

1 State of Ohio,)
2 County of Cuyahoga.) SS:

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4 IN THE COURT OF COMMON PLEAS

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6 Naginbhai Patel, et al.,)
7 Plaintiffs,)
8 vs.) Case No. 151341
9 Alejo Sryvalin, M.D., Inc.,) Judge James J. McMonagle
10 et al.,)
11 Defendants.)

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13 DEPOSITION OF PAUL J. DAUCHOT, M.D.

14 FRIDAY, SEPTEMBER 28, 1990

15 - - -

16 The deposition of Paul J. Dauchot, M.D., a witness
17 herein, called by the Plaintiffs for examination
18 under the Ohio Rules of Civil Procedure, taken
19 before me, Ivy J. Gantverg, Registered Professional
20 Reporter and Notary Public in and for the State of
21 Ohio, by agreement of counsel and without further
22 notice or other legal formalities, at University
23 Hospitals of Cleveland, 2074 Abington Road,
24 Cleveland, Ohio, commencing at 10:05 a.m., on the
25 day and date above set forth.

1 APPEARANCES:

2 On behalf of the Plaintiffs:

3 Jerome M. Ellerin, Esq.
4 Leslie Chavers, Esq.
5 Jerome M. Ellerin Company
1717 Bond Court Building
Cleveland, Ohio 44114

6 On behalf of Defendants W. L. Gregory Siefert, M.D.:

7 Marc W. Groedel, Esq.
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9 On behalf of Defendant Than J. Jain, M.D.:

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13 On behalf of Defendant Joseph Ringel, M.D.:

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16 On behalf of Defendant Medina Community Hospital:

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PAUL J. DAUCHOT, M.D.

a witness herein, called by the plaintiffs for
examination under the Rules, having been first duly
sworn, as hereinafter certified, was deposed and
said as follows:

MR. ELLERIN: Let the record show that
the deposition of Dr. Dauchot is being taken
today pursuant to agreement by and between
counsel, with all formalities of service and
notice waived; is that correct, gentlemen?

MR. GROEDEL: Yes.

MR. REICHEL: Yes.

MR. BAKER: Yes.

MR. ELLERIN: And let the record
further show that this is a discovery
deposition of Dr. Dauchot.

CROSS EXAMINATION

BY MR. ELLERIN:

Q. For the record, Doctor, will you state your
full name, please?

A. First name is Paul, middle initial is J, last
name Dauchot, D as in David, a-u-c-h-o-t.

Q. Do you have a curriculum vitae?

A. I do have -- well, not with me, but I sent
one to Mr. Groedel.

1 MR. ELLERIN: Do you have it with you,
2 Mr. Groedel?

3 MR. GROEDEL: I thought I gave it to
4 everybody, but maybe not.

5 Here, I have a copy.

6 A. (Continuing) If I may make a statement, this
7 one, I am working on sending actually a chapter on
8 the outcome of surgical anesthesia in the elderly to
9 Dr. David Brown from the Mayo Clinic as my
10 contribution to a book, second edition of a book,
11 outcome in the elderly surgical patient, that will
12 be published later next year, and I do not think
13 that was mentioned in my CV, but I just want to
14 mention this.

15 Q. Have you written any articles or chapters in
16 any books dealing with the subject of the use of
17 anesthesia in patients who are hypovolemic?

18 A. No, I haven't, as far as I can recall, no.

19 Q. Have you written any articles or chapters in
20 books dealing with the subject of hypotension that
21 has been induced through the use of anesthetic
22 agents?

23 A. No, I haven't.

24 Q. Have you written any articles or chapters in
25 any book that in any way relate to the subject

1 matter of the Patel case?

2 A. Well, that is more difficult for me to
3 answer. When I started in the United States, I was
4 involved with monitoring techniques and monitoring
5 of the heart function, and we used a technique that
6 is not used any longer now, it is called systolic
7 time intervals, as a way to monitor the cardiac
8 function of patients during various types of
9 surgery.

10 Q. And what years was that procedure used,
11 Doctor?

12 A. That was used in the late '70s, and then it
13 was an NIH grant that we had to receive so the
14 technique could be used on line in the operating
15 room, and since then, with the advent of
16 echocardiography and electrocardiography, it
17 provided more information of systolic intervals, and
18 was not used any longer.

19 Q. When did the use of GVP lines and Swan-Ganz
20 catheters become prevalent in the use of monitoring
21 patients during anesthesia?

22 A. Well, I suppose that central venous pressure,
23 as a way to monitor the patient, certainly was
24 available, I would say, in the '60s. I think Swan
25 started to publish about the Swan-Ganz catheter,

1 which was called then, I suppose, the pulmonary
2 artery fluid catheter, he started to publish about
3 this in the mid '60s, I suppose.

4 The pulmonary artery catheterization became
5 part of intraoperative monitoring, I would say from
6 the mid '70s on.

7 Now, I want to point out the following, that
8 in certain centers, the use of pulmonary catheters,
9 pulmonary artery catheters is -- or pulmonary
10 catheters are used very frequently; in other places,
11 it is not.

12 Q. All right,

13 Let me ask you this, Doctor:

14 As far as the information obtained from a CVP
15 line versus the information obtained from a
16 Swan-Ganz catheter, will you tell us what the
17 difference is, and whether there is any more
18 significant or reliable information that can be
19 obtained from a Swan-Ganz catheter monitoring than
20 from a CVP line monitoring?

21 A. Well, the bottom line is the following:

22 If a patient has cardiac dysfunction, an
23 abnormal functioning heart, without specifying the
24 degree, the CVP readings may not reflect the degree
25 of the volume load of the patient, what we call in

1 our professional jargon the pre-load of the patient,
2 as compared to the after-load, which is the
3 resistance against which the left ventricle has to
4 eject .

5 *a.* In a patient who is hypovolemic, can more
6 accurate information be obtained concerning cardiac
7 status and his hemodynamic condition from a
8 Swan-Ganz catheter as opposed to a CVP line?

9 *A.* Well, again, it depends- If the patient has
10 no cardiac disease, one can obtain from a central
11 venous pressure reading information about the volume
12 status of the patient. If, however, the patient has
13 to some degree cardiac dysfunction, which may
14 include pulmonary dysfunction, then the CVP reading
15 may be false high, meaning that the CVP may reflect
16 the fact that the blood cannot go through the -- or
17 is impeded to be ejected by the right ventricle
18 because the right ventricle itself is in
19 dysfunction, or maybe the tricuspid valve, the
20 connection between the right atrium and the right
21 ventricle is malfunctioning. Also, there may be
22 some severe pulmonary dysfunction, which then is
23 called in the extreme case cor pulmonale.

24 *Q.* What³

25 *A.* C-o-r, p-u-l-m-o-n-a-l-e.

1 Cor means heart, and pulmonary -- it means a
2 lung-heart, actually.

3 Q. So basically, Doctor, what you have done is
4 given us a number of examples or illustrations in
5 which the use of a Swan-Ganz catheter would provide
6 more accurate information than a CVP line; is that
7 correct?

8 A. Yes.

9 Another advantage potentially from the
10 Swan-Ganz catheter would be, besides giving
11 information on the pre-load condition, it also
12 provides information on cardiac output.

13 Q. More so and better than a CVP line?

14 A. Well, yes.

15 Q. If the patient -- pardon me.

16 A. Well, it depends on the equipment one is
17 using. One can use a CVP line to inject what we
18 called in the past the green dye, for instance, and
19 in some of my clinical research papers we have used
20 dye dilution techniques, but then one has to have on
21 the arterial side of the circulation -- well, an
22 arterial line actually to sample arterial blood, to
23 compare, to measure the concentration of the dye we
24 injected on the arterial side of the circulation.

25 Now, with thermodilution, this becomes much

1 more simplified, because one can inject a bolus of
2 either room temperature D5 water or ice cold D5
3 water, whatever one chooses to use, to get an
4 estimate of the cardiac output at a given time. It
5 is not a continuous method at the present time, it
6 is just a snapshot.

7 Q. So what you are telling us is that there are
8 a number of procedures and techniques available with
9 the use of a Swan-Ganz catheter that would aid you
10 in assessing the hemodynamics of the patient?

11 A. Correct.

12 Q. Now, in the matter of Mr. Patel, Dr. Dauchot,
13 you were asked to review this case presumably from
14 the standpoint of the anesthesia management, I take
15 it; is that correct?

16 A. That is correct.

17 Q. All right.

18 Can you tell us approximately when you were
19 asked to take a look at this case, and by whom you
20 were asked?

21 A. I was -- as far as I can remember, I think
22 Mr. Groedel, either by letter or called, I cannot
23 remember anymore.

24 Q. Do you have that letter with you?

25 A. It must be in the file somewhere, I am sure.

1 MR. GROEDEL: I can provide you with a
2 copy of the letter. I am not sure he has it.

3 A. (Continuing) I do not have it in here, but as
4 far as my recollection goes, it must be somewhere in
5 May or June of last year.

6 Q. Of --

7 A. Of 1989.

8 It may have been a little bit earlier, it may
9 have been April or so.

10 Q. And at that point were you asked to review
11 the matter strictly from the standpoint of the
12 anesthesiologist, or were you asked to give your
13 comments as it relates to the care and treatment of
14 any other physician involved in the case?

15 A. No, Mr. Groedel asked me just to review the
16 case in the function of anesthesia management
17 provided to Mr. Patel during his surgical procedures
18 that he had at Medina Hospital,

19 I was not involved to review the -- well, the
20 anesthetic management at Lutheran, he didn't ask me
21 to do that, so I strictly kept myself to the
22 management, the management as far as it involved
23 Dr. Siefert for the two procedures that occurred.

24 Q- By the way, do you know or have you met
25 Dr. Siefert at any time?

1 A. No, never.

2 Q. Did he train under you at any time?

3 A. No, not that I know of.

4 Q. What materials did you have at the time of
5 your initial review?

6 A. I think Mr. Groedel gave me the medical
7 records from Medina Hospital, and also the medical
8 records from Lutheran, they were together, and also
9 he provided me, I think, with the report of
10 Dr. Stirt, I believe, and the deposition of
11 Dr. Siefert -- I was not quite sure whether it was
12 the same time -- and Dr. Siefert's deposition.

13 Q. And did you obtain any verbal information
14 from Mr. Groedel concerning any of the facts or
15 circumstances of this case, other than that
16 contained in the hospital record of Medina, the
17 hospital record of Lutheran, and the deposition of
18 Dr. Siefert, and the report of Dr. Stirt?

19 A. Not then. I did receive, however, I think it
20 is the deposition -- no, the report of Dr. Szilagyi,
21 but that was post hac. I think I received this at
22 one of the moments that I was going to have the
23 deposition, and then it was canceled.

24 Q. Do you know Dr. Szilagyi, or know of him?

25 A. Well, I know him by name, I know that he is

1 the editor in chief of the General Vascular Surgery,
2 that he has an impressive academic and clinical
3 record. Besides that, no, I never met him, I do not
4 know him.

5 I may -- he is mentioned also in one of our
6 books on vascular surgery published -- or edited by
7 Dr. Michael Roizen.

8 Q. Rosen?

9 A. Roizen, R-o-i-z-e-n.

10 And I think in there, in one of the tables
11 that Dr. Roizen puts in his book, I think the
12 experience of Dr. Szilagyi in the '60s is mentioned
13 as one of the reports.

14 Q. Is Dr. Roizen a physician in anesthesiology
15 here at University Hospital?

16 A. No, no. Dr. Roizen is the chairman of the
17 department of anesthesia at Chicago University, I
18 suppose. Chicago University-

19 And he -- well, he has published quite a bit
20 on vascular surgery, anesthesia for vascular
21 surgery, and he edited a book maybe six months ago,
22 maybe more, maybe a year ago, about that.

23 Q. Dr. Roizen did?

24 A. Roizen, yes.

25 Q. And in that book he quotes or cites from

1 Dr. Szilagyi?

2 A. There is a table about the mortality of
3 vascular surgery over the years, and I remember --
4 well, on top of the table, Dr. Szilagyi is mentioned
5 as in the '60s, where Dr. Roizen is quoting -- not
6 quoting -- but just taking the information that
7 Br. Szilagyi gave supposedly in the '60s on
8 mortality and vascular surgery. That is why the
9 name --

10 Q. Rings a bell with you?

11 A. Well, it is the same Szilagyi that wrote the
12 report.

13 Q. Okay.

14 A. And also in the Journal of Vascular Surgery
15 that we also read for articles, he is the
16 editor-in-chief, Szilagyi,

17 So I suppose it is the same one, unless two
18 people are the same name, that is something I don't
19 know. But I suppose the Szilagyi from vascular
20 surgery and the Szilagyi that Michael Roizen is
21 quoting is the same one that wrote the report.

22 Q. You say you, at a later time, received the
23 report of Dr. Szilagyi?

24 A. Yes.

25 Q. And did you read it?

1 A. Yes, I did,

2 Q. Did you find his presentation analytical?

3 A. It was, yes,

4 Q. Did you find anything in there that you took
5 any disagreement with --

6 A. Yes.

7 Q. -- in any major extent?

8 A. Yes.

9 Q. What?

10 A. I recall hat when he discussed or reviewed
11 the hypotension and its consequences, and then the
12 thrombosis that followed, I do remember that he had
13 three -- that he mentioned three possibilities,
14 three hypotheses; one was that the hypotension that
15 occurred intraoperatively the first time had as a
16 consequence the development of a thrombosis that
17 caused all the problems for Mr. Patel. There are
18 also two other hypotheses that he is mentioning
19 there, I do not recall exactly which they were, he
20 chose one of the three. I think personally that one
21 of the others is more appropriate as true.

22 So this is a disagreement that I would have
23 with him. He is a vascular surgeon, I am an
24 anesthesiologist.

25 Q. In reading your report that you submitted to

1 Mr. Groedel, Doctor, I was able to interpret or
2 learn from your report that you apparently feel,
3 based on the timing as to when the ischemia was
4 observed at perhaps 3:00 to 4:00 or 4:30 p.m. on the
5 date of July 17th, that working backwards from that,
6 you felt that this hypotensive event that he had on
7 the morning of the 17th as documented once the
8 Swan-Ganz was put in was the most probable cause of
9 the thrombus; is that correct?

10 MR. GROEDEL: Objection.

11 Go ahead.

12 A. I would not put it that way, What I was
13 trying to put across was the following:

14 Any hypotensive episode that may have
15 occurred in the peri, or perioperative period, may
16 have triggered the occurrence of a coagulation
17 cascade resulting in thrombosis. If one assumes
18 that hypotension can do this, then one should
19 consider all the hypotensive episodes that occurred.

20 When I was reviewing the material, first I
21 found that when Mr. Patel arrived in the ER, his
22 blood pressure was not all that great, and according
23 to the nurses in the ER, his pedal pulses were not
24 all that great, either.

25 So to me, already at that moment, a

1 coagulation process may have started, unnoticed by
2 anyone, but that resulted later on in a thrombosis.

