State of Ohio,)) SS:	
County of Cuyahoga.)	
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IN THE	COURT OF CC	MMON PLEAS
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Teresa Saxton, Admin. of the Estate of Mee Deceased,)))
Plainti	ff,))
VS.) Case No. 355560) Judge Pokorny
Doris A. Evans, M.D.	1	
Defenda	nt.)
DEPOSITIO	N OF DORIS A	A. EVANS, M.D.
Deposition of	DORIS A. EV	YANS, M.D., called by
Plaintiff for examin	ation pursua	ant to the Ohio Rules
Civil Procedure, tak	en before Pl	nyllis L. Englehart, H
and Notary Public in	and for the	e State of Ohio, at th
offices of Weston, H	urd, Fallon,	Paisley & Howley,
2500 Terminal Tower,	Cleveland,	Ohio, on Tuesday,
March 16, 1999 comme	ncing at 11:	:00 a.m.
-	within motifs know	
÷	RS, VERSAGI	& CAMPBELL DING, STE. 505

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•••	1		INDEX		
	2	Witness		<u>Cross</u>	
	3	Doris A. Evans, M.D.		4	
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	6				
	7				
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	9	E	XHIBITS		
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FORM CSR - LASER REPORTERS PAPER & MFG. CO. 800-626-6313	22				1
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1	APPEARANCES:	
2	On Behalf of the Plaintiff:	
3	Donna Taylor-Kolis 330 Standard Building	
4	Cleveland, Ohio 44113	
5	On Behalf of the Defendant:	
6	Deirdre G. Henry Weston, Hurd, Fallon, Paisley & Howley	
7	2500 Terminal Tower Cleveland, Ohio 44113	
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1	DORIS A. EVANS, M.D.
2	having been first duly sworn, as hereinafter certified,
3	was examined and testified as follows:
4	CROSS-EXAMINATION
5	By Ms. Kolis:
6	O Dr. Evans, good morning. We have just been
7	introduced, but for purposes of the record let me
8	state that my name is Donna Kolis. I have been
9	retained to represent the estate of Meesha Saxton.
10	As you are aware, I initiated a lawsuit naming you
and And	as a defendant.
12	My purpose this morning is to ask you a
13	series of questions, some by way, hopefully, of
14	explanation of what's contained in your medical
15	records and others by way of your medical knowledge
16	regarding the issues of sickle cell. If at any time
17	I ask you a question that you do not understand, can
18	I secure an agreement from you that you will so
19	indicate that you do not understand the question
20	that I am asking?
21	A Yes.
22	Q Okay. At all times your answers must be verbal.
23	Court reporters don't like to have to interpret our
24	body language, so we do have to articulate our
25	answers. Can I secure an agreement that you will

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1		answer all questions in such fashion?
2	A	Yes.
3	Q	Additionally, there may come a point when I ask a
4		question to which your attorney may object. You
5		should wait to answer the question until she and I
6		have resolved whatever our apparent differences are
7		in that regard. Do you understand that instruction?
8	А	Yes.
9	Q	For the record, can you state your name and your
10		professional address, please.
11	д	Doris Anita Evans, 29001 Cedar Road, Suite 406,
12		Lyndhurst, Ohio 44124.
13	Q	This morning I was handed by your counsel a copy of
14		what hopefully is your current curriculum vitae.
15		Doctor, can you identify this document for me?
16	А	It appears to be my curriculum vitae.
17		MS. KOLIS: I'd ask the court
18		reporter that we mark this Plaintiff's Exhibit A.
19		(Plaintiff's Exhibit A marked for
20		identification)
21	Q	Doctor, inasmuch as I just received this, I haven't
22		had an opportunity, obviously, to digest all the
23		information, but let me ask you this initial
24		question.
25		In your career, or since you began medical

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1		training, have you had the opportunity to do any
2		research or writing related to issues of sickle cell
3		anemia?
4	A	No.
5	þ	Let's just briefly go through your background. Can
6		you recite for me the training which led to your
7		occupation as a physician beginning with college.
8	A	I went to the University of Chicago and graduated
9		from there in 1963. I then went to Case Western
10		Reserve University School of Medicine and during
11		which period I did a year's fellowship and graduated
12	********	in 1968.
13		Subsequent to that I was an intern at the
14		Children's Hospital of Philadelphia, a resident in
15		pediatrics at Metro General Hospital and completed a
16		pediatric cardiology fellowship in 1973 from Babies
17		and Childrens Hospital in Cleveland, Rainbow Babies
18		and Childrens.
19	Q	Let me ask you a couple of questions about the
20		information that you've just shared with me. You
21		indicated that you did a fellowship, and I see from
22		your CV it was with the NIH; is that correct?
23	A	Yes.
24	Q	Can you tell me what the nature of that fellowship
25		was?

		
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1	A	I was investigating the Burkitt's lymphoma, cancer,
2		using a mouse model and trying to determine whether
3		phytohemagglutinin had any relationship to the
4		development of this tumor.
5	þ	As a result of that research, did you participate in
б		the publication of any findings coming out of that?
7	A	No.
8	Q	Fair enough. Have you ever done any work with the
9		American I don't even know what I'm saying this
10	an Anna an Anna an Anna an Anna an Anna	morning United States Department of Health and
11		Human Services?
12	A	I'm not sure I understand the question of any work
13		with the
14	Q	Have you done any research for them or participated
15		in any studies that were funded by the Department of
16		Health and Human Services?
17	А	I think so. That is, part of my career was as
18		assistant dean at the School of Medicine in the
19		early '70s, and we received a grant to allow the
20		recruitment of students through a special program,
21		and I believe that was funded through one entity of
22	1001.000 a Revenue	the United States Department of Health.
23	Q	Appreciate the answer. Doctor, are you board
24		certified in any specialties?
25	A	Pediatrics.

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1	þ	When did you obtain your pediatric boards?
2	A	I think it was 1973.
3	p	Has there been a requirement for you to become
4		recertified since that time?
5	A	There is no requirement.
6	Q	I don't know what all the requirements are, so
7		that's why I asked you the question. Do you
8		currently have any teaching responsibilities?
9	A	I have some teaching opportunities, and currently
10		they are confined to helping in the training of a
		child psychoanalyst trainee who comes to our
12		practice to observe mother-infant relationships, but
13		I have also taught recently in the clinics at
14		Rainbow Babies and Childrens Hospital.
15	Q	Fair enough. Describe for me, if you will, your
16		current practice.
17	A	What aspects of the practice?
18	Q	I guess I need to know, you're not a hospital-based
19		physician, correct? You have your own independent
20		medical practice, correct?
21	А	That's correct.
22	Q	All right. Where do you have privileges?
23	A	Rainbow Babies and Childrens Hospital, Mt. Sinai
24		Hospital and Meridia Hillcrest Hospital.
25	Q	Are you an on-call pediatrician for any of those

9 facilities? 1 I cover the emergency room periodically as a 2 consulting pediatrician for Meridia Hillcrest. 3 So then I guess I gather from your answer that the 4 nature of your particular medical practice is that 5 you are a community-based pediatrician? People come 6 to your office for well baby care and other 7 illnesses? 8 For comprehensive pediatric care. 9 Α Okay, fair enough. Doctor, during your career as a 10 b physician, have you had the opportunity to serve as 11 12 a medical expert in any case? 13 Legal case? A Let me withdraw it and make it simpler. Have 14 Yes. Q you ever been retained to be a medical expert, 15 either on behalf of a patient or a doctor? 16 I think so, but I don't remember the particulars. 17 A It would have been in the early '80s, I think, when 18 an attorney in town called and asked me to comment 19 on something, and I remember reviewing a record but 20 I haven't thought about it since then, and I don't 21 remember the name or the case. 22 Did you give a deposition in that matter? 23 Q 24 A No. Other than the instant case which we're going to 25 Q

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1		talk about in a second, have you ever been sued for
2		medical negligence before?
3		MS. HENRY: Objection. Go ahead
4		and answer.
5	A	I don't know what the grounds of the suit was, but
6		the answer is yes.
7	þ	You were sued previously. One time?
8	A	Yes.
9	Q	Do you know how that litigation terminated?
10	A	It was dismissed after my deposition.
11	þ	And do you know how long ago that was?
12	A	11 years. It was in 1987.
13	p	Here in Cuyahoga County?
14	A	Yes.
15	Q	In that particular instance, did that case involve a
16		child with sickle cell?
17	A	No.
18	Q	Fair enough. Doctor, do you belong to the Academy,
19		American Academy of Pediatrics?
20	A	I do.
21	Q	Do you have a current membership?
22	A	Yes.
23	Q	In preparation for today's deposition, Doctor, were
24		you able to review medical records?
25	A	I reviewed Meesha Saxton's medical record.
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1	Q	You reviewed your own personal office chart?
2	A	Yes.
3	Q	Have you had the opportunity to review Meesha
4		Saxton's University Hospital records?
5	A	The only University Hospital record I have is of her
6		early admission in December of 1997.
7	Q	At any time since I have filed this lawsuit or
8		previous to that, were you able to review the
9		medical records generated from her final illness at
10		University Hospital?
11	A	I don't believe I have a complete copy of that
12		record, so the answer is no.
13	Q	Fair enough. Did you also review in preparation for
14		the deposition the coroner's report that was issued
15		in this matter?
16	А	Yes.
17	Q	Have you had an opportunity to discuss the findings
18		contained in the coroner's report with Dr. Elizabeth
19		Balraj?
20	A	I've never spoken with her. I have spoke with the
21		deputy coroner.
22	Q	When did you speak with the deputy coroner?
23	A	Before the issuance of the report.
24	Q	Can you tell me what circumstances led you to have a
25		conversation with the deputy coroner?
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1	A	After the child's death I was interested and
2		concerned to know the cause of the death so that I
3		might inform the parents and counsel them, and so
4		really I placed several calls to the deputy coroner.
5	þ	And some of those in fact are reflected in your
6		office notes, I believe it's the telephone call
7		section. Does that comport with your memory of your
8		file?
9	A	Yes.
10	Q	Do you recall what deputy coroner you spoke with?
11	A	I believe it was a Dr. Roth.
12	Q	Okay, fair enough. Have you reviewed any medical
13		literature in anticipation of questions that I might
14		ask today?
15	А	Not in depth.
16	ō	Can I gather from your answer that you did do a
17		review of literature?
18	А	I have reviewed a publication of the United States
19		Department of Health, I think, which represents a
20		sort of comprehensive guide to the care of sicklers.
21	Q	Is that publication number 6?
22	A	I don't remember the number.
23	Q	Why did you review that comprehensive guide?
24	A	I actually I need to correct the answer.
25	Q	Fair enough.
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1	A	In the course of the care of this patient, and I
2		have many other patients or some other patients with
3		hemoglobinopathies, I periodically review materials
4		and so I have done so throughout.
5	Q	All right. So that there's no confusion, and
6		sometimes I don't ask incredibly specific questions,
7		especially in a deposition forum, what I was trying
8		to determine was whether or not since I filed this
9		lawsuit you took it upon yourself to re-review the
10		literature regarding the suggested manner of care
11		for children with sickle cell.
12	A	No, not for the purpose of your lawsuit.
13	Q	So what you are indicating is that over time you
14		have looked at this particular it's the
15		Comprehensive Care Guide, I guess, for Sickle Cell
16		in Newborns; is that the one that you're referring
17		to?
18	A	And other materials.
19	Q	And other materials, over time just to familiarize
20		yourself?
21	А	Well, more to help parents and to learn about
22		materials that might be helpful to parents, as I did
23		in this case.
24	Q	I don't know what time frame, I suppose, I want to
25		use. Out over your career, can I gather that you

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1		have had as patients in your pediatric practice
2		children who had sickle cell?
3	A	Yes.
4	Q	What percentage of your patient population has had
5		sickle cell over time? You can answer it any way
6		you want to, in other words, if it increased over
7		time or if you have no way of knowing.
8	A	It's been rather steady, and I would say it's less
9		than one percent.
10	Q	Less than one percent of your practice.
lanad Lanad	A	Or less.
12	þ	Do you consider yourself to be, I don't like to use
13		this word but I'll try to find one, do you consider
14		yourself to have enough expertise in the care and
15		treatment of sickle cell to retain these children as
16		your patients?
17	A	Yes.
18	Q	Is there, in your opinion, a comprehensive sickle
19		cell clinic in Cleveland?
20	A	Yes.
21	Q	And would that clinical program be run by Rainbow
22		Babies and Childrens?
23	A	I think I know there is one there, and I think
24		there are probably others.
25	Q	Have you ever referred any of your pediatric

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1		patients to the care of those clinical programs?
2	A	Yes.
3	Q	Why, Doctor, did you not put Meesha Saxton on
4		antibiotic prophylactic well, let me retract it
5		and ask it this way. Why did you not put her on
6		prophylactic penicillin?
7	A	I thought it was dangerous to do so.
8	Q	Explain to me your reasoning for believing that
9		placing her on penicillin would have been dangerous.
10	A	We're now in what's being called by many the
11		postantibiotic era, which there has been the
12		emergence of resistant microorganisms of many types,
13		but particularly pneumococcal organisms are
14		increasingly resistant and very hard to treat, even
15		in the simple case of otitis media, middle ear
16		infection. I worried about that in this child.
17		And also what's known as compliance with
18		daily medication, for whatever reason it's being
19		given, is very poor, and the population of sicklers
20		has been studied with respect to that issue of
21		incompliance, and most studies show that compliance
22		is never more than 60 percent in a population. Poor
23		compliance leads to the emergence of resistance in
24		microorganisms.
25		I also have found in my experience with my

colleagues and with patients that blanket approaches 1 sometimes lead to a false sense of security and the 2 presentation of the child much too late in an 3 And also the recommendation for illness course. 4 penicillin prophylaxis is only one component of 5 comprehensive care for children with 6 hemoglobinopathies. 7 And again, in my experience, I think the 8 other components are exceedingly important for the 9 welfare of the child and of no less importance than 10 how you might treat them with an antibiotic. So 11 those are the summary reasons. 12 Well, let's evaluate or discuss, I guess, probably 13 Q

more in depth your reasoning. Since I don't have an assistant here, I'll try to keep my notes over here and do this logically.

