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1	IN THE COURT OF COMMON PLEAS	
2	HANCOCK COUNTY, OHIO	
3	REBECCA DEVINE :	
. 4	and :	
5	DEREK DEVINE :	
6	Plaintiffs :	
7	vs. : Case No. 98-99-PT	
8	BLANCHARD VALLEY MEDICAL :	
9	ASSOCIATES, INC., and :	
10	RICK D. WATSON, M.D., :	
11	Individually, c/o :	
12	BLANCHARD VALLEY MEDICAL :	
13	ASSOCIATES, INC., and :	
14	FRANK R. COSIANO, M.D., :	
15	INC., COSIANO, M.D., and :	
16	FRANK R. COSIANO, M.D., : Pages 1 - 115	
17	Individually, and ERNESTO :	
18	ECHAVARRE, M.D., and :	
19	ERNESTO ECHAVARRE, M.D., :	
20	Individually, and :	
21	BLANCHARD VALLEY REGIONAL :	
	ESQUIRE DEPOSITION SERVICES	

HEALTH CENTER, INC., : f.k.a. BLANCHARD VALLEY : HOSPITAL, and JOHN DOE, : and JOHN DOE CORPORATION : Defendants : Deposition of DAVID S. ETTINGER, M.D. Baltimore, Maryland Monday, April 19, 1999 Reported by: Beatriz D. Fefel, RPR. ESQUIRE DEPOSITION SERVICES

April 19, 1999 1:50 p.m. Deposition of David S. Ettinger, M.D., held at the offices of: Johns Hopkins Hospital 600 North Wolf Street Oncology Center Room 147 Baltimore, MD 21287 Pursuant to notice, before Beatriz D. Fefel, RPR, a Notary Public of the State of Maryland. ESQUIRE DEPOSITION SERVICES

4 1 **APPEARANCES:** 2 Spangenberg, Shibley & Liber, L.L.P. 3 4 For the Plaintiffs REBECCA AND DEREK DEVINE 5 1900 East Ninth Street 6 2400 National City Center 7 Cleveland, OH 44114-3400 8 (216) 696-3232 9 BY: Justin F. Madden, Esq. 10 11 12 Buckley, King & Bluso For the Defendants BLANCHARD VALLEY MEDICAL ASSOCIATES 13 14 RICK D. WATSON, M.D. 15 420 Madison Avenue 16 The Ohio Building, Suite 1100 17 Toledo, OH 43604 (419) 254-4300 18 19 BY: Nancy D. Moody, Esq. 20 21 ESQUIRE DEPOSITION SERVICES

1 Appearances (continued): 2 Gooding, Huffman, Kelley & Becker 3 For the Defendant FRANK R. COSIANO, M.D. 4 5 127-129 North Pierce Street 6 P. O. Box 546 Lima, OH 45802 7 8 (419) 227-3423 9 BY: Lawrence S. Huffman, Esq. 10 11 12 Eastman & Smith, Ltd. 13 For the Defendant ERNESTO ECHAVARRE, M.D. 14 One SeaGate, 24th Floor 15 P. O. Box 10032 16 Toledo, OH 43699-0032 17 (419) 241-6000 18 BY: Peter R. Casey, III, Esq. 19 20 21 ESQUIRE DEPOSITION SERVICES

CONTENTS EXAMINATION OF DAVID S. ETTINGER, M.D., BY: PAGE: EXHIBITS ETTINGER DEPOSITION EXHIBITS: PAGE: 1 - List of records reviewed . . . . . . . . 2 - Handwritten notes. . . . . . . . . . . . . . . 3 - Hourly billing . . . . . . . . . . . . . (Exhibits are attached to the transcript.) ESQUIRE DEPOSITION SERVICES

1. Thereupon, 2 DAVID S. ETTINGER, M.D. a Witness, called for oral examination by counsel for 3 the Defendant, Ernesto Echavarre, M.D., having been 4 first duly sworn by the Notary Public, was examined 5 6 and testified as follows: EXAMINATION BY COUNSEL FOR THE DEFENDANT ECHAVARRE 7 BY MR. CASEY: 8 Would you please state for the record your 9 0 full name? 10 11 David S. Ettinger. Α 12 0 And you are a medical doctor? 13 I'm a medical doctor. Α 14 Doctor, my name is Pete Casey; we've been Q previously introduced. And Lawrence Huffman and Nancy 15 16 Moody are here for the other Defendants, and Justin Madden for the Plaintiffs. We are here today to take 17 a discovery deposition of you. I think that you have 18 given depositions in the past, have you not? 19 20 Α I have. 21 So you're familiar with this process? Q ESQUIRE DEPOSITION SERVICES

1	A Yes.
2	Q And if there's anything, therefore, that I
3	ask you, or any of us ask you that you don't follow us
4	or you think there's any confusion, you'd like
5	clarification, please stop us and we will try to do
6	that.
7	Please let me finish a question before you
8	start an answer. By the same token, I'll try and do
9	the same for you. Please give verbal responses as
10	opposed to nods or umh-humh, hunh-unh.
11	First of all, I should have asked you a
12	little earlier, are you under any I don't think
13	that this will be a long deposition, but are you under
14	any time constraints
15	A No.
16	Q this afternoon? Okay.
17	And we are here at your office at Johns
18	Hopkins Hospital?
19	A Oncology Center, yes.
20	Q Oncology Center. And the address here is?
21	A 600 North Wolfe Street, Baltimore, Maryland,
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1 21287. And as I indicated earlier, you are a 2 Q medical doctor and you work in the specialty of 3 4 oncology --5 А That's correct. 6 0 -- is that correct? 7 And I have a forty-five-page CV. 8 MR. CASEY: I'm not sure, did you get us this, Justin? 9 10 MR. MADDEN: Yes. That I'll show you just to find out from you 11 Q 12 if that is relatively current. No, there is one that has three more pages, 13 Α 14 but this is, goes up I think to '95. Would it be possible for us -- do you have 15 Q 16 that? 17 Let's see if I can get one. Α 18 It doesn't have to be now. 0 19 That's okay. А 20 (Discussion off the record.) 21 (By Mr. Casey) There's quite a few Q ESQUIRE DEPOSITION SERVICES

1 additions to it. 2 А Umh-humh. 3 MR. CASEY: Should we mark this, I 4guess? Do we need to? 5 MS. MOODY: I don't think so. MR. CASEY: I'll see that you all get 6 the additional, extra pages. 7 8 MR. HUFFMAN: That's fine. Thank you. (By Mr. Casey) The CV that you have 9 0 provided us now is up to date in terms of your 10 11 positions, your appointments, what you're currently 12 doing? Except for one position. As of June -- as 13 Α of July, rather, of '98, I've kept my position as 14Associate Director for Clinical Research but I gave up 15 16 the position as Associate Director for Clinical 17 Affairs. 18 0 Oh, okay. That would have been an 19 administrative position that you hold? 20 Yes, both administrative. Α 21 Q All right. The CV certainly lists with ESQUIRE DEPOSITION SERVICES

particularity where you've been over the years, where 1 you went to medical school. When did you become 2 Board-certified in -- you are Board-certified first in 3 4 internal medicine? 5 Α Yes. 6 Q And then in oncology? Yes, the next year, the year after. 7 Α Any other specialties or subspecialties? 8 Q 9 Α No. Are all of the licensing information, are 10 Q 11 these all active licenses? No, the only one that's active is Maryland. 12 Α 13 Q Maryland, okay. All right. And you became a Diplomat in the 14 American Board of Internal Medicine in '96 and 15 16 Oncology, as you say, in '97, a year later? 17 I think '76. Α 18 What did I say? Q '96. I think it's '76. 19 А 20 '76 and '77? Q 21 Α Yes. ESQUIRE DEPOSITION SERVICES

Okay. You have been here at Johns Hopkins 1 0 2 since -- well, for how long? Since '73. I did my Fellowship here from 3 Α '73 to '75, and then stayed on the faculty. 4 5 What is your title here? Q Well, my administrative title is Associate 6 Α 7 Director of Clinical Research. I'm a professor of oncology and medicine at the Johns Hopkins School of 8 Medicine, University School of Medicine, and I'm an 9 10 associate professor of gynecology, obstetrics and otolaryngology and head and neck surgery in the same 11 school. And the latter two appointments are because 12 when I was younger, I treated head and neck cancer and 13 ovarian cancer. I don't make the salary of a surgeon. 14 15 Is there any private practice Q Okay. 16 involved in your current activities? 17 Α No. I see patients, but I don't have a 18 private practice. 19 Q Through the hospital? 20 Α Through the hospital, that's correct. All right. I understand from having taken a 21 Q ESQUIRE DEPOSITION SERVICES

look at your CV that you are very heavily involved in 1 2 research, true? 3 Thirty percent of my time. Α All right. That's what I was going to ask 4 Ο you. If you could break down for us the time you 5 spend in research, actively seeing patients, clinical 6 practice, and any other duties that you might have. 7 Fifty percent effort in clinical practice, 8 А thirty percent effort in various activities of 9 research, and twenty percent activities in 10 11 administration and teaching. And in terms of the clinical practice, how 12 0 does that break down, particularly? Do you deal 13 particularly in lung cancer or other, all sorts of 14 15 cancers, or --Eighty percent is lung cancer and fifteen 16 А percent is sarcomas and five percent is everything 17 18 else. Would the majority of your research also be 19 Q 20 toward the area of lung cancer? Yes. Actually, initially it was drug 21 А ESQUIRE DEPOSITION SERVICES

1 development, but now it's drug development as well as 2 lung cancer, and some of it applies to lung cancer as 3 well.

Q I saw some articles on a new drug that I think you were involved in the clinical trials, and the name of it escapes me, but it was thought to be effective in lung cancer cases. Do you recall the name of that? It was fairly recently, '98.

A The drugs that I've been involved with in
lung cancer would be Topecan (phonetic) for small
cell, Taxol for non-small cell, as the major drugs,
and I've written on those areas, Carboplatin, as well
as other drugs that I've written on, but --

14 Q All right. Any of the drugs that you've 15 been involved in that proved particularly useful in 16 lung cancer?

17ATaxol/Carboplatin is the standard for non-18small cell lung cancer.

19 Q What was your involvement in that 20 development, clinical trials?

21

А

We -- yeah, clinical trials, but we

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developed, we did the phase one drug testing here, and 1 I happened to be the PI of the phase one contract here 2 in 19 -- we've been using that drug since 1984. 3 Is that a drug that was used with Rebecca 4 0 Devine, do you know, from looking at her records? 5 6 Α It was used, actually, sure, Taxol 7 Carboplatin. How long have you been engaged in reviewing 8 0 9 and offering testimony in medical, what I'll call 10 medical/legal matters? Since '85; my first case was my own patient. 11 Ά You testified for that patient? 12 Q 13 That's correct. Α All right. What's the scope of your 14 Q activity, say over the last three or four years, in 15 terms of reviewing and testifying? 16 17 А Oh, over the last four -- I mean --18 0 Or whatever time period you can --Over the time frame of fifteen years I may 19 Α have reviewed about a hundred and sixty-plus cases, 20 and I may have given -- and they are sixty percent for 21

