1 IN THE COURT OF COMMON PLEAS OF FRANKLIN COUNTY, OHIO 2 3 Linda K. Mauller, 4 Plaintiff, 5 v. : Case No. 95CVA-11-7855 Judge Miller 6 Steven J. Yakubov, M.D., 7 et al., Defendants. 8 9 10 DEPOSITION OF BARRY S. GEORGE, M.D. 11 12 Taken at Mid-Ohio Cardiology Consultants 13 3545 Olentangy River Road Columbus, Ohio 43215 14 August 26, 1997, 1997 5:00 o'clock p.m. 15 16 17 18 19 20 SPECTRUM REPORTING II, INC. 21 155 West Main Street, Suite 101 22 Columbus, Ohio 43215 23 (614) 224 - 090024 _ _ _ _ _ _ Spectrum Reporting II, Inc.

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1	APPEARANCES	
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3	REPRESENTING THE PLAINTIFF:	
4	Jeanne Tosti, Esq. Michael F. Becker, Esq.	
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7	REPRESENTING THE DRS. YAKUBOV AND GEORGE	
8	& MID-OHIO CARDIOLOGY CONSULTANTS, INC.	
9	Maurice N. Milne, III, Esq. Patrick Smith, Esq.	
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1 Tuesday Afternoon Session 2 August 26, 1997, 1997 3 5:00 o'clock p.m. 4 5 STIPULATIONS 6 It is stipulated by and between counsel 7 for the respective parties that the deposition of 8 9 BARRY S. GEORGE, M.D., a Defendant herein, called by the Plaintiff for cross-examination under the 10 statute, may be taken at this time by the Notary 11 12 pursuant to notice and stipulations of counsel; that 13 said deposition may be reduced to writing in 14 stenotypy by the Notary, whose notes may then after be transcribed out of the presence of the witness; 15 that proof of the official character and 16 qualification of the Notary is waived. 17 18 19 20 21 22 23 24

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1 BARRY S. GEORGE, M.D. being first duly sworn, as hereinafter certified, 2 testifies and says as follows: 3 4 CROSS-EXAMINATION 5 BY MS. TOSTI: 6 7 Q. Doctor, as I told you, my name is Jeanne а Tosti, and I'm one of the attorneys that's representing the Plaintiffs in this action. 9 10 Would you please state your full name and spell your last name for us. 11 Barry Scott George, G-E-O-R-G-E. 12 Α. Q. And your business address? 13 14 Α. 3545 Olentangy River Road, Suite 2325, Columbus, Ohio. 15 Q. And, doctor, do you belong to a 16 17 professional medical group? 18 Α. I belong to numerous professional medical 19 They're outlined in my curriculum vitae. groups. Do you happen to have a copy of your 20 0. curriculum vitae here with you today? 21 Not right on me, I don't. 22 Α. 23 Is it something we can call MR. BECKER: for and they can bring in later and we can mark it 24

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as an exhibit? 1 MR. MILNE: I'd be happy to provide one 2 I don't know if we can get it today. to you. 3 4 MR. BECKER: Would it be a problem to 5 step out and ask your secretary to pull one? THE WITNESS: No, I think I can probably 6 get one if they're still here. 7 8 MR. BECKER: Thank you, doctor. (A short recess is taken.) 9 BY MS. TOSTI: 10 Q. Let me ask -- re-ask my last question. 11 Do you belong to a professional medical group 12 13 practice? Yes, I do. Α. 14 15 Okay. And what's the name of your group 0. practice? 16 Mid-Ohio Cardiology. 17 Α. Q. And are you -- do you hold any type of 18 administrative position in the group practice? 19 20 I'm one of the managing partners of the Α. 2 1 group. 22 Q. Okay. Do you have a title, such as president or anything like that? 23 Not that I'm aware of. 24 Α.

Okay. And at the time that Dale Mauller 1 Q. 2 was rendered care, were you one of the managing partners in Mid-Ohio? 3 4 Α. Yes. Ο. Have you ever had your deposition taken 5 before? 6 Yes. 7 Α, Q. How many times? 8 I've lost count. Α. 9 Q. Can you tell me approximately? 10 Ten, 15 times. 11 Α. 12 Q. And in those 10 or 15 times, just 13 approximately, can you tell me in what capacity your deposition was taken? 14 Α. Primarily as an expert. 15 Q. Okay. Was it ever taken as a defendant? 16 Yes. 17 Α. 18 0. And can you tell me how many times that 19 was? Twice. 20 Α. In the two times that your deposition was 21 Q. 22 taken as a defendant, you were a named defendant in 23 the case? Yes. Α. 24

1 When were the two times that you've had 0. 2 your deposition taken, approximately, if you don't know precisely? 3 4 Α. I would say one and a half years ago and 5 probably three to four years ago. I think that would be about right. 6 0. And where were those two cases filed? 7 Α. Franklin County. 8 Q. 9 Do you recall the plaintiff's name in either one of those two cases? 10 Let's see. Jean Kale. Α. 11 Ο. Is that G-E-N-E or J-E-A-N? 12 I believe it's J-E-A-N. 13 Α. Q. Okay. And how about the other one? 14 Christine Stollings. 15 Α. And what was the allegation of 0. 16 negligence, medical negligence, in those two cases? 17 18 MR. MILNE: Objection. Just so I can have a continuing objection? Thank you. 19 Α. Could you state the question again, 20 21 please. 22 Q. Yes. What was the allegation of 23 negligence, medical negligence, that was made in 24 those two cases?

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I'm not sure that I really know. Α. 1 Did you have an opportunity to see the Q. 2 complaint that was filed in the cases? 3 Yes, I did. And I had the opportunity to Α. 4 read it, but like I said, I'm not sure I really know 5 what the negligence was that was assumed. 6 Q . Well, what the plaintiff was alleging was 7 done improperly, do you recall? 8 No, I don't. Α. 9 How were those two cases resolved? 10 0. In court. 11 Α. **Okay.** Did they both go to trial? 12 Ο. 13 Α. Yes. And what were the results of the trial? Ο. 14 The results were that the defendant was 15 Α. found innocent. 16 Q. No negligence was found in either case? 17 18 Α. No negligence was found in either case by the jury by the named defendant. 19 20 Q. Okay. Just to review with you, this is a 21 question-and-answer session. It's under oath, and 22 it's important that you understand the questions 23 that I ask you. So if you don't understand the 24 question or if I've phrased them inartfully, just

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1 tell me and I'll be happy to repeat it or rephrase it. Otherwise, I'm going to assume that you 2 understood the question and you're able to answer. 3 Also, you have to give all your answers 4 verbally. You can't nod your head or use hand 5 motions because our court reporter can't take them 6 down. 7 If at any time you wish to review -- did а 9 you bring a set of records with you, doctor, or did 10 your attorney? My attorneys have a set of the records. 11 Α, If at any time you choose to or you wish 12 Q. to refer to the medical records, please feel free to 13 14 do so. Thank you. 15 Α. Q. Your attorney may enter objections at 16 some point during the deposition, and you're still 17 18 required to answer the question unless your attorney instructs you specifically not to. 19 Yes, I understand. 20 Α. Q . Okay. Have you ever had your hospital 2 1 privileges called into question, suspended or 22 revoked? 23 Α. Never. 24

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1 Q. Have you ever been declined or cancelled by a professional negligence insurer? 2 Α. Never. 3 4 MR. MILNE: Objection. Q. Has your application to join a 5 professional staff of an HMO ever been declined or 6 rejected? 7 Could you say that guestion again? 8 Α. Q. Have you ever made an application to a 9 professional staff of an HMO that was rejected or 10 declined? 11 12 Α. A professional staff of an HMO. I'm not 13 sure I understand quite what you mean. Q. An HMO, health maintenance organization, 14 as an employee to provide medical services for such 15 an organization, have you ever been declined, if 16 you've applied for that type of work? Maybe you've 17 18 never applied for that type of work. I don't know. To work for a health maintenance 19 Α. 20 organization as a consulting physician? Q. Yes. Have you ever been rejected by --21 22 I'm sorry. I'm trying to understand. Α. What you're saying is has a health maintenance 23 organization wanted me to work as their physician, 24

or is it that I have put in a request for proposal 1 for a health care contract that an insurance company 2 wants? Maybe you don't understand what I mean. 3 Let's take each one of those Q. 4 individually. Tell me, have you ever made an 5 application to provide professional services for an 6 HMO? 7 To provide my professional services to Α. 8 their patients, yes. 9 Q. Okay. Have they ever rejected your 10 request for application to do so? 11 Yes. 12 Α. Ο. What health maintenance organization was 13 14 that? Α. Cigna. 15 Q. Did they give you any reason why they 16 17 were rejecting you? Absolutely none whatsoever. 18 Α. 19 And was this for cardiology services to 0. 20 them? Yes. 21 Α. Q. Was that only -- the only organization 22 that you've applied to and been rejected --23 Basically, it was myself and our Α. Yes. 24 Spectrum Reporting 11, Inc.

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1 entire cardiology group. I think that answers the question that T 2 0. have for you. 3 4 Α. Okay. Q. Now, you've indicated previously that 5 you've acted as an expert in medical/legal 6 proceedings before; is that correct? 7 а Α. Yes. Ο. When's the last time that you did that? 9 10 Α. Today. In another case other than this one? Q. 11 Α. Yes. 12 13 Q, What's the name of that case? Let me put it this way: Do you know the plaintiff's name in 14 that case? 15 16 Α. Yes. 17 Q. What's the plaintiff's name? 18 Α. The plaintiff's name is Ronald Browning. 19 Ο. Is it a case filed here in Franklin 20 County? No. 21 Α. Where is that filed? Q. 22 Somewhere up in northeastern Ohio. 23 Α. 24 Q. Do you know who the plaintiff's attorney Spectrum Reporting II, Inc.

is? 1 I don't know. It might be you guys. Α. Q. Is it a case filed in Cuyahoga County? 4 Α. I really don't know which county. I'm I don't know which county it is. 5 sorry. In any of the times that you have served Q. 6 as a medical expert, have you rendered opinions on 7 the appropriateness of angioplasty or rotational 8 9 atherectomy? Ouite often. Α. 10 Now, you've indicated that you served as 11 Ο, an expert 10 times or so in the past; is that 12approximately correct? 13 Yes. 14 Α. Okay. And were your depositions taken in 15 Q. those cases that you served as an expert where you 16 17 were rendering opinions on atherectomy or the appropriateness of angioplasty? 18 Some of them, yes; some of them, no. 19 Α. 20 Some of them never got that far after I was 21 consulted. Some of them never got further than 22 Yes, some I have given depositions. that. Q. How many times have you acted as an 23 expert for defendants in all the cases that you've 24

1 done as an expert? Α. Once. 2 0. And how many times have you acted as an 3 expert for plaintiffs in all the cases --4 I'm sorry. I stand corrected. Α. For 5 defendants I've -- for plaintiffs I've -- once I've 6 served as an expert. It's been for defendants all 7 the other times. 8 Q . Okay. And can you tell me in regard to 9 the plaintiff how that case was resolved? 10 11 Α. It's pending right now. I can't tell 12 you. Q . In the Ronald Browning case that you just 13 14 mentioned, are you acting as an expert for plaintiff or defendant? 15 16 Plaintiff. Α. **So** that would be the one case? 17 Q, 18 Α, Yes. 19 You had just previously gone out and Q. 20 asked to have someone bring in a copy of your 21 vitae. I'm going to not ask questions in regard to your background if that's going to be produced here 22 in the short term. 23 24 MR. BECKER: Here it is.

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1 MS. TOSTI: I didn't see them bring it in. 2 3 Thereupon, Plaintiff's Exhibit 4 No. 1 is marked for purposes 5 of identification. 6 7 To my knowledge, this is the latest, 8 Α. up-to-date **CV** in regards to publications. 9 It's within the last six months. 10 Q. 11 Do you have any publications that you are 12 aware of that are not on this curriculum vitae? I'm sure there's publications that are in 13 Α. 14 progress at this point in time that are not on my curriculum vitae, but that's because they are now 15 being submitted for publication. 16 Do any of those articles that are 17 Q. 18 currently in the process of being submitted deal 19 with the subject matter of rotational atherectomy or 20 angioplasty, coronary angioplasty? 21 Α. Yes. How many articles? 22 Q. Α. Are still pending? 23 24 Q. That deal with those subjects. Spectrum Reporting II, Inc.

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That aren't on the curriculum vitae? Α. 1 Correct. 2 Q. Probably two, maybe three. Α. 3 Do any of those articles that have not Q. 4 5 been published as yet but are in the process deal with any of the issues that arise in this particular 6 case of Dale Mauller? 7 MR. MILNE: Objection. 8 Most of the publications that you see in 9 Α. front of you deal with what I was dealing with with 10 Dale Mauller. 11 Ο. I'm speaking of the ones that are 12 currently in the process --13 Yes. Α. 14 Q. -- of being published? 15 Yes, they do. 16 Α. And what in particular in those articles 17 0. that have not been published would be applicable to 18 this case? 19 20 Α. The use of coronary stents, the use of anticoagulation. 2 1 Q. Anything else? 22 I don't think **so.** 23 Α. Anything to do with rotational 24 Q.

1 atherectomy?

2 A. No.

0. In regard to the case in which you're 3 serving as plaintiff's expert on Robert Browning, 4 what's the medical subject matter of that case? 5 MR. MILNE: Objection, as that is 6 pending, and I think that it's inappropriate to 7 start asking questions about that. You can answer 8 the general allegations, and beyond that, I don't 9 think it's appropriate. 10 Q. Can you tell me what the general 11 allegation of negligence is in that case? 12 Α. The appropriateness of coronary 13 angioplasty. 14 Q. 15 And in regard to the cases that you served as **a** defense expert, did any of those cases 16 17 deal with the subject matter of the appropriateness 18 of coronary angioplasty or rotational atherectomy? 19 Α. Yes. Q. Can you tell me the names of any of those 20 cases in which you specifically rendered opinions in 2 1 22 regard to the appropriateness **of** multistage coronary 23 angioplasty or atherectomy? MR. MILNE: Objection. Continuing 24

objection to this line of questioning. 1 2 Α. I can't remember the names of the cases. 0. Did any of the cases in which -- do you 3 have an active list of the cases in which you served 4 5 as a medical expert? Α. No. 6 Doctor, you're board certified; is that 7 0. correct? 8 Α. That's correct. 9 Q. In cardiology? 10 Internal medicine and cardiology, yes. 11 Α. Are you certified in rotational Q. 12 13 atherectomy? Yes. 14 Α. And what certifying body gave you that 15 Ο. certification? 16 I've been certified to do rotational 17 Α. atherectomy by the company who invented and designed 18 19 it and had it approved, and **I** also was a trainer of 20 physicians across the country, and still am, in 2 1 coronary atherectomy, rotational atherectomy. What's the name of the company that gave 22 Q. you that certification? 23 Heart Technologies. 24 Α.

