6. A.

Page 1 IN THE COURT OF COMMON PLEAS 1 2 OF CUYAHOGA COUNTY, OHIO 3 4 NADIRAH D. MALIK, etc., 5 Plaintiff, 6 Case No. 443949 vs Judqe Russo 7 MERIDIA HEALTH SYSTEMS, et al., 8 Defendants. 9 10 DEPOSITION OF JOEL O. D'HUE, M.D. 11 12 WEDNESDAY, FEBRUARY 27, 2002 13 Deposition of JOEL O. D'HUE, M.D., a 14 Defendant herein, called by counsel on behalf of 15 16 the Plaintiff for examination under the statute, taken before me, Vivian L. Gordon, a Registered 17 Diplomate Reporter and Notary Public in and for 18 19 the State of Ohio, pursuant to agreement of counsel, at the offices of Reminger & Reminger, 20 The 113 St. Clair Building, Cleveland, Ohio, 21 22 commencing at 4:30 o'clock p.m. on the day and 23 date above set forth. 10/21/07 24 25

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Page 2 1 **APPEARANCES**: 2 On behalf of the Plaintiff Becker & Mishkind 3 HOWARD D. MISHKIND, ESQ. Skylight Office Tower Suite 660 4 Cleveland, Ohio 44113 5 216-241-2600 6 On behalf of the Defendant Meridia Health Systems 7 Reminger & Reminger CHRISTINE S. REID, ESQ. The 113 St. Clair Building 8 Cleveland, Ohio 44113 9 216-687-1311 On behalf of the Defendant D'Hue 10 Reminger & Reminger 11 SUSAN M. SEACRIST, ESQ. The 113 St. Clair Building Cleveland, Ohio 44113 12 216-687-1311 13 On behalf of the Defendant Dickerson 14 Ulmer & Berne MURRAY LENSON, ESO. 900 Penton Media Building 15 Cleveland, Ohio 44115 16 216-621-8400 17 18 19 20 21 22 23 24 25

Page 3 1 JOEL O. D'HUE, M.D., a witness herein, 2 called for examination, as provided by the Ohio Rules of Civil Procedure, being by me first duly 3 4 sworn, as hereinafter certified, was deposed and said as follows: 5 6 7 EXAMINATION OF JOEL O. D'HUE, M.D. BY MR. MISHKIND: 8 Would you please state your name for 9 0. 10 the record. Joel D'Hue. 11 Α. Dr. D'Hue, my name is Howard Mishkind 12 Ο. and we have never met before, but we were 13 14 introduced before the deposition started. 15 As I think you probably know, I represent the family in this case that's brought 16 the lawsuit against you. You understand that, 17 don't you? 18 Yes, I do. 19 Α. 20 I'm going to be asking you some Q. questions concerning your involvement and 21 treatment of this patient, both at the time of 22 23 your surgery and then during the postoperative 24 period. Okay? 25 Yes. Α.

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Page 4 1 (Thereupon, D'HUE Deposition 2 3 Exhibit 1 was marked for 4 purposes of identification.) 5 Doctor, is that document marked as 6 Q. 7 Plaintiff's Exhibit 1 a current copy of your curriculum vitae? 8 9 Α. It appears to be. 10 Q. You were born in Jamaica? 11 Α. I was. 12 Q. Have you written anything or 13 submitted anything that is not referenced in the 14 CV? 15 Α. NO. 16 Q. You are an otolaryngologist? 17 Α. I am. 18 Do you specialize in head and neck Q. surgeries? 19 20 I don't specialize. I treat it Α. No. 21 as part of being an otolaryngologist. What percentage of your practice is 22 Q. 23 involved with head and neck surgeries? 24 I don't know that I can give you a Α. 25 specific number. I see patients with ear

Page 5 problems, throat problems, nose problems. 1 Ι don't have a number that I could define as just 2 3 being head and neck surgery. In looking at your CV, I wasn't able 4 0. 5 to detect immediately whether or not you are 6 board certified. 7 Α. I am. You are board certified. When did 8 Ο. you become board certified? 9 10 Α. In 1984. 11 Q. Were you successful in becoming board certified first time through? 12 13 Α. NO. 14 Q. How many attempts? 15 MS. SEACRIST: Note an objection. Go 16 ahead, doctor. 17 Α. I had three attempts. What years did you sit for the 18 Ο. boards? 19 20 Α. '82, '83, and '84. '81, '82, '83, 21 sorry. 22 And I'm sorry, you said you were Q. 23 successful in '84 or successful in '83? I was actually successful in '83. 24 Α. The test was done in '83, and as I recall, a 25

Page 6 1 part of the test was done in '84. It's a 2 two-part test. There is a written part of the test and an oral part of the test. And as I 3 4 recall, the oral part is actually done in '84. 5 You have had your deposition taken Ο. before; is that true? 6 7 Α. Yes. 8 Ο. How many times have you been deposed? 9 I don't remember. Two or three Α. 10 times. You have also been named as a 11 Q. defendant in medical negligence cases in the 12 past; correct? 13 Yes. 14 Α. How many times have you been named as 15 Ο. a defendant? 16 17 MS. SEACRIST: Note an objection, 18 please. 19 I don't remember specifically. I do Α. not remember specifically. Four or five times, 20 21 maybe six times. Have all the cases been here in 22 Ο. 23 Cuyahoga County in Cleveland? 24Α. NO. 25 How many of the cases against you Q.

Page 7 1 have been here in Cleveland? 2 Α. All but one. Ο. All but one? 3 4 Α. Yes. Where was the one that was not in 5 Q. 6 Cleveland? 7 MS. SEACRIST: Continuing objection. MR. MISHKIND: That's fine. 8 9 Α. In Erie County, where Sandusky is. 10 MS. SEACRIST: That's Erie County. 11 Are any of those cases still open, to Q. your knowledge? 12 13 Α. No. 14 The two to three times that you have Q. 15 been deposed, were they in connection with the cases that were brought against you? 16 17 Α. Yes. Have you ever had your deposition 18 Ο. taken, sir, as an expert witness? 19 20 Α. No. 21 Have you ever served as an expert 0. witness? 22 No, not that I can recall. 23 Α. 24 Ο. Did any of the cases that you were 25 named as the defendant involve from your

Page 8 1 perspective any similar issues to the issues which you understand exist in this case? 2 3 Α. I'm not sure what you mean by similar 4 issues. 5 You performed oral cancer surgery in Ο. this case; correct? 6 7 Α. Yes. You were caring for this patient 8 Ο. during the postoperative period prior to his 9 10 demise? Α. Yes. 11 And then the patient died of 12 0. complications following your surgery; correct? 13 The patient that we are talking about is Molvin 14 Edwards. 15 The patient died. I'm not sure he 16 Α. 17 died of complications following my surgery. He died of complications which 18 Ο. developed after your surgery; correct? 19 The patient died two days after 20 Α. 21 surgery. Q. Did any of the other cases involve 22 patients that you had performed any type of a 23 24 head and neck surgery on that died following 25 surgery?

Page 9 Well, I should take that back. 1 Α. No. 2 There was one case, the patient died several 3 months later and I was sued as part of a global 4 lawsuit. But not in the immediate postoperative 5 period, the patient didn't die in the immediate 6 postoperative period. 7 Of the cases that you have been named 0. as a defendant, the ones here in Cleveland, did 8 9 any of them go to trial? 10 Α. Yes. 11 Q. How many? 12 Α. One. 13 And what was the result of that? Q. I obtained a defense verdict. 14 Α. 15 How long ago was that, sir? Q. This was about a year or so ago. 16 Α. 17 The other cases were either dismissed Ο. or resolved in some way? 18 19 Α. Yes. What about the case in Sandusky? 20 <u>Q</u>. 21 Α. It was settled. 22 Q. Have you ever had your privileges 23 revoked or suspended or drawn into question in any type of a disciplinary action? 24 25 Α. I have had my privileges suspended

Page 10 temporarily for charts, but that's the only 1 2 reason. 3 Q. Have you ever applied for privileges at a hospital and been denied privileges? 4 5 Α. NO. 6 Do you remember Mr. Edwards? Q. I do. Α. 7 8 Q. Do you remember performing surgery on 9 the patient? Α. 10 Yes. 11 Q. Do you remember meeting his daughter? 12 Α. Yes. Did you have any contact with 13 **Q**. Mr. Edwards outside of either the office or the 14 hospital setting? 15 16 Α. NO. The same question with regard to his 17 Q. Did you have any contact with her 18 daughter. outside of the office or the hospital setting? 19 20 I think I may have had lunch with her Α. once after his death. I don't remember that I 21 22 went to the funeral. I think I might have gone to the funeral. I don't remember. 23 But you have a recollection of having 24 Ο. lunch with her after her dad died? 25

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Page 11 Α. Yes. 1 2 0. Tell me about that, what you 3 remember. 4 Α. We just talked. I wanted to express 5 my condolences to her. It was just lunch. Ι 6 don't remember specifically what we talked 7 about. 8 Q. She, obviously, wanted to know why 9 her dad died, I would presume; is that a fair assumption? 10 11 Α. She was just expressing grief over the fact that he had died. That's what I 12 remember it being. 13 14<u>Q</u>. Where did you have lunch at, do you recall? 15 It was at Baker's Square in Cleveland 16 Α. Heights, in the Circle, Severance. 17 18 Q. Did she contact you to set up a 19 meeting or did you contact her? 20 Ã. That, I do not recall. 21 Was it shortly after the death that Q. 22 the lunch happened? 23 Α. Yes. And was this a one time get together? 24 Q. 25 Α. Yes.