3 Now, we have --

4 Q. Well, if I may interrupt for a moment, you
5 commented in your report that around midnight of the
6 16th into the 17th, a number of nurses felt quite
7 strong pulses in the feet after he had had some
8 rehydration --

9 A. Right.

10 Q. -- do you recall that, and I thought you used
11 that as your basis of your thought that the later
12 episode at perhaps in the early morning hours,
13 between 6:00 a.m., 8:00, 9:00 a.m., until they put
14 in the Swan-Ganz and got the reading from that,
15 would have been a precipitating factor, or a
16 culprit, and that that was consistent with the
17 timing as to when the ischemia first became
18 apparent; we then have a passage of perhaps eight
19 hours from the time of that insertion of the
20 Swan-Ganz and that low reading until we begin to see
21 ischemic effect on the legs?

22 A. I will say that if one looks at the timing,
23 if there is any time relationship between the time
24 of an event and the occurrence of the result, that
25 then, yes, I would say that the hypotensive episode,

1 to me, could well be a cause of the subsequent
2 thrombosis, because pulses, as far as perceived by
3 nursing personnel, had been good at 6:00 o'clock
4 after the first surgery, had still been good at
5 midnight, and were felt to be weak around the time
6 that Dr. Jain, I suppose, the cardiologist, was
7 called by the nursing staff because the patient was
8 hypotensive.

9 Now, I also noticed that the magnitude of the
10 hypotension was not recorded here, and if one goes
11 back to the flow chart, one does not see any
12 hypotension marked in the nursing record. Yet --

13 Q. For what period of time, Doctor?

14 A. I am sorry?

15 Q. You say there is nothing marked in the nurses
16 records or in the flow charts showing --

17 A. I didn't say nothing marked, I am saying that
18 the values indicated around the period of time, 8:00
19 o'clock in the morning, 7:30, 9:00 o'clock, that the
20 values of blood pressure are in the range of 110,
21 100, but not abnormally low.

22 Q. But low for a person who is hypertensive, and
23 not on any drug in the hospital to counteract the
24 hypertension?

25 A. I do not -- well, let me take a deep breath

1 before answering that question.

2 Q. Okay.

3 A. First of all, I have no knowledge of what
4 Mr. Patel's normal values of pressure were. He was
5 treated for hypertension with the drug Vasotec most
6 probably because he was at the given point in time
7 found hypertensive. What the values of the blood
8 pressure were with which he was living, I do not
9 know.

10 I would suppose, though, that a well treated
11 hypertension in Mr. Patel's case would bring his
12 pressure below the threshold values of hypertension
13 which are set by the American Heart Association,
14 140/90, this is the threshold values. Below this,
15 one cannot talk about hypertension.

16 So I suppose that Mr. Patel was living
17 otherwise around these values.

18 Q. Around 140/90?

19 A. I suppose, treated, yes.

20 Q. If treated with Vasotec?

21 A. Yes, if successfully treated with Vasotec,
22 that is what I would like to see his blood pressure
23 values to be, in the normotensive range.

24 Right now, I do not think that a systolic
25 pressure of 110 or 120 can be considered in

1 hypotension for Mr. Patel, I frankly cannot, I think
2 it is still in the normotensive range.

3 Q. All right, now --

4 A. But if I may add something to this,
5 considering the condition of Mr. Patel, the severe
6 arteriosclerosis that he has, maybe he needs a
7 higher value, I do not know.

8 Q- To keep the blood pumping and flowing; is
9 that what you are saying?

10 A. Well, to keep perfusion of his body.

11 I do not know what -- and this also is very
12 much dependent, I suppose, on regional
13 characteristics of the vessels. But it is a fact
14 that he was treated with Vasotec because his
15 pressure was considered effective at a given point
16 in time.

17 Also I would say when his pressure was 96 in
18 the (emergency room, he was not -- he had no
19 systemic, I mean cerebral signs that he was dizzy or
20 lightheaded, I do not see this in the record, so I
21 assume his pressure of 96 systolic that he had at
22 that time was sufficient for him to keep an adequate
23 circulation to the brain; otherwise, he would have
24 been fainting, or dizzy, or semiconscious.

25 Q- All right, let us talk about the diastolic

1 pressures that this man registered.

2 Was there ever a time, in your review of the
3 record, that a diastolic pressure was not obtainable
4 on Mr. Patel?

5 A. I think the only -- if I have good
6 recollection -- it was intraoperatively when the
7 systolic pressure was very low, I think by Doppler,
8 and again, I would like to refresh my memory.

9 Q. You are certainly welcome to look at the
10 chart, Doctor.

11 A. I think when they started, the pressure was
12 60, that they also mentioned the diastolic was not
13 obtainable, but let me see.

14 This was supposed to be well organized here.

15 Well, this is the first one here, Doppler. I
16 suppose I see here 60 (indicating), but I don't
17 think these was a diastolic here.

18 Q. And when you say "here", you are talking at
19 about what time, Doctor?

20 A. Let's see here.

21 Q. And for the record, you also said
22 intraoperatively, you are talking about the July
23 16th attempted operation that never went ahead?

24 A. Yes, right.

25 That would have been -- this is 4:30, this is

1 5:00 o'clock, that would be at 4:33, 4:34 -- between
2 4:30 and 4:35.

3 Q. All right,

4 A. That is the best I can tell you.

5 Q. Okay, there is a documentation that with
6 Doppler, a systolic reading of 60 was obtained, but
7 no diastolic reading was obtainable, or at least not
8 recorded?

9 A. Yes, I think that is what I was about to say,
10 I do not see any recording of a diastolic, so there
11 is no check mark for diastolic.

12 Whether that was permitted, whether it was
13 not possible to obtain -- I do believe, though, that
14 in Doctor -- let me see now -- Dr. Jain's report,
15 entry, they may have said this, but as far as this
16 recording, no, there is no check mark for diastolic.

17 Q. Now, the blood pressures were not taken in
18 the legs, were they, they were taken in the arms: is
19 that correct?

20 A. It is not mentioned where they were taken.
21 Normally, we do take blood pressures on one of the
22 arms, except if there is a major reason not to do
23 so, surgery on the upper extremities, extreme
24 obesity, may preclude one from putting blood
25 pressure cuffs around the arms,

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23 Q. In other words, you may get higher readings
24 or lower readings --

25 A. Yes.

1 Q. -- depending on which arm, and the condition
2 of the vascular system in that particular arm?

3 A. Exactly .

4 Q. And you don't recall anything in the
5 depositions that pinpoint which arm was used for the
6 blood pressure readings?

7 A. No.

8 Q. Or whether they switched arms in taking the
9 readings?

10 A. No.

11 Q. I want you to assume for a moment that at the
12 time you mentioned, between 4:30 and 4:35, no
13 diastolic reading could be obtained, even with the
14 use of the Doppler.

15 Do you have an opinion that such an episode
16 and the entries surrounding that particular time
17 frame would be sufficient to --

18 A. May I interrupt? May I ask you a question
19 now that has puzzled me through this?

20 Q. All right.

21 A. What is the Doppler, I would like to know for
22 my own satisfaction, what type of Doppler instrument
23 they used?

24 Q. I am not sure that that came out in the
25 depositions.

1 A. Let me tell you why I asked you the question,
2 because you seem to -- well, maybe rightly so --
3 attach much importance to diastolic pressure,

4 There may be some Doppler devices that
5 although they record systolic flow, that there is
6 flow to the extremity, do not record the diastolic.

7 So I know there are instruments based on the
8 Doppler principle, blood pressure instruments, that
9 do record systolic and diastolic. Yet they have to
10 be specially, I suppose, designed for this.

11 Surgeons sometimes use a Doppler flow to
12 examine flow to the arteries of the -- like the
13 pedal arteries, for instance, they only give a sound
14 of flow, the shh-shh that the blood is passing, yet
15 I suppose they cannot give an accurate estimate of
16 the diastolic.

17 Q. But can we at least assume that from the
18 systolic standpoint, the use of the Doppler was
19 needed to accurately hear that shh-shh sound that
20 you just described?

21 A. Right. I would think so, otherwise --

22 Q. Why use it?

23 A. -- why use it?

24 Q. Is that correct?

25 A. Yes.

1 Q. Okay.

2 A. It may also have been that they wanted to
3 have a confirmation that what the pressure they were
4 reading was correct, that may also be -- I would not
5 assume right away that because there was no
6 pressure, they said, let us check the Doppler.

7 It may well have been that they found by the
8 oscillometric method, I suppose, that the Dynamap
9 did not record any more, and mind you, Dynamaps are
10 sometimes very tricky instruments, and on a daily
11 basis, sometimes Dynamaps give no readings anymore,
12 God knows for what, and it may well have been to
13 assure what the pressure was, they went to Doppler
14 to be sure there was still a systolic blood
15 pressure.

16 Q. But apparently the other readings were
17 obtained without the use of a Doppler?

18 A. I would assume so, yes.

19 Q. Would you agree if the assumption is made
20 that a diastolic pressure could not be heard or
21 determined, and the systolic was heard with a
22 Doppler at 60 for a period of time, as reflected on
23 the anesthesia record, that this could be a time
24 when a thrombus -- where you had stasis of the
25 blood, and a thrombus formation being started?

1 MR. GROEDEL: Objection.

2 Go ahead.

3 A. Yes.

4 Q. Now, Doctor, let us --

5 MR. GROEDEL: It is all right, just
6 answer his question.

7 Q. (Continuing) I want to talk with you for a
8 moment about the drugs used in the anesthesia on the
9 16th.

10 A. Sure.

11 Can I have some more coffee?

12 MR. ELLERIN: Absolutely,

13 (Thereupon, a discussion was had off
14 the record.)

15 BY MR, ELLERIN:

16 Q. I was going to start to talk with you,
17 Doctor, about the use of the anesthetic agents that
18 were chosen on this particular occasion, that is the
19 July 16th date.

20 Am I correct that there are, in the reservoir
21 of drugs used by anesthesiologists, a great number
22 of various agents that can be used for various
23 purposes in the induction and the maintenance of the
24 patient in an anesthetized condition?

25 A. That is correct.

1 Q. Can you quantitate that for us, Doctor? Wow
2 many drugs are in your arsenal, so to speak, as an
3 anesthesiologist, that are available for use, or
4 your choice of use?

5 A. Are you referring to the induction agents to
6 induce sleep and consciousness?

7 Q. I am talking about the whole regimen that
8 falls within the purview of the anesthesiologist.

9 A. So you are including muscle relaxants, too?

10 Q. Yes.

11 A. I would say, excluding regional anesthesia,
12 twenty, twenty-five.

13 Q. In total?

14 A. In total, including muscle relaxants,
15 intravenous induction agents, narcotics.

16 Let us go maybe to thirty, thirty-five,
17 actually, Actually, it is a good question.

18 Q. Let us break that down, Doctor, in the
19 various categories that you are referring to, and
20 one of the areas, of course, that you mentioned are
21 muscle relaxants.

22 Would that be the first area of the
23 administration of a drug in an anesthesia procedure,
24 to give a muscle relaxant, if you are doing an
25 intubation?

1 A. It depends. If Succinylcholine will be used
2 for intubation, Succinylcholine being a depolarizing
3 short acting muscle relaxant, then it is recommended
4 to use, in the pretreatment of this, a
5 non-depolarizing muscle relaxant to prevent what is
6 called the fasciculations, the muscle movements and
7 the small muscle contractions that are a side
8 effect, actually, or the initial effect of 'the
9 Succinylcholine.

10 Q. Now, what are some of these muscle relaxants,
11 then, that are available for use to avoid the
12 activity or the movement in the muscles?

13 A. Well, one is curare, tubocurarine, is one
14 that is often used: others may, as well, be used, a
15 small dose, one milligram of Norcuron, one milligram
16 of Pancuronium, a few milligrams of Tracrium. It
17 depends what the anesthesiologist will use
18 subsequently, as a longer acting muscle relaxant.

19 That is the way I see it, and the way we try
20 to teach our people here, not to mix too much all
21 these drugs.

22 Besides, we are under financial constraints,
23 also, and pharmacies ask us to limit our use of
24 drugs, if possible, to a given category, and not use
25 a vial of curare for only one cc, and then have to

1 dump it, and not use it later on.

2 (Short recess had.)

3 BY MR. ELLERIN:

4 Q. Do some of these muscle relaxants that are
5 given bring on or could bring on hypotension?

6 A. Yes.

7 Q. From the literature and your experience,
8 which ones tend to be more productive of hypotension
9 than others?

10 A. Curare is by all means the one that has been
11 most -- well, most reported to produce hypotension
12 secondary to histamine release, because to my
13 knowledge, those muscle relaxants do not interfere
14 directly, I will say, with the relaxation process of
15 smooth vascular muscles. They do it indirectly to
16 the extent that they have histamine releasing
17 properties.

18 If one asks a pharmacologist what or which
19 drug does not release histamine, he will say, he
20 will answer you, all drugs can release histamine,
21 period.

22 Now, it is known in our profession that
23 curare, for instance, can release more -- or more
24 frequently releases histamine than, for instance,
25 Pancuronium, although there are a few reports in the

1 literature that Pancuronium also may release
2 histamine in a case, it has been reported,

3 The second one, then, that has been reported
4 to release histamine would be Tracrium, or
5 Atracurium, which is the generic name of the drug.

6 Now, the Atracurium has been reported only to
7 do that when it is used in an "intubation dose". An
8 intubation dose of Tracrium is in the range of .5
9 to .6 milligrams per kilo, which would have been, if
10 you take Mr. Patel, given his body weight, 70 kilos,
11 between 35 and 42 milligrams, IV.

12 Q. So it is your belief that the dose given at
13 the time of the initial intubation --

14 A. The three milligrams, the pre Succinylcholine?

15 Q. Yes.

16 A. Most likely, not, because the drug itself is
17 a histamine releaser, but a weak histamine releaser,
18 and the dose used, three milligrams, to my
19 knowledge, has never been reported to be involved
20 with any significant histamine release.

21 Q. Now, could it have, to some degree, a
22 synergistic effect with the Sodium Pentathol?

23 A. It may, but again, I suppose then they all
24 could have synergistic effects. It is hard to tell.

25 To my knowledge, if one asked me, can it, I

1 would say no.

2 Q. But Sodium Pentathol in and of itself is
3 known to be a cardiac -- a myocardial depressant; is
4 that correct?

5 A. Yes, it is a dose dependent, dose related
6 cardiac depressant, meaning that if one gives small
7 doses, nothing may happen, and with high, very high
8 doses, a cardiac depression may occur.

9 Now --

10 Q. If I can interrupt for a second, if a person
11 is hypovolemic, can you bring on a hypotensive event
12 with a lesser amount of Sodium Pentathol than in a
13 patient who is fully hydrated?

