

1 IN THE CIRCUIT COURT FOR THE
2 FIRST JUDICIAL CIRCUIT OF
3 MISSISSIPPI, HINDS COUNTY
4

5 * * * * *

6 A. B. McCARTY and ELIZABETH *

7 L. McCARTY, Individually, *

8 and as General Guardians of *

9 LUCAS McCARTY, a minor, *

10 Plaintiffs, *

11 CASE NUMBER

12 vs. *

13 35,393

14 PARACELSUS WOMEN'S HOSPITAL, *

15 INC., etc., et al., *

16 Defendants. *

17 * * * * *

18

19 The testimony of ELIAS GEORGE CHALHUB, M.D.,
20 taken at the Hilton Hotel & Conference Center,
21 3101 Airport Boulevard, Mobile, Alabama, on the
22 18th day of July, 1991, commencing at
23 approximately 7:00 o'clock, p.m.

1 A P P E A R A N C E S

2 FOR THE PLAINTIFFS: MESSRS. LISTON/LANCASTER
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12 BY: WHIT JOHNSON, ESQUIRE

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LYNN ROBINSON-DYKES
COURT REPORTER

22
23

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1 I N D E X	
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7 E X H I B I T S
8 Exhibit 1 (To be provided by Dr. Chalhub.)

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14 I, Lynn Robinson-Dykes, Commissioner and Court
15 Reporter, certify that on this date, as provided by
16 the Mississippi Rules of Civil Procedure and the
17 foregoing stipulation of counsel, there came before me
18 at the Hilton Hotel and Conference Center, 3101
19 Airport Boulevard, Mobile, Alabama, on the 18th day of
20 July, 1991, commencing at approximately 7:00 o'clock,
21 p.m., ELIAS GEORGE CHALHUB, M.D. , witness in the above
22 cause, for oral examination, whereupon the following
23 proceedings were had:

5

1 ELIAS GEORGE CHALHUB, M.D.,

2 the witness, after having first been duly sworn
3 to tell the truth, the whole truth, and nothing but
4 the truth, was examined and testified as follows:

5

6 MR. LANCASTER:

7 Would you let the record show, please, that the
8 deposition of Dr. Chalhub is being taken pursuant to
9 the Mississippi Rules of Civil Procedure for all uses
10 permitted by said rules, and that the reading and
11 signing of the deposition by the deponent are not
12 waived by the plaintiff. And I think, Whit, you have
13 an additional--

14 MR. JOHNSON:

15 Yes.

16 MR. LANCASTER:

17 --stipulation you want to add to that?

18 MR. JOHNSON:

19 That this deposition is being taken over the
20 objection of the defendant; that it is being taken
21 pursuant to court order with certain limitations
22 thereon. And I guess that's it.

23

6

1 MR. LANCASTER:

2 That's fine.

3 DIRECT EXAMINATION

4 BY MR. LANCASTER:

5 Q Would you state your full name, please?

6 A Elias George Chalhub.

7 Q What is your business address?

8 A 5 Mobile Infirmary Circle, Mobile, Alabama.

9 Q And your residence address?

10 A 3970 Pinebrook Drive, Mobile, Alabama.

11 Q What is your profession?

12 A I'm a physician.

13 Q Dr. Chalhub, we met before the deposition
14 started, but for the record, I'm Alan Lancaster. I'm
15 one of the attorneys representing Elizabeth and Chuck
16 McCarty and their minor son Lucas in a lawsuit that's
17 been filed against Dr. **Kellum** which arises from
18 injuries sustained by Lucas at the time of his labor
19 and delivery on February the 7th of **1986**.

20 You are aware that lawsuit has been filed, are

21 you not?

22 A I'm aware that the lawsuit was filed. You are
23 not asking me to agree with what you said?

7

1 Q No.

2 A Okay.

3 4 No. The attorneys for Dr. Kellum have advised
4 us that you have agreed to serve as an expert witness
5 for Dr. Kellum in this case and have provided us with
6 a summary of your opinions that you are going to
7 testify to in this case. It is our understanding that
8 you will testify as a causation expert and not as to
9 the quality of the medical care afforded to Mrs. Lucas
10 (sic) by Dr. Kellum. Do you understand that
11 distinction that I'm talking about?

12 A That's correct. I will not testify as to the
13 standard of care of an obstetrician.

14 Q Okay. Dr. Chalhub, I assume that you have
15 given your deposition on numerous occasions. Am I
16 correct?

17 A Well, I don't know what you mean by numerous.
18 I certainly have given a deposition before.

19 Q Have you given more than thirty depositions?

20 A I suspect, over ten to twelve years.

21 Q Would the majority of those depositions have
22 been in medical negligence cases such as this?

23 A Yes.

8

1 Q I take it, then, that you understand that your

2 answers here are given under oath?

3 A Oh, absolutely.

4 Q And you also understand that in the event you
5 testify at the trial of this case that anything that
6 you say here today can be used against you at the
7 trial?

8 A Sure.

9 Q As I mentioned earlier, Dr. Kellum's attorneys
10 have advised us that you are going to be an expert on
11 his behalf in this case, and my primary purpose here
12 today is to find out in a little more detail the
13 opinions that you will testify to at trial and the
14 basis for those opinions. If I'm going to accomplish
15 my goal here, it is important to me, and it's equally
16 important to you, that you and I are able to
17 communicate with each other. Therefore, if I ask you
18 a question that you don't understand, you have the
19 right to ask me to repeat that question or rephrase it
20 as many times as it takes until you understand what
21 I'm asking.

22 A Okay.

23 Q You understand that you have that right?

1 A I understand I have that right.

2 Q And can we --

3 A I assure you I will use it.

4 Q Okay. And can we agree that you won't answer

5 question unless you understand what I'm asking?

6 A Yes.

7 Q Dr. Chalhub, before your deposition started, I
8 asked you if you had a copy of your current curriculum
9 vitae, and you advised that you would provide us with
10 that?

11 A Sure.

12 Q A copy of it. If you would, mail that to the
13 court reporter.

14 A Well, when I read and sign it, I will be glad
15 to provide her with one.

16 Q All right. And we will attach that as Exhibit
17 1 to your deposition.

18 A Okay.

19 MR. LANCASTER:

20 Is that okay, Whit?

21 MR. JOHNSON:

22 Fine with me.

23

10

1 MR. BELK:

2 I wonder if we could get a copy of it before
3 it's attached.

4 MR. JOHNSON:

5 I may have one at the --

6 **THE WITNESS:**

7 You probably don't have a recent one.

8 MR. JOHNSON:

9 All right. If you are going to send one, just

10 send me one when you get back, and I will send it on
11 to them, And that way I will get one, too.

12 THE WITNESS:

13 Okay. Sure. We will do that.

14 MR. LANCASTER:

15 That's fine.

16 BY MR. LANCASTER:

17 Q Dr. Chalhub, is yours a private practice?

18 A Well, I'm essentially not in private practice
19 any further.

20 Q How long have you not been in private practice?

21 A Since March.

22 Q Of this year?

23 A Correct.

1 Q What is your standing as we sit here today,
2 professionally?

3 A I am the administrator and head of the Mobile
4 Infirmary Medical Center.

5 Q Prior to that, prior to March of this year, was
6 yours a private practice?

7 A Yes.

8 Q And what was the nature of that practice?

9 A It's child neurology.

10 Q Has your practice always been here in Mobile?

11 A You mean have I always practiced medicine in
12 Mobile? No.

13 Q Okay. Where else have you practiced pediatric

14 neurology?