Q. And when did you receive that		
certification?		
A. I would estimate it to be 1993, 1994,		
thereabouts.		
Q. Did you train Dr. Yakubov on rotational		
atherectomy?		
A. Dr. Yakubov trained in rotational		
atherectomy by going to training courses at		
different institutions. He did not train under me		
for that. He did do training under me, but that was		
prior to the advent of rotational atherectomy.		
Q. When you say he trained under you, was		
that before rotational atherectomy?		
A. No. That was interventional cardiology.		
Q. In what time period was Dr. Yakubov		
training under you for his interventional		
cardiology?		
A. I couldn't tell you the exact dates.		
I've trained a lot of interventional cardiologists,		
and I can't tell you the exact I would estimate		
it was right before he joined our practice. So		
whenever that was. You could find that from his		
deposition. I'm sorry. I can't help you there.		
Q. When you did training for rotational		

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atherectomy for various individuals, were you the 1 2 individual that then provided certification to these 3 people? Α. Yes. 4 0. The certification came through you to 5 6 these individuals? Α. Yes. 7 Ο. Have you authored or co-authored any 8 medical journals, articles, or textbook chapters 9 other than what appears on your vitae? 10 No. 11 Α. Q, Do you teach or have you ever taught or 12 given formal presentations on the subject of cardiac 13 catheterizations, coronary angioplasty, or 14 15 rotational atherectomy? 16 Α. Yes. Have you done that in **a** formal teaching 17 0. 18 setting? Yes. 19 Α. 20 Q. Do you have a prepared syllabus or 21 outlines from any of those lectures that you've 22 given on those subjects? I have slides from different talks I've 23 Α. given over the years, if that's what you mean. 24

Q. Are these didactic slides or are they pictures of actual procedures? Both. Α. Q. Are they informational? 4 5 Α. Both. 6 Q. Do you have slides on rotational 7 atherectomy? 8 Α. Yes. Q. And also coronary angioplasty? 9 Α. Yes. 10 And cardiac catheterization? 11 0. Α. Yes. 12 Q. Do you have any other written material 13 that goes with any of the lectures that you have 14 15 presented? I did at the time that I gave them, but 16 Α. 17 I'm not sure I could readily produce them for you. Q. Have you utilized any of those materials 18 19 recently in any of the lectures that you've given in 20 the last two years, three years? 21 Α. Yes. Q. What have you reviewed for your 22 23 deposition today? The patient's chart and Dr. Yakubov's 24 Α. Spectrum Reporting II, Inc.

deposition, primarily. 1 Did you refer to any textbooks or 2 Q. articles? 3 Α. No. 4 Q. Other than Dr. Yakubov, have you referred 5 to any other materials other than what you just 6 mentioned to me? 7 Α. No. 8 Did you review the cath films from the Q. 9 5-26 procedure, which was the first procedure that 10 Dr. Yakubov did on Mr. Mauller? 11 12Α. No. The one from May 27th, which was the Q. 13 second procedure? 14 15 Α. No. 16 Q. How about the 5-28 procedure, which I 17 believe is the one that you did on Mr. Mauller? 18 Α. No. 19 Did you ever review any of those three Q. 20 films at any time? Α. 21 Yes. Under what circumstances and when did you 0. 22 do that? 23 The films were reviewed at the time that 24 Α. Spectrum Reporting 11, Inc.

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I I first encountered the Plaintiff. The films that Dr. Yakubov had done were reviewed at that point in time when the patient was in duress, so I knew exactly what I was getting into. I had a road map, so to speak. And then the films that I did after I had intervened upon the patient, I reviewed those after those films were processed.

Okay., Now, I want to be clear on this. Q. 8 9 You said that you reviewed the films that 10 Dr. Yakubov had taken in the two procedures that he did, and at what point in time did you actually 11 The procedure that you did was on May 12 review those? 28th, I believe. So if you recall when it was that 13 you saw those films. 14

Well, I'm working from memory here to 15 Α. some extent, but I am quite sure that I would have 16 17 looked at these films the morning that Mr. Mauller came close to his demise when I first met him, 18 19 because I would have wanted to know what I was up 20 against as I was taking him back to the 21 catheterization laboratory. **So** I'm sure I would 22 have looked at it then.

23It's distinctly possible that I may have24looked at those particular angiograms prior to him

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even having any work done by Dr. Yakubov since it was not at all uncommon for us to collaborate with each other about cases where there were different opinions about modalities of therapy. 4 Q . So you may have seen them --5 Α. Once or twice prior. 6 We can't talk at the same time. 7 Q. You may have seen them at some time 8 before he even had his first intervention, and then 9 you believe that you probably looked at them prior 10 11 to the intervention that you did on Mr. Mauller? I'm guite sure that I saw them before I 12Α. did my intervention. It is possible that I saw them 13 prior to Dr. Yakubov's intervention. 14 Q. Doctor, in your medical group practice, 15 16 do you have any type of an intervention review committee? 17 We have -- in our practice or in our 18 Α. hospital? 19 Well, let's -- I'll ask both questions. 20 0. 21 In your -- in the hospital, is there any type **of** an intervention review committee that reviews films of 22 23 particular -- of procedures for patients? That's done pretty much on **a** weekly 24 Α. Yes. Spectrum Reporting II, Inc.

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		2 5	
	basis.		
	Q.	Are all the films reviewed	
	Α.	No.	
4	Q.	for each patient? What's the criteria	
5	for review	of a particular patient's films?	
6	Α.	Films are commonly reviewed on patients	
7	who have encountered complications.		
8	Q .	Is it likely in the case of Mr. Mauller	
9	that these	films were reviewed by an intervention	
10	review committee?		
11		MR. MILNE: Objection.	
12		MR. POLING: Objection.	
13	Α.	It's unlikely that these were reviewed.	
14	Q.	And do you have a basis for saying that?	
15		MR. POLING: Continuing objection.	
16		MR. MILNE: We continue in that	
17	objection.		
18	Q.	You had indicated previously in your	
19	answer that	patients who encounter problems during	
20	intervention were likely to be reviewed by the		
21	intervention review committee. Mr. Mauller,		
22	obviously, suffered cardiac arrest during the		
23	procedure t	hat you were doing. And so my question	
24	to you is:	Under those circumstances, wouldn't it	

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1 be likely that his films would be reviewed by the committee? 2 3 Α. First let me clarify --MR. MILNE: Objection. 4 Same objection. 5 MR. POLING: THE WITNESS: Should I, like, not answer 6 7 here? MR. MILNE: I would strictly answer her 8 question, and we're not going to go much further. 9 I am again working from memory on whether 10 Α. 11 or not Mr. Mauller's case was reviewed by our 12 staff. It is distinctly possible it may have been It's possible it may not have been 13 reviewed. 14 reviewed. Many of these cases are picked somewhat 15 at random that have had -- that are interesting 16 cases, cases that may have had complication. So it is possible that it was reviewed. I don't recall 17 18 that it was reviewed. 19 MR. POLING: Move to strike. 20 Q. Are you required to file any type of a 2 1 report to the intervention review committee when there's complications during a procedure? 22 23 MR. MILNE: Objection. MR. POLING: 24 Same. Spectrum Reporting II, Inc.

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MR. MILNE: I think that that's getting 1 into peer review and privilege, and I don't think 2 that we're going any farther with that. 3 MR. POLING: Same objection. 4 MS. TOSTI: I have not inquired as to the 5 content of any report. I merely asked him if under 6 the procedures whether he's required to file a 7 report. I believe that he can answer that а 9 question. 10 MR. MILNE: As an abundance of caution, I think we've gone far enough, and I'm going to 11 12 instruct my client not to answer that question. MR. POLING: I concur. Same objection. 13 BY MS. TOSTI: 14 0. Doctor, we had talked about your medical 15 16 professional group practice. Do you have an intervention review committee within that group 17 practice? 18 19 Α. Yes. 20 Q. Okay. And in the case of Mr. Mauller, 2 1 were his particular films reviewed by your 22 intervention review committee in your group 23 practice? 24 MR. MILNE: Objection, Spectrum Reporting II, Inc.

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Α. I don't recall. 1 Q. Since filing this case, have you 2 discussed this case with any other physician? 3 No. Α. 4 Q. Have you discussed the case with 5 Dr. Yakubov since it was filed? 6 MR. MILNE: Objection. 7 Only after his deposition I said, "How Α. 8 did it go?" 9 What did he tell you? 10 Q. MR. MILNE: Objection. 11 "Okay." 12 Α. Q. Did you discuss anything else with him? 13 MR. MILNE: Objection. 14 15 Α. Not any particulars. And other than with counsel or Q. 16 physicians, have you discussed this case with anyone 17 else? 18 19 Α. No. 20 Q. Do you have any personal notes or personal file on this case? And I'm speaking 21 something other than what's in the medical records. 22 No. 23 Α. Q. Have you ever generated any such notes or 24 Spectrum Reporting 11, Inc.

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1	kept an	y such file in this case?		
2	А.	No.		
3	Q.	What medical journals do you personally		
4	subscri	be to?		
5	А.	New England Journal of Medicine, Journal		
6	<u>of Amer</u>	ican College of Cardiolosv, American Journal		
7	of Cardiolosv, Catheterization and Cardiovascular			
8	<u>Diagnos</u>	<u>is, Journal of Invasive Cardiolosv</u> . That's		
9	pretty	much it.		
10	Q.	Are there any textbooks or journals that		
11	you reg	you regularly refer to in your practice?		
12	Α.	No.		
13	Q.	Is there a textbook in your field of		
14	cardiol	ogy that you consider to be the best or the		
15	most re	most reliable?		
16		MR. MILNE: Objection,		
17	Α.	I consider textbooks to be authoritative		
18	or,	to be informative, but not authoritative.		
19	Q.	Is there any particular one in your field		
20	of card	iology that you consider to be the best or		
2 1	the most	t reliable?		
22		MR. MILNE: Objection.		
23	Α.	No.		
24	Q.	Other than the professional group		

practice that we've previously discussed, do you 1 provide professional services for any other entity? 2 Α. Yes. It's an EKG reading corporation. 3 Q. Does it have a specific name? 4 I'm sure it does, but I don't know what 5 Α. Riverside EKG sounds good. 6 it is. Q. Given Dale Mauller's multi-vessel 7 coronary artery disease, what treatment options did 8 9 he have available to him? 10 He had medical therapy, percutaneous Α. 11 intervention, and coronary bypass surgery. And in your opinion, what was the best 12 0. treatment option available to him? 13 14 MR. MILNE: Objection. 15 Α. Percutaneous intervention. 16 Q. And what's the basis for that opinion? The basis for that opinion is that it is 17 Α. 18 a type of situation that his blockages were 19 approachable by using percutaneous techniques, that 20 the anticipated outcomes of those techniques were 2 1 equivalent to, if not better than, coronary bypass surgery, coupled with the fact that this was a young 22 man who was in it for the long haul. By that, I 23 mean that because he was afflicted at such a young 24

age with this disease, that no single therapy would
 be curative, and that different stages at different
 times would be necessary.

Q. Now, you mentioned that this was better
than CABG for him. Why would it be better than CABG
for him?

7A.Primarily because he's at such a young8age.

Q. Why does that make a different?

9

10 The main reason it makes a difference in Α. 11 a patient at such a young age is that it's well 12 known, well documented in the literature that men at 13 such a young age as him, coronary bypass outcomes 14 are such that these patients usually return with 15 symptoms within three to five years and require further intervention-type situations; that perhaps 16 17 being a second-time bypass at a young age or more complicated coronary angioplasty than what he went 18 19 through the first go-round.

20 And the likelihood of him getting past 21 the age of 50, now having had two coronary bypass 22 surgeries under his belt, if you started at such a 23 young age, would be very slim. Or another way of 24 putting it, at the age of 50 he would have no other

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options except percutaneous intervention, and that 1 being an extremely complex intervention. 2 When a patient has multi-vessel disease, 0. 3 how do you determine which vessel will undergo the 4 intervention first? 5 That usually is a case-by-case, 6 Α. individual-by-individual assessment. Some of the 7 basic concepts that we use is the technical degree 8 of difficulty of each particular lesion, coupled 9 with the amount of heart muscle that that particular 10 blood vessel supplies. We use those two parameters 11 primarily to decide how to best stage the procedure. 12 Is the most difficult vessel done first 13 0. in some instances? 14 MR. MILNE: Objection. 15 It may or may not be. If the most 16 Α. 17 difficult vessel is also the one supplying the most 18 heart muscle, a lot of times that is the first one 19 being done. But, again, as I mentioned previously, you have to take it case by case. 20 There's no cookbook, textbook way of doing every patient. 21 Have you heard the term culprit vessel 22 Q. 23 before? Not only have I heard it, I may have been 24 Α.

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one of the ones that coined it. 1 Ο, Do you have an opinion as to which was 2 the most difficult vessel in Dale Mauller's case? 3 MR. MILNE: Objection. Go ahead. 4 Α. I don't recall, without looking at the 5 angiogram. 6 Dale Mauller underwent a thallium stress Q. 7 test in early May of 1994. Did you review that a 9 stress test when you looked at the records? Do you recall seeing that, that he had a stress test? 10 No, I don't recall. 11 Α. Q. I'm going to represent to you that in 12 Dale Mauller's case he did have a stress test in 13 14 early May, and that that stress test indicated an 15 anterior apical area of decreased perfusion with 16 stress. Objection. 17MR. MILNE: Q, Doctor, what blood vessel is usually the 18 19 culprit vessel if you have a patient that develops 20 an area of decreased perfusion in the anterior 21 apical area? Objection, If you'd like to 22 MR. MILNE: show the physician the entire document, that's fine, 23 but to take it out of context I think is 24

1 inappropriate.

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2	
3	Thereupon, Plaintiff's Exhibit
4	No. 2 is marked for purposes
5	of identification.
6	
7	Q. I want to indicate that Plaintiff's
8	Exhibit No. 1 previously marked was Dr. George's
9	curriculum vitae.
10	And I'm now handing you Plaintiff's
11	Exhibit No. 2, which I believe is Mr. Mauller's
12	stress test. I'm just going to ask you to take a
13	look at it,
14	A. Okay.
15	Q. Now, I understand, doctor, that you did
16	not specifically review the results of that stress
17	test. You're only looking at the report that I've
18	handed you. But you would agree that that report
19	indicates that Dale had an anterior apical area of
20	decreased perfusion with stress?
21	A. Yes.
22	Q. Doctor, what blood vessel of the heart
23	usually supplies the anterior apical portion of the
24	heart?

Primarily that's the LAD, but it can in 1 Α. some situations be another vessel. 2 Ο. And in Dale Mauller's case, do you have 3 an opinion as to what blood vessel was supplying the 4 anterior apical of his heart? 5 None in particular. Α. 6 Would you agree that, based on the Q. 7 results of that stress test, the culprit vessel in 8 Mr. Mauller's case appeared to be or was likely the 9 left anterior descending? 10 11 MR. MILNE: Objection. 12 Α. No. Why not? Why would you not agree with 13 Ο. that? 14 15 Α. What this stress test tells me is that this man needs a cardiac catheterization to define 16 17 his anatomy so we can better define his therapy and his future prognosis, and that's all it tells me. 18 19 Q. Do you have an opinion as to whether it 20 was appropriate in Dale Mauller's case to intervene 21 in his right coronary artery and circumflex artery 22 first --Objection. Go ahead. 23 MR. MILNE: 24 Q. -- rather than in the left anterior Spectrum Reporting 11, Inc.

