

ANGELO PRIVITERA, Exec., etc.,
vs. CLEVELAND CLINIC FOUNDATION.

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Deposition of DR. GOODNOUGH
March 15, 1999

IN THE COURT OF COMMON PLEAS
CIVIL DIVISION
CUYAHOGA COUNTY, OHIO

1

ANGELO PRIVITERA, Exec., etc.,)

Plaintiffs,)

vs.)

THE CLEVELAND CLINIC FOUNDATION,)

Defendant.)

No. 321436

Evidence Deposition of DR. LAWRENCE T. GOODNOUGH
taken on behalf of the Plaintiffs on
March 15, 1999

INDEX

Questions By:

Page

MR. LANCIONE

4

Reporter: Sara Alice Masuga, CSR, RPR
No. 084-002993

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Deposition of DR. GOODNOUGH
March 15, 1999

Page 2	Page 4
<p>1 IN THE COURT OF COMMON PLEAS 2 CIVIL DIVISION 3 CUYAHOGA COUNTY, OHIO</p> <p>4 ANGELO PRIVITERA, Exec., etc., 5 Plaintiffs, 6 vs. 7 THE CLEVELAND CLINIC FOUNDATION, 8 Defendant.</p> <p>9 APPEARANCES:</p> <p>10 For Plaintiff: Latholite & Steen 11 by John G. Latholite, Esq.</p> <p>12 For Defendant: Bonazzi, Switzer, 13 Murphy & Polito Co., L.P.A. 14 by William D. Bonazzi, Esq.</p> <p>15 THE DEPOSITION of DR. LAWRENCE T. GOODNOUGH 16 was taken on March 15, 1999, between the hours of 17 eight o'clock in the forenoon and six o'clock in the 18 afternoon of that day in the County of St. Louis, 19 State of Missouri, before me, Sara Alice Mearns, 20 Commissioner, a Notary Public, Certified Shorthand 21 Reporter, in a certain cause now pending in the 22 Court of Common Pleas, Civil Division, Cuyahoga 23 County, Ohio, wherein ANGELO PRIVITERA, Exec., etc., 24 are the Plaintiffs and THE CLEVELAND CLINIC 25 FOUNDATION is the Defendant, on the part of the</p>	<p>1 CROSS-EXAMINATION</p> <p>2 BY MR. LANCIONE:</p> <p>3</p> <p>4 Q Would you state your full name for the 5 record, please?</p> <p>6 A. Lawrence Tim Goodnough.</p> <p>7 Q. And where do you reside, Dr. Goodnough?</p> <p>8 A. I'm in Town and Country, Missouri</p> <p>9 Q And how long have you lived there?</p> <p>10 A. Since August of 1992.</p> <p>11 Q Are you employed at the present time?</p> <p>12 A. Yes,</p> <p>13 Q. By whom?</p> <p>14 A. Washington University.</p> <p>15 Q. And that has been since when?</p> <p>16 A. August of 1992.</p> <p>17 Q. Prior to that time, you were in 18 Cleveland, were you?</p> <p>19 A. Yes,</p> <p>20 Q. How long were you there?</p> <p>21 A. I was there from '78 to '92.</p> <p>22 Q. Can you tell me what your duties and 23 responsibilities were very generally from 1978 until 24 you left Cleveland?</p> <p>25 A. From '78 to '81, I was finishing my</p>
Page 3	Page 5
<p>1 Plaintiffs, pursuant to commission and notice.</p> <p>2</p> <p>3</p> <p>4 EXHIBIT INDEX</p> <p>5</p> <p>6 Exhibit Page</p> <p>7</p> <p>8 Plaintiff's Exhibit No. 1.....12</p> <p>9</p> <p>10</p> <p>11</p> <p>12 DR. LAWRENCE T. GOODNOUGH produced, sworn, and 13 examined as a witness on behalf of the Plaintiff 14 testified and deposed as follows:</p> <p>15</p> <p>16</p> <p>17</p> <p>18</p> <p>19</p> <p>20</p> <p>21</p> <p>22</p> <p>23</p> <p>24</p> <p>25</p>	<p>1 training in hematology and oncology and from '81 2 to '92 I was on the faculty at Case Western 3 Reserve.</p> <p>4 Q. And what did your practice consist of?</p> <p>5 A. I attended on general medicine. I 6 attended on -- in hematology, oncology. I had a 7 practice in hematology and oncology and I became 8 associate director of the blood bank during my term 9 there.</p> <p>10 Q. In those various capacities starting with 11 your duties in medicine, tell me what you did, 12 actually what you were doing in medicine</p> <p>13 A. Well, seeing patients, taking care of 14 patients, inpatients, outpatients, consulting on 15 patients, teaching.</p> <p>16 Q. What kinds of cases?</p> <p>17 A. It would run the gamut. On general 18 medicine, it would include all internal medicine for 19 whatever reason people were in the hospital. In my 20 hematology and oncology practice, it would be 21 ranging from anemia to complex hematology cases such 22 as DIC or TTP. in oncology, it would range from 23 leukemia and bone marrow transplantation to solid 24 organ oncology such as head and neck cancer, breast 25 cancer.</p>

ANGELO PRIVITERA, Exec., etc.,
vs. CLEVELAND CLINIC FOUNDATION

Multi-Page™

Deposition of DR. GOODNOUGH
March 15, 1999

Page 6

1 Q What about the blood bank?
2 A I - -
3 Q Tell me what your duties were there.
4 A I was medical director of the apheresis
5 unit where we collected platelets to support the
6 transplant program. We had an autologous blood
7 donation program. I ran the therapeutic apheresis
8 program and then, as associate director of the blood
9 bank, I also helped oversee the blood bank
10 laboratory.
11 Q For the autologous donation program, tell
12 me how that was run. I mean, how soon before
13 surgery do patients contribute their own blood and
14 what are the conditions, how are they treated during
15 that period, if there is any standard for that?
16 A Well, the program took off in the mid
17 1980's, in particular from '85 through 1990, where
18 increasingly for surgeries like orthopedic surgery
19 and urologic surgery patients would elect to
20 predonate their blood beginning as early as 42 days
21 before surgery, which is the maximum period of time
22 that you can store liquid blood. More commonly I
23 would estimate four weeks before surgery predonating
24 a unit a week was the general practice,
25 Q. Were patients who were reasonably healthy