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the plaintiff, forty percent for the defense. And I 1 may have given testimony in -- or a deposition, 2 rather, not testimony, a deposition in maybe sixty, 3 give or take a few, in that range. And then trial, 4 maybe thirty over that fifteen-year -- it's more than 5 6 that now. It's been fifteen years. 7 0 Have you done any prior reviews for Mr. Madden or anyone in his firm, which is Spangenberg, 8 9 Shibley & --10 MR. MADDEN: Liber. 11 0 -- Liber? I think I've done one. I don't remember. 12 Α Ι 13 had to ask him, actually. Was that for Mr. Madden, or for someone 14 Q 15 else? 16 Α No, Weinberger, I think. Peter Weinberger. Do you recall how long 17 Q 18 ago that other case would have been? 19 I don't, I don't. А 20 0 Do you recall having given testimony in any Ohio cases other than that one? I presume that was, 21 ESQUIRE DEPOSITION SERVICES

may have been an Ohio case for Peter Weinberger. Any 1 2 other Ohio cases other than that one? 3 I don't think I have given testimony. Α I've reviewed a record or two from Ohio, and the last one I 4 remember was for the defense. 5 6 Do you remember who that was, what attorney? Q I think John Irwin was the attorney; I think 7 А he's a doctor as well. And the person he was 8 defending happened to be a lawyer and a doctor, so it 9 10 was just lawyer first, then a doctor. I think Irwin 11 came in the other way; doctor first, then a lawyer. 12 Okay. What are your fees for reviewing a 0 13 case? 14 Α Well, this case started a year ago, so it's three hundred dollars. Now it's four hundred dollars 15 16 an hour. And your fee for giving deposition 17 Q 18 testimony? 19 Α The same. Everything is the same. 20 0 Four hundred dollars an hour? No, it's -- since we started on this one, 21 А

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1 it's three hundred dollars. 2 Oh, we've been grandfathered in then? 0 3 А Absolutely. And then should this matter go to trial, 4 Q 5 what? 6 Α The same thing. 7 The same thing? Q Portal to portal, though. 8 Α If this matter goes to trial, do you 9 0 Yeah. anticipate, or have you and Mr. Madden discussed 10 11 whether you'll be coming in live or --We haven't discussed it. I know that trial 12 Α begins May 31st. I know I'm going to be away. 13 Ι 14 think that's on the docket, isn't it? 15 MR. MADDEN: No, October. 16 А October. Whatever you want. Do you accept any reviews, any cases through 17 Q 18 expert services? 1.9 А No. You don't allow any services to use your 20 Q 21 name --ESQUIRE DEPOSITION SERVICES

1 А No. 2 -- or to contact you? Q 3 No. Α So whatever work you do in this area is done 4 0 on a attorney-to-doctor basis? 5 6 А By word of mouth. Did Mr. Madden have involvement in that case 7 0 that Peter, you had for Peter Weinberger, do you 8 remember? 9 10 I don't remember, to be honest with you. A MR. MADDEN: The answer is no to your 11 12 question, counsel. 13 MR. CASEY: You didn't have to answer that, but now that you have you have waived your right 14 15 to refuse to give us information. 16 All right. Doctor, when did you first come Q 17 to hear about, or were you contacted about this 18 matter? 19 February 2nd, 1998. Α And was that by telephone, or letter, or --20 Q 21 A By letter. ESQUIRE DEPOSITION SERVICES

Letter. Had there been some contact prior 1 0 to that date asking whether you would in fact take a 2 3 look at the case, or --4 А It could have been, but that I don't remember. 5 Do you have that letter here? May I take a 6 Q 7 quick look at it? 8 (Witness handing.) This letter would reflect that you had had a 9 0 discussion about this matter with Peter Weinberger? 10 Yes. Oh, it says by telephone? Okay. 11 A 12 Just discussed with you last week. 0 Okay. Then it must have been by phone. 13 А 14Okay. Over the course of time since you 0 first heard about this case, I presume that you have 15 received medical records and other materials? 16 17 Α Yes. 18 Do you by any chance have a listing of what Q 19 you have received? 20 (Witness handing.) 21 It would save us probably a lot of time if Q ESQUIRE DEPOSITION SERVICES

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1 we could -- do you need this? 2 Α No. 3 Is that for our purpose? 0 4 It's for your purposes. Α MR. CASEY: Shall we mark this one, or 5 6 just keep it? It's up to you guys. 7 MS. MOODY: Mark it. 8 (Document was marked Ettinger 9 Deposition Exhibit No. 1.) (By Mr. Casey) Are there any materials that 10 Q you have reviewed that do not -- this record includes, 11 what we've marked as Exhibit 1, actual medical records 12 from both Findlay and the Medical College of Ohio, 13 radiography and depositions. Is there anything that 14 you've reviewed that is not included on the listings 15 16 that you've given us? 17 Α No. Did you have to do any, or did you do any 18 Q research of any type in forming the opinions that 19 20 you're prepared to express in this case? 21 A No. ESQUIRE DEPOSITION SERVICES

I don't know if this will be helpful to you 1 0 2 or not, but just chronology-wise, Mr. Huffman 3 represents Doctor Cosiano --4 Α Right. -- Miss Moody represents Doctor Watson, and 5 Q I represent Doctor Echavarre and the hospital which 6 was in this case, has been dismissed. 7 I presume that we would not be gathered here 8 in your office today unless you had some opinions to 9 express, so I'll tell you the way I would like to 10 proceed at this point, if it's okay. 11 I would appreciate it if, starting with Doctor Cosiano, then 12 Doctor Watson, then Doctor Echavarre, if you could 13 just in a short-hand fashion give me a thumbnail, a 14quick sketch of your criticism or criticisms against 15 16 each individual doctor, and then we can go on and 17 discuss, if we need to, discuss them in greater detail. Would that be okay with you? 18 19 I'll just interject an MR. MADDEN: If you're going to ask for a thumbnail, he 20 objection. 21 may have other opinions that arise, I know you're ESQUIRE DEPOSITION SERVICES

going to follow up on it, I just don't want to be 1 2 precluded later on --3 MR. CASEY: I understand. Okay. In 1994, both Doctor Cosiano and then 4 А Doctor Watson, and then, of course, Doctor Cosiano saw 5 again the patient. An abnormality was seen on the 6 chest X-ray. There was a CAT scan that showed not 7 only the abnormality, but also an abnormality in the 8 hilar area and it wasn't followed up sufficiently, 9 that is, with appropriate tests; therefore, this was a 10 breach of the standard of care, in my opinion, by 11 12 Doctor Cosiano and Doctor Watson. And, similarly, in 1996, in January, when 13

Doctor Echavarre - excuse me if I pronounce his name 14 wrong - saw the patient again knowing the information 15 16 relating to the abnormality on the chest X-ray, and appropriate studies weren't done in January 1996; 17 therefore, there was a breach of the standard of care. 18 And when the patient was finally diagnosed in April of 19 '97, she lost a substantial chance for longevity. 20 21 Do you have any criticisms of Rebecca Bish-0

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1 Devine, Rebecca Bish-Devine?

23 💎

2	A It's my understanding, and, again, in the
3	deposition there was some mention made at one time
. 4	that she, one time she did not come back for a follow-
5	up visit, but other than that, I have no specific
6	questions or comments regarding Rebecca Bish other
7	than the fact I would have thought if there was an
8	abnormality on any chest X-ray, if I was sitting
9	there, I might be a little more insistent about what
10	was happening, why wasn't it being evaluated.
11	Q You mean putting yourself in her position?
12	A Yeah. But I'm a little more knowledgeable,
13	obviously, because I'm a physician.
14	Q The incident that you referred to, was that
15	failing to return to Doctor Watson's office?
16	A Yes.
17	Q Did you read the did you have the
18	deposition of Derek Devine? I see you did. Did you
19	read that deposition?
20	A I read it, yes.
21	Q All of them, all right.

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1 Before we get into -- are those notes that 2 you've made concerning this matter? These are summaries of the -- there's no 3 Α editorialization. These are notes from the chart. 4 All right. Well, why don't you continue to 5 0 go ahead and use those if you need to now, and we 6 7 can --8 A You can have my copy. 9 I can? 0 10 A Absolutely. 11 And this one, too? Q 12 And that's my, the hours I've put in. А 13 All right. Well, may we --Q 14 А You can have that, too. 15 (Documents were marked Ettinger 16 Deposition Exhibit Nos. 2 & 3.) 17 (By Mr. Casey) We are dealing here with 0 several specialties: we're dealing with Doctor Watson 18 who is a pulmonologist; Doctor Echavarre who is a 19 20 surgeon; Doctor Cosiano who is a family --21 MR. HUFFMAN: Family practitioner. ESQUIRE DEPOSITION SERVICES

-- family practitioner. You yourself are an 1 0 internist and oncologist. Do you feel able to testify 2 and give opinion testimony regarding the standard of 3 care that is applicable to these doctors in these 4 5 other specialties? 6 А Yes, I can. 7 Q Is that because it involves the area of 8 cancer, and particularly lung cancer? 9 Ά Yes. Do you also expect to give opinion testimony 10 Q regarding changes in prognosis depending upon what 11 something, if something had been done differently at 12 13 certain periods of time? 14 А Yes. 15 All right. Doctor Cosiano -- well, what's 0 16 your understanding as to how long Rebecca Devine had been experiencing respiratory difficulties prior to 17 18 her first seeing Doctor Cosiano for that? 19 I thought it was sometime in 1993, although Α my notes start, at least what I wrote down, October 20 21 1994. ESQUIRE DEPOSITION SERVICES

And what type of complaint did she have then 1 Q 2 at that, in that area? In October of 1994 she complained of a sore 3 Α neck and wheezing. 4 Her complaint of the sore neck, does that, 5 0 6 is that indicative of any subsequent problem that she 7 had? 8 Α Not that I know of. 9 Or was that just a collateral symptom? Q 10 А A collateral symptom. 11 Q All right. Do you see the wheezing as of that time as being significant in terms of her later 12 13 history? 14 А Absolutely. Could you explain why, in what way and why 15 0 16 you feel it's significant? Well, I think you have to explain wheezing 17 А as a symptom of a number of diseases, both benign and 18 malignant, and you have to try to explain why a person 19 wheezes. If it's infectious, for example, like 20 asthma, one can wheeze. If you have an obstructing 21 ESQUIRE DEPOSITION SERVICES

lung lesion or compression of a bronchus, one can 1 2 So there are many reasons why one can wheeze wheeze. and it behooves the physician if a patient is 3 complaining of that and the patient's being seen by 4 the physician for that, to explain it. 5 Is it your opinion that her wheezing back 6 0 when she first saw Doctor Cosiano for it and he sent 7 her to Doctor Watson was in fact because of an 8 obstruction of the bronchus or of some lung lesion, as 9 10 you just stated? 11 Α Or from some lung lesion. Do you have an opinion as to what the lung 12 Q lesion was, or where it was that was causing the 13 14 wheezing at that time? There was a left upper lobe mass that 15 A actually was subsequently shown on the CAT scan of 16 October 11th, 1994, a one-point-six-by-one-point-nine 17 18 left upper lobe mass associated with hilar 19 lymphadenopathy. I want us to be on the same track, and I may 20 0 on occasion, and some of the other attorneys on 21 ESQUIRE DEPOSITION SERVICES

1 occasion, may refer to that as the nodule. 2 Α Okay. So if we do, you know and we know that we're 3 0 talking about that left upper lobe mass that later on 4 in the CT scan was sized at one-point-six to one-5 6 point-nine centimeters, correct? Yes, that is the nodule, but there's also an 7 Α 8 associated question of hilar adenopathy. But my question to you right now is what was 9 0 causing the wheezing, and you feel it was the nodule 10 11 rather than the hilar adenopathy? 12 MR. MADDEN: Objection. 13 Α Oh, it could be either. 14 It could be either? Q 15 Absolutely. А All right. What do you see as her risk 16 Ο 17 factors for lung cancer? 18 She has a lung mass, she has hilar, she has Α 19 suspected hilar adenopathy. 20 Well, I don't mean radiologic findings. 0 Ι 21 mean just in terms of the person, there are risk ESQUIRE DEPOSITION SERVICES

factors, one is a smoker, one has a family history --1 2 Ά Right. -- these sorts of things. Before we get to 3 Q the diagnostic aspect of it, does she have any risk 4 5 factors? 6 Α She has no risk factors. 7 One can have benign lesions in the lung, 0 can't one? 8 9 А Absolutely. 10 In a patient such as Miss Devine, Mrs. 0 Devine where there's no real other risk factor, is it 11 more probable than not that a lung nodule, this hilar 12 mass, would be benign processes rather than malignant 13 14 processes? 15 А You mentioned the nodule and then you mentioned the hilar mass. 16 17 I'll split it up if that's necessary. Q 18 I'll split it up. The nodule, eighty Α percent of nodules in a twenty-eight year old sitting 19 there alone would be benign. When you have the hilar 20 consideration of, hilar adenopathy with the nodule, 21