Would you agree with me that all of the 17 published literature promulgated by the American 18 Academy of Pediatrics, the United States government 19 Department of Health and Human Services indicates 20 that children beginning at the latest the age of two 21 months with sickle cell should receive twice daily 22 prophylactic penicillin treatment? 23 I haven't seen all of the literature, but I have 24 A seen a great deal of literature that does make that 25

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1		recommendation.
2	þ	Would you agree with me that the Ohio Department of
3		Human Services also has established a protocol that
4		children with sickle cell should be treated with
5		penicillin?
6	A	Yes.
7	Q	Have you indicated in writing or orally to the Ohio
8		Department of Human Services that you do not agree
9		with their protocol that penicillin should be
10		initiated in these children?
11	A	No.
12	þ	Who have you expressed this opinion to other than
13		your patients?
14	A	Colleagues.
15	Q	Have you done any research or attempted to publish
16		any papers contradicting these protocols?
17	A	No. I've just tried to have a lot of respect for my
18		many years of practice, reading, evaluation of
19		outcomes of my patients, patients of my colleagues
20		with whom I've come in contact.
21	Q	Can you tell me what writing, and I suppose I'm
22		calling it writing, and you may tell me there's a
23		different answer, you have relied upon to believe
<u>2</u> 4	and a second	that using penicillin will create a pneumococcal
25		resistance?

18 1 Oh, I can't give you the specific author. I mean I Α have many articles in my practice in which, you 2 know, in the last two to three years it's become 3 very apparent that overuse of antibiotics has led to Δ the emergence of resistant strains, indiscreet use 5 of antibiotics. 6 Have you had the opportunity, or did you in 7 considering not recommending penicillin to your 8 sickle cell patient, this one in particular, done 9 any research that would confirm that there were poor 10 11 outcomes associated with the use of penicillin via the mechanism of pneumococcal resistance? 12 I'll need you to repeat the question. 13 Α I realize there was a lot in that question. Ιn 14 0 other words, you stated as your first reason for not 15 16 placing Meesha Saxton on penicillin is that you had, I don't know if you used the word fear, a concern, 17 I'll use that word, that she would develop 18 pneumococcal resistance. Did I fairly hear that as 19 20 your statement? 21

MS. HENRY: I think you asked her generally why she doesn't do it, and I think she said in this postantibiotic era many organisms become resistant to the antibiotics, specifically the pneumococcal.

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1		States, some looking at using penicillin alone, some
2		looking at using penicillin with pneumococcal
3		vaccine.
4		But generally my knowledge would be that
5		when this first was recommended back in the mid to
6		late '80s, there was a demonstration of a reduction
7		in the occurrence rate of pneumococcal infections.
8	Q	You don't recall the specific rate?
9	A	They were different in different studies.
10	Q	In the United States study, do you know what the
11		published data said in terms of the percentage
12		reduction in morbidity and mortality?
13	A	It was significant, but I don't recall the number.
14	Q	If I told you it was 87 percent, does that refresh
15		your memory as to what the published data was?
16	А	No.
17	Q	Okay, fair enough. If you don't remember, that's
18		fine.
19		Now, you indicated that someone in
20		hematology at U.H. recently gave a talk on the
21		subject of pneumococcal resistance. Did I hear that
22		correctly?
23	А	Gave a talk on sickle cell anemia.
24	Q	Who was that physician?
25	А	Dr. Brian Berman.

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		21
1	Q	I take it that you attended this lecture after
2		Meesha Saxton died?
3	A	The lecture was after Meesha Saxton died.
4	þ	I'm just trying to get a time reference. Because it
5		was very recently, is that what you're saying?
6	A	It was in October of '98, I think.
7	þ	The second prong of your reasoning in not generally
8		prescribing penicillin prophylaxis is that
9		compliance is very poor; that's what I thought I
10		heard you say.
11	A	Poor.
12	ρ	Did you glean that from reading? Is that where you
13		learned that information?
14	A	Yes, and from my own experience.
15	Q	How do you evaluate what parents will be compliant
16		versus those who would not be compliant?
17	A	I think it's very difficult to know when in an
18		individual case. I am guided, I think all of my
19		practice is, by the summation of my experience.
20		Very hard to know in a given case.
21	Q	Are you indicating that you make a decision which
22		children who have sickle cell would receive
23		penicillin
24	A	No, I'm not saying that.
25	Q	You just don't prescribe prophylactic penicillin in

		22
1		sickle cell children, so I'm absolutely clear on
2		that?
3	A	Yes.
4	Q	And what you're trying to tell me is that one of the
5		reasons that came into your formulation of not doing
6		it is that you believed that compliance would be
7		very poor?
8	A	I believe that compliance is poor. It's documented
9		in the literature that it is poor. It's documented
10		in my experience on other matters that it's poor,
11		and the relationship between poor compliance and the
		development of resistant organisms, that's been
13		shown. That is, partially taking a course of
14		antibiotics changes the organism.
15	Q	Okay. Just assume that I accept that perhaps there
16		would be some emergence of resistance in poor
17		compliance. Let me ask you this question. Do you
18		have a specific recollection of Mr. and Mrs. Saxton?
19	A	Yes.
20	Q	You met with both of them, correct?
21	A	Uh-huh, yes.
22	Q	On a number of occasions?
23	A	Yes.
24	Q	Did they strike you as parents who were concerned
25		about their child's medical condition?

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E

A Of course.

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2	Q	From the notes that you have and from what you can
3		remember independent of the notes, did these parents
4		appear to be people who understood instructions that
5		you gave them regarding the care and treatment of
6		their own child?
7	A	They appeared to understand.
8	Q	Did they, based upon your records and your
9		independent recollection, follow instructions which
10		you gave them?
11	A	Much of the time.
12	Q	Can you cite me an incident, or an instance I guess
13		would be a better word, that you recall that for
14		some reason they didn't follow your instructions?
15	А	Uh-huh.
16	Q	Why don't you tell me about those.
17	A	There were a couple of occasions. I would need to
18		look at the chart for the dates.
19	Q	You may, certainly.
20	А	But there were a couple of occasions in which they
21		were not timely in reporting an illness and that
22	-	despite instruction to the contrary on numerous
23		occasions.
24	Q	Did you feel that they put their child at risk?
25	А	Oh, yes, by doing that I felt that, as I would with
	1	

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1	any parent.
2	2 Your third prong reason for not initiating
3	penicillin prophylaxis is that, if I heard you
4	correctly, you had indicated that people became
5	I'm paraphrasing it I think what you were trying
б	to communicate was that using penicillin gave
7	parents a sense of complacency about disease
8	processes and therefore presentation of illnesses
9	occurred much too late. Am I sort of paraphrasing
10	the thought?
11	A Yes.
12	Q What do you base that on?
13	A Experience with other disease entities where certain
14	recommendations are made that result in what I've
15	said.
16	Q Can I gather that you wouldn't have any experience
17	on presentation much too late in sickle cell because
18	of penicillin because you never put kids on
19	penicillin?
20	A And because I provide excellent care to them
21	otherwise.
22	Q All right. Let me ask you a few questions
23	specifically about the autopsy. When you reviewed
24	it did you review it before you gave it to the
25	parents?

		25
1	A	Yes.
2	<u>p</u>	In fact, you had told them you would obtain a copy
3		and sit down and talk with them; is that correct?
4	A	I did do that.
5	Q	Right. And they met with you?
6	А	Yes.
7	õ	What was your conversance at that point in time with
8		Waterhouse-Friderichsen syndrome?
9	A	I believe I'm quite conversant with it. I've had
10		patients with it.
11	2	Do you know what causes it?
12	A	Many entities cause it.
13	Q	Give me your list of the things that cause it.
14	A	Any illness that can make you very, very sick and
15		put you in extremis can end up with the syndrome.
16		Viruses can do that, autoimmune diseases can do
17		that, and certainly bacterial infections can do
18		that.
19	Q	In speaking with the deputy county coroner, were you
20		made aware of their probable opinion of the etiology
21		of this finding?
22	A	When I spoke with her last, Dr. Roth, she felt that
23		the child probably had a viral disease. And then
24		when the report came, there was this conclusion of
25		Waterhouse-Friderichsen syndrome.
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1	Q	Did you specifically make a note somewhere that
2		Dr. Roth thought it was viral in nature?
3	A	I don't know. I don't think so. I don't have it
4		here.
5	Q	If Dr. Balraj is called to testify in this case and
6		indicates that the most likely entity based upon
7		tests that they performed was bacterial, would you
8		be disputing that contention?
9	А	I don't know on what basis I would dispute it. I
10		would want to see the tests for sure.
11	Q	What tests would you have assumed that they would
12		have performed to, first of all, rule in or exclude
13		a virus?
14	A	Well, they could have done a rap panel looking for
15		respiratory syncytial virus. There are many
16		antibiotic tests to be done to detect viruses.
17		There are certain morphologic tissue pathological
18		findings that would suggest a virus, and I think
19		that's indicated here in part of the conclusion, the
20		list of diagnoses on the post report.
21	Q	What listing on the post report suggests virus to
22		you?
23	А	Acute and chronic bronchiolitis.
24	Q	You in fact managed a hospitalization of Meesha in
25		early December; is that right?
	1	

		27
1	A	Yes.
2	2	Do you recall what your discharge diagnosis was for
3		that hospitalization?
4	A	Pneumonia and maybe bronchiolitis. I'm not sure.
5	Q	She had some findings in the lung during that
6		hospitalization, correct?
7	А	Uh-huh.
8	Q	Your treatment regimen was antibiotic medication at
9		that time?
10	А	Part of the treatment regimen was an antibiotic.
11	Q	I'm sorry, I didn't mean to exclude anything else.
12		But in fact you had prescribed an antibiotic?
13	A	Yes.
14	Q	And the child was discharged with an antibiotic,
15		correct?
16	А	Yes.
17	Q	Amoxicillin, I believe? I don't know if I'm correct
18		but
19	A	You are correct.
20	Q	And there were discharge instructions that the child
21		should take that antibiotic for 14 days. Do you
22		recall that?
23	A	That wasn't my instruction. My instruction was for
24		them to take it for ten days.
25	Q	She came to you a couple days after the discharge,

1		28 correct?
2	A	Yes.
3	Q	And you told them to continue the antibiotic but
4		only through ten days, correct?
5	A	For ten days.
б	Q	Did you suspect that the child had a viral illness
7		in that hospitalization?
8	A	Yes. I think we all did.
9	Q	Were there some tests that you could have performed
10		at that time to indicate for yourself whether or not
11		that was a viral illness?
12	A	Viral illness is the cause of the vast majority of
13		infectious illnesses, but she did have tests in the
14		hospital. She had blood cultures which were
15		negative; that is, they didn't grow any bacteria.
16	Q	Do blood cultures sometimes not grow bacteria even
17		when there's bacterial infection?
18	A	That's right.
19	Q	Is that perhaps even more true when the child has
20		been given a dose of antibiotics prior to the blood
21		draw?
22	A	Yes.
23	Q	Do you know if there was a blood
24	А	But
25	Q	I'm sorry, did you want to amplify your answer?

		
		29
1	A	(The witness shook her head.)
2	ρ	Mr. and Mrs. Saxton met with you early in your
3		course of care with Meesha simply to have a meeting
4		to discuss comprehensive care for sickle cell
5		children. Do you agree with that?
6	A	I requested that they meet with me.
7	Q	Right.
8	A	And they both came to meet with me.
9	Q	That's part of your responsibility as a physician
10		who is caring for a sickle cell child, correct?
11	A	I think it's my duty.
12	Q	The state says it's your duty to, don't they?
13	A	The guidelines for comprehensive care require
14		education for the parents.
15	Q	So your meeting would have been for that
16		comprehensive care?
17	А	Yes.
18	Q	At that time, do you recall whether or not they
19		brought with them a book which they had received
20		from, I'm going to call it the Sickle Cell Society?
21	A	It's the American Sickle Cell Anemia Association,
22		and yes, they did.
23	Q	And they questioned you at that time about when you
24		were going to place Meesha on penicillin; do you
25		recall that?

