ROBERT GHERMAN, M.D

	1		3
1	IN THE COURT OF COMMON PLEAS	1	ROBERT GHERMAN, M.D., of lawful age,
2	CUYAHOGA COUNTY, OHIO	2	called by the Plaintiff for the purpose of
3	KAYLA PAYNE, etc., et al.,	3	cross-examination, as provided by the Rules of
4		4	Civil Procedure, being by me first duly sworn, as
5	Plaintiffs, JUDGE McMonagle -vs- CASE NO. 409044	5	hereinafter certified, deposed and said as
6	THE MOUNT SINAI MEDICAL CENTER,	6	follows:
7	Defendant.	7	CROSS-EXAMINATION OF ROBERT GHERMA
8	Dolondant	8	BY MR. MESTER:
9		9	MR. MESTER: Let the record show
10	Deposition of ROBERT GHERMAN, M.D., taken as	0	that we are here today via video conference for
11	if upon cross-examination before Susan L. Weiss,	1	the deposition of Dr. Gherman in the case of
12	a Registered Professional Reporter and Notary	2	Kayla Payne versus Mount Sinai Medical Center.
13	Public within and for the State of Ohio, at	3	Before we get started, Ernie, just
14	Kinko's, 6901 Rockside Road, independence, Ohio,	4	one little housekeeping thing. Obviously we're
15	at 6:00 on Wednesday, August 7,2002 pursuant to	5	doing this in a different way by video
16	notice and/or stipulations of counsel, on behalf	6	conference, I assume any defects in formalities
17	of the Plaintiff in this cause.	7	and so forth are waived?
18	••••	8	MR, AUCIELLO: That's correct.
19	BARBERIC & ASSOCIATES INC	9	M R. MESTER: Okay.
20	COURT REPORTERS	0	Q. Doctor, would you please state your name for the
21	CLEVELAND, OHIO 44107	1	record?
22	BARBERIC & ASSOCIATES, INC. COURT REPORTERS 14237 DETROIT AVENUE, SUITE THREE CLEVELAND, OHIO 44107 (216) 221-970 FAX (216) 221-9171 1-888-595-1970	'2	A. Robert Gherman.
23		3	Q. And you are a medical doctor, correct?
24		'4	A. Correct.
25	the second s	'5	Q. What kind of doctor are you?
1	2 APPEARANCES:	1	4 A. I'm an obstetrician/gynecologist with a
2		2	subspecialty in maternal-fetal medicine.
2	Jonathan D. Mester, Esq. Nurenberg, Plevin, Heller & McCarthy 1370Ontario Street, Suite 100 Cleveland Onio 44113-1792	3	Q. Dr. Gherman, you've been deposed before I tak
4	Cleveland Ohio 44113-1792 (216) 694-8225	4	it?
4 5	On behalf of the Plaintiffs	5	A. Thave.
6		6	Q. So you know the ground rules here. I will be
7	Ernest Auciello, Esq. Gallagher, Sharp, Fulton & Norman Seventh Floor, Bulkey Building	7	, ,
, 8	1501 Euclid Avenue Cleveland, Ohio 44115 (216) 241-5310	8	
9	(216) 241-5310	9	question, please have me rephrase it. Okay?
10	On behalf of the Defendant.	0	
11		1	Q. I'll be happy to do so, but if you don't ask me
12		2	
13		3	
14		4	
15		5	Q. And I guess the other thing that's important
16		6	especially in the setting that we're doing this
17		7	
18		8	
19	SANNED)	9	
20	0124102	20	Okay?
20 21	International free for far for the second and the second and the second and the second and the second	.0 '1	A. That's fair.
		' 2	
22			a. Bootor, our mave your current professional
22 23		5	address please?
23		3' ⊿'	
		'3 '4 '5	A. Bethesda Naval Hospital, I think it's 81 8901

2	called by the Plaintiff for the purpose of
3	cross-examination, as provided by the Rules of
ļ	Civil Procedure, being by me first duly sworn, as
5	hereinafter certified, deposed and said as
6	follows:
7	CROSS-EXAMINATION OF ROBERT GHERMAN, M.D.
3	BY MR. MESTER:
)	MR. MESTER: Let the record show
)	that we are here today via video conference for
1	the deposition of Dr. Gherman in the case of
2	Kayla Payneversus Mount Sinai Medical Center.
3	Before we get started, Ernie, just
1	one little housekeeping thing. Obviously we're
5	doing this in a different way by video
3	conference, I assume any defects in formalities
7	and so forth are waived?
3	MR. AUCIELLO: That's correct.
9	M R. MESTER: Okay.
)	Q. Doctor, would you please state your name for the
1	record?
2	A. Robert Gherman.
3	Q. And you are a medical doctor, correct?
4	A. Correct.
5	Q. What kind of doctor are you?
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1	A. I'm an obstetrician/gynecologist with a
2	subspecialty in maternal-fetal medicine.
3	Q. Dr. Gherman, you've been deposed before I take
1	it?
5	A. Thave.
3	Q. So you know the ground rules here. I will be
7	asking you some questions. The most important
3	rule I guess is if you don't understand my
9	question, please have me rephrase it. Okay?
)	A. Sure.
1	Q. I'll be happy to do so, but if you don't ask me
2	to, I'm going to assume you've understood my
3	question and rely on your answer, is that fair?
1	A. Yes.
5	Q. And I guess the other thing that's important
3	especially in the setting that we're doing this
7	is, let's not talk over each other. There's a

ROBERT GWERMAN, M.D

	5		7
1	Q. Is your employer then the Bethesda Naval	1	5
2	Hospital?	2	
3	A. Technically Ibelieve it's the United States	3	there at the Bethesda Naval Hospital with you?
4	Government.	4	A. I'm not really sure. I mean, we have maybe about
5	Q. And how long have you been employed with •• well,	5	15 to 20 staff, some of which subspecialize, the
6	I should say working at the Bethesda Naval	6	majority of which practice general GYN. We also
7	Hospital?	7	have residents in training. I believe there are
а	A. About a week.	8	six per year.
9	Q. One week. Where were you before that?	9	Q. All right. Doctor, I'm going to have your CV
10	A. At Portsmouth Naval Hospital.	10	here shortly, but could you just briefly take me
11	Q. And how long were you there?	11	through your educational background?
12	A. Five years.	12	A. Sure. Actually I have it in front of me, so I
13	Q. All right. Can you tell me what you do as an	13	can go over that now. I went to college in
14	obstetrician working for a naval hospital?	14	Pittsburgh. From there I proceeded to medical
	A. I provide care to active duty and their	15	school in New Orleans at Tulane University.
16	dependents. I provide almost essentially	16	From there I did an internship in
17	obstetrics care. My specialty is maternal-fetal	17	obstetrics and gynecology at the naval hospital
18	medicine. So I practice the full realm of	18	in Portsmouth. Idid the residency at Bethesda.
19	maternal-fetal medicine.	19	Subsequently did a two year fellowship in
20	Q. Are you also involved in the practice of	20	maternal-fetal medicine in Los Angeles and then
21	gynecology?	21	was at Portsmouth the last five years.
22	A. I practice some GYN, not much. Mainly when I'm	22	Q. Igot your CV now. Doctor, your date of birth is
23	on call, I handle like any kind of GYN emergency,	23	April 24th, 1965?
24	ectopic pregnancies, acute vaginal bleeding,	24	A. To the best of my knowledge, yes.
25	things like that. My main focus is	25	Q. And you graduated from medical school in 1991?
	-		
	6		8
1	Q. Doctor, we're having a little bit of trouble I	1	A. Correct.
1 2	-	1	
	Q. Doctor, we're having a little bit of trouble I		A. Correct.
2	Q. Doctor, we're having a little bit of trouble I guess hearing you on this end, if you can do a	2	 A. Correct. Q. And again just going through your CV a little bit
2 3	Q. Doctor, we're having a little bit of trouble I guess hearing you on this end, if you can do a volume control or something.	2 3	 A. Correct. Q. And again just going through your CV a little bit here, in '92 you did an internship as you said at
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MOUNT SINAI MEDICAL. CENTER

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- Q. You would agree with me, however, that the 1
- 2 majority of the patients that you've seen in your
- 3 practice as an obstetrician have been those
- 4 affiliated with the military?
- 5 A. Yes. I mean, the overall majority. The only
- people who are eligible for care at our hospitals 6
- are active duty and their dependents. On the 7
- 8 other hand, I see more in the military setting
- 9 than most maternal-fetal medicine staff because
- 10 we receive transports from all over the world and
- I care for the wide range of obstetric patients. 11
- 12 Q. So you receive transports from all over the world
- Itake it who are military personnel or their 13
- 14 family?
- 15 A. Correct. Imean, I'd see in emergency situations
- where we care for nonmilitary individuals. 16
- 17 Q. Doctor, are you licensed to practice medicine?
- 18 A. Yes
- 19 Q. And in which states?
- A. I'm currently licensed in Virginia. I think I 20
- also hold a California license, though, Ithink 21
- 22 it's inactive.
- 23 Q. Okay. What about in Maryland?
- A. I don't believe I have a Maryland license. 24
- Q. Is that something that you're going to have to 25

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- acquire with your recent move? 1
- A. Not that I'm aware of. I think the way the 2
- military works is you can actually have a license 3
- 4 in any state and there's no specific requirement
- for a state licensure. 5
- Q. All right. Has your license to practice medicine 6
- 7 ever been revoked or suspended in any state?
- 8 A. Not that I'm aware of.
- 9 Q. And, Doctor, are you Board Certified in any
- field? 10
- 11 A. I'm Board Certified in obstetrics and gynecology
- and also Board Certified in maternal-fetal 12
- medicine. 13
- 14 Q. When did you become so Board Certified?
- A. I believe that I passed on the first attempt the 15
- oral examination for general GYN in 1998 and the 16
- maternal-fetal exam I would have passed on the 17
- first attempt in April of 2000. 18
- 19 Q. All right. What about the written examination,
- did you also pass those on the first attempt? 20 21 A. Yes.
- 22 Q. Doctor, would you have any other hospital
- privileges outside of the naval hospitals? 23
- 24 A. Not currently, no.
- Q. Have you ever? 25

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A. I believe that I had, yes. In the fellowship we

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- worked at a private hospital. When I was in 2
- 3 Portsmouth we also worked at a private hospital 4 as well.
 - Q. And have any of those privileges ever been
- 5 6 suspended, revoked or in any way altered in any 7
- temporary fashion? A. Not that I'm aware of. 8
- Q. I'm looking at your CV here under military 9
- 0 honors, it looks like the third page of what I
- 1 received on your CV. I guess I'm just curious
- 2 more than anything, there's something that says
- 3 National Defense Medal and also a Navy Pistol
- 4 Medal. Could you explain those for me?
- A. What do you specifically want to know? 5
- Q. I take it those aren't related to the practice of 6 7 medicine?
- 8 A. Well, in a sense they are. I mean, I do practice
- 9 medicine in the military and -- well, let me
- 0 first say the Navy Pistol Medal has no
 - relationship to medicine, you are correct.
- 2 The National Defense Medal, I think the
- 3 first one was awarded in '91 during Desert Storm
- 4 and Desert Shield. Again, we care for active
- 5 duty and their dependents, so it's awarded to all

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- people in the military. My role in that is to
- provide military support.
- 3 Q. Okay.
- A. I'm sorry, medical support. 4
- 5 Q. Were you over in Desert Storm?
- 6 A. No.

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- Q. Have you I'm sorry, go ahead. 7
- 8 A. But again most likely we would not be and we
- 9 would care for injured, you know, sailors and
- 0 marines and other individuals. And, you know,
- 1 again, usually in the medical field, we mainly
- 2 function in kind of a supportive role.
- 3 Q. lunderstand. I just want to make sure or at
- 4 least come to understand, outside of your role as
- 5 a doctor within the military, have you ever
- 6 served in any other capacity in the military?
- A. Well, we are assigned ancillary duties. I'm not 7
- 8 just a doctor, an OB/GYN. For example, at
- 9 Portsmouth I was assigned to a marine unit out of
- 0 Camp Lejeune. So we actually got called up to go
- 1 to New York on one of the ships to care for
- 2 survivors. Now there weren't any, so we didn't 3 go. And here at Bethesda I'm on the comfort,

which is a hospital shift. So in reality my

primary mission to the military is to serve as a

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- 13 15 physician to treat the troops, mainly because I 1 I've been provided with, is that current? 1 2 have surgical capabilities. 2 A. I believe it is, yes. 3 Q. Do you have a military rank, is that something 3 Q. Doctor, give me an idea, I take it this is not that's appropriate? 4 Δ your first time testifying as an expert witness? 5 A. Yes. 5 A. Correct. 6 Q. What is that? 6 Q. When did you first begin testifying as an expert A. I'm currently attending commander, although, I've 7 witness? 7 8 been selected for commander. 8 A. I believe it was sometime late in 1997, I believe 9 Q. What does that mean, when will you be a 9 November or December of '97. I think that's when 0 10 commander? I actually got the first case that was sent to 11 A. Well, they only promote a certain amount per 11 me. month, so I'm not really sure. It will start in 2 12 Q. And who sent you that case, if you can recall? 13 the new fiscal year, which would be in October. 3 A. Idon't recall. 14 So it will be sometime after that. 4 Q. Do you remember how they got your name? 15 Q. Are you currently doing any teaching in your 15 A. No. 16 field? 6 Q. When did you -- I know you've published a lot of 17 A. Yes. 17 articles on the issue of brachial plexus injury 18 Q. And to what extent? 8 and shoulder dystocia and we'll probably get into 19 A. Well, I teach everyday. We have medical students 9 some of those a little bit here today. Can you 20 and residents, so I will teach. I'll teach on 20 tell me when the first article you published was 21 21 rounds. Ialso give lectures both on the local on that issue? 22 level at the hospital as well as on a national 2 A. I want to say '96 or '97. I would have to look 23 23 level. Ido many forms of teaching. back at the publication. Actually it looks like Q. All right. Now I've got your CV here. All your 24 24 it was published in '97. 25 teaching I take it is associated with your role 25 Q. All right. Doctor, am I correct that you had not 14 16 1 in the military, you don't teach at any public or 1 testified as an expert witness prior to the 2 2 private universities? publication of your first article regarding 3 3 A. I'm not certain lunderstand your question. brachial plexus injuries and shoulder dystocia? 4 Q. Well, let's look at the part of your CV that says 4 A. Again, I don't know what the relation of the 5 professional background. 5 timing of that article was, you know, if that 6 A. Okay. 6 came out in the latter part of that year. I 7 7 Q. I guess what I'm trying to get at here is the don't know when that came out. I mean, most 8 first entry says Clinical Instructor Uniformed 8 likely, just looking at the reference number 9 9 Services University of the Health Sciences, here, it probably came out in the early part 0 of '97. 10 what's that? 11 A. It's actually the military medical school. 1 Q. And do you recall whether that came out prior to 12 Q. And the second one, could you explain the second 2 the first time you were contacted to review a 13 entry there? 3 case as an expert? 14 A. I'm sorry, Clinical Instructor, LA County USC 4 A. I don't recall. Medical Center. 5 Q. Do you know if one of the reasons you were 15 6 contacted for the first time to review a case as 16 Q. Okay.
- 17 A That would have been when I was a fellow.
- 18 Q. All right. And that's not military affiliated I
- 19 take it?
- 20 A Correct. Actually I don't think I have it listed
- 21 on here, but from '97 to 2002 I had clinical
- 22 appointments at Eastern Virginia Medical School.
- Q. Okay. Doctor, I'm not going to go through all of 23
- 24 your publications and research things here one by
- 25 one obviously. Let me just ask you, the CV that