Page 7

1 otherwise given any sort of a program of vitamins or
2 supplemental intake of any products?
3 A. Well, they were encouraged to take oral
4 iron during the period of blood donation,
5 Q Was there any monitoring of the patient's
6 coagulation profile or CBC's?
7 A. We would monitor the blood count, the
8 CBC, of the patients who were predonating their
9 autologous blood. Every time they came in, we had a
10 certain standard for a minimum hematocrit for blood
11 donation,
12 Q. What was that?
13 A. Thirty-three percent.
14 Q How long before the surgery went did
15 they -- were they to stop donating their blood?
16 A. It would depend on how they were
17 scheduled, how much time we had before surgery. If
18 the surgeon wanted three units and he gave us three
19 weeks, then we would make sure we got it in a
20 minimum of 72 hours before surgery was the standard
21 for the last donation.
22 Q. Do you know what the program consisted of
23 at The Cleveland Clinic in 1995, the autologous
24 blood program?
25 A. I don't, but the standards I just

Page 8

1 referred to were AABB standards and they were fairly
2 widely followed.
3 Q. What is -- What has been your practice
4 here in St. Louis?
5 A. Here I have been -- I am director of
6 transfusion services, so I oversee the donor center,
7 the apheresis unit, the stem cell apheresis program
8 for bone marrow transplantation. I see patients in
9 consultation, again, with complex hematologic
10 problems that usually require me to intervene with
11 therapeutic apheresis and I attend on the bone
12 marrow transplant service,
13 Q. Have you engaged in any medical legal
14 consultations during your career?
15 A, Yea.
16 Q. Tell me when that first began.
17 A, I don't know what the first year would
18 be. I would suspect sometime in the mid 1980's.
19 Q And what subjects, what specialties?
20 A. Complex hematology cases, oncology cases.
21 and blood bank cases.
22 Q. When you were in Cleveland, did you do
23 any consulting for the Jacobsen, Maynard firm?
24 A. When I was asked.
25 Q. How often was that?

Page 9

1 A. I don't know. I would guess -- I would
2 estimate perhaps a half a dozen cases over the
3 years.
4 Q. Were you insured by PIE at any time
5 during that period?
6 A. Yes, I was when I was on the faculty at
7 Case Western Reserve.
8 MR. BONEZZI: Objection and
9 move to strike.
10 Q. That would have been when, during what
11 years?
12 A. As best as I can recollect, it was during
13 the entire period of time, but there may have been
14 other carriers, I didn't pay too much attention to
15 those things.
16 Q Okay. Did you participate in any peer
17 review activities on behalf of PIE or the Jacobsen,
18 Maynard firm?
19 A. I remember perhaps once or twice where
20 they asked me to come in and participate in a peer
21 review session.
22 MR. BONEZZI: Objection and
23 move to strike.
24 Q. And how many --
25 A. But I don't know that Jacobsen, Maynard

ANGELO PRIVITERA, Exec., etc.,
vs. CLEVELAND CLINIC FOUNDATION.

Multi-Page™

Deposition of DR. GOODNOUGH
March 15, 1999

Page 10

1 asked me to. It may have been **PIE** itself.
2 Q. Okay. How many cases **did** you say that
3 you reviewed or consulted on **for** Jacobsen. Maynard?
4 You said approximately half a **dozen**?
5 A. I lose **track** of how many cases. I can
6 think of a half a dozen cases where I put in a lot
7 of time in terms of depositions and perhaps even
8 trial testimony. I may have been asked to review a
9 few more than that that nothing ever came of those
10 cases.
11 Q. Who were some of the lawyers that you
12 worked with there?
13 A. With Jacobsen, Maynard?
14 Q. Yes.
15 A. It would be Bill Bonezzi, primarily, and
16 his associates.
17 Q. And what **kinds** of cases were those?
18 A. Complex hematology cases, oncology cases,
19 perhaps a blood bank case. I don't remember,
20 Q. Do you remember any particular case with
21 any particular issues in any of the cases?
22 A. I remember one case where I -- it came to
23 trial testimony.
24 Q. What kind of a case **was** that?
25 A. It was a case of TTP.

Page 11

1 Q. What's that?
2 A. Thrombotic thrombocytopenic purpura.
3 Q. And what was the issue in that case: do
4 you recall?
5 A. It was an issue of -- Well, the
6 plaintiff's case **was** that the hematologist
7 mismanaged the TTP, so I agreed to defend the
8 hematologist.
9 Q. And **what** happened to the patient?
10 A. The patient died.
11 MK. BONEZZI: Off the
12 record, please.
13 (At this point, an
14 off-the-record
15 discussion **was** had.)
16 Q. When **did** you first become involved in
17 this case? Do you have any records **that** show that?
18 Do you have any letters --
19 A. I **h** i m y --
20 Q. -- from Mr. Bonezzi?
21 A. I have my correspondence.
22 Q. Okay.
23 A. I **h** a v e to refer to the records to
24 remember.
25 Q. Sure, go ahead.

Page 12

1 A. Oh, here we go. Thanks. So, it would
2 have been about June of 9998.
3 Q. Can I see what you're referring to? **Is**
4 this your file?
5 A. Yes.
6 Q. From your file?
7 A. Yes.
8 (At this point, Plaintiff's
9 Exhibit No. 1 was marked
10 for identification.)
11 Q. Dr. Goodnough, I'm going to hand you
12 Plaintiff's Deposition Exhibit Number One, a letter
13 from Mr. Bonezzi consisting of three typewritten
14 pages, and ask you to identify **that** for me.
15 A. It's a letter to me from Bill on --
16 Mr. Bonezzi on the Privitera vs. Cleveland Clinic
17 case.
18 Q. Dated?
19 A. June 15, 1998.
20 Q. Okay. Are you going to be testifying on
21 standards of care for anesthesiologists or
22 anethetists in this case?
23 MR. BONEZZI: NO.
24 A. No.
25 Q. Are you going to be testifying as to the

Page 13

1 standards of care with respect to --
2 (At this point, there **was**
3 a short interruption.)
4 Q. -- orthopedic surgery or neurosurgery or
5 any surgeon's activities **that** deal **with** scoliosis
6 surgery?
7 A. No.
8 Q. **Are** your opinions going to be limited to
9 the medical specialty of hematology?
10 A. No.
11 Q. What other medical specialties or
12 subjects will you be venturing opinions on?
13 A. Transfusion medicine.
14 Q. What does -- Define transfusion medicine
15 for me.
16 A. Transfusion medicine is a clinical
17 discipline of-- in which there are clinical aspects
18 **and** laboratory aspects related to the overall **field**
19 of **hematology, coagulation, and blood banking.**
20 Q. Okay. In your report -- Do you have a
21 copy of that to **take** a look at?
22 A. (No response.)
23 Q. You say in the last paragraph in your
24 opinion the physicians Dr. Schubert, Dr. Kalhan,
25 Dr. Dews, and Dr. Ebrahim did not cause or

ANGELO PRIVITERA, Exec., etc.,
vs. CLEVELAND CLINIC FOUNDATION.