14 A. The answer to that question is not simple,
15 and I will try to explain to you why.

16 The function of the heart -- the determinants
17 of the function of the heart are fourfold, One is
18 the pre-load, which is volume coming back to the
19 heart; the second determinant is the contractility,
20 which is the contractile force of the heart muscle
21 completely independent of the pre-load; three, then,
22 is heart rate; and four is what is called the
23 after-load, which is the load -- and I use that
24 word, because some people may say the pressure -- it
25 is the load that the left ventricle has to overcome

1 during ejection of the blood volume or the stroke
2 volume into the aorta.

3 Q. Those are the four elements?

4 A. Those are the four elements.

5 They added recently a fifth element, which is
6 the synchrony between the atrium and the ventricle.
7 The atrial contraction must occur before the
8 ventricle contraction, because the contraction of
9 the atrium will push some blood from the atrium of
10 the heart into the ventricle, either the right or
11 Left, regardless. So if there is a dyssynchrony
12 between the atrium and the ventricle, there may also
13 be a cardiac dysfunction, and one is starting to
14 call this the fifth determinant.

15 Q. Let us talk about these for a moment, Doctor.

16 The first one you mentioned was volume. I
17 take it you are talking about --

18 A. Right.

19 Q. -- volume within the intravascular system of
20 the patient; is that correct?

21 A. Right.

22 Q. So if a person, if a patient is hypovolemic,
23 he obviously has a lesser volume of fluids within
24 that intravascular system; is that a fair statement?

25 A. Yes.

1 Q. So that is one of the four conditions that
2 you are speaking of?

3 A. Yes.

4 Q. Okay.

5 Now, the next one you mentioned is the
6 contractibility of the heart wall or heart muscle
7 itself; is that correct?

8 A. Yes.

9 Q. And that, I presume, is a function of the
10 status or condition of the particular patient's
11 heart muscle or heart wall; is that correct?

12 A. Yes.

13 Q. And that can vary with the age of the
14 patient; the older the patient is, perhaps, the less
15 contractible the heart walls are?

16 A. I would -- well, I know the subject rather
17 well on aging, because I am writing a chapter on
18 this, and at the present time in the gerontologic
19 literature, there is more tendency to separate the
20 healthy elderly from the sick one. And there is
21 absolutely no hard proof that the contractile state
22 of a healthy elderly, say 70 or 75, whatever you
23 call old -- I do not know any more, it varies from
24 day to day now.

25

1 (Thereupon, a discussion was had off
2 the record.)

3 A. (Continuing) I will put it that the healthy
4 elderly have more contracted function. The rest is
5 diseased.

6 Q. Is there some relationship to the degree of
7 arteriosclerosis that the patient has, with the
8 contractibility of the heart walls?

9 A. If the arteriosclerosis extends to the
10 coronary arteries, yes.

11 Q. Now, you were talking about the third
12 element, which was heart rate. Are you talking
13 about the heart rate of the person going into
14 surgery, or the heart rate of the person as affected
15 by the anesthetic agent?

16 A. No, I am talking now strictly in terms of
17 physiology.

18 Q. All right, if I can interrupt, Doctor, what
19 you are saying is that if the heart rate stays up,
20 there is a greater tendency for the pressure to stay
21 up because the heart is pumping more?

22 A. No, that is not correct. There is a given
23 threshold again where a fast heart rate will be
24 dangerous for the heart, and let me explain you
25 this.

1 Q. Can you quantify the number?

2 A. In our literature, they consider that -- and
3 this is now for cardiac surgery, but it also
4 applies, probably, to other patients with cardiac
5 disease, whether it is documented or whether there
6 may be evidence, I do not know -- but give and take,
7 if the heart rate increases twenty percent above a
8 "base line" heart rate, that should be treated.

9 Now --

10 Q. Are you talking about during anesthesia?

11 A. Yes.

12 Q. So there are some drugs that you can give a
13 patient that will cause the heart rate to go up, as
14 opposed to causing the heart rate to go down?

15 A. Right. And I would like to tell you why.

16 The perfusion of the heart and of the left
17 ventricle occurs during relaxation of the heart. If
18 the heart rate is too fast, or is fast or very
19 fast -- I will put it differently.

20 The faster the heart, the smaller the
21 relaxation of the left ventricle, the smaller the
22 perfusion. That is why tachycardia is one of --
23 tachycardia meaning an increased heart rate, an
24 excessive increased heart rate -- is one of our
25 major enemies, and we treat that -- I treat this

1 aggressively, and I prevent heart rate to go above a
2 given level.

3 Q. During the anesthesia?

4 A. Well, even before, even before. If I see
5 that a patient comes to surgery with what we call a
6 PAT, paroxysmal atrial tachycardia, of even 120,
7 which is not a bona fide PAT, I will try --

8 Q. With what, Doctor?

9 A. Well, the drug which most readily brings the
10 heart rate within normal limits -- I will do it
11 differently.

12 It depends what condition the patient is in,

13 For instance, the patient has pain, pain may
14 be the factor to increase the heart rate. So I may
15 give him a pain medication, a narcotic, to see if
16 narcotics may affect the pain.

17 But by the same token, narcotics also will,
18 by themselves -- they are cholinergic drugs, they
19 will decrease heart rate, so they will treat the
20 pain that may, by reflex, cause increased heart
21 rate, but their pharmacological effect is also one
22 of decreasing heart rate.

23 If that doesn't work, and if I have evidence
24 that a patient may be in heart failure, or
25 borderline heart failure, that may be a problem for

1 him, I will treat him with a short acting beta
2 blocker, which is Esmolol, for instance, and see if
3 it brings his heart rate down to acceptable limits.

4 Now, these drugs are like a two edged sword.
5 The beta blockers, the fast acting, as Labetalol,
6 Inderal, Propranolol, also depress the heart, but
7 that is then the give and take, try to find the dose
8 that will decrease heart rate and increase ,perfusion
9 of the heart without being detrimental to the
10 contractility, the contractile state, the second
11 determinant that we talked about, of the heart.

12 Q. Now, am I correct that you are doing these
13 things prior to the administration of the anesthesia
14 in order to try to put the patient in the best
15 possible condition to undergo the anesthesia and the
16 proposed surgery?

17 A. Like you said, or like I said, if that occurs
18 before induction, I will try to treat this before
19 induction so that heart rate is within acceptable
20 limits before I start my anesthetic.

21 If now this occurs during surgery, well, then
22 again, I will take various steps and actions, like
23 deepening anesthesia, like giving narcotics to see
24 if that may be -- if awareness of the patient during
25 surgery, or during the procedure, may be a cause of

1 the increased heart rate.

2 If deepening the anesthetic or giving more
3 narcotics does not return the heart rate within
4 normal limits -- and by the way, I may as well
5 mention fluids, too, if I can -- if I have evidence,
6 clinical or documentation, that the patient is not
7 hypovolemic, dry, as we call it, then I will
8 eventually resort to giving beta blockers,

9 Q. You say if you have evidence he is
10 hypovolemic, you would give him fluids?

11 A. I would give him a fluid push, yes, that is
12 part of the standard approach. We do a few checks
13 to be sure that the most obvious causes of increased
14 heart rate intraoperatively are covered, which is
15 pain, awareness, volume load, and that if all this
16 has been covered to the best of our capability, we
17 may start and rely on the use of beta blockers,
18 because it may be a problem of the heart itself.

19 Q. But is it a fair -- is my original statement
20 a fair statement, and that is that you, as an
21 anesthesiologist, or one, as an anesthesiologist,
22 attempts to put his patient in the best possible
23 condition preanesthesia in the hope that you won't
24 get into these problems during anesthesia?

25 A. If there is time to do so, yes, right,

1 And may I give you an example?

2 Q. Well, I understand. I mean, that is clear,
3 it is a time factor that is --

4 A. A ruptured aneurism comes in without
5 pressure, there is no time to prepare, so we have to
6 go ahead.

7 Q. The person will bleed to death in five
8 minutes, or two minutes, or whatever?

9 A. It is all a question of balance, and if there
10 is time, if there is time, yes, we will.

11 Q. Okay.

12 And I appreciate your breaking this down into
13 the four and perhaps five categories that you did in
14 the explanation of the use of Sodium Pentathol in a
15 hypovolemic patient, but as a general statement, and
16 as is written in the anesthesiology texts, Sodium
17 Pentathol, used in a case where a person is
18 hypovolemic, is known to bring on hypotension; I
19 mean, that is reported in the texts, is it not, as a
20 general statement, without getting into the details
21 of the whys and the wherefores?

22 A. No, I cannot, you know, agree with that
23 statement, because it depends upon the degree of
24 volume depletion, and the dose, and perhaps also the
25 rate at which Sodium Pentathol has been

1 administered.

2 Q. I understand that, and I accept everything
3 you are saying. And I didn't mean to say that
4 someone who is one liter hypovolemic will react in
5 the same fashion as someone who is five liters
6 hypovolemic, and I didn't mean to infer that if you
7 give Sodium Pentathol at the rate -- at a high rate
8 versus a low rate, or a moderate rate, you 'will
9 always get the same result.

10 A. Right.

11 Q. But the more hypovolemic the patient is, the
12 greater the chance of the hypotension --

13 A. Yes.

14 Q. -- and the greater the dose, because it is
15 dose related, the greater the chance is, I would
16 presume; is that a fair statement?

17 A. That is a fair statement, but it is not
18 limited to thiopental alone.

19 Q. So really what you have is the
20 anesthesiologist, as the captain of the ship,
21 looking after the patient, that must attempt to see
22 what the condition of the patient is, to whom he is
23 administering the drugs, and then choose the drugs
24 that would be most appropriate to avoid a severe
25 hypotensive event?

1 MR. GROEDEL: Objection.

2 Go ahead.

3 A. I do not agree with the anesthesiologist
4 being the captain of the ship.

5 Q. He is the captain of the choice of the drugs
6 for the anesthesia, is he not?

7 A, That is true, however, as a general
8 statement, we use the term captain of the ship for
9 the surgeon, he is the one who has the final say,
10 actually, because that is the way it has been. And
11 maybe this will change, or should be changed, but as
12 far as drugs go, I will say that yes, the
13 anesthesiologist is very often completely free and
14 uses the drugs he deems or he thinks are
15 appropriate.

16 MR. GROEDEL: Why don't you call him
17 the captain of the anesthesia ship? That, we
18 can live with.

19 MR. ELLERIN: Okay, we have two boats
20 on the ocean.

21 BY MR. ELLERIN:

22 Q. Now, with respect to the use of anesthesia,
23 if an anesthesiologist feels that his assessment of
24 the patient is such that the man will not be able to
25 withstand the anesthesiology, and the surgery will

1 be canceled, the anesthesiologist can confer with
2 the surgeon and try to determine how emergent is
3 this operation, should we do some things prior to
4 inducement of anesthesia and surgery, how long will
5 it take to prime the patient, and have that kind of
6 discussion with the surgeon; you do that all the
7 time, do you not?

8 A. Well, we try to be sure that the condition of
9 the patient is as well as possible.

10 Q. All right.

11 And that is within the role of an
12 anesthesiologist to do?

13 A. Yes.

14 Q. I am not saying --

15 A, Yes.

16 Q. -- that it is his sole responsibility, but it
17 is within the role of an anesthesiologist to do
18 that?

19 A. Right.

20 Q. And if you were to differ with the surgeon,
21 you would express your concern to the surgeon, would
22 you not?

23 A. I would.

24 Q. And that is done everyday, if the occasion
25 arose?

1 A. Yes, it can happen everyday, yes,

2 Q. And then based on the issue, as you mentioned
3 before, for example, if it were an aneurism that has
4 ruptured, and the person is hemorrhaging to death,
5 then really everybody's hands are tied, the
6 anesthesiologist, and the surgeon's; you must go
7 forward with the anesthesia, and you must go forward
8 with the surgery, at that moment?

9 A. Right .

10 Q. But if the surgery has some elective element
11 to it, as far as the timing of the surgery, both the
12 anesthesiologist and the surgeon can take advantage
13 of that window of time in order to try to put the
14 patient in the best possible condition for going
15 ahead with the anesthesia and going ahead with the
16 surgery; is that not true?

17 A. That is true to the extent that the surgeon
18 and anesthesiologist have the same knowledge about
19 what delay one can give the patient before going to
20 surgery.

21 Q. And that would require a discussion between
22 the two of them, would it not?

23 A. Well, not necessarily.

24 I would say this: If the surgeon, who is
25 usually one of the first physicians to see the

1 patient, sets a given time frame, he says, well, we
2 are now noon, or it is now 8:00 o'clock at night,
3 for instance, this condition, I think we have to go
4 ahead, and we will let -- we will give four hours,
5 five hours, give and take, but we have to go ahead
6 and do surgery then because I think -- I am speaking
7 as a surgeon -- we may have the possibility by
8 delaying too much of infection in a case of an
9 abdomen, peritonitis, sepsis, and so on, then pretty
10 much the time frame is set,

11 And it becomes for us, anesthesiologists,
12 very difficult to argue, unless we say to the
13 surgeon, if I do not -- if you do not give me
14 another hour to do something, this patient's life
15 may be severely compromised.

16 Q. But you could say that to the doctor, to the
17 surgeon, give me an hour, because I want to do A, B
18 and C. What do you think, Doctor, will another hour
19 that dramatically hurt the patient?

20 A. I could perfectly well say this, and say, I
21 think one hour waiting may benefit in this or that
22 respect.

23 Re may tell me, yes, but on the other hand,
24 you forget to think about infection, and sepsis, and
25 this and that, and I do not think, looking at the

1 balance, that it is right to do so.

2 And if you push the case, I can say, well, I
3 am sorry, I really feel strongly that I cannot go
4 ahead, and you better call someone else to do
5 anesthesia.

6 Q. Theoretically, as an anesthesiologist, you
7 could make that statement?

8 A. Yes, with all the consequences.

9 Q. That is right, but I mean, you could stick to
10 your guns and make the statement?

11 A. Right.

12 Q. Now, in your report, Doctor, with respect to
13 the Sodium Pentathol, you described this dose as --
14 I forgot exactly -- like the low end of a moderate
15 range?

16 A. That may be it. Let me refresh my memory.

17 MR. GROEDEL: I think that s how you
18 described it.

19 MR. ELLERIN: I don't remember exactly
20 where it was.

21 A. (Continuing) I have my report with me,

22 Q. Well --

23 A. It must have been in the discussion, I
24 suppose.

25 Q. Let me just state --

1 A. I think you are correct.

2 MR. GROEDEL: Yes, here it is.

3 THE WITNESS: In the discussion?

4 MRS. CHAVERS: What page, Marc?

5 MR. GROEDEL: Page twelve.

6 Q. Twelve?

7 A. It is in the discussion,

8 MR. GROEDEL: Down at the bottom.

9 Q- He was administered three milligrams of
10 thiopental, which is at the low end of the range of
11 a moderate dose of thiopental?

12 A. Yes.

13 Q. So you classify three milligrams as being in
14 the low end, I guess, of the range of moderate dose;
15 is that correct?