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article?

an expert was because of the publication of that

A. I think that's probably how they found me. I

mean, if an Internet search was done, then my

name would come up with Med Line or other

writings, that's probably how they found me. Q. Doctor, in that first case that you were asked to

on behalf of the defense or the plaintiff?

review as an expert, were you asked to review it

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1	A. I believe it was on behalf of the defense.	1	percer
2	Q. And was that on an issue of a brachial plexus,	2	Q. Now a
3	Erb's palsy injury?	3	dealing
4	A. Ibelieve it was, yes.	4	with b
5	Q. Doctor, since 1997 can you give me an idea of the	5	A. No. I
6	number of files you have reviewed as an expert?	6	all of th
7	A. That's not something I would specifically keep	7	at case
8	track of. I mean, I can give you a rough guess	8	related
9	but Idon't deep track of the number. Iusually	9	diabete
0	look at in total both on behalf of the plaintiff	0	can re
1	and the defendant. All total one to two cases a	1	Q. Out o
2	month because I just don't have time otherwise to	2	the las
13	do that.	3	what p
4	Q. Has that been fairly constant since 1997?	4	plexus
5	A. Probably the last two years it's been again, I	5	A. Proba
6	have self-limited it to that. I will only review	6	should
7	that number of cases per month. I think	7	Q. Now
8	initially '97 and '98 Iwould have looked at less	8	those
9	cases.	9	dystoc
0	Q. When you say you review one to two cases a month,	0	plainti
1	is that at the present time?	1	A. Again
22	A. Correct. Ithink it's been that way the last two	2	defens
23	years, two to three years or so.	3	just a o
24	Q. So prior to that from '97 to '99ish, again not	4	Q. Istha
25	holding you to any specific dates, how many cases	5	A. Itwax
	18		
1	were you reviewing per month or per year?	1	will ca
2	A. I have no way of knowing that. It's not	2	behalf
3	something I would keep track of. Again, the last	3	Q. Hast
4	three years I've limited it to that. So I know	4	the pe
5	exactly what I'm reviewing. I'll only accept one	5	defens
6	or two cases a month.	6	A. No. I
7	Q. Now with regard to those cases you've reviewed	7	keep tr
8	over the last approximately five years, how many	8	Q. How
a	of them have been on behalf of the plaintiff as	0	roquos

- 9 of them have been on behalf of the plaintiff as
- 10 opposed to the defendant?
- 11 A. Well, I think it's kind of waxed and waned. I
- 12 think initially when I first started reviewing,
- 13 they were mainly on behalf of the defendant. I
- 14 mean, this is all -- total of all of the cases I
- 15 review. For some reason lately I've seen more
- 16 plaintiff cases. Again, they -- I can't remember
- 17 ever reviewing any GYN cases. So they range the
- 18 whole range of obstetrics.
- 19 Q. As an overall proposition, Doctor, based on the
- 20 cases that you review as an expert, can you give
- 21 me the breakdown, plaintiff versus defendant?
- 22 A. I think the last couple of -- the last year it's
- been probably about maybe **60/40** or 70130 as far
- 24 as, you know, mainly defense. Initially Ithink
- the first year or so it was probably 80 to 90

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- nt defense. are all of those matters that you've reviewed g with birth injuries and more specifically rachial plexus, Erb's palsy injuries? Imean, I review cases, they are -- almost them are obstetrically related, but I look es of uterine rupture, maternal death d to hemorrhage, you know, undiagnosed tes. You name it, anything on obstetrics I eview. of all the cases that you've reviewed over st five years, can you give me an idea of percentage of those cases deal with brachial s. Erb's palsy injuries? ably all total about 60 percent would be der dystocia related. limiting this question solely to the field of cases of the ones that are shoulder cia related, what's the breakdown between iff and defendant in that sphere? n, just an estimate, maybe 75 percent se, 25 percent plaintiff. Again, that is complete guess, if you will. at at the present time? xes and wanes, Idon't know. I mean, people 20 all from all over the country both on f of the defendant and the plaintiff. that figure also decreased in terms of ercentagethat you reviewed over the se ... for the defense over the years? I think, I mean, again, it's not something I rack of. I don't know.
 - Q. How many times have you reviewed cases upon
- 9 request of Mr. Auciello or his firm Gallagher,
- 0 Sharp, Fulton & Norman?
- A. As best I can recall I believe I looked at one
 other case.
- 3 Q. Is that one currently active?
- 4 A. Notthat I'm aware of. Again, I don't always
- 5 keep track of whether they've been settled or6 not.
- 7 Q. Were you asked to give a deposition in that case?
- 8 A. Not that I'm aware of.
- 9 Q. So to your recollection this is the first time
- 0 that you've given a deposition where Mr. Auciello
- was the attorney who retained you?
- 2 A. Ibelieve this is the first time I've ever met3 him, yes.

MR. AUCIELLO: He couldn't help me

in that case, Jonathan.

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ROBERT GHERMAN, M.D.

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- 1~ Q. Doctor, you're aware that the plaintiffs in this
- 2 case have retained a few experts, Dr. Ravitz and
- 3 Dr. Adler, do you know either one of those
- 4 gentlemen?
- 5 A. Idon't personally know them, no.
- 6 Q. Do you know of them in some way?
- 7 A. Ibelieve Dr. Adler may have written a report in
- a other cases that I've seen. I'm not aware that
- 9 Dr. Ravitz has ever written anything on shoulder
- 10 dystocia and I have never personally met him.
- 11 Q. Doctor, can you give mean idea of how many
- 12 depositions you've given over the years, the last
- five years since you've been doing this type ofwork?
- 15 A. Maybe about 30 or so, 30 or 40. Again, about
- 16 half the cases all total that I would get, you
- 17 know, I would say that there has been deviation
- 18 or there has not been a deviation, again,
- 19 depending on whether that came from a plaintiff
- or defendant, so about half the cases I end upgiving a deposition.
- 22 Q. All right. Have you asked -- to your knowledge
- have you been asked to give a deposition for any
- cases pending in the State of Ohio?
- 25 A. I may have. I don't keep track specifically of

22

- 1 where the cases come from.
- 2 Q. Can you give any of the names of any other
- 3 attorneys in Ohio that you've reviewed cases for?
- $4 \quad \text{A. Imean, I have reviewed cases for attorneys in} \\$
- 5 Ohio, I don't recall their names.
- 6 Q. What about in Cleveland?
- 7 A. I believe that I've been asked to look at cases
- 8 in Cleveland.
- 9 Q. You can't remember the names of the lawyers,
- 10 though?
- 11 A. Not specifically, no.
- 12 Q. What about trial testimony, Doctor, can you give
- me an idea of the number of times you've appearedto testify in trial?
- 15 A. I'm sorry, what was your question?
- 16 Q. Sure. I just asked how many times you've
- 17 appeared to testify in a trial of a case as an
- 18 expert witness?
- 19 A. I want to say somewhere between five and 10 $\,$
- 20 maybe.
- 21 Q. And same question about Ohio, do you know if22 you've appeared in Ohio in trial?
- 23 A. Idon't believe I have.
- 24 Q. Doctor, it's my understanding that on Friday
- 25 night you're going to be giving a trial

- 23
- deposition in this case? A. Correct.
- 3 Q. The trial in this case is set for next week. Are
- 4 you unavailable to come to Ohio or is there
- another reason that you've opted to give a trialdeposition?
- 7 A. No, that would be the reason. Again, given the
- 8 short notice and the fact that I just took a
- 9 month's worth of vacation, my hospital is not10 going to give me time off.
- 11 Q. Doctor, have you personally ever had a claim12 brought against you for medical malpractice?
- 13 A. No, I've not personally been involved. I'm
- 14 sorry, I've never personally been sued. Iwas
- 15 involved in a case as a second resident where a
- 16 claim was brought against the United States
- 17 Government but, again, there was another resident
- 18 involved in that case as well.
- Q. And I understand that in your capacity as an
 employee of the United States Government you
 probably would not be sued personally. Let me
 just ask the question again just to make sure.
 - Can you tell me the number of times
 - to your knowledge that the Government has been
 - sued where there was an allegation that your care

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- did not meet acceptable standards of care? 1 2 MR. AUCIELLO: Just a generally 3 objection to this line. 4 A. Again, I don't recall the exact specifics of that 5 case and I don't know whether my care was alleged 6 to have been below the standard of care or not. 7 Again, I was one of the individuals involved in a the delivery but I believe that that's the only 9 case that I've ever been part of. 10 Q. What happened in that delivery? 11 A. In that delivery there was a shoulder dystocia. 12 The child ended up having a posterior arm 13 brachial plexus injury. 14 Q. And were you deposed in that case? 15 A. Iwould have been, yes. 16 Q. Can you give me an idea of when that was? 17 A. '95, '96, somewhere in that time frame. 1a Q. At that time were you the person in charge of the 19 deliverv?
- 2'0 A. No, that would have been the third year resident.
- 2'1 Q. What was your role in that delivery?
- 22 A. I would have made the diagnosis of dystocia and I
- 23 believe I called for assistance from the third
- 24 year resident who came and completed the
- 25 delivery.

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1	Q. Forgive me, Doctor, were you a resident at that	1	A. Around the same time frame, maybe '96, '97.
2	time?	2	Q. Do you recall what the allegations were in that
3	A Correct.	3	instance?
4	Q. What year were you?	4	A. The allegation Ithink was a breakdown of
5	A believe was a second year resident.	5	episiotomy.
6	Q. So you were the one who was conducting the	6	Q. You, yourself, did not perform the episiotomy?
7	delivery, you noticed the dystocia and you called	7	A. No. I just delivered the baby and she actually
8	for the third year?	8	had a breakdown during the postpartum period and
9	A Correct.	9	I had no involvement with the patient then.
10	Q. Was that the protocol at that time, that you	0	Q. Doctor, over the entire course $\mathbf{d}^{\mathbf{f}}$ your practice
11	would look for a senior person to handle it in	1	delivering babies, can you give me an idea of how
12	that situation?	2	many shoulder dystocias you personally have
	A I think it would depend on the clinical	3	encountered?
14	situation. There was no standard that we had to	4	A I mean, I can only give you an approximate
15	let a senior know, but I think in general most	5	estimate, maybe 50 or 60. Again, I have always
16	people would do that.	6	practiced in a tertiary care center and it has
17		7	always been associated with diabetics or other
18	bring the third year resident in?	8	patients who would be at risk.
19		9	Q. What about compound presentations, have you
20	that case that would have had me call her in, I	0	encountered those over the course of your
21	don't recall.	1	practice?
22	Q. Do you recall what maneuvers were done in an	2	A. Thave, yes.
23	effort to relieve the shoulder dystocia in that	3	Q. And can you give me an idea of how many of those
24	delivery?	4	you've encountered?
25	A. Idon't recall.	5	A. Probably not that many, maybe a handful, maybe
20			
20			
20			
	26 O Do you recall the ultimate outcome of that claim	-	28
1	Q. Do you recall the ultimate outcome of that claim	1	five or so.
1 2	Q. Do you recall the ultimate outcome of that claim that was brought against the Federal Government?	2	five or so. Q. All right. Starting with the shoulder dystocias
1 2 3	Q. Do you recall the ultimate outcome of that claim that was brought against the Federal Government?A believe it went to a non-jury Federal trial and	2 3	five or so. Q. All right. Starting with the shoulder dystocias that you've encountered, can you tell me to your
1 2 3 4	 Q. Do you recall the ultimate outcome of that claim that was brought against the Federal Government? A I believe it went to a non-jury Federal trial and the finding was on behalf of the plaintiff. 	2 3 4	five or so. Q. All right. Starting with the shoulder dystocias that you've encountered, can you tell me to your knowledge how many of those deliveries the child
1 2 3	 Q. Do you recall the ultimate outcome of that claim that was brought against the Federal Government? A believe it went to a non-jury Federal trial and the finding was on behalf of the plaintiff. Q. Were you involved in that trial? 	2 3 4 5	five or so. Q. All right. Starting with the shoulder dystocias that you've encountered, can you tell me to your knowledge how many of those deliveries the child suffered a brachial plexus or Erb's palsy injury?
1 2 3 4 5 6	 Q. Do you recall the ultimate outcome of that claim that was brought against the Federal Government? A. I believe it went to a non-jury Federal trial and the finding was on behalf of the plaintiff. Q. Were you involved in that trial? A. I would have given testimony. I don't believe I 	2 3 4 5 6	 five or so. Q. All right. Starting with the shoulder dystocias that you've encountered, can you tell me to your knowledge how many of those deliveries the child suffered a brachial plexus or Erb's palsy injury? A. I believe only one, the one that we had
1 2 3 4 5 6 7	 Q. Do you recall the ultimate outcome of that claim that was brought against the Federal Government? A. I believe it went to a non-jury Federal trial and the finding was on behalf d the plaintiff. Q. Were you involved in that trial? A. I would have given testimony. I don't believe I was there for the whole trial. 	2 3 4 5 6 7	 five or so. Q. All right. Starting with the shoulder dystocias that you've encountered, can you tell me to your knowledge how many of those deliveries the child suffered a brachial plexus or Erb's palsy injury? A. I believe only one, the one that we had mentioned.
1 2 3 4 5 6 7 8	 Q. Do you recall the ultimate outcome of that claim that was brought against the Federal Government? A. I believe it went to a non-jury Federal trial and the finding was on behalf of the plaintiff. Q. Were you involved in that trial? A. I would have given testimony. I don't believe I was there for the whole trial. Q. But you did take the witness stand and give 	2 3 4 5 6 7 8	 five or so. Q. All right. Starting with the shoulder dystocias that you've encountered, can you tell me to your knowledge how many of those deliveries the child suffered a brachial plexus or Erb's palsy injury? A. I believe only one, the one that we had mentioned. Q. All right. Doctor, in any of the other
1 2 3 4 5 6 7 8 9	 Q. Do you recall the ultimate outcome of that claim that was brought against the Federal Government? A. I believe it went to a non-jury Federal trial and the finding was on behalf of the plaintiff. Q. Were you involved in that trial? A. I would have given testimony. I don't believe I was there for the whole trial. Q. But you did take the witness stand and give testimony? 	2 3 4 5 6 7 8 9	 five or so. Q. All right. Starting with the shoulder dystocias that you've encountered, can you tell me to your knowledge how many of those deliveries the child suffered a brachial plexus or Erb's palsy injury? A. I believe only one, the one that we had mentioned. Q. All right. Doctor, in any of the other delivery non-shoulder dystocia deliveries that
1 2 3 4 5 6 7 8 9 10	 Q. Do you recall the ultimate outcome of that claim that was brought against the Federal Government? A. I believe it went to a non-jury Federal trial and the finding was on behalf d the plaintiff. Q. Were you involved in that trial? A. I would have given testimony. I don't believe I was there for the whole trial. Q. But you did take the witness stand and give testimony? A. Correct. 	2 3 4 5 6 7 8 9 10	 five or so. Q. All right. Starting with the shoulder dystocias that you've encountered, can you tell me to your knowledge how many of those deliveries the child suffered a brachial plexus or Erb's palsy injury? A. I believe only one, the one that we had mentioned. Q. All right. Doctor, in any of the other delivery non-shoulder dystocia deliveries that you've done, have there been a brachial plexus,
1 2 3 4 5 6 7 8 9 10 11	 Q. Do you recall the ultimate outcome of that claim that was brought against the Federal Government? A. I believe it went to a non-jury Federal trial and the finding was on behalf of the plaintiff. Q. Were you involved in that trial? A. I would have given testimony. I don't believe I was there for the whole trial. Q. But you did take the witness stand and give testimony? A. Correct. Q. Where was that? 	2 3 4 5 6 7 8 9 10 11	 five or so. Q. All right. Starting with the shoulder dystocias that you've encountered, can you tell me to your knowledge how many of those deliveries the child suffered a brachial plexus or Erb's palsy injury? A. I believe only one, the one that we had mentioned. Q. All right. Doctor, in any of the other delivery non-shoulder dystocia deliveries that you've done, have there been a brachial plexus, Erb's palsy injury?
1 2 3 4 5 6 7 8 9 10 11 12	 Q. Do you recall the ultimate outcome of that claim that was brought against the Federal Government? A. I believe it went to a non-jury Federal trial and the finding was on behalf of the plaintiff. Q. Were you involved in that trial? A. I would have given testimony. I don't believe I was there for the whole trial. Q. But you did take the witness stand and give testimony? A. Correct. Q. Where was that? A. Somewhere in Maryland. 	2 3 4 5 6 7 8 9 10 11 12	 five or so. Q. All right. Starting with the shoulder dystocias that you've encountered, can you tell me to your knowledge how many of those deliveries the child suffered a brachial plexus or Erb's palsy injury? A. believe only one, the one that we had mentioned. Q. All right. Doctor, in any of the other delivery non-shoulder dystocia deliveries that you've done, have there been a brachial plexus, Erb's palsy injury? A. believe I was present at a delivery room as a
1 2 3 4 5 6 7 8 9 10 11 12 13	 Q. Do you recall the ultimate outcome of that claim that was brought against the Federal Government? A. I believe it went to a non-jury Federal trial and the finding was on behalf of the plaintiff. Q. Were you involved in that trial? A. I would have given testimony. I don't believe I was there for the whole trial. Q. But you did take the witness stand and give testimony? A. Correct. Q. Where was that? A. Somewhere in Maryland. Q. Federal Court in Maryland I take it? 	2 3 4 5 6 7 8 9 10 11 12 13	 five or so. Q. All right. Starting with the shoulder dystocias that you've encountered, can you tell me to your knowledge how many of those deliveries the child suffered a brachial plexus or Erb's palsy injury? A. believe only one, the one that we had mentioned. Q. All right. Doctor, in any of the other delivery non-shoulder dystocia deliveries that you've done, have there been a brachial plexus, Erb's palsy injury? A. believeI was present at a delivery room as a fellow and the child had transient brachial
1 2 3 4 5 6 7 8 9 10 11 12 13 14	 Q. Do you recall the ultimate outcome of that claim that was brought against the Federal Government? A. I believe it went to a non-jury Federal trial and the finding was on behalf of the plaintiff. Q. Were you involved in that trial? A. I would have given testimony. I don't believe I was there for the whole trial. Q. But you did take the witness stand and give testimony? A. Correct. Q. Where was that? A. Somewhere in Maryland. Q. Federal Court in Maryland I take it? A. Correct. 	2 3 4 5 6 7 8 9 10 11 12 13 14	 five or so. Q. All right. Starting with the shoulder dystocias that you've encountered, can you tell me to your knowledge how many of those deliveries the child suffered a brachial plexus or Erb's palsy injury? A. I believe only one, the one that we had mentioned. Q. All right. Doctor, in any of the other delivery non-shoulder dystocia deliveries that you've done, have there been a brachial plexus, Erb's palsy injury? A. I believe I was present at a delivery room as a fellow and the child had transient brachial plexus during a normal spontaneous delivery.
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29 tertiary center with a high number of 1 1 2 deliveries. I would have no idea, no way of 2 well. 3 3 knowing that. 4 Q. Would it by in the thousands? A. Ithink that's a fair assessment. 5 5 6 Q. And just so Imake sure I understand, of those 6 7 deliveries you've encountered a shoulder dystocia 7 at. 8 8 in approximately 50 or 60? A. That's just a guess. I have no way of knowing. 9 9 0 10 It's not something I keep track of. 11 Q. But at any rate, out of the non-shoulder dystocia 2 deliveries you've been involved in, you can only 12 recall one instance where there was a brachial 13 4 subscription? 14 plexus, Erb's palsy injury? 15 A. Correct. 5 A. No. MR. AUCIELLO: Objection, I don't 6 Q. What is the Precis. 16 17 know if he limited that to non-shoulder 8 18 dystocias. 29 19 Q. Did I misunderstand, Doctor? THE WITNESS: I think he did ask 20 21 related to that. 21 non-shoulder dystocia. 22 Q. [']] repeat it in case it wasn't clear. My 2 question was: Out of the remaining non-shoulder 23 dystocia deliveries you've done, Doctor, there's 24