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descending? 1 Α. I really have no opinion on the timing of 2 3 and the staging of the angioplasty without looking at the angiographic pictures. 4 0. Do you have any intention between now and 5 the time of trial to review those particular 6 angiograms? 7 Not unless the need arises. Α. 8 Q. Okay. Well, doctor, if you shou'ld review 9 those and develop any opinions regarding the staging 10 11 of this particular procedure, we would reserve the 12 right to redepose you on those particular issues. Fine. Α. 13 Doctor, how would you define the culprit Q. 14 artery? 15 A culprit artery, by and large, is Α. 16 17 defined as the blockage in a blood vessel that is 18 causing the patient symptoms. And then how would you determine whether 19 Q. or not it was truly a culprit, a culprit artery? 20 It would be a combination of taking a 21 Α. 22 history from the patient, looking at the patient's EKGs during an ischemic event, coupled with the 23 anatomic finding of the cardiac catheterization. 24
1 Q. Would you agree that an interventionist has a duty to accurately assess the degree of 2 stenosis in a vessel before proceeding with an 3 intervention? 4 5 MR. MILNE: Objection. But I don't know how accurate you Α. Yes. 6 85.758 percent or between 80 and 90 percent? 7 mean. Ο. Would you agree that it would be 8 9 inappropriate to do an angioplasty or an atherectomy 10 procedure on a blood vessel with only a 40 percent blockage if it was a nonculprit vessel? 11 What I would say is that if a patient had 12 Α. 13 a 40 percent blockage perceived by a coronary 14 angiogram, as estimated by multiple observers, and that was the only blockage the patient had, but yet 15 the patient had symptoms and stress tests suggestive 16 17 of it being more than 40 percent, then I would do 18 intravascular ultrasound and I would measure across 19 that lesion before I would say it is not indicated 20 to do intervention upon a 40 percent lesion. Q. You've added a couple things. I had 21 indicated if it was a nonculprit vessel, and you 22 also indicated that if it was based on multiple 23 24 observers. Now, would that be required, that

1 multiple observers would have to indicate that this 2 particular blood vessel needed intervention even 3 though it was only at 40 percent? I don't quite 4 understand.

5 Α. If it was a questionable lesion. It was a lesion that was in question. Coronary angiography 6 is not perfect, and the analogy that I can give to 7 8 you can perhaps better explain to a layperson. Ιf you take a soda straw and pinch each end of 'the soda 9 straw after you filled it with contrast and look at 10 it under x-ray, you're going to see just a black If you take that same soda straw and take tube. toilet paper soaked in contrast, put it in that tube and pinch it and look under x-ray, it's going to 14 look almost the same. But if you try to suck water 15 out of the first soda straw and the second soda 16 17 straw it's going to be a lot different. That's 18 perhaps the best way that I can explain to you some 19 of the shortcomings of doing angiography.

20 Q. If an interventionist is doing a
21 procedure and he perceived a blood vessel and he has
22 questions about that lesion, would the standard of
23 care require that he obtain a second opinion on that
24 particular lesion before intervening?

Not necessarily so. 1 Α. I don't understand your previous comment, Q. 2 then, about the multiple observers. In what 3 instances would --4 Α. You're talking about the standard of 5 care. 6 Q, Before --7 I'm saying that if a physician who Α. 8 practices good medicine wants to -- is not sure of a 9 particular situation, it is within his rights, but 10 11 not necessarily the standard of care, to obtain 12 outside opinions through multiple people. Now, what's considered standard of care 13 14 and what's considered good medicine aren't necessarily one and the same. So I don't know what 15 you're getting at either. But if you could clarify 16 17 it for me a little more, then I'll answer it better 18 for you. 19 Would good medicine require a physician Q. 20 to obtain an additional opinion if he had a question 2 1 about a lesion before then going on to intervene? 22 And we're talking --Α. Yes. 23 Q. -- talking about a blood vessel that has, 24 Spectrum Reporting II, Inc.

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maybe, a 40 percent blockage in it. 1 MR. MILNE: Objection. 2 The way you've framed the question, yes. Α. 3 4 MR. SMITH: Excuse me. Are we at a stopping point for a second? 5 MS. TOSTI: Okay. 6 (A short recess is taken.) 7 (Mr. Smith exits the room.) 8 Doctor, on the Browning case that you 9 0. 10 referred to previously that you were acting as the 11 plaintiff's expert, who's the defendant in that case? 12 I don't know his name. 13 Α. Q. You don't know the defendant's name in 14 15 the case that you're acting as an expert? Not off the top of my head, I don't. Α. Ι 16 could find out for you, but I don't have it right 17 18 off the top of my head. I'm sorry. Q. Have you filed a report in that case? 19 Filed a report? 20 Α. Q. Have you written a report --21 22 No. Α. -- and given it to the plaintiff's 23 Q. 24 attorney?

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It's been all verbal so far. 1 Α. 2 Q. You haven't had a deposition taken in that case yet? 3 Α. No. 4 Q. Doctor, would you agree that if the 5 degree of stenosis in a vessel is overestimated, 6 that there's an increased risk for the intervention 7 to **be** more aggressive than necessary? 8 9 MR, MILNE: Objection. You're going to have to say that one 10 Α. again, please. 11 Q. If the degree of stenosis in a blood 12 13 vessel is overestimated, is there an increased risk that the intervention may be more aggressive than 14 15 necessary? 16 MR. MILNE: Objection. 17 Not necessarily so. Α. 18 If you're doing angioplasty balloon Ο. 19 inflations, might it not be longer or at a higher 20 pressure than normal if you overestimate the degree of stenosis or the size of the bur that you use? 21 MR. MILNE: Objection. 22 Not anybody practicing interventional 23 Α. cardiology that I know of. 2.4

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A rotational atherectomy might not be 1 0. bigger than necessary if you overestimate the 2 stenosis? 3 MR. MILNE: Objection. 4 Overestimating the stenosis has nothing Α. 5 to do with what size balloon you use or what size 6 bur you use. 7 Ο. Okay. a The caliber of the vessel is wha't you're 9 Α. more interested in. 10 Q. How do you determine the caliber of the 11 12 vessel when you're making a determination as to what size bur you use or what size balloon? 13 MR. MILNE: Objection. 14 Well, at the risk of teaching you this, I 15 Α. guess I could say there's multiple ways of doing 16 17 it. One could be a visual estimate at the table or 18 at the time of catheterization where you know a 19 fixed dimension of an object in the heart, such as a 20 catheter, and you know the diameter of that, and 2 1 then you can basically form a ratio between the diameter of the catheter and the diameter of the 22 vessel and then estimate what the caliber of a 23 24 particular vessel is.

There's other programs that can be used 1 2 that are called quantitative angiography where you 3 can actually more precisely, with computer algorithms, look at the size of the vessel and the 4 caliber of the vessel. 5 There also is a technique of 6 intravascular ultrasound, where you can actually put 7 8 a catheter in the blood vessel and take dimensions by actual ultrasound interrogation of the vessel and 9 take precise dimensions. 10 In the case of Dale Mauller, do you know 11 0. 12 how it was determined as to the size of the balloon 13 and the size of the bur that was used on Dale Mauller, how that was determined? 14 15 MR. MILNE: Objection. Doctor, if you don't know, don't guess. 16 Well, since I wasn't there, I don't 17 Α. 18 know. 19 Q. Did you have accessible to you at that 20 time any type of a computer analysis to make that 21 determination in 1994, May of 1994? 2.2 I believe we had intravascular Α. 23 ultrasound. Q . Do you know if that was used in Dale 24 Spectrum Reporting II, Inc.

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Mauller's case? 1 Not to my knowledge. I know I didn't use 2 Α. it. 3 Q. When should a quide wire catheter be used 4 to assess occlusion in a coronary artery? 5 MR. MILNE: Objection. 6 Say that again, please. 7 Α. Under what circumstances or when should a 0. 8 quide wire catheter be used to assess occlu'sion in a 9 10 coronary artery? 11 MR. MILNE: Objection. What is a "guide wire catheter"? 12 Α. I'm 13 I don't quite understand what you're talking sorry. 14 about. Q. In order to diagnose a vessel with 100 15 16 percent occlusion, what procedure would be necessary 17 to make that determination? Is it made by viewing 18 just the catheter films after an injection of dye, 19 or is there any type of an instrument that is placed 20 down through the blood vessel to make that determination? 21 22 To determine -- you're asking the Α. question what is done to determine if a blood vessel 23 is 100 percent blocked? 24

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1 Q. How would you make a determination Yes. 2 if a blood vessel was 100 percent blocked? Α. By angiogram. 3 Is that strictly on visualizing the Q. 4 injection of the dye and determining whether it goes 5 through the blood vessel? 6 Α. Yes. 7 Ο. Is there any other way you can make that 8 determination? You previously spoke about using an 9 10 ultrasound catheter. Is that --Well, part -- no, you can't use 11 Α. ultrasound in 100 percent occluded vessels because 12 part of the way of estimating the degree of blockage 13 is that it can't be a total blockage because you 14 have to be able to slide the ultrasound catheter 15 16 through the area of blockage to then estimate it. Is a guide wire ever inserted into the 17 Q. vessel to determine whether there's **a** total blockage 18 or not? And I may not be using the appropriate 19 20 terminology here. But is there any type of catheter or a wire that is utilized to determine whether 2 1 there's a total blockage in a blood vessel? 22 MR. MILNE: Objection. 23 Not to my knowledge. 24 Α.

Q. 1 Do you have an opinion as to the amount of blockage Dale Mauller had in his right coronary 2 artery prior to his first intervention? 3 Α. No. 4 Do you know in Dale Mauller's case which Q. 5 coronary blood vessel was dominant? 6 Α. No. 7 Do you have an opinion as to whether 0. 8 9 technically satisfactory results were achieved in 10 opening the right coronary artery on May 26th, the first intervention that Dr. Yakubov did? 11 MR. MILNE: Objection. Go ahead. 12 Α. Without visualizing the angiogram, I 13 14 can't say, but I would estimate, knowing my partner 15 the way I do, he wouldn't have stopped until he got 16 a satisfactory result. Q. And you don't recall, because you believe 17 that you reviewed those prior to your intervention 18 19 -- you don't recall whether or not in your review of those angiogram films whether there was a 20 21 satisfactory -- technically satisfactory result after the first intervention? 22 Objection. 23 MR. MILNE: I don't recall. 24 Α.

Doctor, what's the indications for doing Q. 1 2 a rotational atherectomy in coronary artery blood vessels --3 MR. MILNE: Objection. 4 Q. 5 6 MR. MILNE: Same objection. In 1994, the indications for coronary 7 Α. atherectomy, rotational atherectomy were actually 8 9 still evolving to some extent, as they are now. As 10 a general rule, the coronary atherectomy is used in 11 blockages of a more diffuse, as opposed to a discrete, nature, and also in blockages that are 12more of a dense or a calcified or hardened plaque, 13 14as opposed to those that are softer plaques. But 15 that's a very generalized rule of indications. Q. In May of 1994, was it standard and 16 acceptable practice to utilize rotational 17 18 atherectomy on soft-plaqued lesions? 19 Α. Yes. 20 0. Would you agree that there's a higher 21 rate of restenosis with rotational atherectomy of 22 soft plaque lesions as compared to balloon angioplasty of soft plaque lesions? 23 24 MR. MILNE: Objection.

1 Α. No. Q. Do you have an opinion as to whether the 2 3 plaques in Dale's right coronary artery were soft or calcified? 4 Α. No. 5 In May of 1994, was it standard practice Q. 6 to attempt balloon angioplasty first on what 7 appeared to be a soft plaque lesion before 8 attempting rotational atherectomy? 9 10 MR. MILNE: Objection. That wasn't necessarily standard 11 Α. 12 practice, but it was not at all uncommon that if we felt we could not achieve a successful expansion of 13 14 the balloon that we would switch gears and go to 15 rotational atherectomy. Q. In the procedures that you were doing at 16 17 that time, did you usually attempt a balloon 18 angioplasty first and then follow it with the 19 rotational atherectomy? 20 MR. MILNE: Objection. 21 Α. Not necessarily so, but on occasion, yes, 22 I would do that. 23 Q. What were you doing in most cases? 24 In most cases we use rotational Α.

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atherectomy first, and then that was followed by 1 what we call smoothing or finishing balloon 2 angioplasty after the rotational atherectomy. 3 4 0. In your opinion, was Dale Mauller an appropriate candidate for rotational atherectomy on 5 his right coronary artery? 6 Well, again, without looking at the film, Α. 7 it's hard for me to say, but I would say that, 8 knowing my partner the way I know him, I would 9 10 estimate that, yes, he was. But you can't give me a basis for that 11 0. because you haven't reviewed the films at this time, 12 13 correct? 14 Α. That's right. If you give me the films, 15 I can answer these questions a lot more precisely for you. 16 17 Do you intend at trial to render any 0. opinions as to whether or not Dale was an 18 19 appropriate candidate for rotational atherectomy at 20 trial? 2 1 After I see the films. Α. Yes. 22 Q. And at what point do you intend to review these films? 23 I suppose when you make me. 24 Α.

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Q. Doctor, I have no control over that at 1 Do you have an intention to review the films 2 all. 3 at any time in the future? It's distinctly likely before all the 4 Α. 5 shouting is done with this case I will have looked at those angiograms, yes. 6 Q. And you intend, at that point in time, to 7 render further opinions regarding those films? а 9 MR. MILNE: Objection. Α. If you ask me, yes. 10 Q. Well, doctor, I would request that at the 11 12 point when you do review those films, that you notify defense counsel and that he lets us know, 13 14 because we may very well have additional questions 15 for you regarding those films. That would be fine. 16 Α. Doctor, what's the basis for deciding to 17 Q. 18 do coronary artery interventions in stages? 19 Α. At the time that this patient had his 20 intervention done, the mindset primarily across the 21 country was that when a lot of myocardium was in 22 jeopardy, and by that I mean there were a lot of 23 blocked vessels supplying a lot of heart muscle, 24 that it was best not to try to do all blood vessels

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at once in hopes of minimizing the likelihood of a 1 catastrophe occurring where all blood vessels would 2 collapse at once while you were working on one 3 vessel and another one would collapse. 4 5 Another way of putting that is if a blood vessel is going to collapse after balloon 6 angioplasty, it's usually going to do it within the 7 first two or three hours after the procedure. So if 8 9 a patient is 24 hours out from a previous 10 intervention, it's substantially **less likely** that 11 it's going to close off on you than when you're 12 working on the second vessel. So that's the concept at the time why vessels were staged. 13 14 Q. What's your understanding as to why Dale Mauller underwent multistage intervention? 15 Well, I'm sure that Dr. Yakubov 16 Α. 17 functioned in a way that he felt was in the 18 patient's best interest to minimize the likelihood 19 of him having a procedural risk of vessel collapse. I'm sure that he did one or two vessels the first 20 21 day session, felt that then he should be under close 22 observation for 12 to 24 hours. If all went well, 23 then to come back and proceed with the remainder. Q. Do you have an opinion as to whether it 24

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was appropriate to do a multistage intervention in 1 Mr. Mauller's case? 2 I would say, based on everything that 3 Α. I've heard and seen and read in the chart and in 4 Dr. Yakubov's deposition, it was quite appropriate. 5 Q. And what's the basis for your opinion on 6 that? 7 MR. MILNE: Objection; asked and 8 9 answered. I don't believe he answered MS. TOSTI: 10 as to what the basis is of his opinion that this was 11 12 appropriate for Mr. Mauller. I think he indicated 13 that --14 MR. BECKER: You don't have to explain 15 yourself. I think I have. I said to you that I 16 Α. reviewed the chart, I reviewed his deposition, and 17 based on those, I felt it was appropriate to do a 18 19 multi-vessel staged angioplasty. I believe I did 20 answer the question. 21 Q. What particular things did you find in 22 the chart and in your review that helped you formulate your opinion that this was appropriate? 23 24 Α. The dictated findings in the cardiac