16 A. Yes.

17 Q. What numbers would be a high range, in your
18 mind?

19 A. High range would be above a five, for sure,
20 more than five is high range.

21 Q. Does the normal range for one patient vary
22 from what may be a normal range for another patient,
23 depending on age and condition of the patient?

24 A. Yes, definitely.

25 Q. When you say that a normal range is like five

1 milligrams, what kind of patient are you talking
2 about?

3 A. Well, I would think here a healthy 40, 45
4 year old person, 50 year old person, or younger,
5 coming to surgery -- coming for elective surgery.

6 Q. Not under a stressed type of situation; is
7 that what you mean, when you say elective?

8 A. I do not think -- well, it depends what you
9 call stress, I think every patient coming to
10 surgery --

11 Q. Is stressed?

12 A. -- is nervous, because of what he is facing.

13 If you call stress the severity of the
14 disease, then that may obviously greatly affect the
15 range or the dose that will be used.

16 Q. So that in a patient such as Mr. Patel, who I
17 believe was 67 --

18 A. That is correct.

19 Q. -- and obviously with a known and diagnosed
20 perforated ulcer, with some third spacing of fluids,
21 we can presume, for some period of time, as long as
22 the perforation was there --

23 A. Yes.

24 Q. -- you would not put him in that category of
25 the 40 to 50 year old healthy person who comes in

1 for elective surgery?

2 A. Not at all.

3 Q. In that kind of person, what would be a range
4 of Sodium Pentathol that you feel could be used
5 without encountering the adverse effects or
6 complications from the use of that drug?

7 MR. GROEDEL: Which kind of person are
8 we talking about now?

9 MR. ELLERIN: Such as Mr. Patel, under
10 this circumstance,

11 MR. GROEDEL: Okay, go ahead-

12 A. I cannot give a straight answer to this for
13 the following reason:

14 Mr. Patel had been vomiting for a couple of
15 days. So he had breakfast, or he had something as
16 intake the morning of surgery, 9:00 o'clock. I
17 found one statement about wheat and milk, so he
18 cannot be considered an empty stomach.

19 It follows that if such a patient goes to
20 surgery, he must be treated for induction of
21 anesthesia by what is called a rapid sequence
22 procedure, which is what Dr. Siefert did, and the
23 rapid sequence procedure does not give anyone very
24 ,muchtime to look how well a drug can be tolerated.

25 Q. Is it important, Doctor, to try to place some

1 determination as to how much breakfast this man in
2 fact did have, if people are available that can
3 communicate that either to the surgeon or to the
4 anesthesiologist?

5 A. Well, the amount -- it is more the fact that
6 there is an abdominal syndrome going on here, and
7 one can assume that the emptying of the stomach has
8 been delayed or is not at all working anymore, so
9 even without any intake in the morning, one cannot
10 assume an empty stomach.

11 Q. Because of the underlying condition?

12 A. Because of the underlying condition; even
13 more so, that Mr. Patel was suffering from a gastric
14 ulcer, and one could assume that the gastric acidity
15 or the pH of the gastric content was going to be
16 very low.

17 Now, if that pH is below two, I would think
18 that in such a condition, I would assume if some
19 fluid is regurgitated or comes back into the mouth
20 during induction of anesthesia, and aspirated in the
21 lungs, that is by itself a disaster.

22 Q. So if I understand you correctly, because of
23 the full stomach, there is a requirement that the
24 anesthetic agent has to be rather rapidly delivered
25 to prevent regurgitation or vomiting during the

1 inducement process?

2 A. Right.

3 Q. The anesthesiologist would be denied the
4 opportunity to feed this slowly enough to be able to
5 catch a catastrophic drop in blood pressure in a
6 timely fashion before the patient bottomed out, so
7 to speak?

8 A. Yes, that would be a fair statement, yes.

9 Q. And we know that that is the way the
10 anesthetic agent must be delivered, because of this
11 issue of regurgitation or vomiting during the
12 inducement; is that a fair statement?

13 A. Yes, both induction agents here, the
14 thiopental, or the Sodium Pentothal -- it is the
15 same thing -- and the Succinylcholine, are often
16 given simultaneously to have a joint effect, so at
17 the moment the patient becomes unconscious, he is
18 also relaxed, that intubation can proceed
19 immediately.

20 Q. Now, would you agree with me that because of
21 the necessity for rapid induction through the use of
22 Sodium Pentathol or any other drug which may bring
23 on a rapid drop in the blood pressure, it would be
24 important to eliminate as much as possible any
25 outside factors that are interplaying with this

1 rapid induction of anesthesia that can bring on this
2 sudden drop in blood pressure?

3 A. I agree.

4 Q. so it would be necessary to make some kind of
5 assessment as to the degree and extent of
6 hypovolemia in the patient, would it not?

7 A. Yes.

8 Q. And in your reading of Dr. Siefert's
9 deposition, did you come to the conclusion that he
10 did try to make some kind of assessment as to the
11 degree of dehydration or the degree of hypovolemia
12 that this man was suffering from at the time he saw
13 him, and at the time that he was going to be
14 inducing the anesthesia?

15 A. From what I recall from Dr. Siefert's
16 deposition -- it should be in there -- he spoke
17 about the turgor, which is the -- how should I say
18 it -- the elasticity of the skin.

19 But I assume, although it is not quite clear
20 that they try to -- and I say "they", now, the
21 nursing, the surgical team tried to insert a Foley
22 catheter prior to surgery, I presume, and they
23 couldn't get a Foley catheter in, so that could have
24 been a source of evaluating if there was urine
25 output, which then could have told whether or not

1 the kidneys were functioning and eliminating extra
2 fluid.

3 Q. Are you under the impression that someone did
4 try to put in a Foley catheter prior to the
5 induction of the anesthesia?

6 A. Well, I think I may, because there is in the
7 records somewhere a phrase, a sentence written by
8 Dr. Chen, and that sentence was written before the
9 final preoperative evaluation. So if one assumes
10 the sequence of notes reported in the progress
11 report, I could have the impression that yes,
12 someone tried.

13 Q. Would you agree with me that it is important,
14 as an aid in attempting to determine the fluid
15 status of the patient, to try to obtain some I & O
16 information on the patient to aid the physicians,
17 both the surgeon and the anesthesiologist, in
18 determining the fluid status of the patient?

19 A. Again, I would put it this way: If one had
20 the impression that -- I will put it differently.

21 The introduction of a Foley catheter itself
22 may be fraught with complications and dangers,
23 complications being bleeding, infection. So it is
24 not a fact of saying, I am going to just put a Foley
25 catheter in. Some people with Foley catheters have

1 been -- have, secondary to that, a chronic bladder
2 infection, and they have to live with this for the
3 rest of their life.

4 so again, it must be a balance made between
5 Foley catheter versus none.

6 a. All right.

7 MR. GROEDEL: Just let him finish, he
8 is almost done.

9 Go ahead, Doctor.

10 Q. (Continuing) I understand what you are
11 saying, and let me just follow up with this:

12 In a situation where the hydration level or
13 the question of hypovolemia or dehydration due to A,
14 not eating and drinking; B, vomiting; C, third
15 spacing of fluids through a perforated viscus over a
16 period of days, is at issue, in weighing the pros
17 and the cons of having a means of monitoring and
18 checking fluid status, in such a case, one would
19 conclude, in the absence of any other means
20 available for measuring the fluid status, that the
21 insertion of a Foley catheter would presumably
22 outweigh these risks for the insertion of a Foley
23 catheter, would they not?

24 A. Yes, if there were no other ways to be sure
25 that the treatment was improving the condition of

1 the patient, yes, I agree, an attempt should be made
2 to do that.

3 Q. And there are other ways, also, that are
4 available to physicians to determine the fluid
5 status of the patient, other than I & O records
6 obtained through a Foley catheter, are there not?

7 A. Yes.

8 Q. And by that I mean the CVP lines and the
9 Swan-Ganz catheter?

10 A. Right.

11 Q. And either one of those two methods were
12 available to the surgeon and any other treating
13 physician in this case to attempt to aid them in
14 making an assessment of the fluid level: is that not
15 true?

16 A. Yes.

17 Q. And again, I suppose, as you mentioned with
18 the Foley catheter, everything has some risk at the
19 time of insertion, or caused by the insertion, but
20 again, in weighing the pros and cons, you could
21 conclude that it is important in this particular
22 patient, or a particular patient, to have that
23 information available, and you would go ahead and do
24 those procedures, one or the other, that is the CVP
25 line or the Swan-Ganz?

1 MR. GROEDEL: Objection.

2 I mean, are you asking him
3 specifically in this case whether or not --

4 MR. ELLERIN: No, I said a patient.

5 MR. GROEDEL: Objection, but go ahead,
6 you can answer.

7 Q. (Continuing) As a means of obtaining that
8 information.

9 A. That means is certainly available. Whether I
10 should use it or not, that is open to my clinical
11 interpretation of the condition of the patient.

12 Q. That is right.

13 In other words, if you had some concern that
14 this patient was hypovolemic, in that kind of a
15 situation, you might -- not might -- but you would
16 want to either do a CVP or Swan-Ganz, would you not,
17 if you are going to be -- if you are asked to go
18 ahead with the anesthesia now?

19 A. If I were absolutely certain the patient was
20 hypovolemic, that there were clinical signs that
21 pointed towards that, and not only history, then
22 yes, I would seriously consider using those means.

23 Q. Okay.

24 A. And we do it very often.

25 Q. Now, before we were talking about the -- when

1 we were talking about the anesthesia, and the use of
2 Sodium Pentathol, we were talking about the degree
3 of hypovolemia as it relates to the degree or extent
4 that the blood pressure could drop through the use
5 of the Sodium Pentathol; do you remember our
6 discussion on that?

7 A. Yes, I do.

8 Q. What, in your mind is -- we are here talking
9 about a hypovolemic condition.

10 What, in your mind, would you consider a
11 hypovolemic condition in Mr. Patel? By that I mean,
12 are you talking one liter deficient, two liters,
13 three liters, four liters? How would you classify
14 hypovolemia in a case such as Mr. Patel, with a
15 perforated viscus?

16 MR. GROEDEL: Objection-

17 Are you asking him in retrospect how
18 hypovolemic he believes Mr. Patel was prior
19 to surgery; is that what you are asking?

20 MR. ELLERIN: Yes.

21 MR. GROEDEL: Okay.

22 A. Let me answer the best I can.

23 Mr. Patel had been sick, some people in the
24 record say two days, others three days., pain.,
25 vomiting, no diarrhea. Intake is not known, except

1 that from a nursing note in the morning, it said
2 that he had had some intake. Whether he had intake
3 the day prior to, I do not know.

4 So I would say this, that for the days
5 before, prior to his admission in the ER, I cannot
6 assume that Mr. Patel had been completely without
7 any fluids. The fluid intake of a patient is --
8 requirements are about two to two and a half liters
9 a day, I suppose. Urine output of Mr. Patel the day
10 prior to admission is not known, he does not know,
11 he doesn't remember.

12 Q. Was he asked?

13 A. I do not know. I suppose one asked him, but
14 it is not stated, as far as I know, that Mr. Patel
15 denied having gone to the bathroom.

16 Q. The record is totally silent on that subject;
17 is that correct?

18 A. It is silent.

19 Q. It is silent from the standpoint of anybody
20 asking him or he volunteering any information on
21 that subject; is that correct?

22 A. Well, we do not know.

23 Q. There is nothing on the subject recorded in
24 the chart, as you see it?

25 A. Yes.

1 Q. Okay.

2 A. So now Mr. Patel was admitted in the ER, he
3 was given promptly fluids, and when I read the
4 deposition, what I could assume from the records was
5 that Mr. Patel received between two to three liters
6 of fluids in the interim period between admission in
7 the ER to the moment surgery started,

8 Dr. Siefert, in his deposition, says that
9 that also was his impression, two, three liters, he
10 says.

11 He says that prior to starting anesthesia, he
12 started a new "bag", and that since anesthesia
13 starts, he knows about hypovolemia, and thiopental,
14 and the rate at which the -- I am sorry -- the
15 fluids that had gone in were fast, which is quite
16 appropriate.

17 Dr. Siefert, to some extent, bases his
18 assumption of fluid replacement, one, I suppose, on
19 the records of the emergency room; two, probably on
20 talking to the surgical team, asking how much fluids
21 the patient received.

22 In his preoperative assessment, which is
23 important, he notes that there was a drop in
24 hemoglobin and hematocrit over the time between
25 admission and the moment he saw the patient in the

1 preoperative visit, which must have been, as I can
2 recall from the timing, between 3:30 -- well,
3 probably 3:30 and 3:45, something like that.

4 From calculations I made, and I suppose that
5 if those values are correct, that the circulating
6 volume of Mr. Patel had increased by about 700, 800
7 ccs, which is the circulating volume.

8 From there, I deduct that if that is the
9 case, and since electrolyte solutions do not remain
10 very long in circulation, they go to the tissues,
11 that Mr. Patel must have received, give and take,
12 between two and three liters at the moment that
13 hemoglobin value came back.

14 Q. What you were trying to do is determine by
15 what degree or extent his hemodynamic fluid balance
16 improved from the moment of his arrival in the
17 emergency room until the time that the anesthesia
18 was induced?

19 A. Yes.

20 Q. Forget about that for a moment.

21 Just from your knowledge of the condition
22 that the patient had, perforated viscus, the
23 vomiting, things that you know as far as the ability
24 or appetite of a person to eat and drink with this
25 kind of condition, when he came into the emergency

1 room, if you had to put a number on his volume
2 depletion at that time, and forget about the
3 correction that took place afterwards through the
4 use of IVs, et cetera, how much fluid depletion do
5 you think he had? That is what I meant about how
6 hypovolemic he was.

7 A. As I mentioned to you, I do not know what
8 Mr. Patel's intake was over the last two days.

9 Q. I want you to assume that if asked, he would
10 have been -- the physician would have been told, he
11 hadn't been eating.

12 A. Well, I would say he probably was behind at
13 least two or three liters, at least, the low end.
14 Maybe three, four, would be a better assumption, I
15 presume.

16 Q. Okay.

17 A. Again, here, we have no -- this is just a
18 guess that you are asking me now.

19 Q. There have been, as you may or may not know,
20 a number of doctors who have reviewed this case, and
21 basically given their impression as to the degree or
22 extent of hypovolemia at the moment he came into the
23 emergency room, and I believe it ranges from four to
24 seven liters.

25 Would their estimates be as valid as your

1 estimate?

2 MR. GROEDEL: Objection.

3 Go ahead.

4 A. Well, I would like to answer it in this way:

5 From the reports I have read, I am the only
6 one who is mentioning that Mr. Patel had anything to
7 eat or drink in the morning prior to his admission,
8 so all the people that have made the reports either
9 did not see, did not peruse through this stack of
10 papers very well and just didn't see the report of
11 the nurse in that regard, or they thought it was
12 irrelevant.