15 A In Little Rock, Arkansas, and in St. Louis,
16 Missouri.

17 Q How long have you been here in Mobile?

18 A Since 1978.

19 Q Do you have any subspecialty within the field
20 of pediatric neurology?

21 A I don't believe there are any,

22 Q Okay. Do you have any specialties other than
23 that of pediatric neurology?

12

1 A I'm a virologist, as well.

2 Q Okay, And what does that concern itself with?

3 A And a pediatrician. Excuse me.

4 Q What does that concern itself with?

5 A It is the study of viruses.

6 Q Do you subscribe to any professional journals?

7 A Sure.

8 Q If you would, give us the benefit of those
9 publications?

10 A The New England Journal of Medicine, the --
11 Neurology, Child Neurology, Annals of Neurology, the
12 Journal of the American Medical Association,
13 Pediatrics, Journal of Pediatrics, Pediatric Clinics
14 of North America, Perinatal Clinics, American Journal
15 of OB/GYN. There are a number of them.

16 Q Are those the principal treatises and journals
17 that you subscribe to?

18 A Well, there are additional ones. I can't
19 recall them all at the present time, but, yes, those
20 are a number of them.

21 Q What texts or treatises do you own regarding
22 pediatric neurology of a newborn infant?

23 A Gosh, that would be hard to tell you over the
13
1 past twenty years.

2 Q Well, do you have a principal text or treatise
3 that you rely upon or consider to be --

4 A Well, I really rarely rely on texts anymore,
5 and haven't for a long time. And most of the people
6 who are in this specialty rely on articles from
7 journals and abstracts from meetings rather than
8 texts.

9 Q Do you have a principal reference dealing with
10 pediatric neurology of a newborn?

11 A Well, no. I mean, I use a number of
12 references, you know, whatever I'm interested in
13 looking at.

14 Q Are you familiar with the Neurology of a
15 Newborn by Volpe?

16 A Yes.

17 Q Is that -- do you recognize that as being a
18 good reference in pediatric neurology?

19 A Yes, I think it's an excellent reference in
20 neurology of the newborn, yes.

21 Q Is that generally accepted by other pediatric

22 neurologists as being a reliable source of
23 information?

1 A Well, I think it depends on what you want.¹⁴

2 Certainly not everything in there is what everybody
3 agrees with. It's an excellent book. It has a good
4 source of information. However, concepts change and
5 there are differences of opinions.

6 Q Insofar as undiagnosable preexisting conditions
7 that you have mentioned as being in your opinion the
8 cause of Lucas' problems, is that text generally
9 reliable and has it remained basically unchanged since
10 at least the second edition?

11 A I don't believe I understand that.

12 Q Okay. It's my understanding that you have
13 expressed an opinion that Lucas' neurological deficits
14 were caused by undiagnosable preexisting conditions?

15 A In terms of --

16 Q Is that --

17 A In terms of the exact cause, **yes**, but the
18 mechanism, I think, is fairly clear.

19 Q Okay. And I will get to that in a moment, but
20 for the time being, what -- when you say the mechanism
21 is clear, what is that mechanism?

22 A It is, in all probability, an hypoxic ischemic
23 insult to the periventricular germinal matrix.

1 Q Which occurred when?¹⁵

2 A Probably sometime between the thirtieth and

3 thirty-fifth week.

4 Q I will get back to that a little later.

5 Did you know Dr. Kellum before agreeing to
6 serve as a witness in this case?

7 A No.

8 Q Have you since met him?

9 A I have met him on one occasion.

10 Q Did you discuss, obviously, this case with him?

11 A No, not with him,

12 Q What was the occasion of you meeting Dr.
13 Kellum?

14 A He had accompanied Mr. Johnson on one occasion
15 when I met with Mr. Johnson.

16 Q Do you recall when that was?

17 A No. That's been a good while ago.

18 Q Last year?

19 A It may have been longer than that. I don't
20 know,

21 Q And you did not discuss the facts of this case
22 with Dr. Kellum at all?

23 A No, I discussed them with Mr. Johnson.

1 Q And Dr. Kellum did not tell you anything about
2 his opinions or his observations in this case?

3 A I didn't ask him.

4 Q My question was: Did he tell you?

5 A No,

6 Q Have you talked with any of the other

7 physicians, radiologists, neonatologists that rendered
8 medical care to Lucas?

9 A No.

10 Q Have you talked with any of the other experts
11 in this case, for example, Dr. Morrison, Dr. Helen
12 Barnes, Dr. Garland Anderson?

13 A No.

14 Q When were you first contacted about serving as
15 a witness in this case?

16 A I honestly don't know exactly. Several years
17 ago.

18 Q What was your initial response?

19 A What do you mean? To what?

20 Q When you were contacted about serving as a
21 witness, what was your response?

22 A Well, Mr. Johnson asked me if I would review a
23 set of records and give him an opinion as to what I
1 thought caused the child's problems, and my initial
2 response was that, sure, I would be happy to do that
3 and give him my opinion as to what I thought caused
4 this child's difficulty.

5 Q Do you recall when you rendered that opinion?

6 A No, not exactly.

7 Q What's your best estimate?

8 A Sometime over the past two years.

9 Q Has your opinion changed any from the first
10 opinion until now?

I

11 A Well, again, that's difficult to be certain.

12 didn't have all of the information when I initially

13 had them. So, it's hard to be certain.

14 Q What information did you have when you first

15 rendered your opinion?

16 A I don't think I can tell you that. I mean,

17 because I've gotten them over periods of time. So, I

18 can't be certain. I can certainly tell you what I

19 have, and I brought that all with me today.

20 (BREAK)

21 BY MR. LANCASTER:

22 Q Dr. Chalhub, before we stopped for the Coke

23 break, we were talking about the records that you were

1 given at the time of your initial review of this case. ¹⁸

2 And your answer was, I believe, you did not recall

3 what you had at that time?

4 A Correct.

5 Q But you do now have everything that you

6 reviewed before you?

7 A Except the x-rays, which I did not bring with

8 me, because I just didn't -- there was no viewbox, so

9 I didn't think we could look at those.

10 I'm sorry. I have a videotape. Excuse me.

11 MR. JOHNSON:

12 That's that you gave to me.

13 MR. LANCASTER:

14 Certainly.

15 BY MR. LANCASTER:

16 Q Which goes back to a question that I asked
17 earlier. Has your opinion as to the causation of
18 Lucas' problem changed any from your first opinion,
19 which was based upon some of the records, obviously
20 not all of them? Has it changed any?

21 A No, I don't think in -- in general, no.

22 Q What records have you reviewed or what records
23 are you relying upon to support your opinions that you
1 will be giving in this case? ¹⁹

2 A You want me to go through them all?

3 Q Please.

4 A The mother's records.

5 Q From the Woman's Hospital?

6 A Right.

7 Q Okay.

8 A The baby's records from the Woman's Hospital.

9 Dr. Burnett's records. There is some correspondence
10 from Dr. Bates, Dr. Phillips. I don't know all the --
11 these Jackson University Hospital records. Woman's
12 Clinic records.