25 only been one in your experience where there was

30

- a brachial plexus, Erb's palsy injury, is that 1
- 2 correct?
- 3 A. That I've personally been involved in, yes, that
- 4 would be just one.
- 5 Q. All right. Doctor, can you tell me, what are
- 6 some of the publications or journals that your
- office subscribes to within the obstetrical 7
- field? 8
- 9 A. Actually my office doesn't subscribe to any of 10 them.
- 11 Q. Do you personally describe to any, I'm sorry,
- 12 subscribe to any?
- 13 A. Ido.
- 14 Q. What would those be?
- A Ibelieve that I receive the American Journal of 15
- 16 OB/GYN, Obstetrics and Gynecology, The Journal of
- 17 Reproductive Medicine, The Journal of
- 18 Maternal-Fetal Medicine, New England Journal. I
- 19 get many other non-peer review journals as well.
- Q. I'm sorry, Doctor, just for the court reporter's 20
- 21 sake, would you mind just repeating that one more 22 time?
- 23 A. The American Journal of OB/GYN, Obstetrics and
- 24 Gynecology, New England Journal of Medicine, The
- 25 Journal of Reproductive Medicine. Ithink Ijust

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- said Iget other non-peer review journals as
- Q. And what would those be, Doctor?
- 4 A. I think the Female Patient, although that may be
 - peer reviewed. Contemporary OB/GYN, some other
 - throw away magazines that I may or may not look
- Q. Doctor, are you also familiar with the
- publication that is published by ACOG, the
- Precis, and I may not be pronouncing that right?
- 1 A. It's been a while since I looked at them but,
 - yes, I have looked at them.
- 3 Q. Do you also get the Precis as part of your
- 7 A. Well, actually if you look inside the front
- cover, it tells you what it is. It's an update
- of our clinical knowledge concerning medical conditions of obstetrics and the conditions
- 2 Q And who is it that generates the materials that
- are published in the Precis?
- A. It's published by the college. I'm not quite
- certain who authors it. 25

- Q. You would agree that the college is certainly an 1 2 authority in the field of obstetrics?
- 3 A. No. Ithink the college serves, you know, as a
- 4 guideline but it's not an authority.
- 5 Q. Would you agree with me, Doctor, that the
- 6 materials published by the college and in
- 7 particular the Precis are at least reliable
- 8 within the field of obstetrics?
- 9 A. No. Ithink that they - you know, Ithink you
- 10 need a specific Precis. I mean, it tells you
- 11 right on the front cover, it's a guideline and
- 12 it's an update of our knowledge. If you look
- 13 inside the back of the front cover, that's what
- 14 it tells you that it is. So it's an evolution of
- 15 our knowledge concerning conditions related to,
- you know, if this is an obstetrics one, 16
- I7 obstetrics.
- 18 Q. I want to make sure I understand, Doctor, are you
- 19 saying that the materials published in the Precis
- 20 for obstetrics is not reliable?
- 21 A. No. Ithink some of it may be and some of it may
- 22 not be. Ithink you would have to look at what
- 23 the specifics of it were before saying whether I
- 24 thought it was reliable or not.
- 25 Q. Doctor, are you familiar with the 1998 Precis

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MOUNT SINAI MEDICAL CENTER

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conclusion because his data is flawed.

5 Q. All right. Doctor, let's talk about the matter

young Kayla Payne. Can you tell me what

Q. And let me save a little bit of time, Doctor. I

have your report from July 16,2002 and you list

on pages one through two, eight things that you

reviewed. Have you reviewed any additional

of Ronna Watson as well as Dr. Cook and just today I read over very quickly the deposition

A. I'm sorry, I believe over the weekend I did look

Q. Any other deposition transcripts that you've

6 A. Yes. I would have looked at the depositions

0 Q. Okay. Any other materials that you've read?

at the deposition of Videllia Giles.

in Boston, Massachusetts?

opinions in this case? 0 A. I would have looked at --

materials?

of Dr. Ravitz.

read. Doctor? A. Not that I'm aware of.

Q. Doctor, are you familiar with David Acker, M.D.

at hand here if we could, the case here involving

materials you've reviewed prior to providing your

	33		35
1	that was published and particularly with respect	1	A I think I read information as it comes out. I
2	to shoulder dystocia?	2	mean, there very well may be articles that come
3	A. It's been a while since I've looked at it, but I	3	out that I haven't read. It's an area ${f of}$
4	have looked at it in the past, yes.	4	interest of mine. I try and read on this area
5	Q. And you're familiar with the contents of that?	5	when Icane.
6	A. Well, you know, Iwould refer you quite honestly	6	Q. Doctor, are you familiar with Robert Allen of the
7	to Prices 2000. Prices '98 is far outdated. I	7	University of Maryland College Park?
8	mean, it's four years old and most likely the	8	A. I read his material in the past, yes.
9	material that was written is far older than	9	Q. And you're aware that he has put out studies and
10	that. So, you know, that's outdated information	0	articles with respect to the amount of force
11	that you're referring to.	1	occurring in a delivery?
12	Q. So you don't believe that that information is	2	A. He's tried to measure it, you know, mainly in
13	reliable I take it?	3	models, mechanical or bioengineering point of
14	A. No, Iwould refer you to Precis 2000 because,	4	view and I believe that they actually had a few
15	again, I think it clearly tells you that medicine	5	patients in association with Dr. Gonik in early
16	changes and our belief about medicine changed	6	1990s but very, very small series of patients.
17	and, you know, you're not going to rely on a	7	Q. But, Doctor, you would agree with we that he is
18	textbook from 50 years ago, you're going to rely	8	one of the people currently in the field who
19	on current information.	9	still believes that these types of injuries,
20	Q. Was the '98 Precis put out 50 years ago, Doctor?	0	brachial plexus injuries result from excessive
21	A. No, sir.	1	lateral traction on the fetal head?
22	Q. All right. Doctor, you would agree with me that	2	A. Again, Ithink you'd have to ask Dr. Allen.
23	the '98 Precis, again I know you don't have it in	3	Ithink that he may believe that. But I
24	front of you, but that as a general proposition	4	think looking at his data, you know, Ithink
25	it indicated that brachial plexus injuries result	5	that I'm not certain how he arrives at that

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1 from excessive lateral traction on the fet
--

- 2 head, is that correct, Doctor?
- 3 A. That's something you're reading. I mean, I'm not
- going to argue with the fact that you're reading 4
- it. But Ithink that, you know, when you look at 5
- the research information of the last five to 10 6
- 7 years, that's an invalid opinion and that clearly
- а was reflected in the change in Precis 2000 as
- 9 well as articles that have come out in the last
- 10 five years. So, no, I would not rely on that and 11 I don't think that's a valid opinion.
- 12 Q. You would agree with me, Doctor, that there are
- 13 still some within the obstetrical field that hold
- 14 to that opinion even today?
- 15 A. I would have no way of knowing that other than
- obviously I think Dr. Ravitz does but, you know, 16
- 17 realistically, I mean, there may be people who
- 18 believe that peptic ulcers is caused by stress
- when we very well know that the overwhelming 19
- 20 majority of them are caused by bacteria. So our
- 21 medical knowledge of situations change.
- 22 Q. Lunderstand that, Doctor. Iknow that you've
- 23 told methat obviously outside of your practice
- 24 with the military you keep track of the current
- 25 literature in this field, correct?

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4 A. No.

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- Q. Have you read the deposition transcript of Justin 1
- Lavin, M.D. 2
- 3 A. I don't believe that I have.
- 4 Q. What about Dr. Adler's deposition, have you seen
- 5 that?
- 6 A. No. I have not.
- Q. And I take it you wouldn't have read the 7
- deposition of the vocational expert in this case, 8
- 9 RobertAncell?
- 10 A. I don't believe that was sent to me.
- 11 Q. Have you reviewed any additional medical records
- 12 other than the ones you list on your report of
- 13 July 16, 2002?
- 14 A. I don't believe that I have.
- 15 Q. All right. Doctor, have you taken any -- did you
- 16 take any notes on any of the materials that you
- were provided with? 17
- 18 A. I don't believe that Idid.
- 19 Q. Have you had any discussions with any other
- physicians or experts regarding Kayla Payne's 20
- case? 21
- 22 A. No.
- 23 Q. Have you conducted any additional research, read
- any additional articles in preparation for this 24
- 25 case?

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- A. No. 1
- Q. Doctor, am I correct that you've only authored 2
- one report in this case and that's the one that's 3
- dated July 16th, 2002? 4
- 5 A. To the best of my knowledge, yes.
- Q. Can you tell me when you were first approached by 6 7 the defense in this case to review this matter?
- 8 A. By approached you mean contacted either
- 9 electronically or via phone?
- 10 Q. First time you heard about this case.
- 11 A. It would have been sometime before March the 8th,
- 12 2001 because the letter starts out saying thank
- you for agreeing to review this case. So I may 13
- 14 have gotten a phone call or e-mail prior to that
- asking me to review the case, but I don't recall 15
- 16 the date of that initial correspondence.
- 17 Q. Who is the letter from of March 8th, 2001?
- 18 A. I believe it's from Mr. Auciello.
- 19 Q. And again, unfortunately I'm somewhat handicapped
- 20 because I can't see it in front of me there.
- 21 Could you just read me the letter please?
- 22 Hopefully it's not a long letter.

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- 23 A. Sure. Dear Dr. Gherman, thank you for agreeing
- 24 to review this case on behalf of our client,
- Mount Sinai Medical Center, with respect to the 25

- 39
- above-captioned matter. I am enclosing for your
- review a copy of the Complaint. Also please find 2
- the medical records for your review including. 3
- 4 I'll just condense here, if you will, the records
- 5 of Videllia Giles from Mount Sinai and the 6
 - records from Kavla Pavne from Mount Sinai.
- 7 Q. Okay. Thank you, Doctor.
- A. Those are from 6-11-99 to 6-13-99.
- Q. Is that the full text of the letter? 9
- 0 A. Yes.

2

- 1 Q. Have you received any other correspondence from
 - Mr. Auciello or his office in this matter?
- 3 A. I have, yes.
- Q. And how many other letters have you received? 4
- 5 A Ibelieve Ihave received several letters and a
- couple of e-mails. 6
- 7 Q. Do any of those contain any content other than
- just providing you with additional material to 8 look at in the case?
- 9 20 A. Not that I'm aware of. Ithink they're all
- 21 letters saying that we are sending you something, 22 please find enclosed.
- 23 Q. And, Doctor, Itake it you'll have your entire
- file with you again on Friday evening when you 24
- 25 testify in this case?