Multi-Page™

Deposition of DR. GOODNOUGH
March 15, 1999

Page 14

1 contribute to the abnormalities of tissue anoxia,
2 DIC or metabolic disturbances. Do you include in
3 there the other anesthesia delivery people such as
4 residents or C.R.N.A.'s?
5 A. Yes.
6 Q. Okay. So, what is your opinion as to the
7 cause of death?
8 A. In my opinion, Ms. Privitera died of
9 disseminated intravascular coagulation
10 Q. And when did this condition arise for the
11 first time?
12 A. Well, may I refer to the medical
13 records?
14 Q. Sure.
15 A. As best I can determine, the DIC
16 developed as a complication of this surgical case on
17 or around 19:30.
18 Q. 7:30 p.m.?
19 A. Correct., Or perhaps shortly thereafter.
20 Q. Well, how long thereafter would you say
21 it could have also happened?
22 A. Sometime over the next hour after that.
23 Sometime between 7:30 and 8:30.
24 Q. Okay, Now, what are the causes, just
25 generally speaking, of DIC?

Page 15

1 A. It's -- DIC is an aberration of the
2 coagulation pathway caused by a trigger of either
3 the intrinsic or extrinsic pathway to set off a
4 cascade of fibrin formation diffusely
5 intravascularly. So, it's some kind of a trigger.
6 Q. So, it separates out the fibrin from the
7 fibrinogen?
8 A. It causes the fibrinogen to be converted
9 to fibrin,
10 Q. In the literature, I find that they refer
11 to shock sometimes its a cause of DIC developing.
12 A. It can be,
13 Q. Is that something you understand to be
14 true?
15 A. It can be,
16 Q. How does that happen?
17 A. In a trauma case, for example, shock can
18 lead to DIC if you have tissue anoxia, inadequate
19 delivery of oxygen to the tissue, or something
20 plugging up the tissue.
21 Q. So, a lack of oxygen to tissues can be
22 that triggering mechanism to cause the coagulation
23 cascade?
24 A. It can.
25 Q. Would other disease states be capable of

Page 16

1 triggering that cascade, also?
2 A. Yes
3 Q. Infection?
4 A. Infection.
5 Q. Sepsis?
6 A. Correct.
7 Q. And I suppose we could back up and go
8 into the causes of the failure to deliver adequate
9 oxygen to tissues as being a preliminary building
10 block to the causation that leads to the coagulation
11 cascade?
12 A. I don't think that's what was going on in
13 this case. The example we were talking about was in
14 trauma surgery. In orthopedic surgery, the
15 mechanism for DIC is different.
16 Q. You mean that if a patient has metabolic,
17 acidosis, for example, that doesn't trigger the
18 coagulation cascade?
19 A. In this case, I believe that the
20 metabolic acidosis was a consequence of DIC.
21 Q. Well, that wasn't my question. My
22 question is could it be the other way around in some
23 cases.
24 A. No, you have to have something more of a
25 definitive inciting agent.

Page 17

1 Q. Could it be an electrolyte imbalance?
2 A. No, I've never heard of that,
3 Q. What about lactic acidosis?
4 A. That's secondary to DIC, it doesn't
5 cause DIC.
6 Q. Well, tell me what the causes can be.
7 A. Tissue thromboplastin, tissue juice
8 released into the general circulation, a common
9 sequelae of orthopedic surgery, most commonly
10 manifests by clotting problems, blood clots,
11 pulmonary thromboemboli, strokes and heart attacks,
12 but the counterpart of that would be a more
13 generalized DIC, as in this case.
14 Q. What other causes in surgery can bring
15 about DIC, excessive blood loss?
16 A. Not generally. In --
17 Q. But possibly?
18 A. Possibly.
19 MR BONEZZI: Objection.
20 You can go ahead and answer.
21 Q. Okay. What else?
22 A. Obstetrical complications in the
23 gynecologic literature or OB are well known to
24 that. Cancer surgeries. Cancer can cause that.
25 Infection, again.

ANGELO PRIVITERA, Exec., etc.,
vs. CLEVELAND CLINIC FOUNDATION.

Multi-Page™

Deposition of DR. GOODNOUGH
March 15, 1999

Page 18

1 Q. So, DIC never occurs unless there is
2 what? I mean, tell me what --
3 A. An inciting agent to trigger the
4 coagulation cascade.
5 Q That inciting agent may possibly be in a
6 surgical case rather than a trauma case what, blood
7 loss?
8 A. Usually tissue thromboplastins,
9 especially in the orthopedic cases.
10 Q. What are tissue thromboplastins?
11 A. They're tissue juice. Intracellular
12 elements when you have a cell cutting or grinding as
13 you do in orthopedic surgery, Bone and soft tissue
14 are rich in thromboplastins, which are capable of --
15 known -- known to activate the coagulation cascade.
16 Q. Is there an increased risk of that when
17 you use scavenged blood?
18 A. No, I wouldn't think so. not if you wash
19 it.
20 Q. So, is that what you're saying happened
21 in this case, that there was the thromboplastins
22 that incited the coagulation cascade?
23 A. That's my opinion, yes.
24 Q. Had nothing to do with blood loss or
25 insufficient volume resuscitation?

Page 19

1 A. I don't think that there was insufficient
2 volume resuscitation except at the very end in
3 the -- in the setting of DIC.
4 Q. Okay. Tell me about the patient's blood
5 loss. What was the patient's blood loss in the
6 initial phases of this surgery.
7 MR. BONEZZI: What period of
8 time?
9 Q. The initial phases of the surgery, The
10 surgery started at 1:38.
11 A. Well, I'm referring to the anes --
12 MK. BONEZZI: Well, wait.
13 Hang on. But the surgery also didn't
14 conclude until after 8:30, so when you
15 talk about the initial stages, what --
16 Q. Starting --
17 MR. BONEZZI: -- period?
18 Q. Starting at 1:38.
19 A. Well, I'm looking at the estimated blood
20 loss of the anesthesia record and the first notation
21 is around 3:30, 15:30 or so or 15:45 of 1,200 cc's.
22 Q. Okay. Is that a normal blood loss for
23 this kind of surgery, if you know?
24 A. I think it's within the range expected
25 for a complex scoliosis repair.