13 Q Maintained on Elizabeth McCarty?

14 MR. JOHNSON:

15 Yeah. Those are some old records.

16 A Right.

17 These are office records of Dr. Denny. These
18 are again Dr. Burnett's records. This is a --

19 Plaintiff's Answers to Interrogatories. How do you
20 want me to identify this, just by number?

21 BY MR. LANCASTER:

22 Q That's fine.

23 A 35893,

20

1 MR. LANCASTER:

2 I assume that that -- is that a Bates number?

3 MR. JOHNSON:

4 No, that's the file number. These are y'all's
5 interrogatory answers.

6 MR. LANCASTER:

7 Okay.

8 MR. JOHNSON:

9 Initially filed in May of '88.

10 MR. LANCASTER:

11 Okay.

12 A These are the Greenwood LeFlore Hospital
13 records on Mrs. McCarty. University of Tennessee
14 records, I believe, of Dr. Golden. No. I'm sorry.
15 Stemburg. Stemburg and Golden. Hudspeth Center
16 records, Mississippi Children's Rehab Center records,
17 Women's Clinic records, Children's Clinic records,
18 Greenwood Hospital.

19 BY MR. LANCASTER:

20 Q Now, is this on Lucas McCarty or Elizabeth
21 McCarty?

22 A I believe that's on Elizabeth. River Oaks

23 University Medical Center records on Lucas McCarty.

21

1 These are some more answers to interrogatories.

2 Q Okay.

3 A Number -- I guess that's the same number.

4 MR. JOHNSON:

5 It's the same number, These are the ones in

6 December of '90.

7 A There is a report by a Dr. Dortch on a life

8 care plan. The deposition of Elizabeth McCarty, of

9 Dr. Roy Kellum. Some medical staff bylaws, rules and

10 regulations of the Woman's Hospital. I guess that's

11 just another copy. There's a -- of a doctor -- I'm

12 sorry. There is also a deposition of A, B. McCarty.

13 These are some office records of William Dade Dowell.

14 These are some diary records or copies of a diary, I

15 guess, from Mrs. Kellum.

16 BY MR. LANCASTER:

17 Q From Mrs. McCarty, you mean?

18 A McCarty. I'm sorry. Excuse me.

19 MR. JOHNSON:

20 The complaint.

21 A A copy of the complaint. I think this is

22 some --

23

22

1 MR. JOHNSON:

2 Those are just cover letters.

3 A Yes, correspondence. The Institutes of

4 Achievement of Even Potential records. Records of Dr.
5 Graves, Marilyn Graves. Jackson University Hospital
6 of Lucas McCarty. Some additional answers to
7 interrogatories. Dr. Daniel's records. Dr. Lucas'
8 records, And some more interrogatories.

9 BY MR. LANCASTER:

10 Q Okay. Are there any additional tests that you
11 would have liked to have had that were not contained
12 in those medical records before rendering your
13 opinion?

14 A I don't believe so.

15 Q Did you review any additional records in
16 preparation for your deposition here today?

17 A No.

18 Q Did you review all those records that you've
19 just gone through in preparing for your deposition
20 today?

21 A No, I can't say that I went through everything
22 again.

23 Q Which records would you have gone through?

23
1 A Gosh. Well, you know, it's hard to be certain.

2 The birth records, the neonatal records and certainly
3 the follow-up records that I needed to refresh myself,

4 Q Did you review any medical texts or treatises
5 or journal articles in preparation for your
6 deposition?

7 A No.

8 Q Have you been provided with a copy of the
9 deposition of Dr. Morrison?

10 A No, I haven't.

11 Q Have you had occasions in the past to work with
12 Dr. Morrison on other cases of medical negligence?

13 MR. JOHNSON:

14 I'm going to object and instruct him not to
15 answer. That doesn't have anything to do with his
16 opinion.

17 MR. LANCASTER:

18 Is the basis of your objection with the scope
19 of the deposition order by the court?

20 MR. JOHNSON:

21 Yes. Yes.

22 BY MR. LANCASTER:

23 Q Dr. Chalhub, I may have asked you this earlier,
24
1 but did you consult with the radiologist at the time
2 that you reviewed the CAT scans and sonograms in this
3 case?

4 A I'm sorry. Which radiologist?

5 Q Any of the radiologists that performed any of
6 the CAT scans or ultrasounds that you said that you
7 had but did not have with you here today?

8 A No. I think you asked me that, I said no.

9 Q And while we are talking about that, you were
10 provided with copies of the CAT scans that were
11 performed or made or -- that were made of Lucas on

12 February the 10th and thereafter; is that correct?

13 A I don't--

14 MR. JOHNSON:

15 Say that again.

16 A --believe the 10th was a CAT scan. I think

17 that's an ultrasound, isn't it?

18 BY MR. LANCASTER:

19 Q Okay. That's correct.

20 MR. JOHNSON:

21 Did you say May?

22 MR. LANCASTER:

23 Made.

25

1 MR. JOHNSON:

2 Made. I'm sorry. Okay.

3 BY MR. LANCASTER:

4 Q What CAT scans and ultrasounds have you

5 reviewed?

6 A The ultrasounds taken at the time of birth, I

7 believe, on the 10th, and on the 17th? Then a CT scan

8 of August the 9th, and then a recent one. Or I guess

9 the recent one is August the 9th.

10 MR. JOHNSON:

11 It's that one you gave me back when we had that

12 hearing. Was it last summer?

13 A I can't remember all the dates of them. I

14 believe there are three CT scans and two ultrasounds.

15 BY MR. LANCASTER:

16 Q Did you review the CT scan that was made in
17 February of 1986?

18 A Yes ,

19 Q Before his discharge?

20 A Correct.

21 Q Dr. Chalhub, have you authored or co-authored
22 any publications dealing with the areas of your
23 opinions in this case?

26

1 A Sure.

2 Q Can you tell us the names of those
3 publications?

4 A Well, you know, it's hard to be -- you know,
5 for the sake of being absolutely specific -- you know,
6 one touches on mechanisms of injury to babies, and
7 virtually many articles. I really can't tell you
8 specifically.

9 Q Would those articles be listed on your current
10 CV?

11 A Sure.

12 Q If you would, circle those publications at the
13 time that you give it to the court reporter that in
14 your opinion deal with the areas that you will discuss
15 in this case. Can we agree that you will do that?

16 A To the best of my ability. You know, I don't
17 intend to go back and read them all. So, if I exclude
18 some, it won't be intentional, or if I include some
19 that may not.

20 MR. LANCASTER:
21 Okay, If you would, mark this as Exhibit 2.
22 (WHEREUPON, EXHIBIT 2 WAS MARKED
23 FOR IDENTIFICATION.)
27
1 BY MR. LANCASTER:
2 Q Dr. Chalhub, I hand you what's been marked as
3 Exhibit 2 to your deposition, which is a list of some
4 of the publications that you have either authored or
5 co-authored. If you would, take a look at that list
6 and circle any of those that you feel might be
7 relevant to your opinions that you might express here
8 today and also at the trial of this matter.
9 A (Witness complies.)
10 MR. JOHNSON:
11 Are you through with that?
12 MR. LANCASTER:
13 (Proffers document.)
14 BY MR. LANCASTER:
15 Q Dr. Chalhub, have you participated in any
16 medical research that did not lead to a publication
17 that would be relevant to your opinions in this case?
18 A I don't know how to answer that. Over twenty
19 years, sure, I'm sure I've done some investigations
20 that may have been pertinent to mechanisms of brain
21 injury to children that I haven't published.
22 Q Offhand do you recall any of those projects?
23 A Specific studies? No. We looked at a lot of
28

1 babies over a long period of time.