13 Q. Or so minimal as to not change the overall
14 picture; is that a fair statement?

15 A. Yes.

16 Q. Now --

17 A. Now, I have noticed this, I marked it in my
18 report, and from this I do deduct that perhaps
19 Mr. Patel had had some intake, besides vomiting, I
20 agree.

21 Q. Did you attempt to quantitate how much intake
22 he would have had in the morning?

23 A. No.

24 Q. When we say someone is, for example, five
25 liters depleted, and hypovolemic to that extent,

1 assume I am five liters depleted right now, and I
2 take a glass of water and drink that, am I still
3 five liters depleted, or am I five liters depleted
4 minus eight ounces of water?

5 A. Well, that depends if you resorb the glass of
6 water, if the glass of water stays in the stomach.
7 And if you vomited, no. If the glass of water you
8 drink goes right away to the smaller cavity of the
9 stomach and bowel, and passes, and dissolved in the
10 intestine, then sooner or later you will have the
11 benefit of having the glass of water.

12 Q. And is it a one to one reduction?

13 In other words, when we talk of a liter, we
14 are talking a thousand ccs; is that correct?

15 A. Yes.

16 Q. In an eight ounce glass of water, how many
17 ccs are there, roughly?

18 A. Frankly, you have to tell me how many ccs in
19 an ounce.

20 MRS. CHAVERS: Thirty.

21 A. (Continuing) 250 ccs.

22 Q. 250 ccs.

23 If I get the full benefit of drinking 250 ccs
24 of a glass of water, and I had previously been 5,000
25 ccs depleted, will I then be 4,750 **ccs** depleted?

1 A. No, I do not think so, I do not think that --
2 it depends again on the timing, how much you do
3 resorb, the condition of the intestine, if the
4 mucosa can resorb the water, yes or no, if there is
5 any inflammation.

6 And besides that, I am not a
7 gastroenterologist, so I have a hard time answering
8 the question.

9 Q. What you are saying, though, is that I won't
10 necessarily pick up or reduce my fluid deficiency by
11 250 ccs simply because I drank a 250 cc cup of
12 water?

13 A. Not necessarily, but you may.

14 Q. Okay.

15 A. What I was trying to say in this, I am not
16 trying to minimize the fact that Mr. Patel was
17 hypovolemic and was dehydrated, there is no question
18 in my mind about that. The only question is, that I
19 wanted to make and put in my report, was the degree.
20 Dr. Stirt calls it severe, I suppose I use the word
21 moderate, and between that, there are ranges that
22 one -- and just possibilities that one can say,
23 well --

24 Q. I understand.

25 But am I correct that to the extent that you

1 attempted to reconstruct the volume of fluid that he
2 received IV once he got into the hospital, you
3 attempted to reduce the quantity or degree -- the
4 quantity of fluid deficiency that this man had by
5 the amount of fluids he got IV in the hospital?

6 A. Yes.

7 Q. So that is an important consideration in your
8 making the determination as to the degree and extent
9 of hypovolemia of this patient at the moment that
10 the anesthesia was administered?

11 A. Yes.

12 Q. And for that, you looked at Dr. Siefert's
13 deposition, and I presume you also looked at the
14 hospital chart, to try to, in your own mind,
15 determine to what extent has this patient been
16 rehydrated before the anesthesia was administered?

17 A. That is correct.

18 Q. You came upon a number of things in the chart
19 that you used in coming up with your understanding
20 as to the degree and extent of rehydration; is that
21 correct?

22 A. Yes.

23 Q. One of the things that you used were the
24 hematocrit and hemoglobin readings that are recorded
25 on the preanesthesia record; is that correct?

1 A. That is correct, yes.

2 MR. ELLERIN: And I think we have that
3 sheet here.

4 (Thereupon, Plaintiffs' Exhibit 1
5 (Dauchot) was marked for identification.)

6 (Short recess had.)

7 BY MR. ELLERIN:

8 Q. Doctor, I am going to hand you what has been
9 marked as Plaintiffs' Deposition Exhibit 1, Dauchot
10 deposition, and I believe in your report you made
11 use of the hematocrit and hemoglobin values that are
12 written on that report, did you not?

13 A. Yes.

14 Q. And I take it from your interpretation of
15 Plaintiffs' Exhibit 1, you concluded that this man
16 had originally a hemoglobin value of 17 and a
17 hematocrit value of 51, and that later, but sometime
18 prior to the induction of the anesthesia, those
19 values dropped to 13.6 for the hemoglobin and 42.7
20 for the hematocrit; is that correct?

21 A. That is correct, yes.

22 Q. Were you able to find, either before you made
23 your report, or up to this point, any documentation
24 in the chart for the lab values 13.6 hemoglobin and
25 142.7 hematocrit? And by that I mean, any recordings

1 of these lab values in the lab reports themselves?

2 A. No. However -- and I want to show this to
3 you, because from the copies I got, I got something
4 close to it, although the timing is off, and it may
5 have been 16 or 18, I got this here, and to the
6 extent that in my copy this is 18, then it is 18.
7 Initially, it could have been 16, although the
8 timing, 4:42 in the morning, was completely off.

9 Now, I just want to tell you that these
10 values, although not exactly the same, come close to
11 what was mentioned here.

12 Q. Let us mark this sheet from our record, and
13 make that an exhibit, Doctor.

14 Just a moment, please.

15 A. I put down, probably 7-18, but I cannot tell
16 for sure from the copy I have got here, if this is
17 7-16.

18 Q. Could you open your notebook for a moment?

19 A. Oh, yes.

20 Q. Actually, let us mark this one **as** an exhibit.

21 A. You can have it, if you want to.

22 (Thereupon, Plaintiffs' Exhibit 2

23 (Dauchot) was marked for identification.)

24 BY MR. ELLERIM:

25 Q. Doctor, you have just been referring -- in

1 answer to my last question, you have been referring
2 to flow sheet page 30 in the Medina Hospital chart,
3 which we have taken out of your notebook, and marked
4 as Plaintiffs' Exhibit 2.

5 A. Right.

6 Q. And you are referring to the column of date
7 and time and numbers for hemoglobin and hematocrit
8 that appear at the left side of the page; is that
9 correct?

10 a. That is correct.

11 Q. And you have written above the date of 7-16
12 or 18, probably 7-18; is that correct?

13 A. That is correct.

14 Q. And when did you write the language, probably
15 7-18, on that sheet?

16 A. Exact recollection, I do not have.

17 Q. Well, let me ask you this:

18 Would it have been before you wrote your
19 report?

20 A. I think that at that moment, I may have
21 noticed it, yes.

22 Q. And wrote, probably 7-18, over the date,
23 7-18?

24 A. Right.

25 Q. And you have not seen the original chart?

1 A. No.

2 Q. And therefore you don't know how well the
3 date, seven one six or one eight appears on that
4 original chart: is that correct?

5 A. Right.

6 Q. I want you to assume for a moment on the
7 original chart it is 7-18, and not **7-16**.

8 Did you find any other areas or any other
9 pages in the hospital chart that document the 13.6
10 and the **42.7** as shown on Plaintiffs' Exhibit 1?

11 A. No, I didn't.

12 Q. But it was based on these values for
13 hematocrit and hemoglobin as reflected on Exhibit 1
14 that you made your calculations from and came up to
15 the conclusion that this man's circulating volume
16 had increased by 800 ccs?

17 MR. GROEDEL: Objection.

18 A. Yes.

19 MR. GROEDEL: I am not sure he
20 understood that, but go ahead, ask it again.

21 Q. Well, did you understand my question?

22 A. Well, the question was that it was based on
23 these values that I saw here (indicating).

24 MR. GROEDEL: Okay. I thought you
25 were referring to Exhibit 2.

1 MR. ELLERIN: No, on Exhibit 1.

2 MR. GROEDEL: Okay, I've got you.

3 A. (Continuing) I did make some calculations,
4 some estimates.

5 Q. You made calculations or estimates as to his
6 increase in circulating volume, which you said in
7 your report you estimated at 800, and you base that
8 on what are the recorded numbers for hematocrit and
9 hemoglobin on Plaintiffs' Exhibit 1?

10 A. That is correct.

11 Q. And based on those numbers for hemoglobin and
12 hematocrit, you attempted to calculate how much
13 fluid intake he had gotten in the hospital from the
14 time that the first blood was drawn, and the
15 hematocrit and hemoglobin values were obtained from
16 that, until whatever time this second drawing was;
17 is that correct?

18 A. That is correct.

19 Q. And by second drawing, I mean the drawing
20 that would have resulted in the numbers of 13.6
21 hemoglobin and 42.7 hematocrit.

22 A. Right.

23 Q. And by the drop in those numbers, that would
24 be called hemodilution?

25 A. Yes, one could use that term, yes.

1 Q. Okay.

2 You came up with, that you believed he might
3 have gotten between 2,000 and 3,000 ccs of fluids
4 between the times that those two blood samples were
5 drawn?

6 A. That is correct.

7 Q. Did you make any other calculations with
8 respect to how much fluid intake the man had
9 following the hypotensive event in the surgery, and
10 the next time that hematocrit and hemoglobin are
11 documented?

12 A. Could you rephrase the question?

13 Q. All right, well, let me withdraw it. It got
14 pretty burdensome there.

15 We know that he got a substantial amount of
16 fluid after he became hypotensive in the operating
17 room, do we not?

18 A. Yes, that is correct.

19 MR. ELLERIM: Mark this as Plaintiffs'
20 Exhibit 3.

21 (Thereupon, Plaintiffs' Exhibit 3

22 (Dauchot) was marked for identification.)

23 BY MR. ELLERIN:

24 Q. If we mark as Plaintiffs' Exhibit 3 the
25 anesthesia sheet for the July 16th operative room

1 record of anesthesia, we see in the lower right-hand
2 corner the fluids that this man received in the
3 operating room; is that correct?

4 A. That is correct.

5 If I may say something, this first value
6 here, to me, is not quite clear, because the other
7 ones I can readily decipher.

8 Q. By first value, you are talking about the one
9 that is numbered 1, D5LR?

10 A. Yes.

11 Q. And a bag is a thousand ccs, is it not? I
12 mean, that is the size it comes in?

13 A. Well, they also come in sizes of quarter
14 liters and half liters, some. I assume that they
15 all used here the standard thousand ccs. That is my
16 assumption, That is what is used normally.

17 Q. What interpretation, if any, do you make of
18 the number that is opposite the number 1 D5LR?

19 A. I see here, 100. This here, this little open
20 parentheses, I am at a loss, I cannot -- whether
21 this belongs to the rest here. So that is why I am
22 only questioning what this could be.

23 Q. All right.

24 A. I make it 100, but it may also be more, I do
25 not know.

- 1 Q. It could be 1,000, could it not?
- 2 A. I suppose, yes.
- 3 Q. And the second line with the 2 D5LR would
- 4 represent, would it not -- with the number 900 after
- 5 it?
- 6 A. 950 -- 900, yes, for sure 900, yes,
- 7 &- -- would represent what fluid was absorbed
- 8 from the second bag, would it not?
- 9 A. Yes.
- 10 Q. And what does the third line state, where the
- 11 number 3 is circled (indicating)?
- 12 A. Well, this is D5, and the rest -- it may also
- 13 be --
- 14 Q. Lactated Ringer's?
- 15 A. It may also be, I suppose, and 100 ccs.
- 16 Q. The handwriting leaves something to be
- 17 desired; am I correct?
- 18 A. Definitely.
- 19 Q. And the fourth line, can you read that, where
- 20 the 4 is circled?
- 21 A. Yes, I think it is .9 normal saline, I
- 22 suppose that is what I would construe from it,
- 23 Q. And that would have been fed through the CVP,
- 24 would it not?
- 25 A. Well, they prepared this to keep the CVP line

1 open, and I would assume that is what it is.

Q. So if we assume that in the operating room,
3 line number 1, D5LR, is a full bag of a thousand,
4 and the second one is 900, and the third one is a
5 hundred, and the fourth of .9 normal saline is 50,
6 we have **2,050** total volume intake?

7 A. That is correct. However, if this is only
8 100, then it drops to 1,100.

9 Q. 1,100?

10 A. Yes.

11 MR. REICHEL: I think, to be fair to
12 the doctor, you should indicate one witness
13 indicated the first line, they thought, was
14 1,800, and the second line was 980.

15 A. (Continuing) I do not know. I have no
16 knowledge of this. I only can look at the documents
17 that I received, and make the best out of it.

18 Q. Doctor, let me say this:

19 Do you not agree, though, that if you are
20 going to group your D5LR, you would just have one
21 line with the total of **D5LR**, you would not have **two**
22 lines, one with 1,800, and another one with 900, and
23 910; is it not more likely that we are listing
24 quantities consumed or absorbed per bag on these
25 four items?

1 MR. GROEDEL: Objection.

2 Go ahead.

3 A. Well, if that were true, then that would also
4 apply to the emergency room fluids, wouldn't it,
5 where they list 2,500, or 2,200, or even 3,000 ccs,
6 where the bags have been changed all the time.

7 Q. But in the emergency room, we have recordings
8 as to how much was absorbed, and we have a nurse's
9 testimony as to the amount absorbed from each bag
10 before the bag was discontinued?

11 A. Well, I am not aware of the nurse's --

12 Q. I understand you are not aware of it, because
13 you haven't seen the nurse's deposition.

14 A. I can only, if I may say, give you my opinion
15 on the documents that I did receive.

16 And if I may say something more, I can only
17 say that what I thought from the record that
18 Mr. Patel received came pretty close to my
19 assessment, based on hemoglobin, of what he actually
20 should have received.

21 So those two numbers, the emergency room
22 recordings and what I thought from the hemoglobin,
23 well, it makes sense to me.

24 Q. I understand, I understand that you had
25 nothing in front of you, other than the chart, as

1 written, and admittedly some of the handwritings in
2 there are poor, and it is difficult to decipher some
3 of the numbers.

4 A. They surely are, it is a fact.

5 Q. I mean, everybody is in agreement on that,
6 correct?

7 A. Yes.

8 Q. Or you and I are in agreement?

9 A. Yes.

10 Q. Using whatever number you wish -- Mr. Reichel
11 suggested that one witness testified that the number
12 1 in a circle, D5LR, was 1,800, and he is correct,
13 one witness thought that that might be 1,800, and
14 that the second line might be 950, or 980 -- he got
15 a fairly good volume after the hypotensive event in
16 the operating room, did he not?

17 A. Yes, but I want to point out that in
18 Dr. Siefert's deposition, he mentioned that he gave
19 also a fairly good fluid push before induction, but
20 from what I can reconstruct from his deposition, he
21 said the patient got about -- that he changed the
22 bag before, outside the operating room, he changed a
23 bag, whatever bag we are talking about.