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- 1 A. Assuming I don't drop anything out of it, yes.
- 2 Q. Well, I'd like to request, if you could, Doctor,
- 3 if you could keep your entire file that you've
- 4 got here together so that when I'm actually there
- 5 with you, I can take a look at it. Okay?
- 6 A. Imake no guarantees, I'm sorry. I will try my 7 best.
- 8 Q. Well, I understand you could inadvertently drop
- 9 something, but you won't remove anything from
- 10 your file, will you, Doctor?
- A. No. 11
- 12 Q. All right. Doctor, turning to the delivery that
- 13 occurred in this case, Doctor, can we agree first
- 14 of all that a shoulder dystocia was encountered 15 here by Ronna Watson?
- 16 A. It's my belief and understanding looking both at
- 17 the note that was written as well as the
- 18 deposition, her deposition, that there was a
- 19 dystocia.
- 20 Q. I'm sorry, just so I heard you, was that was?
- 21 A. Correct.

24

25

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- 22 Q. So there was in fact a shoulder dystocia?
- 23 A. Again, I think she called it as a tight shoulder, but people may make that -- use that to

semantically mean the same as a shoulder

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dystocia.

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Q. How do -- I'm sorry, were you finished? 2 3 A. Yes. Q. How do you personally define the term shoulder 4 dystocia? 5 6 A. I would define it as a failure delivery of the shoulder after initial attempts at downward 7 8 traction and the delivery would require ancillary 9 obstetric maneuversto complete. 10 Q. So by that definition, Doctor, we can agree that a shoulder dystocia was encountered in this 11 12 delivery? 13 A. Correct. 14 Q. Doctor, do you know who Justin Lavin is? 15 A. Idon't specifically know him, no. 16 Q. And I'll just let you know that he was also 17 retained. He's an obstetrician in Ohio and he 18 was also retained on behalf of the defendants in 19 this case to provide an opinion.

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- 20 I take it then you have not been
- 21 provided with his expert report?
- 22 A. Well, I looked at it briefly today. I had not
- seen it prior to today. 23
- Q. So you did see his report. You told me early, 24
- though, you never read his deposition testimony? 25

. .

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1	A. Correct.
2	Q. Doctor, I'm going to have you assume that
3	Dr. Lavin has testified in this case that it was
4	his opinion that a shoulder dystocia was not
5	present in this delivery. Itake it you would
6	disagree with that opinion?
7	A. I would. It is my opinion that, you know, that
8	there was difficulty in delivery. What the
9	midwife had identified as a tight shoulder, I
10	think she described it as a mild dystocia. They
11	did the McRoberts' manuever and episiotomy. The
12	baby quickly delivered after that.
13	Q. Doctor, I don't know if Mr. Auciello has a copy
14	of the deposition transcript there present, but
15	on page 34 of Dr. Lavin's depo, 111 just quote
16	if for you, he says, and I don't think I would
17	characterize this as sort of a true shoulder
18	dystocia.
19	And then just so I'm clear, Doctor,
20	you disagree with Dr. Lavin in that regard,
21	correct?
22	A. Well, I mean, I think, I mean, he may be looking
23	at more in a classical sense of a true shoulder
24	dystocia that may have many maneuvers to be
25	required. I don't know what question you asked

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1	him or what he was exactly thinking. But my
2	interpretation of the case I believe there was a
3	shoulder dystocia present.
4	Q. Doctor, can we agree that it is a deviation
5	Doctor, do you need a moment to review something?
6	A. No, I'm just thinking, go ahead.
7	Q. Okay. Doctor, can we agree that when a shoulder
8	dystocia is encountered such as you've opined was
9	encountered here, that it would not conform with
0	the standard of care to apply excessive lateral
1	traction to the fetal head?
2	A. Ithink in the absence of ••
3	Q. Doctor, unfortunately when you're shuffling your
4	papers, you're hitting the microphone.
5	A. Again, I would only recommend using excessive
6	lateral traction as far as a life saving maneuver
7	on behalf of the child.
8	Q. And, Doctor, you would agree with me from all of
9	the materials you've reviewed in this case, that
0	there was no need for a life saving maneuver in
'1	this delivery, am I correct?
2	A. Correct.
3	Q. So can we agree, Doctor, that if Ronna Watson
'4	applied excessive lateral traction during this
5	delivery, that she deviated from the standard of
	44

1 2

3	time and, you know, how she did it.
4	Q. All right. Under what circumstance would
5	applying excessive lateral traction in this
6	delivery conform with the standard of care?
7	MR. AUCIELLQ: I'm just going to
8	object generally because there's no evidence
9	she applied excessive lateral traction, but
0	since this is a hypothetical, you can go
1	ahead and answer it.
2	A. Again Ithink it would depend on the clinical

A. Well, I think it would depend at what point in

- 3 situation. You know, you'd have to give me more
- 4 of a specific rather than just saying under what,
- 5 you know, general circumstances.
- Q. And I didn't mean to phrase it that way, Doctor. 6 7 What I was really saying was, you've reviewed all
 - the materials in this case, is there any point in
- 9 this delivery based on the materials you've
- 0 reviewed where the use of excessive lateral
 - traction would have been appropriate?
- A. Not in this delivery, no. 2
- 3 Q. So again, Doctor, my question is: In this
- 4 specific delivery if Ms. Watson applied excessive
- 5 lateral traction during the delivery, that would

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1	be a deviation from the standard of care?			
2	A. Again, assuming that's a hypothetical because I			
3	do not see any evidence that she did, I would say			
4	that's a deviation of standard care.			
5	Q. I understand that, Doctor, and Iam giving you			
6	that as a hypothetical.			
7	Doctor, you've told me that you had			
8	an opportunity to review the testimony of			
9	Videllia Giles that was given in this case?			
10	A. Correct.			
11	Q. And I take it then you saw in the material where			
12	Ms. Giles indicated that prior to employing			
13	McRoberts' or cutting an episiotomy, Ms. Watson			
14	grabbed the baby by the head and arm I believe			
15	and attempted to pull it out?			
16	A. I did see that and again that would be the normal			
17	way to do it when you conduct a delivery even			
18	according to my definition. You would exert			
19	downward traction and with failure delivery of			
20	the shoulder you would then proceed with other			
21	maneuvers.			
22	Q. Doctor, I'm going to ask you, and I know it's			
23	difficult, can you lean forward while you're			
24	testifying or at least not lean back?			
25	A l'm sorry.			

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	40		40
1	Q. That's okay. Unfortunately, Doctor, I'm not sure	1	any delivery process.
2	we got that whole answer. I guess let me repeat	2	Q. When a shoulder dystocia is
3	my question.	3	is it proper to attempt to pull the
4	I think my question is: You've	4	head and arm?
5	reviewed the materials of you've reviewed	5	A. Well, after you've done a mar
6	Ms. Giles' deposition and I guess my question	6	general maneuver is done and
7	is: If Ms. Giles' deposition testimony is	7	applied and the vertex is delive
8	accurate, would that be a deviation from the	8	traction will be applied before
9	standard of care?	9	Q. And, Doctor, Iapologize beca
10	MR. AUCIELLO: I'm going to just	10	goes on to say that subseque
11	interpose an objection because another	11	neck and arm the nurse midwi
12	witness from the plaintiff has contradicted	12	and held it up, essentially app
13	that already. I don't have the transcript	13	McRoberts' I guess, and then
14	yet to show him, but just with an objection	14	A. Again, Ithink what she would
15	because that will differ that	15	the traction that would be part
16	A Well, maybe you can refer me to a specific	16	delivery. The midwife attempt
17	question and answer and a specific line and page	17	shoulder won't deliver, she the
18	number and, you know, I'll tell you what I think	18	maneuvers and then exerts tra
19	of it.	19	an inherent part of how you do
20	Q. Sure.	20	do a maneuver, then you have
21	A. And unfortunately I think when I read this over	21	traction. The child is just not
22	the weekend, I think I left every other page at	22	out once you do the maneuve
23	home when I re-stapled it. So you might have to	23	Q. Doctor, I know that unfortuna
24	bear with me. But if you give a line and a page	24	the benefit of having her depo
25	number, hopefully I'll have it.	25	in front of you, so I'm just goir

Comme Market	47
1	Q. Doctor, do you have page 30?
2	A. Idon't think that Ido because I think for some
3	reason I I don't think I do.
4	MR. MESTER: Ernie, do you have that
5	byanychance?
6	MR. AUCIELLO: Unfortunately I
7	didn't bring any other transcripts because!
8	knew he had them all, so I don't have them
9	with me. Let me double-check. No. I don't
10	have any other transcripts.
11	MR. MESTER: All right.
12	Q. Doctor, let me just read a short passage then
13	from Ms. Giles' deposition to you and I'll do
14	this as slow as possible for everyone involved.
15	She's talking about on page 30 about the delivery
16	and she says, she told me to stop pushing. I
17	stopped pushing. She grabbed her by her neck and
18	her arm and pulled and when she pulled them for a
19	few seconds, she was like indicating and then it
20	goes on.
21	Doctor, again, assuming that
22	testimony is true, would that be a deviation from
23	the standard of care?
24	A. No. Again, Ithink what she's describing is the
- '	
25	normal traction that would be an inherent part of
25	normal traction that would be an inherent part of
25	
න 1	normal traction that would be an inherent part of 48 any delivery process.
	48
1	48 any delivery process. Q. When a shoulder dystocia is encountered, Doctor,
1 2	48 any delivery process.
1 2 3	48 any delivery process. Q. When a shoulder dystocia is encountered, Doctor, is it proper to attempt to pull the baby by her
1 2 3 4	48 any delivery process. Q. When a shoulder dystocia is encountered, Doctor, is it proper to attempt to pull the baby by her head and arm? A. Well, after you've done a maneuver, yes. A
1 2 3 4 5	48 any delivery process. Q. When a shoulder dystocia is encountered, Doctor, is it proper to attempt to pull the baby by her head and arm?
1 2 3 4 5 6	48 any delivery process. Q. When a shoulder dystocia is encountered, Doctor, is it proper to attempt to pull the baby by her head and arm? A. Well, after you've done a maneuver, yes. A general maneuver is done and then traction is applied and the vertex is delivered. The
1 2 3 4 5 6 7	 48 any delivery process. Q. When a shoulder dystocia is encountered, Doctor, is it proper to attempt to pull the baby by her head and arm? A. Well, after you've done a maneuver, yes. A general maneuver is done and then traction is
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1 2 3 4 5 6 7 8 9 10 11 12 13 14	 48 any delivery process. Q. When a shoulder dystocia is encountered, Doctor, is it proper to attempt to pull the baby by her head and arm? A. Well, after you've done a maneuver, yes. A general maneuver is done and then traction is applied and the vertex is delivered. The traction will be applied before that. Q. And, Doctor, lapologize because the testimony goes on to say that subsequent to pulling the neck and arm the nurse midwife grabbed her leg and held it up, essentially applying an McRoberts' I guess, and then did the episiotomy. A. Again, Ithink what she would be describing is
1 2 3 4 5 6 7 8 9 10 11 12 13	 48 any delivery process. Q. When a shoulder dystocia is encountered, Doctor, is it proper to attempt to pull the baby by her head and arm? A. Well, after you've done a maneuver, yes. A general maneuver is done and then traction is applied and the vertex is delivered. The traction will be applied before that. Q. And, Doctor, Iapologize because the testimony goes on to say that subsequent to pulling the neck and arm the nurse midwife grabbed her leg and held it up, essentially applying an McRoberts' I guess, and then did the episiotomy. A. Again, Ithink what she would be describing is the traction that would be part of a normal
1 2 3 4 5 6 7 8 9 10 11 2 3 14 15 16	 48 any delivery process. Q. When a shoulder dystocia is encountered, Doctor, is it proper to attempt to pull the baby by her head and arm? A. Well, after you've done a maneuver, yes. A general maneuver is done and then traction is applied and the vertex is delivered. The traction will be applied before that. Q. And, Doctor, Iapologize because the testimony goes on to say that subsequent to pulling the neck and arm the nurse midwife grabbed her leg and held it up, essentially applying an McRoberts' I guess, and then did the episiotomy. A. Again, Ithink what she would be describing is the traction that would be part of a normal delivery. The midwife attempts traction,
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1 2 3 4 5 6 7 8 9 10 11 2 3 4 5 6 7 8 9 10 11 2 3 4 5 6 7 8 9 10 11 2 3 4 5 6 7 8 9 10 11 2 13 14 5 6 7 8 9 10 11 2 11 2 11 2 11 2 11 2 11 2 11 2	 48 any delivery process. 9. When a shoulder dystocia is encountered, Doctor, is it proper to attempt to pull the baby by her head and arm? A. Well, after you've done a maneuver, yes. A general maneuver is done and then traction is applied and the vertex is delivered. The traction will be applied before that. 9. And, Doctor, Iapologize because the testimony goes on to say that subsequent to pulling the neck and arm the nurse midwife grabbed her leg and held it up, essentially applying an McRoberts' I guess, and then did the episiotomy. A. Again, Ithink what she would be describing is the traction that would be part of a normal delivery. The midwife attempts traction, shoulder won't deliver, she then goes into her maneuvers and then exerts traction again. It's
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1 2 3 4 5 6 7 8 9 10 11 2 3 4 5 6 7 8 9 10 11 2 3 4 5 6 7 8 9 10 11 2 3 4 5 6 7 8 9 10 11 2 3 4 5 6 7 8 9 10 11 2 11 2 11 2 11 2 11 2 11 2 11 2	 48 any delivery process. 9. When a shoulder dystocia is encountered, Doctor, is it proper to attempt to pull the baby by her head and arm? A. Well, after you've done a maneuver, yes. A general maneuver is done and then traction is applied and the vertex is delivered. The traction will be applied before that. 9. And, Doctor, Iapologize because the testimony goes on to say that subsequent to pulling the neck and arm the nurse midwife grabbed her leg and held it up, essentially applying an McRoberts' I guess, and then did the episiotomy. A. Again, Ithink what she would be describing is the traction that would be part of a normal delivery. The midwife attempts traction, shoulder won't deliver, she then goes into her maneuvers and then exerts traction again. It's an inherent part of how you do a delivery. You do a maneuver, then you have to go back to
1 2 3 4 5 6 7 8 9 10 11 2 3 14 15 16 17 18 19	 48 any delivery process. 9. When a shoulder dystocia is encountered, Doctor, is it proper to attempt to pull the baby by her head and arm? A. Well, after you've done a maneuver, yes. A general maneuver is done and then traction is applied and the vertex is delivered. The traction will be applied before that. 9. And, Doctor, Iapologize because the testimony goes on to say that subsequent to pulling the neck and arm the nurse midwife grabbed her leg and held it up, essentially applying an McRoberts' I guess, and then did the episiotomy. A. Again, Ithink what she would be describing is the traction that would be part of a normal delivery. The midwife attempts traction, shoulder won't deliver, she then goes into her maneuvers and then exerts traction again. It's an inherent part of how you do a delivery. You

nately you don't have osition transcript

oing to give this

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1	question to you as my own hypothetical, okay?	1	Q. Under that hypothetical, Doctor, wou
2	A. Sure.	2	deviation from the standard of care?
3	Q. Doctor, if in this case Ms. Watson encountered	3	A. Well, again, Ithink as you've describe
4	the shoulder dystocia, at that point told	4	hypothetically, that's not the appropria
5	Ms. Giles to stop pushing and then reached in and	5	handle the situation. But it's my evalu
6	grabbed the baby by her neck and arm without	6	that's not what happened in this case.
7	doing any maneuvers beforehand and then	7	Q. Does that evaluation come from your
8	subsequently did a McRoberts' and cut an	8	the deposition of Videllia Giles?
9	episiotomy afterward, under that hypothetical,	9	A. Yes. It would be also incorporated in
10	Doctor, would that be a deviation from the	0	interpretation of what occurred.
11	standard of care?	1	Q. So it's your recollection that Ms. Gile
12	MR. AUCIELLO: Objection, go ahead.	2	strike that.
13	A. Again, Ithink what you're describing is the way	3	What is your recollection of
14	that she's going to diagnose the dystocia is	4	Ms. Giles' testimony?
15	after her attempts at traction had been	5	A. Well, again, I think first in the context
16	unsuccessful. Again, the initially after	6	which it is taken, again, it can be diffic
17	that, after those initial attempts had been	7	the patient to observe what is happen
18	unsuccessful, she should resort to a maneuver and	8	these things are happening quickly an
19	then exert traction again.	<u>9</u> !	very fluid movements. So, again, I thir
20	Q. Doctor, the excerpt that I read you, Ms. Giles		needs to be looked at within that conte
21	indicated that Ms. Watson told her to stop	1:1	Q. Doctor, is there ever a time when you
22	pushing, would that be an appropriate order after	:2	delivering a baby after a shoulder dyst
23	a shoulder dystocia is encountered?		encountered where you would want to
24	A. Yes.	!4	excessive lateral traction and now I'm
25	Q. So if we assume that Ms. Watson encountered the	:5	in the general sense?
1	shoulder dystocia, in other words, realized it	1	A. I think if all of your other maneuvers
2	was there, told Ms. Giles to stop pushing and	2	worked and you're going to use that a
3	then pulled on the neck and arm, would that be a	3	saving maneuver, in that situation I wo
4	deviation from the standard of care?	4	excessive lateral traction.
5	A. No, because again it's my interpretation in this	5	Q. Outside of a life saving maneuver, Do
6	case that she did the maneuver and then exerted	6	there any time where you would apply
7	her traction.	7	lateral traction when a shoulder dysto
			· · · · · · · · · · · · · · · · · · ·