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we're talking about a reasonable degree of medical 1 certainty, one better think of malignancy, even though 2 3 her age is twenty-eight. 4 Q And why is that? Because benign diseases don't spread. 5 Α Usually benign diseases from a nodule don't cause 6 hilar adenopathy. It can from an infection etiology 7 do that, but in that situation, since cancer does that 8 equally as well, you have to rule that out. 9 10 But the hilar process could also have been 0 11 benign, true? 12 MR. MADDEN: Objection. 13 I said yes. А All right. The combination, I think what 14 Q you're telling me is the combination of both of them 15 makes it something that one would want to investigate 16 17 more than seeing it singly? 18 Yes. А Now, Doctor Cosiano, as I understand it, 19 Q back in 1994, sent at that time Ms. Bish to a 20 pulmonologist, Doctor Watson. Was that not 21 ESQUIRE DEPOSITION SERVICES

l appropriate, for him to do that? 2 Very appropriate. А All right. The patient did not return to, 3 0 as I understand it, Doctor Cosiano with any complaints 4 of problems with her breathing or her lungs until 5 December of 1996. Do you fault -- '95, I'm sorry. Do 6 you fault Doctor Cosiano for that period in between 7 8 there in some fashion? 9 MR. MADDEN: Objection. Go ahead. 10 Α Yes. 11 0 Oh. How so? It's my understanding he did not speak or 12 А discuss with Doctor Watson what the findings were, 13 what Doctor Watson thought, and I think this lack of 14 getting further information is below the standard of 15 practice, even for a family practitioner. 16 17 Do you feel that Doctor Cosiano had an Q affirmative duty to, after he sent the patient to 18 Doctor Watson, to find out what was going on? 19 20 А Yes. 21 MR. MADDEN: Objection. ESQUIRE DEPOSITION SERVICES

1 Α Absolutely. It's his patient. 2 So he, in your opinion, he is not free to Q assume that whatever is happening is being taken care 3 of because he doesn't hear anything from either the 4 5 patient or the referring physician? 6 А He can't assume anything until he knows. Have you ever been in private practice? 7 0 8 Α In the Army. I wouldn't call that private practice. 9 Õ That's the closest I can come to that; 10 Α otherwise, I've been in academic institutions. 11 12 0 When were you in the Service? '73 -- '71 to '73. Once in an Army 13 А 14 hospital, Leavenworth, Kansas, Fort Leavenworth, 15 Kansas. All right. Doctor Watson, then, the patient 16 0 was referred to Doctor Watson by Doctor Cosiano. He 17 saw her; he did some investigation. What should he 18 have done during that period of time that he didn't, 19 20 what should he have done that he did not do? Do a bronchoscopy and try to establish the 21 Ά ESQUIRE DEPOSITION SERVICES

1 diagnosis.

Is it your understanding that he offered 2 0 3 that as an option to the patient? 4 MR. MADDEN: Objection. I think he discussed that in the deposition, 5 А that he did, but I didn't see it anywhere in the 6 7 notes, if I'm not mistaken. I want to show you what's been, what we 8 0 looked at at his deposition, if I could come around 9 10 here with you. This is a chart note that he testified was made on November the 14th, 1994, and you see 11 reference here to bronchoscopy? 12 13 Α Yes. Would that indicate to you that he discussed 14 Q bronchoscopy with Rebecca Bish at that meeting? 15 16 MR. MADDEN: Objection. I don't know what it means. It means, I 17 А 18 think, it may have come up, but --Well, you read his testimony. Do you recall 19 0 what he said about that? 20 And he said that, I think, he discussed it 21 А ESQUIRE DEPOSITION SERVICES

1 with her.

<u> </u>	
2	Q Let's assume that he did, all right, and
3	that that was one of the courses that was discussed
4	with the patient and the patient didn't want to pursue
5	that course. Should he have somehow gone further,
6	made her do it, insisted on it, taken a stronger
7	stance?
8	A Oh, I think he well, I think he
9	MR. MADDEN: I'm just going to enter an
10	objection. Go ahead.
11	A Since his thinking all along was, it seems
12	to me, that it wasn't a cancer, I think he should have
13	been more insistent that in the differential is cancer
14	and to rule it out we need to get a tissue diagnosis.
15	If a patient then refuses that, usually a physician,
16	that would be the procedure of choice, in my opinion,
17	usually a physician does write patient recommended
18	bronchoscopy, patient refuses; therefore, we wouldn't
19	be here.
20	Q You will recall from Doctor Watson's
21	testimony - and Ms. Moody can correct me if I'm, if I
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get any of this wrong - but I think he basically 1 testified to the fact that he was concerned about the 2 nodule to the degree that he actually went to a 3 chiropractor's office to view an earlier chest X-ray 4 which showed the nodule and that he saw no increase in 5 size, and with her age, no smoking history, no 6 familial history that he was aware of, felt that there 7 was, it was less likely that this process was 8 malignant. Is that basically, do you agree that that 9 was basically his thinking that he was following 10 11 then --12 MR. MADDEN: Objection. 13 Ά That was basically --14 -- in shorthand? Q 15 That's basically what he was thinking, Α 16 absolutely. 17 Okay. But was that not appropriate for him, Q to consider the fact that this nodule had not 18 19 increased in size? 20 I think one looks at a nodule, one looks at Α calcifications, and, again, the added thing, that is, 21 ESQUIRE DEPOSITION SERVICES
the hilar area that's full, and, again, when you 1 have -- it's not just a nodule alone - I don't want to 2 3 keep on reharping on this, but I think it's important - you have a hilar fullness which changes 4 everything. It's not a nodule sitting on the 5 periphery just saying I am benign. For one thing, 6 there were no calcifications in it; for another thing, 7 8 a radiologist on the CAT scan says this is malignant. 9 There was no note that he went to speak -- that is, 10 the primary lung cancer has to be ruled out. There's 11 no note that he went to that radiologist and said, 12 tell me why. 13 Well, that's what every radiologist is going 0 14to say when faced with that kind of a film, isn't it --15 16 MR. MADDEN: Objection 17 0 -- really? I think a radiologist would -- I think a 18 А prudent doctor would speak to the radiologist. 19 20 But a prudent doctor also takes the Q radiological finding and applies to it things such as 21

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symptoms, clinical history, and other factors, true? 1 2 А Absolutely. Do you have an opinion as to whether had a 3 Q bronchoscopy been done, that they would have been able 4 to reach the area of that nodule in doing a 5 6 bronchoscopy? 7 Oh, they may have. It depends on the type Α of equipment. But they would have done brushings, 8 9 they might have needled it, do a transbronchial biopsy 10 of the hilar adenopathy. There's various things one 11 can do. 12 The hilar adenopathy, you couldn't get a 0 13 brushing of that? 14 А That's correct. 15 0 You'd have to approach that in a different 16 fashion? 17 А That's correct. 18 0 All right. But just in terms of the nodule that we're calling it, would they not have had to have 19 20 reached that point in order to have gotten any 21 effective reading, so to speak? ESQUIRE DEPOSITION SERVICES

1 А That's correct. And do you have an opinion as to whether or 2 Q not -- and I don't know if Doctor Watson in his 3 testimony said anything about whatever technique they 4 used for that, or is there a difference between 5 6 Findlay or here? 7 А I don't know. 8 Do you think they could have reached it? 0 It may have, it may have not. I can't tell 9 Α you that. It wasn't done, so we don't know. 10 All right. Had they not, had a brushing, a 11 Q bronchoscopy been ineffective in helping to reach a 12 diagnosis, what then would be the next step? 13 You would have looked at the node and tried 14 Α to needle that, transbronchial biopsy. 15 16 0 And the hilar mass, or hilar adenopathy, what did you say about how you would approach that --17 That could be --18 А 19 -- from a diagnostic standpoint? Q That could be done through a bronchoscopy 20 А and pick out a node. And obviously you'd have to make 21 ESQUIRE DEPOSITION SERVICES

1 sure there's a node there to biopsy. As you look at the whole process that went 2 Q on here, her history from what was found at, finally 3 at Findlay and then at the Medical College of Ohio, do 4 you have an opinion as to primary and secondary sites? 5 6 Oh, the second --А MR. MADDEN: Objection. 7 8 Α The secondary site was in the bone, 9 osteometastasis, in May of 1997. 10 Do you feel that the node was the primary Q 11 site? 12 Α The mass was the primary site. 13 The mass was the primary site. Q Now, when I say secondary site, I mean 14 A metastatic disease. 15 16 Okay. Right. 0 17 Because when you stage a patient, it's А stages T, N and M, M meaning metastatic. When you see 18 19 secondary sites, by definition most people refer to 20 the metastasis. When you talk about nodes in the 21 hilum or the mediastinum, that's regional disease. So ESQUIRE DEPOSITION SERVICES

in this situation it goes from the mass to the lymph 1 node and then from the mass out to the distant sites. 2 3 And the nodule, then, what does that Q represent? 4 That's the primary cancer. 5 Α I thought you just said the hilar adenopathy 6 0 7 was the primary. 8 А No, nodes are not, lymph --I misunderstood you, fine. Thank you for 9 Q 10 clarifying that. 11 MR. HUFFMAN: Just so I get it clear, the so-called nodule which is described on the October 12 '94 X-ray in your opinion is the primary cancer? 13 14 THE WITNESS: Yes. 15 MR. HUFFMAN: Okay. 16 Q As such, do you find it surprising that that mass or that lesion did not change over a relatively 17 18 long period of time? 19 It's --Α 20 MR. MADDEN: Objection. It's a slow-growing tumor, heterogeneous to 21 А ESQUIRE DEPOSITION SERVICES

it, really. The parts that metastasized are actually 1 2 usually more, the more aggressive cells. Moreover, there are tumors, when you look at doubling times, 3 that can go -- an adenocarcinoma can go anywhere 4 between thirty days and five hundred and fifty days. 5 So it depends. And all you've got to do is take a 6 7 ruler and measure tumors, and we've done that in tracing back and things; we've found one seven years. 8 So, it's not an all or none phenomena. Is it unusual? 9 Yes, slow-growing tumors are unusual, very slow. 10 11 Slow enough that between the time of the Q chiropractor's film, which I think was in early 1994, 12 and the film that was taken by -- I was thinking of 13 the film, the film that was taken at Doctor 14 Echavarre's request in January of '96, there does not 15 16 appear to have been any growth? 17 Α That's correct. 18 That's highly unusual, is it not? 0 19 It's unusual. Α 20 Just unusual, all right. Q It's unusual. 21 А

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Okay. Are you able to give us an opinion as 1 0 to -- are you able to stage the cancer at the time 2 3 that she was seeing Doctor Watson in 1994? 4 Α Yes. 5 Q Tell me about that. 6 She's at least a Stage II, possibly a Stage А 7 III, III-A. III-A. And a Stage II would represent what? 8 Q 9 Hilar adenopathy. T1/N1. Α 10 MR. HUFFMAN: T1/N --11 THE WITNESS: 1. And what connotation does that staging carry 12 Q 13 for the prognosis for the patient? Twenty to forty percent five-year survival 14 Α 15 with a chance of cure. 16 Q But not a probability of cure? 17 MR. MADDEN: Objection. Well, probability is fifty-one. If you say, 18 А if you're telling me probability is fifty-one percent 19 and I give you a twenty to forty percent five-year 20 survival in that group of patients, the answer to that 21 ESQUIRE DEPOSITION SERVICES

is yes, in that group of patients there's a twenty to 1 forty percent five-year survival, a good percentage of 2 those patients will be cured; however, they're subject 3 to second primaries from one to three percent. 4 What would be, what would the course 5 Sure. 0 of -- assuming diagnosis back in 1994 when she was 6 seeing Doctor Watson, what course of treatment do you 7 believe she would have had to have undergone at that 8 9 time? 10 In 1994, there would be surgery and either Α followed by radiation or radiation/chemotherapy. 11 12 Q In lay people's, person's terms, based on the whole history that you see here, what was found at 13 MCO and everything else, does this appear to have been 14 an aggressive cancer, not so aggressive? 15 I mean, how would you explain that to me as a layperson without 16 17 using the T and Ns and whatnot? 18 Α It was relatively slow growing. 19 0 Are you able to give us an opinion, again at 20 the point when Doctor Watson, when you say Doctor Watson should have done a bronchoscopy, whether there 21

