 30.

2 A. I'm sorry. August 28 is when the  
3 report came back from Mayo Clinic.

4 Q. I'm asking you if you know if you saw  
5 their pathology and interpretations prior to that  
6 meeting?

7 A. I can't remember.

8 Q. You don't know?

9 A. I can't remember.

10 Q. If Dr. Rehmus testifies that had she  
11 had the correct final reading, Mrs. Maynard would  
12 not have had to have undergone chemotherapy and  
13 radiation, will you personally be disputing that at  
14 trial?

15 MR. EDMINISTER: Objection. He's  
16 told you he's not an expert in that field. He has  
17 no opinion.

18 Q. I just thought I would ask. Let's talk  
19 a little bit more about the actual operation that  
20 you did on Dorothy. Why did you do a vagotomy?

21 A. So that there's no ulceration that  
22 forms between the stomach and the intestine.

23 Q. Okay. Let's talk about that. Under  
24 whose training did you learn you should do a  
25 vagotomy as part of a whipple?



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1 A. Well, Dr. S. Arthur Localio was the  
2 professor of surgery.  
3 Q. Was that at NYU?  
4 A. That's correct.  
5 Q. Okay. Have you read literature  
6 subsequent to graduation from NYU's program that  
7 indicates that a vagotomy is not a good idea?  
8 A. There have been papers published that  
9 raise the issue of vagotomy. However, in this  
10 particular case, we were dealing with a bile duct  
11 cancer which has a much longer survival than the  
12 more common pancreatic cancer.  
13 The reason there's an issue with the  
14 vagotomy and hepatic cancer is most people don't  
15 live that long. Bile duct cancer, on the other  
16 hand, the longevity is much greater.  
17 Q. Okay. Once again, the reason you did  
18 the vagotomy was to -- I don't like to use the  
19 phrase "head off at the pass," but to avoid the  
20 potential complication of ulcerations; is that  
21 right?  
22 A. That's correct.  
23 Q. Okay. Do all people who have a whipple  
24 without a vagotomy get ulcers?  
25 A. I'm certain there are a number that

1 Q. Okay. Did you discuss this case with  
2 Dr. Mucitelli after you found out the pathology  
3 readings were wrong?  
4 MR. EDMINISTER: Who?  
5 MS. KOLIS: Diane Mucitelli. I  
6 can never pronounce her name.  
7 THE WITNESS: No, I did not.  
8 Q. Okay. Had you worked with her as a  
9 pathologist before in your surgery cases?  
10 A. Yes, I have.  
11 Q. Are you still working with her?  
12 A. No, I'm not.  
13 Q. She's no longer at Akron General or at  
14 least temporarily perhaps; is that right?  
15 MR. EDMINISTER: Objection. I'm  
16 not sure he knows what Dr. Mucitelli's status is.  
17 Q. I was just curious if you did know.  
18 A. I no longer work with her.  
19 Q. And why is that?  
20 A. I haven't seen her.  
21 Q. Okay. It isn't that you requested not  
22 to work with her?  
23 A. No.  
24 Q. Okay. Are any of the opinions which  
25 you are rendering today regarding what you would

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1 don't.  
2 Q. When was the last time you reviewed the  
3 literature as to what that incidence of  
4 complication really is?  
5 A. I can't give you an incidence.  
6 Q. Okay. Let me just look through a  
7 couple of note cards I have. Do you have any  
8 recollection from your own office notes or your own  
9 memory of Mrs. Maynard's general state of health at  
10 the time you examined her other than the problem  
11 with the obstructive jaundice?  
12 A. You mean when I initially saw her?  
13 Q. Yes.  
14 A. She had some weight loss, but other  
15 than that, it seemed to be --  
16 Q. It seemed to be a person in relatively  
17 good health?  
18 A. Pretty good health.  
19 Q. Do you happen to know -- of course,  
20 preoperatively -- that there was an anesthesia  
21 assessment done for your patient; right?  
22 A. Well, I have not seen one, but --  
23 Q. Okay. It's been a while. As we sit  
24 here today, you don't know her ASA, how they --  
25 A. No, I don't.

1 have done had you had the correct readings on the  
2 basis of or in an effort to assist a colleague? Do  
3 you know what I'm asking you?  
4 A. No.  
5 Q. I don't usually ask rude questions, but  
6 sometimes I feel like I have to. I'm asking you if  
7 any of the opinions that you are rendering today  
8 about what you would have done had you known the  
9 correct reading, are any of those opinions based on  
10 a desire on your part to help the pathologist who  
11 misread the pathology in this case?  
12 A. No.  
13 MS. KOLIS: Okay. Doctor, I don't  
14 have any further questions for you, and I  
15 appreciate the time that you gave me today.  
16 THE WITNESS: Okay.  
17 MS. BARKER: No questions. Thank  
18 you, Doctor.  
19 MR. EDMINISTER: He'll read and  
20 sign. Thanks.  
21 MS. KOLIS: That's fine.  
22 (Thereupon, deposition concluded at 2:24 p.m.)  
23 -----  
24  
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1 CERTIFICATE  
2 STATE OF OHIO )  
3 )  
4 COUNTY OF SUMMIT )  
5 I, Amie R. First, Registered  
6 Professional Reporter and Notary Public in and for  
7 the County of Summit and State of Ohio, do hereby  
8 certify that DANIEL P. GUYTON, M.D. was by me first  
9 duly sworn to testify the truth, the whole truth,  
10 and nothing but the truth, and that the above  
11 deposition, was recorded stenographically by me and  
12 reduced to typewriting by me.

13  
14 I FURTHER CERTIFY that the  
15 foregoing transcript of the said deposition is a  
16 true and correct transcript of the testimony given  
17 by said witness at the time and place specified  
18 hereinbefore.

19  
20 I FURTHER CERTIFY that I am not a  
21 relative or employee or attorney or counsel of any  
22 of the parties, nor a relative or employee of such  
23 attorney or counsel, financially interested  
24 directly or indirectly in this action.

25

1 CROSS EXAMINATION OF DANIEL P. GTJYTON,  
2 M.D.  
3 BY MS. KOLIS..... 3 6

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1 IN WITNESS WHEREOF, I have  
2 hereunto set my hand and seal of office at Akron,  
3 Ohio, this day of , 1997.

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11 Amie R. First,  
12 Registered Professional Reporter  
13 and Notary Public in and for the  
14 State of Ohio.

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17 My notary commission expires August 21, 1997.

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