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catheterization, primarily. 1 0. And what in particular are you referring 2 to? 3 MR. MILNE: Do you need to look at this, 4 5 doctor? She's got it right over there, if I'm 6 Α. allowed to look at it. 7 I don't believe that I do have --8 Ο. Those look like cath notes. Α. 9 Q. This is, I believe, your particular 10 procedure notes. And you, I believe, were referring 11 12 to the original cardiac catheterization. Those are both one and the same? 13 Α. 14 Q. Yes. Then I need the one from Dr. Yakubov, 15 Α. The progress note in the chart dated -- I then. 16 17 don't know. The date is actually taken off by the ring binders, Anyway, it is Dr. Yakubov's 18 19 catheterization report. His initial impression: 80 20 percent mid LAD, 95 percent mid circumflex, proximal 21 80 No. 1, 90 percent obtuse marginal No. 2. 22 Okay. I'm sorry. Based on Dr. Yakubov's 23 report which showed LAD 80 percent, mid circumflex 24 95 percent, proximal 80 percent, obtuse marginal

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No. 1, 90 percent, marginal No. 2 and RCA 90 1 percent, long diffuse proximal, mid and distal. 2 With that type of anatomy, I can say 3 that, unequivocally, I would have staged his Δ procedure. 5 And do you have an opinion as to whether Q. 6 it was appropriate to begin the multistage 7 intervention with the right coronary artery and the 8 circumflex in Dale Mauller's case? 9 Again, without looking at the films, I 10 Α. would say that the appropriateness of it is probably 11 -- if that's what Dr. Yakubov did, is do the right 12 13 coronary artery first, I wouldn't criticize that 14 because it was a very severe lesion with long and 15 diffuse disease. 16 So it was technically the more -- the 17 lesion more prone to give you trouble, more prone to collapse after you do the procedure, and would be 18 the one that if he did run into trouble with it and 19 20 could not achieve a satisfactory result, then there 21 would be no sense in pursuing this further, then 22 switching gears and going to coronary bypass would be appropriate. If that's what his thought 23 processes were, which I would -- without reading his 24

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1 mind, I would suspect that's where he was coming from. 2 Q. Even though the stress test that you just 3 previously reviewed indicated that the left anterior 4 descending likely was causing ischemia? 5 Α. As I said to you, what the stress test 6 said to me was that this man had coronary ischemia 7 indicative of the stress test and that he needed to 8 have a catheterization to better define his anatomy 9 and his therapy and his future prognosis. I did not 10 11 say in any way, shape, or form that the LAD was the 12 culprit vessel. I need to make myself clear on 13 that. Q, Doctor -- and I realize you haven't 14 looked at the films recently. Are there any 15 16 indications in that particular report of the cardiac 17 catheterization that tells you if this was a culprit vessel in Mr. Mauller's case? 18 19 No. Α. 20 And are you able to determine from Q., 21 anything that's written in that particular report that you're looking at as to whether his LAD or his 22 23 right coronary artery or his circumflex was causing ischemic problems that were evident on his stress 24

1 test? 2 MR. POLING: Objection. 3 Α. There's nothing here to tell me, looking 4 at the angiographic report, what is the culprit vessel. 5 Q. If you were to review the angiograms, 6 7 would you be able to make that determination? And I don't know if you would be. 8 No, I don't think so. Α. 9 Ο. so ---10 I don't think -- even if I had the 11 Α. angiogram, I'm not sure I could tell you what the 12 13 culprit vessel was. It is possible that I could, but it's unlikely. 14 Q. Doctor, you would agree that if -- when 15 you're doing multistaged intervention, if the first 16 17 procedure is not technically successful, that you 18 should then move to CABG rather than proceeding with 19 the second stage? And by successful, I mean 20 technically satisfactory. 2 1 MR. MILNE: Objection. I think that if the first procedure has 22 Α. some technical shortcomings where you don't achieve 23 24 the satisfactory result you set out to achieve, that

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then it's pause for review. It doesn't mean that 1 you automatically go to the cold blade of steel. 2 What do you consider technically Q. 3 satisfactory when you're doing an angioplasty 4 procedure or rotational atherectomy? 5 If we achieve a result that in a Α. 6 patient -- in a blood vessel with balloon 7 angioplasty or rotational atherectomy or directional 8 9 atherectomy or extraction atherectomy, or you name 10 the percutaneous intervention, if we are able to 11 achieve an angiographic result that shows a narrowing of 40 percent or less, the general 12 consensus at this time in 1994, that was considered 13 14 a technical success. Q. And if you were not able to achieve 40 15 percent or less obstruction, then what would you do 16 17 in a particular instance? MR. MILNE: Objection, 18 19 Α. If I was unable to achieve that, then the 20 options would be to continue with medical therapy, 21 to resort to coronary bypass surgery, or to proceed on with the angioplasty of the vessels. 22 And how would you make a determination 23 Q. between those three options? 24

1 MR. MILNE: Objection. 2 Α. Certainly it would be an individual-by-individual situation. If the blood 3 vessel that you had initially worked on was, say, a 4 blood vessel that had a very complex lesion that you 5 were unable to achieve \mathbf{a} satisfactory result, that 6 it was not supplying a lot of heart muscle, or it 7 was supplying heart muscle to an area that could not 8 readily be bypassed with satisfactory results, then 9 10 one wouldn't resort to CABG. 11 One may then decide to treat that particular blockage then with medications, with 12 blood thinners, make sure that the patient is 13 stable, and then proceed on with the other blood 14 15 vessels with more percutaneous intervention. 16 It may be that you don't achieve a 17 satisfactory result and it's supplying a large amount of heart muscle, and in the patient's best 18 19 interest you would then say we can't achieve what we 20 want to with this modality. We have to resort to more drastic measures of coronary bypass surgery and 21 22 recommend that to the patient. Q. 23 Are these options given to the patient prior to proceeding with the next step? 24 Would

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that -- would you stop a procedure and then discuss 1 the options with the patient, or do you just proceed 2 from that point without further discussion with the 3 4 patient? MR. MILNE: Objection. 5 Well, that's the way I function. Α. 6 Q. So it would -- you would offer the 7 patient an option at that point in time? 8 What I would say to the patient is that 9 Α. 10 we didn't get as good a result here as we'd like, 11 and then I would then go through the logic and the thought process of why I think we should then 12 proceed to step two, be it bypass surgery, be it 13 14 angioplasty with the other vessel, keep the patient 15 pretty informed. Q. Doctor, what's the percentage or risk for 16 closure of a vessel after angioplasty or rotational 17 18 atherectomy? Is there a percentage of cases that you'll have closure of a vessel after procedure? 19 20 MR. MILNE: Objection. 21 Yes. Α. 22 Q. Can you give me an estimate of what that 23 percentage would be? 24 Α. If you give me a lesion, I can give you

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1 an estimate.

2	Q. What do you mean by give you the lesion?
3	A. Well, it's well known in the
4	interventional cardiology literature that the type
5	of lesion and there's been different
6	classifications that have been used that are based
7	on this the severity of the lesion, the location
8	of the lesion, the length <i>of</i> the lesion, that
9	estimates can be made as to what the risk of
10	closure of the vessel closing off in the short
11	haul and in the long haul are.
12	Q. Well, let's take a look at
13	Mr. Mauller's. You have the cath results in front
14	of you. And based on the lesions that Mr. Mauller
15	had, what that type of lesion, what's the chance
16	of closure that he would have after intervention on
17	his right coronary artery?
18	MR. MILNE: Objection.
19	A. Zero or 100, I guess. It either happens
20	or it don't. What I can see here on the report is
21	that it was long and diffuse. Let me finish,
22	please.
23	Q. Let me clarify my question because I'm
24	asking you for patients that have the type of lesion

that Mr. Mauller has, what's the risk of closure.
I'm not asking you specifically in his particular
case, but with that type of lesion, with the type of
procedure that he had done, what percentage of cases
would you normally expect to develop closure? Maybe
that will clarify it a little bit.

7 Α. You can ask ten cardiologists this question; you'll get ten different answers. 8 That is probably the most assured thing I can tell you. 9 But I would estimate that his risk **of** closure, if you 10 look at the entire number of blockages that he had, 11 12 coupled with the extent of disease that he had, and the certain characteristics that Dr. Yakubov 13 14 described in his report -- I would estimate his risk of one of these vessels blocking off within the 15 first 24 hours after an angioplasty procedure would 16 17 be anywhere from 2 to 7 percent.

18Q.But in the right coronary artery -- I'm19asking specifically for the right coronary artery --20what's the chance for that one closing? You had21before told me: Tell me what the lesion is and22where it is and I can tell you. I'm asking you23specifically for the right.

24

Α.

Again, without looking at the angiogram,

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it's a little tough to estimate, but I would say 1 that because it says zero percent long and diffuse, 2 that it is at the higher end of what the standard 3 quoted angioplasty abrupt closure rates were in 4 And by that I mean it was more around the 5 1994. 5 to 7 percent range as opposed to the 1 to 2 percent 6 That is one **of** the reasons I would suspect range. 7 as to why Dr. Yakubov chose rotational atherectomy, 8 to reduce the likelihood of abrupt closure by using 9 a different type technique. 10

11 Q. How about for the circumflex? Based on 12 the lesion that you see there, what would be the 13 percentage or risk for closure after a procedure on 14 the circumflex with the lesion similar to what 15 Mr. Mauller had?

Again, I'm purely estimating from just Α. 16 17 what I see here on the report, but what I would say is that, again, not looking at the angiogram, it 18 19 would appear that these were relatively 20 straightforward angioplasty-type lesions that got 21 satisfactory results, and you're probably talking in 22 the 1 to 2 percent range of closure. Q. How about on his left anterior 23 descending? 24

Again, it looks to be in the 1 to 2 Α. percent range because it's more of a discrete lesion. Q. In a multistage procedure, what's the 4 5 chance or the risk for having all three blood vessels close down on a patient after procedures are 6 done? 7 Well, in my 15-year career in coronary Α. 8 angioplasty, which entails 9,000 interventions, I 9 can tell you that I can count on one hand the number 10 of times that I've seen this happen. 11 12Q. Do you have an opinion as to when Dale's 13 right coronary artery closed down? Saturday morning. 14 Α. 15 Q. And what do you base that on? The fact that he was dying, the fact he 16 Α. has had EKG changes and chest pain and low blood 17 pressure, all consistent with closure of one or 18 multiple vessels. 19 20 And do you have an opinion as to why Q. 21 Dale's right coronary artery closed down? Well, it was one of two things: 22 Α. It was 23 either a flap of tissue formed a ball valve effect 24 and shut off blood flow downstream to the heart

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muscle, or a blood clot formed in there and plugged 1 it up like a cork in a wine bottle, or all of the 2 above. I could get more technical than that if 3 vou'd like, but --4 0. Doctor, are you aware that Dale had a 5 period of asystole on the 26th of May? 6 Α. I wasn't aware of that, but that doesn't 7 8 overly concern me. Q. If a patient develops an episode of 9 10 asystole that lasts for more than six seconds after intervention on the right coronary artery, would 11 that raise your suspicions for problem in the right 12 coronary artery? 13 MR. MILNE: Objection. 14 15 Α. Not unless it was with some other company 16 that it was keeping. 17 I'm sorry. I don't quite understand your 0. 18 answer. 19 Α. The patient had a six-second period of 20 asystole coupled with chest pain, ST segment 21 elevation, hypotension, then, yes, I would be 22 concerned. 23 If a patient has a six-second period of asystole after coronary intervention, I would submit 24 Inc.

to you that that can happen and it happens guite 1 commonly, probably on a daily basis, in our 2 interventional cardiology care unit. And what it 3 most commonly is is what we call Bezold-Jarisch 4 B-E-Z-O-L-D - J-A-R-I-S-C-H, reflex, or a vasovagal 5 reaction. 6 Ο. So if -- I'm asking you this maybe in 7 repetition, but if a patient has had a right 8 coronary artery intervention and then has an episode 9 of asystole that isn't coupled with any of the 10 additional symptoms that you described, such as 11 12 changes in blood pressure or chest pain, that would not raise your suspicions that there may be a 13 14 problem in that right coronary artery that was 15 intervened upon? MR. MILNE: Objection. 16 Α. That is correct. 17 Q . Okay. Do you have an opinion as to 18 19 whether or not when Dale went down for his second procedure on May 27th, whether Dale's right coronary 20 artery should have been reinjected to determine if 21 2.2 it was still open? Depending on the curiosity of the Α. 23 24 angiographer doing the procedure. I would say that

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I wouldn't be opposed to reinjecting it, but I don't 1 think that it's necessary. If the blood vessel had 2 closed off with minimal symptoms, then that would 3 mean that he must have developed collaterals from 4 the other artery, and you would readily see those 5 when you injected the artery you're going to work on 6 the next day. So it's really not necessary. 7 Q. Would you agree that a heart muscle that 8 is deprived of adequate oxygen is more likely to 9 generate ventricular arrhythmias than a heart muscle 10 that receives adequate oxygen supplies? 11 Objection. 12 MR. MILNE: That's pretty much fundamental 101 13 Α. cardiology. Yes, I would agree. 14 Q. Would you also agree that the oxygen 15 supplied to the heart muscle is dependent, at least 16 17 in part, on the oxygen-carrying capacity of the 18 blood? Objection. 19 MR. MILNE: 20 Α. To some extent that's true. As a person's hemoglobin count goes down, 21 Q. 22 the oxygen-carrying capability of the blood also goes down, correct? 23 It depends on what levels of hemoglobin 24 Α.

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1 you're talking about.