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had been -- well, I guess from the staging you've 1 2 given me you don't believe that there had been 3 metastatic spread by that point? 4 Ά That's correct. 5 Now, there's been testimony, and Doctor Q Watson produced for us a document which reflected that 6 at the time of the last recorded visit with Rebecca 7 Devine-Bish, that she was due to come back to see him 8 in, I believe, two months. You do agree that a 9 patient has some responsibility for their own well-10 11 being and care, true? 12 А Absolutely. 13 MR. MADDEN: Objection. All right. Do you have any understanding 14 0 from either talking to Mr. Madden or anything that you 15 see in the record as to why Rebecca Bish did not 16 17 return to see Doctor Cosiano in that time frame? 18 MR. MADDEN: Objection. I can't recall. 19 Α 20 MS. MOODY: Watson. Or Watson? Who did I say? 21 Q ESQUIRE DEPOSITION SERVICES

MS. MOODY: You said Cosiano.
A I can't recall from the deposition of her
husband why, so I don't know.
Q All right, okay. You would agree that had
she returned to see Doctor Watson in two months, as
has been indicated, that that would have given him
another opportunity to reassess the situation and to
make the recommendations that you have previously
testified to?
MR. MADDEN: Objection.
A I'm not sure that's correct in the sense
that if he did another X-ray, since we already know it
would have been the same, so he would have made the
same recommendation that he did before, let's watch.
Q Well, okay. In terms of the progression of
the disease, did that two months, had she returned
let's say that there had been a change and he took a
different course in two months, she came back to see
him and he took a different course, would she still
have been in the same category, do you believe, that
you've previously testified to?
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1 Yes. А 2 All right. The next time this issue comes 0 up is when she goes to see Doctor Cosiano in December 3 4 of 1995, right? 5 MS. MOODY: Umh-humh. 6 And at that point he sends her to Doctor Q 7 Echavarre? 8 Α Yes. And was that -- and I think you may have 9 Q knowledge from the reading of the deposition and 10 whatnot that Doctor Cosiano has said that he was told 11 that Doctor Watson felt it was benign, he had been 12 giving her some inhalers and whatnot that hadn't been 13 working, and he sent her at that point to see Doctor 1415 Echavarre. Was that appropriate on his part? 16 Α Yes. Any issue with you about him needing to send 17 Q 18 her back to Doctor Watson or, instead of a new 19 physician? No, I have no problem with that. 20 Α You find sending her to a general surgeon as 21 Q ESQUIRE DEPOSITION SERVICES

appropriate as sending her to a pulmonologist? 1 If the general surgeon does bronchoscopy. 2 Α And apparently Doctor Cosiano knew that 3 Q 4 Doctor Echavarre did that? 5 Ά Okay. All right. The appointment was made, she 6 0 went to see Doctor Echavarre, and he asked for -- he 7 had a new chest X-ray done --8 9 А Yes. 10 Q -- you're familiar with that? Okay. You've seen his note which reflects 11 that he wanted a CT scan, a second CT scan to be done? 12 13 Did you see that on his note? The note of 1/18/96 says repeat chest X-ray 14 А 15 and compare. Did I miss something? I direct your attention to this report, this 16 0 X-ray report which reflects that - this is not Doctor 17 Echavarre's handwriting - but that he had told someone 18 that he wanted a follow-up then with the CT scan. 19 20 Α Okay. 21 Do you see that? 0 ESQUIRE DEPOSITION SERVICES

1 А Yes. 2 Were you not aware of that before? Q 3 I was not aware of that. Α Doctor Echavarre, based on the radiological 4 Q study that he had done and on comparison with the 5 earlier ones by Doctor Watson, felt that there had 6 7 been no change in size of the nodule? 8 Α Yes. And you've reviewed those X-rays, I believe? 9 Q 10 А Yes. 11 Q Do you agree with that assessment --12 Α Yes. 13 Q -- by him? 14 Α Yes. 15 Q All right. Was it appropriate for him to 16 want to get a CT scan? 17 Α Yes. 18 All right. Do you have an opinion as to Q whether the CT scan, if a CT scan had been done at 19 that time, that it would have provided any additional 20 information that Doctor Echavarre didn't already have? 21 ESQUIRE DEPOSITION SERVICES

In my opinion, it probably would not have 1 A provided any more, additional information. 2 Now, Rebecca Bish did not return to Doctor 3 Q Echavarre's office; you're aware of that fact? 4 5 MR. MADDEN: Objection. 6 I'm not aware of that. Α You're not aware of that. What's your 7 0 understanding as to what happened next with her? 8 She was seen at Blanchard Valley Hospital by 9 A Doctor Banett, no change compared to X-ray of 10/3/94. 10 This was on 1/19. He must have been the radiologist, 11 I'm sorry. 12 13 Q Yeah. 14А And then the next --15 That was '9 -- '96? Q 196. 16 А Well, let's go back for a moment. 17 0 Was it your understanding, then, as far as you understood 18 from the sequence here, that Doctor Echavarre had had 19 an X-ray done, had compared it with other X-rays, had 20 felt it was the same, and had sent her on her way? 21 ESQUIRE DEPOSITION SERVICES

1AThat's my -- that he didn't want to do a2bronchoscopy. That's my understanding.3QYou now know from the note, from the

4 information I've provided you - and I'll ask you to 5 assume that to be true - that Doctor Echavarre wanted 6 to get a CT scan?

А

7

That's correct.

Q All right. I will also provide, are you aware that Doctor Echavarre's office, Doctor Echavarre has testified that his office tried to get ahold, as far as his knowledge was concerned, that his office tried to get ahold of Rebecca Bish and was not able to do so and eventually the matter sort of slipped between the cracks; is that your understanding?

15 A I think I read that somewhere in a . 16 deposition.

Q All right. Do you recall reading in Derek Devine's testimony that in fact his wife had called Doctor Echavarre's office, had been told that the doctor wanted her to have a CT scan before he saw her again, and that she, for reasons of her own, never

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1 went back to get that CT scan? 2 MR. MADDEN: Objection. 3 Α I don't recall that, but if it's there, I --4 Accept that. 0 5 I'll accept it. A 6 Accept that that is in the record, okay? 0 7 А Okay. 8 Q Would you feel that Miss Bish at that time bore some responsibility for the, what occurred 9 10 subsequently? 11 MR. MADDEN: Objection. 12 She bore some responsibility if she A understood why the CAT scan was needed and that there 13 is a possibility of cancer. If she did not understand 14 that, then the responsibility goes back on the 15 16 shoulder of the doctor. 17 Is it not your understanding that throughout 0 this process, that Miss Bish certainly, from the time 18 she first saw Doctor Watson, understood that there was 19 20 a possibility that there was cancer involved here? 21 MR. MADDEN: Objection. ESQUIRE DEPOSITION SERVICES

It's my understanding that she may have been 1 Α made aware of that, but not to the degree that it was 2 high on the list, because the implication, the thought 3 process of the doctor was that this was a benign 4 5 condition. 6 0 I understand that. So the issue then becomes is how serious 7 Α 8 were they about the diagnosis of cancer. And I'm not quibbling with you on what the 9 Q 10 doctors felt --11 Α Right. 12 0 -- was going on. 13 Ά Right. But isn't it true that one of the, in that 14 0 list of things that they, the doctors told her on more 15 than one occasion might be happening, cancer was on 16 17 that list? MR. MADDEN: Objection. 18 Except in the radiology report, I did not 19 Α see that on the notes. 20 If in fact Doctor Echavarre wanted her to 21 Q ESQUIRE DEPOSITION SERVICES

have a CT scan before he saw her again, and if she, 1 for whatever reason, refused to have that done and did 2 not go back to see Doctor Echavarre, are you still 3 4 critical of Doctor Echavarre? 5 Α Yes. 6 0 Why? He should have been more insistent and told 7 Α her that there is a possibility that you can have 8 cancer and it's based on this, these reasons, 9 therefore, you should have it. And if she refused, he 10 11 should duly note it in the chart. All right. Had Doctor Echavarre, as you 12 Q 13 suggest, been more insistent and had she come back in for a CT scan and as a result had a bronchoscopy which 14 led to a diagnosis in early -- by the time all that 15 would be done I suppose we'd be talking about the end 16 of January, first part of February 1996, can you tell 17 me what opinion you have as to the stage of her cancer 18 at that point and what her prognosis was at that 19 20 point? 21 Stage II or III, same prognosis. Ā

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1 MR. HUFFMAN: That's in January of 2 19 ---3 THE WITNESS: 196. 4 MR. HUFFMAN: 196. Now, this is a year since you last gave us 5 0 that prognosis of when she last saw Doctor Watson --6 7 A Yes. 8 -- is that true? Q 9 А Yes. It's still the same staging, in your mind, 10 Q and the same prognosis, a twenty to forty percent 11 12 five-year survival? 13 А Twenty to forty percent Stage II, more 14 likely than not in October of 1994, possibility of Stage II, still in 1996, could be a Stage III, which 15 16 drops it to III-A, to fifteen to thirty percent. Well, in fact, wasn't it more likely that it 17 0 was Stage III-A than that it was Stage, still Stage II 18 19 as of January 1996? More likely? The only time we would know 20 Ά it's more likely if we were there to biopsy it. 21 ESQUIRE DEPOSITION SERVICES

I know. But you're giving us a lot of 1 0 opinions --2 3 А Right. 4 -- that we weren't, there's never been any 0 5 biopsy to --I said, I think I said that, in my wording. 6 Ά I don't think it came out that way, that's 7 Q why I wanted to --8 9 Α More likely III-A. All right. And what, then, are the 10 0 11 percentages with III-A? 12 Α Fifteen to thirty percent. 13 I was taking the deposition of a physician 0 14 who gave me -- on a breast cancer case last week, and 15 he gave me percentages out, not only at five years, but at ten years and twenty years. Do you normally 16 17 project out those percentages that far? 18 The reason you got that from the breast А No. doctor is that adjuvant therapy, and he actually 19 probably quoted the Bonadona (phonetic) article that 20 takes it out at April 19 -- whatever the year was that 21 ESQUIRE DEPOSITION SERVICES

it was published in the journal, went out twenty 1 2 We don't have that in lung cancer. years. All right, all right. So the best you can 3 Q do here is the percentage, the five-year survival rate 4 5 that you've given us? 6 А Right. 7 And in giving us your opinions regarding the Q prognosis, the staging and prognosis for January of 8 1996, tell us briefly what you base that on. 9 10 А Excuse me? 11 What do you base that on, the fact that you 0 think it's now more likely a Stage III-A? 12 13 Because a year has -- since it's more than a Α year, it's about fourteen months or so, has gone by, 14 fifteen months, tumors do progress, and this seems to 15 be progressing in an order that goes from lymph nodes 16 in the hilum, and if you did biopsies of the lymph 17 nodes and the mediastinum, even though on CAT scan 18 19 they might appear normal, you might find - and we're all talking about the probability, to a reasonable 20 degree of medical certainty - you might find 21