2 Q What has your experience been in dealing with
3 infants who have sustained injuries due to the use of
4 forceps?

5 A Perhaps you could clarify that. I'm not sure
6 what exactly you want from that.

7 Q What experience have you had dealing with
8 infants who have sustained injuries due to the use of
9 forceps?

10 A Well, I've taken care of babies over twenty
11 years that have had injuries as a result of delivery
12 by forceps,

13 Q What was the mechanism that caused the injury?

14 A Well, in some instances it was assumed that it
15 was related to the forceps delivery. In other
16 instances, it was uncertain. The mechanism is trauma.
17 The mechanism is skull fracture, intracranial
18 hemorrhage, porencephaly and microcephaly accompanied
19 by an appropriate clinical syndrome.

20 Q And what is the appropriate clinical syndrome
21 that you would expect to see?

22 A Well, I would expect to see a comatose child on
23 a ventilator for an extended period of time with

1 intracranial and intraventricular hemorrhage with

2 prolonged severe seizures and followed by

3 microcephaly, spastic quadriplegia, probably

4 blindness, permanent seizure disorder, severe mental

5 retardation,

6 Q Can we agree, Dr. Chalhub, that excessive force
7 from the use of forceps, whether it be traction or
8 compression, can result in an anoxic or hypoxic insult
9 to the fetus?

10 A No.

11 Q We cannot agree to that?

12 A No, I can't agree to it.

13 Q Why not?

14 A Because it's not true.

15 Q Are you saying it's impossible?

16 A No, I didn't say it was impossible, I said as
17 you stated it, it's not true.

18 Q What part of that statement do you disagree
19 with?

20 A Pretty much all of it.

21 Q Forceps cannot cause a fetus to sustain an
22 anoxic insult?

23 A Not unless you can explain to me how. 30

1 Q Well, the question is: Can that happen?

2 A Not to my understanding.

3 Q And you are saying medically it cannot happen?

4 A Well, I mean, I don't know what you mean by
5 anoxia. So, perhaps -- you know, I'm not sure I
6 understand it, but as I understand, what I know by
7 anoxia, no, it cannot.

8 Q As I understand anoxia, that's total

9 deprivation of oxygen.

10 A All right. I don't know how --

11 Q Hypoxia is partial deprivation of oxygen.

12 A I don't know how forceps do that.

13 Q Okay. Do I take it, then, that your opinion is

14 it's medically impossible, as far as your experience

15 in the practice of medicine is concerned, for forceps

16 to be related in any way to an anoxic insult?

17 A Well, again, I'm not certain you understand

18 what an anoxic insult is. So, I -- as you phrased the

19 question, no, I do not feel that that's a

20 pathophysiological mechanism in disease processes as

21 implemented by anoxia and the use of forceps.

22 Q When you use the term anoxic insult, what do

23 you mean?

31

1 A Well, I rarely use it because there is rarely

2 an instance of anoxia, unless, you know, somebody is

3 dead.

4 Q Cardiac arrest?

5 A Well, for a prolonged period of time, sure,

6 until all of the oxygen is used up.

7 Q Okay. And when you say a prolonged period of

8 time, what time period are you talking about?

9 A You know, it depends on the host, the status,

10 the age, the circulation.

11 Q Well, I thought we were talking about a fetus.

12 So, we are talking about in utero.

13 A We are talking about -- well, you didn't
14 clarify that. You are talking about in utero?
15 Q Right.
16 A Maybe I don't understand that either.
17 Q Well, I assume that the only time forceps are
18 going to be applied, the fetus is in utero; right?
19 A No, I wouldn't think they are in utero.
20 Q Okay. What would be the correct description of
21 that, then?
22 A I think they would probably be in the vaginal
23 canal.

32

1 Q Okay.
2 A It's kind of hard to get the forceps up into
3 the uterus.
4 Q Well, okay. I think I was using the
5 description in a broad sense, not perhaps medically,
6 technically correct, but for the purposes of these
7 questions, I am meaning when the fetus is in the
8 normal position, whether it be mid-forceps or outlet
9 forceps delivery, is what I'm talking about when I say
10 in utero.
11 A Well, that's not correct.
12 Q Okay. But the question is, we were talking
13 about how long a period of time that the infant or the
14 fetus would have to experience this anoxic insult
15 before there would be some brain damage?
16 A Now, anoxic insult from what? From the use --

17 Q From cardiac arrest?

18 A Oh, from cardiac -- well, again, it depends on
19 the cause of the cardiac arrest and the status of the
20 infant at that time. It can vary from four minutes up
21 to thirty minutes to an hour.

22 Q And do I understand it, then, from a period of
23 four minutes to thirty minutes, there can be the brain
1 damage that we were talking about? 33

2 A Well, and again, your question is not very
3 specific, so it's hard to give you a specific answer,
4 because you are not defining the status of the infant,
5 the metabolic status, the reserve, the, you know,
6 particular age of the premature infant, Is it thirty
7 weeks, thirty-five, thirty-seven, forty-one? You
8 know, what's the cause? You know, how long has the
9 cause been going on? What's the metabolic status?
10 What's the glycogen stores? You know, what -- there
11 is a lot that has to go along with that.

12 Q Okay. I will try to give you some more
13 specifics. Applying -- assume that Lucas, in this
14 case, experienced cardiac arrest at 5:25, and that's
15 right before the application -- or, right at the time
16 of the application of forceps; okay?

17 A You want me to assume that this baby had a
18 cardiac arrest at 5:25 and was delivered at 5:50?

19 Q Correct.

20 A That's -- I can't assume that, unless you want

21 me to assume a hypothetical case unrelated to this.

22 Q That's what I'm asking you to do.

23 A Okay. **So**, we are talking about a hypothetical
1 case unrelated to the facts in this case? ³⁴

2 Q Well, the facts in this case may be in dispute.

3 I may not agree with what you say the facts are, but

4 I'm going to frame my question asking you to assume

5 those facts. That at the time of the initial

6 application of forceps that Lucas experienced cardiac

7 arrest. Do you know -- I suppose the -- his

8 condition, as best medicine can determine it, at

9 least, by looking at the fetal heart strips?

10 A Again, I don't think I understand that.

11 Q Can we not agree that the electric fetal

12 monitor is the best indicator that we have of the

13 health of the fetus at the time, during the labor and

14 delivery process?

15 A Well, that's perhaps a question you need to ask

16 an obstetrician.

17 Q You are not familiar with what is measured by

18 an electronic fetal monitor?

19 A Well, as a pediatric neurologist, all an

20 electronic fetal monitor does is measure the

21 heartbeat. It doesn't tell you anything about

22 anything else in the baby, that I'm aware of.

23 Q Well, does it tell you whether or not the baby

1 is being asphyxiated or how he appears to be managing ³⁵

2 the stresses of labor?

3 A Well, I don't know about the stresses of labor.

4 It certainly doesn't tell you a great deal about

5 asphyxia.

6 Q Do you know what it is used for?

7 A Sure. It's used to assess the fetal heart rate

8 of the baby.