2	Q. Would you agree that in a patient with
3	ischemic heart disease, a drop of 7 points in
4	hemoglobin would place a patient at increased risk
5	for ventricular arrhythmias as compared to a person
6	with hemoglobin values in the normal range?
7	MR, MILNE: Objection.
8	A. I don't know what you mean by 7 points.
9	Q. If a patient starts out at 15.89 and
10	drops to 8.8 hemoglobin, that would be a drop of 7
11	points.
12	A. I assume you're referring to grams of
13	hemoglobin?
14	Q. Yes.
15	A. By and large, patients with ischemic
16	heart disease, we try to keep their hemoglobin in
17	the range of 8 to 10 grams. The reason being is
18	that probably under those levels then there can be
19	the potential for some compromise in the
20	oxygen-carrying capacity to the vital organs.
2 1	Q. But my question to you was: Is a patient
22	that has ischemic heart disease that has a drop of 8
23	points or 8 grams in the hemoglobin are they at
24	increased risk for the development of ventricular

arrhythmias as opposed to someone who has a normal 1 hemoglobin or falls within the normal range? 2 MR. MILNE: Objection. 3 Α. Not necessarily so. 4 Q. Doctor, isn't a patient that had a 5 7-point drop in their hemoglobin that also has 6 ischemic heart disease -- isn't that patient at 7 increased risk for ventricular arrythmia opposed to 8 somebody who has a normal hemoglobin? 9 Objection. 10 MR. MILNE: 11 The question has been asked and answered, Α. I think. Not necessarily so. 12 But more likely than not, isn't that Q. 13 14 patient at increased risk? 15 MR. MILNE: Objection. 16 Α. Not necessarily so. Well, I'm confused by your answer. 17 0. MR. MILNE: Do you have a question? 18 19 Q, I'm trying to get it across, and I think 20 we're not having a meeting of the minds here. 21 If you have a patient with ischemic heart 22 disease, are you telling me that there is no difference in the risk **for** ventricular arrythmia 23 24 whether the patient has a hemoglobin of 15.8 or has

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a hemoglobin of 8.8? 1 2 Α. Now you're talking. Ο. Okay. 3 Not necessarily so. They do not have an Α. 4 increased risk of having premature ventricular 5 contractions or arrythmias or whatever you want to 6 call them if they have a hemoglobin of 8.5 as 7 compared to 15.5. That is my answer. 8 Q. So the risk for ventricular arrythmia is 9 10 the same for both patients if they both have ischemic heart disease? 11 12 MR. MILNE: Objection. Q. You may answer. 13 As I mentioned before, not necessarily 14 Α. 15 If you take purely a hemoglobin, you may have a so. 16 hemoglobin of 8 and I may have a hemoglobin of 15, 17 and I would submit to you that we're not going to have different -- and we may both have ischemic 18 19 heart disease. And you're not going to have 20 necessarily more ventricular arrhythmias than me. Q. But let's --21 Now, if some expert is telling you that, 22 Α. that's their expert opinion. Okay. But it's not my 23 opinion that if you have a lower hemoglobin that's 24

1 going to necessarily predispose you to increased ventricular arrythmia. 2 Can we please move on to another 3 Can I go to the bathroom, please? question? 4 MR. MILNE: Certainly. 5 (A short recess is taken.) 6 Q. Doctor, in the same patient, if the 7 patient has an acute change over the course of three 8 days and his hemoglobin dropped from 15.8 to 8.8, 9 does his risk for ventricular arrythmias go up if 10 we're talking about a patient with ischemic heart 11 disease? 12 13 MR. MILNE: Objection. 14 Not necessarily so. Α. 15 Q. Would you agree that an interventionist has a duty to know a patient's hemoglobin level 16 17 before cardiac intervention is begun on the patient? 18 MR. MILNE: Objection. 19 Α. As a rule, I would say that is accurate, 20 but if a patient is dying right in front of your 21 eyes, I don't think it really makes a whole lot of 22 difference what their hemoglobin is at that 23 particular point in time when you have to react 24 quickly. Certainly you need to find that out, as

1 well as potassium levels and those types of things, as soon as you can. 2 Let me rephrase the question then. Q. In a 3 nonemergent procedure, one that isn't being done as 4 5 a result of an absolute emergency, would you agree that an interventionist has a duty to know the 6 patient's hemoglobin level before proceeding with a 7 cardiac intervention? 8 MR. MILNE: Objection. 9

10 A. Yes.

16

11 Q. And would you agree that one of the 12 reasons that an interventionist should know the 13 hemoglobin level before beginning angioplasty or 14 atherectomy is because there's a risk of bleeding as 15 a result of the procedure?

MR. MILNE: Objection.

17A.That's not my top priority for knowing18the hemoglobin, but I guess you could say that would19be --

Q. It's one of the reasons, though, correct?
A. One of the reasons you'd like to know
something is for comparative purposes later, yes.
Q. So the preprocedure value is then used as
a reference point to determine if there's blood

1	loss, though; is that correct?
2	A. Yes.
3	Q. Do you have an independent recall of Dale
4	Mauller? Do you recall him? Do you have a memory
5	of him?
6	A. By that you mean if you showed me five
7	pictures I could pick him out or
8	Q. Other than what you've just reviewed in
9	the record
10	A. Yes.
11	Q do you recall Dale Mauller and any of
12	the care that you rendered to him?
13	A. Yes.
14	Q. What do you remember about him?
15	MR. MILNE: Objection. A little vague.
16	Go ahead, doctor.
17	A. What I remember about him is that he had
18	a catastrophic event occur very suddenly, and that
19	was my first meeting with the man.
20	Q. Was the first time that you had contact
2 1	with Dale Mauller was that on the morning of May
22	28th when you did his procedure?
23	A. Yes.
24	Q. Was that the first time? Had you ever
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seen him before in the office for any type of 1 physical exams or when you were covering for any of 2 the other physicians that you're aware of? 3 Α. No. 4 Prior to the time that you did your 5 0. procedure on May 28th, did you consult with 6 Dr. Yakubov regarding Dale Mauller at any time? 7 Not that I can recall. Α. 8 And how did it happen that you saw Dale 9 Q . 10 Mauller on the morning of May 28th? 11 Α. I had the dubious honor of being the 12 weekend physician on call and rounding on the 13 patients in the hospital. 14 Q. And so you were seeing both your patients as well as Dr. Yakubov's that morning? 15 I was seeing all the patients in 16 Α. Yes. the hospital under our group. 17 Q . Prior to the time that you arrived on the 18 19 floor to make your rounds, were you notified about 20 Dale's condition or anything that had occurred during the evening before? 21 22 Α. No. Had you talked to Dr. Yakubov about Dale 23 0. 24 Mauller at any time before you saw him on the 28th?

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No. 1 Α. Q. Do you know what time you saw Dale on the 2 morning of the 28th, what the first time you saw him 3 was? 4 I would estimate it was between 8:00 and Α. 5 10:00 a.m. 6 Doctor, I've got a copy of the nurses' 7 Ο. notes from that day, and on the nurses' notes -- do 8 you want to mark this as an exhibit for me. 9 10 11 Thereupon, Plaintiff's Exhibit 12 No. 3 is marked for purposes of identification. 13 14 Q . This is a care flow sheet that's been 15 marked as Plaintiff's Exhibit 3, which I believe is 16 a part of the medical record. It indicates under 17the 8:00 time period that you came on the floor. Do 18 19 you have any reason to disagree with the time that 20 the nurses have indicated that you arrived on the 21 floor there? 22 MR. MILNE: Doctor, take your time before 23 you answer. Q. 24 Do you see where it says 8:00? Spectrum Reporting II, Inc.

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Yes. 1 Α. 2 Q. And the nurses have indicated, I believe, that you were on the floor? 3 4 Α. Yes. Q. Do you have any reason to believe that 5 that is incorrect as to the time that you arrived on 6 the floor to see Mr. Mauller? 7 Α. No. 8 MS. TOSTI: Can you mark this one, too, 9 10 for me. 11 12 Thereupon, Plaintiff's Exhibit No. 4 is marked for purposes 13 of identification. 14 15 - - - -16 Q. Doctor, I'm going to hand you what's been marked as Plaintiff's Exhibit 4. I'd like you to 17 take a look at a progress note that I believe is 18 19 dated for 5-28. I believe there's two notes on that 20 page. Have you written -- are two of those notes in your handwriting on that page? 21 22 Α. Yes. 23 In reference to the top one, that does Ο. not have a time next to it. Was that particular 24

note written at the time that you first went onto 1 the floor sometime around 8:00 in the morning? 2 Yes, I would say that that was probably Α. 3 sometime around 8:00 a.m. 4 Q. And then the second note that is written 5 on that page, is that also in your handwriting, just 6 below the one that we've just looked at? 7 8 Α. Yes. Now, there's a time written next to it. 0. 9 Can you tell me what time that says? 10 That says 8:30 p.m. Α. 11 Q, Was that note written at 8:30 p.m.? Is 12 that the correct time, or is it 8:30 a.m.? 13 I would estimate that was sometime around 14 Α. 8:30 to 9:00 a.m., would be my guess. 15 Q. So that's just --16 17 Α. That's an error. Q. 18 Okay. That's clearly an error. 19 Α. Doctor' what's a retroperitoneal bleed? 20 Q. A retroperitoneal bleed is, to the 21 Α. 22 layperson, internal bleeding that primarily occurs in and around the spine and in and around the 23 24 muscles in the pelvis.

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Q. And isn't a retroperitoneal bleed a known 1 2 complication following a femoral cardiac catheterization? 3 Yes. Α. 4 And would you agree that a consistent 0. 5 finding of retroperitoneal bleeding is a progressive 6 decrease in the hemoglobin and hematocrit? 7 8 Α. Yes. Are there any other signs or symptoms of 0. 9 10 retroperitoneal bleeding? 11 Α. Yes. Q, Could you tell me what those are? 12 13 Other signs and symptoms of Α. retroperitoneal bleeding could be inability to raise 14 the leg or what we call hip flexion; also could be 15 impingement on the femoral nerve which would cause 16 some numbness in the leg. You can see ecchymosis in 17 18 the flank. That's pretty much it. Q. Isn't complaints of back pain a sign that 19 20 is consistent with a retroperitoneal bleed? 2 1 MR. MILNE: Objection. Back pain is a reported problem with a 22 Α. retroperitoneal hemorrhage, although probably 75 to 23 24 80 percent of patients undergoing angioplasty have

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1	back pain. As far as in our particular group of
2	patients, we don't necessarily consider back pain a
3	hallmark of it. We look for other more specific
4	indicators.
5	Q. But it can be consistent with a
6	retroperitoneal bleed?
7	A. Yes.
8	Q. And can't complaints of abdominal pain
9	also be consistent with signs and symptoms of a
10	retroperitoneal bleed?
11	MR. MILNE: Objection.
12	A. Again, very nonspecific, but yes.
13	Q. How do you diagnose a retroperitoneal
14	bleed?
15	A. The diagnosis of a retroperitoneal bleed
16	can sometimes be very difficult, and a high index of
17	suspicion is number one priority, of which any
18	interventional cardiologist practicing in this
19	country has, I would estimate. But the way the
20	diagnosis is primarily made is by a CT scan.
2 1	Q. What would cause you to have a high index
22	of suspicion for a retroperitoneal bleed following
23	cardiac catheterization or intervention?
24	A. Somebody put catheters in your groin and
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you had blood thinners and your hemoglobin has 1 2 dropped. Have you had patients that have had 3 Ο. retroperitoneal bleeds following intervention for 4 cardiac cath? 5 Yes. Α. 6 Q, Can you give me an estimate of the number 7 of patients that you've seen in your practice that 8 have had that complication? I'm just looking for a 9 10 ballpark. 11 Α. One to 2 percent. Q. 12 And when you find a patient that has a 13 retroperitoneal bleed, how do you treat it? What do you do for it? 14 Diagnosis is half the treatment, and by 15 Α. that I mean that once you recognize it, because of 16 where the bleeding is located, if the patients are 17 18 volume resuscitated, by that I mean given blood, given clotting proteins back, if they've been on 19 20 blood thinners, it stops on its own and no further 21 treatment is required. And what would be the indications for 22 Q . sending a patient for a CAT scan? You had mentioned 23 that a CAT scan would be probably one of the 24

diagnostic tests that might be done for a 1 retroperitoneal bleed. What would be the 2 3 indications for sending a patient for a CAT scan if you were suspecting a retroperitoneal bleed? 4 Commonly where we'll send a patient for a Α. 5 CAT scan is to confirm **a** diagnosis, and most 6 7 particularly if it would have impact on our future In other words, we commonly see hemoglobin 8 therapy. 9 drops in patients. It's very, very common in 10 angioplasty patients. If we want to do prolonged 11 heparinization or anticoagulation, we're suspicious 12there might have been a retroperitoneal bleed, we'll 13 do a CAT scan to support that diagnosis or disprove so we can better delineate whether or not we can use 14 anticoaqulants on a continual base. 15 If you think a patient has a Q. 16 17 retroperitoneal bleed and the patient has sheaths, then do you remove the sheaths? 18 19 Α. Not necessarily. The sheaths may be a 20 need -- need to be kept in place to monitor blood 21 pressure and for volume resuscitation. If they were being kept in for additional 22 0. 23 procedures, would you keep the sheaths in? Α. Sometimes, yes. 24

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Q. If the patient is suspected of having a
 retroperitoneal bleed, do you discontinue
 anticoagulation?
 A. Again, depending on the risk/benefit

ratio of discontinuing anticoagulation. 5 Ιf 6 discontinuation of anticoagulation would perhaps put the patient at a higher risk of clotting off blood 7 vessels in the heart that have been intervened upon 8 which can end up in a catastrophic event, we would 9 10 probably, if the patient was stable enough, try to 11 do a CT scan to confirm or disprove a bleed before 12 we would necessarily stop Heparin.

13 Q. If you've got a confirmation on a CT scan 14 that the patient had a retroperitoneal bleed, would 15 that be a good indicator that you should discontinue 16 the anticoagulation?

17 A. By all means.

18 Q. If you've got a confirmation on a CT scan 19 that you've got a retroperitoneal bleed, is that 20 reason enough to remove the sheaths?

MR. MILNE: Objection.

22 A. No.

21

23 Q. Would you agree that a retroperitoneal24 bleed can become life-threatening if it's

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1	untreated
2	A. Yes.
3	Q in some instances?
4	When you saw Dale Mauller on the morning
5	of May 28th, were you aware that Dale had been
6	having numerous complaints of severe back pain and
7	abdominal pain that had begun on the 26th and
8	continued through the 27th, as well as the morning
9	of the 28th?
10	MR. MILNE: Objection.
11	A. Just trying to recall from memory, I
12	can't say that I was aware of that, but I know that
13	the nurses totally debrief me on every patient when
14	I make rounds, so I'm sure I was informed of what
15	was going on with this patient when I saw him on
16	Saturday morning.
17	Probably 75 percent of patients that I
18	round on in the angioplasty recovery unit have back
19	pain and have abdominal pain. If you're made to lay
20	flat on your back for hours on end and not allowed
21	to move and you can't get up and have a bowel
22	movement, it's not exactly a pleasant situation for
23	any of the patients. It's a very common complaint
24	that we see, and it's not necessarily specific for

one particular catastrophic or potentially
 catastrophic process in the absence of other signs
 or symptoms.

Q. Is the fact that Mr. Mauller was having
numerous complaints of severe back pain and
abdominal pain something that you would want to know
about when you go -- went up for rounds the morning
of the 28th?

Most likely I would. The nurses in our Α. 9 10 interventional care unit are very highly specialized and trained nurses that are used to seeing a lot of 11 patients with a lot of back pain and a lot of 12 abdominal pain, and they're quite good clinicians at 13 14 knowing whether or not it's something that requires a doctor's attention or whether it's what we 15 sometimes call the run-of-the-mill abdominal pain 16 17 and back pain.

18 Q. Doctor, if this patient was required to 19 be medicated with Dilaudid on numerous occasions, 20 would that be the type of pain that you'd want to 21 know about?

MR. MILNE: Objection.

23 A. Not necessarily so.

22

24 Q. Do most patients that undergo cardiac

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catheterization and intervention have pain that 1 requires them to be medicated with Dilaudid, back 2 pain and abdominal pain? 3 MR. MILNE: Objection. 4 Α. Yes. 5 Q. In most cases patients are receiving 6 Dilaudid for back pain and abdominal pain, most 7 catheterizations and angioplasties? 8 If you look on Mr. Mauller's routine post 9 Α. angioplasty orders, that is part of the routine 10 11 orders. Yes, it is very common. Do you have an opinion as to whether Dale 12Q. Mauller was experiencing a retroperitoneal bleed on 13 the morning of May 28th when you saw him? 14 I had nothing clinically to indicate that 15 Α. 16 he was having a retroperitoneal bleed or hemorrhage. And in Mr. Mauller's case, what did you 17 0. attribute his back pain and his abdominal pain to? 18 Being made to lay flat in bed for 48 19 Α. 20 hours. Doctor, I'm going to refer you back to 21 Q. 22 Plaintiff's Exhibit No. 3, and there's a notation by 23 the nurses at 6:30 in the morning. And I'm going to let you take a look at this, but it says that the 24

patient is crying out in pain, and that's -- the nurses have indicated after that that the patient was apparently given Dilaudid, 1 milligram, IV push after that. I want to ask you if that's typical of a patient that has the type of pain that you described as being routine after various interventions, cardiac caths?

MR. MILNE: Objection.