		30
1	A	Yes.
2	Q	Do you recall what response you gave them?
3	A	The same one I've given you.
4	Q	You just told them that you wouldn't be doing that?
5	A	I don't do it, I didn't do it. I gave them the
6		options of people who did do it, namely the clinic
7		at Babies and Childrens Hospital, but I did explain
8		fully to them the approach that I took and had
9		always taken, the outcomes I had had with patients,
10		and they subscribed to that approach.
hund.	Q	Okay. I just want to make sure I understand this.
12		When you say you discussed options with them, are
13		you indicating that you recommended that there were
14		other places where their child could receive
15		treatment?
16	A	Absolutely.
17	Q	Did you write that note in your chart?
18	A	I just say I explained options. I don't write
19		everything in the chart. It's not possible to do
20		that.
21	Q	Okay, I'm just asking.
22	A	But the chart says I mentioned options to them.
23	Q	You have your chart in front of you, correct?
24	A	Yes.
25	Q	I'm going to ask you a couple questions. You

		31
1		received some correspondence from the Ohio
2	2	Department of Health, am I correct?
3	A	Uh-huh. I think so. I think you're talking about
4		the report that came with the newborn screening
5		test.
6	Q	Initially the child was diagnosed with sickle cell
7		because of a newborn screening test?
8	A	Yes.
9	Q	And you were listed as the pediatrician, so that's
10		how they ended up sending you a report?
11	A	I didn't know these patients, but that's correct.
12	Q	On November 30th, 1995, the Department of Health
13		sent you a correspondence. Can you find yours? If
14	-	you can't, I'll let you borrow mine.
15	A	It's here.
16	Q	It's this one.
17	А	Okay.
18	Q	I'm going to say what the sum and substance is, and
19		if you could just agree with it. Essentially
20		they're indicating that the confirmatory blood test
21		has not been performed yet, correct?
22	A	That's correct.
23	Q	And eventually that was at the Cleveland Clinic?
24	A	Through the American Sickle Cell Anemia Association.
25	Q	Right. But that test in fact, though, was performed
	1	

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32 to confirm that she had sickle cell. Now, in this 1 2 letter they're indicating, of course, the case 3 treatment should include a referral to a regional sickle cell center or an information session. 4 We talked, you have had your information 5 6 session with the family, correct? 7 Yes. Α I believe that was January; would that be right? 8 Q I'll look at the date. I think that sounds right. 9 Α I had two sessions actually, and with the mother for 10 a very long time on 12-21-95, and then I asked that 11 12 there be a follow-up visit with the mother and the 13 father. So dad could be there, too? 14 Q 15 Α Yes. Once again, then, they say as well as penicillin 16 0 17 therapy if hemoglobin -- my copy is cut off. Does 18 it say hemoglobin disease? I've got a hole right through there. 19 Odd way to say it, but yes, that's what it says. 20 А 21 It wouldn't really make sense, but that's the way 0 22 they say it, hemoglobin disease, right? 23 Uh-huh. A 24 0 Then they sent you another letter on December 21st asking you to file a specific report with them, and 25

33 I'm going to show you my copy. 1 What date? MS. HENRY: 2 December 21st, 1995. MS. KOLIS: 3 It says, "Review of case management system file 4 Q shows final diagnosis and treatment has not been 5 reported to the Bureau of Public Health labs. This 6 is required by the rules of Public Health Council 7 3701-45 OAC." It says, "We must now insist you 8 complete this questionnaire." 9 This is what I had in the file you sent me. 10 Did you complete the questionnaire and then send it? 11 I don't know. I usually do. I do a lot of them, 12 A but I don't remember if that was done or not. 13 Have you actually reviewed the Ohio Department of 14 Q 15 Health protocol guidelines on prophylactic penicillin for sickle cell children? 16 I have not recently reviewed that, but I am 17 А conversant with it, as many other guidelines about 18 19 this subject. And have you committed to writing to the Ohio 20 Q Department of Health --21 22 No. A -- that you will not be following penicillin 23 0 24 protocols? 25 (Witness shook her head.) A

		34
1	Q	In the materials which you supplied to me there was
2		a letter, and I'd like to talk to you just a little
3		bit about this letter. It's the memo to Dr. Avner,
4		am I pronouncing that name correctly, Ellis Avner?
5	А	Yes.
6	Q	You might want to find yours because I have a couple
7		questions.
8	А	I didn't write that letter.
9	Q	I know you didn't.
10		MS. HENRY: I'm not exactly sure
11		where it would be in here.
12	A	I have it. I'm not sure it's in the chart.
13		MS. HENRY: Oh, here it is.
14	A	I know the letter, though.
15		MS. HENRY: Why don't you let me
16		see it a moment.
17		MS. KOLIS: Sure.
18	A	I'm sure I have it.
19		MS. HENRY: Do you need to look at
20		it, or are you familiar with it?
21		MS. KOLIS: I'm not going to
22		probably nitpick about any language, but just as a
23		point of reference.
24	Q	What is your relationship to, I'll read upside-down,
25		Dr., is that Andrew Hertz? Is he a doctor, the
	ł	

		35
1		medical director?
2	A	He's a pediatrician.
3	þ	A pediatrician. What is your relationship to him?
4		In other words, why were you on the cc list?
5	A	Oh, he runs the Nurse on Call service.
6	Q	Tell me what the Nurse on Call service is.
7	A	It's a group of nurses who receive first call, and
8		their obligation is, using sets of accepted and
9		published protocols, to guide parents in what should
10		happen with the reason for their call.
11	Q	Here is why I'm confused, and I'm sure you'll be
12		able to clarify it for me pretty quickly. He is the
13		chairman of the department of, he being Dr. Avner,
14	-	is the chairman department of pediatrics at RBC.
15	A	That's correct.
16	Q	Dr. Hertz works for whom?
17	A	For him indirectly. He's hired by the department of
18		pediatrics.
19	Q	Okay. The Nurse on Call service is employed by
20		whom?
21	А	Well, I employ it. That is, we pay for the Nurse on
22		Call service.
23	Q	See, this is what I'm confused about. The Saxtons
24	NAN - PROFILE ANALYSIS	were, their child was a patient of your practice,
25		correct?

		36
1	А	Yes.
2	Q	And when the child would get ill, they were given a
3		phone number which they would call?
4	A	My number.
5	Q	Right. They weren't calling University Hospital.
б		They were calling you, Dr. Doris Evans, correct?
7	A	Yes.
8	Q	So this service works for a number of doctors and
9		not for the hospital directly; is that right?
10	A	Yes. Well, I don't know technically, you know, in
11	a na an	terms of that line of hiring, but we hire them, we
12		pay for them to do the initial triaging of phone
13		calls.
14	Q	Who formulates the protocol that the on-call nurses
15		should follow?
16	A	It's I don't remember the name of the author of
17		the protocol, but it is a nationally used protocol
18		that Dr. Hertz was responsible for gathering. He
19		didn't compose it or write it himself. It's an
20		established set of protocols for taking call.
21	Q	I realize that this is after the fact development,
22		but I wanted to try to see if I understood what
23		happened here.
24		It says, "This is a follow-up on the events
25		surrounding the unfortunate death of a young child
		37
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1		with sickle cell disease after speaking with an
2		after-hours advice center nurse." How did this
3		situation come to the attention of Dr. Hertz, if,
4		and only if, you know?
5	A	I was concerned that the Saxtons I was concerned
б		with how the call was handled.
7	Q	I guess I'll ask you why you were concerned, and
8		then I'll ask my questions about the note.
9	А	The note?
10	Q	First of all, why were you concerned about how the
11	4400 M 840 T	call was handled?
12	A	I felt the child should have been directed to the
13		emergency room or the parents put in touch with the
14		doctor.
15	Q	I'm going to call you a subscriber to this service.
16		Would that define your relationship as a subscriber
17		to the Nurse on Call service?
18	А	Yes, it would.
19	Q	I gather from having reviewed your chart in some
20		detail that what happens is after these on-call
21		contacts are made you receive a printout
22	A	Yes.
23	Q	of the conversation where they fax them to your
24		office at some point?
25	A	Yes.

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		38						
1	Q	That's how you know what contacts were made?						
2	A	Yes.						
3	Q	Now, in this particular instance on the 24th, if you						
4		want to look at it, it's fine, I have a feeling you						
5		probably know what it says, can you tell what advice						
6		was given to the Saxtons?						
7		MS. HENRY: From that.						
8	Q	From the note. What did you infer the advice was						
9		from the note?						
10		MS. HENRY: Just from the note.						
11	A	Call your sickle cell doctor.						
12	Q	Hadn't they just called their sickle cell doctor?						
13	A	Yes.						
14	Q	Were you ever able to or did you speak with the						
15		nurse who took this call?						
16	A	I didn't speak with the nurse. I spoke with						
17		Dr. Hertz.						
18	Q	So you've never had a direct conversation with the						
19		nurse who took this call?						
20	A	No.						
21	Q	And your feelings were that the child should have						
22		been directed immediately to an emergency room? Or,						
23		I'm sorry, what did you feel the appropriate advice						
24		was?						
25	А	What I said previously was that the child should						

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39 1 have been directed to the emergency room immediately 2 or immediately to the doctor. Doctor, do you have an opinion to a reasonable 3 D 4 degree of medical probability as to whether or not the on-call nurse's failure to refer Meesha directly 5 to the hospital given the reported fever of 104 6 7 caused or contributed to the child's death? MS. HENRY: 8 Objection. 9 You may answer, though. Q 10 А I think -- I don't know the answer to your question, 11 but my thought is that, and I had instructed the 12 parents, that children with chronic debilitating 13 illness of the type she had needed immediate 14 attention, and it's certainly true that giving 15 immediate attention has improved outcome. 16 0 So you will not be rendering an opinion as to the conduct of the on-call nurse? 17 18 А I thought that the advice that should have been 19 given was that the child should have gone to the 20 emergency room or the physician, myself, be 21 contacted immediately, which they do. That wouldn't 22 have been an exception to get the doctor on the 23 line. 24 Q Do you know if this nurse tried to get you that 25 evening?

1	A	40 No, but had she, she would have been able to.
2	Q	After Meesha died, did you have a conversation with
3		Mrs. Saxton about this telephone call?
4	A	I went to the hospital when they called me saying
5		she was in extremis. I went as quickly as I humanly
6		possibly could get there to the hospital. The
7		Saxtons had a refrain question, and that was why
8		-
		didn't the nurse help me. And that's the sense in
9		which I discussed that with the Saxtons.
10	2	Do you have a specific recollection or do you recall
	1. 	generally, whichever it is, that the Saxtons were
12		told by the advice nurse is that an appropriate
13		name to call this person, an advice nurse?
14	А	Yes.
15	Q	That they should give her Tylenol and call back if
16		the fever didn't go down?
17	А	I don't recall that.
18	Q	Fair enough, fair enough. So the person you spoke
19		with then was Dr. Hertz and no one else?
20	A	That's my recollection.
21	Q	Have you ever had the opportunity to review a copy
22		of the protocols that these advice nurses work from?
23	A	No.
24	Q	Did you ask to see a copy of the protocols after
25		this event so you could determine what advice should

		41
1		have been given pursuant to the protocols by the
2		nurse?
3	A	I didn't ask that. I asked that Dr. Hertz review
4		the situation and correct the approach to this kind
5		of situation. It didn't matter what the protocol
6		said, because clearly it didn't guide the nurse.
7	Q	So you don't know whether or not actually the
8		protocol would have indicated a direct call to the
9		doctor; you just know that's not what happened?
10	A	Yes.
11	Q	Fair enough. Doctor, do you have an opinion sitting
12		here today, to a reasonable degree of medical
13		probability, whether or not Meesha Saxton could have
14		avoided this fulminate illness if she had been on
15		prophylactic penicillin?
16	А	No, in my view the record, whether it be the autopsy
17		record or the clinical picture, as I understand it
18		from the limited history I have about it, nothing
19		tells me that this could have been prevented.
20	Q	Are you basing that on your belief that this was a
21		virus?
22	A	I'm basing it on the belief that it isn't clear what
23	ra Rissia	caused her death.
24	Q	Okay. Do you recall if at some point from the time
25		Meesha became your patient till the time of her

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		42
1		death that you indicated to the parents that you
2		would consider placing her on penicillin at a later
3		time?
4	A	No.
5	Q	So you never told them that?
6	A	No, I don't if I understand your question, is
7		your question did I ever indicate that I would at
8	1444 (111 Tr.	some future time consider penicillin prophylaxis?
9	Q	Correct.
10	A	No.
11	Q	Before the illness of December 3rd, I think it's
12		December 3rd it's December 1st through 3rd,
13		sorry, of 1996, did Meesha have any illnesses that
14		concerned you?
15	A	Yes.
16	Q	Which illnesses were those?
17	A	She came in in April of '96 with a history of a
18		cold, symptoms, and the exam suggested that she had
19		a sinusitis, that is, she had mucopurulent
20		rhinorrhea. She was prescribed treatment which
21		included an antibiotic, amoxicillin, decongestant
22		and salt water nose spray.
23		She came in a week later with a history of
24		fever of 100.2 and some vomiting and diarrhea, and
25	• • •	at that time she was still on the antibiotic.
	1	

43 And then in July of '96 she had another 1 febrile illness in which she had a pharyngitis and 2 symptoms of a cold and was treated again with 3 amoxicillin. After that she remained well until her 4 December hospitalization. 5 Periodically over the time that she was your 6 Ð. patient, did you evaluate her spleen? 7 Yes. 8 Ά Okay. 9 þ Every time. 10 A I would assume so, but I'm not really good -- as you 11 0 say, if people don't write things out, I can't 12 always tell what they've actually done. 13 So it would be your testimony that you did 14 evaluate her spleen each and every visit that she 15 had, whether it was well baby or ill; is that fair? 16 Uh-huh. Α 17 What would you say was the situation with her 18 Q spleen? 19 Her spleen demonstrated what it always does in 20 А children with sickle cell anemia, it became 21palpable. 22 Did it change in any way from the first visit to the 23 Q time that she passed away in terms of increasing or 24 decreasing in palpable size, I guess is not a real 25

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1	-	artful way of asking it?
2	A	I don't know. Though I examined it in every case, I
3		didn't make a note about it in every case, but in
4		July of '96 she still had a palpable spleen. She
5		was nine months old then, and I recorded it as one
6		centimeter soft below the midclavicular line, and
7		that's my last specific note about her spleen in the
8		office.
9	Q	But as you have indicated, you would expect to find
10		that finding in sickle cell?
11	A	Sure, through about two years of age.
12	þ	Now, when she was hospitalized in December, early
13		December, was it your understanding that
14		hem/oncology was going to admit and attend
15		initially?
16	A	Yeah. They didn't know who the doctor was
17		initially.
18	Q	Well, they did know but they just couldn't reach
19		you; isn't that correct?
20	A	No, it wouldn't be correct that they couldn't reach
21		me. I wasn't out of town.
22	Q	When I said couldn't reach you, let's make this easy
23		for you, or for me it's probably the easiest, this
24		is a page of the University Hospital records, to
25		make it easier. And this is the scenario of paging