9 Q And what can we determine by observing the
10 fetal heart rate of the baby?

11 A Well, you know, again, I don't do that. So,

12 you need to ask an obstetrician that.

13 Q Okay. Can we agree, Dr. Chalhub, that fetal

14 cardiac arrest can result from the improper use of

15 forceps?

16 A You know, again, I don't -- unless -- what type

17 of improper use and what's the damage, and then

18 perhaps I can answer that, because otherwise, just

19 using forceps, I'm not aware that you can cause a

20 cardiac arrest.

21 Q I don't know how to state that question any

22 clearer, Dr. Chalhub. It's just whether or not is it

23 medically possible, in your experience, either based

1 upon your reading or personal experience, that a fetal

2 cardiac arrest can be caused by the improper use of

3 forceps?

4 A Well, since I don't use forceps, then I don't

5 know what's improper. Now, if you were to have

6 damage, you know, like a skull fracture which is
7 depressed or multiple comminuted skull fractures with
8 a severe intracranial hemorrhage and brain herniation,
9 yes, it could cause a cardiac arrest, But excluding
10 that, I find -- I don't really know how it does that.

11 Q All right. So, let me see if I understand what
12 your opinion is. Unless there is a skull fracture or
13 intracranial hemorrhage, it's your opinion that fetal
14 cardiac arrest cannot result from forceps?

15 A No, that's not what I said,

16 Q Okay. Tell me what you said.

17 A It depends on the type of situation you are
18 talking about as a result of the application of
19 forceps. Now, whether that's proper or improper, I'm
20 not in a situation to make that judgment. Because
21 certainly injuries with forceps occur when proper use
22 is applied. Now, my experience has been that the only
23 time that I see a cardiac arrest is with severe

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1 intracranial damage, and that usually is a combination
2 and/or of multiple skull fractures, intracranial
3 hemorrhage, either extradural or intradural,
4 intraparenchymal or intraventricular, with herniation
5 and brain stem involvement.

6 Q How might brain stem involvement be related to
7 the use of forceps?

8 A By the mechanism I just explained to you.

9 Q What force would cause that injury, for lack of

10 a better term, to the brain stem?

11 A What force?

12 Q Are we talking about traction or compression?

13 A Oh, I don't think traction can cause a brain
14 stem injury. I mean, that would be extremely unusual.

15 In terms of -- certainly it can cause C spine
16 injuries, or cervical spine injuries, Perhaps lower
17 brain stem, that's involved in the cervical spine, but
18 in terms of pons or midbrain or higher medulla, no, I
19 don't think **so**.

20 Q We may have talked about this earlier, and I
21 believe that we did, but just in case I didn't, can we
22 agree that fetal cardiac arrest in an infant, when the
23 infant is in the -- I think you said the vaginal

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1 canal, followed by successful resuscitation, result in
2 the fetus sustaining permanent brain damage?

3 MR. JOHNSON:

4 I object to the form. You may answer it.

5 A That's not very clear to me. Could you just
6 say it one more time?

7 BY MR. LANCASTER:

8 Q Can we agree that fetal cardiac arrest followed
9 by successful resuscitation after delivery result in
10 the fetus sustaining permanent brain damage?

11 A Hypothetically?

12 MR. JOHNSON:

13 Object to the form.

14 BY MR. LANCASTER:

15 Q Yes.

16 A Yes. That's possible, sure.

17 Q All right. Now, from a general standpoint, are
18 there time periods that you would expect the brain
19 damage to occur? By time periods I'm talking about
20 the time period of the deprivation of oxygen to the
21 brain?

22 A Well, yes. I mean, certainly there are time
23 periods, but the way you assess that is by the
1 associated clinical symptoms and findings. Okay?
2 Because we really don't know absolutely what one
3 individual will tolerate as opposed to another. **So,**
4 there is a great deal of variability. But what we do
5 know is that infants that have cardiac arrests have a
6 clinical syndrome which is consistent virtually in all
7 of them, you know, indicating patterns of injury, And
8 that's fairly consistent. And if that's present, then
9 you can say that there was injury related to that
10 ischemic episode. When it's not, you can feel very
11 certain that it was not related.

12 Q In Dr. Morrison's deposition, he indicated, and
13 I believe I'm correct, that it was possible for a
14 fetus to be deprived of oxygen for as long as fifteen
15 minutes and not sustain any brain -- permanent brain
16 injury?

17 A Oh, it's possible for a fetus for greater than

18 an hour to be deprived of oxygen and not suffer any
19 injury.

20 Q What is the shortest period of time that your
21 experience has been that permanent brain damage has
22 resulted?

23 A Well, that's a difficult question to answer.
40
1 You are like asking is the sky blue. I mean, is the
2 baby a premature infant? Does the baby have sepsis?
3 Does the baby have other type of problems going on?
4 What's the acid base? All of those are factors, And
5 so, if you can clarify that, then I can perhaps give
6 you an estimate. But the bottom line is that human
7 beings, babies in particular, have variable responses
8 to stress. And by stress I'm meaning types of injury.
9 And that depends on a multitude of factors. What we
10 do know and what we do know clinically is that they
11 respond in a similar manner. And if they fit into a
12 clinical pattern, then you can **say, yes**, this in all
13 probability was related to this pathophysiological
14 mechanism.

15 Q Can we agree that the damaged areas of Lucas'
16 brain are consistent with an acute anoxic insult
17 during the labor and delivery?

18 A No.

19 Q Okay. Why not?

20 A Because it isn't the type of pattern you see.

21 Q Well, what facts in the medical records or in

22 the records that you have before you do you rely upon
23 to say that?

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1 A All of them.

2 Q All of the facts?

3 A Uh-huh. Correct.

4 Q The fact that he was born on February the 7th,
5 19863 That's some of the facts in these records.

6 Can't you be more specific than that?

7 A Okay. Well, I thought you meant the clinical
8 facts. I mean, obviously he was born.

9 Q What are the clinical facts that you rely upon?

10 A Well, you know, the facts that he was depressed
11 at birth, had periventricular leukomalacia at three
12 days of age by ultrasound, had no meconium, had no
13 significant acidosis, had no heart failure, no renal
14 failure, was extubated at six hours of age, had
15 seizures at thirty hours of age and now has
16 subsequent CT scans which do not show any cortical
17 injury. He does have a clinical pattern consistent
18 with basal ganglia involvement and extrapyramidal
19 signs and with probable relatively normal
20 intelligence,

21 Q What is the significance of the seizures at
22 thirty.hours?

23 A Well, it's just -- it's a little bit long for
1 an acute intrapartum insult due to lack of oxygen and
2 blood flow. It's not inconsistent with a previous

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3 insult or even an insult after birth.

4 Q Is it your opinion that he had an insult after
5 birth?

6 A No. I just said it's consistent with it.

7 Q Is there anything in the records that would
8 indicate that he had an anoxic insult or an hypoxic
9 insult after birth?

10 A Well, he was resuscitated for a period of time,
11 but, you know, there is no way for me to assess if
12 there was any added injury after birth as a result of
13 his resuscitation. I mean, I just can't tell you
14 that. I mean, that's, you know, certainly conceivable
15 and possible.

16 Q I think the question was: Is there anything in
17 your review of the records that revealed that that, in
18 fact, happened in his case?