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microscopic disease, which makes it a III-A disease. 1 You may have included this in your answer 2 0 already, but do you have an opinion as to whether 3 there had been metastatic spread at that point? 4 5 A In my opinion, there was not. 6 And your opinion there had not been Q metastatic spread at that point is based on what? 7 Is based on the fact that except for the 8 А wheezing, she's been doing, she's done fairly well, 9 10 she hasn't had any weight loss, and there is no evidence that we can find to point to spread. 11 That's not to say it can't be there anywhere else. 12 13 I think you've already agreed with me that Ο 14 there was no change in the nodular size --15 Α That's correct. -- between Watson and Echavarre. 16 0 How about the hilar adenopathy, had there been any change there, 17 to your knowledge, or based on your observation? 18 19 I've got too many papers here. Α To my knowledge, no. 20 Now, do you again fault Doctor Cosiano, if 21 Q ESQUIRE DEPOSITION SERVICES

this patient did not go back to Doctor Echavarre -- I 1 believe Larry can correct me on this, that Doctor 2 Cosiano retired sometime in mid-1996. 3 4 MR. HUFFMAN: August '96. All right. And I don't believe that she 5 Q came back to see him between December of '95 and 6 7 August of '96. 8 That's correct. MR. HUFFMAN: 9 Are you critical of Doctor Cosiano in that 0 time frame? 10 11 MR. MADDEN: Objection. Since he was still in practice and she saw, 12 А she saw the surgeon in January of 1996, I have to 13 assume he was getting notes, or he got a note from the 14 15 surgeon. He got no note from the surgeon. 16 Q 17 Then he should have called. So he's guilty Α 18 by not following up. 19 Which is the same criticism --0 20 Α That's correct. 21 -- that you gave regarding the Doctor Watson Q ESQUIRE DEPOSITION SERVICES

1 period? 2 А That's correct. 3 Now, I believe, as you pointed out, the next Q time this really comes up is when she saw Doctor, it 4 5 starts with a Doctor --6 MS. MOODY: Davis. -- Davis and was diagnosed as having 7 Q pneumonia which ultimately led to studies, 8 radiographic studies which led to her diagnosis, true? 9 10 Α That's correct. I was struck by something, and please tell 11 0 12 me technically what was going on here. The chest X-ray that was done for her, to diagnose her 13 pneumonia, apparently showed no, did not show, reveal 14 15 any of the cancer. Am I right about that, or do I 16 have that wrong? I may be wrong about that. Let me 17 just see. Well, I couldn't tell you because I don't --18 А You know what, I'm wrong about that. 19 0 So just forget that. It was just a technical thing 20 21 anyway. ESQUIRE DEPOSITION SERVICES

Do you have any criticism of anybody else's 1 2 care in this case? 3 Α No. What is your understanding as to her staging 4 0 at the time that she was diagnosed in Findlay by 5 6 Doctor Gupta, I believe it was? 7 It's my understanding she was Stage III-B, Α 8 but in actuality at that time she was Stage IV. 9 Stage B, define Stage III-B for me. Q 10 In other words, T4 lesions are into the big Α structures, the great vessels, the aorta and where you 11 can't resect, and that makes it T4. 12 T4 in and of itself is Stage III-B. So the T4/N, it doesn't make a 13 difference what the N is by definition of the 4. 14 But that was sometime in April. 15 Of '97? 16 0 Of '97. And since she had bone metastasis 17 А one month later, she was Stage IV at that time. 18 So her prognosis of five-year survival is approximately 19 20 two percent. 21 0 For the Stage IV? ESQUIRE DEPOSITION SERVICES

62 1 Yes. Α And it's the metastasis that makes --2 Q 3 Yes. Α 4 -- her the Stage IV --0 5 А Yes. 6 Q -- as opposed to the Stage III-B? 7 А Yes. What survival rate does the Stage III-B 8 Q 9 carry with it? Five, around five percent. But in some 10 Α cases, with an aggressive therapy, fifteen, five to 11 12 fifteen percent now. We're getting better. 13 0 Do you feel that she received the appropriate types of care and therapy for her 14 15 condition? 16 Well, she went to the Medical College of А Ohio and they diagnosed Stage IV disease and they 17 18 treated her with chemotherapy. So just to go back briefly to the physician 19 0 that I'm actually the most concerned about, Doctor 20 Echavarre, you feel that where he, he should have been 21 ESQUIRE DEPOSITION SERVICES

more -- is it your understanding that Doctor 1 Echavarre, like Doctor Watson, because of the failure 2 of this, of the nodule to increase in size and because 3 of her lack of other risk factors, felt that this was 4 more likely a benign process? 5 6 Α Yes. 7 All right. So you feel like Doctor Watson, Q he was wrong in making that assumption to begin with? 8 Yes. 9 А And that he should have been more, as you 10 Ο put it, insistent in bringing the potential of cancer 11 to the forefront of his, whatever discussions he had 12 13 with Miss Bish at that time? 14 А In my opinion --15 MR. MADDEN: Objection. 16 Α -- yes. If that occurs and the patient still refuses 17 Q to follow the suggested course, it's on the patient's 18 shoulders at that point? 19 20 MR. MADDEN: Objection. 21 А That's correct. ESQUIRE DEPOSITION SERVICES

MR. CASEY: You know, I think that's 1 all the questions I have at the moment. 2 3 (Thereupon, a short recess was taken.) 4 MS. MOODY: Doctor Ettinger, I'm Nancy Moody and I'm representing Doctor Watson and his 5 6 corporation in this case. I'd like to ask you some follow-up questions, and I will certainly try not to 7 8 be repetitive. 9 EXAMINATION BY COUNSEL FOR THE DEFENDANTS 10 WATSON AND BLANCHARD BY MS. MOODY: 11 12 Q Would you agree that when Miss Bish presented to Doctor Watson in October of 1994, that 13 14 she presented with a solitary pulmonary nodule? She has a solitary pulmonary nodule as well 15 А 16 as the hilar mass, hilar adenopathy. 17 0 Okay. What's the definition of a solitary 18 pulmonary nodule? 19 The definition of a solitary pulmonary Α nodule is a round -- is a mass surrounded by normal 20 21 lung on all sides.

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1	Q Okay. Now, the hilar lymphadenopathy that
2	you're talking about was not contiguous with that
3	mass, was it?
4	A No.
5	Q Is that part of the definition of a
6	solitary pulmonary nodule, that the hilar mass is not
7	contiguous; is that one of the factors that you
8	consider?
9	A No, no. You consider, you consider what
10	you consider is, you have the solitary pulmonary
11	nodule and then you have something else, which is the
12	lymph nodes; that's separate from the mass. Now, can
13	you have a mass, a mass that is centrally located
14	attached or very near? Yes. Small-cell lung cancer
15	is usually, classic, it's hilar adenopathy with a mass
16	right next to it, but when you talk about the classic
17	solitary pulmonary nodule that we talk about, whether
18	it be benign or malignant, we're talking about a round
19	mass that is surrounded by air, by lung tissue.
20	Q And is that the case with this mass?
21	A The mass was yes, the mass, there was a

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solitary nodule that was surrounded by air. 1 And when you're talking about strictly 2 0 solitary pulmonary nodules -- and can I refer to it 3 now as SPN so it will go a little faster? 4 Solitary pulmonary nodule. As a matter of 5 A fact, if it was attached to the hilum, the radiologist 6 wouldn't have been able on the CAT scan to put 7 8 measurements of one-point-six by one-point-nine. I mean, that's why some of us carry a ruler and mine 9 says "science is measurement." We measure. 10 Doctor, would you agree that roughly one in 11 0 five hundred chest films reveal a solitary pulmonary 12 13 mass? 14 It sounds about right. I don't know the А answer, but I believe you if you say that. I don't 15 know the answer per se. The chest X-rays I see are 16 17 all abnormal. 18 And of these solitary pulmonary masses that 0 19 are diagnosed on, say, routine chest films, twenty to forty percent of those are malignant depending on 20 21 which study you're reading?

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1 If you're reading a surgical one, it's Α Yes. forty percent. If you're reading a clinical one, it's 2 3 twenty percent. As far as Miss Bish was concerned - and 4 0 we've gone over this a little bit - to your knowledge, 5 she had no family history of malignancy? 6 7 Not that I know of. А 8 Q Assuming that cancer was discussed with her in October/November/December of 1994 and that that was 9 something that was a consideration with this mass, 10 would an accurate history from the patient regarding a 11 family history of cancer be important to the treating 12 13 physician? 14 Α Yes. Would it be negligence on the part of the 15 0 16 patient not to inform the treating physician that she 17 has a family history of lung cancer? MR. MADDEN: Objection. 18 Well, it would be not prudent. Negligence? 19 Α 20 I mean, that's a word -- I don't know if it would be negligent, but it might be -- it's inappropriate. 21 Ι ESQUIRE DEPOSITION SERVICES

mean, I would assume the physician asked the family 1 history, mother, father, brother, sisters and 2 immediate family, grandparents and uncles and aunts, 3 4 and usually you get an answer. A lot of times 5 patients don't give all the answers. Well, certainly a history of lung disease in 6 0 a situation like this is an important factor for the 7 physician to consider if he's trying to diagnose the 8 9 problem? 10 Α That's correct. 11 What, in your opinion, is the probability 0 12 that a woman of Becky Bish's age, twenty-eight years, what is the probability that she would develop an 13 14 invasive lung cancer? 15 Very unusual. А 16 Like one in three hundred thousand or so? 0 17 I don't know. But the average, the average А lung cancer patient is sixty-one years of age. 18 19 0 And she also was a non-smoker? 20 Non-smoker, makes it even less. Α 21 Q No secondhand smoke exposure?

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1 Oh, I don't know that. Α You just don't have any information one way 2 0 3 or the other? And I have no information on radon, either. 4 Α Would you agree that less than one percent 5 0 of solitary pulmonary nodules are malignant in 6 nonsmoking patients younger than thirty-five years of 7 8 age? 9 А Less than one percent? 10 0 Umh-humh, yes. 11 Α It might be correct. I don't know. Would you agree that the American Cancer 12 0 13 Society is a reliable source of information about 14 cancer? 15 It's a reliable source of information. Ά In October of 1994, Doctor Watson attributed 16 Q any wheezing that Becky Bish was having to asthma, 17 more likely than not. Do you disagree with that 18 finding in October of 1994? 19 20 Α Yes. 21 Q Why? ESQUIRE DEPOSITION SERVICES

A Because he did not diagnose the cancer that was there and in more probability that was the cause of the wheezing.

Q So it's your testimony that the cause of the wheezing in October of 1994 was the pulmonary nodule and/or the hilar lymph adenopathy?

7 Oh, I think it could be a combination. Α Usually, when you have lung cancer in the older 8 population it's usually chronic obstructive pulmonary 9 disease. So can you have asthma as well as lung 10 cancer and wheeze from either or both? The answer to 11 that is yes. So if I -- but do I say do you have to? 12 13 When you have a mass that is a nodule plus hilar adenopathy with no diagnosis, it behooves you to make 14 15 the diagnosis.

Q Do you agree that there was no change in the mass, the nodule between February of 1994 and October of 1994?

A It's my understanding. I don't have -- I
didn't go back as far as February 1994.

Q You haven't reviewed the films from

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1 February?

Is that the chiropractor -- yeah, I think I 2 Α 3 did in there. No change. 4 Q No change, okay. And obviously you have reviewed the films 5 6 from October 1994 forward? 7 Α Yes. Can you describe for me what you observed as 8 0 the size of the lesion in the October 1994 film? 9 Oh, I didn't -- you know, measuring, you can 10 Α measure. I didn't disagree in the measurements, so --11 I'm not, and I'm not a radiologist, so if I thought 12 even here, I review things with the radiologist just 13 14 to be a hundred percent sure. So you're, just so we're clear, you're not 15 Q going to be testifying at the time of trial in this 16 case that this tumor now was one-point-nine versus 17 one-point-eight or, you know, some other centimeter 18 measure, your opinion, as I understand it today, is 19 that it didn't change? 20 I don't expect -- yeah, I don't expect to 21 A ESQUIRE DEPOSITION SERVICES

7.1

1 argue millimeters, okay?