Page 12 During the course of your meeting 0. 1 2 with her, did you express to her any opinions as to why her dad died? 3 MS. SEACRIST: Note an objection. 4 5 Α. I don't remember saying anything to her as to why he died. 6 Do you remember any of the questions 7 Q. 8 that she had for you, if any, about what had transpired during the postoperative period? 9 I don't remember any questions she 10 Α. 11 asked me, no. Did you maintain any type of a diary 12 Q. or notes relative to your get together with the 13 14 daughter? No. 15 Α. And was that recorded at all in any 16 Ο. of your office notes; the fact that you had 17 gotten together with her? 18 19 Α. NO. Did you have any communication with 20 Ο. the daughter on any other occasion, by phone, or 21 in any other manner, other than what you have 22 just told me about? 23 24 Α. NO. MS. SEACRIST: You know, you received 25

Page 13 a thank you note from the family, which I can 1 2 give you a copy of. Yes, there was a thank you note sent 3 Α. to me by them. 4 5 (Thereupon, D'HUE Deposition 6 Exhibit 2 was marked for 7 8 purposes of identification.) 9 Doctor, is Plaintiff's Exhibit 2 a 10 Ο. 11 copy of the thank you note that you received from the family? 12 13 Α. It appears to be, yes. 14 Q. Do you recall the daughter questioning you at all about what went on while 15 her dad was on the telemetry unit after being 16 transferred out of intensive care? 17 18 Α. No, I don't recall. How was Mr. Edwards referred to you? 19 Q. 20 Α. He was referred to me by a dentist, an oral surgeon or someone like that. A 21 dentist, I think. 22 Other than the daughter, did you have 23 Q. any contact with any other family members? 24 I don't recall. 25 Α.

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Page 14 You have a copy of your office 1 0. records there? 2 3 Α. Yes. 4 MR. LENSON: I don't have a copy. 5 Can you make sure I get a copy? MS. SEACRIST: 6 Sure. 7 If I could just see your copy for one Q. second. 8 9 Doctor, your attorney provided me 10 with a copy of your records, and what I'm going to do just to be complete, I'm going to mark 11 them as Exhibit 3 to the deposition. 12 13 14 (Thereupon, D'HUE Deposition 15 Exhibit 3 was marked for 16 purposes of identification.) 17 18 Q. I believe it's exactly what you have in front of you. 19 I'm going to show you Plaintiff's 20 Exhibit 3, and I don't necessarily expect that 21 22 you are going to go through every page of it, 23 but does this appear -- and I'll represent to 24 you that it's a xerox and it was provided to me 25 by your attorney -- but does this appear to be a

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Page 15 copy of your entire chart --1 2 Α. Yes. -- on Mr. Edwards? 3 Q. Α. 4 Yes. 5 0. And it would contain not only your 6 office notes, but, as well, copies of labs and 7 portions of the hospital record that would be 8 sent to you; correct? 9 Yes. Α. 10 Do you recall receiving any 0. 11 correspondence from Dr. Dickerson relative to 12 this patient? 13 And the reason I ask this, in looking at this record, which is Exhibit 3, I don't see 14 15 any letters that either you wrote to him or that he wrote to you. And I'm not necessarily 16 suggesting that there are any, but because 17 Dr. Dickerson was his cardiologist, I was 18 curious as to whether you received any such 19 20 correspondence. I don't recall getting any from him. 21 Α. 22 Q. If you had received correspondence from Dr. Dickerson, would that be part of your 23 file? 24 Yes, it would. 25 Α.

Page 16 1 Ο. And it would then be contained within 2 Plaintiff's Exhibit 3; correct? Α. Yes. 3 4 Q. Have you had a chance to read 5 Dr. Dickerson's deposition? Α. No. 6 Have you been provided with a copy of 7 Ο. Dr. Dickerson's deposition? 8 9 Α. I think so, yes. 10 Q. But you have not read it? I don't remember. 11 Α. 12 MR. MISHKIND: Your attorney has a 13 curious look on her face. MS. SEACRIST: I don't think I did 14 provide it to him. 15 16 Q. If you did read it, and it wasn't provided to you by your attorney, then I have a 17 curious look on my face. 18 19 As you sit here right now, you have no recollection of having read it? 20 21 Α. No. Do you and Dr. Dickerson see each 22 0. 23 other from time to time? 24 Α. Yes. 25 I take it you were aware that Q.

Page 17 1 Dr. Dickerson's deposition was taken a month or 2 so ago? 3 I was aware that it was taken. Α. 4 MS. SEACRIST: Objection as to what 5 we discussed. 6 Q. Did Dr. Dickerson tell you that his 7 deposition was taken? 8 Α. No. Have you and Dr. Dickerson at any 9 Ο. time talked about the circumstances surrounding 10 Mr. Edwards' death? 11 12 Α. We spoke immediately following his 13 death. Q. At the hospital? 14 15 Α. We spoke by phone. I don't remember 16 whether it was at the hospital or from my office, but we did speak about it. 17 What do you recall about that 18 Ο. conversation with Dr. Dickerson? 19 Well, I remember telling him that 20 Α. Mr. Edwards had died and his immediate reaction 21 to me was he had a heart attack. 22 Do you know how Dr. Dickerson arrived 23 Q. at that conclusion? 24 25 Α. No, I don't.

Page 18 I'm going to represent to you that 1 0. 2 Dr. Dickerson in his deposition indicated that 3 he cleared Mr. Edwards for surgery and that you 4 had asked for medical clearance from him. Does 5 that sound to be consistent with what probably 6 happened? 7 That is what happened. Α. 8 And Dr. Dickerson, I'm going to tell Ο. 9 you, indicated that he was advised by you that 10 the surgery was intended to be done for a cure of Mr. Edwards' cancer. 11 12 Α. Yes. 13 And if Dr. Dickerson testified to Ο. that effect, was that an accurate statement on 14 15 his part? 16 Α. Yes. 17 When you performed the surgery on Ο. Mr. Edwards, were you optimistic that surgery 18 19 would provide him with a cure? 20 Α. Yes. I want to go through a couple entries 21 Ο. 22 before the surgery and then I want to talk to 23 you about the events that occurred in the hospital, okay? 24 25 Α. Okay.

Page 19 There is an office note on December 1 0. 2 16th, 1999. If you could refer to that. 3 Yes. Α. 4 Q. In that note, it says discussed with 5 patient and his daughter the various treatment 6 options. Do you see that? 7 Α. Yes. Would you explain to me in all 8 Q. likelihood what you would have explained to 9 10 Mr. Edwards and his daughter at that time? I would have told him that he had a 11 Α. malignancy in his mouth, throat, and that it was 12 13 potentially curable by surgery, by radiation, or by a combination of both. 14 I would have told him the risks 15 16 associated with both of those options; the 17 advantages that he could expect from them, and 18 the disadvantages that he might experience from 19 either course of action. 20 And I would have told him, because I 21 knew he had a bad heart, that his chance of, as I usually put it, getting off the table would be 22 23 less, because he had other medical problems. 24 I would have told him that the 25 surgery would be likely to change some of his

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1	function later. We were planning to remove a
2	portion of the base of his tongue and that would
3	change his speech, at least temporarily.
4	I would have told him that it may
5	affect his ability to swallow. I would have
6	told him, because of the location of where this
7	tumor was, that there was a good chance I would
8	have to split his mandible; his mandible, the
9	lower jaw, in order to get back there to remove
10	it, and that I would need to remove tissue from
11	his chest or someplace else to fill the defect
12	after removing the cancer.
13	I would have told him that he would
14	be in the hospital until I was convinced that he
15	was able to eat and drink and that the surgery
16	had relatively healed well enough for us to
17	radiate him afterwards. Those are the things I
18	would have said to him.
19	Q. Was Mr. Edwards a bright man?
20	MS. SEACRIST: Objection.
21	A. I'm not sure what you mean by a
22	bright man, but he seemed to understand what I
23	was talking to him about.
24	Q. Probably not the best wording, but
25	what I meant by that was exactly what you just

Page 21 said. 1 2 Did he appear to understand the nature and the significance of his condition and 3 the options that you were explaining to him? 4 5 Α. Yes, he did. His daughter was there to hear all of 6 Q. what you explained as well; true? 7 8 Α. Yes. And it may be a poorly worded 9 Ο. question again, but did his daughter appear to 10 be a relatively intelligent woman that seemed to 11 understand what you were explaining concerning 12 her dad's condition, from your perspective? 13 MS. SEACRIST: Objection. You can 14 15 answer. 16 Α. Yes. You indicated that you were going to 17 Q. do a biopsy first; correct? 18 19 Α. Yes. 20 And a biopsy was done, I believe, on Q. December 19th? 21 That was done before. Because 22 Α. 23 December 16th says that he has cancer. 24 I'm sorry, the biopsy was actually Ο. 25 done December 7.