- 8 Q. But, Doctor
- 9 A. You need to specifically define when she would
- 10 have exerted traction.
- 11 Q. And I thought I had, but let me try it again and
- 12 I think it would be clear by the deposition
- 13 transcript, but let's just do it in a
- 14 hypothetical.
- 15 Let's take it in a hypothetical in
- 16 this order of events, Doctor, Ms. Watson
- encounters the shoulder dystocia and realizes 17
- 18 it and tells Ms. Giles to stop pushing, that's
- 19 No. 1, okay?
- 20 A. Okay.
- 21 Q. No. 2, before doing any maneuvers, before putting
- in a McRoberts' and before doing an episiotomy 22
- she reaches in and tries to pull the baby out 23
- with the neck -- by the neck and arm, okay? 24
- 25 A. Okay.

- uld that be a bed it iate way to luation ir reading of n my es --well, kt in cult for ning and nd they're ink that text. u are stocia is o apply 1 asking you have not as a life ould go to Octor, is y excessive ocia has 8 been encountered? 9 A. I guess let me also add, I mean, there is no standard nomogram for what is considered 0 1 excessive. You know, we really don't even know,
- 2 you know, there's no normal nomogram in labor. 3 But again using the subjective word excessive,
- 4 you normally would not use that, but only in a 5 life threatening situation.
- 6 Q. Doctor, how would you determine I guess whether
- 7 the lateral traction applied is excessive, how 8 might one determine that?
- 9 A. Well, that goes back to the clinical experience
- 10 and the training that one receives doing
- !1 deliveries. That's something that you learn by
- B doing normal deliveries, you know, what it feels like.
- 8 Q. You train residents I take it still at the present time in doing deliveries, correct?

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2 Q. And as part of that training you watch them

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- 3 conduct deliveries?
- 4 A. Yes.

1 A. Yes.

- 5 Q. Can you tell by simply watching the resident
- 6 whether the amount of traction he is applying is7 appropriate?
- 8 A. Ithink that you can. Ithink that they also
- 9 learn with the hands-on approach as well and the
- 10 feeling, but you usually can tell by watching.
- 11 Q. Tell me from your position as an observer
- 12 watching deliveries, can you characterize for me
- 13 what would be in excess of the normal traction
- 14 you'd want to apply?
- 15 A. Well, again, I don't think you can specifically
- 16 tell really. I mean, the only person who can
- 17 truly comment on that is the person who has their
- 18 hands on the head because, you know, excessive
- 19 implies the fact that you're going to measure it
- 20 and it's not something that we routinely do.
- 21 Q. Okay. But you told me before that that's
- 22 something that you say as an observer you are
- 23 able to at least be able to tell somewhat whether
- 24 it's excessive, correct?
- 25 A. No, not necessarily. I mean, I think that as a

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- 1 trained observer, someone who does countless
- 2 deliveries, you might have an idea. But clearly
- 3 even at C-section we put enormous forces on a
- 4 child's head often times trying to get it out or
- 5 other parts of the body, we may put a lot of
- 6 traction on it.
- 7 Q. Doctor, do you have the deposition of **Ms.** Watson
- 8 handy?
- 9 A. Ido.
- 10 Q. All the pages?
- 11 A. One can only hope. Yes, Ithink that Ido.
- 12 Q. All right. Could you turn to page 78, if you
- 13 would, in her deposition?
- 14 A. Sure.
- 15 Q. Okay?
- 16 A. Okay.
- 17~ Q. And I'd like if you could, not out loud, but just
- 18 read the Question starting on line 7 and the
- 19 Answer on line 11?
- 20 A I'm sorry, line?
- 21 Q. My Question beginning on line 7 on page 78.
- 22 A. Going down to where?
- 23 Q. Her Answer on line 11.
- 24 A. How about line 13 and 14 too?
- 25 Q. Sure. My question is really going to be directed

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- to just lines 7 through 11 **but, I** mean, you can read whatever you like.
- 3 A. Well, I mean, I think it's a continuation. I
- 4 think that she's telling you quite clearly she5 didn't apply excessive traction.
- 6 Q. I see that, Doctor.
- 7 A. I think you ask her in line 7 to 9 a hypothetical
- 8 question and she says, yeah, it's a possibility.
- 9 But here she's telling you when you specifically
- 0 ask her, do you recall that, she tells you, I1 didn't apply excessive traction.
- 2 Q. lunderstand, Doctor, but let me ask what my
- 3 question is. My question is: If Ms. Watson has
- 4 indicated in line 11 that there are instances
- 5 where you might have to apply a little bit more
- 6 pressure than normal in a delivery involving a
- 7 shoulder dystocia, would you agree with that
- 8 statement?
- **19 A.** Sure. But, again, that wouldn't be excessive. You might have to exert a little bit more, but
- it may be a gradation. And she's telling you,
- 2 when you continue on in your questioning you say,
- you recall it did happen and she tells you
- 25 point-blank it didn't happen.
 - Q. lunderstand, Doctor. Now my questions are

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- 1 really geared more towards you as an expert in 2 instructing on how we do these delivers, not on 3 what happened in this case. Okay? 4 A. Well, again, you asked me to review a specific 5 part of the deposition which, you know, again, 6 you need to take in the general context of the 7 questions that you're asking. You're asking her 8 kind of a hypothetical situation and then trying, 9 you know, to apply it to this case and she's 10 telling you that, which I think what - you're 11 doing the same thing to me. 12 Q. Doctor, let me ask it even more generally, maybe 13 that will get us on the same page. I believe if 14 I heard your answer correct, you said that there 15 are times where a shoulder dystocia is 16 encountered and it's not a life threatening 17 situation where it would be appropriate to apply 18 a little bit more pressure, it's a gradation I 19 think you said? A. Right. I mean, again, it's not something that we 20 21 measure. But, you know, you may - you very well 22 may fall into the realm of not excessive but a 23 little bit more than normal.
- 24 Q. What is your definition of excessive lateral
- 25 traction?

59 57 1 A. I don't have a standard definition because there 1 okay? 2 2 is no standard objective definition of what is Doctor, haven't studies been done 3 3 excessive. with regard to the amount of force that is 4 applied in deliveries by Robert Allen? 4 Q. And again, Doctor, all I'm trying to do here is 5 A. Well, I think when you look at - I'm sorry. I to understand your opinion in this regard. If 5 6 6 think he's tried but when look at his specific I'm understanding you, you're telling me that 7 there is an amount of traction that would be 7 data, for example, in his study of 29 patients, 8 normal and then there is -- we know that there is 8 there were two patients that had the same amount 9 something called -- and a point where you would 9 of force and one wasn't injured and one had a 10 consider it to be excessive, but you're saying 0 transient injury. So how do you draw any kind of 11 there is a gray area in between there where you 1 causation argument from that? 12 can apply a little bit more than normal and that 2 Q. Do you know the amount of force that is needed to 13 would be appropriate? 3 induce a temporary brachial plexus stretch 14 A. It very well may, yes. 4 injury? 15 Q. Well, that's what I'm asking. I mean, is there a 5 A. I don't think anybody knows that because each 16 yes, that's your opinion? 6 fetus may have their own individual threshold. 7 There is no number above which where we think 17 A. Yes, it is my opinion. 8 18 Q. So it's okay when encountering a shoulder that that force is present. dystocia to apply a little bit more traction than 19 9 Q. Do you recall a letter to the editor that was written by Robert Allen in response to your 20 you normally would? 21 A. In some situations you may have to do that, yes. 11 article with other authors entitled Brachial 2 22 Q. And again, other than a life threatening Plexus Palsy on In Utero Injury? situation. Doctor? B A. I don't specifically recall it, I think it's been 23 咽 a couple of years since he wrote it and I would 24 A. And I'm restating that even in a non-life 25 threatening shoulder dystocia, the provider may have obviously written back. 58 60 Q. You don't recall that specifically, though? 1 exert a little bit more traction than they 2 A. No. I mean, it's been several years since that normally would but it wouldn't be classified as 2 3 3 excessive. article came out, I think three to four years Q. Doctor, by applying more traction than normal 4 4 ago, and he wrote the article right after it came 5 wouldn't that be putting the baby at risk for an 5 out. 6 Erb's palsy injury? 6 Q. Mr. Allen indicates in that -- Dr. Allen 7 7 A. Not necessarily because we don't know what the indicates, I should say, in that letter that the 8 fetal threshold for injury is, meaning each fetus 8 force needed to induce a temporary brachial 9 9 may have their own individual threshold. We plexus stretch injury is roughly 22 pounds of 0 10 actually tried to look at that to see if there traction applied rapidly. Is that something that is -- we're trying to figure out why kids get 1 you disagree with, Doctor? 11 12 injured at the time of shoulder dystocia and we 2 A. Iwould, yes. Again, Idon't think that he from 13 can't figure that out. The majority of kids 3 the few patients that he's described can make 14 4 don't get injured. You would anticipate a much that giant leap to say that. He hasn't studied a higher injury rate. 5 15 huge number of patients to draw that because my 16 Q. But, Doctor, haven't studies been done about the 6 suspicion is, is that, you know, we exert that 7 17 amount of ** amount of force, for example, in doing C-sections 18 A. I'm sorry, may I also add, the only reason why 8 or forceps or other things and yet those childs 9 19 you're claiming excessive traction is because you are uninjured. You know, he's measured in a few 0 20 have an injury in this case. If there was no cases and now generalizing for the entire '1 21 injury but yet the traction was still what you population. I don't think that's correct. 22 define as excessive, then you wouldn't be saying 2 Q. Doctor, while we're on the subject of causation 3

- 23 that.
- 24 Q. Doctor, Lappreciate your editorializations but
- 25 I'm just going to ask you questions here today,

Would you agree with me, Doctor,

let me switch gears a little bit here.

that generally speaking the use of excessive

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- lateral traction by an obstetrician in managing 1
- 2 shoulder dystocia is a cause of brachial plexus
- 3 injury in a baby?
- 4 A. Ithink that's a fair statement. Again, I don't
- think it's the cause. Ithink it's a possible 5 6 cause
- 7 Q. And it certainly -- I know you've testified on
- 8 some plaintiff cases, Doctor, in any of those
- 9 cases have you provided that opinion, that
- excessive lateral traction was used and that's 10
- 11 what caused the brachial plexus injury?
- 12 A. Ibelieve I have, yes.
- 13 Q. And, Doctor, what are the mechanics of that, how
- 14 does that work, how does excessive lateral
- traction when applied cause a brachial plexus 15 16 injury?
- 17 A. Well, usually if there's a stretching out of the
- 18 long axis of the fetus, the stretching of a
- 19 brachial plexus out of the long axis kind of away
- 20 from the other shoulder, if you will.
- 21 Q. Doctor, I have read some of your literature and
- 22 I'm aware that you believe there are other causes
- 23 of brachial plexus injuries other than excessive
- 24 lateral traction?
- 25 A. Correct.

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- 1 Q. Doctor, would you at least agree with me that
- 2 excessive lateral traction is the most common
- 3 cause of the brachial plexus injury?
- 4 A. No. I would not.
- 5 Q. If excessive lateral traction is not the most
- 6 common cause, Doctor, in your opinion what is the 7 most common cause?
- 8 A. My opinion the most common cause would be normal
- 9 labor delivery itself since we clearly know that,
- 10 you know, on average I think it's about 55
- 11 percent or so of these injuries are associated
- 12 with normal spontaneous delivery.
- 13 Q. Doctor, you would agree with me, of course, that
- 14 in those studies you would have to account for a
- 15 certain percentage of deliveries where there was
- 16 a shoulder dystocia that went unrecognized?
- 17 A. Sure. Ithink we acknowledge that, but when you
- 18 go back to pull many studies looking at these,
- 19 there are studies that rate at as high as 75
- 20 percent. So, again, we're not arguing the fact
- 21 that you couldn't have some cases under
- 22 recognition but those studies all totaled, you
- 23 know, some of which are the studies done by
- 24 Gilbert was a huge study of about sixteen hundred
- 25 cases, I think it's unlikely that that's going to

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- account for much change. 2 Q. Doctor, can you explain to me how -- well, first 3 of all, you would agree that in this case a nerve injury was diagnosed and occurred to Kayla Payne in this deliverv?
- 6 A. I'm sorry, what is your question?
- 7 Q. Sure. You would agree with me that Kayla Payne
- 8 sustained a nerve injury in this delivery?
- 9 A. Correct.
- 10 Q. Can you explain to me if that injury was not 11 caused by excessive lateral traction, how can 2 such a nerve injury occur otherwise?
- 3 A. Well, Ithink specific in this case you had a
- 4 compound presentation with the hand coming down, you know, along side the head. If it's coming
- 6 down in such a fashion that it's creating a
- 7 stretch, you know, along side the neck and, you
- 8 know, if that shoulder is impacted up underneath
- 9 the symphysis, that neck is going to be stretched
- 20 as a consequence or in association with a 21 shoulder dystocia.
- 22 Q. By virtue of the compound presentation?
- 23 A. Well, the compound presentation is what's giving
- 24 rise to the shoulder dystocia in this case.
- 25 Q. Okay.