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		45
1		and trying to reach different people. Would you
2		agree with that?
3	A	Yeah. I wasn't on call is what this says.
4	Q	Exactly. But they were going to admit her under the
5		hem/oncology service. Do you know Dr. Levy?
6	А	Yes.
7	Q	What kind of doctor is Dr. Levy?
8	А	A good one I think, but she's also a pediatrician.
9	Q	That's her specialty, pediatrics?
10	А	Yes.
11	Q	Do you know if hem/oncology had an opportunity to
12		see her during that hospitalization?
13	А	See Dr. Levy?
14	Q	No, I'm sorry, to see the patient, Meesha.
15	А	Oh, yeah. I think Dr. Berman rounded on the
16		patient.
17	Q	Did Dr. Berman ever send you a consult note?
18	А	No.
19	Q	Did any doctor during this hospitalization, to your
20		recollection, suggest to you or ask you why this
21		child was not on penicillin?
22	A	No, no one asked me why she wasn't. One of the
23	a dan ar war a la da	house officers asked me should she be or could they
<u>2</u> 4	- Line -	start it on discharge, should they start it on
25		discharge, and I explained to them the same thing
	1	

		4 6	
1		I've told you.	
2	þ	They expressed concern about that?	
3	A.	No.	
4	Q	Do you remember who you spoke with?	
5	A	No, I don't remember her name. I can see her face,	
6		but I don't remember her name.	
7	þ	And then you were contacted, so you came in on the	
8		2nd; is that right?	
9	A	Uh-huh. I spent an hour with the parents.	
10	2	Would you do me a favor? I'm not accusing you of	
11		anything that I'm not guilty of myself, which is	
12		handwriting that I might have to read. Is this you	. <u>r</u>
13		note?	
14	А	Yes.	
15		MS. HENRY: What date are we on?	
16		MS. KOLIS: December 2nd.	
17	Q	If you could, just for the record, read your note	
18		into the record.	
19	A	It says "PMD note. 12-2-96, 10:40 p.m., number,"	
20		meaning problem number, "SS disease. Hematocrit	
21		7-31-96 28, history reviewed, labs reviewed. Prior	
22		medical history, has done very well on our office	
23		protocol of calling at onset of any fever with visi	t
24		within 8 to 12 hours of the call."	
25	Q	Can I interrupt you just for one second. When	

		47
1		you're writing "has done very well," you're
2		referring to the patients have done well with
3		following your protocol of informing you of
4		illnesses; is that accurate?
5	A	I meant on the whole, yes.
6	Q	Fair enough. Go ahead.
7	A	"Note of Binet. This illness" doesn't seem to
8		make much sense oh, "this illness," and it should
9		say comma, or colon rather, "child ill 36 hours
10		before mother called. Physical exam: Alert,
Part Part		active. Spleen two centimeters, firm at left
12		midclavicular line. Chest clear with transmitted
13		rhonchi.
14		"A, assessment pneumonia. Planned
15		discharge with afebrile for 24 hours. Suggest
16		discharge on amoxicillin or Cefzil. Note: Treated
17		by me for febrile illnesses in April '96 and 7-96.
18		Treatment amoxicillin. I see this child at least
19		every three months. Following this discharge I'll
20		see her within two days. I'll do hemogram every six
21		months and folate at least once yearly and ferritin
22		at least yearly and treat with folate and iron as
23		needed. Please put child on service of Doris Evans,
24		M.D. Thanks," and my signature and phone number.
	1	

Thank you very much for reading that. I was pretty

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		48
1		close to having read every part of it. You were
1 2		indicating at that point when you palpated her
2		spleen the size had increased since the summer; is
		that right?
4	A	The correct interpretation of that would be that it
5 6	n	was two centimeters below. It doesn't mean it was
0 7		increased. When you have respiratory it doesn't
8		mean the total size of the spleen is increased.
° 9		When you have increased respiratory effort, you will
9 10		depress your organs.
11		Fair enough.
12	ρ	MS. KOLIS: Doctor, I don't have
13		any further questions for you.
14		MS. HENRY: We would like to read
15		it, if you don't mind.
16		MS. KOLIS: No problem. I'll waive
17		the seven-day reading requirement, since even if she
18		read it and signed it, I wouldn't be reading it in
19		seven days.
20		(Deposition concluded)
21		(Signature not waived)
22		and and and
23		
24		
25		
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I have read the foregoing transcript from page 1 through 48 and note the following corrections: PAGE LINE **REQUESTED CHANGE** Doris A. Evans, M.D. Subscribed and sworn to before me this _____ day _____, 1999. of Notary Public My commission expires _____

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1 State of Ohio, SS: CERTIFICATE 2 County of Cuyahoga,

3 I, Phyllis L. Englehart, RMR and Notary Public in 4 and for the State of Ohio, duly commissioned and qualified, do hereby certify that the within named 5 witness, Doris A. Evans, M.D., was by me first duly sworn 6 7 to testify the truth, the whole truth, and nothing but 8 the truth in the cause aforesaid; that the testimony then 9 given by her was by me reduced to computerized stenotypy 10in the presence of said witness, afterward transcribed, 11 and that the foregoing is a true and correct transcript of the testimony so given by her as aforesaid. 12

13 I do further certify that this deposition was 14 taken at the time and place in the foregoing caption 15 specified and completed without adjournment.

I do further certify that I am not a relative, 16 17 counsel, or attorney of either party, or otherwise interested in the event of this action.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal of office at Cleveland, Ohio, on this dond day of March, 1999.

> Englehart, RMR and Notary Public Phyllis L. in and for the State of Ohio. My commission expires June 23, 2001.

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'70s - child's

				IMITITLE.	age			/05 -	- child's
		7-96 [1] 47:17		anemia [5]	6:3			12:20 13:1	13:10
-*-				20:23 29:21	31:24	-B-		13:15 14:14	15:1
'70s [1] 7:19		-8-		43:21		Babies [7]	6:16	16:6 23:5	24:20
1	20:6	8 [1] 46:24		Anita [1]	5:11	6:17 8:14	8:23	29:3 29:4 29:16	29:13
	43:1	87 [1] 20:14		answer [15]	5:1	14:22 19:12	30:7	career [4]	5:25
44:4 47:17	-1014	01 [1] 20,13		5:5 7:23 10:4 10:6	9:4 11:1 2	baby [2] 9:7	43:16	7:17 9:10	13:25
'98 [1] 21:6		-A-		12:16 12:24	14:5	background []	116:5	caring [1]	29:10
				17:23 19:2	28:25	bacteria [2]	28:15	case (15)6:9	9:12
-1-		able [5] 10:24	11:8	39:9 39:10		28:16		9:13 9:22	9:25
		35:12 38:14	40:1	antibiotic [11]	15:4	bacterial [3]	25:17	10:15 13:23	15:15
1 _[1] 49:2		absolutely [2] 30:16	22:1	16:11 26:16	27:8	26:7 28:17		21:18 21:20	26:5
	42:24	Academy [3]	10:18	27:10 27:12 27:21 28:3	27:14 42:21	Balraj [2]	11:19	32:2 33:4 44:3	44:2
104 [1] 39:6		10:19 16:19	10:10	42:25	42:21	26:5		1	00 7
	46:19	accept [1]	22:15	antibiotics [5]	18.4	base [1] 24:12		caused [2] 41:23	39:7
11 [1] 10:12		accepted [1]	35:8	18:6 18:24		based [2]	23:8		25:11
12 [1] 46:24		accurate [1]	55.8 47:4	28:20		26:6		causes [1]	23:11
	46:19	accusing [1]		anticipation [l]	basing [2]	41:20	CC [1] 35:4	e" 3.1
	32:11		46:10	12:13	-	41:22	.	Cedar [1]	5:11
14[1] 27:21		action [1]	50:18	apparent [2]	5:6	basis [1]	26:9	Cefzil [1]	47:16
1963 [1] 6:9		active [1]	47:11	18:4		became [3]	24:4	cell [29] 6:2	10:16
1968 [1] 6:12		Acute [1]	26:23	appear [1]	23:4	41:25 43:21	0.2	13:11 13:15 14:5 14:15	14:2 14:19
	8:2	Additionally	[1]	appeared [1]	23:7	become [3] 18:3 18:24	8:3	16:22 17:4	14.19
1987 [1] 10:12		5:3	<i>E.</i> 10	Appreciate [1]	7:23	began [1]	5:25	20:23 21:22	22:1
1	33:3	address [1]	5:10	approach [3]	30:8	beginning [2]		24:17 29:4	29:10
1996 [1] 42:13		adjournment [50:15	1]	30:10 41:4		16:21	6:7	29:20 29:21	31:6
1997 [1] 11:6			11.0	approaches [2]	16:1	behalfm	9:16	31:24 32:1 33:16 37:1	32:4 38:11
	50:21		11:6	19:19		belief [2]	41:20	38:12 43:21	44:10
$1 \text{st}_{[1]} 42:12$		admit [2] 45:4	44:14	appropriate [2]	38:23	41:22	41.40	center [2]	32:4
1100[1] 76.15		adverse [1]	19:5	40:12		believing [1]	15:8	37:2	
-2-		advice [9]	19:5 37:2	April [2] 47:17	42:17	belong [1]	10:18	centimeter [1]	44:6
·		38:5 38:8	37:2			below [2]	44:6	centimeters [2]	, ,
2001 [1] 50:23		39:18 40:12	40:13	artful [1]	44:1	48:6	44.0	48:6	
	33:3	40:22 40:25		articles [1]	18:2	Berman [3]	20:25	certain [2]	24:13
23 [1] 50:23		afebrile [1]	47:15	aspects [1]	8:17	45:15 45:17	20.20	26:17	
24 [1] 47:15		affixed [1]	50:20	assessment [1]		better [1]	23:13	certainly [3]	23:19
24th [1] 38:3		aforesaid [2]	50:8	assistant [2]	7:18	between [1]	22:11	25:17 39:14	
25 [1] 19:19		50:12		16:15		Binet [1]	47:7	CERTIFICAT	E[1]
28 [1] 46:21		after-hours [1]	37:2	associated [1]		bit [1] 34:3		50:1	
29001 [1] ·	5:11	afterward [1]	50:10	Association [2]]	blanket [1]	16:1	certified [1]	7:24
	46:16	again [3]	16:8	29:21 31:24	00.15	blood	28:14	certify [3]	50:5
l		32:16 43:3		assume [2] 43:11	22:15	28:16 28:20	28:14	50:13 50:16	
-3-		age [3] 16:21	19:18	assumed [1]	26:11	31:20		chairman [2] 35:14	35:13
30th [1] 31:12		44:11		attempted [1]		board [1]	7:23		42.22
36 [1] 47:9		ago [1] 10:11			17:15	boards [1]	8:1	change [2] 49:5	43:23
	22.0	agree [6]	16:17	attend [1]	44:14	book [1] 29:19		changes [1]	22:14
1	33:8	17:2 17:8	29:5	attended [1]	21:1	borrow [1]	31:14	chart [8] 11:1	22:14
310 [3] 42:11 42:12	42:12	31:19 45:2	10.0	attention [3] 39:14 39:15	37:3	Brian [1]	20:25	30:17 30:19	30:22
T 40. 1 40		ahead [2]	10:3	E	5.4	briefly [1]	6:5	30:23 34:12	37:19
-4-		47:6	17.10	attorney [3] 9:19 50:17	5:4	bronchiolitis		Chest [1]	47:12
	<u> </u>	Alert [1]	47:10	author [2]	18:1	26:23 27:4	[4]	Chicago [1]	6:8
406 [1] 5:11		allow [1]	7:19	36:16	10,1	brought [1]	29:19	child [28]	8:11
	5:12	alone [1]	20:1	autoimmune	1	Bureau [1]	33:6	10:16 15:16	16:3
48 [1] 49:2		always [3]	30:9	25:16	~J	Burkitt's m	7:1	16:10 19:17	19:21
		43:13 43:20	7.0	autopsy [2]	24:23		1.2	23:6 23:24	25:23
-6-		American [5] 10:19 16:18	7:9 29:21	41:16		-C-		27:14 27:20	28:6
6 [1] 12:21		31:24	ha I . ha sh	Avner [3]	34:3			28:19 29:10 31:6 35:24	30:14 36:2
60 [1] 15:22		amoxicillin [5]	27-17	34:4 35:13		calls [2] 12:4	36:13	36:25 37:12	38:21
		42:21 43:4	47:16	avoided [1]	41:14	cancer [1]	7:1	38:25 39:19	45:21
-7-		47:18		aware [1]	25:20	caption [1]	50:14	47:9 47:18	47:23
COMPANY OF A CONTRACT OF A CON		amplify [1]	28:25	away [1]	43:24	cardiology [1]	;	child's [3]	12:1
7-31-96 [1] 4	46:21	Andrew [1]	34:25			care [15] 9:7	9:9	22:25 39:7	
		~ -							