All right. How about, would you be able to 2 0 characterize the border or the edges of the tumor? 3 I don't plan on doing that, either. 4 Α Do you have an opinion as to how long it 5 0 took the nodule that was seen on the X-ray in October 6 7 of 1994 to form? 8 А Oh, I think a lung -- a cancer, in general, that's been in the body from eight to ten years, and 9 this is a general statement. Obviously the cancers 10 that grow faster, like testicular carcinoma, it would 11 12 be, it would be less time in the body. Breast cancers are a good example; there are very fast and slowing 13 growing; the fast growing would be less than the slow 14 15 growing. But the standard dictum would be eight to It starts at one cell, goes to two, four 16 ten years. to eight, and by the time it becomes detectable it 17 already has a billion cells, which is one cc, one 18 cubic centimeter of -- one cubic centimeter has one 19 billion cells in it. So you would have to work back 20 from the one-point, possibly from the one-point-six by 21

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one-point-nine mass and work back to the one cell, and 1 I think you would need a mathematician to do that. 2 You would have to actually calculate, then, the 3 4 doubling time. It could even be longer, because if you know -- the doubling time could be calculated by 5 knowing what the lesion is here, take the time three 6 years later or two and a half years later, and there 7 could be in some fashion a, a doubling time. 8 It could be one of the slowest, it could be one of the slow 9 10 growing tumors.

11 Q Knowing what we know about the fact that it 12 didn't change in about a two-year period, can we 13 extrapolate from that that it was probably there a 14 long time before October?

15 But what you can't extrapolate is it A Yes. would have to take into consideration it's a 16 heterogeneous tumor, and the part that metastasized 17 and spreads to lymph nodes is usually more aggressive 18 by a significant amount and that stays local, and that 19 is the problem with tumors in general. That's why 20 therapy -- because heterogeneity means the differences 21

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1 of cells in the population; that's why some respond 2 better than others.

Q Would you agree that a solitary pulmonary nodule that's stable in size in the chest on X-ray for about two years can be considered benign with a high probability?

7 If that was the only thing that was there Α 8 and nothing else, you might consider it. However, from personal experience I saw one that was there, 9 10 stable for four years, and on the fifth year it 11 started to grow and it metastasized. So can it 12 happen? Yeah. The classic definition, the classic 13 finding of a benign lesion is if you find calcifications. If you find a solitary irregular 14 mass, those are the type of things you want to make a 15 16 diagnosis.

Q But if you're using calcification as a factor, the configuration of the calcification, if you will, is important in describing the tumor as benign, isn't it?

21

A You would, yes, I think -- put it this way.

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1	You would talking about to a reasonable degree of
2	medical probability. If I've seen if I've had
3	calcifications seen in a lesion, I can with some
4	asurety tell the patient that that is benign. If I
5	see a mass de novo, and that is a mass that's there
6	and it's new, there's no calcifications, then you have
7	to base that on other factors. And hindsight is
8	interesting, that going and looking down the road and
9	seeing what happened. We know what happened to this
10	mass.
11	Q Did you see any calcification in the mass
12	when you reviewed the films, or from any information
13	that you have; was there calcification in the mass?
14	A As far as I know from all the notes I've
15	read, there were no calcifications.
16	Q And the type of calcification that needs to
17	be present to determine whether a tumor is malignant
18	or non-malignant doesn't show up very often on
19	diagnostic films, does it?
20	A No, it actually shows up more often in a CAT
21	scan. As a matter of fact, you can use the numbers,
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what they call the Hounsfield numbers, Hounsfield 1 numbers actually developed here to look at whether a 2 lesion has calcifications in it or not. But a lot of 3 times it's in the gray zone, so you've got to biopsy 4 5 it. 6 Q It's just one factor that you --7 A One factor. -- use in determining whether a tumor may be 8 0 9 benign or malignant? 10 When you viewed the films between October of 1994 and December of 1994 - those are the films that 11 Doctor Watson had available to him - did you see any 12 13 mediastinal lymph nodes --14 А No. 15 -- or any mediastinal widening? Q 16 Well, the widening would take into Α No. 17 consideration the hilar part of that, too. But no, not in the mediastinum. Mediastinal lymph node, is 18 there a mediastinal lymph node -- I just want to 19 20 clarify it. You mean clinically, pathologic mediastinal lymph node, and the answer is no. 21 ESQUIRE DEPOSITION SERVICES

In your opinion, is it highly unlikely that 1 Q a tumor would be an adenocarcinoma and not change in 2 3 size in two years? 4 Oh, I think it's -- highly unlikely? Α Ι think it's unlikely. You like to see some growth. 5 Does it happen? Yes, adenocarcinomas are notorious, 6 7 can be slow-growing tumors. 8 But more likely than not, they're fast Q 9 growing? More likely than not they're fast growing --10 A well, they're well differentiated, poorly 11 12 differentiated and moderately differentiated. So well 13 differentiated can be very slow; poorly differentiated can be fast. 14 15 Isn't it true that bronchoscopy can be Q 16 useful in diagnosing larger nodules, really, than 17 we're dealing with in this case? Well, actually, the bigger the tumor, the 18 Α 19 easier it is to biopsy. This is not something small. 20 One-point-six by one-point-nine is not a small tumor. Small would be a half centimeter. This is relatively, 21 ESQUIRE DEPOSITION SERVICES

as compared to other tumors, this is not that small. 1 But you're right, any time a tumor is bigger, it's 2 3 easier to biopsy. Do you have an opinion as to whether or not 4 0 this tumor in its location could have been reached 5 6 with bronchoscopy for a biopsy? I said that I wasn't sure. I said and if 7 Α you couldn't reach it that way, you can do a needle 8 biopsy of the hilar mass. And then if you couldn't do 9 it that way, there are other techniques as well. 10 11 Q You've already said, I think, that the hilar mass did not change in size either? 12 13 Yes, that's correct. Α 14 I think that you said that you did not note Q anywhere in the records that Doctor Watson had offered 15 bronchoscopy to Miss Bish and that she had declined? 16 17 I didn't note it in the regular notes, А that's correct. He showed me that; I saw that. 18 19 This page? Q 20 Ά Yes. And also on --21 0

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1 The X-ray. Α Well, and on December 12th of 1994, when he 2 Q met with Ms. Bish in the office, he made a notation 3 that the question of biopsy was discussed. 4 I think if you read his deposition he explained this, and she 5 6 declines, and he made a note of that --7 Α Yes. MR. MADDEN: 8 Objection. 9 -- in December. 0 I think it was your testimony, in responding 10 11 to Mr. Casey's questions, that if a patient is offered 12 a diagnostic procedure and refuses, then the problem 13 is with the patient and not with the physician? 14 MR. MADDEN: Objection. I said exactly that, with the proviso that 15 А it has to be documented that the patient was told that 16 17 cancer, that a serious illness is a possibility, patient refuses appropriate diagnostic procedures. 18 Ι think in this time of, litigious times, I think you 19 20 have to be -- you've got to document that, clearly. 21 And, again, you may have answered this 0 ESQUIRE DEPOSITION SERVICES

1 already. Malignant tumors usually grow quickly with a 2 doubling time and volume between one and fifteen 3 months?

A Doubling time and volume between one and
5 fifteen months? Thirty days to a hundred to thirty
6 times a hundred and fifty? That's five-fifty. Yeah,
7 I think that. Thirty days to five-fifty. Five-fifty
8 would be almost a year and a half; that would be
9 pretty slow.

10 Q Have you testified in a case involving 11 diagnosis and management of a solitary pulmonary 12 nodule?

13 A I have.

Q

14

18

21

Q Can you tell me --

A I couldn't even remember, because it related
to lung, same thing like this, related to lung cancer.
So I couldn't even hazard a guess.

Q Do you know when that was?

19AI apologize, I just don't keep that type of20record. I've not been in Federal Court yet.

Do you know if you gave deposition testimony

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1 in the case?

2 Oh, I don't even -- to be honest, I don't Α 3 remember. You were asked your opinion about whether or 4 Q not Ms. Bish coming back within two months from her 5 last visit with Doctor Watson would have made any 6 difference in her treatment. I think your response 7 was probably not because an X-ray would have been done 8 and it wouldn't have changed; is that correct? 9 10 A That's correct. But if she had followed up within that two-11 Q month time period it at least would have been an 12 opportunity for Doctor Watson to reevaluate her 13 clinically? 14 15 Α That's correct. 1.6MR. MADDEN: Objection. 17 Α That's correct. You testified that in January of 1997, that 18 0 Ms. Bish was either Stage II still or possibly Stage 19 20 III-A. There isn't really any way that you can differentiate between those two stages at that point 21 ESQUIRE DEPOSITION SERVICES

1 in time because there's no pathological staging; isn't
2 that correct?

That's correct. The only reason I said that 3 Α is you got to believe the tumor's growing and 4 therefore the place that it would grow, at least the 5 way it's been growing, would be into the, maybe into 6 the mediastinal lymph nodes, and by definition that 7 makes it a III rather than a II. But you can't tell 8 other than getting a mediastinoscopy to look into the 9 10 lymph nodes.

11 Q Is there any way to objectively measure or 12 evaluate the hilar lymphadenopathy that you see on the 13 October 1994 film?

A What you see is what you got. In other words, you look at the size of lymph nodes; anything less than a centimeter, a centimeter or less is considered nonpathologic; anything above that you got to be concerned. Does that mean there's no cancer or any disease in any of the lymph nodes? The answer to that is no.

21

Q

Were you personally able to measure any

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1 hilar lymph nodes?

•

3

2	A No. There was a fullness there.
. 3	You mean on the chest X-ray, or are we
4	talking about on the CAT scan?
5	Q On any diagnostic
6	A No. I think the CAT scan had
7	lymphadenopathy there, but I'd to go over it and look
8	again, because you don't have a fullness. The
9	fullness you see on chest X-ray but you can't measure
10	it, unless it's widened, you can't measure
11	lymphadenopathy, but on a CAT scan you can.
12	Q Do you see patients at all for the purpose
13	of diagnosing cancer?
14	A On occasion, but it's rare.
15	Q Did you provide a report for this case?
16	A No.
17	Q Did you discuss this case with any other
18	physicians?
19	A NO.
20	Q You didn't take the films and go over them
21	with a radiologist?
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Sec. and A

1	A No.
2	Q I'm sorry, I don't recall your response to
3	this. But are you still an associate professor of
4	otolaryngology
5	A Yes.
6	Q head and neck surgery? What does that
7	entail?
8	A Nothing.
9	Q So you have no duties or activities related
10	to that title?
11	A I got that title because I did it when I
12	did work in head and neck oncology when I was younger,
13	so they still allowed me to have it.
14	Q So they just haven't taken that title from
15	you?
16	A Since there's no money involved, they don't
17	care.
18	Q And the same would be true of the
19	professorship in gynecology and obstetrics?
20	A Yes, associate professor, yes. And I do see
21	patients in both those areas, but it's occasionally.
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I assume that your license to practice has 1 Q never been revoked or limited in any way? 2 3 А No. 4 You're Board-certified in internal medicine 0 5 and oncology? 6 A Yes. 7 Have you ever been recertified? 0 8 Α No. 9 0 Is that not required? It is if you're younger. If you're my age 10 А you've got a grandfather clause. It's about time I 11 12 get some grandfather clauses. 13 Are there any articles in your CV that 0 relate specifically to the treatment or diagnosis of 14 15 solitary pulmonary nodules? If they're malignant, there are a number of 16 Α 17 articles in there. If they're not malignant, no. In 18 other words, there are articles that I've reviewed 19 with regard to surgery that would be could be a 20 solitary pulmonary nodule, Stage I disease. The NCCN guidelines, which is the National Comprehensive Cancer 21

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Center guidelines, I happen to be the panel chair, and 1 that is on non-small cell lung cancer, how you treat 2 various things you find. But it's all with the З proviso that it is malignant, not non-malignant. 4 And that's something that you've, a paper 5 0 that you worked on, or guidelines you've worked on 6 7 fairly recently? 8 Α About three years ago. You said that you have never been in private 9 Ó practice, the closest thing that you've been to 10 private practice was in the Service; is that correct? 11 12 That's correct. А 13 What percentage of your annual income is Q 14 attributable to medical/legal work? Maybe about ten percent. It depends. It 15 Α 16 could be a little higher. Do you know how many new cases you took for 17 0 review in 1998? 18 No. Maybe ten, fifteen. It's hard to say; 19 Α 20 it varies. 21 0 Would that be an average number of cases ESQUIRE DEPOSITION SERVICES

1 that you would take, new cases that you would take for review in a year? 2 3 Α It might be. Is the money that you obtain from doing 4 Q medical/legal review money that you can keep yourself 5 6 as opposed to giving it to Johns Hopkins? 7 Α I don't give it to Johns Hopkins. MS. MOODY: Okay. I don't think I have 8 9 anything further. Thank you. 10 MR. HUFFMAN: Doctor, I've got a few questions with regard to some of the issues that have 11 12 been raised here. EXAMINATION BY COUNSEL FOR THE DEFENDANT COSIANO 13 BY MR. HUFFMAN: 14 15 0 I'm not quite sure about this hilar 16 lymphadenopathy that you see on the, I believe it's the October '94 films. Is that the same thing as 17 saying that there is lymph node involvement with 18 19 cancer? 20 Yeah, more likely than not. I mean, I think А 21 it's a probability. ESQUIRE DEPOSITION SERVICES

Q Are you able to say how many lymph nodes are involved?