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- 1 A. Again, as that arm is coming down, that may be
- 2 increasing that angle in between the head and
- 3 neck, if you will, thereby stretching the nerve
- 4 roots on that side.
- 5 Q. Well, Doctor, you're suggesting then that that's
- 6 a possibility of what occurred?
- 7 A. No, Ithink it's my opinion of what occurred in 8 this case.
- 9 Q. So if I understand, the compound presentation is
- 0 the reason that she sustained this nerve injury?
- 1 A. Ithink in an overall sense, yes. Again, that
- 2 compound presentation led to dystocia which, you 3 know, again, that compound presentation, the way
- 4 that arm is, again, most likely was creating an
- 5 abnormal angle between the head and neck.
- 6 Q. Doctor, in your practice over the years
 - delivering babies with compound presentations
 - have you ever seen a compound presentation cause
- Ø a brachial plexus injury?
- A. I haven't specifically seen it, no. But on the
- !1 other hand, I've never seen it in association
- 12 with shoulder dystocia in any clinical practice. I've seen it upon my review of cases, yes. I
- ١ haven't seen it thank goodness.
 - Q. So you've reviewed other cases where you've come

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- 1 to the opinion that a compound Presentation
- 2 encountered in conjunction with a shoulder
- 3 dystocia resulted in a brachial plexus injury?
- 4 A. Right. And I have looked at cases where you had
- 5 a compound presentation and that was a case where
- 6 there was actually an umbilical cord that was
- 7 kind of wrapped around the arm, again,
- 8 constricting it as well. Again, they're not
- 9 common, but it can cause it.
- 10 Q. In this case there was no umbilical cord wrapped
- 11 around the arm, right?
- 12 A. Not that I was aware of.
- 13 Q. Have you ever seen or reviewed cases with the
- 14 same or similar set of operative facts as we have
- 15 here and where it was your opinion that the
- 16 compound presentation caused the brachial plexus17 injury?
- 18 A. Again, I think, I mean, I have seen other cases.
- 19 I have again that opinion in this case.
- 20 Q. Doctor-
- 21 A. They're not common. It's not the most common
- 22 cause of dystocia but it can occur.
- 23 Q. You would agree with me, Doctor, that excessive
- 24 lateral traction is a more common explanation
- 25 than compound presentation as a causal agent of

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- 1 the brachial plexus injury?
- 2 A. Are you talking about in this case?
- 3 Q. Generally speaking.
- 4 A. No.
- 5 Q. So let me be clear, Doctor, about my question.
- 6 I'm not talking about this case now because I
- 7 think you've made clear to me what your opinion
- 8 is in terms of what the causative agent was in
- 9 this case.
- 10 But I asked you before whether
- 11 excessive lateral traction is the most common
- 12 cause of brachial plexus injuries and you told me
- 13 that you do not believe that it is, correct?
- 14 A. Correct.
- 15 Q. Maybe we should approach that for a second. You
- 16 said just the normal forces of delivery is the
- 17 most common?
- 18 A. Correct. If you look at large studies of
- 19 brachial plexus injuries, the majority of these
- 20 are just associated with normal spontaneous21 deliveries.
- 22 Q. Okay. Let's go to the second most common
- 23 causative agent of brachial plexus injuries with
- 24 a shoulder dystocia. Now you've told me the
- 25 normal forces of delivery, what's the second most

- 1 common?
- A. The second most common would be a shoulder
 dystocia. Again, that would then be broken down
 either to impaction of the anterior shoulder or
 impaction of the posterior shoulder.

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- 6 Q. And, Doctor, I may not have been clear before in
- 7 my question. In cases where a shoulder dystocia
- 8 is encountered, would you agree with me that
- 9 excessive lateral traction is the most common
- 0 cause of brachial plexus injuries?
- 1 A. No.

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- 2 Q. What's the most common cause in cases where a
- 3 shoulder dystocia is encountered?
- 4 A. It's my opinion that the shoulder dystocia
- 5 process itself. Again, I think it depends on
- 6 which shoulder is injured. Usually it's going to
- 7 be the anterior one but, you know, the studies
- 8 have shown that the clinician applied forces are
- 9 far less than the shoulder dystocia forces.
 - I guess another way of saying that
- 1 is that shoulder dystocia forces, impaction
- 2 forces on the neck area are nearly ten-fold
- 3 higher than the clinician applied forces in the
- 4 shoulder dystocia.
- 5 Q. So with impaction forces then being the most

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1	common cause ${f of}$ a brachial plexus injury when a
2	shoulder dystocia is encountered, what would be
3	the second most common cause?
4	A. I think you asked me in general what the number
5	one cause was and ${\ensuremath{I}}$ told you normal spontaneous
6	delivery and then the second most common would be
7	shoulder dystocia usually of the anterior arm
8	where you have impaction of it.
9	Q. Can I clarify? I'm talking about within the
0	realm of delivery where a shoulder dystocia is
1	encountered, okay, let's just talk about that.
2	You've told me that the normal impaction forces
3	would be the most common cause of injury,
4	correct?
5	A. Of the shoulder dystocia related, yes.
6	Q. That's what I'm asking, yes. Again, staying in
7	the family of cases where shoulder dystocia is
8	encountered, what I want to know is what's the
9	second most common cause?
0	A. I think it would be impaction usually of the
1	posterior arm.
2	Q. Would you mind repeating that answer?
3	A. Ithink it would be a stretch or compression of
1	the posterior arm on sacral promontory

- the posterior arm on sacral promontory.
- 5 Q. What would be the third most common cause?

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- 1 A. Ithink probably the third most common might be
- 2 excessive traction.
- 3 Q. Doctor, I'm going back to the general question I
- guess, of all cases even those without a shoulderdystocia, you would agree with me that it is
- 6 certainly more common for excessive lateral
- 7 traction to cause a brachial plexus injury than
- 8 for a compound presentation to cause a brachial
- 9 plexus injury?
- 10 A. I don't think anybody has ever looked at them
- that way. I can't answer that question as you'veasked it.
- 13 Q. Well, you've told me before you agreed Ithink
- 14 that for a compound presentation to cause a
- brachial plexus injury, that's a relatively raresituation?
- 17 A. Well, no, it's not the compound presentation
- 18 that's causing the brachial plexus. I mean,
- 19 maybe I can answer your question. It's the
- 20 compound presentation that's giving rise to the
- 21 shoulder dystocia. It's the shoulder dystocia
- 22 that's then injuring the arm. So it's
- 23 indirectly, the compound presentation gives rise
- 24 to the dystocia which is much more common than
- 25 excessive lateral traction.

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- 1 Q. Again, you're saying then that injuries with a
- 2 compound presentation and a shoulder dystocia are
- 3 more common than injuries just caused by
- 4 excessive lateral traction by the delivering
- 5 person?

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- 6 A. What I'm saying is that the injuries that are
- 7 caused by dystocia are more common than injuries8 caused by excessive traction.
- 9 Q. Doctor, all I'm trying to get at is this: You
- 10 would agree that injuries caused by excessive
- 11 traction are certainly more common than injuries
- 12 caused by a compound presentation with shoulder
- 13 dystocia presentation?
 - MR. AUCIELLO: Objection, I think
- 15 he's answered that already.
- 16 A. Yeah, I think you asked it. No. I think that
- 17 injuries caused by dystocia are far greater than
- 18 the injuries caused by traction. Now it's the
- 19 compound presentation that's causing the shoulder
- 20 dystocia in this case.
- 21 Q. But the question I have, Doctor, is not about
- just the dystocia. I'm talking about the
- 23 compound presentation.
- 24 MR. AUCIELLO: He said the compound
- 25 presentation isn't the causative factor.

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- 1 Q. But, Doctor, am I correct that your opinion in
- 2 this case with respect to this delivery **is** that
- 3 but for the compound presentation, this injury
- 4 would not have occurred?
- 5 A. No. I think in this case even without a compound
- 6 presentation, even if you had a shoulder dystocia
- 7 without a compound presentation, you still could
- 8 have had an injury. But it's the compound
- 9 presentation that's giving rise to the shoulder
- 0 dystocia which then gives rise to the injury.
- 1 Q. The court reporter has to change paper.
- 2 A. Can I take a restroom break?
- 3 Q. Of course.

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(Thereupon, a recess was had.)

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- 7 Q. Doctor, moving on, would you agree with me that
- 8 having you assume that if Ms. Watson did apply
- 9 excessive lateral traction in this delivery that
- 20 to a reasonable degree of medical probability
- that would be the cause of Kayla's injury?
- A. Not necessarily, no, because again most probablyit would have been injured by the shoulder
- 24 dystocia.
- 25 Q. So just so I'm clear, your opinion in this case

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- is that even if M**s**. Watson did apply what you would characterize and define as excessive lateral traction, that your opinion to a
- 4 reasonabledegree of medical probability is that
 - that excessive lateral traction was not the cause of Kavla's iniurv?
- 7 A. Correct. Ithink you had asked what I believe
- 8 was the most common causes and I listed them and
- 9 the lateral traction was down along the lines of,
- 10 you know, causation. Again, I think in this case
- 1 that arm most likely would already have been
- injured anyway because of the shoulder dystociabeing present.
- 4 Q. Doctor, I'd like you to assume for this question
- the testimony of Videllia Giles that you read and
- that I recounted to you a little bit before.
- 17 Would you agree with me, Doctor, to a reasonable
- 18 degree of medical probability that if Ms. Giles'
- 19 testimony is true, that Ms. Watson would have
- 20 been in that instance the cause of Kayla's
- 21 injuries?
- 22 A. I'm not certain I quite understand your question.
- 23 Q. Sure. Let me repeat it.
- 24 A. Well, Imean, I don't think that Videllia Giles
 - is commenting on the fact that a shoulder

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dystocia is present or not.	1	questions you asked me, and I dor
Q. Well, Junderstand that, Doctor, and	2	did, I don't know what you asked h
unfortunately I guess you don't have her	3	read to him because I don't have h
deposition, the appropriate page but having	4	Q. Having you assume that what Iju
you	5	accurate reading of his deposition
MR. AUCIELLO: Nowhere in the	6	A. And, again, you're reading to me
deposition did she say there was a shoulder	7	his deposition. I don't know what
dystocia.	В	him in the context in which you're
MR. MESTER: Well, I thinkthat's	3	can't really comment on that.
obviously beyond her realm of expertise,	0	Q. You can't comment on it based o
Ernie.	1	it to you, Doctor?
MR. AUCIELLO: Right.	2	A. Well, again, you're reading me on
Q. But, Doctor, let's have you assume the same	3	out of there. Idon't know if you re
hypothetical Igave you earlier, that Ms. Giles	4	the exact words that you read to m
testified on page 30 of her deposition that the	5	context in which you read it to me
midwife told her to stop pushing, that	6	just simply reading those lines.
immediately after that the midwife reached in and	7	MR. AUCIELLO: It's also a
grabbed the baby by the neck and arm and pulled	8	interpreting Videllia Giles' testir
and then after that at that point the nurse only	9	is hardly 🗝
then did McRoberts', cut an episiotomy and was	0	Q. Well, Doctor, let me ask you this.
able to conclude the delivery.	1	any you've read Ms. Giles' testin
If we assume those set of facts,	2	just based on your recollections o
Doctor, would you agree with me that to a	3	from Ms. Giles' testimony, if what
reasonable degree of medical probability	4	true to a reasonable degree of me
Ms. Watson's actions in that aspect would have	5	probability, is that the cause of Ka
	 Q. Well, Iunderstand that, Doctor, and unfortunately I guess you don't have her deposition, the appropriate page but having you MR. AUCIELLO: Nowhere in the deposition did she say there was a shoulder dystocia. MR. MESTER: Well, I thinkthat's obviously beyond her realm of expertise, Ernie. MR. AUCIELLO: Right. Q. But, Doctor, let's have you assume the same hypothetical I gave you earlier, that Ms. Giles testified on page 30 of her deposition that the midwife told her to stop pushing, that immediately after that the midwife reached in and grabbed the baby by the neck and arm and pulled and then after that at that point the nurse only then did McRoberts', cut an episiotomy and was able to conclude the delivery. If we assume those set of facts, Doctor, would you agree with me that to a reasonable degree of medical probability 	Q. Well, Lunderstand that, Doctor, and 2 unfortunately Iguess you don't have her 3 deposition, the appropriate page but having 4 you 5 MR, AUCIELLO: Nowhere in the 6 deposition did she say there was a shoulder 7 dystocia. 8 MR. MESTER: Well, I thinkthat's 3 obviously beyond her realm of expertise, 0 Ernie. 1 MR. AUCIELLO: Right. 2 Q. But, Doctor, let's have you assume the same 3 hypothetical Igave you earlier, that Ms. Giles 4 testified on page 30 of her deposition that the 5 midwife told her to stop pushing, that 6 immediately after that the midwife reached in and 7 grabbed the baby by the neck and arm and pulled 8 and then after that at that point the nurse only 9 then did McRoberts', cut an episiotomy and was 0 able to conclude the delivery. 1 If we assume those set of facts, 2 Doctor, would you agree with me that to a 3 reasonabledegree of medical probability 4

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1	been the cause of Kayla's injury?

- 2 A. No. I think in this case you had a shoulder
- 3 dystocia. What you're reading to me doesn't even
- 4 define a shoulder dystocia and it's my
- 5 interpretation as well as the interpretation of
- 6 nurse midwife Watson that there was a dystocia.
- 7 So Ithink you're just going in a roundabout way
- 8 of it. So, no, I wouldn't agree with that.
- 9 Q. And, Doctor, I'm going to read a portion of
- 10 Dr. Lavin's testimony to you because I know you
- 11 don't have the deposition. My question to
- 12 Dr. Lavin was: Doctor, having you assume that
- 13 Ms. Giles' testimony is accurate, would you agree
- 14 with me that that would be a deviation from the
- 15 standard of care? Answer: If it was accurate,
- 16 yes. Question: Doctor, if that testimony is
- 17 accurate, would you agree with me that that would
- 18 be the cause of Kayla Payne's brachial plexus
- 19 injury? Answer: Probably, yes.
- 20 My question is, Doctor, if what I'm
- 21 reading of Dr. Lavin's deposition is correct, in
- 22 other words, if I'm reading it correctly, am 1to
- 23 assume you disagree with Dr. Lavin in that
- 24 respect also?
- 25 A. Well, I think if you asked him the same exact

- 75 on't know that you him or what you his deposition. ust read you is an n. e something out of t you're asking e asking him. I on the way I read ne little line ead to him me in the exact e. It's more of a matter of imony which . Is there mony. Again, of what you read t she says is edical ayla's injury? 76 A. Ithink you've asked that and I think I've 1 2 answered it. The answer is no because it's my 3 interpretation that the injury occurred with the 4 shoulder dystocia and would have been present 5 irregardless. 6 Q. And if Dr. Lavin opines otherwise, that based on 7 his reading of Ms. Giles' deposition, that it's 8 his opinion that that would have been the cause 9 of Kayla's injuries, again if Ms. Giles' 0 deposition is taken as true, you would disagree 1 with him in that regard, correct? 2 A. Again Ithink I would have to know what he 3 specifically said before lagreed or disagreed. Q. All I'm asking you, Doctor, is based on a reading 4 5 of Videllia Giles' deposition. A. But again, you're asking about specifics of what 6 7 occurred when.
 - 8 Q. I'm really not. I read you Dr. Lavin's
 - 9 deposition where lasked him, having you assume
 - 3 that Ms. Giles' testimony is accurate, and he
 - 1 said based on that, that that would have been in
 - 2 all probability the cause of Kayla Payne's
 - 3 injury. I just want to confirm what I think is
 - 4 obvious, Doctor. You disagree with that, right?
 - 5 A. If you ask me specifically on page 30, the

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- 2 Q. Here's what lasked him before that question.
- 3 Doctor. Did you read in her, meaning Ms. Giles'
- 4 testimony, where she indicated that upon delivery
- nurse midwife Watson grabbed the baby's head and 5

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- 6 neck and pulled?
- 7 A. Okay.