24 Q. What happened to the fluid that was in the
25 bag that he came down with?

1 A. Was it out? Was it almost out?

2 Q. Well, the record shows that the third bag of
3 fluid was under orders of Dr. Chen to run for one
4 hour at 300 ccs, and then be reduced to 120, and
5 that it ran for approximately two hours, and then he
6 was brought to surgery, and the nurses indicate that
7 they reduced the rate.

8 So that would it be fair to say that more
9 than one half of that bag was still unconsumed at
10 the time he went to surgery, I mean, assuming that
11 the nurses' recordings as to the flow and when they
12 cut back on the rate are accurate?

13 A. Well, I would like to -- well, to ask,
14 because all this fluid management situation is --
15 well, was very complicated to me, it was to
16 Dr. Stirt, it is in his deposition, until Miss Cook
17 testified, and things became more clear also to
18 Dr. Stirt, and he even reduced his estimate of
19 fluids given by --

20 Q. Doctor, I want you to understand, I am not
21 faulting you for your original assessment in your
22 report, you did that based on numbers that you had
23 in front of you on the chart, or your interpretation
24 of numbers you had in front of you.

25 A. Right.

1 Q. And everyone has had this problem of trying
2 to determine what these amounts were, by trying to
3 read back into a chart now. You weren't there at
4 the time of the treatment of the patient, we all
5 understand that, and neither were any of the other
6 doctors that have come forward as experts in this
7 case.

8 But all I am trying to establish at this
9 point is that from the time of what would have been
10 the second reading for hemoglobin and hematocrit,
11 and that was before these fluids were
12 administered --

13 A. Absolutely.

14 Q. -- a substantial amount of fluids came in, or
15 were absorbed by this patient?

16 A- Yes.

17 Q- And then we have a third reading of
18 hematocrit and hemoglobin, do we not, at a later
19 time, as exhibited on a lab value? And I want to
20 mark this as Exhibit Number 4.

21 (Thereupon, Plaintiffs' Exhibit 4
22 (Dauchot) was marked for identification.)

23 Q. (Continuing) I am going to hand you, Doctor,
24 a lab sheet marked page number six, Result Summary,
25 which we have marked as Exhibit 4, and it shows at

1 the top of the page that in the ICC, after Mr. Patel
had been returned there from the operating room, on
3 the 16th, they drew blood at **1923**, which would be
4 **7:23** p.m.; is that correct?

5 A. Yes.

6 Q. And from that blood sample we have hematocrit
7 and hemoglobin readings recorded, do we not?

8 A. That is correct.

9 Q. And am I correct that the hemoglobin reading
10 is 15.6, and the hematocrit is 45.6?

11 A. That is correct.

12 Q. Now, for the moment, Doctor, if we look at
3.3 the original values of hemoglobin and hematocrit
14 that are reflected in the preanesthesia record of
15 hemoglobin 17 and hematocrit 51 --

16 A. Right.

17 Q. -- and we know that quite a bit of fluid was
3.8 ultimately given in the operating room, after the
19 recording of the second set of hemoglobin and
20 hematocrit values by Dr. Siefert --

21 A. Right.

22 Q. -- we wind up with hematocrit and hemoglobin
23 values on blood drawn at **7:23** p.m. of even being of
24 higher concentration than Dr. Siefert's second set
25 of recorded values on his preanesthesia record?

1 A. That is true. Those are the numbers.

2 Q. Now, for the moment, if we were to eliminate
3 or forget about the second set of hemoglobin and
4 hematocrit values as recorded on Exhibit 1, and just
5 go with the original values of hemoglobin, 17,
6 hematocrit, 51, and then pick up with the values on
7 Exhibit 4 of 15.6 for hemoglobin, 45.6 for
8 hematocrit --

9 A. Yes .

10 Q. -- just using those two sets of values, do
11 you find that the drop in hemo concentration of the
12 hemoglobin and hematocrit is consistent with the
13 added volume of fluids that Mr. Patel had in the
14 operating room, and from the time he first came into
15 the hospital until the time the second blood samples
16 were drawn at 7:23?

17 A. It could certainly represent or reflect the
18 volumes or the volume he received from his admission
19 in the emergency room to this point in time.

20 Q. Okay.

21 A. Although if I may comment on this, it would
22 represent the balance between the administration of
23 the fluids and the third spacing, because --

24 Q. He continued to third space?

25 A. Right.

1 Q. Yes.

A. But I would say this, and just in a
3 hypothetical situation, if one started to third
4 space much more, then -- or third spacing at the
5 rate higher than the rate of administration of
6 fluids, a patient's hemoglobin could certainly not
7 drop that much, as one would anticipate from the
8 fluids given.

9 But this is a hypothetical situation that I
10 have never tried to solve, but from a purely
11 theoretical standpoint, they are two processes going
12 on: One is the administration of fluids; the other
13 one is, where do the fluids go once they are in the
14 body?

15 And we assume, or you may assume that the
16 situation of resorption of fluids out of the
17 extravascular space -- out of the vascular space to
18 the extravascular space may have changed. It may
19 have worsened as time goes by. And I am not
20 qualified to answer that question.

21 Q. But the numbers that are reflected in
22 Plaintiffs' Exhibit 4 for hematocrit and hemoglobin,
23 when it is considered with the amount of fluids that
24 Dr. Siefert records on his anesthesia record,
25 Exhibit Number 3, would indicate that the drop in

1 hemo concentration is based in a large part on the
2 fluids obtained in the operating room?

3 A. Right.

4 But if I may say one more thing here, if we
5 assume now that Dr. Siefert's values were correct --

6 Q. You are speaking about the values on the
7 preanesthesia record?

8 A. Exactly,

9 Q. Plaintiffs' Exhibit 1.

10 A. These two, one would have some sort of a
11 paradoxical situation where large amounts of fluids
12 had been given intraoperatively, yet the hemo
13 concentration had worsened, as compared to the
14 second values that Dr. Siefert reports there.

15 Q. Despite being given all the fluids?

16 A. Despite.

17 And as a hypothetical answer to this, I
18 mentioned to you the balance between giving the
19 fluids and what happens in the body, the disposal of
20 those fluids once they are in.

21 Q. But you have no evidence that he perforated
22 more, is that correct, or greater?

23 A. No, I do not think personally -- it is my
24 opinion as an anesthesiologist -- that this has
25 anything to do with the size of the hole in the

1 duodenum here. If the peritoneum, the inflammation
2 of the peritoneum is increasing over time, as it may
3 well be, because that is the reason of the urgency .
4 to prevent this, then large quantities of fluid will
5 be resorbed and go inside to the intraperitoneal.

6 Q. And then if we carry it further, and apply it
7 to later hemoglobin and hematocrit values, the same
8 thing should hold up, should it not?

9 A. Yes ,

10 Q. And I don't want to take the time to do it
11 here on these values, and you haven't had the
12 opportunity to do that, either, to verify this
13 hypothesis that you have just suggested?

14 A, Not at all, no,

15 Q. And am I correct that when you wrote your
16 report, you went simply on the two sets of values
17 recorded by Dr. Siefert on Plaintiffs' Exhibit 1?

18 A. Exactly.

19 Q. You have had the opportunity to read
20 Dr. Stirt's deposition, have you not?

21 A, Yes.

22 Q. And Dr. Stirt raises in his deposition the
23 issue that he did not find in his copy of the chart
24 any documentation in the laboratory reports for the
25 values recorded by Dr. Siefert as the second set of

1 hemoglobin - hematocrit values; is that correct?

2 A. That is correct.

3 Q. And you could not find that in your chart,
4 either, once you set about looking for it?

5 A. For the exact numbers, as written down there
6 (indicating), no.

7 Q. As written on Exhibit 1, you could not find
8 it anywhere in the chart?

9 A. No, I could not.

10 Q. You saw some concern raised by Dr. Stirt in
11 his deposition that this man who he says was
12 hypovolemic, because of all of the conditions that
13 you have mentioned, and what he feels was the
14 limited amount of fluid that the man received once
15 admitted to the hospital, he felt that it would be
16 below the standard of care for an anesthesiologist
17 to take this man to the operating room and
18 administer anesthesia when he had only one 20 gauge
19 IV needle on the top side of the left hand.

20 Do you have an opinion as to whether, if the
21 man were hypovolemic by four, perhaps five liters of
22 fluid when he came to the hospital, that his
23 administration of fluids for rehydration purposes, **as**
24 opposed to simply feeding medicines through, could
25 have and should have been managed through one 20

1 gauge IV needle in the top of his hand, when you are
2 getting him prepared for surgery?

3 MR. GROEDZL: Objection,

4 You are assuming that the doctors knew
5 at that time he was four to five liters
6 dehydrated?

7 MR. ELLERIN: That they knew the
8 condition that he had, and had available to
9 them, if they chose to ask, the amount of
10 food that he had.

11 MR. GROEDEL: Okay. Go ahead.

12 A. I will give you a theoretical answer and a
13 practical one.

14 The theoretical comes from a discussion we
15 had in the past about liver transplantations and how
16 much fluid can go through a 20 gauge angiocath. As
17 it turns out, if one raises a bag, one liter bag of
18 saline about fifteen -- a meter and a half, so let
19 us say it is three yards, four yards, above the
20 patient's level, one can infuse optimally 60 ccs of
21 crystalloids, fluid, a minute.

22 Q. So what you are saying is that a significant
23 amount of fluid can be fed through a 20 gauge
24 needle?

25 A. Can be given through a 20 gauge.

1 Q. Would you take a man into an operating room
2 with only that IV line, and administer anesthesia to
3 him under the facts and circumstances of this case,
4 where he has a known perforated viscus with limited
5 food intake, limited liquid intake, and a history of
6 vomiting?

7 MR. GROEDEL: Objection.

8 You mean this doctor, personally?

9 MR. ELLERIN: Yes.

10 MR. GROEDEL: Objection.

11 Go ahead, you can answer that.

12 A. Well, that was going to be the practical
13 answer to it. If, clinically, I was under the
14 impression that someone was severely dehydrated, no,
15 I would not.

16 However, if I was under the impression that a
17 patient had already received through that same 20
18 gauge angiocath two or three liters of fluid, and if
19 the venous access was difficult for a given patient,
20 what we certainly could consider is to start a
21 cautious induction of anesthesia through the 20
22 gauge, and immediately, when the patient is asleep,
23 change it to a larger size.

24 Because these situations arise occasionally,
25 and it becomes again a question of clinical

1 judgment, and of -- well, how much this and how much
2 that?

3 Q. Of course, if you had a CVP line or a
4 Swan-Ganz in the patient before he was taken to
5 surgery, you would have that route available not
6 only for monitoring the hemodynamics of the patient,
7 but also to feed fluid to the patient, would you
8 not?

9 A. Well, it depends on the size of the central
10 venous catheter. Some central venous catheters are
11 20 gauge, exactly as an angiocath.

12 Q. But it would be a second route?

13 A. Yes, no question about that. The Swan-Ganz
14 catheters are usually inserted with a large gauge or
15 large bore introducer, and yes, large quantities of
16 fluid can be given through the Swan-Ganz catheter,
17 through the cordis, as we say.

18 Q. And given quickly, as far as the size goes?

19 A. Yes, no question about that.

20 Q. Now, I want to talk to you about the fluid
21 balances that you mention on page eight of your
22 report.

23 (Thereupon, Plaintiffs' Exhibit 5

24 (Dauchot) was marked for identification.)

25 MR. REICHEL: What are you marking

1 now?

2 MR. ELLERIN: I am marking as Exhibit
3 5 the graphic chart and nurses notes.

4 BY MR. ELLERIN:

5 Q. Doctor, on page eight of your report, and I
6 suppose I should read that short paragraph into the
7 record, "Of note is that the first fluid balance of
8 Mr. Patel's hospitalization was positive (532 cc
9 intake versus 452 cc output, see graphic chart and
10 nurses notes of 7-16). This is in contradistinction
11 with the statement of Dr. Stirt on page four,
12 paragraph one of his report which documents a
13 negative fluid balance."

14 Have I read your report correctly, Doctor?

15 A. Yes.

16 Q. Would you turn to that chart, the graphic
17 chart and nurses notes that you cite in your report,
18 Doctor?

19 A. Yes.

20 Q. First of all, you record an output of 452
21 ccs; is that correct?

22 A. Yes, and I refer to the output mentioned
23 here, 452.

24 Q. Yes.

25 And that is for an eight hour shift of

1 apparently 4:00 p.m. to 12:00 p.m. -- or wait --
2 perhaps 11:00, 3:00 p.m. to 11:00 p.m. shift; is
3 that correct?

4 A. I would think so, yes.

5 Q. Yes.

6 And it shows urine, 302 ccs, in Levine tube,
7 150 ccs?

8 A. Yes, that is correct.

9 &- For a total fluid output of 452; is that
10 correct?

11 A. That is correct,

12 Q. And that is what you are commenting upon when
13 you are talking about output; is that correct?

14 A. Yes.

15 Q. And in commenting on intake, you use the
16 number, 532 cc intake. And where did you get that?

17 A. I got it from IV, 532. It says intake, eight
18 hour, oral, and apparently this means nothing, I
19 would presume, and then IV 532.

20 Q. Well, I think it is npo above the 532,
21 meaning nothing by mouth, obviously, Doctor?

22 A. Yes ,

23 Q. And then by IV, 532, for a total of 532?

24 A. Yes, that is where the numbers come that I
25 mention here.

1 Q. All right.

2 And here we are talking about IV fluid that
3 the man received back in the intensive care unit,
4 are we not, after he is taken there from the
5 operating room where he had this hypotensive event
6 and was given the fluids in the operating room?

7 A. Right .

8 Q. So if we balance just those two items from
9 the time he came -- or the intake from the time he
10 came back to the ICU unit to the end of the 11:00
11 p.m. shift, we see an intake by IV of 532; is that
12 correct?

13 A. Yes.

14 Q. And we see that by 11:00 o'clock that
15 evening, through measurements done in ICU, both
16 through the Foley catheter and through the Levine
17 tube, that we have the output of 452?

18 A. Right.

19 Q. You are aware, are you not, that the catheter
20 was put in in the operating room by a Dr. Slaby --

21 A. Yes.

22 Q. -- after the hypotensive event?

23 A. Yes.

24 Q. And that whatever fluids came through that
25 Foley catheter and went into a bag was taken up to

1 ICU?

2 A. No, I am not aware of that.

3 Q. All right,

4 Did you see any records where any fluid was
5 emptied or measured in the operating room?

6 MR. GROEDEL: From the record?

7 MR. ELLERIN: From the record.

8 A. No, I have no evidence of that.

9 Q. If we assume for a moment that all of the
10 fluids that were absorbed -- well, for that matter,
11 all of the fluids that were absorbed IV-wise from
12 the moment he came into the hospital through 11:00
13 p.m. on the 16th represents the total fluid intake
14 of the patient?

15 A. Yes.

16 Q. And if we assume that the total output of the
17 patient is 452, to 11:00 p.m. --

18 A. Yes.

19 Q. -- then under those circumstances, and under
20 the assumptions that I have just stated, the man
21 would have a negative fluid balance, would he not?