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Α. Well, perhaps for me to best explain it 9 10 would be for me to show you the process whereby femoral sheaths are removed, which were done at 11 6:15. When the sheaths are removed, what has 12 happened is that there is very firm, actually very 13 14 intense pressure kept right in the groin area, in 15 the crease in the groin, which in some patients, in 16 males more than females particularly, is very significantly uncomfortable. And I would say 17 18 estimate 75 to 80 percent of patients require heavy sedation in order to tolerate that removal process. 19 20 As a matter of fact, we've done studies on that, 21 looking at that, and it's a very common thing. This 22 is nothing out of the ordinary. Q. 23 so are you making the assumption that

24 he's crying out at the time that the sheaths are

1 removed? I want to understand what you're telling 2 Are you saying that that was during the actual me. procedure where the sheaths are removed, that that 3 4 nurse is making a comment on his condition at the time that the sheaths are removed? 5 He is very uncomfortable because the 6 Α. sheaths have been removed and there's firm pressure 7 kept in his groin, and that's why he's crying out in 8 9 pain, and that's why he was administered narcotics to ease the pain and improve his comfort level. 10 Q. What about his complaints of pain for the 11 12 previous days, on the 26th and the 27th, that he required medication, do you have any reason as to 13 why he required that medication, other than you 14 15 think it was just him laying in bed and he was uncomfortable? Is that --16 Yes, that's what I would estimate it to 17 Α. be. 18 19 Doctor, you would agree that Dale Mauller 0. 20 suffered **a** progressive fall in his hemoglobin and 21 hematocrit levels from the time of his admission and continuing through the time that you saw him on the 22 28th, correct? 23 24 MR. MILNE: Objection.

Yes, I would say that he did have a 1 Α. 2 progressive fall in his hemoglobin, as noted in my 3 progress note. And would you agree that a fallen 0. 4 hemoglobin from a precath level of 5.8 on the 26th 5 6 to 8.89 on the morning of the 28th that you saw him is consistent with the patient that's experiencing 7 an acute bleeding problem? 8 MR. MILNE: Objection. 9 First of all, his hemoglobin wasn't 5.8 10 Α. when he came in. It was 15.8. 11 Q. I'm sorry. 12 And --13 Α. I'm in error. 0. 14 Α. His hemoglobin fell to 8.89 when I saw 15 And a drop of 15.8 to 8.8 with multiple fluids 16 him. and multiple complex interventions, with no other 17 obvious source of bleeding and no other clinical 18 19 evidence of a retroperitoneal hemorrhage, is 20 something that bears observation, but wouldn't 21 necessarily raise up the red flag of massive 22 hemorrhage to me. 23 <u>Q</u>. Based on your progress note that was written on the morning of the 28th, you were aware 24

of Dale's fallen hemoglobin, correct? 1 That's correct. Α. 2 Q. That's what you just said? 3 That's correct. Α. 4 Q. Doctor, wouldn't you agree that based on 5 Dale's severe complaints of abdominal pain and on 6 his complaints of back pain and his falling 7 hemoglobin and hematocrit, that diagnostic studies 8 to rule out a retroperitoneal bleed should have been 9 done? 10 MR. MILNE: Objection. 11 Α. No. 12 Q. Can you tell me what the basis of your 13 opinion is? 14 Α. Because I had no other clinical 15 symptomatology to suggest that. And I'm basing it 16 17 on my experience of thousands and thousands of angioplasties, and knowing what kind of hemoglobin 18 19 drops I see in patients who have had multiple 20 complex interventions. I think one thing that is not well 21 22 understood by many of you people is that when these 23 procedures are done, there's a substantial amount of 24 blood loss with just one procedure, let alone

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He had a heart cath, one balloon procedure, three. 1 and a second balloon procedure. And for us to see a 2 drop like this and to not have any other -- and then 3 to receive intravenous fluid to volume resuscitate 4 him, which would then dilute out his hemoglobin 5 more, is a very common entity and would in no way, 6 7 shape, or form raise the red flag of concern to me that I need to rush this man off to a CAT scan on a 8 Saturday morning. 9 Q. Do you have an opinion as to whether or 10 11 not Dale Mauller suffered a retroperitoneal bleed at 12 any time during the time that he was --Yes, I do. Α. 13 Q. And what's your opinion? 14 He did not have a retroperitoneal 15 Α. 16 hemorrhage. Were there computer terminals available Q. 17 on the floor or in the units at the time that Dale 18 19 Mauller was taken care **of** that you could go to and 20 check for lab results? Α. Yes. 2 1 Now, doctor, you indicated that you were 22 Q. aware that Dale's hemoglobin was 8.8 on the morning 23 that you saw him. And at that point in time did you 24

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believe that he needed any treatment for this 8.8
 hemoglobin?

3 A. No, I didn't.

Q. And what's the basis for why you're
saying that you don't believe he needed any
treatment at that time?

7 A. Well, the main reason I say that is that
8 this man had undergone multi-vessel angioplasty and
9 primarily his vessels had been opened adequately at
10 that point in time, or it was presumed they were
11 opened adequately based on the report.

A hemoglobin of 8.8 is essentially a hemoglobin of 9. If you look at the benefits that he would gain by giving him more blood, they are very minimal. If you look at the risks that he has of getting hepatitis or AIDS, they far outweigh the benefits of giving him blood.

18 Q. Considering that he had a drop of 7
19 points in his hemoglobin and hematocrit, did you at
20 any point in time consider typing and crossmatching
21 him just to have the blood on hand in case he should
22 need it?

A. He probably had blood on hand anyway
because, as a routine, we primarily have some blood

1

available for patients.

2 Q. Is that routine to type and cross people
3 before an intervention is started?

4 A. *Yes*.

5 Q. Any particular level of hemoglobin and 6 hematocrit at which -- and we'll base it on Dale's 7 case here -- you would order a transfusion? Is 8 there a certain level that you'd say, okay, at this 9 level Dale would have needed one?

Α. I would say probably if he got below a 10 hemoglobin of 8 grams, I would basically say to 11 him -- provided there's no obvious bleeding, I'd 12 13 say, look, your hemoglobin is below 8 grams. You're going to feel pretty puny and lousy for the next 14 15 four to six weeks until your bone narrow regenerates 16 this after you've had these complicated procedures. We can give you a couple units of blood and you 17 18 won't feel so weak and fatigued over the next four weeks, but there is a small but very real risk of 19 20 hepatitis and AIDS.

You've got one of two choices: You can either get a couple bags of blood and you'll feel better quicker, or you can take a multivitamin with iron and let your own marrow do it and not have the 1

risk of hepatitis and AIDS.

2	Q. Doctor, I have the pages and it's
3	probably in your copy of the records also of the
4	nurses' notes for the procedure that you performed
5	on the 28th, and in the nurses' notes I'm just
6	going to hand you this whole group of papers here.
7	During the resuscitation there's a number of
8	hematocrits that are listed throughout the nurses'
9	notes. And also on I think this would be the
10	easiest thing to look at. Let's mark this.
11	- _
12	Thereupon, Plaintiff's Exhibit
13	No. 5 is marked for purposes
14	of identification.
15	
16	Q. What I'm marking as Plaintiff's Exhibit
17	No. 5 has a title on it, Circulation and Technology
18	Department Perfusion Record. There are several
19	hematocrits that are listed on this sheet of paper.
20	And as I've looked through the records in the
21	laboratory section, I do not see those particular
22	hematocrits listed on the computer printout. In the
23	laboratory is there the capability of running
24	hematocrits? Can you do that directly in the lab?

A. In the heart cath lab?
Q. I'm looking -- there's a column there
that lists out hematocrit, and there's three values
that are listed there, and those are repeated in the
nurses' notes, and I don't find those particular
hematocrit values listed in the laboratory section

7 of the medical records. So I'm asking if those are 8 tests that were run during the resuscitation by some 9 mechanism in the lab and weren't actually run down 10 by the hospital hematology lab.

These were done in the stat ICU lab, I 11 Α. believe, and the reason that they were done there is 12 13 this man was put on cardiopulmonary support, and 14 when you're on cardiopulmonary support one of the ways that when you're on what we call an 15 16 extracorporeal situation, which is basically you've bypassed the patient's heart and lungs, which is 17 18 what happened in this man. This is what I did to When that's done, there's a constant 19 this man. surveillance of the patient's hematocrit during that 20 2 1 cardiopulmonary bypass run to make sure there's a 22 satisfactory hematocrit. Those are done by the 23 circulation technologists, what we call the 24 perfusionists, and they keep very close watch and

assessment on that during the pump run to make sure 1 that there's satisfactory oxygen-carrying capacity 2 3 by the oxygenating machine. And in this particular instance, they 0. 4 have indicated that at 11:30 Mr. Mauller's 5 hematocrit was down to 13; is that correct? 6 Α. Yes. 7 Q., Now, at some point in time when you were 8 doing your procedure on the 28th, did you order 9 blood for Mr. Mauller? 10 Well, I want to clue you in on a little Α. 11 These numbers right here mean that something here. 12he received blood during the pump run. The reason 13 he received blood is because his hematocrit was 13. 14 When a patient goes on cardiopulmonary bypass, as 15 16 part of priming the pump, the patient is given 17 almost a gallon of fluid as part of the pump priming 18 process. When that occurs, it can, in some patients, substantially dilute their hematocrit. 19 20 And that's exactly what happened in this patient. His hematocrit was borderline low before this even 21 2.2 started. When he gets 3 to 4 guarts of fluid put into his blood stream in a rapid time, you're going 23 2.4 to see a drop in hematocrit. **So** the reaction was to

give him blood to increase his oxygen-carrying 1 capacity, which was guite appropriate. 2 3 0. Doctor, what would you consider to be an acceptable drop in hemoglobin and hematocrit after 4 an intervention? 5 Objection. MR. MILNE: 6 Some patients can drop as much as 5 to 7 7 Α. grams of hemoglobin with a very complex 8 intervention, and it's due to the intervention, not 9 10 necessarily to some other pathology going on. Q, Is there a particular amount of that you 11 12 consider unacceptable? More than 7? When patients drop -- if they've had, Α. 13 say, a single procedure, they didn't have a heart 14 cath, they just had an angioplasty, it was an 15 16 uncomplicated short procedure and a patient would 17 drop 5 grams of hemoglobin, and we have no obvious 18 explanation for it, that would raise the index of 19 suspicion, yes. 20 If you had a patient like Dale Mauller 2 1 who had a heart cath, had two interventions, had a cardiopulmonary support run, all that sort of thing, 22 it's a whole different ballpark. 23 There's massive incredible swings in hemoglobin and hematocrit with 24

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that type of process going on.

Q. Are you familiar with the term shear 2 force as it relates to the pressure of the blood on 3 the arterial walls? 4 Since my background is in biomechanical Α. 5 engineering before I went into medicine, I would 6 say, yes, that's correct. 7 Q. Would you agree that a significant drop 8 in hemoglobin and hematocrit that occurs over a very 9 short period of time -- and here we're talking about 10 a matter of a couple days, three days -- can 11 actually increase the shear force that's exerted on 12 the interior of coronary arteries? 13 MR. MILNE: Objection. 14 15 Well, I don't know where you got that one Α. No, I wouldn't agree with that. 16 from. Q. Does the heart generally attempt to 17 compensate for significant acute blood loss by 18 19 increasing the force of its contraction? Is that a 20 compensatory mechanism that's recognized? 21 Α. Yes. 22 Would you agree that if a dissection is 0. 23 present in a coronary artery, that an increase in shear force increases the risk for closure of that 24

artery?

MR. MILNE: Objection. Could you state that question again, Α. 4 please. Q. If there's a dissection present in a 5 6 coronary artery, does an increase in shear force increase the risk for closure of the artery? 7 8 Α. Yes. 9 Would you agree that in a patient with Q. 10 ischemic coronary artery disease, that a fall from 15.8 to 8.8 would increase the risk for ischemia of 11 the heart? 1213 MR. MILNE: Objection. 14 No. Α. 15 0. Would you tell me what the basis for your opinion is? 16 17 Hemoglobin/oxygen dissociation occurs. Α. And if we want to get into a lecture on that we need 18 19 to reschedule this deposition. 20 0. Doctor, if a patient has increasing 21 ischemia of the heart, are they at increased risk for ventricular arrhythmias? 22 23 MR. MILNE: Objection. 24 Α. Yes. Spectrum Reporting II,

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1 Q. Would you agree that a systemic infection can increase the risk of closure of a coronary 2 artery after intervention? 3 No. 4 Α. 5 0. Is it your opinion that a systemic infection has no effect on the risk for closure of a 6 coronary vessel after intervention? 7 MR. MILNE: Objection. 8 9 Α. To my knowledge, it's never been 10 documented anywhere in the literature that that is Based on my experience, I've not seen 11 the case. 12 that a systemic infection would necessarily 13 predispose a patient to increased risk of abrupt 14 closure after an angioplasty. 15 Q. When you saw Dale Mauller on the morning of May 28th, were you aware that he had a WBC of 16 17 15.8 at 1:30 in the morning and a WBC of 17.1 at 18 5:30? 19 Α. I may very well have been aware of that. 20 That does not necessarily disturb me taken as a single number. 21 2.2 Q. Is that something that you would want to 23 know when you made rounds on a patient, if a patient 24 had a WBC of 17.1?

1 Α. Not necessarily so, unless he had clinical signs and symptoms of an infection. Then I 2 would want to know that. 3 Q -If the patient was running a temperature 4 of 101.1 at 11:30 the night before and had a WBC of 5 17.1 at 5:30 in the morning, is that something you'd 6 7 want to know about the patient? That would be something I would want to Α. 8 9 know about in the patient, yes. 10 Q. Would you agree that in Dale's case he had a WBC of 15.8 and 17.1 and that this was highly 11 12 indicative of a systemic infection in his case? 13 MR. MILNE: Objection. 14 Α. Absolutely, unequivocally, no. 15 0. What did you attribute his increased WBC 16 to? Stress demargination from going through 17 Α. 18 multiple procedures. We see it all the time in 19 angioplasty patients. What did you attribute his temperature 20 Q. 21 elevation of 101.1 to? 22 Α. Coronary angioplasty and multiple 23 interventions. We see it all the time in a lot of 24 patients.

Q. Do you see it in most patients? 1 We see it in perhaps 25 percent of 2 Α. patients. 3 Ο, 4 And how about the elevations of WBC over 17,000, do you see that in most patients? 5 I would say that somewhere in the range Α. 6 of 40 to 50 percent of patients, yes, we do see 7 that, and the phenomenon is called stress 8 demargination. I think I'm going to have to write a 9 paper on this **so** we can get off this infection 10 topic. 11 12 Ο. Doctor, if there were indications or evidence of a systemic infection, would that be an 13 indication to remove the femoral sheaths? 1415 Α. Absolutely. Q, And if there were clear indications of 16 17 infection in Dale Mauller's case, would you agree that his femoral sheaths should have been removed 18 and he should have been placed on antibiotics? 19 20 MR. MILNE: Objection. If There was no obvious source of 21 Α. infection and the clinician feels that there is a 22 bateriologic process, which a high white count and a 23 24 fever is not necessarily indicative of in an

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angioplasty patient, yes, you need to remove the
 sheaths.

Q. Doctor, if an elevation of 101.1 and a WBC of 17,000 is not indicative of a systemic infection, what would be the indicators of a systemic infection that would cause you to remove sheaths in a patient that had undergone an intervention?