Page 22 1 Α. All right. 2 Q. And on December 16th, you reviewed --3 Α. The results of that biopsy. 4 Got it. Based upon the biopsy Q. 5 results, you were optimistic, were you not, that 6 the surgery would provide him with a cure for 7 the cancer? 8 Α. Yes. 9 After seeing Mr. Edwards and his Q. 10 daughter on the 16th of December -- I'm sorry 11 for going out of order there. We have the 12 biopsy on the 7th, you see him on the 16th. How 13 many times did you see Mr. Edwards then before 14 he was admitted to the hospital for the actual 15 surgery? 16 MS. SEACRIST: In total or following the 16th? 17 18 MR. MISHKIND: Following the 16th. 19 Α. I saw him twice following the 16th. 20 Was one of those visits December Q. 21 20th? 22 Α. Yes. 23 MS. SEACRIST: Just as to some of the 24 dates, it's a little difficult to see in the 25 copies, so as best as we can tell.

Page 23 1 Q. On December 20th, doctor, it looks 2 like, at least in your chart, there is an EKG 3 that was performed. 4 Α. In my chart? 5 Q. In your chart. I don't do EKG's at my office. Α. 6 Actually, it looks like the EKG may 7 Q. have been received by you on December 20th. 8 9 Α. Right. But it was performed on December 6th. 10 Q. Was this part of the pre-op 11 assessment before his biopsy was done, the EKG 12 was done? 13 It would have been. 14 Α. 15 Ο. And then that EKG was sent to, a copy 16 of it was sent to you; correct? 17 Α. Yes. Just to save time, you see where it 18 Ο. says referred by Dr. D'Hue, and then there is a 19 stamp, December 20th, that would be the date 20 21 that you received it? Right. And I initialed it. 22 Α. 23 Q. And those are your initials? Yes. 24 Α. So there is, in fact, a copy of the 25 Q.

Page 24 1 EKG that was done on a pre-op basis before the biopsy, a copy of which was eventually sent to 2 you for your chart; true? 3 4 Α. Yes. 5 When did you obtain surgical Q. clearance from Dr. Dickerson? 6 7 Α. I don't remember the exact date, but I remember speaking to Dr. Dickerson about him. 8 I made a note in my chart that I would discuss 9 10 it with Dr. Dickerson. That was the date, the 16th of December, and I discussed it with him by 11 phone, and then when the patient came back to 12 discuss the treatment, I actually sent him to 13 Dr. Dickerson for surgical clearance, cardiac 14 clearance for surgery. 15 And normally you receive 16 Ο. 17 correspondence from Dr. Dickerson relative to 18 the clearance for surgery? MS. SEACRIST: Objection. 19 Α. It depends. If the patient is going 20 21 to be going into the hospital to have surgery, sometimes Dr. Dickerson will clear him there and 22 23 he won't necessarily send me a correspondence 24 before the patient gets admitted. Sometimes if 25 the surgery is scheduled far down the road, he

Page 25 1 will send me a letter, the doctor that admits. Your testimony in this case is that 2 ο. 3 Dr. Dickerson did not send you a letter? 4 I don't recall him sending me a Α. 5 letter before the patient actually got admitted to the hospital. 6 7 Do you have any type of a letter from Q. 8 Dr. Dickerson at all relative to this patient in your chart? 9 I have to review this. There is one 10 Α. here. I know there is a note from him in the 11 12 hospital chart. I'm talking about an actual letter on 13 Ο. his letterhead that he sent to you. 14 I don't recall there being one. 15 Α. 16 In any event, doctor, you were Ο. 17 satisfied based upon the clearance that you 18 received from Dr. Dickerson that Mr. Edwards was 19 an appropriate surgical candidate; true? Α. 20 Yes. 21 I noted in your records that you have Ο. 22 lab work from Huron Road Hospital. I think it 23 was December 6th, lab work. 24 When you say December 6th, do you Α. 25 mean December 6th was the day when I initialed

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Page 26 1 it or the date --2 The date that the lab work was done. Q. 3 Α. Okay, yes. 4 Q. And at that time, his lab work, at least relative to the hematology, the hemoglobin 5 and the hematocrit were within normal limits; 6 7 correct? 8 Α. Yes. 9 Ο. And he was not anemic at that time; 10 correct? No. 11 Α. Were you aware of any history, either 12 Ο. on an acute or chronic basis, of Mr. Edwards 13 being anemic? 14 15 Α. No. Let's talk about the surgery itself. 16 Ο. First, you actually did two surgeries when he 17 was in the hospital at Huron Road; correct? 18 First I biopsied him and then I 19 Α. operated on his neck, yes. 20 21 Q . Were you involved in inserting the 22 peq tube? 23 Α. No. So that was done by general surgery? 24 Ο. That was done by a gastroenterologist. 25 Α.

Page 27 1 Ο. And that was for purposes of 2 post-nutritional care after you performed your 3 oral surgery; right? 4 Α. Right. 5 Q. Obviously, with the nature of the 6 surgery that you were going to be doing, he was 7 going to need to have some type of hyperalimentation or feeding, and it wasn't 8 9 going to be oral? 10 Α. Right. 11 That went fine in terms of the peq Ο. tube placement; no complications that you are 12 13 aware of? As far as I'm aware. 14 Α. 15 Ο. How many days after the peg tube was 16 your surgery done? 17 I don't recall exactly. It would Α. 18 have been one or two days. Dr. Okafor's 19 progress note, I think. That would have been on the 25th. Right, it would have been a day 20 before. 21 22 You were assisted by a resident at Ο. 23 the time of your surgery? 24 Α. Yes. 25 Who was the resident? Q.

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Page 28 1 Α. Dr. Ahmed. 2 Q. Is Dr. Ahmed still with you? He was never with me. 3 Α. 4 Q. Let me rephrase that. Is Dr. Ahmed 5 still in his residency through Huron Road? 6 Α. No, he is not. 7 Q. Where is he now? 8 He is an attending at Huron at this Α. time. 9 10 Q. What year was he in his residency at the time? 11 12 Α. He was in his last year. 13 Ο. Would that have been --14 Α. That's his chief residency. That would have been his fifth year. 15 16 Ο. There is reference to a Dr. Nimeri, 17 also. Yes. 18 Α. 19 Who is Dr. Nimeri? Q. A. A junior resident. 20 21 MR. LENSON: In surgery? 22 THE WITNESS: Yes. So Dr. Ahmed would have been the 23 Q. 24 senior resident in your department? 25 Α. Yes.

Page 29 1 0. And then Dr. Nimeri would have been the junior resident? 2 3 Α. Yes. 4 0. There is also reference to -- and 5 I'm just trying to get the players, if you will, 6 the names of the people. There is another 7 resident that's referenced, and I'll just show 8 you a page from the record. Perhaps you can 9 tell me who that resident is. You can see by the highlighted language, the lower left-hand 10 corner, there is a name identified with a 7149. 11 12 Do you know who that is? I do not recognize the signature. 13 Α. But I suspect this is Dr. Ahmed's handwriting. 14 15 (Recess had.) 16 Doctor, before I continue to move on Ο. through the chart, I failed to ask you whether 17 18 or not you reviewed any medical literature at all prior to coming here today. 19 20 Α. No. Have you reviewed any medical 21 Ο. literature at all since this lawsuit has been 22 filed? 23 24 No. Α. Is there any medical literature that 25 Q.

Page 30 1 you deem to be reasonably reliable as it relates 2 to the issues that are involved in this type of surgery and the postsurgical management of this 3 4 type of a patient? 5 MS. SEACRIST: Objection. You can 6 answer, doctor. 7 Α. There are a number of medical publications that deal with the subject. 8 The Archives of Otolaryngology, JAMA, Journal of the 9 10 American Medical Association, the Archives, the Journal of Otolaryngology, Head and Neck Surgery 11 Society. There are a number of them. Clinics 12 13 of North America. A number of them. 14 Any that you looked at specifically Q. 15 with regard to matters that would be relevant to this case? 16 17 I have not reviewed any. Α. So as you sit here right now, there 18 Ο. is nothing that you can cite me to that you 19 would deem to be authoritative in the area of 20 head and neck surgery that you reasonably rely 21 22 on on a day-to-day basis; is that correct? 23 MS. SEACRIST: Objection. Go ahead 24 and answer. I don't rely on anything on a 25 Α.