Page 20

1 Q That's about five to six hundred cc's an
2 hour, something like that?
3 A, I'd have to refer to when surgery
4 started, I know anesthesia started at noon. If you
5 assume that surgery started around one o'clock or
6 so --
7 Q It started about 1:30, I think I think
8 it says there.
9 A Right, so that would be two, two and a
10 half hours later, yes.
11 Q. With respect to blood loss, how much
12 replacement is necessary for red cell replacement,
13 for example, per unit of blood loss say?
14 A It depends on the starting hematocrit of
15 the patient, the pace of bleeding. There is no
16 formula for replacing blood loss,
17 Q What about fresh frozen plasma; is there
18 any -- is there any standard for that for a patient
19 that had a hematocrit and hemoglobin like this
20 patient did?
21 A. There's no standard, Again, it depends
22 on the pace of the blood loss, any coagulation tests
23 you may be getting back.
24 Q. What kind of coagulation tests are
25 necessary in a case like this?

Page 21

1 A. I don't know that any are necessary. It
2 depends on the blood loss. But the ones that are
3 most commonly obtained would be a proTime, a PTT,
4 platelet count, occasionally a fibrinogen.
5 Q. And what do those tests reveal when
6 you're having a coagulation, coagulopathy problem?
7 A, Well, they would -- the proTime and PTT
8 would become prolonged if you are low in clotting
9 factors. Your platelet count would decrease if you
10 are consuming platelets, And your fibrinogen would
11 go down if you're utilizing fibrinogen.
12 Q For coagulation?
13 A. Yes.
14 Q How long does it take for those tests to
15 become pathologic after the development of DIC?
16 A. I think with DIC they would become
17 abnormal very quickly, very quickly.
18 Q. What is the relationship between the
19 administration of crystalloid solutions and the loss
20 of blood from a surgical wound? How much
21 crystalloid do you give per unit of blood loss in a
22 major case like this?
23 A Well, the general rule of thumb is that
24 you give it one cc for one cc at the time of blood
25 loss with the intention of catching up to even --

ANGELO PRIVITERA, Exec., etc.,
vs. CLEVELAND CLINIC FOUNDATION.

Multi-Page™

Deposition of DR. GOODNOUGH
March 15, 1999

Page 22	Page 24
<p>1 a two to one or perhaps even a three to one ratio of 2 crystalloid to blood loss. 3 Q. What about colloid solutions? 4 A. Colloid solutions, there's no general 5 rule of thumb except usually one cc for one cc blood 6 loss replacement. 7 Q Do you know how much crystalloid was 8 given to this patient prior to seven o'clock, for 9 example? 10 A. I have a notation that as of four 11 o'clock, for example. that she had been given a 12 total of close to five liters of crystalloid, what I 13 interpret to be another 1,000 or one liter of 14 Hespan, and another liter of albumin. That would be 15 16:00. And then if you go from there to seven 16 o'clock, it appears as if she was given an extra 17 1,500 ml of, well. a liter of lactated Ringers and 18 mother one liter of Hespan along with some blood. 19 I mean, the blood would be in addition to the 20 figures I gave you. 21 Q. A thousand cc's of Hespan did you say? 22 A. Yes. 23 Q. Is that a colloid or a crystalloid? 24 A. That would be a colloid. 25 Q. Were there signs of hypovolemia in the</p>	<p>1 were directed, and then I -- it appears to me as if 2 another two units of red cells was given around 3 7:30. 4 Q How many would that be? 5 A Well, that would be a total of eight by 6 7:30. 7 Q And her blood loss at that time was 8 approximately something in excess of 2,600 cc's? 9 A That's correct. 10 Q Is your DIC diagnosis a clinical 11 diagnosis or is it laboratory backed? 12 A It's a clinical diagnosis with laboratory 13 tests supporting my impression. 14 Q What laboratory tests support that? 15 A The blood gas and potassium levels. 16 Q What blood gases particularly? 17 A Well, and the proTime and the PTT, The 18 blood gas I'm looking at would be the one somewhere 19 after -- right before 21:00 with a pH of 7.20. 20 Q 8:22 20:22? 21 A. Yes, it looks like it. Along -- 22 Q That looks like -- Is that along with tific 23 negative base excess, is that metabolic acidosis? 24 A. Yes. 25 Q And that supports your clinical diagnosis</p>
Page 23	Page 25
<p>1 hours prior to seven o'clock? 2 A. Not as far as I'm concerned, no. 3 Q. The urine output was not significant to 4 you? 5 A. The -- I'm looking at the vital signs and 6 there was no indication that this patient's blood 7 pressure had really changed substantially until 8 shortly before seven o'clock. 9 Q. It was being supported by pressors, 10 wasn't it? 11 A. At one point it was 12 Q. Around four -- 4:30? 13 A. I have three o'clock for some reason. I 14 don't know. I may be off a bit. I have 15:00. 15 Q. Well, it says -- 16 A. The Neo-Synephrine starts at 15:00, but 17 it's hard for me to know where -- where they started 18 that. And there was some transient hypotension at 19 that time. 20 Q. What about the blood -- How much blood 21 did you calculate that they gave to this patient 22 prior to seven o'clock? 23 A. Prior to seven o'clock, I have totalled 24 up six units of -- but probably -- six units of red 25 cells, three of whom were autologous, three of whom</p>	<p>1 of DIC? 2 A. That. and the lactate level of 7.5. 3 Q. Why is that? 4 A. Indicating that it was a lactic acidosis 5 tissue anoxia from DIC. And then the pro -- 6 Q. What other things can cause tissue 7 anoxia? 8 A. Well, lots of things can cause tissue 9 anoxia, but in this case the other laboratory 10 parameters, the proTime of 26 seconds. the PTT of 11 157 seconds at 21:00 indicate to me that it was DIC 12 as -- as the source of tissue anoxia. 13 Q. The tissue anoxia occurred between tific 14 time of 7:20 and 8:20, the worsening of the tissue 15 anoxia; is that true? 16 A. That's -- That's where I'm saying that's 17 when the DIC started, yes. 18 Q. That can't be from hypoperfusion or 19 inadequate pressure or inadequate intravascular 20 volume, is that what you're saying? 21 A. That would be one possibility, but I 22 don't -- I don't put the case together that way. 23 Q. Why not? 24 A. I think the DIC came first. 25 Q. Why?</p>

ANGELO PRIVITERA, Exec., etc.,
vs. CLEVELAND CLINIC FOUNDATION.