FLOWERS, VERSAGI & CAMPBELL 216-771-8018

		Multi-Page [™]	· · · · · · · · · · · · · · · · · · ·	children - Englehart
children [15] 13:11	13:15 14:18 16:6	corrections [1] 49:3	demonstrated [1]	doctor [21] 5:15
14:2 14:15 16:6 16:21 17:4 17:10	29:4 29:13 29:16	correctly [3] 20:22	43:20	5:21 7:23 9:10
16:21 17:4 17:10 19:5 19:7 21:22	computerized [1]	24:4 34:4	demonstration [1]	9:16 10:18 10:23 15:3 34:25 37:14
22:1 29:5 33:16	50:9	correspondence [2]	20:6	15:3 34:25 37:14 38:11 38:12 39:2
39:12 43:21	concern [3] 18:17 19:14 46:2	31:1 31:13	department [14]	39:3 39:22 41:9
Children's [1] 6:14		Council [1] 33:7	7:10 7:15 7:22 12:19 16:20 17:2	41:11 44:16 45:7
Childrens [7] 6:17	concerned [7] 12:2 22:24 37:5 37:5	counsel [3] 5:13 12:3 50:17	17:8 31:2 31:12	45:19 48:12
6:18 8:14 8:23	37:7 37:10 42:14		33:14 33:21 35:13	doctors [1] 36:8
14:22 19:13 30:7	concluded [1] 48:20	county [3] 10:13 25:19 50:2	35:14 35:17	document [1] 5:15
choosing [1] 19:3	conclusion [2] 25:24	couple [6] 6:19	deposition [7] 9:23	documented [2]
chronic [2] 26:23	26:19	23:17 23:20 27:25	10:10 10:23 11:14 13:7 48:20 50:13	22:8 22:9
39:12	condition [1] 22:25	30:25 34:6	depress [1] 48:10	doesn't [4] 18:22 47:7 48:6 48:7
circumstances [1]	conduct [1] 39:17	course [6] 13:1	depth _[2] 48.10	done [12] 7:8
cite [1] 23:12	confined [1] 8:10	16:4 22:13 23:1	16:14	7:14 13:4 17:15
clarify [1] 35:12	confirm [2] 18:10	29:3 32:2	deputy [6] 11:21	18:9 26:14 26:16
clear [3] 22:1 41:22	32:1	court [1] 5:17	11:22 11:25 12:4	33:13 43:13 46:22
47:12	confirmatory [1]	cover [1] 9:2	12:10 25:19	47:1 47:2
clearly [1] 41:6	31:20	create [1] 17:24	Describe [1] 8:15	Doris [5] 5:11
Cleveland [4] 6:17	confused [2] 35:11 35:23	cultures [2] 28:14 28:16	despite [1] 23:22	36:6 47:23 49:19 50:6
14:19 31:23 50:20	confusion [1] 13:5	current [3] 5:14	detail [1] 37:20	dose [1] 28:20
clinic [3] 14:19	consider [4] 14:12	8:16 10:21	detect [1] 26:16	down [2] 25:3
30:6 31:23	14:13 42:2 42:8	curriculum [2] 5:14	determine [3] 7:2	40:16
clinical [3] 14:21	considering [1] 18:8	5:16	13:8 40:25	Dr[21] 11:18 12:11
15:1 41:17	consult [1] 45:17	cut [1] 32:17	develop [1] 18:18	20:25 25:22 26:2
clinics [1] 8:13	consulting [1] 9:3	Cuyahoga [2] 10:13	development [4]	26:5 34:3 34:25
close (1) 48:1	contact [1] 17:20	50:2	7:4 19:15 22:12	35:13 35:16 36:6 36:18 37:3 38:17
cold [2] 42:18 43:3	contacted [2] 39:21	CV [1] 6:22	diagnosed [1] 31:6	40:19 41:3 45:5
colleagues [3] 16:1 17:14 17:19	46:7		diagnoses [1] 26:20	45:7 45:13 45:15
college [1] 6:7	contacts [2] 37:21	-D-	diagnosis [2] 27:2	45:17
colon [1] 6:7	38:1	dad [1] 32:14	33:5	draw [1] 28:21
coming [1] 47:9	contained [1] 11:18	daily [2] 15:18	diarrhea [1] 42:24	duly [2] 50:4 50:6
comma [1] 7:6	contention [1] 26:8	16:22	died [3] 21:2 21:3	during [5] 6:10
comment [1] 47:9	continue [1] 28:3	dangerous [2] 15:7	40:2	9:10 27:5 45:12 45:19
commission [2]	contradicting [1]	15:9	differences [1] 5:6	duty [2] 29:11 29:12
49:25 50:23	17:16	data [4] 19:20 19:23	different [4] 17:23	www.y [2] 27.11 29.12
commissioned [1]	contrary [1] 23:22	20:11 20:15	20:9 20:9 45:1	- <u></u>
50:4	contributed [1] 39:7	date [3] 32:9 33:2 46:15	difficult [1] 21:17	
committed [1] 33:20	conversance [1]	dates [1] 23:18	digest [1] 5:22	ear [1] 15:15
communicate [1]	25:7	daycares [1] 19:8	direct [2] 38:18	carly [6] 7:19 9:18 11:6 26:25 29:2
24:6	conversant [2] 25:9 33:18	days [7] 27:21 27:24	41:8 dimente dans 27.12	44:12
community-based [1]	conversation [4]	27:25 28:4 28:5	directed [3] 37:12 38:22 39:1	easier [1] 44:25
9:6	11:25 37:23 38:18	47:20 48:19	directly [2] 36:9	easiest [1] 44:23
complacency [1]	40:2	deal [1] 16:25	39:5	easy [1] 44:22
complete [3] 11:11	copy [7] 5:13 11:11	dean [1] 7:18	director [1] 35:1	education [1] 29:14
33:9 33:11	25:2 32:17 33:1 40:21 40:24	death [7] 12:1	discharge [8] 27:2	effort [1] 48:9
completed [2] 6:15	coroner [6] 11:21	12:2 19:17 36:25 39:7 41:23 42:1	27:20 27:25 45:24	either [2] 9:16
50:15	11:22 11:25 12:4	39:7 41:23 42:1 debilitating [1] 39:12	45:25 47:15 47:16	50:17
compliance [8] 15:17	12:10 25:19	December [11] 11:6	47:19 discharged [1] 27:14	Elizabeth [1] 11:18
15:21 15:23 21:9	coroner's [2] 11:14	26:25 32:24 33:3	discuss [3] 11:17	Ellis [1] 34:4
22:6 22:8 22:11	11:18	42:11 42:12 42:12	16:13 29:4	emergence [4] 15:12
compliant [2] 21:15	correct [29] 6:22	43:5 44:12 44:13	discussed [2] 30:12	15:23 18:5 22:16
21:16	8:19 8:20 8:21 12:24 22:20 25:3	46:16	40:9	emergency [5] 9:2
component [1] 16:5	27:6 27:15 27:17	decision [1] 21:21	disease [7] 24:7	37:13 38:22 39:1 39:20
components [1]	27:19 28:1 28:4	decongestant [1]	24:13 25:23 32:18	employ [1] 35:21
16:9	29:10 30:23 31:2	42:21 decreasing co. 42:25	32:22 37:1 46:20	employed [1] 35:19
comport [1] 12:7	31:11 31:21 31:22 32:6 35:15 35:25	decreasing [1] 43:25 define [1] 37:16	diseases [1] 25:16	end [1] 25:15
compose [1] 36:19	32:6 35:15 35:25 36:6 41:4 42:9		dismissed [1] 10:10	ended [1] 31:10
comprehensive [9]	44:19 44:20 48:5	degree [2] 39:4	dispute [1] 26:9	Englehart [2] 50:3
9:9 12:20 12:23	50:11		disputing [1] 26:8	50:22
		.t.	1	1

entities - instruction

				Multi-I	rage			entities - ins	struction
entities [2]	24:13	40:18 40:18	41:11			hematologists [1]	illnesses [7]	9:8
25:12		43:16 47:6	48:11	G-		19:12		24:8 28:13	42:13
entity [2]	7:21	fairly [1]	18:19	gather [5]	9:4	hematology [1] 2	0:20	42:16 47:4	47:17
26:6		false [1] 16:2		12:16 13:25	24:16	hemoglobin [3] 3	2:17	immediate [2]	39:13
ега [2] 15:11	18:23	familiar [1]	34:20	37:19		32:18 32:22		39:15	
especially [1]	13:7	familiarize [1]	13:19	gathering [1]	36:18	hemoglobinopa	thics	immediately	
Essentially [1]	31:19	family [1]	32:6	general [3]	6:15	[2] 13:3		38:22 39:1	39:2
established [2]		fashion [1]	5:1	19:9 19:24	0,15	16:7		39:21	
36:20		father [1]	32:13	generally [5]	18:22		7:20	importance [1	
etiology [1]	25:20			19:2 20:4	21:7		0:3	important [1]	16:9
evaluate [4]	16:13	favor [1]	46:10	40:11			4:10	improved [1]	39:15
21:15 43:7	43:15	fax [1] 37:23		generated [1]	11:9		4:19	inasmuch [1]	5:21
evaluation [1]	17:18	fear [1] 18:17		given [11]	15:19		9:8	incident	23:12
Evans [5]	5:11	febrile [2]	43:2	21:20 28:20	30:3	46:15 48:14		include	32:3
36:6 47:23	49:19	47:17		36:2 38:6	39:6		0:5	included [1]	42:21
50:6		feeling [1]	38:4	39:19 41:1	50:9		0:19	incompliance	
evening [1]	39:25	feelings [1]	38:21	50:12		Hertz [7] 3	4:25	15:21	·[1]
event [2]	40:25	fellowship [4]	6:11	giving [1]	39:14		7:3	increased [5]	14:6
50:18	10,40	6:16 6:21	6:24	glean [1]	21:12		1:3	48:3 48:7	48:8
events [1]	36:24	felt [3] 23:25	25:22	gone [1] 39:19			:24	48:9	iù.ů
eventually [1]	30:24 31:23	37:12	-	good [2] 43:11	45:8	9:3		increasing [1]	43-24
evidence [1]		ferritin [1]	47:21	government p			6:19	increasingly	
	19:3	fever [4]	39:6	graduated [2]		hire [1] 36:11		15:14	[1]
exactly [2]	34:10	40:16 42:24	46:23	graduated [2]	0:8	hired [1] 3	5:17	incredibly [1]	12.4
45:4		few [1] 24:22			7.10		6:11	independent	
exam [2]	42:18	file [4] 12:8	32:25	grant [1]	7:19		1:18	8:19 23:3	[3] 23:9
47:10		33:4 33:10	12.40	great [1] 16:25			6:21	o.19 25.5 indicate [2]	23.9 28:10
examined [1]	44:2	filed [2] 11:7	13:8	grounds [1]	10:5	46:22		42:7	28:10
exceedingly	1	final [2] 11:9	33:5	group [1]	35:7	hole [1] 32:18		indicated [8]	6.01
16:9		finding [2]	25:21	grow [2] 28:15	28:16	hopefully [1] 5	:14	17:7 20:19	5:21 24:4
excellent [1]	24:20	44:10	20:21	guess [7]	8:18		:14	26:19 41:8	42:1
exception [1]	39:22	findings [4]	7.6	9:4 13:15	16:13		:14	44:9	
exclude [2]	26:12	11:17 26:18	7:6 27:5	23:12 37:7	43:25		:24	indicates [3]	16:20
27:11		fine [3] 19:2	20:18	guide [5]	12:20		1:10	19:20 26:6	10.20
Exhibit [2]	5:18	38:4	20:18	12:23 13:15	35:9		6:5	indicating [6]	13:13
5:19				41:6			0:4	21:21 30:13	31:20
expect [1]	44:9	firm [1] 47:11	A 0 F	guided [1]	21:18	40:6 44:24		32:2 48:2	
experience [7]	15:25	first [7] 18:15 26:12 35:7	20:5 37:10	guidelines [3]	29:13	hospital-based	[1]	indirectly [1]	35:17
16:8 21:14	21:19	43:23 50:6	57:10	33:15 33:18		8:18		indiscreet [1]	18:5
22:10 24:13	24:16	five[1] 19:18		guilty [1]	46:11	hospitalization 26:24 27:3 2		individual [1]	
expert [2]	9:12	folate [2]	47.21				7:6 5:12	infection [2]	15:16
9:15		47:22	47:21	-H-		45:19	Ų.1 2	28:17	15.10
expertise [1]	14:14	follow [4]	19:4	hand [1] 50:19		hospitalized [1]		infections [3]	19:18
expires [2]	49:25	23:9 23:14	19:4 36:15	handed [1]	5:13	44:12		20:7 25:17	17.10
50:23		follow-up [2]	30:15	handled [2]	37:6	hour [1] 46:9		infectious [1]	28:13
explain [2]	15:8	36:24	24.14	37:11	⊅7:0		5:24	infer [1] 38:8	
30:7		following [4]	33:23	handwriting	i 1	47:9 47:15	; ;	inform [1]	12:3
explained [2]	30:18	47:3 47:19	49:2	46:12	r1			information [:]	
45:25		foregoing [3]	49:1	hard [2] 15:14	21:20		11	5:23 6:20	5] 21:13
expressed [2]	17:12	50:11 50:14	72.1	head [2] 29:1			7:3	32:4 32:5	41.10
46:2		formulates [1]	36.14		33:25	17:8		informing [1]	47:3
extremis [2]	25:15	formulation		Health [11] 7:16 7:22	7:10			initial [2]	
40:5		22:5	1	7:16 7:22	12:19 31:12			36:12	5:23
<u>-</u>		forum [1]	13:7	33:6 33:7	33:15	- <u>I</u> -		initiated [1]	17:10
– <u>F–</u>	<u> </u>			33:21	00140				
face [1] 46:5		found [1]	15:25	hear [2] 18:19	20:21	identification [1]		initiating [1]	24:2
1	9:1	frame [1]	13:24	heard [2]	21:10	5:20	1	insist [1]	33:8
fact [6] 12:5	25:2	front [1] 30:23		24:3	κ.ι.V			instance [3]	10:15
	25:2 31:25	fully [1] 30:8		help [2] 13:21	40:8		3:16	23:12 38:3	
36:21	ليونيكر لا مر	fulminate [1]	41:14	helpful [1]		47:9		instant [1]	9:25
failure	39:5	funded [2]	7:15		13:22			instructed [1]	
fair [14] 7:8	8:15	7:21		helping [1]	8:10		5:14	instruction [4]	5:7
	8:15 11:13	future [1]	42:8	hem/oncology			3:12	23:22 27:23	
	20:17			44:14 45:5	45:11		2:11		
1				Hematocrit [1]	46:20	43:2 47:7 47	7:8		