Page 31 day-to-day basis. I read medical journals as I 1 2 need to. Have you read anything that would be 3 Ο. specific or even relevant to any of the issues 4 5 that you believe relate to this case? Have I ever read anything or have I 6 Α. read anything since this case happened? 7 8 Q. Since this case happened. 9 Α. No. Tell me, what is the overall 10 Ο. 11 prognosis for the squamous cell carcinoma that 12 Mr. Edwards had? 13 Α. What do you mean by overall prognosis? Are you talking about the chance of 14 15 cure? 16 Q. Right. 17 Α. Are you talking about survival? Morbidity and mortality. 18 Ο. MR. LENSON: I'm going to object 19 20 because the pathology is moderately to poorly differentiated squamous cell carcinoma, and I 21 22 don't think you gave the doctor that. If you 23 are going to ask him for a diagnosis, give him what the actual pathology was. 24 25 What did you believe to be the Q.

Page 32 overall prognosis for this patient based upon 1 2 the results of your surgery and the pathology that you received following the surgery? 3 That is a difficult question to 4 Α. 5 answer, because the patient had severe -- well, he had significant cardiac disease. And I am 6 not able to make a judgment of his prognosis 7 taking that into consideration. 8 9 Q. Okay. Absent his cardiac condition, the 10 Α. 11 chance of curing a cancer such as this patient had would be better than 50 percent, especially 12 if you combine radiation with it afterwards. 13 14 Q. His cancer did not involve any distal metastases? 15 Not that we know of. 16 Α. 17 Q. And that's a good sign from a prognostic standpoint; true? 18 19 Α. Yes. 20 Q. He had apparently only one node involved out of 18? 21 22 Α. That's what the pathologist reported, 23 yes. And that's another good prognostic 24 0. 25 factor; true?

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Page 33 1 Α. Yes. 2 ο. Is there anything that you saw from your expertise reflected in the autopsy that 3 would cause you to believe that his life 4 5 expectancy would have been substantially reduced based upon the oral cavity cancer that he had? 6 I need to hear that question again. 7 Α. 8 Q. A moment ago you told me about 9 prognostic factors and what you believe to be the likelihood of cure and the likelihood of him 10 11 surviving this cancer. My question was sort of 12 a corollary. Based upon the results of the autopsy, and limited to your expertise as an 13 14 otolaryngologist, was there anything that you 15 saw in the autopsy that would alter your opinion 16 on his likelihood of survival other than what 17 you have already told me? MS. SEACRIST: Note an objection. It 18 19 may have been some time since he looked at this. 20 May he look at this for the purpose of your 21 question? 22 MR. MISHKIND: Certainly. I assumed 23 he had reviewed it. You have seen it at some time? 24 Ο. 25 Α. I did see it some time, yes.

Page 34 You need to ask me the question 1 2 aqain. Not a problem, doctor. You told me 3 0. before, based upon this cancer and the 4 5 pathology, you told me that you felt that the 6 patient, aside from any cardiac issues, had better than 50 percent likelihood of survival; 7 8 true? That's what I believe, yes. 9 Α. 10 Ο. And I guess my question to you is, 11 based upon the autopsy results, do you have an opinion as to whether the patient's life 12 13 expectancy would have been reduced based upon 14 the oral cancer? 15 MS. SEACRIST: Objection. Is this 16 again taking the cardiac issue out of the 17 question? MR. MISHKIND: Yes. 18 19 Α. NO. I do not think that the cancer alone would have reduced his life expectancy any 20 21 more than his 50 percent chance, as I mentioned 22 earlier. 23 Q. I think you told me that he had greater than a 50 percent likelihood of 24 25 survival?

Page 35 1 Α. I think he had a better than 50 percent. Whether 80 or 60, I'm not able to say 2 at this point, but I think he had better than a 3 4 50 percent chance of surviving at least five 5 years, if I could have continued the treatment. 6 Surgery was just the first part of the 7 treatment. We had planned radiation afterwards. And that leads me to my next 8 Q. question. Quality of life. 9 10 How debilitating and -- I'll just leave it at that. How debilitating would the 11 12 radiation treatment that he likely would have 13 required to maximize his life expectancy have 14 been? 15 A. It's varies a lot from patient to patient. One is not able to make a 16 determination just by saying radiation is going 17 to do this. Some patients do fairly well with 18 radiation and some patients don't. 19 But in any event, any debility that 20 he might have had would likely have been 21 temporary during the course of the radiation and 22 for some time thereafter. 23 24 There are some patients who have chronic pain as a result of radiation therapy 25

Page 36 and the pain goes on for a long time, and some 1 patients that go through the entire radiation 2 3 course and they don't stop eating. 4 Statistically, do most patients fair Q. 5 well with the radiation therapy following this 6 type of cancer surgery? 7 MS. SEACRIST: Objection. You can 8 answer, doctor. 9 Α. More patients do well than don't do 10 well. There is a reference to a doctor -- I 11 Ο. will spell the name because I'm going to butcher 12 13 it -- K-U-I-V-I-N-E-N. Dr. Kuivinen. 14 Α. 15 Who is Dr. Kuivinen? Ο. He was one of the surgical residents. 16 Α. He was a junior resident at the time. 17 So he was the same level as -- I 18 Ο. 19 forgot the other doctor's name. Dr. Nimeri. 20 Α. 21 Q. Right. 22 Ά. I don't think so. I think Dr. Kuivinen was more advanced that Dr. Nimeri. 23 Do you know where Dr. Kuivinen is 24 0. 25 located now?
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Page 37 1 Α. I do not know. 2 At Huron Road? Q. He is not at Huron. He has graduated 3 Α. 4 and I don't know what he is doing. Mr. Edwards was admitted to the ICU 5 Q . -- and please feel free to look at the 6 7 records -- on January 26th, I believe? 8 Α. Yes. And then he was transferred from the 9 Ο. ICU to telemetry on the 28th; correct? 10 11 Α. Yes. To try to save some time, I'm going 12 Q. to ask you a couple questions, just in sort of a 13 global manner. 14 15 Who was the attending to the patient while he was in the intensive care unit from the 16 26th to the 28th? 17 18 Α. I was. 19 And you had one or more of these Ο. residents assisting you during the day and 20 21 perhaps during the evening? 22 Α. Yes. 23 How did the patient progress? Ο. How 24 did Mr. Edwards progress from the 26th up to the time that a decision was made to transfer him on 25

Page 38 the 28th? 1 2 Α. Remarkably well. You were satisfied with his progress? 3 Ο. Very satisfied. 4 Α. 5 Ο. Whose decision was it to transfer the 6 patient from the ICU to telemetry? It was my decision. 7 Α. 8 Q. And when was that decision made? I think it was on the 28th. 9 Α. Doctor, there are a number of orders 10 0. 11 written. I'm going to show you, just to save some time, a sheet of orders dated January 28th, 12 13 2000, at 9:00 a.m. Do you see those orders? 14 Α. Yes. 15 Whose orders were they? Ο. 16 Α. They were my orders. They weren't 17 written by me, but they were my orders. And one of your orders included 18 Ο. 19 transfusing the patient, two units of packed red 20 blood cells, each unit over a four hour period? 21 Α. Yes. 22 Ο. I take it that order was based upon 23 the patient's hemoglobin and hematocrit that had gotten worse following the surgery? 24 25 Α. Yes.

Page 39 MS. SEACRIST: Objection to gotten 1 2 worse. I'm going to hand you, doctor, just 3 Ο. to save some time, a copy of the hematology 4 5 results. And I think that reflects both the 6 pre-op -- or let me just leave it -- from 7 January 24th through January 28th. 8 Α. Yes. 9 And on January 28th, his hemoglobin Ο. 10 was 8.8 and hematocrit was 25.9; correct? 11 Yes. Α. And it had drifted lower each day; 12 0. 13 correct? MS. SEACRIST: I'm going to note an 14 objection to this exhibit, this cumulative 15 16 chart, because I don't believe that those are 17 created contemporaneously, while the patient is That's the basis of my objection, but admitted. 18 19 you can answer. 20 You knew on January 28th that his Q. 21 hemoglobin was 8.8 and his hematocrit was 25.9? 22 Α. Yes. 23 And you knew on the 27th that the Q. hemoglobin was 9.1 and the hematocrit was 27.7? 24 25 Α. Yes.