Multi-Page™

Deposition of DR. GOODNOUGH
March 15, 1999

Page 26

1 A. Because it's a recognized complication of
2 complex orthopedic surgery. Commonly there are
3 large venous clot problems like deep vein
4 thrombophlebitis, pulmonary emboli, stroke, heart
5 attacks. The disseminated intravascular coagulation
6 is just a more diffuse systemic presentation of that
7 related to the tissue thromboplastins from the
8 surgery,
9 Q. Did all of those other things happen
10 here, is **that what** you're saying? All those other
11 thromboembolisms and all that, did that **all** happen
12 here?
13 A. Nn, what I'm saying is it's a spectrum.
14 These are all clotting disorders and the DIC is a --
15 is one spectrum in which in a prolonged orthopedic
16 case, a complex spine case with diffuse release of
17 tissue thromboplastin you can get DIC and it's a
18 recognized clinical problem that has laboratory
19 manifestations.
20 Q. What other of these things happened to
21 this patient in **addition** to DIC, all these other
22 complications that you've just enumerated?
23 A. The complications of acidemia and
24 hyperkalemia and massive blood loss and ultimately
25 mortality are related to the DIC. They're secondary

Page 27

1 to the DIC.
2 Q. And they can't be caused by inadequate
3 perfusion of the tissues because there's not enough
4 oxygen, there's not enough red blood cells carrying
5 oxygen? It can't be caused by that?
6 A. That's not how I put this case together.
7 I believe that the -- to the extent that this
8 patient was hypovolemic arid -- arid had a
9 cardiopulmonary arrest from acidemia and
10 hyperkalemia, it was a consequence of the DIC and
11 not a cause of the DIC. The DIC manifested before
12 that.
13 Q. I know that, but you're not saying that
14 it's impossible for it to happen the other way
15 around, are you?
16 A. I don't see any evidence in this in this
17 case from my reading of the medical record that
18 it -- that it happened that way.
19 Q. Do you see adequate perfusion of the
20 tissues based upon all of the laboratory reports,
21 based upon the clinical condition of the patient,
22 based upon this entire record that blood replacement
23 was adequate, that other **fluid** replacement was
24 adequate, that her intravascular pressure, that her
25 heart was working properly- that everything was

Page 28

1 being monitored? She was hemodynamically stable, in
2 other words, according to you; correct!
3 A. What I'm saying is all the way through
4 7:30 or shortly thereafter when I believe that the
5 DIC commenced, up until that point there is nothing
6 in this record to indicate that there was any
7 problem with respect to volume resuscitation or
8 blood bank or transfusion management.
9 Q. And then suddenly at that point because
10 of the nature of this surgery and the products --
11 the tissue products that got into her bloodstream,
12 she developed DIC?
13 A. That's correct,
14 Q. How often in scoliosis cases do patients
15 develop DIC?
16 A. I can in my own personal experience
17 recall at least two previous occasions where that
18 happened.
19 Q. And how many total occasions are you
20 aware of?
21 A. I don't know.
22 Q. **Hundreds?**
23 A. I don't know.
24 Q. Hundreds at least?
25 A. It's a **recognized** complication. That's

Page 29

1 **why** we call it complex spine surgery, **And** I know of
2 at least two cases in my own career where these --
3 the scoliosis repair or the -- or the spine surgery
4 was complicated by DIC.
5 Q. And did the patients die in both cases?
6 A. One of them.
7 Q. Is there something called a dilutional
8 coagulopathy?
9 A, I think it's a misnomer. **You** can have --
10 You can have abnormalities of the coagulation
11 laboratory tests based on dilution.
12 Q. And what **does** that cause?
13 A, It causes an **abnormal** proTime and a PTT,
14 but it's not a coagulopathy per se.
15 Q. Does it cause bleeding, excessive
16 bleeding?
17 A, Rarely.
18 Q. **Are** you aware of any literature that
19 deals with disseminated intravascular coagulation
20 during surgery for scoliosis?
21 A. Not in particular, but there's a lot
22 written about it,
23 Q. **Are** you aware of the rate of this
24 occurring?
25 A. I couldn't quote a figure to you, no.

ANGELO PRIVITERA, Exec., etc.,
vs. CLEVELAND CLINIC FOUNDATION.