A No.

3

Q Isn't there some generally-accepted law, maybe - that may not be a very good term - that if a certain number of lymph nodes are involved, that the cancer is going to be noncurable?

What the rule is is where are the lymph 8 А No. 9 nodes. So, for example, N1 lymph nodes, which are hilar lymph nodes, make up, for all practical 10 purposes, make up Stage II disease. T3/N0/M0 because 11 of the size of the tumor is also -- it used to be in 12 13 Stage III, now it's in Stage II disease because the prognosis and the treatment is about the same. 14 Hilar mediastinal lymph nodes make up Stage III-A disease, 15 16 and if it's on the opposite side of the mediastinum, that is, mediastinal nodes on the opposite side with 17 18 the primary, makes up III-B disease. So it's the staging that equates with survival, not -- obviously 19 the number of nodes are important, but not as 20 21 important as it is in breast cancer. When you say one

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to three, greater than three and then ten or more,
 there's a difference.

Q In this case was the, as they would call it, the nodule on the same side of the mediastinum as the lymph nodes?

A Yes.

6

Q So that's not as dire a situation had the
adenopathy been on the other side of the mediastinum?

9 A If it was on the opposite side of the 10 mediastinum, it's Stage III-B.

11 Are you able to exclude there being lymph 0 node involvement on the side opposite the nodule? 12 Well, to a reasonable degree of medical 13 А certainly it's like -- it's time. So if she was III-B 14 in 1994, the median survival of III-B is about nine 15 months. So either she's the luckiest woman alive or, 16 17 which is possible, or she didn't have mediastinal 18 disease.

19 Q Are you aware, Doctor, of what Doctor 20 Cosiano's expertise was with regard to the diagnosis 21 of cancer?

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1 He's a family practitioner. Α 2 Q Which tells us what, with regard to --3 А He does triaging. He's a primary care 4 physician. Are you critical of him when he testifies, 5 Q or of his skills, talent level, whatever, when he said 6 I've never diagnosed cancer in a patient? 7 That surprises me. But I don't expect him, 8 А for the most part, to diagnose lung cancer, although I 9 would expect him to have seen patients coming in with 10 bad disease, metastatic disease, for as long as he's 11 been in practice. But to diagnose, that's not his 12 13 responsibility. Okay. So when he immediately decided that 14 Q Rebecca should see Doctor Watson in October of '95, he 15 16 did the right thing? 17 А Yes. 18 Q And he called right from his office, got an appointment, had her there the next day? 19 20 А Yes. 21 That's certainly an indication of somebody Q

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who was trying to get her promptly into the proper --1 2 Α In my opinion, yes. And he sent her to a Board-certified 3 0 pulmonologist? 4 My understanding is Doctor Watson is, yes. 5 Α 6 Are you acquainted in any way from the Q records you reviewed as to what the relationship, or 7 the expected relationship was between Doctor Cosiano 8 9 and Doctor Watson? 10 А Well, from the chart --11 No, including the deposition testimony. Q Well, there appeared to be very little. 12 А In other words, the referral was made and then Cosiano, 13 that was it. And that's where I find the problem. 14 15 Well, you recall Doctor Watson's testimony 0 16 about reporting to Doctor Cosiano? 17 Α I don't recall that. I want you to assume that he testified that 18 Q when Rebecca came to him, that he assumed the primary 19 20 care for her pulmonary status, that he did not correspond with him in writing, did not have any oral 21

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conversation with Doctor Cosiano regarding Rebecca, 1 and that that's the way they conducted their 2 3 relationship with this kind of a patient. Are you still --4 5 If that's the way it is up in time, up until Α the time that Miss Devine went back to him --6 7 I'm sticking right with the October '94. 0 8 Α If that was the understanding, then he did 9 his job. Okay. And, in all fairness, you feel that 10 Q he, without that information you were saying, well, he 11 should have called up Watson or done something to find 12 out what Watson had discovered in his investigation? 13 In my opinion, the answer to that is yes, 14 А unless, as you state, if there was the understanding 15 16 that the care now is transferred --17 Right. Q -- to another doctor, then I would --18 Α reasonable people would say that what Doctor Cosiano 19 20 did was appropriate. Okay. If Doctor Cosiano had called Doctor 21 0 ESQUIRE DEPOSITION SERVICES

1 Watson up, as you had first thought, well, maybe that's what he ought to have done, or maybe still 2 think that, what would Watson have told him? 3 Watson would have told him what he has done, 4 Α 5 that he thinks it's a benign condition, and that I 6 will follow her for her wheezing and asthma, or 7 whatever, and that's it. 8 In effect, he would have reassured Cosiano 0 9 that there's nothing to worry about here? 10 А That's correct. 11 Q So even if Watson had a duty to check up and call -- I'm sorry, even if Cosiano had a duty to call 12 13 Watson and check up on things, how would it have changed the course of this lady's disease and/or 14 15 treatment, if he had been reassured by Watson 16 everything's fine? 17 MR. MADDEN: Objection. 18 Q Do you understand my question? 19 Α No. Well, in malpractice, as we do it in Ohio, 20 Q 21 it's one thing to say, well, hey, someone didn't do ESQUIRE DEPOSITION SERVICES

something that they should have done, and you have 1 opined here earlier, with perhaps some change in that, 2 but you have opined earlier that Cosiano should have 3 called up Watson and talked to him and said what 4 happened, and you've opined that that's a departure of 5 the standard of care. In Ohio we're always interested 6 7 in, well, how did that, the departure from the 8 standard of care hurt this lady. Now --9 Α Oh, I understand. 10 0 So if Watson would have told him, hey, I've done some X-rays, I've checked this lady out, I've 11 12 examined her, I've looked into this thing, 13 everything's okay --14 А Yes. 15 -- how did Cosiano - just a minute, Justin -Q 16 how did, under your view of this thing, how did what 17 Cosiano failed to do hurt this lady? 18 MR. MADDEN: I show an objection, and I just think the hypothetical involves too many 19 20 variables. If you can answer, go ahead. 21 Yes, for that part of her care, the answer Α

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would have been it wouldn't have changed one iota 1 2 until August. 3 Okay. 0 4 She went back. Α 5 Okay. She comes back to his office. Q We're this far along. Now she comes back in August, right? 6 7 Α Yes. 8 And what's the matter with her when she 0 9 comes in in August? 10 A She's still wheezing. Doctor, look at your records again. 11 0 Feels like choking last two to three days. 12 А Thyroid question. Still wheezing. Been to Doctor 13 14 Watson. Took CAT scan. 15 What's the date of that, Doctor? Q 16 12/15. Is that what that is? Α 17 0 Yeah. I made a mistake. I put it there. 18 Α So she comes in on 12/15 -- so let me ask 19 Q you this, then. Do you have then any criticism of 20 Doctor -- your criticism then apparently begins in 21 ESQUIRE DEPOSITION SERVICES

December of '95 when she comes back and she's got the 1 2 same problems? 3 А Yes. By the way, her stage is still the same, 4 Q 5 isn't it? 6 Α That's correct. So basically this lady isn't any worse off, 7 Q as far as we know, than she was in August of '94, is 8 9 she? 10 A That's correct. 11 She's not any worse off in December '95, Q 12 right? 13 А That's correct. So now he immediately sends her to Doctor 14 Q 15 Echavarre, doesn't he? 16 А That's correct. Was that an appropriate referral? 17 Q 18 That's a very appropriate referral. Α 19 0 Bingo, right, same day? 20 Right. Α 21 And Doctor Echavarre does the things that Q ESQUIRE DEPOSITION SERVICES

1 you've discussed with Mr. Casey? 2 Α That's correct. Now, your criticism of Doctor Cosiano 3 0 apparently is still, well, he should have done the 4 5 same thing, he should have been checking up with 6 Echavarre, right? 7 That's correct. А 8 Ο What would Echavarre have told him? 9 A That --10 MR. MADDEN: Objection. 11 Å That I find nothing. The lady's fine, there isn't any cancer; 12 0 isn't that what he testified to in his deposition, 13 that he didn't think there was cancer? 14 15 . MR. MADDEN: Objection. That's what he testified to. 16 Α 17 MR. CASEY: Objection to your 18 characterization of it. But go ahead. 19 I mean, he would have reassured Doctor 0 20 Cosiano, if Cosiano had inquired, that there was no 21 cancer, right?

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Α Yes. 1 MR. MADDEN: Objection. 2 So how did it hurt this lady if Cosiano 3 0 didn't call up and get reassured? 4 MR. MADDEN: Objection. 5 6 А Because in part, for both of them, I don't 7 know what -- and it goes back to what the patient by these doctors was told and the seriousness of the 8 9 possibility, that cancer is a possibility there. And 10 that relates then back to the family doctor; he does 11 know the word cancer. I understand. But he sent this patient to 120 13 Echavarre for diagnosis, examination, to find out 14 what's wrong, right? 15 Α Yes. So if that doctor to whom you send them says 16 0 she hasn't got cancer, I don't believe she has cancer, 17 18 how did Doctor Cosiano hurt this lady by not calling up and getting this reassurance? 19 Because he never asked by himself, and in 20 Ά the same way with Doctor Watson, never found out why 21

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he said that she didn't have cancer and ask 1 appropriate questions. You don't make the assumption 2 when a person, a reasonable physician sees a mass and 3 sees a, and sees adenopathy, a reasonable -- there 4 should be a discussion, and that didn't happen. 5 б Q I thought we had agreed that if Doctor 7 Watson testified that he assumed that this patient was 8 transferred to him, that he assumed --9 Α That was Watson. That's Watson, okay. Now we're up to 10 0 11 Echavarre. And the patient was referred to him as a 12 А 13 surgeon. Doesn't -- usually surgeons, at least where I work, don't assume the care of the patient. 14 15 Wait. Don't you recall the discussion 0 between Doctor Cosiano and this lady before he sent 16 her to Echavarre, what he wanted to do with her? 17 18 Α Bronchoscopy. No. Who he wanted her to go to? 19 Q I don't recall that. 20 Α 21 Well, just accept my word for it, if you Q ESOUIRE DEPOSITION SERVICES

will, Doctor, and consider this --1 2 А I will. 3 -- a little bit of a hypothetical. 0 That he said to her, I want you to go back, or would you like 4 5 to go back to Doctor Watson, okay? And she said, no, 6 I don't want to go to Watson, for whatever her reasons 7 were. So he didn't select a surgeon because he 8 thought, Doctor, that there wasn't going to be some surgery involved. Now, you don't know anything about 9 10 the medical community in Findlay, Ohio, I assume? 11 А I don't. Do you know how many people there are in 12 0 13 Findlay Ohio --14 Α No. -- that you could have referred this lady 15 0 16 to? 17 Α No. 18 Well, Echavarre, being a thoracic surgeon, Q is an appropriate referral, isn't he? 19 20 That's correct. Ά 21 Doctor Echavarre gets her in his office, he Q ESQUIRE DEPOSITION SERVICES

takes some more films, he wants to do a CAT scan, but 1 he never contacts Cosiano, does he? 2 3 That's correct. Α 4 Rebecca Bish never contacts Cosiano, does Q she? 5 6 That's correct. Α 7 In fact, nobody ever says a word to him? 0 8 That's correct. А If he had called up Echavarre, what would he 9 0 have told him? 10 11 MR. MADDEN: Objection. 12 That I think it's a benign granuloma or Α 13 considered tuberculosis or histoplasmosis. No referral was ever made back to Cosiano, 14Q 15 was it, no return of the patient? 16 Α No. 17 Q The patient never came back to him, right? That's correct. 18 Α 19 Now, are we agreed that when Echavarre was 0 seeing the patient she was in the same stage as she 20 was in October of '94? 21