instructions - palpable

				Multi-P	age		i	nstructions - 1	palpable
instructions [14:11 16:10		7:18		name [7]	5:9	obviously [1]	5:22
23:4 23:9 27:20	23:14	letter [6]	32:2	Meesha [15]	10:25	9:22 34:4	36:16	occasions [4]	22:22
	10.1	32:24 34:2	34:3	11:3 15:3	18:16	40:13 46:5	46:6	23:17 23:20	23:23
interested [2] 50:18	12:1	34:8 34:14	1 C P	21:2 21:3 29:3 29:24	26:24 39:5	named [1]	50:5	occupation [1]	6:7
intern [1]	6:13	Levy [3]45:5 45:13	45:7	40:2 41:13	41:25	namely [1]	30:6	occurred [1]	24:9
interpretation		likely [1]	26:6	42:13 45:14	12.200	nationally [1]	36:17	occurrence [1]	20:7
48:5	# [1]	limited [1]	20:0 41:18	meet [2] 29:6	29:8	nature [3]	6:24	October [1]	21:6
interrupt [1]	46:25	line [5] 36:11		meeting [2]	29:3	9:5 26:2	10.01	Odd [1] 32:20	
investigating		44:6 47:12	39:23 49:5	29:15		nced [5] 8:18 18:13 23:17	12:24 34:19	off [1] 32:17	
7:1	F+1	list _[3] 25:13	26:20	membership [1]	needed [2]	39:13	office [7]	9:7
involve [1]	10:15	35:4	20.40	10:21		47:23	57.15	11:1 12:6	37:24
iron [1] 47:22		listed [1]	31:9	memo [1]	34:3	negative [1]	28:15	44:8 46:22	50:20
issuance [1]	11:23	listing [1]	26:21	memory [2]	12:7	negligence [1]	10:2	officers [1]	45:23
issue [1] 15:20		literature [7]	12:13	20:15		never [5]	11:20	Ohio [10] 17:2 17:7	5:12 31:1
issued [1]	11:14	12:17 13:10	16:18	mentioned [1]		15:22 24:18	38:18	33:14 33:20	50:1
issues [1]	6:2	16:24 16:25	22:9	Meridia [2] 9:3	8:24	42:5		50:4 50:20	50:23
		litigation [1]	10:9	met [3] 22:20	25:5	newborn [2]	31:4	old [1] 44:5	
-J-		logically[1]	16:16	29:2	4J.J	31:7		on-call [5]	8:25
Jamaica [1]	19:25	look [4] 23:18	32:9	Metro [1]	6:15	Newborns [1]	13:16	36:14 37:20	39:5
January [1]	19:25 32:8	34:19 38:4		microorganis		NIH [1] 6:22		39:17	
July [2] 43:1	32:8 44:4	looked [1]	13:14	15:12 15:24	[6]	nine [1] 44:5		once [2] 32:16	47:21
June [1] 50:23	-7-9.4	looking [3] 20:2 26:14	20:1	mid [1] 20:5		nitpick [1]	34:22	one [19] 7:21	10:7
- ame [1] 20:32		1 ung [1] 27:5		midclavicula	F [2]	nose [1] 42:22		13:16 14:9 14:13 14:23	14:10 16:5
-K-		lymphoma [1]	"". I	44:6 47:12		Notary [3]	49:24	18:9 19:12	22:4
	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Lyndhurst [1]		middle [1]	15:15	50:3 50:22	20.17	30:3 31:16	40:19
keep [1] 16:15		Lynamastill	5:14	might [6]	12:3	note [16] 26:1 37:8 37:9	30:17 38:8	44:5 45:8	45:22
kids [1] 24:18		-N1-		12:13 13:22 34:6 46:12	16:11	38:9 38:10	44:3	45:22 46:25	40.00
kind [2] 41:4	45:7			34:6 46:12 mind [1]	40.1 <i>E</i>	44:7 45:17	46:13	onset [1]	46:23
knowing [1]	14:7	M.D [3] 47:24 50:6	49:19	mine [1] 31:14	48:15	46:17 46:19	47:7	opinion [6] 17:12 25:20	14:18 39:3
knowledge [2] 20:4	19:10	majority [1]	28:12	model [1]	7:2	47:16 49:2	10.6	39:16 41:11	57.0
known [1]	15:17	managed [1]	26:24	moment [1]		notes [4] 16:15 23:2	12:6 23:3	opportunities	[1]
KOLIS [8]	5:17	management [months [4]	34:16 16:22	nothing [2]	41:18	8:9	
19:1 33:3	34:17	33:4	rl	44:5 47:19	47:21	50:7		opportunity [8	
34:21 46:16	48:12	manner [1]	13:10	morbidity [1]	20:12	November [1]	31:12	5:22 6:1	9:11 18:7
48:16		March [1]	50:21	morning [2]	5:13	now [6] 15:10	20:19	40:21 45:11	10.7
		mark [1] 5:18		7:10		32:1 33:8	38:3	options [4]	30:6
-L-	,	marked [1]	5:19	morphologic	[1]	44:12		30:12 30:18	30:22
L [2] 50:3	50:22	materials [5]	13:3	26:17		number [10] 12:22 20:13	12:21 22:22	orally [1]	17:7
labs [2] 33:6	46:21	13:18 13:19	13:22	mortality [2]	19:19	36:3 36:4	36:8	organism [1]	22:14
language [1]	34:22	34:1		20:12	36.6	46:19 46:20	47:24	organisms [6]	15:13
last [3] 18:3	25:22	matter [3]	9:23	most [2] 15:21 mother [3]	26:6	numerous [1]	23:22	18:23 19:9	19:10
44:7		11:15 41:5	22.10	32:12 47:10	32:10	nurse [16]	35:5	19:16 22:12	40.10
late [4] 16:3	20:6	matters [1]	22:10	mother-infan	f m	35:6 35:19	35:21	organs [1]	48:10
24:9 24:17	16.01	may [5] 5:3 17:22 23:19	5:4 39:9	8:12	- L*J	37:2 37:17 38:16 38:19	38:15 39:17	otherwise [2] 50:17	24:21
latest [1] lawsuit [3]	16:21	mean [5]	18:1	mouse [1]	7:2	39:24 40:8	40:12	otitis [1]	15:15
13:9 13:12	11:7	19:9 27:11	48:6	Mrs [3] 22:18	29:2	40:13 41:2	41:6	outcome [1]	39:15
lead [1] 16:2		48:8		40:3		nurse's [1]	39:5	outcomes [4]	17:19
leads [1]	15:23	meaning [1]	46:20	MS [20] 5:17	10:3	nurses [3]	35:7	18:11 19:5	30:9
learn [1] 13:21		meant [1]	47:5	18:21 19:1 33:3 34:10	33:2	36:14 40:22		overuse [1]	18:4
learned [1]	21:13	mechanism [1]		33:3 34:10 34:15 34:17	34:13 34:19			own [4] 8:19	11:1
least [3] 47:18	47:21	media [1]	15:15	34:21 38:7	38:10	-0-		21:14 23:6	
47:22		medical [15]	5:25	39:8 46:15	46:16	OAC[1]	33:8		
lecture [2]	21:1	8:20 9:5 9:15 10:2	9:12 10:24	48:12 48:14	48:16	object [1]	5:4	-P-	
21:3		10:25 11:9	10:24	Mt[1] 8:23	h	Objection [2]	10:3	p.m [1] 46:19	
led [3] 6:6	11:24	22:25 35:1	39:4	mucopurulent	L[1]	39:8	25.0	page [3] 44:24	49:1
18:4 left [1] 47:11		41:12 46:22		must [1] 33:8			35:8	49:5	
Legal [1]	9:13	medication [2] 27:8	15:18			observe [1] obtain [2]	8:12 8:1	paging [1]	44:25
Legar [1] less [4] 14:8	9:13 14:10	Medicine [2]	6.10	-N-		25:2	0:1	palpable [3] 43:25 44:4	43:22
14:0	14:1V	TATEORICING [3]	6:10					43:23 44:4	

FLOWERS, VERSAGI & CAMPBELL 216-771-8018

				Multi-P	'age™			palpated -	· require
palpated [1]	48:2	performed [5]	26:7	prescribed [2]	27:12			recommended	1 [2]
panel [1]	26:14	26:12 28:9	31:21	42:20		<u>-Q-</u>		20:5 30:13	
papers [1]	17:16	1 -	00.15	prescribing [1		qualified [1]	50:5	recommendin	ng [1]
paraphrasing	[2]	perhaps [2] 28:19	22:15	presence [1]	50:10	questioned [1]		18:8	
24:5 24:9	* · ·	period [1]	6:11	presentation [questionnaire		record [9] 9:20 10:25	5:9 11:5
parent [1]	24:1	periodically			24:17 35:12	33:9 33:11		11:12 41:16	41:17
parents [14] 13:21 13:22	12:3 21:15	9:2 13:3	43:6	pretty [2] 47:25	33:12	questions [9]	5:1	46:17 46:18	
22:24 23:3	24:7	person [2]	40:13	prevented [1]	41:19	6:19 12:13	13:6	recorded [1]	44:5
24:25 29:14	35:9	40:18		previous [1]	11:8	24:22 30:25 37:8 48:13	34:7	records [5]	10:24
37:13 39:12	42:1	personal [1]	11:1	previously [2]		quickly [2]	35:12	11:4 11:9 44:24	23:8
46:9	0 (10	pharyngitis [1]		38:25		40:5		recruitment [1	17.20
part [5] 7:17 27:10 29:9	26:19 48:1	Philadelphia	[1]	printout [1]	37:21	quite [1]25:9		reduced [1]	50:9
partially [1]	22:13	6:14	04.0	privileges [1]	8:22			reduction [3]	30.9 19:21
participate [1]		phone [3] 36:12 47:24	36:3	probability [2]	39:4	-R-		20:6 20:12	19.21
participated []		Phyllis [2]	50:3	41:13		Rainbow [4]	6:17	refer [1] 39:5	
7:14		50:22	20.2	probable [1]	25:20	8:14 8:23	14:21	reference [2]	21:4
particular [5]	9:5	Physical [1]	47:10	problem [2]	46:20	raised [1]	19:13	34:23	
10:15 13:14	18:9	physician [6]	6:7	48:16	0 4.0	rap [1] 26:14		referral [1]	32:3
38:3		8:19 9:11	20:24	processes [1]	24:8	rate [2] 20:7	20:8	referred [1]	14:25
particularly [1]	29:9 39:20		professional [5:10	1]	rather [2]	14:8	referring [2]	13:16
particulars [1]	0.17	phytohemagg.	lutinin	program [2]	7:20	47:9		47:2	
	9:17 50:17	[1] 7:3	41.17	14:21	1.20	RBC [1] 35:14		reflected [1]	12:5
party [1] passed [1]	43:24	picture [1] place [2]	41:17 29:24	programs [1]	15:1	re-review [1]	13:9	refrain [1]	40:7
pathological [50:14	<i>1</i> 7;74	promulgated	1]	reach [4]	44:18	refresh [1]	20:14
26:17	IJ	placed [1]	12:4	16:18	· •	44:20 44:22	45:1	regard [1]	5:7
patient [9]	9:16	places [1]	30:14	prong [2]	21:7	read [7] 34:24 46:17 48:1	46:12 48:14	regarding [2]	13:10
13:1 14:4	18:9	placing [3]	15:9	24:2		48:18 49:1		23:5 regimen [2]	07.0
35:24 41:25	43:7	18:16 42:2	2019	pronouncing [34:4	1]	reading [5]	17:18	27:10	27:8
45:14 45:16		Plaintiff's [2]	5:18	prophylactic	21	21:12 47:25	48:17	regional [1]	32:3
patients [13] 13:2 14:1	13:2 14:16	5:19		15:4 15:6	16:23	48:18		related [2]	6:2
15:1 16:1	17:13	Planned [1]	47:14	1 ·····	33:15	real [1] 43.25		19:23	0.4
17:19 17:19	25:10	PMD [1]	46:19	41:15		realize [2] 36:21	18:14	relationship [5	1
30:9 31:11	47:2	pneumococca		prophylaxis [4		really [3]	10.4	7:3 22:11	34:24
pay [2] 35:21	36:12	15:13 17:24 18:19 18:25	18:12 19:9	16:5 21:8 42:8	24:3	32:21 43:11	12:4	35:3 37:16	
pediatric [5]	6:16	19:18 20:2	20:7	protocol [11]	17:3	reason [5]	15:18	relationships 8:12	[1]
8:1 9:9 14:25	14:1	20:21		17:9 19:4	33:15	18:15 23:14	24:2	relative [1]	50:16
pediatrician [7	r	pneumonia [2]	27:4	36:14 36:17	36:17	35:10		relied [1]	17:23
8:25 9:3	9:6	47:14		41:5 41:8	46:23	reasonable [2]	39:3	rely [1] 19:3	17.23
31:9 35:2	35:3	point [6]	5:3	47:3		41:12		remained [1]	43:4
45:8		25:7 34:23 41:24 48:2	37:24	protocols [7] 33:24 35:9	17:16 36:20	reasoning [3] 16:14 21:7	15:8	remember [11]	
pediatrics [7]	6:15	poor [11]	15:19	40:22 40:24	41:1	reasons [2]	16:12	9:20 9:22	12:22
7:25 10:19 35:14 35:18	16:19 45:9	15:22 18:10	21:9	provide [1]	24:20	22:5	10.12	20:17 23:3	33:13
penicillin [30]		21:11 22:7	22:8	psychoanalys		receive [5]	16:22	36:16 46:4	46:5
15:9 16:5	16:23	22:9 22:10	22:11	8:11	42 ° 3	21:22 30:14	35:7	46:6	00-17
17:5 17:9	17:24	22:16	14.4	Public [5]	33:6	37:21		rendering [1]	39:16
18:8 18:11	18:16	population [4] 15:19 15:22	14:4 19:14	33:7 49:24	50:3	received [4]	5:21	repeat [1]	18:13
19:4 19:6 20:1 20:2	19:22 21:8	possible [1]	30:19	50:22	7.6	7:19 29:19	31:1	report [9] 11:18 11:23	11:14 25:24
21:23 21:25	24:3	possibly [1]	40:6	publication [3] 12:18 12:21	/:0	recently [5] 19:12 20:20	8:13 21:5	26:20 26:21	31:4
24:6 24:18	24:19	post[2] 26:20	26:21	publish [1]	17:15	33:17		31:10 32:25	
29:24 32:16 33:23 41:15	33:16 42:2	postantibiotic		published [5]	16:18	recertified [1]	8:4	reported [2]	33:6
42:8 45:21	-T <i>4.4</i>	15:11 18:23	~ −J	19:7 20:11	20:15	recite [1]	6:6	39:6	
people [6]	9:6	practice [11]	8:12	35:9		recollection [s		reporter [1]	5:18
23:4 24:4	30:6	8:16 8:17	8:20	purpose [1]	13:12	22:18 23:9	40:10	reporting [1]	23:21
43:12 45:1		9:5 14:1 17:18 18:2	14:10 21:19	pursuant [1]	41:1	40:20 45:20		reports [1]	19:7
percent [5]	14:9	35:24	₹1,12	put [7] 15:3	15:5	recommendati 16:4 17:1	011 [2]		12:19
14:10 15:22 20:14	19:19	preparation [2]	10:23	23:24 24:18 37:13 47:23	25:15	16:4 17:1 recommendati	กละกา	requested [2] 49:5	29:6
percentage [3]	14.4	11:13		51.15 41.23		24:14	on9 [1]	49:5 require [1]	29:13
19:21 20:11	≁ 1. T	prescribe [1]	21:25					endreven [1]	L. L.
I		<u> </u>		1				1	