Multi-Page™

Deposition of DR. GOODNOUGH
March 15, 1999

Page 30	Page 32
<p>1 Thankfully it's not common; it's rare.</p> <p>2 Q Docs the patient's general health enter</p> <p>3 into the picture of whether or not this condition --</p> <p>4 DIC develops during a major surgery like this?</p> <p>5 A No, I believe it's more related to the</p> <p>6 specific surgical procedure itself.</p> <p>7 Q Is hypothermia known to worsen DIC or</p> <p>8 increase the chances of DIC developing?</p> <p>9 A I think in certain cases it's known to be</p> <p>10 a problem with respect to coagulation</p> <p>11 Q. What kind of cases?</p> <p>12 A, Deep hypothermic aortic arch repair,</p> <p>13 which is a complex cardiothoracic situation. Even</p> <p>14 in routine bypass procedures, I think they've</p> <p>15 learned not to cool the patient as much as they used</p> <p>16 to because of those problems</p> <p>17 Q. How about inadvertent hypothermia? I'm</p> <p>18 not talking about intentional hypothermia. Is it</p> <p>19 known to increase the risk of DIC developing?</p> <p>20 MR. BONEZZI: In 1995?</p> <p>21 Q. In --</p> <p>22 MR. BONEZZI: And before?</p> <p>23 Q Beginning in -- Before 1995, yes,</p> <p>24 certainly.</p> <p>25 A. I wouldn't be able to distinguish between</p>	<p>1 A. I -- I would guess <i>so</i>. You'll have to</p> <p>2 give me a specific situation You mean like falling</p> <p>3 in Lake Erie in December'!</p> <p>4 Q. Yeah. Any way they could get that way</p> <p>5 Maybe falling down in the snow. If you know, I</p> <p>6 mean.</p> <p>7 A, I guess I don't understand where you're</p> <p>8 going with conscious, unconscious I thought we</p> <p>9 were talking about coagulation</p> <p>10 Q Bo you think that 34 degrees centigrade</p> <p>11 in an anesthetized patient would increase the risk</p> <p>12 of DIC developing?</p> <p>13 A <u>I wouldn't think so, no.</u> Cardiothoracic</p> <p>14 patients are <u>commonly</u> -- they <u>commonly</u> undergo</p> <p>15 <u>surgery below that</u></p> <p>16 Q. And do they develop DIC sometimes from</p> <p>17 that?</p> <p>18 A Not -- Not from the hypothermia per se,</p> <p>19 but from everything else that's going on with the</p> <p>20 case including tissue thromboplastins. But the</p> <p>21 hypothermia is commonly felt to be a contributing</p> <p>22 factor.</p> <p>23 Q Can I see the notes that you've been</p> <p>24 referring to, Doctor'? This is your analysis of the</p> <p>25 anesthesia record that I'm looking at now?</p>
Page 31	Page 33
<p>1 intentional or inadvertent hypothermia. I believe</p> <p>2 it would be the same.</p> <p>3 Q. And what temperature would you ascribe to</p> <p>4 the term "hypothermia"--</p> <p>5 A. Well --</p> <p>6 Q -- in this sense that we're talking</p> <p>7 about!</p> <p>8 A. I mean, <u>I would say anything under 28</u></p> <p>9 <u>degrees centigrade and down.</u> The cooler the</p> <p>10 patient, basically the more problematic the</p> <p>11 coagulation cascade or platelet function might be.</p> <p>12 Q. Well, at 29 -- 20 to 29 degrees, the</p> <p>13 patient is unconscious, aren't they?</p> <p>14 A Well. during surgery --</p> <p>15 Q. Either intentionally or --</p> <p>16 A, -- during surgery, I certainly would --</p> <p>17 Q. -- not intentionally?</p> <p>18 A. -- hope so, yes.</p> <p>19 Q. Even without anesthesia'!</p> <p>20 A. I guess you'll have to give me a</p> <p>21 specific. I don't understand what you're talking</p> <p>22 about without anesthesia.</p> <p>23 Q Well, if a person is 28 degrees</p> <p>24 centigrade, not in an operating and not under</p> <p>25 anesthesia, aren't they unconscious?</p>	<p>1 A It was an attempt to summarize what was</p> <p>2 going on during the case as I was reading through</p> <p>3 the record.</p> <p>4 Q <u>What is the significance of the glucose</u></p> <p>5 <u>level at 7:20 of 230?</u></p> <p>6 A. <u>That doesn't have any meaning to me one</u></p> <p>7 <u>way or the other.</u></p> <p>8 Q. You don't have any idea or theory about</p> <p>9 what caused that to be elevated?</p> <p>10 A I think it's unremarkable.</p> <p>11 Q Back at 6:49, the laboratory tests, the</p> <p>12 platelet count is 173. Was there any significance</p> <p>13 to that?</p> <p>14 A Can I have my notes back'!</p> <p>15 Q Sure.</p> <p>16 A That's lower than what she started with,</p> <p>17 but still within the range of normal.</p> <p>18 Q What about fibrinogen? It's 154,</p> <p>19 A, That's low, but still above a level of</p> <p>20 100 to 150, which is the lower range where you might</p> <p>21 want to intervene</p> <p>22 Q And what's causing that?</p> <p>23 A. <u>I think in part dilution and in part</u></p> <p>24 <u>consumption.</u></p> <p>25 Q. What was causing the hemoglobin and</p>

ANGELO PRIVITERA, Exec., etc.,
vs. CLEVELAND CLINIC FOUNDATION.

Multi-Page™

Deposition of DR. GOODNOUGH
March 15, 1999

Page 34

Page 36

1 hematocrit to drop?
2 A. Blood loss, dilution,
3 Q. And was the proTime also falling?
4 A. At what time?
5 Q. 6:49.
6 A. Not as far as I'm concerned. The proTime
7 was 12.1. That's absolutely normal.
8 Q. How about the PT?
9 A. The PTT of 27 seconds is absolutely
10 normal.
11 Q. And (here was a -- I think a base excess
12 of negative six at that time, Does that mean
13 anything at all in the picture of this patient's
14 clinical condition?
15 A. I don't get that until 7:30.
16 Q. 7:19 -- 7:20. I'm sorry.
17 MR. BONEZZI: Yes.
18 A. Right, but the --
19 Q. That's when you say --
20 A. The other value --
21 Q. -- the DIC was in the process of becoming
22 fulminant?
23 A. That's when I see the first evidence that
24 this patient was developing DIC. At the earlier
25 values you had quoted me were from sometime earlier.

Page 35

1 Q. Yes, the --
2 A. 6:45.
3 Q. -- 6:49.
4 A. Right.
5 Q. And what should have been done in
6 response from a hematological viewpoint to these
7 changes in the laboratory findings from 6:49 through
8 the 7:20 figures?
9 A. The hematologic figures were
10 unremarkable. The proTime, PTT were absolutely
11 within the normal range as was the platelet count.
12 The fibrinogen was a tad low.
13 Q. How about at 7:20?
14 A. Well, at 7:20 I have a blood gas and
15 that's all I have.
16 Q. A base excess of minus six?
17 A. Yes. That's why I think that around 7:30
18 or shortly thereafter this patient was developing
19 DIC.
20 Q. Not before?
21 A. No.
22 Q. So, at 7:20 was she developing DIC?
23 A. I think there's evidence of a mild
24 acidemia that by 7:45 had become worse.
25 Q. What about the hemoglobin and hematocrit

1 at 7:20?
2 A. The hematocrit 24 percent is commonly
3 seen perioperatively in patients with blood loss,
4 Q. And the calcium ion at 7:20?
5 A. The -- I have that as low. 0.64.
6 Q. And why was that?
7 A. I don't know, but it could have been from
8 the citrate load from the plasma that was given
9 Q. What plasma was given?
10 A. I have three units of plasma had been
11 given up to that point and around that time,
12 although it's difficult for me to say, another three
13 units of platelets were given, which is a platelet,
14 a plasma rich product. So, this patient received
15 well in excess of a liter of plasma. possibly
16 explaining the hypocalcemia.
17 Q. Is there anything else that could have
18 caused these changes that we're talking about from
19 6:49 through 7:20, these laboratory -- some
20 abnormalities that we've talked about?
21 A. Well, again, the only thing that I have
22 here is the blood gas and, so, based on the
23 subsequent blood gases, my interpretation of this
24 record is that she was developing DIC on or around
25 7:30 and it's obvious thereafter that the worsening