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1	A That's correct.
2	Q When did the stage change?
З	A Oh, about April, a year from the time
4	before the diagnosis was made.
5	Q Well, Echavarre saw her in January of '96?
6	A Yes.
7	Q When did the stage change to II-B or III?
8	A Oh, no. II-B or III?
9	Q Well, I guess, I guess what I want to know
10	is when did the stage change from what it was in
11	October of '94?
12	A I think I said that, and I don't exactly
13	remember what I said. I have to look.
14	(Witness reviewing documents.)
15	A Yeah, I said about January 1996.
16	Q In January of '96. That's about the time
17	she was seeing Doctor
18	A Yeah, I think yes. Echavarre.
19	Q So when she was seeing Doctor Echavarre in
20	January of '96, what was her stage?
21	A I said it's either II, II or III-A.
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What do you see in any of the X-rays or 1 0 other studies to indicate that the stage has changed? 2 Well, the stage has changed just by the 3 Α 4 tumor growing. 5 But I thought it was the same size. 0 6 Α But you can't tell microscopic disease. 7 Q Well, I mean, there is no evidence of microscopic disease, was there? 8 9 Д Well, you're right. So there was nothing to biopsy, there's 10 Q nothing to tell us that there was any, any microscopic 11 disease in January of '96, is there? 12 If you biopsy, you could tell that. 13 А 14 How do you know if you would have biopsied Q 15 it? That's why I gave you my answer as II, 16 Α 17 probable -- the question was asked of me what do I think the stage is to a reasonable degree of medical 18 certainty. I said more likely than not, it's probably 19 III-A but it could be a II. And the other way -- in 20 21 October '96 I went the other way, I said more likely ESQUIRE DEPOSITION SERVICES

1 than not it's a II, could be a III-A. 2 Q I guess I'm --3 MR. MADDEN: Excuse me. You said October '96; I think you meant October '94. 4 5 Α October '94, I apologize. 6 Q I guess what I'm struggling with, what is it that you see in the X-rays or any other evidence to 7 indicate to you that this, the stage of this tumor has 8 advanced, if that's the correct term, from what it was 9 10 in January of '95? 11 А Oh, she is clinically Stage II-A -- or II. 12 0 So she's still --13 Α Clinically Stage II. 14 0 She's clinically Stage II --15 Α Yes. -- in January of '96 when Echavarre sees 16 Q 17 her? 18 Α That's correct. And when did she advance from clinical Stage 19 Q 20 Sometime after January '96, right? II? 21 А Yes. ESQUIRE DEPOSITION SERVICES

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When? 1 0 For that we can look on a scan or X-ray and 2 Α 3 The answer to that is April and May know that answer. 4 of 1997. 5 So you're not able to say that this lady was 0 anything other than Stage II until April or May of 6 7 1997; is that right? 8 From the clinical standpoint? А 9 Right. 0 Of what I have objectively, the answer to 10 А 11 that is yes. Do you have any other, anything else on 12 Q which you can, could, or will give any other opinion? 13 14 Absolutely. Α 15 Okay. What is it? Q 16 I said it's based on what I know about A 17 growth of tumors, and what I know, what would have 18 happened if a biopsy was done, and I said since tumors -- even though obviously you're looking at a 19 tumor that is, you're taking a ruler and measuring it, 20 21 that a tumor is a sphere so you don't know if the ESQUIRE DEPOSITION SERVICES

tumor's growing inward, you can't measure that. 1 In any case, I said to a reasonable degree of medical 2 certainty, in January of 1996, if the tumor had 3 progressed, if the tumor's progressing, it would have 4 been more likely than not a III-A. But from the 5 standpoint -- the only way you would know that is if 6 7 you biopsied it. But what do you have, what objective 8 0 9 evidence do you have that the tumor had -- to tell you when the tumor advanced or got bigger or -- I mean --10 11 А Objective evidence? None. 12 0 Yeah. In other words, between January of '96 and April of '97, we don't have any objective 13 evidence, do we? 14 15 That's correct. Α 16 Q And it's your -- I mean, excuse me for saying so, Doctor, but it's your supposition that 17 there was some growth sometime, but exactly when you 18 can't tell me, can you? 19 20 Α Oh, no. 21 MR. MADDEN: Objection. ESQUIRE DEPOSITION SERVICES

1 I've already given those answers. Α 2 Well, tell me what the stage of the cancer 0 3 was, then, in August of 1996. MR. MADDEN: Objection; asked and 4 5 answered. I mean, he's --6 MR. HUFFMAN: I can ask him the same 7 question three times if I want to. 8 You tell me, Doctor, what was the stage of Q 9 the tumor in August of 1996? 10 MR. MADDEN: First of all, I disagree. But, secondly, he's been through, between -- if you're 11 specifying it to objective evidence, he's answered the 12 13 question. 14 MR. HUFFMAN: Thank you. 15 Now, Doctor, can you tell me what the stage Q 16 of the tumor was in August of 1996? 17 А Yes. It was Stage IV. 18 Q In '96? August of 19 -- let me just take a look. 19 Α 20 August of 1996 it was Stage IV. 21 Q And what do you base that on? ESQUIRE DEPOSITION SERVICES

Based on what I know about cancer of the 1 А 2 lunq. 3 What testing or anything else had been done Q 4 since January of 1996? None other than X-rays. Other than X-rays, 5 Α 6 the --What X-rays were done? Are you sure you're 7 Q 8 not talking about August of '97? 9 August of '97? I'm talking about --Д 10 I'm talking about six months after Echavarre Q 11 saw the patient. 12 Α Yeah. Six months after Echavarre saw the patient 13 Q 14 it was --15 А Yeah. 16 Well, what do you base that opinion on? Q What I know about cancer. 17 Α 18 Well, what do you know about cancer on which 0 19 you base that opinion? 20 Α Growth of a tumor and what happens to cancer 21 in general. It doesn't just appear one day and voila, ESQUIRE DEPOSITION SERVICES

It doesn't appear in one day and there is l voila. metastatic disease. It has to start from somewhere, 2 sometime. 3 When did it start? 4 0 Oh, I think sometime after -- a year before 5 Ã it was, a year before it was finally diagnosed. 6 So 7 April of '96. 8 MR. HUFFMAN: I think I'm about done 9 here. I think that's all the questions I have, 10 Doctor. 11 EXAMINATION BY COUNSEL FOR THE DEFENDANT ECHAVAARE 12 BY MR. CASEY: 13 If I may ask a quick question to follow up Q on something that Nancy asked you about. I think the 14 15 answer's probably self- evident, but I don't think I 16 asked you this question directly. But she asked you if you would agree that had Rebecca come back to 17 Doctor Watson as had been planned, at least in his 18 19 mind, or in his notes, that that would at least have 20 given him a further chance to evaluate and perhaps 21 change his evaluation of the patient, and you agreed

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as to how that was the case? 1 2 Α Yes. 3 MR. MADDEN: Objection. And I presume that you would also hold that 4 Q position regarding Doctor Echavarre, that had Rebecca 5 6 come back for the CAT scan and then seen Doctor 7 Echavarre, that too would have offered him the opportunity to further evaluate and perhaps reevaluate 8 9 the patient and her situation? 10 MR. MADDEN: Objection. 11 А Yeah, I said that. And I also said, though, 12 I think, except from previous findings, if everything was unchanged, they wouldn't have done anything 13 different. 14 15 Q Oh, I agree that's what you feel --16 Α Right. -- based on the opinions in the record 17 Q 18 expressed --19 А Right. 20 0 -- by both Doctor Watson and Doctor 21 Echavarre? ESQUIRE DEPOSITION SERVICES

1 А Right. 2 But you do agree with me simply on the 0 proposition that it offered another opportunity for 3 evaluation and/or reevaluation? 4 Ā 5 Yes. Okay. If you were to assess -- keeping 6 0 7 those factors in mind, if you were to assess a percentage of responsibility to Rebecca Bish for her 8 9 own care and well-being, or lack thereof, in this 10 context, what would it be? 11 MR. MADDEN: Objection. 12 I don't know the answer to that. Α 13 MR. CASEY: Okay. I think that's all I 14 have. Thank you, Doctor. Justin -- I'm sorry. 15 Anybody else? 16 MR. HUFFMAN: I don't have any other 17 questions. 18 MR. CASEY: Want to discuss signature? THE WITNESS: I want to read it. 19 (Thereupon, at 3:59 o'clock p.m., the 20 examination of the witness was concluded.) 21

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1	ACKNOWLEDGMENT OF DEPONENT
2	I, David S. Ettinger, M.D., do hereby
З	acknowledge I have read and examined the foregoing
4	pages of testimony, and the same is a true, correct
5	and complete transcription of the testimony given by
6	me, and any changes and/or corrections, if any, appear
7	in the attached errata sheet signed by me.
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10	Date David S. Ettinger, M.D.
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1 CERTIFICATE OF NOTARY PUBLIC I, Beatriz D. Fefel, the officer before 2 whom the foregoing deposition was taken, do hereby 3 certify that the witness whose testimony appears in 4 the foregoing deposition was duly sworn by me; that 5 the testimony of said witness was taken by me in 6 stenotype and thereafter reduced to typewriting under 7 my direction; that said deposition is a true record of 8 the testimony given by said witness; that I am neither 9 counsel for, related to, nor employed by any of the 10 parties to the action in which this deposition was 11 taken; and, further, that I am not a relative or 12 employee of any attorney or counsel employed by the 13 14parties hereto, nor financially or otherwise 15 interested in the outcome of the action. 16 17 Beatri/z D. Fefe Notary Public in and for the 18 State of Maryland. My Commission Expires: 19 20 August 1, 2000. 21

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April 28, 1999 1 2 David S. Ettinger, M.D. Johns Hopkins Hospital 3 Oncology Center, Room 147 600 North Wolfe Street 4 Baltimore, MD 21287 5 б Re: Rebecca and Derek Devine vs. Frank Cosiano, M.D., et al. 7 Deposition of David S. Ettinger, M.D. 8 Attached for your review and signature is a copy of 9 the above-referenced deposition. We ask that you read the transcript carefully. If it is necessary to make 10 any corrections, please do so on the enclosed errata sheet, indicating the page, line number, and 11 The errata sheet(s) must be signed and correction. dated. Also, you must sign the Acknowledgment of 12 Deponent enclosed in the transcript. Additionally, under the Maryland Rules, if you do not 13 complete the reading and signing within thirty days, 14 you may have waived your right to make corrections. Therefore, your prompt attention to this matter is 15 greatly appreciated. Please return the transcript, the Acknowledgment of Deponent, and any errata sheets 16 to our office at 401 E. Pratt Street, Suite 425, Baltimore, MD 21202. 17 18 19 20 21 ESQUIRE DEPOSITION SERVICES

1 ESQUIRE DEPOSITION SERVICES 401 E. PRATT STREET 2 SUITE 425 BALTIMORE, MD 21202 3 (410) 539-6398 4 ERRATA SHEET 5 Case Name: Rebecca and Derek Devine vs. Frank 6 Cosiano, M.D., et al. 7 Witness Name: David S. Ettinger, M.D. Deposition Date: April 19, 1999 8 Job No.: 17704 9 Reason For 10 Page No. Line No. Correction Correction 11 12 13 14 15 16 17 18 19 20 21 Signature Date ESQUIRE DEPOSITION SERVICES