19 A Well, I've told you that's possible. You know,
20 there is no way to tell you that. There's no markers
21 to do that.

22 Q So, I take it that there is nothing in the
23 records that points to that?

1 A No. The records could be consistent with that,
2 but there is no way to be absolutely certain.

3 Q And could also be consistent with -- I think
4 you said earlier, with an hypoxic event that occurred
5 in the thirty-fifth or the thirty-seventh week?

6 A Yes, That's very consistent with the pattern

7 of injury and the clinical syndrome the child has and
8 the facts surrounding the birth.

9 Q Are there any diagnostic tests that we can do
10 today that would tell us, as best that medicine can,
11 the approximate time frame of the fetal brain damage
12 to Lucas?

13 A I think you've already done that. You already
14 have them documented, and you have -- the best
15 evidence is his clinical syndrome.

16 Q I think the question was: Are there any other
17 diagnostic tests that would assist us in making that
18 determination?

19 A I'm not aware of any.

20 Q Would an MRI be of any assistance?

21 A It may. It probably is not going to show
22 anymore than you have gleaned from the recent CT scan.

23 Q Can you look at an MRI taken today and
1 determine the approximate time of the insult to the
2 brain?

3 A Just from the MRI scan? No. Unless there is
4 lesion that would indicate a developmental
5 malformation of the brain. Then you could perhaps
6 time it to the appropriate developmental stage of the
7 brain.

8 Q Do you frequently use MRI's in your practice or
9 did you prior to March of this year?

10 A Sure.

11 Q Did you make your own interpretations of those
12 MRI's?

13 A Yes.

14 MR. JOHNSON:

15 Have y'all done an MRI now?

16 MR. LANCASTER:

17 I'm just asking the questions.

18 MR. JOHNSON:

19 You know, if -- I mean, there is a request for
20 production, and if y'all come in here to get his
21 opinion and you've got something that you hadn't
22 provided us...

23

45

1 BY MR. LANCASTER:

2 Q I think my question was: Are there any other
3 diagnostic tests that we could perform to approximate
4 the time of Lucas' brain injury? And if I'm correctly
5 stating your opinion, your opinion is, no, there is
6 not, that the CAT scans that you have plus his
7 clinical symptoms is all you need?

8 A No. I think you incorrectly paraphrased me,
9 but I think the record will stand.

10 Q All right. Can we tell by the areas of the
11 brain that are damaged as to the approximate time of
12 the insult?

13 A Well, you do it -- maybe I can simplify things
14 for you. You practice medicine based on a

15 constellation of findings and clinical patterns, not
16 on one single isolated event. And when you have the
17 pattern of injury and the facts surrounding it, such
18 as with this case, then you can reasonably assume that
19 an injury occurred at an approximate time. You may
20 not be able to state the exact etiology, but you can
21 certainly tell the time of the mechanism and you can
22 tell what it probably is not.

23 Q The periventricular leukomafacia that was
1 diagnosed in the **CAT** scans, I believe, is that an area
2 of the brain that we know is damaged from a particular
3 type of insult insofar as a time frame is concerned?

4 A I think your question is wrong. I mean, your
5 question is wrong. I mean, it's the ultrasound, but
6 the -- state the last part of it again for me.

7 Q The area of the damage to Lucas' brain, is that
8 in the periventricular area?

9 A Correct.

10 Q Okay. Is it your experience that damage to
11 that area of the brain occurs in the thirty-fifth to
12 the thirty-seventh week?

13 A Yes, that's predominantly when it does occur,
14 if the baby is in utero. If it's after birth, then it
15 occurs at that time, also,

16 Q Okay. Did you review the fetal heart monitor
17 strips in this case?

18 A I just looked at them. I don't interpret fetal

19 heart monitors.

20 Q Okay.

21 MR. JOHNSON:

22 Off the record.

23

1 (WHEREUPON, THERE WAS AN 4:

2 OFF-THE-RECORD DISCUSSION.)

3 BY MR. LANCASTER:

4 4 In your review of the records, did you or do
5 you have an opinion as to the condition of the fetus
6 prior to the application of forceps?

7 A Well, clearly the child had a periventricular
8 insult and was compromised, you know, in utero a
9 number of weeks prior to birth. I mean, that's fact.
10 That's not -- you know, I mean, that's pretty much
11 understood, Now, if you have anything -- any other
12 time in mind, I mean, I don't know what you mean.

13 Q No. Do I understand you to say that it is
14 medically impossible for Lucas' insult to have
15 occurred during his period of labor and delivery?

16 A Well, taking into consideration all of the
17 facts that I mentioned to you, yes,

18 Q Do you agree with the opinions expressed by Dr.
19 Missy Ferguson, who is a neonatologist, that based
20 upon the results of the CAT scans and ultrasounds that
21 were available to her in February of 1986, that there
22 was no evidence that Lucas' deficits were as a result

23 of congenital abnormalities?

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1 MR. JOHNSON:

2 Object to the form. You can answer.

3 A I don't -- where did she state that? I don't
4 think I saw that.

5 BY MR. LANCASTER:

6 Q Well, do you disagree with that?

7 A Well, I don't know. I mean, you know, I don't
8 know whether you can see all congenital abnormalities
9 on those studies, and so, I can't tell you what
10 microscopic abnormalities. And based on his clinical
11 assessment that I see now, I don't believe that he has
12 a congenital malformation, that's correct. But I
13 can't exclude it totally.

14 Q What congenital abnormality would result in the
15 neurological deficits experienced by Lucas?

16 A Well, you know, if he had neuronal heterotopias
17 in the periventricular area or had partial agenesis of
18 the corpus callosum or some other developmental
19 malformation of migration or proliferation, it may
20 result in some problems that may mimic that. I mean,
21 there is no way for me to know that. I mean, I think
22 that's unlikely.

23 Q There is nothing in the medical records that
1 you have seen that points to that?

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2 A Well, I'm not sure there is anything in these
3 medical records that would either point to it or not

4 point to it, because they -- you know, one either
5 basically has to have an autopsy or some highly
6 specific studies.

7 Q What type highly specific studies are you
8 referring to?

9 A Perhaps a PET scan, an MRI scan may share the
10 result on an earlier defect in terms of congenital
11 malformation, And, you know, if you have an MRI scan,
12 I'm happy to look at it. I mean, do you?

13 Q Well, today's my day to ask questions.

14 MR. JOHNSON:

15 Except that - I want this clear on the record

16 y'all have asked --

17 MR. LANCASTER:

18 You can put anything on there that you want to
19 put on there.

20 MR. JOHNSON:

21 I'm about to. That there is an outstanding
22 request for production of documents, and if y'all have
23 got things that y'all are basing your client's
1 opinions on or basing your expert's on, and you are
2 going to take our guy's without providing that
3 information to us, so that we have a fair shot at it,
4 I just want to state for the record I think that's
5 highly improper. And if you don't have one, that's
6 fine.

7 A No, that's fine. I don't --

8 MR. JOHNSON:

9 But if you've got one, tell me.

10 BY MR. LANCASTER:

11 Q And the record just -- it's my understanding,
12 from your testimony, that an MRI would not assist you
13 in any way?