Page 37

1 of the blood gases were related to the DIC.
2 Q. Well, what treatment at 7:20 when this
3 DIC is developing, as you put it, is necessary to
4 reverse this procedure or does everybody die from
5 this?
6 A. I think it's a terrible situation to be
7 in. DIC is a devastating complication and I think
8 treatment is overall pretty futile and I think there
9 is a high mortality. I mean, some things you do you
10 never catch up.
11 Q. What is the treatment, fresh frozen
12 plasma?
13 A. Fluid resuscitation, blood, plasma,
14 platelets.
15 Q. Cryoprecipitate?
16 A. If necessary.
17 Q. What do you mean if necessary? What
18 makes it necessary?
19 A. It's something you throw in as a source
20 of fibrinogen in addition to the plasma you're
21 giving.
22 Q. The DIC, what was the hemorrhaging that
23 it caused prior to the time this patient arrested,
24 the additional 2,400 milliliters?
25 A. You're referring to the period between

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Multi-Page™

Deposition of DR. GOODNOUGH
March 15, 1999

	Page 38	Page 40
1	18:15 and 20:15?	1 Q. Limitations in Procuring Adequate
2	Q. Yeah.	2 Autologous Blood?
3	A What is the question again, please?	3 A. No, no. Invited publications, Page 16.
4	Q Is that -- Was that blood loss caused by	4 Q. I've got Page 16 here.
5	the DIC?	5 A. Can I see what you have?
6	A Well, I don't know what the blood loss	6 Q. Okay. Maybe we've got two different --
7	was as of 7:30, <u>but I think from 7:30 on or eight</u>	7 A. This is pretty out of date.
8	<u>o'clock on, all of that blood loss was directly</u>	8 Q. Oh.
9	<u>related to the DIC, yes.</u>	9 A. May of '95.
10	Q And what -- what do you say the mortality	10 Q. Well, that's the one I just got <i>a</i> week
11	rate is in DIC?	11 ago.
12	A I think it's in excess of 50 percent, but	12 A. So --
13	it depends on the situation, but I think in some --	13 Q. Do you have an up-to-date <i>otic</i> ?
14	in some settings it's upward of 90 percent.	14 A. I do.
15	Generally I think most people would acknowledge that	15 Q. Okay.
16	for whatever the reason, if the patient's in DIC,	16 A, You can have this if you like ,
17	the mortality is in excess of 50 percent,	17 Q. Okay, good. Just I'll take this.
18	Q What are the laboratory tests that are	18 MR. BONEZZI: Let me see
19	diagnostic of DIC, split products and things of that	19 that. Did I give this to you?
20	nature? Are there -- Are there some things that you	20 MR. LANCIONE: Yes,
21	can prove that DIC existed by having certain tests ?	21 MR. BONEZZI: I apologize.
22	A. You can do that, but they're all	22 A. So, number seven is the one I'm referring
23	laboratory based and it takes a long time to do some	23 to. That would be the first edition of that
24	of those, so in retrospect it helps you understand	24 textbook and I've -- there's been a second edition
25	what was going on with the patient, but they're not	25 which I'm looking for now.
	Page 39	Page 41
1	very useful in terms of managing the patient at the	1 (Questions by Mr. Lancione)
2	time because it takes too long to get them back,	2 Q. I have some marked in mine. Maybe I have
3	Q. Well, was anything like that done, I	3 that. Let me see. Second edition, is that what
4	mean, here, any of these tests done here?	4 you're talking about?
5	A. I didn't see any evidence that there was	5 A. Uh-huh.
6	and I'm not surprised because they're generally not	6 Q. I've got number 56, but maybe that's --
7	that useful. There's little time to wait around for	7 A, That's not quite what I had, but I'm sure
8	those tests.	8 it's around there somewhere.
9	Q. Are there any of your publications or	9 Q. Williams and Wilkins, Management of DIC,
10	presentations that deal specifically with your	10 Principles of Transfusion Medicine, Second Edition?
11	opinions in this case?	11 A. Yes.
12	A, I have written on DIC in the past. I've	12 Q. Okay.
13	written a lot about orthopedic surgery, so I'm	13 A. That's it.
14	basing my opinions on my experience, general	14 Q. All right. You've also written on
15	knowledge, a lot of what -- a lot of which I have	15 Informed Consent Regarding to Transfusion.
16	published, yes,	16 A. Yes.
17	Q. What specific publications are you	17 Q. What is the standard for informed
18	talking about?	18 consent?
19	A. I'd have to refer to my C.V.	19 A. Well, the medical legal standard is to
20	Q. That's okay. You can do that,	20 have a discussion with the patient about the
21	A. The Management of DIC is in one of the	21 potential risks and the potential benefits of blood,
22	standard textbooks, Principles of Transfusion	22 alternatives to blood if it's appropriate, possible
23	Medicine. It's number seven under my invited	23 alternatives, an opportunity to answer questions and
24	publications. That would be: on or around Page 16 or	24 some kind of an indication that consent was given.
25	so.	25 Q. A discussion of risks?

ANGELO PRIVITERA, Exec., etc.,
vs. CLEVELAND CLINIC FOUNDATION.