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- 8 Q. And based upon that excerpt he went on to say
- 9 that, yeah, he believed that that would have
- 10 probably been the cause of injury?
- 11 A. Well, I think you asked that in a general fashion
- 12 and I would agree with that too in a sense. But
- 13 you're asking about the specifics of this case
- and when she pulled or didn't pull and so in a 14
- 15 sense lagree and in a sense ldisagree.
- 16 Q. Can you explain further, in what sense do you 17 agree?
- 18 A. Well, I mean, you're asking him simply if she had
- 19 done no maneuvers and she had just pulled, would
- 20 that have been a deviation of standard of care
- 21 and think that's what Dr. Lavin's getting at, but
- 22 you can ask him specifically. I would agree with
- 23 that. Again, not doing any maneuvers and just
- 24 pulling is a deviation.

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Now reading what you have read to me

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- 1 and looking at causation, it's my opinion that
- 2 that injury would have occurred irrespective and
- 3 Idon't think you asked that to Dr. Lavin. So I
- can't agree one way or the other with what he 4
- 5 said. You're reading something to me that's just
- out of context. 6
- Q. Let's assume --7
- A. You're not reading to me the same information 8
- 9 that you're reading to Dr. Lavin. You're not
- 10 asking us the same questions. So I'm not going to get into a conflicting discussion with him. 11
- 12 Q. Doctor, I've read it to you word for word, other
- 13 than the fact that you haven't seen it, there's
- 14 nothing more I can do.
- 15 MR. AUCIELLO: Jonathan, Ithink
- 16 you've made your point. I think we can --
- 17 MR. MESTER: Well, let me just
- finish it and I'll move on. 18
- Q. Doctor, if what you said is true, if let's just 19
- take as a general proposition, if upon 20
- 21 encountering this shoulder dystocia Ms. Watson
- reached in and pulled and didn't do any other 22
- maneuvers, you believe that if that happened in 23
- 24 this case, that that still would not be the cause
- 25 of this injury, correct?

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- 1 A. Correct.
- 2 Q. If Dr. Lavin believes otherwise, you would
- 3 disagree with him?
- 4 A. Ithink in a general sense, yes.
- 5 Q. Okay. I'll move on.
 - Doctor, did you see anywhere in the
 - medical record where Ms. Watson documented the
- 8 amount of traction that she applied in this
- 9 delivery?
- 10 A. No, that's not something that you would normally 11 document.
- 12 Q. Is that something that in the course of your
- 13 teaching medical students and so forth you would recommend be done? 14
- 15 A. Not necessarily. I mean, I think ideally you
- 16 would like to have it done but it's not going to
- 17 be routinely done. I think you would like to
- 18 have it done but there's no standard of care that
- 19 says it has to be done or it does not have to be 20 done.
- 21 Q. Let me repeat my question, Doctor.
 - Do you recommend that the delivering
 - person document the amount of traction done in a
- 24 deliverv?

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25 A. I think, yeah, you'd like to recommend it. But

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- 1 there is no standard that it has to be done. 2 Yeah, I mean, I'd like to see it done. I'd like 3 to see a lot of other things documented but 4 they're not always documented. 5 Q. And why is it that you'd like that to be 6 documented, Doctor? 7 A. Well, I think, you know, again, if excessive 8 traction was used, that would be a deviation of 9 standard care and, again, I think I would like to 10 see it. Clearly this is a litigious area. If 11 I'm being asked to review it and I see that, then 12 I might say it's a deviation of standard of care. 13 You know, again, there's plenty of 14 things that I would like to see, for example, 15 presentation of the head, how long the shoulder 16 dystocia was, you know, the sequence in type of 17 maneuvers, but those aren't -- not everything is 18 always there. Most of it is, but not all of it. 19 Q. So, Doctor, you would agree that medical records 20 particularly with respect to documenting shoulder 21 dystocia deliveries often fail to record what 22 occurred? 23 A. No. Ithink the standard of care, you know, that's just a minimum and that's to document 24
- 25
 - dystocia or the maneuvers that were done.

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- Q. Doctor. I--1
- 2 A. There's no standard of care that says that you
- 3 have to diagnose the other things that you would
- 4 like see, for example, who was in the room, how
- 5 long the dystocia lasted, you know, what the
- 6 traction that you used was. There's no standard
- 7 of care that says you have to document that.
- 8 Q. Doctor, I'm not asking you a standard of care
- 9 question right now. All I'm asking is would you
- 10 agree that as a general proposition the medical
- records in shoulder dystocia cases often failed 11
- to record everything that occurred in the 12
- 13 delivery room?
- 14 A. No, I would not agree with that.
- Q. Doctor, would you agree with me that, again as a 15
- 16 general proposition, the delivering personnel
- 17 will sometimes minimize their actions in terms of
- 18 the way they report it on the medical record?
- A. I haven't seen that, no. I wouldn't agree with 19
- 20 that general statement.
- Q. All right. Doctor, let's talk a little bit more 21
- 22 specifically about compound presentations.
- 23 Doctor, you've read in this case that Ms. Watson
- 24 artificially ruptured the membranes?
- 25 A. Correct.

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- Q. That was done I believe at plus one station? 1
- 2 A. Correct.
- 3 Q. Just so I understand, what is plus one station?
- A. Will be approximately one centimeter past the 4
- 5 ischial spine.
- Q. And, Doctor, when the delivering person ruptures 6
- 7 the membranes at plus one station as Ms. Watson
- 8 did in this case, if there is a compound
- 9 presentation at that point in time, is that
- something the delivering person would be able to 10
- know when rupturing the membranes at plus one 11 station? 12
- 13 A. If it was present, yes. But, again, it may occur
- 14 after that.
- Q. All right. But there are times where certainly 15
- 46 you would acknowiedge that during that process
- 17 the delivering person would be able to recognize
- the compound presentation at that point? 18
- 19 A. Well, Ithink it depends on where it occurs. I
- 20 mean, compound presentation where you scoop the
- 21 hand up by the head and if you're feeling the top
- 22 of the head, you may not feel that but it still
- could be compound by definition. 23
- 24 Q. But sometimes -- I'm sorry.
- 25 A. It could still be there and they night not be

- able to diagnose it.
- 2 Q. Doctor, there are compound presentation
- deliveries where the hand is on top of the head? 3

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- 4 A. Correct.
- 5 Q. In those circumstances where there is an
- 6 artificial rupture of the membranes at plus one
- 7 station, would the delivering person potentially
- 8 be able to diagnose the compound presentation at
- 9 that point?
- 0 A. Potentially they could.
- Q. Okay. Doctor, could you turn in Ms. Watson's 1
 - deposition to page 61, please?
- 3 A. Yes.

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- 4 Q. Are you there?
- 5 A. Yes.
- 6 Q. And look at lines 4 through 7.
- 7 A. Okay.
- 8 Q. Do you agree with Ms. Watson the answer to my question in that respect? 9
- A. Ido. lagree with her answer. 0
- 1 Q. How so?
- 2 A. Well, again, I don't think it was present. It
- 3 very well --- well, she didn't diagnose it and she
- 4 wouldn't have been able to notice it if it was
- 5 just along side the cheek or up against the ear

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1	or, you know, a little bit higher up, you
2	wouldn't have been able to diagnose it.
3	When you asked her on page 62 , lines
4	21 to 24, and she says it's either, you know, you
5	ask her if you recall it being next to the head,
6	she says, I recall it either being next to the
7	head or folded up like against the shoulder.
8	There was no way she could have diagnosed it at
9	plus one stage given that clinical situation.
0	Q. Doctor, as a general proposition, however, if
1	Ms. Watson's statement on page 61, line 6, of oh,
2	no, of course not, the baby is still inside then,
3	if that is to be interpreted as her indication
4	that you cannot recognize a compound presentation
5	at plus one station, you would disagree with her
6	there I assume?
7	A. Again, I don't know what she means by the baby is
8	still inside there. You're reading into it. I
9	mean, she says I mean this is yes, no what
0	you asked her was a yes, no question, you said,
1	do you know if you noticed it, she says, no, of
2	course not.
3	Q. The baby is still inside then?

- A. Again, I don't know, you would have to ask her 5
- what she means by that.

ROBERT GHERMAN, M.D

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- 1 Q. Okay. Doctor, did you read in Ms. Giles'
- 2 deposition where she indicated that after
- 3 traction was applied the baby's arm flopped
- 4 against her leg?
- 5 A. What page are you referring to?
- 6 Q. Unfortunately I think that was on page 30.
- A. I mean, I would have read it. I would have read 7 8 it over the weekend, yes.
- Q. Do you recall that being part of her testimony? 9
- 10 A. I don't specifically recall it.
- 11 Q. Is that something that you've encountered in your 12 practice, that scenario that I've described?
- 13 A. I'm sorry, can you reask that again just so that
- 14 I'm clear?
- 15 Q. Sure. Again, having you assume, and I really
- 16 don't want to get into reading into her depo
- again, that Ms. Giles' testimony was that after 17
- 18 traction was applied and before the McRoberts'
- 19 maneuver was employed, the baby's arm flopped
- 20 against her leg, is that something you've
- encountered in delivery? 21
- 22 A With a compound presentation that's described
- 23 there, yes. Again, that compound presentation,
- 24 as the head is coming out, that compound
- 25 presentation is going to be released.

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- Q. Would that be consistent also with the delivering 1
- person pulling on that arm? 2
- A. I don't think so, no. I think it would be quite 3
- unusual to pull on the arm, just pull the arm 4 out. 5
- Q. So that would not be consistent with the 6
- 7 delivering person pulling on the arm?
- 8 A. No.
- 9 Q. So if Dr. Lavin also indicated in his deposition
- 10 that in a compound presentation if the delivering
- 11 person were to pull on the arm, that would cause
- the arm to come out, you would disagree with him 12
- 13 in that regard as well?
- 14 A No. That's not what I'm saying.
- 15 Q. Well, what are you saying?
- 16 A. Well, I think if the provider was just going to
- 17 pull on the arm, it could come out. But it's my
- 18 interpretation that that's not what happened 19 here.
- 20 Q. I apologize if you misunderstood. That was all I
- was asking. It would be consistent generally 21
- 22 speaking if the delivering person pulled on the
- 23 arm in a compound presentation, it would come out
- 24 and hit her in the leg, right?
- 25 A. No, not necessarily. It's a possibility. It's

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- also a possibility that, you know, the provider
- 2 did the maneuver, they relieved the dystocia and
- 3 as the dystocia was being released, that arm came
- 4 out, that's another possibility.
- Q. I understand there are all kinds of 5
- 6 possibilities, Doctor. I just want to make sure
- 7 that we're on the same page. That would be
- 8 consistent, that would be one possible
- 9 possibility, correct?
- 10 A. It would be a possibility.
- 11 Q. All right.
- 12 A. That's not my interpretation of what happened 13 here.
- 14 Q. I understand that. How else would the baby's arm
- 15 come out and strike the mom's leg other than if
- 16 the delivering person had pulled on it?
- 17 A. Well, as the shoulder dystocia is being
- 18 alleviated, as the McRoberts' maneuver is being
- 19 done, it converts the shoulder to an oblique
- 20 diameter, the shoulder is free, the head is able
- 21 to come out and the arm is next to it and it just 22
 - comesout.
- 23 Q. You're talking about after the shoulder dystocia is relieved? 24
- 25 A. Correct.

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- Q. What about prior the time the shoulder dystocia 1 2 is relieved?
- 3 A. Again, I don't think that it could have come out
- 4 on its own. It's causing the dystocia, it's
- 5 what's obstructing. I think it would be highly
- 6 unlikely it would come out by the provider just
- 7 pulling on it.
- 8 Q. Doctor, switching gears, would you agree with me
- 9 that the diagnosis and need for additional
- 01 maneuvers in the realm of a shoulder dystocia
- 11 case is directly affected by the experience of a
- 12 delivering person?
- 13 A. Not necessarily. I mean, I think in a general
 - sense that's fair but, you know, if the provider
- 15 has been in shoulder dystocia and they've been 16 trained to do that, no.
- 17 Q. Let me read it again. I'd like an answer of
- 18 whether it's accurate or not if you can give it
- 19 to me, but I understand you may not have caught 20 it all.
 - Would you agree with the statement
- 22 that the diagnosis and need for additional
- 23 maneuvers is directly affected by the experience

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- 24 of the delivering person?
- 25 A. I think in a general sense. I don't know if I

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AUGUST 7,2002

ROBERT GHERMAN. M.D

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A. No.

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1	would necessarily agree with that.		
2	Q. So you would not agree with that?		
3	A. No, not in the context in which you've said it.		
4	Q. Okay. Doctor, in this case Ms. Watson testified		
5	in her deposition that she encountered 10 prior		
6	shoulder dystocias before this one, do you		
7	remember that?		
8	A. Idon't specifically recall that. I mean, it's		
9	been awhile since I looked at it. I remember		
10	recollecting that she had been in the situation		
11	before and having encountered that.		
12	Q. Maybe I can find the page for you quickly. Look		

- 13 at page 40, Doctor.
- 14 A. Okay.
- 15 Q. The question on line 20.
- 16 A. Well, she said at least 10.
- 17 Q. Okav.
- A. She said maybe more. 18
- 19 Q. All right. Would you agree with me, Doctor, that
- given that number that Ms. Watson would be less 20
- 21 capable of making a diagnosis and handling
- 22 additional maneuversthan one who is more
- 23 experienced in doing those deliveries?
- 24 A. No. Again, I think that, you know, 10 is a
- 25 reasonable number. I don't think you ever asked

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- her what maneuvers that she did. I mean, the 1
- 2 first one she may have ever encountered may have
- 3 been the worst one in her life.
- 4 She may have done other maneuvers.
- 5 Again, it's part of her training, you know, in
- 6 handling shoulder dystocia. You even asked her
- 7 on page 41, is she trained in it, yes.
- 8 Q. Moving on. Would you agree that shoulder
- 9 dystocias attended by a midwife are at three to
- 10 four-fold increased risk of neonatal brachial plexus injury? 11
- 12 A. Again, it sounds like something I wrote and came
- 13 from an article. Idon't know that Iwould
- 14 necessarily agree with that as a general blanket
- 15 statement.
- 16 Q. You don't agree with the stuff that's in your
- 17 articles? 18 A. Again, I took that from another article, you
- know, that is 20 years old. I don't think I 19
- 20 would necessarily agree with that. I would have
- 21 to go back and look at the specifics of how they
- 22 got that information.
- Q. Well, Doctor, I'm taking this, as I believe 23
- 24 you're aware, from your article entitled Brachial
- 25 Plexus Palsy on In Utero Injury and the sentence

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that you've written is, and it's under the 🚥 I
take it you don't have that article with you?
A. No.
Q. It's under the section heading of risk factors
and your sentence is, although there has been no
correlation with the physician's level of

- 7 obstetric experience, shoulder dystocias attended
- 8 by either a midwife, nurse, corpsman or osteopath
- 9 are at three to four-fold increased risk of
- 0 neonatal brachial plexus injury?
- A. And, again, I would agree with that statement as 1
- 2 I wrote it. But, again, I don't think you can
- correlate from there to the general midwife or 3
- 4 general osteopath population, you can't do that.
- 5 I guoted a specific study and they may have
- looked at it in that fashion. 6
- 7 Q. Do you disagree with that study?
- 8 A. I have to go back and look at it, parts of it. I
- 9 could do that between now and Friday and tell you whether lagree with it or disagree with it.
 - MR. AUCIELLO: I don't think he
- !2 wants you to do that.
- !3 Q. Well, I mean, obviously you'll have that
- 5 opportunity.