14 A No, that's not what I said.

15 Q That's incorrect, Okay. How would an MRI
16 assist you?

17 A Well, you asked me if this child could possibly
18 have a congenital malformation. I told you an MRI
19 scan may show that, You know, whether that's related
20 to this problem, one has to determine that based on
21 that and based on the other clinical findings. I've
22 said I think it's unlikely based on the facts, but I
23 can't exclude it, as I've already stated to you.

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1 Q Would an MRI exclude congenital abnormalities?

2 A No, it wouldn't exclude them. It may help you
3 say there is, but it's still not going to exclude it.

4 Q Are there any diagnostic tests short of an
5 autopsy that would exclude congenital abnormalities?

6 A Not totally, no. And I assume you are talking
7 about the brain? I mean, you are not talking about
8 the rest of the body?

9 Q Of the brain, yes.

10 A Okay.

11 Q Yes.

12 A I mean, let me make sure we preface all the
13 previous points that we were talking about with the
14 brain. Is that fair?

15 Q That's, I think, a fair assumption.

16 A Okay.

17 MR. LANCASTER:

18 Off the record.

19 (WHEREUPON, THERE WAS AN

20 OFF-THE-RECORD DISCUSSION.)

21 BY MR. LANCASTER:

22 Q Can we agree, Dr. Chalhub, based upon a
23 reasonable medical probability, and your review of the
1 records and the CAT scans and the ultrasounds in this
2 case, that the type brain injury sustained by Lucas is
3 not a congenital abnormality?

4 MR. JOHNSON:

5 Object to to form. You can answer.

6 A Well, you know, I've told you what my opinion
7 is based on looking at all the records and his
8 subsequent clinical pattern. And I feel that it is
9 most probable that it is not. It is most probable
10 it's consistent with an hypoxic ischemic episode
11 sometime during the in utero period consistent with
12 periventricular damage.

13 BY MR. LANCASTER:

14 Q So, I take it, then, it is your opinion, based
15 upon a reasonable medical probability, and your review

16 of these records, that Lucas' neurological deficits
17 are not a result of congenital abnormalities?

18 Q It's more probable than not that they are not.

19 (BREAK)

20 MR. LANCASTER:

21 If you would, mark these as Exhibit 3 and 4.

22 (WHEREUPON, EXHIBITS 3 AND 4

23 WERE MARKED FOR IDENTIFICATION.)

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1 BY MR. LANCASTER:

2 Q Dr. Chalhub, I hand you what's been marked as
3 Exhibit 3 and 4 to your deposition. These are
4 designated as the Defendant's Supplemental Answers to
5 Interrogatories, which is Exhibit 3, and
6 Supplementation of Expert Witness Response, which is
7 Exhibit 4. Have you had a chance to review those
8 documents insofar as pertains to the opinions that you
9 will express in this case?

10 A I have.

11 Q Are those opinions still your opinions? Do you
12 concur in all that's --

13 A Yes.

14 Q Do you have any additional opinions other than
15 those expressed?

16 A No.

17 MR. JOHNSON:

18 I'm going to object to that question. We will
19 be here forever.

1 traumatic injury as a result of forceps.

2 Q What would you expect to see?

3 A Well, I expect to see a severe metabolic

4 acidosis if forceps caused intracranial trauma

5 significant enough and documented, you know, which it

6 does when it does, to cause a cardiac arrest and then

7 subsequent brain damage as a result of that cardiac

8 arrest.

9 Q What period of time does it take for metabolic
10 acidosis to manifest itself?

11 A That's a difficult question. It depends on a

12 lot of factors. The metabolic status of the child,

13 the cause, the amount of or lack of oxygen and, you

14 know, the gestation of the baby. A whole host of

15 things.

16 Q I believe the pH in this one was, what, seven
17 point two o after an hour?

18 A Correct.

19 Q Is that correct?

20 A (Witness nods head affirmatively.)

21 Q What would you expect it to be under the facts
22 of Lucas' case if the insult had been caused by
23 forceps?

1 A I wouldn't expect it to be any different. I
2 mean, first of all, forceps insults don't cause
3 acidosis. Okay? I mean --

4 Q Well, can that not be a secondary result of a

5 cardiac arrest?

6 A Well, assuming that forceps causes a cardiac
7 arrest?

8 Q Correct.

9 A I don't know how that does it either, unless
10 you have all of the other things that I've mentioned
11 before.

12 Q The skull fracture?

13 A Well, no, that's one of the things.

14 Q The hemorrhage and all that?

15 A You don't necessarily have to have a skull
16 fracture, but you have to have something, you know,
17 and I don't see anything.

18 Q Okay. All right. If you will, very slowly
19 itemize the factual basis for your opinion that Lucas'
20 deficits were the result of a chronic insult that
21 occurred during the thirty-fifth or the thirty-seventh
22 week of gestation.

23 A Well, he is a full-term infant, who is born,
1 that is depressed, and is found to have

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2 periventricular leukomalacia and has really no
3 evidence of an intrapartum insult clinically and
4 subsequently has a clinical syndrome consistent --

5 Q (Indicating.)

6 A Wait a minute, now. Let me finish, then you
7 can ask me questions. Then he is --

8 Q Well, then, you are going to have to go slower,

9 if I'm going to write these down and go back one by
10 one.

11 A Wait a minute, now. That's what the court
12 reporter is here for.

13 Q No, I can't remember them to ask you questions
14 about them.

15 A But then you can read it from her. But that's
16 why we are here.

17 Q I don't have the benefit of her reading it to
18 me one by one. That would take her forever.

19 A No, it won't. She's pretty good.

20 Q Okay.

21 A But if -- well, I will do it. I mean, if you
22 want me to dictate it to you, we are going to be here
23 for a long time.

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1 Q Well, I guess that's -- you know, that's the
2 only way I can find out the basis for your opinions
3 and ask you some questions about them, is for me to be
4 able to remember each one of them.

5 A I won't argue with you. I just -- okay. Ask
6 your question again.

7 Q The factual basis for your opinion that Lucas'
8 neurological deficits were due to a chronic insult
9 that occurred somewhere between the thirty-fifth and
10 thirty-seventh week of gestation?

11 A Okay. All of the things that I've mentioned,
12 in addition to the periventricular location, the

13 subsequent clinical picture of extrapyramidal signs,
14 normal head circumference, no evidence of a cortical
15 insult and all of the facts surrounding the birth and
16 the delivery.

17 Q What is the significance of the periventricular
18 leukomalacia in that opinion? How does that fit in?

19 A That's a hypoxic ischemic insult in the
20 periventricular germinal matrix, which is essentially
21 an insult of a premature infant.

22 Q You mentioned also that one of the factual
23 bases was the subsequent extrapyridial (phonetic) --

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1 A Pyramidal.

2 Q Pyramidal, right. What is the significance of
3 that?

4 A Well, the significance is that it implies basal
5 ganglia involvement, which is often seen in
6 periventricular injuries.

7 Q And the basal ganglia, I assume from your
8 opinion, could not be traumatized by the force of
9 forceps?

10 A Gosh, I don't see how.

11 Q Okay. Could the symptoms or Lucas' condition
12 be the result of cardiac arrest?

13 A Which symptoms?

14 Q His neurological deficits, the periventricular
15 leukomalacia and the resulting neurological deficits
16 that you know that he has based upon your review of

17 the records?

18 A That term? No. Absolutely not.

19 Q So, in your opinion, cardiac arrest during the
20 labor and delivery of Lucas could not be the cause of
21 his neurological deficit?