9 MR. MILNE: Objection. Vague; improper
10 hypothetical.

11 Α. If there's pus coming out of the groin site when the sheaths are in, this man's coughing up 12 green purulent sputum, if it burns when he urinates 13 and we see white blood cells in his urine, if he's 14 tender to touch in his calf suggesting he has 15 16 thrombophlebitis. I'd like to see symptoms and signs, not just laboratory data for infection. 17 WBC counts that's elevated, a fever, does not 18 necessarily mean an infection, 19

20 Q. Doctor, if you wait until you have those 21 other clinical signs that you've just delineated, 22 wouldn't you agree that that infection would have 23 been fairly far advanced?

MR. MILNE: Objection.

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No, I would not agree, and that's one of 1 А. the very reasons indiscriminate use of antibiotics 2 has caused resistant infections in this country. 3 4 That's just been recently reported. You have to define if there is an infection, and if there is an 5 infection, where the process is coming from and 6 identify the organism, and then appropriately treat 7 it. 8 Q. How long should femoral sheaths be kept 9 10 in? 11 Α. Femoral sheaths, as a rule, we try to get 12 them out as soon as possible because they are a 13 potential source of infection. But femoral sheaths in perhaps 20, 25 percent may stay in 48 to 72 14 hours, particularly back in the 1994 era. 15 Wouldn't you agree that the longer the 16 Ο. 17 femoral sheaths stay in the greater the risk for infection? 18 MR, MILNE: 19 Objection. 20 Yes, I would agree with that. Α. 2 1 Q. Do you know how long Dale Mauller's 22 femoral sheaths were in place when you saw him? I don't know how long they were in place, 23 Α. 24 but I would estimate they were in probably longer

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than the average angioplasty patient.

2 Q. Is it likely that they were in from the
3 first procedure, which was done on the 26th?
4 A. Probably unlikely.

And why do you say it would be unlikely? Q. 5 Well, as a usual matter of convention, Α. 6 just knowing some of our practice styles, is that in 7 8 a patient like him, I would estimate that cardiac catheterization was probably done, and there may 9 have been an issue as to what's the best way to 10 11 treat his problems, so the sheath may have been 12 removed and then a sheath inserted then for the 13 start of the next procedure. It's somewhat of a two-edged sword. Leaving a sheath in predisposing 14 the patient to increased infection, but multiple 15 punctures in the groin in the patient predisposes 16 17 them to more bleeding.

18 Q . Doctor, wouldn't you agree that 19 considering the fact that Dale had undergone two 20 procedures prior to the time that you intervened, 21 that he was at risk for developing an infection? All patients are at risk for developing 22 Α. an infection when they have -- when their blood 23 24 vessels are invaded with foreign catheters. Was his

risk any greater than a normal patient, is that what 1 vou're asking? 2 Q. Compared to a patient that had a single 3 4 intervention, was he at increased risk for infection? 5 In my opinion, I have to estimate yes, Α. 6 slightly increased. 7 Q. Do you have an opinion as to whether 8 removal of Dale's sheaths and administration of 9 antibiotics early in the morning on May 28th would 10 have decreased the likelihood of Dale's suffering 11 12 coronary vessel closure? Objection. 13 MR. MILNE: It's extremely unlikely that would have 14 Α. had any impact whatsoever on his abrupt closure. 15 Q. Do you have a basis for that opinion? 16 Nine thousand angioplasties, 15,000 heart 17 Α. 18 caths, and 15 years of experience and multiple publications. 19 Q. Now, doctor, on the morning of the 28th, 20 2 1 you made rounds on the floor and you saw Dale 2.2 Mauller, and at some point in time you were summoned back to the floor to see Mr. Mauller; is that 23 24 correct?

Yes, from the looks of the notes, that's Α. 1 correct. 2 And that appeared to be, I believe, based 0. 3 on the notes, around 9:30 in the morning; is that 4 correct? 5 MR. MILNE: Do you want to show him the 6 notes, or do you have them there so we can speed 7 а this up, counsel? Q. Do you recall how long after you had seen 9 him that you returned to the floor? 10 I don't recall right off the top of my 11 Α. 12 head, but I would estimate it was probably within an hour, hour and a half of the time I had seen the 13 14 patient. Q. When you returned to the floor, what was 15 Dale's condition? 16 Α. He was acutely ill. 17 Ο. And at that particular time, did you have 18 19 any conversation with Dale Mauller when you went up to see him the second time? 20 Α. I'm sure it was somewhat abbreviated 21 22 because of his acute state of affairs with chest 23 pain and EKG changes, consistent with a vessel closure, based on my note. My impression is 24

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probably that I most likely said to him one of the blood vessels that have been worked on has collapsed and we need to move you to the cardiac 4 catheterization laboratory as soon as possible and open that up, most likely put a stent in it to keep 5 it propped up to prevent heart injury. 6 Do you have any specific recall of any 7 Ο. conversation that you had with Dale? 8 No, but I can just say that's standard Α. 9 operating procedure. If we get into that kind of 10 11 situation with a patient, that's pretty much what I 12 tell them. We don't get too chatty about it because time is of the essence. 13 14 At the time you went up to see him, do Ο. you have an opinion as to what blood vessels had 15 closed off? 16 It appears by my note I thought, looking 17 Α. 18 at the EKGs and my clinical assessment, it was the 19 right coronary artery that was closing off. 20 Q. And at that particular point in time, 21 when you went back to see Dale, was CABG an option? 22 Α. Yes. 23 And do you have any recall of discussing Q. that option with him? 24

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A. I don't have any recall of discussing
that with him, no.
Q. And was there a particular reason why he
was sent back down to the lab as opposed to calling
in the heart team and doing a CABG on him?

6 A. Yes, indeed.

Would you tell me what that is? Q. 7 We have found in our experience in our Α. a 9 heart program that when patients develop an abrupt closure, that it is by and large much easier to 10 mobilize the cardiac cath team, get the vessel 11 opened, get the patient stabilized with opening of 12 the blood vessel before whisking the patient off to 13 14 surgery.

In the early days of coronary angioplasty 15 we learned sometimes the hard way by whisking a 16 patient off to bypass surgery from a heart cath lab 17 with a shut-off vessel, and these patients had 18 substantially higher operative mortality rates. 19 20 That's been well documented in the literature. So that's the reason why he was taken to the cath lab. 21 22 Q . Was there a CABG team on call at the 23 time? There's a CABG team and there's an 24 Α.

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angioplasty team on call 24 hours a day at Riverside 1 Hospital. 2 3 0. How long would it take to assemble the surgical team? 4 An hour to an hour and a half. Then it 5 Α. would take another hour to probably get Mr. Mauller 6 on cardiopulmonary bypass to get him -- and get his 7 8 chest open so that you could put bypasses in. So we're talking about, at a minimum, a three- to 9 10 three-and-a-half-hour process in a best case scenario to get blood flow back to the heart. 11 12 Q. Did you make the decision as to which of 13 these options was appropriate for Mr. Mauller? Yes, I did. 14 Α. Prior to the time that you took Dale back 15 0. down to the cath lab, did you have any conversations 16 with Dr. Yakubov? 17 18 Α. No. 19 At the time that you were in the cath 0. 20 lab, were there any other physicians present in the 21 lab during your procedure? 2.2 Α. Not that I'm aware of. Now, during the procedure, at the time 23 Q. that you introduced the catheter, at some point Dale 24
went into ventricular fibrillation; is that correct? 1 Α. Yes. 2 Q . Do you have an opinion as to the likely 3 cause of the \mathbf{v} fib and the arrest that he 4 experienced during that procedure? 5 It was due to vessel -- the abrupt Α. 6 7 closure of the right coronary artery. Ο. Now, at the time that you initiated your 8 procedure, did he have a closure of his left 9 anterior and the circumflex also? 10 Not that we could assess clinically. Α. 11 Q. Do you have an opinion as to whether 12 those three vessels eventually all closed off in 13 Mr. Dale Mauller's case? 14 Yes, I believe they did all close off. Α. 15 Ο. And at what point in time do you think 16 the left anterior closed? 17 Probably at or around the time that he 18 Α. experienced ventricular fibrillation in the cath 19 20 lab. And you would agree that, based on Q. 21 Dr. Yakubov's previous cath note, that the 22 23 circumflex was likely closed at the time that he went down for your procedure? 24

The circumflex, at least based on what I 1 Α. see here, was kind of -- that's not going to cause 2 all of this commotion that's going on in this 3 patient at this point in time. I mean, the little 4 branch he was talking about, that's not going to 5 cause a patient to die suddenly. 6 Q. Did hypotension play a role in the 7 development of Dale's arrest? 8 9 MR. MILNE: Objection. 10 Α. In the cath lab? 11 0. Yes. May very well have. Without actually 12 Α. looking at the nursing notes in the cath lab and 13 seeing what his blood pressure was when I 14 immediately put the catheter in, I couldn't tell 15 you, but it certainly could have. 16 Do you have an opinion more likely than 17 0. not as to the reason why Dale suffered closure of 18 his coronary vessels on 5-28? 19 20 MR. MILNE: Objection. 21 Α. He developed abrupt closure most likely because of thrombosis in the right coronary artery 22 23 with the discontinuation of Heparin and removing of 24 the sheaths.

Q . And you're speaking of the removal of the 1 2 sheath that occurred --At. 6:00 a.m. 3 Α. Q. 6:00 in the morning on the 28th? 4 Yes. Α. 5 0. At the time of the cath procedure on 6 5-28, were dissections present in any of Dale's 7 coronary arteries? 8 9 Α. This is my procedure? 10 Ο. Yes. 11 Α. It was hard to say whether there was 12 dissection present. The reason being, when I injected dye, all I saw was a totally blocked-off 13 artery. The dye went up and stopped. So there was 14 no good way for me telling there was dissection. 15 16 After it was opened with the balloon 17 catheter, there was some evidence that there 18 probably was dissection in there. But dissection is 19 not a big deal to us. Dissection is part and parcel 20 of an angioplasty procedure. There's good and bad 21 dissections. Mr. Mauller obviously had a bad 22 dissection. Q . And the dissections that you saw, were 23 they in the left anterior descending and the right 24

1 coronary or --Bear with me while I check my notes Α. 2 here. 3 Yes, dissections were present in both the 4 right coronary and the LAD. 5 Q. And at the time that you did the 6 catheterization, was there evidence **of** thrombus in 7 either the left anterior descending or the right 8 coronary artery? 9 One of the things that is difficult to Α. 10 tell on an angiogram is how much is clot and how 11 much is dissection. Usually in this kind of 12 catastrophic situation they go hand in hand. 13 Q. Is your answer you don't know? 14 The answer is that you can't tell with an Α. 15 angiogram. 16 When the resuscitation was begun on Ο. 17 Mr. Mauller, did any other physicians come in and 18 19 attend that resuscitation? Was there anyone else assisting you with the resuscitation? 20 21 Α. No. And I believe the report that you did on 22 Q. this particular procedure indicates that the patient 23 was resuscitated for approximately 17 minutes; is 24

1 that correct?

A. Well, that's one thing I've looked at
pretty specifically in this, and one of the things
that we obviously are very meticulous about is the
length of duration of resuscitation in cardiac
arrest, because this has significant impact on the
'patient's neurologic status long-term.

And one of the things I do remember
specifically about this case years later is the fact
that cardiopulmonary support was put in in a very
expeditious fashion, within 13 minutes of the time
of the cardiac arrest, with satisfactory CPR
techniques and prompt intubation.

After pulmonary support was done and he 14 15 was resuscitated and the vessels were opened and he was weaned from the cardiopulmonary support, he was 16 neurologically intact. And by that I mean that I 17 would say, "Open your eyes," and he would open his 18 19 eyes, and I'd say, "Move your hand," and he would 20 move his hand. **So** it meant that the lights were on, so to speak. 2 1

And with that in mind, then what we do with patients who have went through a catastrophic event like that is we put them back to sleep because

they have a lot of tubes and a lot **of** things in 1 2 them. And this is going to be a young man who is 3 going to be thrashing around a lot, and we need to 4 keep him asleep. My point is, he was resuscitated in a 5 prompt and expeditious fashion within 13 minutes and 6 7 we were quite happy his neurological status was 8 intact. Q, Doctor, your report says 17 minutes; is 9 that correct? 10 I don't know what the reports says. My 11 Α. 12 recollection on reading the chart is 13 minutes, and I'm not going to argue over 4 minutes. 13 I would just like to be correct as to Q. 14 what you've actually indicated in your report. 15 Do 16 you have a copy of your report in front of you, if 17 you could take a look at it? 18 MR. MILNE: Counsel, do you have one that you can show him so we can move on here? 19 I have one that's 20 MS. TOSTI: 21 highlighted. I think he was looking at his own cath 22 report there. Α. I don't believe that the cath reports are 23 necessarily in here. 24

Can you show him his report? 1 MR. MILNE: MS. TOSTI: Do you want to mark this? 2 MR. BECKER: You don't have to mark it. 3 4 Just show it to him. Q, I believe on Page 2 of your report, maybe 5 about a third of the way down the page, there's a 6 sentence that says, "The patient had cardiopulmonary 7 resuscitation for approximately 17 minutes." 8 Well, approximately is just what it 9 Α. means, approximately. I would estimate that where I 10 got that 13 minutes from was in the cath lab nurses' 11 12notes, and the timing is where that was from. And because the copy here on my chart here is poor -- in 13 fact, not only do I know -- I'm sure that's what it 14 was where I found that. That 13 minutes sticks in 15 If you look at the sequence of events on 16 my mind. 17 the nursing notes, it was 13 minutes. 18 Where did the 17 minutes come from in 0. your report, doctor? 19 20 It was an estimate. Probably came from Α. 21 looking at this report, the nurses' notes report. 22 But I'm not going to argue with you between 13 minutes and 17 minutes, okay, if it's -- if you want 23 it to be 17 minutes, we'll make it 17 minutes, if 24

1 that's what it says. Approximate means approximate. 2

0.

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Doctor, I'm just inquiring as to why you Ο, 3 recorded in your report 17 minutes. 4

Α. I recorded approximately 17 minutes. And 5 that if you get the official chart and look at the 6 nurses' notes -- and I don't know if we have the 7 official charts here that are not poor duplicates --8 9 it most likely will be 13 minutes. That's the point I'm trying to make. I may stand corrected, but I 10 believe that's where I came up with the 13 minutes. 11

Doctor, what's cardiopulmonary support? Cardiopulmonary support is just what it 13 Α. 14 means. Cardiopulmonary support is where we have the capability with catheters inserted in the groin 15 16 where we bypass the heart and the lungs through an 17 external machine that takes over the work of the 18 heart and the lungs, and it pulls blood out of 19 patient's body, oxygenates it, and then gives it 20 back to the patient to oxygenate and supply blood flow to the vital organs in the absence of heart 21 22 function.