22 A. I cannot follow you.

23 If we increase the input and leave the output
24 as such, his balance would even be more positive.

25 You see, if you go to the next line --

1 Q. Well, what I am getting at, there would have
2 been a whole lot more fluids going into the man than
3 is represented by the 452 ccs of fluids coming out
4 of the man?

5 A. Oh, yes, because that would have been
6 probably depending -- two liters in the OR,
7 assuming, and I assume two liters in the interim,
8 would give him an input of 4,500, versus an output
9 of 450, a positive balance of 4,000.

10 Q. All right.

11 A. My point was here to say that Dr. Stirt says
12 that there is a negative fluid balance, which I do
13 not recognize, looking at the numbers.

14 Q. By negative -- let us assume for a moment
15 that when he says there is a negative fluid balance,
16 he is meaning that there is substantially more fluid
17 going in by IV than is coming out by Foley.

18 MR. GROEDEL: Just assume that that is
19 what he meant, okay?

20 Q. (Continuing) Would you agree that that is a
21 correct statement?

22 A. Could you rephrase your question?

23 Q. All right.

24 I mean, the man is getting -- has gotten,
25 since he came into the hospital, until 11:00 p.m.,

1 he has taken in much more fluid --

2 A. Yes.

3 Q. -- than is represented by the output of 452?

4 A. Right.

5 Q. How do you explain the difference in the
6 quantity of fluid that the man absorbed through IV
7 from the time he came into the hospital until 11:00
8 p.m., in light of only an output of 452?

9 A. Well, the fluids leave the vascular space and
10 they go to the intracellular space, or intravascular
11 space, so this may be a third spacing, or just a
12 movement of fluids across membranes.

13 So fluids leave the vascular compartments, go
14 to the extravascular, and at the kidney level, they
15 come back, and they are eliminated.

16 Q. Would they also represent the fact that the
17 person was hypovolemic when the fluid started, the
18 IV fluid started?

19 A. Well, to some extent, yes, because if the
20 output was nothing, then one has to assume that the
21 patient is absorbing all the fluids, and is not
22 eliminating anything, so he needs the fluids that he
23 received. Assuming that his kidneys are otherwise
24 functioning normally, and there is no anatomical or
25 disease process that prevents his kidneys to

1 eliminate any surplus.

2 Q. Do you have any knowledge or information that
3 this man, even as of today, has any kidney
4 malfunction?

5 A. I have no absolute indication of it. All I
6 can say is from looking at the patient itself, I
7 mean, on the record, on the values, his BUR was
8 elevated on admission.

9 Q. Could that be due to hypovolemia?

10 A. Oh, yes, it is called the prerenal condition.
11 His creatinine was elevated, that also could reflect
12 hypovolemia, and even -- well, clinically speaking,
13 it is the ratio of the BUM divided by the plasma
14 creatinine that may give an indication if the
15 patient had so-called a prerenal azotemia.

16 &- Well, I want you to assume for the purpose of
17 my question that this man has no kidney problems
18 today, and has had no surgery, or is not under any
19 treatment for a kidney condition.

20 Based on these numbers that you have just
21 given for the BUN and the creatinine, and knowing
22 what we know about the original hemoglobin and
23 hematocrit, aren't those all consistent with a
24 patient who comes to the hospital in a hypovolemic
25 state?

1 A. They reflect to some degree a hypovolemic
2 state, yes.

3 Q. And the degree and extent of hypovolemia is
4 something that both the surgeon and the
5 anesthesiologist should attempt to quantitate in
6 their care and management of the patient?

7 A. Yes.

8 Q. If Dr. Siefert was at all in doubt when he
9 was looking at the original chart which he had, that
10 existed at the time he prepared the preanesthesia
11 report, if he were at all in doubt about the
12 recordings, or any of the readings, or was unsure as
13 to what a nurse wrote, or a doctor thought in the
14 emergency room, is it incumbent upon him to pick up
15 the phone and try to determine these actual volumes
16 of absorption that took place prior to his getting
17 involved in the case?

18 A. Well, he should certainly inquire and double
19 check, I assume. The question is, to what extent?

20 I mentioned to you earlier that there is a
21 chain of treatment going from the **ER**, over to the
22 nursing division, to the operating room.

23 Dr. Siefert came in rather late, he did a
24 brief evaluation of the patient. As he mentioned in
25 his deposition, he says the patient received two to

1 three liters of fluid, that was what he assumed from
2 the records, maybe he gave a call to find out what
3 was given, maybe someone told him that it was
4 something like that.

5 When I looked to the records, I had the
6 impression that he had received two to three liters.

7 Q. All right.

8 MR, GRQEDDEL: Let him finish.

9 A, The hematocrit, whatever -- I do not know
10 from where the values come, but they are from
11 Dr. Siefert's preoperative anesthesia record,
12 reinforced to him the idea that yes, the patient had
13 received a decent amount of fluids, maybe not
14 precisely what one should wish the patient had
15 received, or should receive if there had been
16 sufficient time to do so. Plus the heart rate that
17 was 120 on admission was in the range of 80 to 88,
18 so a clinical sign of hypovolemia had disappeared.

19 There was, I suppose, a slight hypothermia
20 that occurred, which may be consistent with the
21 administration of fluids, When we give cold fluids,
22 patients cool off.

23 When the patient was -- or just before
24 entering the operating room, the pressure was 130, I
25 don't know the diastolic. So there are a lot of

1 signs, and I cannot weigh here the importance of
2 these signs that would reinforce Dr. Siefert's idea
3 that the patient had received between two to three
4 liters of fluid, and it was his clinical judgment
5 that yes, he could go ahead.

6 Q. And that is basically because, in your
7 opinion, there was no accurate recording of the
8 amount of fluids that the man in fact received?

9 MR. GROEDEL: Objection.

10 A. Well, whether Dr. Siefert went through these
11 records with a fine tooth comb to find out exactly
12 how much fluids the patient actually received, I do
13 not think he had the time to do so, he just
14 probably, and maybe wrongly so, relied on, how much
15 fluids did you give; I gave two, three liters.

16 The bottom line was that he had the
17 impression that that was the amount of fluids the
18 patient had received, and there were some clinical
19 signs pointing towards that.

20 Q. Doctor, do you know whether he, in fact, even
21 asked anybody how much fluids this man had received?

22 A. I do not know.

23 Q. And we know that the fluids started in the
24 emergency room; is that correct?

25 A. Yes.

1 MR. ELLERIW: Would you mark this as
2 the next exhibit,

3 (Thereupon, Plaintiffs' Exhibit 6
4 (Dauchot) was marked for identification.)

5 BY MR. ELLERIN:

6 Q. We know, also, do we not, Doctor, that at the
7 time Dr. Siefert became involved in the case, the
8 first page of the record that he had or should have
9 had available to him was the emergency room record,
10 that would have gone with the patient, would it not?

11 A. Normally the sheet would be in the patient's
12 chart.

13 Q. And we can tell from that record that the man
14 had absorbed only 50 ccs from the first bottle, can
15 we not?

16 A. I tell you frankly, when I looked over this,
17 I did not make that conclusion.

18 Q. All right.

19 so --

20 A, To me, it was not crystal clear,

21 Q. All I am saying is that either the record
22 should be written clearly enough by the hospital
23 staff, or if the doctor is in doubt or has any
24 doubt, he should check with the people in the
25 emergency room who made the entries?

1 A. Right.

2 Q. Okay. I mean, either one or the other?

3 A. Yes.

4 Q. And then secondly, that first bottle was
5 discontinued after 50 ccs absorbed, and a second
6 solution of just lactated Ringer's was hung at 12:40
7 p.m.; is that correct?

8 A. Yes.

9 I say yes, but -- yes, right.

10 Q. And we also see that 200 ccs absorbed?

11 A. Right.

12 Q. And that is at the point in time when
13 Dr. Chen got into the case, and he discontinued the
14 lactated Ringer's and switched to the DRL -- D5RL,
15 and that at the rate, based on his order, of 300 ccs
16 for the first hour, then reduced to 120?

17 A. Well, I do not see that Dr. Chen was involved
18 with this.

19 MR. GROEDEL: Do you want to look at
20 the order?

21 MR. ELLERIN: Yes-

22 (Thereupon, Plaintiffs' Exhibit 7
23 (Dauchot) was marked for identification.)

24 BY MR. ELLERIN:

25 Q. Doctor, handing you what has been marked as

1 Exhibit 7, I will tell you that from previous
2 depositions in this case, that Plaintiffs' Exhibit 7
3 is an order sheet prepared by Dr. Chen when he came
4 to the emergency room and undertook the care of the
5 patient, and changed the order of the fluids, and
6 that this took place roughly about 2:30 p.m. on the
7 16th, okay?

8 And you can assume that the emergency room
9 record and the order sheet record prepared these was
10 part of the man's chart when he was taken to the
11 floor and then taken to the operating room, all
12 right?

13 And you see that on Plaintiffs' Exhibit 7,
14 that Dr. Chen changed the order to five percent D/RL
15 1,000 ccs, and a nurse has written, started in ER at
16 2:30, or 2:36 p.m., I can't be sure of the exact
17 time.

18 A. Well, I saw this, and let me comment on this
19 order.

20 You say the order was changed. I do not see
21 any change. I only see the order, five percent --

22 Q. Changed from Dr. Haynesworth's.

23 A. I do not see this, I am sorry.

24 Q. See, we are now on the third bottle?

25 A. Yes.

1 Q. After the absorption of 50 ccs and the
2 absorption of the 200 ccs from the first two
3 bottles, they then went to the third bottle, which
4 is what Dr. Chen wanted, and that was started at
5 2:30 or 2:36?

6 A. But excuse me, all I am saying, looking
7 objectively at this record --

8 Q. Yes.

9 A. -- I see only that someone wrote down on the
10 third or the fifth line, 1,000 cc D/RL added, and
11 then something, I cannot decipher.

12 Q- At 12:40 p.m.

13 MR. GROEDEL: You are looking at the
14 wrong line, Jerry, he is not referring to
15 that line.

16 A. Just following the thousand ccs, I do not
17 know what it means.

18 Q. Well, I want you to assume that that one
19 thousand D/RL added was the order of Dr. Chen
20 started at 2:36.

21 A. But I have no evidence that that is so, and
22 excuse me that I am a little bit argumentative about
23 this thing.

24 What I am saying is this: Those are two
25 separate records. The way I see it, if I may just

1 give you the way I see this, I see an order written
2 by Dr. Chen, five percent **DRL**. It doesn't say on
3 this order, what usually is the case, D/C LR,
4 followed by then what should be given. It says a
5 thousand ccs. And someone scribbled here, in a
6 different writing, started in ER at **2:30** p.m.

7 Q. The nurse.

8 A. Well, that is what you say. I have no
9 evidence that this is a nurse.

10 Q. All right.

11 A. I am only saying, this handwriting is
12 different from that, and someone --

13 Q. By "this handwriting", you are talking about
14 the handwriting for the order is different from the
15 handwriting that says, started in ER, **2:36** p.m.?

16 A. That is correct.

17 Q. **All** right.

18 Well, are we clear that the order itself was
19 written by Dr. Chen?

20 A. Yes, right.

21 Q. And that somebody who took off that order
22 stated, started in ER at **2:36**, to give you a timing
23 on it?

24 A. I am in agreement with you, in your
25 interpretation of this.

1 Q. All right,
2 so that there is certainly enough here in
3 these two pages of the record which show by the
4 nurses on the emergency room record the amount
5 absorbed from the first bottle as being 500 -- 50
6 ccs, absorbed from the second bottle as 200 ccs, and
7 then we switch to the order of Dr. Chen, that the
8 third bottle, which is now DRL -- that is dextrose
9 Ringer's lactated solution, is it not?

10 A. Yes.

11 Q. -- to flow at the rate, based on Dr. Chen's
12 order, of 300 ccs for one hour, then 120 ccs per
13 hour?

14 A. I agree with one exception, and I want this
15 to be very clear, that as far as I am concerned,
16 that there is doubt in my mind that this thousand
17 ccs are the same as this (indicating). I can
18 assume -- this was changed at 12:40.

19 MR. GROEDEL: Which line are you
20 referring to now, Doctor?

21 THE WITNESS: I am referring to the
22 third line here, at 12:40.

23 MR. GROEDEL: Okay.

24 Q. That was going from the first bottle to the
25 second bottle?

1 A. Right.

2 Q. Okay.

3 A, Then 200 ccs --

4 Q. Was absorbed from the second bottle?

5 A, -- was absorbed.

6 And I would like just to make a hypothetical
7 statement here. Assume that these 200 ccs were
8 infused at the rate of 100 cc per fifteen minutes,
9 then this bottle here, or this bag, the third one,
10 would have been replaced at 1:00 o'clock.

11 Q. Doctor --

12 A. And then I am wondering what happened to this
13 volume here of a thousand ccs when Dr. Chen, in his
14 orders, just -- he states, give the five percent
15 D5LR, and someone scribbles, it was started at 2:30.

16 Q. Doctor, you don't want to argue with me, I
17 don't want to argue with you, but we have gone
18 through many, many depositions in this case, and
19 your scenario is not in fact what happened, okay?

20 And I am not saying that you are saying that
21 that in fact is what happened. What you are saying
22 is that to you, as an anesthesiologist, the
23 documentation in this chart is not good enough for
24 you, as an anesthesiologist, to pick up the chart
25 and know what fluids this man got from the time he

1 came to the hospital until the time you came in to
2 take care of him; is that a fair statement?

3 A. That is correct, yes, very fair.

4 Q. Whose responsibility is it to write these
5 charts in such a fashion that an attending
6 physician, in this case, an anesthesiologist coming
7 on the case several hours later, can pick up the
8 chart and figure out what this man has gotten?

9 I mean, he hasn't been there for weeks, he
10 has only been there several hours, so it is not that
11 long and complicated a history.

12 Whose job is it to keep these records in such
13 a fashion that you, as an anesthesiologist, can pick
14 it up and not have to guess, or make interpolations
15 of what happened to the hematocrit and all of that,
16 but can simply find out how much fluid has this man
17 been given?

18 A. The one who signs the record has the
19 responsibility for the way it is written.

20 Q. Now, are the nurses supposed to make their
21 entries clear enough so that the entry can be read?

22 MR. GROEDEL: Objection.

23 Go ahead.

24 A. Yes.

25 My point is, if a doctor signed this record,

1 he is responsible for the way the nurses enter --
2 well, write the entries.

3 Q. Well, do you think --

4 A. If I sign an anesthesia record when it is,
5 you know, entered by a resident, in the final
6 analysis, I am responsible for the way that he
7 produces, he constructs the record.

8 Q. Do you feel that an attending physician or a
9 physician who has privileges in the hospital is the
10 one who is required to teach the nurses operating in
11 the emergency room on how to write a record?