Page 40 And again, on January 26th, you knew 1 0. 2 the hemoglobin was 9.6 and the hematocrit was 28.4? 3 4 Α. Yes. 5 Q. And just to be overly complete, you knew on January 26th that his hemoglobin was 6 10.4 and hematocrit was 31.1? 7 8 MR. LENSON: You said the 26th. Do 9 you mean the 25th? 10 MR. MISHKIND: There were two readings on the 26th. 11 Yes, I was aware. 12 Α. 13 Q. And the 24th shows a hemoglobin of 13.5 and a hematocrit of 40.9; correct? 14 15 Α. Yes. 16 Q. What caused this patient's hemoglobin 17 and hematocrit to drop? Whenever you operate on someone, you 18 Α. 19 lose some blood. But also, there is a 20 dilutional effect from the fluid that's given to the patient during surgery. And I believe that 21 22 was reflected in the lower numbers for the 23 hemoglobin and hematocrit. Why on the 28th did you give an order 24 Ο. 25 to transfuse the patient?

Page 41 1 Α. He had been out of surgery for a couple days. He had been diuresed, which means 2 we had tried to get some of the fluid off him, 3 4 and the hemoglobin was still low, so we thought 5 it would be wise to increase the oxygen carrying capacity of the blood, which is what hemoglobin 6 7 does. What concerns did you have with 8 Q. 9 regard to the hemoglobin by way of any potential problems that might happen if he was not 10 11 transfused? MS. SEACRIST: Objection. 12 Healing is affected when your body is 13 Α. not carrying around enough oxygen like it used 14 It is not good to 15 to. That is one concern. have someone with a low hemoglobin like that if 16 17 you can help it. Patients are accustomed to having a higher hemoglobin, so you want to make 18 sure that it is returned to at least closer to 19 20 normal. Doctor, this would be Dr. Ahmed that 21 Ο. wrote the order, but it would have been pursuant 22 to your instructions; correct? 23 24 Α. Yes. And certainly, you agreed with the 25 Q.

Page 42 1 plan to transfuse the patient; correct? 2 Α. Yes. 3 Doctor, I don't mean to jump around Q. 4 in the chart, but in the discharge summary, that 5 was prepared by Dr. Nimeri and also has your name on it --6 7 Α. Yes. 8 -- it indicates that the patient was 0. 9 transfused with two units of blood, as his 10 H and H was 8.8 and 25.9, which was a drop from 9.2 and 27.7. Do you see that? 11 12 Α. Yes. 13 Q. That's not correct, is it? 14 MR. LENSON: What's not correct? 15 MR. MISHKIND: That he was 16 transfused. 17 Α. I don't think the record reflects 18 that he was actually given the transfusion. 19 Ο. The intent was for him to be 20 transfused? 21 Α. Yes. 22 But where it says he was transfused, Q. 23 that is not accurate? I don't think it is. 24 Α. 25 And the only reason I ask you, I'm Q.

Page 43 trying to figure out whether there is something 1 2 that I have missed that would suggest that he was transfused, and this discharge summary 3 caused me to at least question that in my own 4 5 mind. I don't think he was transfused. 6 Α. 7 The order was taken off by a nurse 0. 8 from the physician's orders; correct? 9 Α. It appears so, yes. And you then, I take it, rely on the 10 Ο. 11 nurses to implement your orders. MS. REID: Objection. 12 13 Α. Yes. 14 Ο. Is there any indication that your order or Dr. Ahmed's order was countermanded or 15 was withdrawn or changed? 16 17 Α. No. Do you have any explanation in this 18 Q. case why the patient was not transfused? 19 20 Α. I do not have an explanation. 21 Did you expect that the patient Q. should have been transfused on January 28th, 22 23 2000? 24You expect it to happen that Α. Yes. 25 day.

Page 44 And the responsibility for doing the 1 Ο. 2 type and crossmatch on the blood, was that nursing? 3 Α. 4 Yes. 5 Q. And then making sure that the blood comes up from the blood bank and then is hung, 6 that's a nursing responsibility, as well; true? 7 8 Α. Yes. And you don't see any evidence in the 9 Q. record that the patient was typed and 10 11 crossmatched or the blood was administered; 12 correct? I don't see any evidence that that 13 Α. 14 was done. You rely on nurses to take care of 15 Ο. 16 all of those aspects; correct? 17 MS. REID: Objection. 18 Α. Yes. I rely on the nurses to carry out the orders that I write. 19 20 Q. Did you see the patient at all later on on the 28th? 21 22 Α. Yes. 23 Q. Did you make any note at all on the 24 28th acknowledging that an order that had been 25 taken off that morning had not been implemented?

Page 45 I did not write that, no, but I made Α. 1 2 a note of condition. 3 What was your note to his clinical Q. condition? 4 That he was doing guite well. 5 Α. 6 That doesn't mean that you didn't Q. want the patient transfused; correct? 7 8 Α. No. 9 You expected that when that order was 0. given and taken off at 9:00 a.m. that it was 10 11 going to be followed through; correct? 12 I expected that the order would be Α. carried out, yes. 13 14 0. Can you tell by looking at this note which nurse it was that took the order off? 15 16 It appears that the person who signed Α. off on it was named C. Welden, and the time was 17 18 11:55. 19 And do you know who that nurse is? Ο. I don't know. I cannot put a face to 20 Α. that name. 21 22 Do you have a recollection of ever Q. 23 discussing with this nurse or with any of the 24 nursing staff why it is that an order that had been given and taken off was not implemented? 25

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Page 46 Α. You mean this specific order? 1 2 Ο. Yes, sir. 3 Α. No. Do you know of any reason from what 4 Ο. 5 was going on on the 28th that would have 6 prohibited the nursing staff from having administered two units of blood to Mr. Edwards 7 8 at some time during the day? 9 Α. No. 10 So is it fair to say that had the Ο. 11 nurses done what you had asked them to do, Mr. Edwards should have received two units of 12 blood? 13 14 Α. At sometime during the next several hours, 24 hours or so, I would expect it to be 15 carried out, yes. 16 Absent that, would you agree that the 17 Ο. failure to comply with your order would not be 18 reasonable and acceptable nursing care? 19 20 MS. REID: Objection. 21 MS. SEACRIST: Objection. I wouldn't go that far. I do not 22 Α. know what mechanisms have to take place before 23 blood can be transfused. I don't know whether 24 25 the blood is in the hospital or it has to be

Page 47 obtained. So if it were not given by the 1 2 following day, I would say to someone, explain to me what is happening. 3 Do you know how long it takes to type 4 Q. 5 and crossmatch a patient? That I don't know. 6 Α. Do you know what his blood type was? 7 0. 8 Α. NO. Assuming his blood type wasn't 9 0. something so bizarre that we had to go places 10 11 outside of the hospital, do you know how long the normal time period is, absent some other 12 13 emergency, to be able to type and crossmatch and to get packed red blood cells hung and started? 14 15 I don't know that there is any Α. 16 specific time that it requires to do all that. 17 Ο. In your order you said that you wanted each unit hung or each unit over four 18 19 hours; correct? 20 Α. Yes. You didn't indicate that you wanted 21 Ο. 22 the two units of packed red blood cells each 23 unit to be given over the next 24 hours; correct? 24 25 MS. SEACRIST: Objection.

Page 48 1 Α. Right. 2 Correct me if I am wrong, what you Q. 3 expected and what you were saying to the nurses 4 is that you wanted two units of packed red blood 5 cells, each unit to be administered over a four 6 hour period; correct? 7 Α. Yes. 8 Thus, over eight hours, the two Q. 9 units --10 A. Would be given. -- would be given? 11 Q. 12 Α. Yes. 13 Q. And clearly in this case, they were not given; correct? 14 15 MS. REID: Objection. 16 Α. I don't see any record -- I don't see 17 any indication in the record anywhere. 18 Again, you have no explanation that Ο. 19 would explain why the nurses didn't do what you 20 asked them to do; true? 21 Α. I don't. Dr. Dickerson had a resident by the 22 Q. 23 name of Dr. Labes. I think Sylvia is her first 24name. Do you recall? 25 I don't know who Dr. Dickerson's Α.

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Page 49 1 resident was at the time. 2 There is a note on the 28th, Ο. cardiology resident indicating that -- I'll show 3 4 it to you to save some time. It refers to, under number two, the patient's anemia. 5 Yes. 6 Α. And it indicates, where it references 7 Q. transfusion, that the cardiologist wanted to 8 make sure that the patient was not given any 9 type of an overload; correct? 10 11 Α. Yes. And that would be standard practice 12 Ο. when a transfusion is given, just to make sure 13 that the patient is appropriately diuresed; 14 15 correct? Well, in this case, it was a 16 Α. consideration because the patient had a cardiac 17 history and we did not want to overload him. 18 He had had surgery two days before, and although we 19 had diuresed him, there was still some more 20 fluid in him. He had more in than had come out. 21 And when you have a patient like that, you want 22 to be careful about how much fluid you are 23 giving them, and this was the consideration. 24 And that would certainly be a 25 Q.