Q. 23 Now, during the course of the procedure 24 you had indicated previously that Dale was given

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some blood. I think the nurses' notes may indicate 1 2 three units of packed cells were given. Yes. 3 Α. Q. 4 And you had indicated previously that this was part and parcel to the cardiopulmonary 5 support system, was used as a primer; is that 6 correct, or am I misinterpreting that? 7 No, what -- the primer that's used is 8 Α. 9 what's called chloride, which is basically salt 10 water or some water with some protein-like material 11 in it that's used to prime the pump. When it goes into the body, and it goes in fairly rapidly through 12 13 these large catheters, it then dilutes out the patient's hemoglobin or their hematocrit. 14 15 Dale already had a hematocrit that was on 16 sort of the borderline at 8.8 that morning, so 17 that's why he had a tremendous drop in his 18 hemoglobin once the institution of cardiopulmonary 19 support was started. Doctor, I believe the nurses' notes that 20 Ο. 21 you were looking at indicates that Dale was given 22 Ansef as well as Gentamicin during the resuscitation or soon thereafter. What was the purpose for giving 23 him those two antibiotics? 24

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1 The purpose of giving those two Α. 2 antibiotics was primarily in hopes of reducing the 3 likelihood of post-procedure infection since he developed an acute and sudden arrest, and because 4 5 things had to be done quickly and promptly with insertion of the tubes in his groin. It's not at 6 7 all uncommon in those types of crash situations to break down uncertainty and cover the most common 8 9 microorganism that could potentially cause an 10 infection. It's call prophylactic antibiotics. 11 Q. How long was Dale in the cath lab the day 12 that you did his procedure? 13 Α. Looks like he went into the lab approximately 11:00, and was pretty much tidied up 14 15 by 1:30 to leave the lab to go to the coronary care unit. 16 17 Q. During the period of time that he was in 18 there you placed two coronary stents; is that 19 correct? 20 That's correct. Α. 21 One in the right coronary and one in the 0. 22 left? 23 That's correct. Α. Q. Left anterior descending. 24

Doctor, if I gave you a picture of the 1 coronary circulation, would you be able to indicate 2 on it where you placed the stents? 3 No, I wouldn't, not without looking at my 4 Α. angiogram that I did. 5 Q. Doctor, do you have an opinion as to 6 whether or not Dale likely suffered hypoxic injury 7 to his brain at the time of his arrest and а resuscitation on the 28th of Mav? 9 Α. Yes, I do. 10 11 Q. And what's your opinion? He did not suffer hypoxic brain injury. 12 Α. Q. And what's the basis for that opinion? 13 As I explained to you previously, after 14 Α. the cath procedure with the cardiovascular and 15 cardiopulmonary support, the patient was responsive 16 17 to appropriate and simple verbal commands and was able to move all extremities in a satisfactory 18 fashion. 19 20 0. And is there any indication in the chart as to what you just said? 21 Α. No. 22 Have you recorded that observation Q. 23 anyplace in the chart? 24

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No, not to my knowledge. 1 Α. And you're basing this on your memory of Q. 2 that particular resuscitation? 3 Yes, I am. Α. 4 Q. Doctor, wouldn't you agree that that's an 5 important observation to know whether or not a 6 patient has suffered any type of brain injury during 7 a resuscitation? 8 To note it on the chart? Α. 9 Ο. I asked you, isn't that an important 10 No. observation? 11 Most certainly it's an important 12 Α. 13 observation. That's what we hope for after we do 14 it, that the patient is neurologically intact. 15 That's the purpose of cardiopulmonary support. Q. Wouldn't it be important to note your 16 17 observations then relative to whether or not the patient is neurologically intact in the chart? 18 It may be important to note it. I'm sure 19 Α. 20 that I had a lot of things that I needed to note, and maybe that just wasn't put on there, on the 2 1 22 chart. Doctor' you were looking at your typed Q. 23 report, We have given you a copy to look at a 24 Spectrum Reporting II, Inc.

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minute ago. Do you still have that in front of 1 you? 2 Where did it go? 3 Α. Okay. Q. And on the end of it it indicates that 4 your report was dictated, I believe, on 6-6 of '94; 5 is that correct? 6 Α. Can we go back one? Let's go back just a 7 8 second here. 9 Ο. Okay. When you're trying to slam me about not 10 Α. 11 making notes of what we're talking about here, I 12 would refer you to the catheterization technique on Page 3 of my catheterization technique. The patient 13 14 was weaned from cardiopulmonary support relatively 15 easily. "Following weaning from cardiopulmonary 16 support, the patient was noted to make purposeful 17 movements." 18 But the questioning and answering of the Ο. 19 patient you haven't recorded anyplace, the specific 20 questions that you asked him or anything, have you? 21 Purposeful movements implies that the Α. 22 patient is neurologically intact. 23 Q., Can we go back to my previous question? Yes. I just want to clarify that 24 Α.

1 situation.

-			
2	Q. And I appreciate that, doctor.		
3	At the end of your report there's a		
4	notation there that says "D:", does that stand for		
5	the date that you dictated this particular report,		
6	and then below that a "T:", which is when it was		
7	transcribed, the last page where your signature		
8	appears?		
9	A. I would assume that's what that means,		
10	yes.		
11	Q. So this particular report was dictated		
12	nine days after Dale suffered his arrest, correct?		
13	A. That's distinctly possible,		
14	Q. Now, your report says that this patient's		
15	neurologically the patient seems to be		
16	functionally intact, but the prognosis is still		
17	guarded in this report; isn't that what you just		
18	read me a minutes ago?		
19	A, What I read to you is from the		
20	catheterization technique section on Page 3, and it		
2 1	said, "Following weaning from cardiopulmonary		
22	support, the patient was noted to make purposeful		
23	movements." That's what I read you.		
24	Q. But in addition, doesn't your report also		
	Chastrum Donarting II Ing		

indicate -- let me find the exact reference here. 1 At the very end just above your signature: "The 2 prognosis is guarded. Neurologically, the patient 3 seems to be functionally intact, but the prognosis 4 is still quarded in this regard." Did I read that 5 correctly? 6 Α. Yes. 7 Q., Now, at the time that you dictated your 8 report, you'd agree that it was clearly evident that 9 Dale had diffuse global hypoxic damage based on his 10 11 CAT scan that was done on May 31st of '94, wouldn't 12 you? Well, first of all, you can't make a Α. 13 diagnosis of global hypoxic damage on a CAT scan. 14 Secondly, the catheterization dictation, when I 15 16 dictate them -- they may be dictated later, but I dictate them as if it's right after the procedure, 17 not necessarily -- I mean, some catheterizations I 18 may not dictate for -- if they get lost in the 19 20 cracks -- for two months. The patient could be long gone by then or the patient could be doing quite 21 I'm not sure what the relevant point is here, 22 well. 23 or what you're trying to ask me. Q. At the time that you dictated this 24

report, were you aware that Mr. Mauller had a
 subarachnoid hemorrhage?

At the time that this was dictated, I'm 3 Α. not sure that I did or not. The last time that I 4 was involved in his care was Monday morning of the 5 weekend that I was on call for the group, and that 6 was when he developed some neurologic changes 7 suggestive of intracranial bleeding, and that's when 8 I immediately consulted a neurosurgeon. 9 Q, Would you agree that the brain hemorrhage 10 11 that Dale suffered was likely precipitated by hypoxic brain injury suffered during his cardiac 12 arrest? 13 MR. MILNE: Objection. 14 Α. Absolutely not. 15 Ο. In your opinion, what precipitated the 16 brain hemorrhage that Dale suffered? 17 Objection. Go ahead. MR. MILNE: 18 One can only speculate in this 19 Α. 20 First of all, it would be extremely situation. 21 unusual for a patient of his age to develop a brain hemorrhage like this. And in fact, my last 22

24 neurosurgeon who was somewhat baffled by the whole

connection with the patient was from the

23

1 situation at the time as well.

2	But I would speculate that he may have				
3	had some problem or perhaps an aneurysm in his				
4	cerebral arteries or some other pre-existing,				
5	undiagnosed problem up in his brain, that using				
6	blood thinners predisposed him to getting that				
7	intracranial hemorrhage. It's extremely unusual for				
8	a patient of his age to develop this kind of a				
9	problem.				
10	Q. Do you have any opinion as to whether the				
11	inability to control his mean arterial pressures had				
12	anything to do with him developing the brain				
13	hemorrhage?				
14	MR. MILNE: Objection.				
15	A. I don't think that had any relevance in				
16	him developing a brain hemorrhage. In fact, I'm not				
17	sure he really did have a brain hemorrhage. It was				
18	at the point in time my last recollection in this				
19	case was that the neurosurgeon involved in this was				
20	not totally convinced that there was a brain				
2 1	hemorrhage.				
22	Q. Do you agree that Dale Mauller suffered				
23	some type of a cerebral injury during the time that				
24	he was hospitalized at Riverside?				

Yes. 1 Α. Q. Do you have any opinion **as** to what type 2 of cerebral injury he suffered at any time while he 3 was at Riverside? 4 My guess is that he suffered probably a Α. 5 microcirculatory hemorrhagic event in his brain the 6 7 Monday morning after I had rendered care to him. Ι do not feel that if he would have had substantial 8 hypoxic injury during the procedure that I did, that 9 10 he would have been able to make purposeful 11 That just doesn't go with standard movements. 12 neurologic processes in the human brain. Doctor, you'd agree there's various 0. 13 levels of hypoxic injury, wouldn't you, to the 14 brain? 15 16 MR. MILNE: Objection. There's various levels of hypoxic injury 17 Α. but in a young man as opposed to a 75-year-old with 18 19 probably substantial hardening of the arteries, in 20 his brain it's a totally different ball game; and I 2 1 think it is extremely unlikely he had little, if any, hypoxic injury based on what I saw 22 neurologically on this patient before he was put to 23 sleep after the cardiopulmonary resuscitation. 24

Q. Do you have an opinion as to whether Dale 1 Mauller's cardiac arrest was preventable? 2 MR. MILNE: Objection. 3 No, it wasn't preventable. Α. 4 Q. Do you have an opinion as to what point 5 in time, if any, Dale Mauller's condition was 6 irreversible? 7 MR. MILNE: Objection, 8 I don't have an opinion on that. Α. 9 If Dale had suffered a cardiac arrest on Q. 10 the 28th, do you have an opinion as to what his 11 likely life expectancy would have been? 12MR. MILNE: Objection. 13 The only estimate I could make is not Α. 14 normal. 15 Q. Are you critical of anyone that rendered 16 care to Dale Mauller? 17 Α. No, I'm not. 18 0. Doctor, I'm going to hand you a progress 19 note, which I believe may be in your handwriting, 20 from the Cardiovascular Lab, Interventional 21 22 Procedures Preliminary Report, and I'm going to ask 23 you a question about under Pathology Anatomy you have MAP and you've got, I believe, the number 90 24

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128 underlined. Show it to counsel. I see it. Α. Are you going to mark this as MR. MILNE: an exhibit. 4 MS. TOSTI: No, he can just go ahead and 5 take a look at it. 6 0. Why is the number 90 underlined on that 7 report? 8 Because an MAP of 90 is normal. Α. Tn a man 9 that had total cardiovascular collapse and literally 10 resurrected from the dead, with two stents, and a 11 mean arterial pressure of 90, and a wedge of 28, 12 13 that **is** neurologically intact with purposeful 14 movement, that's something to document. 15 0. I'm going to show you another document which is your progress note -- I'm sorry. It's 16 17 Cardiovascular Lab, Cardiovascular Preliminary Report of the Interventional Procedures, and I 18 19 believe it's the document that you're just looking 20 at, and there's a note at the bottom and it talks 2 1 about complications. 22 Α. Yes. Would you read what you've written? 23 Q. "Ventricular fibrillation, CPS, shock, 24 Α. Spectrum Reporting 11, Inc. $(614)^{-}224-0900$

brachycardia, ET tube, IABP (you name it)." 1 2 0. What did you mean by that last comment, the "(you name it)"? 3 All hell broke loose when he arrested. 4 Α. The whole body shut down and we resurrected him and 5 got him back, and it looked like we had a viable 6 7 human here. Doctor, have we discussed all the 0. 8 opinions that you presently hold relative to this 9 10 case? 11 MR. MILNE: Objection. Not necessarily so. I don't know. 12 Α. I'm not sure I know what you mean by that. 13 Are there any areas that I haven't 14 Q., 15 covered that you have opinions on relative to Dale Mauller that you intend to express at trial? 16 MR. MILNE: Objection. I think that's 17 very vague and overbroad. Go ahead, doctor. 18 I'm sure there are. 19 Α. 20 0. Anything come to mind in particular? 21 Α. No. 22 MR. BECKER: We'll take a short break. Ι 23 think we're done. Just give us two minutes. (A short recess is taken.) 24

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1 BY MS. TOSTI: Q. Just another question, doctor. 2 Α. Yes. 3 Q. What was the reason that Dale Mauller had 4 three vessels close at the point you did your 5 intervention? Was this just bad luck on his part, 6 7 or was there any other force involved? 8 MR. MILNE: Objection. Go ahead. Α. As I explained to you about three hours 9 ago when we talked about this, is that in my 10 11 experience of somewhere around 9,000 angioplasties, 12 I can count the number of patients that this type of phenomenon has occurred in on the fingers of one 13 14 hand. **So** the answer to your question is, yes, 15 Mr. Mauller had extremely bad luck. Q.. And there weren't any other forces in 16 17 play here out of all. of the other things that we've 18 talked about, high blood cell counts, low 19 hemoglobin, none of those things factored into the fact that he had triple vessel closure by the time 20 21 you did your intervention or during the intervention? 22 23 Objection. MR. MILNE: Α. No, not that I'm aware of. 24 Spectrum Reporting II, Inc. (614) 224-0900

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Doctor, in the cases that you've 1 Q. identified as being extremely unusual that you could 2 probably count on your one hand, did those patients 3 have drops of hemoglobin of 7 points before the 4 procedure? 5 MR. MILNE: Objection. 6 Α. Probably not. 7 Q. Did any of those patients have WBCs of 8 9 17,000 before the procedure? Probably so. 10 Α. Q. How many of those cases had WBCs over 11 17,000? 12 Α. I have no idea. 13 MS. TOSTI: No more questions. Thank 14 15 you, doctor. MR. MILNE: We'll read. I'd like 28 16 17 days. 18 19 Thereupon, the aforementioned proceedings 20 concluded at 8:00 o'clock p.m. 2 1 22 23 24

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6	BARRY S. GEORGE, M.D.
7	
8	
9	IN WITNESS WHEREOF, I have hereunto set
10	my hand and affixed my seal of office at
11	day of
12	, 1997.
13	
14	
15	
16	Notary Public in and for the State of Ohio.
17	
18	
19	My Commission expires:
20	
2 1	
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24	Spectrum Job No.: <u>6783</u>

1State of OhioCERTIFICATE2County of Franklin

I, Rhonda Lawrence, a Notary Public in and for 3 the State of Ohio, do hereby certify the 4 within-named BARRY S. GEORGE, M.D., was by me first 5 duly sworn to testify to the whole truth in the 6 cause aforesaid; testimony then given was by me 7 8 reduced to stenotypy in the presence of said witness, afterwards transcribed by me; the foregoing 9 is a true and correct transcript of the testimony so 10 11 given; and this deposition was taken at the time and place as specified on the title page. 12

I do further certify I am not a relative, employee or attorney of any of the parties hereto, and further I am not a relative or employee of any attorney or counsel employed by the parties hereto, or financially interested in the action.

18 IN WITNESS WHEREOF, I have hereunto set my
19 hand and affixed my seal of office at Columbus,
20 Ohio, on September 9, 1997.
21 Chorda Jawrence

22 Rhonda Lawrence, RPR, CRR,

23 Notary Public - State of Ohio

24 My Commission expires September 19, 1999.

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1	I N D E X	
2	Examination By	<u>Paqe No.</u>
3 4	Ms. Tosti – Cross	4
5	<u>Plaintiff's Exhibit No.</u>	<u>Pase No.</u>
6	1 - Curriculum Vitae of Barry S. George, M.D.	15
7	2 - Thallium Stress Test	3 4
8	3 - Nurses' Notes	74
9 10	4 - Progress Notes	75
11	5 - Perfusion Record	92
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24	(Exhibits attached to original transcrip	t.)
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