12 MR. REICHEL: Objection.

13 MR. GROEDEL: Objection.

14 A. I do not want to enter into this situation
15 here. I do not know what a given hospital has as a
16 policy to see that the records are complete and
17 clear. But the bottom line is, in my opinion,
18 whatever way they use, the bottom line is, the
19 records should be clear --

20 Q. And the bottom line taken one step further --

21 A. -- and complete,

22 Q. The bottom line taken one step further is
23 that if they are not clear and complete, and an
24 anesthesiologist picks them up, and they are not
25 clear and complete, he should inquire?

1 A. I would say yes, I would say yes.

2 MR. ELLERIN: Let us just take a
3 moment, and I think I am through.

4 (Pause)

5 MR. ELLERIN: Doctor, we have no other
6 questions, and I want to thank you for
7 spending the time with us today to go over
8 this matter.

9 MR. GROEDEL: We will send you a bill
10 for it, though, don't worry about it.

11 MR. GROEDEL: Any other questions,
12 folks?

13 MR. REICHEL: I have just several
14 questions.

15 CROSS EXAMINATION

16 BY MR. REICHEL:

17 Q. Doctor, my name is Richard Reichel, and I am
18 the attorney for Medina Community Hospital in this
19 particular litigation.

20 In the real world of practicing medicine in a
21 surgery suite, are there communications, verbal
22 communications between one physician and another
23 physician, such as the anesthesiologist and the
24 surgeon, and are there communications between
25 physicians and the nursing staff, verbally, that do

1 not end up being documented in the written chart?

2 A. Well, the way I am practicing medicine here
3 now, this cannot be considered the real world. This
4 is an academic institution, and one of our tasks is
5 to teach people to do it the best they can, and that
6 there should be no question, to have everything
7 complete.

8 So I am not actually the right person to
9 answer this question, in the real world. However,
10 what I can say, yes, there are moments where we can
11 give orders, over the phone, change preoperative
12 medications.

13 However, as far as I know, the nurse is
14 supposed to enter this in the nursing notes, that a
15 verbal order was taken from Dr. So-and-so to change
16 order so-and-so to this and that, or to give this
17 and that.

18 And usually, then, when we do this, the nurse
19 is asking us if they can take the verbal order.
20 That is the way that it happens here, as far as I
21 know.

22 MR. REICHEL: Thank you, Doctor. That
23 is all that I have.

24 MR. GROEDEL: John?

25 MR. BAKER: No questions.

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MR. GROEDEL: Jerry?

MR. ELLERIH: No questions.

- - -

(DEPOSITION CONCLUDED)

- - -

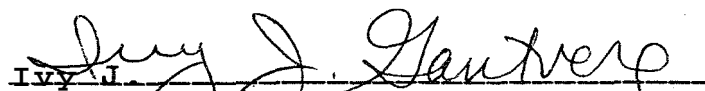
Paul J. Dauchot, M.D.

CERTIFICATE

State of Ohio,)
) SS:
County of Cuyahoga.)

I, Ivy J. Gantverg, Registered Professional Reporter and Notary Public in and for the State of Ohio, duly commissioned and qualified, do hereby certify that the above-named PAUL J. DAUCHQT, M.D., was by me first duly sworn to testify to the truth, the whole truth, and nothing but the truth in the cause aforesaid; that the deposition as above set forth was reduced to writing by me, by means of stenotype, and was later transcribed into typewriting under my direction by computer-aided transcription; that I am not a relative or attorney of either party or otherwise interested in the event of this action.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of office at Cleveland, Ohio, this 26th day of October, 1990.


Ivy J. Gantverg, Notary Public
in and for the State of Ohio.
Registered Professional Reporter.
My commission expires September 13, 1993.

ROOM 3	DATE OF SERVICE 7/16/87	TIME OF SERVICE 12:21	PATIENT'S LAST NAME PATEL	FIRST NAGINBHAI	INITIAL 067Y	AGE M	SEX M	MR# - 000058 ACCT - 73195
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CHIEF COMPLAINT
SICKNESS / VOMITING

NURSING ASSESSMENT
most severe cough - less wet - (L) leg color in
RA - present. present - no back pain - S.B.

TIME	T/B	P	R	BP	ALLERGIES	LAST TETANUS	CURRENT MEDICATIONS
M/P	100	120	52	98/60	NKA 1061700225r	LMP G. P.	Aspirin Ibuprofen
		120		100/60		VISUAL ACUITY OD 20/ OS 20/	

FAMILY DOCTOR
CONSULTING DOCTOR
Dr. Ringel
Dr. Chen
☐ CALL MY FMD
☐ FB DOCTOR TREAT

Family states vomiting & constipation. Seen by Dr. Ringel yesterday who states mid epigastric pain. But family ~~states~~ refused x-ray. very weak today.

Abt in abd
neck supple
Chest clear
H&A 8(M)
ABO B, Rh +
B.S. ↓
o LUS on MARS
o JVD
o CCE



IMPRESSION: perforated VISCUS

COD Stable
Admit to Dr. Chen per Dr. Ringel

E.R. PHYSICIAN Haggenmacher		E.R. PHYSICIAN SIGNATURE Haggenmacher		E.R. PHYSICIAN SIGNATURE H.D.	
SKULL	CHEST	ABD	CBC	EKG	1) TETANUS 0-5 cc
C-SPINE	DORSAL	LUMBAR	SMA-6	ABG	2) Meclizine 10m 12:00
HAND L	WRIST L	ELBOW L	U/A	ACU. PKG	3)
FOOT L	ANKLE L	KNEE L	AMYLASE	OTHER	4)
OTHER:			GLUCOSE		5)
RESULTS:			C&S		6)
			OTHER		7)
NURSE'S NOTES (SIGN)			NURSING TREATMENT		
			100cc D10 added - dotu -		

ONE ROOM DAA	REFERRED	ADMITTED RM 502 Chen	PHYSICIAN NOTIFIED Dr.	TIME DISCHARGE 7:16	DATE 7-16	<input type="checkbox"/> AMB. <input type="checkbox"/> W/C <input type="checkbox"/> CART/CARRY
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MEDINA COMMUNITY HOSPITAL

RESULT SUMMARY

ACCT NO: 7319509 PATIENT: PATEL, NAGINBHAI MED. REC. NO.: 53564 DATE: 7/21/87 ROOM: CC PAGE: 6

DR: CHEN, KE SHIAU

DEPT: 402-LABORATORY

402-0666 CBC

84301 RES: 7-16-87 20:33 LAJH

SPECIMEN: 7-16-87 19:46 AMB

STAI CRD: 7-16-87 19:23 ICC

WBC	13.7	X 1000/CMM	F	5.0	-	11.0	M	5.0	-	11.0
RBC	6.05	X MILLION/CMM	F	3.5	-	5.5	M	4.0	-	6.0
HGB	15.6	GM/DL	F	10.5	-	16.0	M	12.0	-	18.0
HCT	45.6	%	F	32	-	47	M	34	-	52
MCV	75	UUU		81	-	98				
MCH	25.8	UG		27	-	33				
MCHC	34.2	%		32	-	36				
PLATELET	248	X 1000/CMM		150	-	450				
LYMPH	4.1	%		15	-	45				
MONO	4.5	%		3	-	12				
GRAN	91.4	%		42	-	80				
LYMPH	0.6	X 1000/CMM		0.6	-	4.5				
MONO	0.6	X 1000/CMM		0.2	-	1.0				
GRAN	12.5	X 1000/CMM		2.4	-	9.0				

84901 RES: 7-18-87 7:57 LADB
SPECIMEN: 7-18-87 4:42 MA

WBC	11.9	X 1000/CMM	F	5.0	-	11.0	M	5.0	-	11.0
RBC	5.49	X MILLION/CMM	F	3.5	-	5.5	M	4.0	-	6.0
HGB	14.1	GM/DL	F	10.5	-	16.0	M	12.0	-	18.0
HCT	41.1	%	F	32	-	47	M	34	-	52
MCV	75	UUU		81	-	98				
MCH	25.7	UG		27	-	33				
MCHC	34.3	%		32	-	36				
PLATELET	202	X 1000/CMM		150	-	450				
LYMPH	3.0	%		15	-	45				
MONO	3.7	%		3	-	12				
GRAN	92.3	%		42	-	80				
LYMPH	0.5	X 1000/CMM		0.6	-	4.5				
MONO	0.4	X 1000/CMM		0.2	-	1.0				
GRAN	11.0	X 1000/CMM		2.4	-	9.0				

DOWNIN CRD: 7-18-87 4:42 ACM



GRAPHIC CHART AND NURSES' NOTES

[illegible]

MEDINA COMMUNITY HOSPITAL

FLOW SHEET

FINAL FLOW SHEETS

ACCT. NO.: 7319509 PATIENT
DR.: CHEN, KE SHIAU

PATEL, NAGINBHAI
MED. REC. NO.: 58504 DATE 7/21/87

PAGE: 30
ROOM: CC 7

DATE
TIME

07/18 37/17
00:00 00:00

12.9 15.1
37.6 43.6

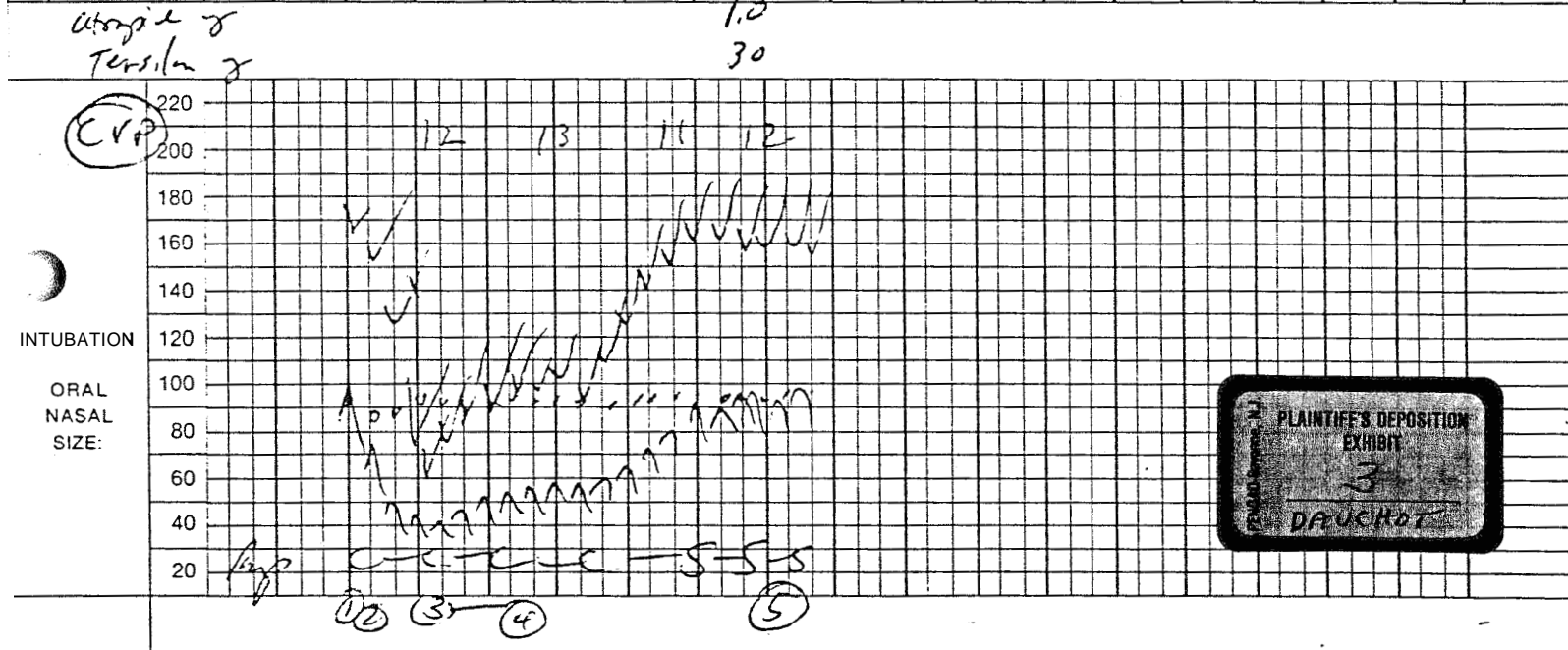
NORMALS

F 10.5-16.0 M 1
F 32-47 M 34-5



SURGLON		DATE 7/16/87	
OPERATION			
TIME START		TIME END	
PRE OP MEDICATION		AM PM	

TIME	ASA RISK	1	2	3	4	5
OXYGEN						
N ₂ O						
STP						
SUX						
Atropine						
Ephedrine						



ANESTHESIA PROCEDURE & REMARKS:		TIME BEGAN: 4:30	AM
		TIME END: 6:10	AM
		POSITION: Supine	
		FLUIDS:	
① #14 IV @ unit ② FFI 1st #75 BBS alternate ③ Reg VBP - sugar cancelled ④ Pt stabilized & CVP inserted ⑤ Extubated - to room A&G's drawn - 140/90 117 28 CK done		① PSUR 100cc ② PSUR 90cc ③ PSUR 100cc ④ SWB - 50cc	
EBL 100cc URINE OUTPUT 100cc		ANESTHESIOLOGIST SIGNATURE	
CONDITION AT CLOSE			

MEDINA COMMUNITY HOSPITAL

PRE AND POST OPERATIVE ANESTHETIC EVALUATION

7:10
12:15 CENTER PO

PREANESTHESIA EVALUATION

DATE:

SURGICAL PROCEDURE ANTICIPATED: Exp Lap

ANESTHESIA HISTORY: B

MEDICATION ALLERGIES: 0

PRESENT MEDICATIONS: Advicor

SYSTEM REVIEW

RESPIRATORY: upper

CARDIOVASCULAR: normal

GASTROINTESTINAL: nope Ulcer history - free Hx

GENITOURINARY: 1

METABOLIC: 1

NEUROLOGICAL: 1

ENDOCRINE: 1

OTHER: 1

VITAL SIGNS: T, 96.7 P, 88 R 24 B/P 90/78 WT. 160/5'8"

LABORATORY DATA:

EKG: Normal ST + T^u - wider qrs

Chest X-rays: COPD

CBC: Hb. 17/13.6

Hct. 51.0/42.7 WBC

Urinalysis:

Electrolytes: 136/4.0

Cluc 126
BUN 48

REMARKS

Choice of Anesthesia:

General

Regional

Local

A.S.A. Physical Status:

2

3

4

5

Depatures: X Upper

Lower

Partial

Smoking and Drinking Habits:

COMMENTS: 2nd day

(Signature)

[Signature]



DATE: 7/16/84

POSTANESTHESIA NOTE

Date of Anesthesia:

General Condition:

pt experienced hypotension on induction - the cancelled for one evaluation

Anesthesia Related Complications:

Present

Absent

IF PRESENT:

COMMENTS:

(Anesthetist)

[Signature]