Multi-Page™

Deposition of DR. GOODNOUGH
March 15, 1999

Page 42

1 A, Yes, the relative risks and benefits of
2 blood.
3 Q. What about discussing DIC?
4 A. That is usually -- I mean, to the extent
5 it's addressed would be part of the discussion of
6 (the surgical aspects of the case).
7 Q. So, that's the responsibility of the
8 surgeon to talk about the risk of DIC?
9 A. I --
10 MR. HONE -- objection. Go
11 ahead and answer,
12 A. In my opinion, there is no responsibility
13 to talk about remote -- rare to remote risks. I
14 think the patient has to have an understanding from
15 the surgeon and anesthesiologist that they may die
16 from the surgery and the complications related to
17 surgery that may result in that, but to go through a
18 laundry list of complications including DIC would
19 probably tax any surgeon, anesthesiologist or
20 patient.
21 Q. So, that risk is so remote that it
22 wouldn't be something that the surgeon or the
23 anesthesiologist should discuss with a patient in a
24 case like this?
25 A. I think it's a rare complication. If you

Page 43

1 want to term it remote, you can do that. But it
2 seems to me that very rare events can be encompassed
3 under the general theme that complex surgery is
4 substantial, you don't do it lightly, and patients
5 can have complications that can lead to death, and I
6 think that covers a lot of very rare complications.
7 Q. What could have been done in this case to
8 prevent her death then?
9 A. I think they did the best they could
10 under extraordinary circumstances. I think the DIC
11 was a devastating complication and I think they did
12 everything they could in terms of fluid
13 resuscitation and transfusion medicine.
14 Q. So, essentially you're saying nothing
15 that anyone could have done in this case could have
16 saved her life?
17 A. Not in my opinion.
18 Q. What clinical manifestations would have
19 occurred prior to this sudden cardiac arrest that
20 occurred at about 9:15? What would the surgeons or
21 the anesthesiologist have noticed, just suddenly the
22 patient has a cardiac arrest?
23 A. Well, I have that the arrest occurred
24 around 21:00; is that correct?
25 Q. Well, it appears here 21:15, 9:15.

Page 44

1 A. Isn't that 10:15?
2 MR. BONEZZI: Twenty-one.
3 A. Sorry. 9:15.
4 Q. No. it's twenty-one
5 A. Okay. I got you
6 Q. 9:15.
7 A. I got you. So, your question was what
8 were the clinical manifestations of an impending
9 arrest: is that your question?
10 Q. Right. I mean, they're taking care of it
11 patient and they're going along and apparently
12 nobody suspects anything and then suddenly the
13 patient has a cardiac arrest.
14 A. I don't get that impression at all. I
15 think that the blood gas at 20:15 was an abnormal
16 blood gas. I think that they did understand that
17 there was a problem.
18 Q. So, you're saying that even if they had
19 an extra line in and they could have gotten more
20 crystalloids and more colloids and more fresh frozen
21 plasma and more red cells to replace her blood, it
22 still wouldn't have done any good?
23 A. That's not what I'm saying at all. I see
24 evidence that they had -- venous access was not an
25 issue. They were giving a lot of crystalloid, a lot

Page 45

1 of blood products after that point.
2 Q. After seven -- After 8:30 are you saying?
3 A. Well, all the way through and even after
4 they recognized there was a clinical problem, I see
5 lots of notations. I see a liter of lactated
6 Ringers. I see a second liter of lactated Ringers
7 being given simultaneously with several units of
8 blood around the time of that blood gas.
9 Q. Through the two 16-gauge lines?
10 A. Correct.
11 Q. How many units of packed red cells did
12 they give before the cardiac arrest?
13 A. Well, my interpretation of the record is
14 that she received 13 units of red cells, three units
15 of plasma, and three units of platelets before the
16 cardiac arrest and then after that they note at the
17 final summary of the case, for example, she received
18 a total of 30 units of red cells. So, between 7:30
19 and the cardiac arrest or even after that, 7:30 on,
20 she received 17 units of red cells, for example,
21 subtracting 13 from 30. Seventeen units of red
22 cells. She received what looks like another two
23 liters of plasma and another three units of
24 platelets along with a substantial amount of
25 crystalloid and colloid.

ANGELO PRIVITERA, Exec., etc.,
vs. CLEVELAND CLINIC FOUNDATION.

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Deposition of DR. GOODNOUGH
March 15, 1999

Page 46

Page 48

1 Q If there were less than 13 units of
2 packed red cells released from the blood bank prior
3 to her arrest, then we can conclude that they
4 couldn't have been given, 13 couldn't have been
5 given if there were less than 13 released from the
6 records; is that correct?

7 A. I'd have to review those records with
8 you. You certainly can't give blood if it's not
9 been sent from the blood bank.

10 Q. Okay. Was the autopsy significant to you
11 at all with respect to your opinions?

12 A. No.

13 Q. What products are given to replace
14 factors and electrolytes both?

15 A. Crystalloid is given to replace
16 electrolytes. It depends on the nature of the blood
17 loss, the pace of the blood loss, and the amount of
18 blood loss as to whether you choose to replace
19 clotting factors or not.

20 Q. Well, are there not a lot of clotting
21 factors in fresh frozen plasma?

22 A. Yes.

23 Q. What hemodynamic monitoring was going on
24 during his surgery; do you know?

25 A. I am not an expert in that area. I know

Page 47

1 that they had an arterial line in. I know that they
2 were monitoring vital signs.

3 Q. Were they monitoring the pulmonary artery
4 pressure?

5 A. No.

6 Q. They didn't have a Swan-Ganz line in?

7 A. No.

8 Q. Would that have helped them discover that
9 DIC was occurring earlier?

10 A. Not in my opinion. My view of the field
11 is that Swan-Ganz catheters are more problems than
12 they are benefit.

13 Q. Is potassium excreted in the urine?

14 A. It can be.

15 Q. Okay. I think that's all I have.

16 MR. BONEZZI: We'll read.

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LAWRENCE T. GOODNOUGH

STATE OF MISSOURI)
) SS

COUNTY OF)

Subscribed and sworn to before me this
day of , 1999.

NOTARY PUBLIC

My Commission Expires:

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1 STATE OF MISSOURI)
) SS
2 COUNTY OF ST. LOUIS)

3 I, SARA ALICE MASUGA, a Notary Public in and
4 for the County of St. Louis, State of Missouri, DO
5 HEREBY CERTIFY that pursuant to agreement between
6 counsel there appeared before me on March 15, 1999,
7 at the Holiday Inn, 4505 Woodson Road, St. Louis,
8 Missouri, DR. LAWRENCE T. GOODNOUGH, who was first
9 duly sworn by me to testify the whole truth of his
10 knowledge touching upon the matter in controversy
11 aforesaid so far as he should be interrogated
12 concerning the same; that he was examined and his
13 examination was taken down in shorthand by me and
14 afterwards transcribed upon the computer, and signed
15 by the deponent, his signature having been reviewed
16 by agreement of counsel, and said deposition is
17 herewith returned.

18 IN WITNESS WHEREOF, I have hereunto set my
19 hand and affixed my Notarial Seal this 17th day of
20 March, 1999.

21 *Sara Alice Masuga*
22 Notary Public, St. Louis, MO
23 My Commission Expires January 28, 2000