Page 50 1 reasonable thing to do while the patient is receiving the transfusion, is to diurese the 2 patient to avoid any congestive heart failure? 3 4 Α. Yes. But in any event, the patient never 5 Q. received the blood, so there was no need to 6 7 diurese the patient any further to avoid any congestive heart failure; true? 8 9 I don't know that there wasn't any Α. reason to not diurese the patient further. But 10 if we were going to give the patient blood, then 11 we would want to diurese them. 12 Did you see the patient in the 13 Q. afternoon on January 28th? 14 I did. 15 Α. And you then should have been aware 16 Ο. that the order given in the morning had not been 17 18 followed through on in terms of the blood; 19 right? 20 Ã. Yes. 21 Do you remember being aware of that 0. order? 22 23 No, I don't remember. I don't recall Α. not being aware of it. 24 If you had been aware of it on the 25 Q.

Page 51 28th, and that the order had been taken off but 1 not implemented, what would you have done? 2 MS. SEACRIST: Objection. 3 I don't know that I would have done 4 Α. 5 anything at that time. I would probably have 6 waited a while longer to make sure that everything was okay. 7 8 The patient, when I saw him, he had 9 been transferred from the ICU to the telemetry unit, and he had just gotten to the telemetry 10 11 unit when I saw him. And I don't know what they 12 had to do and how they were prioritizing the orders, so I don't think I would have done 13 14 anything at that point in time when I saw him at 1:00 o'clock that afternoon. 15 That answers my question. So you saw 16 Ο. him shortly after his transfer? 17 18 Α. Yes. And at that point, I think there 19 Ο. probably was one rhythm strip that would have 20 been available? 21 May I see it? This rhythm strip was 2.2 Α. 23 done at 11:24. MR. LENSON: a.m.? 24 25 THE WITNESS: a.m. on the 28th.

Page 52 I'm not sure if this rhythm strip was 1 Α. 2 done on the floor or in the ICU. 3 Ο. Okay. I do not know exactly what time the 4 Α. 5 patient went to the telemetry unit, and I do not 6 know exactly what time he left the ICU. If, in fact, this was a rhythm strip 7 Ο. 8 that was done on the telemetry floor, would this 9 rhythm strip have been available to you if you 10 saw the patient at or around 12:00 or 1:00 11 o'clock that afternoon? 12 Α. Yes, it would have been available to 13 me. 14 On the telemetry floor, do you know Q. 15 how frequently or how often per shift a strip 16 from the telemetry unit is to be placed in the 17 patient's chart? No, I don't know. 18 Α. 19 Q. When did you last see the patient 20 before the patient coded? It was that afternoon around 1:00 21 Α. 22 o'clock in the afternoon. 23 Q. Did you then go back to your office, 24 perhaps? 25 Α. Yes.

Page 53 And then did you get a call in the 1 0. middle of the night that Mr. Edwards was found 2 3 unresponsive? 4 Α. Yes. 5 Ο. And you came back to the hospital? Α. Yes. 6 Had he already been pronounced? 7 0. Α. Yes. 8 9 Who was responsible for the medical Ο. management of the patient on the telemetry unit 10 that afternoon between 1:00 o'clock or so on the 11 28th, up until the time of his death? 12 I consulted Dr. Dickerson, because he 13 Α. 14 knew the patient; he was a cardiologist. And in fact, the day when I transferred him, I 15 discussed that with him and he said make sure 16 you put him in a telemetry unit. 17 18 So when you say the medical management of the patient, I understand that to 19 mean medical things as opposed to surgical 20 Cardiological things, I expect him to 21things. 22 be aware of those things. Would it be fair to say that you and 23 Ο. Dr. Dickerson were jointly responsible for the 24 care of Mr. Edwards the afternoon and evening of 25

Page 54 1 January 28th, 2000? Objection. 2 MR. LENSON: He was responsible for some things, I 3 Α. 4 would think, because I consulted him to do that. And you were responsible for some 5 Q. things, as well; correct? 6 7 Α. Yes. If a problem arose during the night 8 Ο. 9 with Mr. Edwards' condition, who would the 10 nursing staff contact; you or Dr. Dickerson? Their first contact would have 11 Α. probably been the surgical resident, who would 12 then contact me, or he may contact the 13 cardiology resident and contact me, depending on 14 what the problem was. 15 Is it fair to say that because both 16 Ο. 17 you and Dr. Dickerson were jointly responsible for the care of the patient that the nursing 18 staff could contact either one or both of you? 19 MR. LENSON: I'm going to object to 20 21 jointly responsible. Go ahead. 22 I would expect them to call Ά. 23 Dr. Dickerson if there was any arrhythmia or 24 something that is cardiological. Do you know who Nurse L. Nance is? 25 Q.

Page 55 Α. 1 No. 2 Ο. I'm going to show you a note which I believe you wrote after Mr. Edwards died. 3 First, that is a note dated January 29th at 2:45 4 5 a.m.; true? Α. Right. 6 And is that your handwriting? 7 0. 8 Α. That is my handwriting. 9 Just so I don't misinterpret your Q. handwriting, although it's a lot better than my 10 handwriting, and a lot better than some doctor's 11 handwriting, would you read into the record what 12 you have written there? 13 14 Α. I wrote informed of patient's demise. Came in to discuss case with resident and with 15 family. Dr. Dickerson was called and is aware. 16 17 Family understandably upset. No nurse's note 18 recorded on patient after 5:00 p.m., 12-28-00. No telemetry strip recorded during 3:00 to 11:00 19 shift. Apparently patient's EKG strip noted on 20 monitor to be flat. Code was called. 21 Resident responded but resuscitation was not successful. 22 23 Will get post and then I signed it. First question is, why did you 24 Ο. 25 prepare that note?

Page 56 1 Α. I just wrote my impressions. 2 MS. SEACRIST: Objection. 3 Should nurse's notes have been Q. 4 recorded on this patient after 5:00 p.m. on 5 December 28th, 2000? MS. REID: Objection. 6 7 I'm sorry, actually it's not Q. 8 December, it's January. I think you have marked 9 down December 28th, but I think you meant 10 January 28th. Oh, yes, I did say 12-28, but it's 11 Α. actually January 28th. 12 13 Ο. We knew what you meant. I think nurse's notes should have 14 Α. 15 been recorded, yes. I would expect them to be. 16 And no telemetry strips were recorded Ο. 17 during the 3:00 to 11:00 shift. Would you have expected telemetry to be recorded during the 18 3:00 to 11:00 shift? 19 20 MS. REID: Objection. 21 Α. Yes. 22 Q. Do you know of any reason in this 23 case why they weren't recorded? 24 Actually, I asked the nurse in charge Α. 25 that night and the answer that I got was that

Page 57 after the patient coded, and they took him off 1 2 the monitor, that the memory of the telemetry was erased. So there were no strips. I really 3 did not get a very good answer about why there 4 5 were no strips on the patient. When someone codes or has some type 6 Ο. of an abnormal arrhythmia, the telemetry unit is 7 8 set up to, for lack of better terminology, spit out a strip at that particular time; correct? 9 That is my understanding, yes. 10 Α. 11 And your understanding in this case Ο. is that no such strip was generated at the time 12 that the patient became unresponsive; true? 13 14 Α. True. 15 And there should have been a strip if Q. 16 the monitor was working properly at the time 17 that the patient became unresponsive; true? MS. SEACRIST: Objection. 18 19 Α. I would have expected there to be 20 one. And no one has ever given you a 21 Ο. 22 memory chip problem as the explanation? 23 Α. The explanation was that the memory was erased. That's what I recall. I didn't 24 25 write that down there, but that's what I recall

Page 58 hearing. 1 2 Ο. That's not normal operating procedure at Huron Road Hospital, is it? 3 MS. REID: Objection. 4 I don't mean it in a facetious 5 Ο. 6 manner, but that's not something that normally 7 happens at this hospital? 8 MS. SEACRIST: Note my objection. 9 Α. I don't know what normally happens. I just know when I ask for something, I usually 10 11 qet it. 12 And did anyone explain to you why Q. during the 3:00 to 11:00 shift there weren't any 13 14 rhythm strips that had been placed in the patient's chart similar to the one that we have 15 16 at 11:24 a.m. on January 24th? No one explained to me, no. 17 Α. Family understandably upset. Do you 18 Q. remember any of what the daughter or any other 19 family member said or was saying at the time 20 that you arrived? 21Well, they asked me what happened, 22 Α. and I told them what I knew. I cannot remember 23 specific words that said this one said this or 24 I don't remember specific words. 25 that.