FLOWERS, VERSAGI & CAMPBELL 216-771-8018

required - tried

				Multi-P	age			require	d - tried
required [1]	33:7	runs [1] 35:5		sickle [29]	6:2	spray [1]	42:22	8:9	
requirement				10:16 13:11	13:15	SS [2] 46:20	50:1	technically [1]	36:10
8:3 8:5	48:17	-S-		14:2 14:5	14:15	start [2] 45:24	45:24	telephone [2]	12:6
requirements	[1]	salt [1] 42:22		14:18 16:22 18:9 20:23	17:4 21:22	state [5] 5:9	29:12	40:3	
8:6		Saxton [8]	15:3	22:1 24:17	29:4	50:1 50:4	50:23	tells [1] 41:19	
research [5]	6:2	18:16 21:2	21:3	29:10 29:20	29:21	statement [1]	18:20	ten [3] 27:24	28:4
7.5 7:14	17:15	22:18 29:2	40:3	31:6 31:24	32:1	States [6]	7:10	28:5	
18:10	<i>c</i>	41:13		32:4 33:16	37:1	7:22 12:18	16:19	terminated [1]	10:9
Reserve [1]	6:10	Saxton's [2]	10:25	38:11 38:12 44:10	43:21	20:1 20:10		terms [3]	20:11
resident [1]	6:14	11:4			10.10	statistical [1]	19:20	36:11 43:24	
resistance [6]	15:23	Saxtons [6]	35:23	sickler [1]	19:17	steady [1]	14:8	test [4] 31:5	31:7
17:25 18:12 20:21 22:16	18:19	37:5 38:6	40:7	sicklers [3] 15:19 19:14	12:20	stenotypy [1]	50:9	31:20 31:25	
resistant [7]	15:12	40:9 40:11			47.24	still [2] 42:25	44:4	testify [2]	26:5
15:14 18:5	13:12	says [9] 29:12	30:22	signature [2] 48:21	47:24	strains [1]	18:5	50:7	
19:10 19:15	22:12	32:20 33:4 36:24 38:5	33:8 45:3	signed [1]	48:18	strike [1]	22:24	testimony [3]	43:14
resolved [1]	5:6	46:19	70.0	significant [1]		students [1]	7:20	50:8 50:12	
respect [3]	15:20	scenario [1]	44:25			studied [1]	15:20	tests [6] 26:7	26:10
17:17 19:14		School [2]	6:10	simple [1]	15:15	studies [4]	7:15	26:11 26:16 28:13	28:9
respiratory [3]	26:15	7:18	0.10	simpler [1]	9:14	15:21 19:25	20:9	Thank [1]	47:25
48:7 48:9		screening [2]	31:4	simply [1]	29:3	study [1]	20:10		
response [1]	30:2	31:7	Ψ.2.T	Sinai [1]	8:23	subject [2]	20:10	Thanks [1]	47:24
responsibiliti		seal [1] 50:20		sinusitis [1]	42:19	33:19	20,21	therapy [1]	32:17
8:8	· - L-J	second [3]	10:1	sit [1] 25:3		subscribed [2]	30.10	therefore [1]	24:8
responsibility	y [1]	21:7 46:25		sitting [1]	41:11	49:21	20.10	they've [1]	43:13
29:9		section [1]	12:7	situation [4]	37:3	subscriber [2]	37:15	third [1] 24:2	
responsible [1	J 36:18	security [1]	16:2	41:4 41:5	43:18	37:16		thought [7]	9:21
result [2]	7:5	see [12] 6:21	26:10	six [1] 47:20		Subsequent [1]	6:13	15:7 21:9	24:10
24:14		34:16 35:23	36:22	size [3] 43:25	48:3	substance [1]	31:18	26:2 39:11	39:18
retain [1]	14:15	40:24 45:12	45:13	48:8		such rat 5:1		three [2] 18:3	47:19
retained [1]	9:15	45:14 46:5	47:18	Society [1]	29:20	sued [2] 10:1	10:7	through [9] 7:20 7:21	6:5 28:4
retract [1]	15:4	47:20		soft [1] 44:6		suggest [3]	26:18	31:24 32:19	42:12
review [11]	10:24	seem [1] 47:7		someone [1]	20:19	45:20 47:15	<i>4</i> 0,10	44:11 49:2	74.14
11:3 11:8	11:13	send [2] 33:11	45:17	sometimes [4]	13:6	suggested [2]	13:10	throughout [1]	13:4
12:17 12:23	13:3	sending [1]	31:10	16:2 19:8	28:16	42:18		timely [1]	23:21
24:24 33:4 41:3	40:21	sense [5]	16:2	somewhere [1]	26:1	suggests [1]	26:21	tissue [1]	26:17
reviewed [10]	10.05	24:7 32:21 47:8	40:8	SOTTY [5]	27:11	suit [1] 10:5			12:14
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24:23 33:14	33:17	sent [3] 31:13 33:10	32:24	45:14		sum [1] 31:18		today's [1]	10:23
37:19 46:21	46:21	serve [1]	9:11	sort [2] 12:20	24:9	summary [1]	16:12	too [4] 16:3	24:9
reviewing [1]	9:20	service [9]	35:5	sounds [1]	32:9	summation [1]		24:17 32:14	
rhinorrhea [1]	42:20	35:6 35:19	35:5 35:22	speak [3]	11:22		48:3		30:8
rhonchi [1]	47:13	36:8 37:15	37:17	38:14 38:16	05.10	supplied [1]	40.5 34:1	38:15 38:19	-
right [16]	8:22	45:5 47:23		speaking [2] 37:1	25:19		13:24	total [1] 48:8	
13:5 24:22	25:5	Services [5]	7:11	special [1]	7:20	17:21	1.7.24		37:13
26:25 28:18	29:7	7:16 16:20	17:3	{ ^	7:20	surrounding [1]			44:21
31:25 32:8	32:9	17:8				36:25	1		8:11
32:18 32:22 36:9 46:8	36:5 48:4	session [2]	32:4	specialty [1]	45:9		28:6		6:1
risk [3] 19:15	19:17	32:6	20.10	specific [8] 18:1 19:23	13:6 20:8		49:21	6:6 8:10	
23:24	×2, ×1	sessions [1]	32:10	22:18 32:25	40:10	50:6		transcribed [1]	50:10
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50:22	·	sets [1] 35:8		specifically [3]		43:3		50:11	
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room [5]	9:2	seven-day [1]	48:17	specified [1]	50:15		25:8	treat [3] 15:14	16:11
37:13 38:22	39:1	several [1]	12:4	spent [1]	46:9	25:15 25:25		47:22	
39:20		shared [1]	6:20	spleen [9]	43:7	system [1]	33:4		17:4
Roth [3] 12:11	25:22	shook [2]	29:1	43:15 43:19	43:20			43:3 47:16	
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trying [6]	7:2	water [1]	42:22		
13:7 21:4	22:4	Waterhouse-Frid			
24:5 45:1 tumor[1]	7:4	[2] 25:8 25:25	i .		
twice [1]	16:22	week [1]	42:23		
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Tylenol [1]	40:15	WHEREOF [1 50:19]		
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6:10 11:4 11:10 36:5	11:5 44:24	write [5] 30:18 34:8 43:12	30:17 36:19		
untreatable [1] untypable [1]		writing [6]	6:2		
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upside-down					
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28:6 28:11	28:12				
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41:21 viruses [2] 26:16	25:16				
visit [4] 32:12 43:23 46:23	43:15				
vitae [2] 5:14 vomiting [1]	5:16 42:24				

CURRICULUM VITAE DORIS A. EVANS, M.D. March, 1999

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name	DORIS ANITA EVANS, M.D.
social security number	324-36-5527
place/date of birth	CHICAGO, ILLINOIS MARCH 9, 1943
address	home 3290 RUMSON ROAD CLEVELAND HEIGHTS, OH 44118 (216) 321-0123 business EVANS, MACHLUP, COUTINHO AND ASSOCIATES 29001 CEDAR ROAD LYNDHURST, OH 44124 (440) 446-9991
education	
	A.B. BIOLOGY THE UNIVERSITY OF CHICAGO, 1963 (Dean's List standing, 1961)
	M.D. CASE WESTERN RESERVE UNIVERSITY, 1968 (LOA 1966-67, National Institutes of Health, Fellow) (Lubizol Award for excellence in patient care at graduation)
	INTERNSHIP CHILDRENS HOSPITAL OF PHILADELPHIA, 1968-69
	RESIDENCY CLEVELAND METROPOLITAN GENERAL HOSPITAL, PEDIATRICS, 1969-71
	FELLOWSHIP RAINBOW BABIES AND CHILDRENS HOSPITAL, PEDIATRIC CARDIOLOGY, 1971-73
	OHIO STATE UNIVERSITY HEALTH CARE FINANCIAL MANAGEMENT (ONE WEEK COURSE), 1976



education (ct'd.)

CASE WESTERN RESERVE UNIVERSITY WEATHERHEAD SCHOOL ECONOMICS 401 AND ACCOUNTING 401 1977

CORPORATE DIRECTORS' TRAINING SEMINAR CALIFORNIA INSTITUTE OF TECHNOLOGY, PASADENA 1980

professional appointments

CWRU Appointments

- 1996-present Clinical Professor of Pediatrics
- 1985-1996 Associate Clinical Professor of Pediatrics
- 1986 Interim Associate Dean for Student Affairs
- 1982-85 Assistant Clinical Professor of Pediatrics
- 1982-84 Co-preceptor, Phase I Clinical Science
- 1974-1982 Assistant Professor of Pediatrics
- 1974-83 Assistant Professor Epidemiology
- 1973-74 Assistant Dean for Student Affairs
- 1973-74 Senior Instructor in Pediatrics
- 1972-73 Assistant to the Dean for Student Affairs

Hospital Appointments (alphabetical)

- Booth Memorial Hospital
 - Staff Member 1974-89
- Cleveland Metro Health Center Visiting Assistant 1971-87
- Forest City Hospital Staff Member 1974-78
- Health Hill Hospital
 - Provisional Staff Member 1983-85
- Meridia Hillcrest Hospital
 - Staff Member 1975-78; 1983-present
- Mt. Sinai Medical Center
 - Staff Member 1977-present
- Saint Luke's Hospital
 - Staff Member 1982-1990
- University Hospitals of Cleveland Assistant Pediatrician 1973-present

Additional Institutional Appointments

1982-present *Doris A. Evans, M.D., Inc.*, (dba Evans, Machlup, Coutinho and Associates), President

- 1987 Interim Pediatrician MacDonald House Hospital
- 1984-1986 Saint Luke's Hospital, Director, Inpatient Pediatrics
- 1983-1985 Mayfield City School System, Pediatrician
- 1982-1984 Hough Norwood Family Health Care Centers Pediatrician, Part time
- 1974-1982 The Glenville Health Association
 - 1977-82 Executive Director
 - 1974-82 Practicing Pediatrician
 - + 1974-79 Director of Pediatrics
 - 1974-78 M.I.G.H.T. Medical Group, Inc.
 President and Business Manager
 - 1976-77 Interim Director

1966-67 *National Institutes of Health, Cancer Institute* Fellow (LOA from CWRU School of Medicine) 1965 *Allergy Foundation of America*, Fellow

honors and awards

1998 •Eleanor M.Hosley Memorial Award (Hanna Perkins School and Cleveland Center for Research in Child Development)

- 1995 +YWCA Women of Achievement Award
- 1993 •Yard Selected for first Annual Forest Hill Historic Preservation Society Garden Tour

1987

- Outstanding Physician, Cleveland Magazine
- *Distinguished Woman in Science, Shaw High School
- *Best Yard Award, Forest Hill Homeowner's Association
- 1983
- Womenspace Award for Outstanding Achievement

1982

- *Distinguished Service, City Council of Cleveland
- Outstanding Service, Cuyahoga County Commissioners
- •Candace Award, National Coalition of 100 Black Women
- 1981

*Womenspace Award for Outstanding Achievement

honors and awards (ct'd.)