Doctor, do you know whether

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8	02
1	residents or doctors were available to perform
2	deliveries at Mount Sinai in June of 1999?
3	A Idon't specifically know that.
4	Q. Would you agree with me, Doctor, that there is at
5	least some increased risk of neonatal brachial
6	plexus injury when a non-physician is conducting
7	the delivery?
8	A. No. Again, I think as a general blanket
9	statement, no, I don't think that they are
0	necessarily because again, if we go back and look
1	at that specific article, whether they're
2	specifically comparing midwives to the general
3	population, again, I think there is other
4	information out there that state irregardless of
5	the level of experience period. And, again, this
6	is a provider who is experienced in handling
7	dystocia. She's not really at an increased
8	risk. That same injury could have occurred if a
19	resident had been there or if a staff physician
	had been there.
11	Q. I know, but my only question, Doctor, and I think
12	you've answered it, though, is as a general
	proposition is there an increased risk with

42 having a non-physician handle a delivery in a hospital setting?

ROBERT GHERMAN, M.D

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3 A. Okay.

Watson, okay?

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- 1 A. Again, I think, you know, yeah, I may have wrote
- 2 it but I'm not certain I quite agree with that as
- 3 a general blanket statement.
- 4 Q. So, again, I'm just trying to understand, now
- 5 that you've revised -- revisiting the issue you
- 6 may not agree with what you wrote in 1999?
- 7 A. Yeah, Ithink in a general sense, I mean, a5 a
- 8 general statement saying that by having the
- 9 patient delivered by a midwife is she at an
- 10 increased risk, not necessarily, no.
- 11 Q. Doctor, are there any other opinions that you'll
- be providing in your testimony, in your trial
- 13 testimony on Friday that aren't contained in your
- 14 report or that we have not discussed tonight?
- 15 A. I don't believe so. I mean, I don't believe
- 16 you've asked me today about the antepartum care,
- 17 but I believe that I had addressed in my report
- 18 that the prenatal care was within the standard of
- 19 care.
- 20 Q. The prenatal care that was done at Metro
- 21 Hospital?
- 22 A. Correct.
- 23 Q. Metro Hospital is not a defendant in this case,
- 24 Doctor.
- 25 A. I'm sorry?

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	04		55
1	Q. Metro is not a defendant in this case.	1	asking you now is: Do you have the impression
2	Doctor, you don't have any opinions	2	that Ms. Watson wasn't sure initially what was
3	Itake it regarding the permanency of Kayla's	3	happening?
4	injury?	4	A. Ididn't get that exact impression, no.
5	A. No.	5	Q. Did you have any impression at all that there
6	Q. And you don't have any opinions regarding	6	was, you know, ever a period after the dystocia
7	whether, you know, physical therapy had it been	7	and compound presentation presented that she
8	done on a more extensive basis would have changed	8	didn't know what was happening?
9	her ultimate outcome?	9	A. No. It's my interpretation that she went to a
10	A. No, I do not have an opinion about that.	10	recognized maneuver, did the maneuver, dystocia
11	MR. AUCIELLO: Jonathan, I'm not	11	was alleviated.
12	going to ask him questions relating to that,	12	Q. So if Dr. Lavin holds that opinion, you would
13	I'll stipulate to that.	13	disagree with him in that regard?
14	MR. MESTER: All right. And, of	14	A. Again, that's his opinion. Yeah, I think that
15	course, that would apply to future surgeries	15	the facts in this case are that she used the
16	and so forth?	16	McRoberts' and the episiotomy that alleviated the
17	MR. AUCIELLO: All of that. He's a	17	dystocia. If it was a compound presentation, she
18	standard of care liability expert, not a	18	may not have known that there was a compound
19	damage expert.	19	presentation and maybe that's what he meant by
20	MR. MESTER: I understand.	20	that.
21	Q. Doctor, have you had an opportunity to review	21	And, again, she very well may not
22	notes that were taken by Dr. Lavin in his reading	22	have known that there was a compound presentation
23	of the materials in this case?	23	and may not have been aware that that was present
24	A. No, I have not.	24	and so may not have known in that sense there was
25	Q. I'd like to read you a note that Dr. Lavin took	ත	a compound presentation. But as far as her
		1	

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95 concerning his reading of the deposition of Ronna

Q. And it's not long so it will just take a moment.

deposition wrote, and I'm quoting, I get the

impression she wasn't sure initially what was

happening, end quote. I guess my question,

MR. AUCIELLO: Objection.

Dr. Lavin thinks. Again, my reading of her

interpretation that she had handled it with a

dystocia and she knew what she was doing.

impression that she wasn't sure initially what

sense she may not have known. I mean, she may

until later. I don't know what he specifically

25 Q. Iknow and that's absolutely true. But what I'm

not have known there was a compound presentation

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Q. So, Doctor, I take it that it's not your

20 A. Well, again, I think that -- I mean, in a general

meant by that, you have to ask him.

was happening?

deposition and my review of the records, it's my

well recognized maneuver and that she identified

A. Again, I'm not going to comment on what

Doctor, is: Do you agree with that statement?

Dr. Lavin in his reading of Ms. Watson's

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- 1 management of this acute obstetric event Ifeel
- 2 that she handled it in an appropriate and timely
- 3 fashion.
- 4 Q. Doctor, I know you told me that you had an
- 5 opportunity to read Dr. Ravitz' deposition.
- 6 A. No, actually what I said was i briefly skimmed
- 7 it. I did not review it in its entirety.
- 8 Q. All right. Fine. He indicated in his deposition
- 9 that everything else being equal, I think he said
- 10 you have seven to nine minutes while a baby will
- 11 be well oxygenated and not have any risk of brain
- damage once the dystocia is encountered. Roesthat sound correct to you?
- 14 A. Well, yes and no. I mean, there are studies that
- 15 have looked at that and the median time is about
- 16 seven minutes. On the other hand there is other
- 17 information out there that suggests that when
- 18 they looked at cases of death associated with
- 19 dystocia and the median time was about five
- 20 minutes. So I think it depends on what the baby
- 21 is like going into dystocia. You know, the time
- 22 frame is probably about four to five minutes I
- 23 would suspect, somewhere in there.
- 25 normal term fetus can endure up to 10 minutes of

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- 1 asphyxia before permanent neurologic injury
- 2 occurs?
- 3 A. Ithink that they could, yes.
- 4 Q. And in this case -- I'm sorry, I didn't mean to
 5 interrupt.
- 6 A. Ithink, yes, it sounds like something I wrote
- 7 but when you look at other studies that have come
- 8 out like within the last one to two years that
- 9 suggest that maybe that's not --that that time
- 10 frame is a little bit different.
- 11 Q. And, again, this is something that you wrote in a
- 12 paper called Shoulder Dystocia from 1998 that you
- 13 wrote with Dr. Goodwin. Just so I understand,
- 14 are you now saying that four years later your
- opinion with regard to that passage I read is nowdifferent?
- 17 A. Absolutely. I mean, it's just like the thing you
- 18 read from Precis. We get new information all the
- 19 time that looks at this and I would refer you,
- 20 for example, I wrote something in Clinic Obstet
- 21 GYN, it was published in June of 2002 that looked
- 22 at the -- that addressed that question. So,
- again, these are things that we're always
- 24 constantly evaluating.

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25 Now if you want to read something

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- 1 from 10 years ago, that's fine. If you want to
- 2 read an article from 20 years ago. We're always
- 3 reevaluating these things as new information
- 4 comes out.
- 5 Q. And your statement that a normal term fetus can
- 6 endure up to 10 minutes of asphyxia before
- 7 permanent neurologic injury occurs is something8 you revisited?
- 9 A. Absolutely, yes.
- 0 Q. And you no longer feel that that's true?
- 1 A. Absolutely, yes.
- 2 Q. Okay. Doctor, in this case I think Ms. Watson
- 3 indicated that from the time that dystocia was
- 4 first encountered **it** was less than a minute?
- 5 A. I believe I remember reading that.
- 6 Q. So you would agree with me that regardless of
- 7 whether you're using four to five minutes or 10
- 8 minutes, she had at least a period of two to
- 9 three minutes still before there was going to be
- 0 any possible asphyxia for this baby, correct?
- 1 A. Ithink that's a fair statement.
- 2 Q. Okay. Doctor, I'd like to refer you to the last3 paragraph in your report.
- 4 A. Okay. I'm sorry, Ineed to pull the report.
- 5 Q. Sure.

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- 1 A. Okay.
- 2 Q. It's the paragraph that begins with research has
 - shown, the last sentence.
- 4 A. Okay.

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- 5 Q. Doctor, what research are you alluding to there?
- 6 A. I mean, one of the better studies was done. It's
- 7 a recent article that came out in the American
- 8 Journal I think in 2000 or 2001. There's also
- 9 articles by Sam Meyer in the Green Journal as
- 0 well as also an article called Birth that have
- 1 addressed those things.
- 2 Q. Doctor, did you read Dr. Adler's report in this
- 3 case?

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- 4 A. I would have, yes.
- 5 Q. Did you see where he diagnosed a shoulder
- 6 separation?
- 7 A. I believe I did see that, yes.

Watson about this case?

A. I don't know who Ronna Watson is.

- 8 Q. Does that diagnosis influence your opinions in
- 9 any way in this case in terms of the opinions
- 3 you're presenting?
- 1 A. Not specifically, no.
- 2 Q. All right. Doctor, have you ever spoken to Ronna

Q. But you know she was the delivery person?

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ROBERT GHERMAN, M.D

	ROBERT GHERMAN, M.D						
\underline{M}	OUNT SINAI MEDICAL CENTER						
	101		103				
1	A. Correct, but I've never spoken to her. I don't	1	MR. AUCIELLO: In this case he				
2	believe I've ever met her. i mean, I meet a lot	2	already testified that it was he has an				
3	of people giving lectures but I don't	3	opinion. You're now asking him to rank it?				
4	specifically recall her.	4	MR. MESTER: Right.				
5	Q. Doctor, I think I'm pretty much done here but I	5	MR. AUCIELLO: In this case or in				
6	want to be sure lunderstand one thing. Your	6	general?				
7	opinion regarding the cause of Kayla's injuries	7	MR. MESTER: In this case.				
8	in this case I think we've discussed it at length	8	A. Again, Ithink it's unlikely. I saw no objective				
9	here, is that it was due to the natural delivery	9	evidence of excessive traction being applied in				
10	process coupled with the compound presentation?	0	this case and that she handled this - I think				
11	A. No, I believe it was due to the shoulder dystocia	1	you specifically even asked her that. She said,				
12	and the shoulder dystocia was due to the compound	2	I didn't do it.				
13	presentation.	3	Q. 1 understand, Doctor.				
14	Q. All right. What other possible causes of Kayla's	4	With respect to the other potential				
15	injury are there in this case?	5	causes that you listed, however, the intrauterine				
16	A Actually in this case I think that's the cause.	6	problems, et cetera, where does the excessive				
17	Q. Are there any other possible causes, Doctor?	7	lateral traction rank in that list as a				
		8	possibility?				
19	I would go, you know, along the lines of	29	A. Ithink it ranks right along with all of them. I				
20	differential diagnosis of all the potential		give them equal weight and I try and I mean,				
21	causes that we've talked about.	21	one of the things I do when I look at these				
22	Q. And in this case as part of your differential	22 23	cases, Itry and, you know, attempt to the best				
23 24	diagnosis, what other potential causes were you	:5 25	of my abilities to see what a cause is. In, you know, doing this I don't put weight one over the				
24 25	looking at? A. Well, I looked to see whether there was an		other.				
25							
	102		104				
1	intrauterine cause, I mean, you know,	1	Q. Okay. Doctor, Ithink this is my last question.				
2	long-standing causes, septums, fibroids, viral	2	What is your charge for the deposition testimony here today?				
3	causes, you know, could potentially, as you've	3 4	A. Three fifty an hour.				
4	mentioned, excessive traction may cause, yes.	5	Q. Okay. And is that the same charge that you have				
5 6	There are many causes of brachial plexus injury. Again, I don't and again, I go for each one of	6	for trial testimony or is that different?				
7	those and kind of check it off mentally and	7	A. That will be different.				
8	that's how larrive at the conclusions that I do.	8	Q. What's your charge for trial testimony?				
9	Q. Doctor, I'm not going to have you repeat that	9	A. Three thousand dollars.				
10	answer. The court reporter didn't get the whole	0	Q. And is that confined to where you actually make a				
11	thing, but suffice it to say you'll get a chance	1	live appearance at trial?				
12	to read this and you can make any corrections.	2					
13	Well, maybe, though, just to be safe	3	Q. So on Friday for your trial testimony, is that a				
14	here I think where the court reporter lost you	4	three thousand dollar charge?				
15	was after you mentioned excessive traction as a	5	A. Yes.				
16	potential cause. What were the other potential	6	Q. And what is your charge for chart review and				
17	causes? If you could repeat it slowly.	7	deposition review and so forth?				
18		8	A. Three hundred an hour.				
19	fibroids, viral cases, those could be other	9	Q. And have those rates increased at all within the				
20	potential causes, impaction on the sacral	20	last five years since you've been doing this?				
21	promontory.	21	A. Idon't think so. Ithink maybe the first year I				
22	Q. And out of those other potential causes, Doctor,	22	was charging two fifty an hour for medical and				
23	in a scheme of things where would excessive	23	chart review and ${\ensuremath{\mathbb I}}$ increased it to three hundred				
24	traction rank in your view in terms of likelihood	<u>2</u> 4	I think roughly the first year but since then				
05		1.50	it's been stable				

- 24 traction rank in your view in terms of likelihood
- 25 as opposed to the others?

BARBERIC & ASSOCIATES

25

it's been stable.

ROBERT GHERMAN, M.D

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1	Q. Okay. Doctor, Ibelieve that is all I have.	1	WITNESSINDEX
2	Thank you.	2	PAGE CROSS-EXAMINATION_
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1	CERTIFICATE		
2	The State of Ohio) SS: County of Cuyahdga.)		
3	County of Cuyahdga.)		
4			
5	I, Susan L. Weiss, a Notary Public within and for the State of Ohio, authorized to administer oaths and to take and certify		
6	depositions, do hereby certify that the		
7	above-named ROBERT GHERMAN, M.D., was by me, before the giving of his deposition, first duly		
8	sworn to testify the truth, the whole truth, and nothingbut the truth; that the deposition as		
9	above-settorth was reduced to writing by me by means of stenotypy, a 1 was later transcribed		
10	a true record of the test give n by the		
11	administer oaths and to take and certify depositions, do hereby certify that the above-named ROBERT GHERMAN, M.D., was by me, before the giving of his deposition, first duly sworn to testify the truth. the whole truth, and nothingbut the truth; that the deposition as above-set forth was reduced to writing by me by means of stenotypy, at was later transcribed into typewriting under i with that this is a true record of the test given by the witness, and was sibt by sid witne in my presence; that said de t wa taken a le aforementioned time, i ate and place, purs ant to notice or stipulations i counsel; that I am not a relative or employee ir attorney of any o the parties, or a relative or employeed is such attorney or financially interested in this action. I am not, nor Is the court reporting firm with which I am affiliated, under a contract as defined in Civil Rule 28(D).		
12	notice or stipulations - counsel; that I am to		
13	a relative or employee or attorney of any o the parties, or a relative or employee of such		
14	action. I am not, nor is the court reporting firm		
15 ⊀e	defined in Civil Rule 28(D).		
16 17	IN WITNESS WHEREOF, I have hereunto set my		
17	IN WITNESS WHEREOF, I have hereunto set my hand and seal of office, at Cleveland, Ohio, this day of, A.D. 20		
18			
	Sugar L. Waise Natary Bublic State of Obio		
20	Susan L. Weiss, Notary Public, State of Ohio 14237 Detroit Avenue, Cleveland, Ohio 44107 My commission expires May 19, 2007		
21	wy commission expires way 19, 2007		
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