Page 59 Tell me if you recall learning either 1 0. 2 from the nurses or from the family that the hospital on the floor on that evening was short 3 4 staffed? 5 MS. REID: Objection. 6 MS. SEACRIST: Objection. 7 Α. I don't recall hearing that, no. 8 Do you remember a nurse by the last 0. 9 name of Bagi being there at or around the time 10 that you arrived? 11 Α. I don't remember that name, no. Did anyone ever explain to you how 12 Ο. long Mr. Edwards had been unresponsive prior to 13 being discovered in his room? 14 15 Α. No. Which resident was this that 16 Ο. responded but was unsuccessful with the 17 resuscitation? 18 19 Α. That was Dr. Kuivinen. 20 Ο. Did you ever talk with Dr. Kuivinen at all about his observations that evening? 21 22 Α. Yes, I did talk with him about it. 23 Q. Tell me. I recall him saying that when he got 24 Α. to the floor to see the patient, the patient was 25

Page 60 cold. 1 2 MR. LENSON: C-O-L-D? Cold. And that his pupils were fixed 3 Α. and dilated, and that he got no response with 4 5 anything he tried in terms of resuscitation. 6 That's what I recall him saying to me. Did he give you any indication based 7 Q. 8 upon his body temperature or his pupils being fixed and dilated as to how long he felt he 9 likely was unconscious when he discovered him? 10 11 MS. REID: Objection. 12 MS. SEACRIST: Objection. 13 Α. No, he didn't. 14 Did anyone ever indicate to you in Ο. 15 any discussions that you had at the hospital how 16 long Mr. Edwards likely had been unconscious 17 without anyone discovering him? MS. REID: Objection. 18 19 A. NO. 20 Again, it's my understanding that if Q. a patient loses consciousness or has any type of 21 22 an abnormal arrhythmia, that if they are hooked 23 up to the telemetry unit on this floor, that there is a mechanism that the information is 24 25 conveyed to the nursing station?

Page 61 Usually there is a beep. The unit 1 Α. 2 makes a noise. And what's the purpose of that? 3 Ο. It's an alarm, to alert the nurses 4 Α. 5 that something is wrong. 6 And then whose responsibility is it Q. to respond to those alarms? 7 8 Α. I would think it would be the nurse 9 taking care of the patient. I don't know what 10 policies the hospital has for that particular 11 floor; whether they have someone especially just 12 looking at a monitor or whether it was each 13 nurse's responsibility, I don't know. 14 No one has ever given you a good Q. explanation for why -- strike that. 15 16 Did anyone ever indicate to you that 17 an alarm sounded on Mr. Edwards? 18 Α. No. 19 Ο. Were you, in fact, advised that 20 Mr. Edwards wasn't even hooked up to the telemetry unit at the time that he became 21 22 unresponsive? 23 MS. REID: Objection. 24 Α. No one told me that, no. 25 Now, you told me before that Q.

Page 62 1 Dr. Dickerson told you that he felt that the patient suffered an MI? 2 3 Α. Yes. 4 Q. Myocardial infarction? 5 Α. Yes. Did he express that to you before or 6 0. 7 after you became aware of the information that 8 we have just talked about in terms of there not 9 being any notes during the evening; that the resident found Mr. Edwards with fixed and 10 dilated pupils? 11 12 Α. I did not know what he knew when he 13 said that to me. Did he ever indicate to you whether 14 Ο. 15 Mr. Edwards likely would have survived, assuming 16 this was an MI, had he been on a monitor at the time that he suffered any type of electrical 17 disturbance? 18 19 MS. REID: Objection. I don't remember Dr. Dickerson saying 20Α. 21 anything about that to me. And I take it that would be outside 22 Ο. of your area of expertise? 23 24 Α. Yes. 25 Q. I'm going to show you another note

Page 63 and ask you if you can tell me who this is. 1 The 2 highlighted language, highlighted name. 3 I cannot put a face to that name, no. Α. MS. REID: Can I see what you are 4 5 showing him, Howard? 6 MR. LENSON: Do you know the name, though? 7 8 MR. MISHKIND: It looks like the 9 first name is Nadir. MS. REID: I don't know who that is, 10 11 no. Zitoni. 12 MR. LENSON: May I see that again, please? Well, 13 Α. 14 I know he is a medical resident because he said it up top. He said medical resident on call. 15 16 So that's what he is. He is a medical resident. 17 Q. But as to who he is specifically, you 18 have no personal knowledge of him? 19 Ά. NO. Other than his identification? 20 Q. 21 Right. Α. 22 Q. You don't have any recollection of 23 having any discussion with him; is that correct? 24 No. Right. That is correct. Α. 25 Q. Now, in his note, he says the

Page 64 1 attending was called by the surgical resident 2 who decided to call it off and was announced dead at 1:00 a.m. 3 4 The surgical resident that decided to 5 call it off, meaning the CPR, that surgical resident would have been whom? 6 7 Α. Dr. Kuivinen. 8 Q. After this death occurred, did you 9 ever talk with any of the nurses following the death to determine why Mr. Edwards was found 10 unresponsive when he was on a telemetry unit 11 12 floor? 13 I remember asking a nurse manager, Α. nursing supervisor to look into it, but I cannot 14 15 recall who it was I spoke to. And I don't 16 remember her getting back with me about what 17 happened. But I do remember after the event 18 making a contact with a nurse manager and 19 letting her know that something happened here 20 and I would like to know what happened. 21 And I take it you never got a Ο. satisfactory answer from the nurse manager? 22 MS. REID: Objection. 23 24 MS. SEACRIST: Objection. I don't remember someone getting back 25 Α.

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Page 65 1 to me about it. 2 So whether the answer was Ο. 3 satisfactory or not, you just never got an 4 answer, period? 5 Α. That's my recollection. I wish I 6 could recall who it was that I spoke to, but I don't. 7 8 What about any physicians, residents, Q. 9 Dr. Dickerson, did you talk with them to try to 10 determine how this could have happened at Huron Road Hospital when a patient is supposed to be 11 monitored on a telemetry floor? 12 13 MS. REID: Objection. MS. SEACRIST: Objection. 14 I don't remember having any specific 15 Α. 16 discussion about that with any residents, beyond 17 that night when the resident spoke to me about 18 it. 19 The frequency of postsurgical nurses Q. placing telemetry strips on a patient's chart, 20 is that something that you are or are not 21 22 familiar with? 23 MS. REID: Objection. Α. I don't know how often they are 24 25 supposed to do it.

Page 66 1 Q. Fair enough. And as to what Mr. Edwards' cardiac rhythm was shortly before 2 his cardiac arrest, has anyone, Dr. Dickerson, 3 or any other physician given you any indication 4 5 as to what his likely rhythm was shortly before 6 he arrested? 7 Α. NO. In terms of the ultimate cause of 8 Q. death -- actually a couple questions I have for 9 10 you on that. One, with regard to Dr. Dickerson's 11 statement that he said to you he likely suffered 12 a heart attack, I take it with regard to that 13 issue you would defer to a cardiologist? 14 15 Α. Yes. I will save you some time. In the 16 Q. Coroner's verdict, under other conditions 17 leading to the patient's death, do you know what 18 it means when the Coroner indicates therapeutic 19 complication? 20 21 MS. SEACRIST: Objection. Objection. 22 MS. REID: I don't know what he means, no. 23 Α. 24 There is no question in your mind 0. that the patient's death was not caused by the 25

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Page 67 1 cancer; correct? 2 Α. That's correct. Do you have any criticism of 3 Ο. Dr. Dickerson? 4 5 Α. No. If this patient had been monitored 6 Ο. with notes recorded by the nursing staff during 7 8 the 3:00 to 11:00 shift, do you know what the outcome in this case would have been? 9 Objection. 10 MR. LENSON: 11 MS. REID: Objection. 12 Α. I can't know. 13 Q. Has anyone ever expressed to you, 14 other than what Dr. Dickerson told you, any 15 opinions as to why this patient who was 16 seemingly doing well following your successful surgery, who was transferred to the telemetry 17 unit, died roughly 24 hours after being 18 19 transferred to the telemetry unit? 20 Α. Please repeat the question. Other than what Dr. Dickerson has 21 Ο. 22 told you --23 Α. Other than what Dr. Dickerson said, no one has ever said anything to me. 24 25 What I want to find out sort of in a Q.