1979

•Glenville Health Association Trustee's Award for Exemplary Service and Achievements

1976

Outstanding Young Woman of America

1975

- *Greater Cleveland Growth Association Award for Contributions to the Greater Cleveland Community
- *Distinguished Service Award, Cleveland State University
- +Middleton H. Lambright Society, Inc. Service Award
- •Outstanding Achievement, National Council of Negro Women
- 1974
- +Woman of the Year, Eta Phi Beta Sorority
- +Woman of the Year, National Association of Negro Business and Professional Women's' Club
- 1973
- City of Cleveland, Appreciation Award for Work with Minority Medical Students

1968

 Lubrizol Foundation Award for Excellence in Patient Care Case Western Reserve University, School of Medicine

1961

Dean's List Standing, The University of Chicago

grants

1978-82

 National Health Service Corps Contract for Physicians and Dentists, Bureau of Health Manpower, U.S. Government

1979-82

- *General Support Purposes, The Glenville Health Association, *City Block Grant, City of Cleveland*
 - Mental Health Services at the Glenville Health Association
 United Way Services

C.V. D.A. Evans, M.D.5

grants (ct'd.)

1974-82

M.I.G.H.T. (Movement for Improved Glenville Health Today), Startup and Operating Support, Glenville Health Association, *Robert Wood* Johnson Foundation

1981

•Technical Assistance and Program Development (Surgery and Ob-Gyn) for the Glenville Health Association, *the Cleveland Foundation*

1980-81

 Development of a Parenting Skills Educational Program at the Glenville Health Association, the Gund Foundation

1972-74

 Improving Opportunities for Minorities in Medicine, Grant #D08PE0046-01, U.S. Bureau of Health Manpower Education (co-investigator, Edgar B. Jackson, Jr., M.D.)

1966-67

 An Investigation of Burkitt's Lymphoma, National Institutes of Health, Cancer Institute

licensure and board certification

1980 Supervised Examination for Recertification (Topics) successful completion
1974 American Board of Pediatrics, Diplomate, by written and oral examination, #16856
1968 Ohio State Medical Board FLEX, #35-03-0913
1966-67 National Board of Medical Examiners Parts I and II successfully completed, Part III not taken

professional service

Professional Societies

1974-present *American Academy of Pediatrics, Fellow (F.A.A.P.) *Northern Ohio Pediatric Society 1973-present professional service (ct'd.)

Professional Societies (ct'd.)

Cleveland Medical Association

1973-87

Cleveland Academy of Medicine

1973-76

 Alumni Cabinet, the University of Chicago 1971-76

♦Middleton H. Lambright Society, Inc.,

President

Advisory Groups

1990-92

Health Commission, City of Cleveland

1989-90

◆Mayoral (Michael White) Health and Human Services Task Force, City of Cleveland

1986

 Gubernatorial (Richard Celeste - Ohio) Citizen's Committee to Monitor Programs for the Mentally Retarded

1976-78

 Task Force on Problems Related to Minority Medical Education, Vice Chairperson (Chairperson, June, 1977), Association of American Medical Colleges

1977

 Task Force to Assess Minority Medical Student Program at Wayne State University

Committees

Case Western Reserve University School of Medicine

1994-present

Minority Advisory Committee to the Dean

1986-92

Committee on Students

1989-90 Search Committee for Dean and Vice President School of Medicine

1988 Ad Hoc Committee on Human Values

1976-82 Admissions Advisory Committee

1973-77 Medical Alumni Association

Secretary-Treasurer, 1976-77

professional service (ct'd.)

Committees (ct'd.)

Case Western Reserve University School of Medicine (ct'd.) 1972-77 Committee on Students

Department of Pediatrics, Case Western Reserve University School of Medicine

1992-95 Search Committee for Director, Department of Pediatrics and Director of Pediatrics at University Hospitals

Hospitals

1996-present

•Appointment, Promotions and Tenure Committee, Rainbow Babies and Children's Hospital

1990-92

 Interdepartmental Communications Task Force Rainbow Babies and Children's Hospital

1989(Jan)-90(Dec)

Collaborative Management Committee,

Mac 3, University Hospitals of Cleveland

•Pediatric Clinical Advisory Committee, Co-chair,

1989-90 Rainbow Babies and Children's

Hospital Subsequently renamed:

Interdepartmental Communications Task Force

1988-90 Peer Review Committee, Saint Luke's Hospital

1989 Ad Hoc Committee for Primary Care Unit Design

community (boards and committees)

For Profit Corporations

1998 -present

•KeyBank, Director CRA Line of Business Board 1996-1998

•KeyBank, Director and Trust Committee

1992-96

 Society National Bank, Director and Executive Committee

1986-92 Ameritrust Development Bank

Credit and Asset Review Committee 1986-92
Audit Committee 1989-92, Chairperson

1974-92 Ameritrust Corporation (formerly Cleveland Trust)/

Ameritrust Company National Association

+Director, 1974-92

+Audit Committee, 1975-86 (Chairperson 1983-86)

+Loan Review Committee 1975-80

Public Policy Committee 1980-83, 1989

community (boards and committees) (ct'd.)

For Profit Corporations (ct.'d.)

- +Credit Committee 1988-90
- Corporate Risk Policy Committee 1990-92
- Consumer Committee 1991-92, Chairperson
- Executive Committee, multiple terms

Nonprofit Corporations

1994-present

•Chair, Owen L. Heggs Golf Memorial Committee 1993-1994

Executive Committee of 1994 Billy Graham Crusade
 1992-present

•Distribution Committee (Vice Chair, 1994-95)

the Cleveland Foundation:

three subcommittees:

-Civic Affairs and Economic

- Development
- -Cultural Affairs, Chair 1994-present
- -Investment and Development(1992-96)

-Nominating, Chair, 1999

1991-1996

Ombudsteam on Sexual Misconduct,

Presbytery of the Western Reserve (1991-96)

•Cuyahoga Community College, Trustee (1991-96)

Academic and Student Affairs Committee

(Chair, 1995)

Management Committee

+Salvation Army, Trustee (1991-1994)

*Trustee, WVIZ Public T.V., Channel 25 (1991-94)

1989-present Fairmount Presbyterian Church

*Co-chair Evangelism Council 1996-1998

•Director, Capital Stewardship Campaign, 1995-96

•Pipe Organ Committee, 1995-96

*Elder 1995-1998; Deacon 1990-1993

Council of Mission for Church Life 1990-93

+Chairperson, Assimilation Committee 1992

+Vice-Chair, APNC, 1993

Committee on Race Relations, 1989-1993Mission Study 1989-90

1966-present National Association for the Advancement of Colored People (N.A.A.C.P.), Life Member, April, '90

1987 Capital Budget Advisory Committee to the School Board Superintendent, Cleveland

C.V. D.A. Evans, M.D.9

community (boards and committees, ct'd.)

Nonprofit Corporations (ct'd.)

1982-86 Hanna Perkins School, Trustee, vice-president, 1986

1984-85 Cleveland Health Education Museum, Trustee

1983-85 Heart Health in the Young Subcommittee

1983-84 Committee on Health Care for the Indigent, Federation for Community Planning

1982-83 Long Range Planning Committee, Glenville Health Association

1978-82 Cleveland Urban Area Health Education Center

+Personnel Committee, 1979-82

+Audit Committee 1979-82

Education Committee 1979-82

1977-82 Group Health Plan of Northeast Ohio

Medical Advisory Committee

Executive Committee

+Finance Committee

1974-82 Glenville Health Association, Ex-officio Trustee

1976-80 Citizen's League

1972-79 Fund Raising Committee National Medical Association

1977-78 Institute for Child Advocacy, Trustee 1976-78

Metropolitan Health Planning Corporation

•Committee on Maternal and Child Health

1977-78 Urban League

1976-77 Dermatology Foundation of America

1973-77 Sickle Cell Medical Advisory Committee of Cleveland Academy of Medicine

1972-76 Cleveland Chapter of CAP (Caring About People)

1963-65 Congress of Racial Equality

educational activities (summary of major teaching activities)

1999- In Office Infant Observation for Hanna Perkins/CCRCD Trainees

1994-present

•MAP for Health Careers Enhancement Program for Minorities (HCEM)

1993-1998 Preceptor 10 hours/month Rainbow Babies and Childrens Hospital Ambulatory Clinic

1992

 MAP for Health Careers Enhancement Program for Minorities (HCEM)

MAP for CWRU first year medical student

C.V. D.A. Evans, M.D. 10

educational activities (summary of major teaching activities) (ct'd.)

- Core Clerkship Ambulatory Rotation for CWRU third year medical student
- Mentorship for Laurel High School Student
- 1991
 - ♦MAP for HCEM
 - Attending 6W Rainbow Babies and Childrens Hospital
- 1990
- MAP for HCEM
- *Attending 6W Rainbow Babies and Childrens Hospital
- 1989
 - Instructional Videotaping on subjects of :
 - 1. Importance of Team Work and Communication
 - 2. Live Demonstration of History Taking and Physical Examination

Done at the invitation of the Audiovisual Dept. UH and Rainbow Babies and Childrens Hospital

- Attending at Mt. Sinai Hospital
- 1986-1989

+Discussion Leader for weekly psychosocial rounds at

- Saint Luke's Hospital, Department of Pediatrics
- 1982-87
 - Attending, 2-4 weeks each year, Mt. Sinai Hospital, Department of Pediatrics
- 1983-86

Instructor, at the practice of Evans and Machlup, for

the Behavioral Pediatric Rotation for Rainbow Babies and Childrens Hospital

1984

 Co-instructor, instructional videotaping on the subject of Techniques in Interviewing and Examination, Phase I Clinical Science, Educational Media Department, Case Western Reserve University, School of Medicine

1982-84

- Co-preceptor, Phase I Clinical Science, Case Western Reserve University School of Medicine
- Instructor, Fundamentals of Pediatric Cardiology at Mt.
 Sinai Medical Center
- 1974-82
 - Director of Phase III Ambulatory Clerkship in Pediatrics at the Glenville Health Association
- 1975
 - Co-attending at Rainbow Babies and Childrens Hospital, 6W

educational activities (summary of major teaching activities) (ct'd.)

1973

 Instructor, Pediatric Cardiology Clinic, Rainbow Babies and Childrens Hospital

publications

(Peer Reviewed)

Evans, D. A. and Jackson, E.B., Deans of Minority Student Affairs in Medical Schools. <u>Journal of Medical Education</u>, Volume 51, 197-99, 1976.

Evans, D. A. et al. Traditional Criteria as Predictors of Minority Student Success in Medical School. <u>Journal of Medical Education</u>, Volume 50, 934-39, 1975.

Evans, D. A., Digoxin Maintenance Therapy-Once a Day in Infants and Older Children. <u>Proceedings of the 3rd Annual RB&C Science</u> <u>Day</u>, 1973. Rainbow Babies and Childrens Hospital

Evans, D. A., The Natural History of Simple Ventricular Septal Defect (Abstract). <u>Pediatric Research</u>, Volume 7, 302, 1973.

Evans, D. A., The Lymphocyte Stimulation Test in Penicillin Hypersensitivity. <u>Journal of Allergy</u>, Volume 39, 340-46, 1967.

Evans, D. A., The Lymphocyte Stimulation Test in Penicillin Hypersensitivity (Abstract). <u>Clinical Research</u>, Volume 4, 267, 1966.

(Non-peer Reviewed)

Evans, D. A. A Crying Child's Tears - Whose Are They? <u>Case</u> <u>Western Reserve University Medical Alumni Bulletin</u>, Volume LIV #3, 19-20, Fall, 1990.

Evans, D. A., Set Example on Apartheid. <u>Cleveland Plain Dealer</u>, April 28, 1985.

Evans, D. A. and Machlup, M. R., Kid-Care, A biweekly column, <u>Sun Press Newspaper</u>, University Heights, OH, 1984-87.

publications (ct'd.)

Non-peer Reviewed (ct'd.)

Evans, D. A., Doctor, He's so Bad. <u>24th Ward News,</u> Cleveland, OH, February 16, 1980.

Evans, D. A. Human Reclamation and Community Restoration: the Engagement of the Glenville Health Association and St. Aloysius Church. <u>St. Aloysius Church Magazine</u> Cleveland, OH, 1976.

invited papers/workshops/short courses, etc.

Speeches (note, only recent speeches listed)

- 1997 Panel leader and speaker, City Club Cleveland Bicentennial speech on Issues in Health Care Delivery and Societal Health
- 1994 •"Medical Ethics," Fairmount Presbyterian Church

1993

 "The State of Child Health in the U.S."
 United Cultural Society, Laurel School February 5, 1992. Shaker Heights, OH

1992

 "What's Happening in America? How Close is Los Angeles? Central City Realities Today." Adult Education Forum, Fairmount Presbyterian Church June 21, 1992. Cleveland Heights, OH

•"Who Am I ?"

Minority Recognition Ceremony, Black Faculty, Staff and Administrators Organization, Case Western Reserve University May 1, 1992. Cleveland, OH

 "Spend on Children and Save the Nation" Cleveland Chapter of the Ohio Society of CPA's The Union Club February 18, 1992. Cleveland, OH

1991

Panel Discussant Adult Education Series Fairmount Presbyterian Church

October 20, 1991. Cleveland Hts., OH

- *"For the Lack of Love Alone, the Plight of the American Child Today"
 Executive MBA Class, Weatherhead School Case Western Reserve University April 19, 1991. Cleveland, OH
- "Power in Health Systems, Who Has It and Who Should?" Adult Education Series Fairmount Presbyterian Church January 27, 1991. Cleveland Hts., OH
- 1990

+"The Math Path to Medicine"

Second Annual Greater Cleveland Sonia Kovalevsky High School Mathematics Day. Cleveland State University. November 17, 1990

Workshops

1993

 "When Children Use Racial Slurs" Workshop Co-leader Unity Conference Cleveland State University January 29, 1993

Interviews

1993 •"Serving little patients and big boards" Interviewed by <u>Corporate Cleveland</u> magazine, June, 1993

1990

 *"I didn't do it, Dispelling the Myth of the Terrible 2's" Interviewed by <u>Outreach</u>, magazine of Saint Luke's Hospital Association, Volume 3 #2, 10-11,13. Fall, 1990. Cleveland, OH