	Page 68
1	global manner before I finish the drum roll
2	comes in at this point to let you know that I am
3	nearing the end I want to find out from you,
4	because you have been very helpful and I
5	appreciate you being candid with what you
6	remember, but I want to find out whether there
7	is anything else that you recall or anything
8	else that you learned at or around the time of
9	this incident concerning Mr. Edwards' care or
10	what took place leading up to him being found
11	unresponsive that we have not talked about
12	already?
13	MS. SEACRIST: Objection. You can
14	answer.
15	A. His daughter had been with him until
16	around 6:00 or 7:00 in the evening, and when she
17	left, she thought that he was looking fine. And
18	Dr. Ahmed had gone by and looked at him that
19	evening too. I don't know if he wrote a note,
20	but he had looked in on him, and he was doing
21	well. He had no complaints. And that would
22	have been 6:00 or 7:00 o'clock or so.
23	Q. Okay.
24	A. That's all I can think of.
25	Q. Even as late as 6:00 or 7:00 o'clock,

Page 69 Dr. Ahmed had not gotten the blood that had been 1 2 ordered to be administered to the patient; 3 correct? There is no indication that the 4 Α. 5 patient had the blood, so I'm sure he -- I don't know whether he was aware or not. 6 Anything else that you recall from 7 Q. 8 conversations with the daughter, with Dr. Ahmed, 9 with the nurses, that we have not talked about already leading up to the time of his demise? 10 11 Α. No, not that I can think of. 12 MR. MISHKIND: I thank you very much. 13 I have no further questions. 14 EXAMINATION OF JOEL O. D'HUE, M.D. BY MR. LENSON: 15 16 0. Doctor, I appreciate your indulgence. I'll be very brief. My name is Murray Lenson 17 and I represent Dr. Dickerson. 18 19 I want to make sure I understand your 20 testimony. When the patient was transferred from the ICU to the telemetry unit, you have 21 22 indicated that your impression would be a 23 co-management of the patient between yourself and Dr. Dickerson; is that correct? 24 25 Α. Yes.

Page 70 1 Q. When he was transferred to the 2 telemetry unit, there was nothing that you observed or in the chart which would suggest 3 4 that there was, other than his history, a cardiac issue at that time; is that correct? 5 6 I'm not sure what you mean by a Α. 7 cardiac issue. I did not transfer him until I 8 had discussed it with Dr. Dickerson. 9 No, I understand that. But there was Ο. 10 nothing in your observation of the patient which would suggest that there was any cardiac issue 11 at that time? 12 13 MS. SEACRIST: Acutely on January 14 28th? 15 MR. LENSON: Yes. 16 Α. I am trying to clarify cardiac issue. 17 There wasn't anything new. Nothing acute on the 18 patient. 19 That's what my question is. Other 0. 20 than the history that he had had bypass surgery 21 and the fact that he was a cardiac patient, there was nothing at that time of an acute 22 23 nature which would suggest that he was at risk 24 at that time; correct? 25 Α. Correct.

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Page 71 1 Q. All right. I wouldn't have transferred him if 2 Α. there were. 3 4 Q. And the reason he was transferred out of ICU is that, in your opinion, he no longer 5 required intensive care attention; correct? 6 7 Α. Right. Now, in the telemetry unit, he would 8 Q. still be monitored, but not to the extent that 9 10 he would have been in the ICU; is that correct? He would have been monitored as much 11 Α. as he was in the intensive care. He no longer 12 needed the intensive nursing care. All of his 13 14 tubes had been taken out, the Foley catheter was gone, he was taking tube feedings. 15 And he had been extubated; correct? 16 0. 17 Α. He had a trache in. He wasn't in any pain. He was sitting up in a chair. 18 So clinically he had progressed to the point where 19 he did not need a nurse sitting there watching 20 him eight hours a day. 21 22 If he would not have been a cardiac Ο. patient, would he have been sent to a regular 23 24 floor? I make judgment based upon how the 25 Α.

Page 72 patient is doing, and I cannot make that 1 2 judgment now. 3 0. Maybe it was inarticulately asked. If this patient assumed the same 4 status as far as the surgical intervention, but 5 6 he was not a cardiac -- he didn't have a cardiac 7 history, what would your choice have been once you determined that he no longer needed ICU 8 9 care? MS. SEACRIST: Objection. 10 I think he already tried to answer that. He cannot answer 11 12 it. I would have referred him to a floor. 13 Α. I don't know that I would have insisted that he 14 qo to a monitored floor. 15 So one of the options would have 16 0. 17 still been a telemetry floor; is that correct? 18 Α. Yes. 19 But you discussed the transfer of the Ο. 20patient to telemetry with Dr. Dickerson before you did transfer him; correct? 21 I did. 22 Α. 23 Ο. It was your judgment that he was not an appropriate candidate to be transferred from 24 ICU to another floor, and in discussing with 25
Page 73 Dr. Dickerson, you determined it was most 1 2 appropriate to be sent to the telemetry unit; is that a fair statement? 3 Α. That is a fair statement. 4 5 Ο. Doctor, I want to ask you a question. 6 Mishkind asked you for a prognosis. Mr. The cancer which was eventually evaluated by the 7 8 pathologist was referred to as moderately to poorly differentiated squamous cell carcinoma of 9 the tongue with invasion of the skeletal muscle; 10 11 correct? 12 Α. Yes. 13 Q. And you have indicated in your opinion that he probably enjoyed about more than 14 a 50 percent chance of cure. Is that correct? 15 16 Α. Yes. And your definition of cure is five 17 Q. years survival? 18 19 Α. Five years without cancer, yes. 20 Now, are you suggesting, and I want Ο. to make sure, that cure means that he will not 21 22 succumb to the illness? 23 MR. MISHKIND: Objection. 24 Α. What illness are you talking about? 25 He will not succumb to cancer. Q. Ιs

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Page 74 1 that what you mean by cure? 2 MR. MISHKIND: Objection. Cure means that he would not succumb. 3 Α. 4 He would not have another one, another cancer of 5 that type in the upper aerotitis tract. And what is the five year then? That 6 Q. 7 he would survive five years? That over the next five years, if we 8 Α. remove this cancer, and the margins, the 9 10 surgical margins are clean, and we are able to radiate him, and we follow him, we expect that 11 after five years we can say to him, you don't 12 have this cancer anymore. 13 Does that consider also whether or 14 Q. 15 not there is distant microscopic metastatic 16 disease? 17 MS. SEACRIST: Objection. You can 18 answer. Well, that is a part of the 19 Α. consideration, whether or not he would. 20 And that's something that you just 21 Ο. can't answer based upon the procedure that was 22 23 performed by you in the hospital; correct? 24 MR. MISHKIND: Objection. 25 Is that correct? Q.

Page 75 Α. That is correct. 1 2 Ο. My understanding, though, also, doctor, is that the decision for a transfusion 3 4 was based upon your determination that the 5 hemoglobin and hematocrit had gone down from the 6 time of the surgical procedure until the time that you put in the order; is that correct? 7 8 Α. Yes. 9 MR. LENSON: Thank you, doctor. I 10 have no further questions. 11 MS. REID: I don't have any 12 questions, doctor. 13 MR. MISHKIND: Nothing further. 14 MS. SEACRIST: We will read it. 15 MR. MISHKIND: You can have 28 days. 16 17 (Deposition concluded at 6:15 p.m.) 18 (Signature not waived.) 19 20 21 22 23 24 25

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1	AFFIDAVIT
2	I have read the foregoing transcript from
3	page 1 through 75 and note the following
4	corrections:
5	PAGE LINE REQUESTED CHANGE
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nineense ook	JOBLO. D'HUE, M.D.
18	
19	
20	Subscribed and sworn to before me this 114^{+1}
21	day of $Q(x)$, 2002.
22	Cir Q Domain
23	Notary Public Cricka R. Dedanay
24	ERICKA RENEE DELANEY Notary Public, State of Ohio, Cuy. Cty.
25	My commission expires Feb 19, 2004

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Page 77 1 CERTIFICATE 2 3 State of Ohio, 4 SS: County of Cuyahoga. 5 6 7 I, Vivian L. Gordon, a Notary Public within 8 and for the State of Ohio, duly commissioned and qualified, do hereby certify that the within 9 named JOEL O. D'HUE, M.D. was by me first duly sworn to testify to the truth, the whole truth 10 and nothing but the truth in the cause aforesaid; that the testimony as above set forth 11 was by me reduced to stenotypy, afterwards transcribed, and that the foregoing is a true 12 and correct transcription of the testimony. 13 I do further certify that this deposition was taken at the time and place specified and 14 was completed without adjournment; that I am not a relative or attorney for either party or 15 otherwise interested in the event of this action. I am not, nor is the court reporting 16 firm with which I am affiliated, under a contract as defined in Civil Rule 28 (D). 17 IN WITNESS WHEREOF, I have hereunto set my 18 hand and affixed my seal of office at Cleveland, Ohio, on this 7th day of March, 2002. 19 20 21 vinia L. Garan 22 Vivian L. Gordon, Notary Public 23 Within and for the State of Ohio 24 My commission expires June 8, 2004. 25

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