22 A No, not as he has it now, not with a normal
23 head circumference, normal -- relatively normal
1 intelligence, with the exception of, you know,⁶⁰
2 expressive problems. Periventricular leukomalacia is
3 not an injury that you see in a term infant as a
4 result of a cardiac arrest, and then his subsequent
5 clinical course and findings, and I'm talking about
6 all of the things, don't support that.

7 Q And I guess what I'm asking you, and you -- we
8 may be saying the same thing, but is it your opinion,
9 based upon all the facts in this case that's set forth
10 in the medical records, that Lucas' neurological
11 deficits could not have been caused by a cardiac
12 arrest experienced during his labor and delivery?

13 A That's correct.

14 Q Okay. What does the normal head
15 circumference -- how does that play into your opinion?

16 A Well, it means that the brain is growing at a
17 normal rate and the cortex is not involved.

18 Q If his problem had been caused by cardiac
19 arrest during the labor and delivery period, would you
20 expect him not to have a normal head circumference?

21 A Oh, absolutely. That's where the cerebral
22 vasculature is in a full-term infant, You would
23 usually see a distal field infarction, and the
1 predominant area that's involved is the cerebral
2 cortex. You certainly can have other areas, but it's
3 almost impossible to have a cardiac arrest cause a
4 parasagittal or distal field injury and -- you know,
5 as a term infant and not be present.

6 Q Okay. What is the significance that there was
7 no evidence of cortical involvement?

8 A Well, the significance is that in a term infant
9 that has lack of oxygen and blood flow as a cause of
10 the etiology almost invariably involves the cortex,
11 because that's where the cerebral blood vessels are
12 most vulnerable, not in the periventricular area.

13 Q Well, are you saying that it is impossible from
14 a medical standpoint for him to experience a cardiac
15 arrest and not have cortical involvement?

16 A Oh, I don't think anything's impossible, but
17 when you have a baby that doesn't have cortical
18 involvement, has a normal head circumference, has no
19 meconium, no renal failure, no heart failure, you
20 know, extubated at six hours of age, that's impossible
21 as a result of a severe intrapartum insult.

22 Q Is the factual basis for your opinion that
23 Lucas' problem is an undiagnosable chronic condition
1 the same facts that you rely upon to say that it is a

2 chronic insult?

3 A Well, they are all the same facts, yes.

4 Q Are there any additional facts?

5 A No.

6 Q We touched **on** this a little bit a while ago,

7 but are these chronic undiagnosable conditions that

8 you are talking about, are they capable of being

9 diagnosed today short of an autopsy?

10 A I'm not even sure with an autopsy that you

11 would get the exact etiology. You know, greater than

12 seventy to eighty percent of intrapartum insults, we

13 don't know the cause of them in babies.

14 Q In Dr. Morrison's deposition we talked about

15 his opinions as to the causation, and if I am correct,

16 it was his opinion that Lucas' problems were

17 developmental in origin. And he broke that category

18 down into several different areas, One was

19 chromosomal, one was structural, and there are a

20 couple of others that I don't offhand remember. Do

21 you disagree with that opinion?

22 A Well, if you don't remember them all, how can

23 disagree with it?

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1 Q Well, no. **Do** you disagree with his opinion

2 that his problem was developmental? Is that

3 consistent with your opinion?

4 A Well, it's hard for me to comment on without

5 first of all reading it, but I don't know what he

6 means by developmental. I would have to ask him. So,
7 you know.

8 Q Well, one was chromosomal?

9 A Well, I mean, if the child has had a
10 chromosomes and they are normal, then it probably
11 isn't chromosomal. But that doesn't exclude a genetic
12 or developmental problem. But the majority of genetic
13 or developmental problems are unrelated to chromosome
14 problems. So, again, you would have to ask him what
15 he means.

16 Q All right. I asked him if a genetic study or
17 genetic workup of Lucas would exclude any of these
18 undiagnosable developmental problems. What is your
19 opinion?

20 A No, it wouldn't.

21 Q It would not?

22 A (Witness shakes head negatively.) No.

23 Q Would it exclude any of them?

1 A Well, it would exclude known chromosomal
2 abnormalities that could be detected by that method,
3 assuming appropriate Giemsa staining and banding were
4 done and the appropriate methods of 1991 were used.

5 Q You also opined that the fetus could not handle
6 the stress of normal delivery. What do you mean by
7 that?

8 A Well, the baby being born through the birth
9 canal, you know, is oftentimes unable to tolerate the

10 stress of labor, and when that occurs, the baby is
11 depressed at birth, and that's because of a
12 preexisting impairment in an infant.

13 Q What facts do we have in this record that Lucas
14 was not able to cope with the normal stresses of
15 labor?

16 A Well, he was depressed at birth, he had
17 periventricular leukomalacia, which is absolute
18 evidence that he had a preexisting injury. And the
19 subsequent clinical course is consistent with that.

20 Q The periventricular leukomalacia that is
21 diagnosed in the ultrasound and perhaps even in the
22 CAT scans - I'm not sure - how long in your opinion
23 would the insult have had to have occurred for him to

1 have periventricular leukomalacia? ⁶⁵

2 A A number of weeks.

3 Q What's the shortest period of time?

4 A I don't know. At least two weeks. But again,
5 that's difficult -- there's just a lot of variables
6 with that.

7 Q Correct me if I'm misstating your opinion, but
8 it is your opinion that the periventricular
9 leukomalacia experienced by Lucas in this case had to
10 have occurred at least two weeks prior to his delivery
11 and could not have occurred during the labor and
12 delivery?

13 MR. JOHNSON:

14 Object to the form. You may answer.

15 BY MR. LANCASTER:

16 Q Is that correct?

17 A Well, my opinion is that it could not occur in
18 that short a period of time. The pathophysiological
19 mechanism is not such. And the usual course of events
20 is that it occurs in premature infants who have
21 vulnerable brain in the periventricular area. The
22 usual time course is thirty to thirty-five weeks
23 either intrapartum or postpartum. The majority of
1 those are postpartum. ⁶⁶

2 Q Is it medically possible for Lucas to have
3 experienced the periventricular leukomalacia, as seen
4 in the ultrasound some three days or seven days after
5 his birth, during labor and delivery? Is that
6 medically possible?

7 A Now, wait a minute. You said two things.

8 Three days or seven days?

9 Q Either, both?

10 A Well, I guess it's possible at seven days,
11 certainly not possible at three days, to see some
12 changes. Whether they are the same things that you
13 would anticipate in -- and the pathological change,
14 you know, one would then have to assess the individual
15 factors. But in Lucas, no. The three days,
16 periventricular leukomalacia would absolutely not be
17 an insult as a result of a birth process, particularly

18 in this situation.

19 Q If you would, turn to the ultrasounds that were
20 performed on Lucas three and, I think, seven days
21 after his -- maybe it's three and ten days, whatever.

22 MR. JOHNSON:

23 Three and ten.

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1 What are we looking at first?

2 MR. LANCASTER:

3 Okay. Looking at the ultrasound on 2/10/'86.

4 BY MR. LANCASTER:

5 Q That was the first one; is that correct?

6 (Pause)

7 A Correct. I'm sorry.

8 Q It says there is a small area of
9 periventricular leukomafacia on the right side. Is
10 there any significance that it's on the right side as
11 opposed to the left side?

12 A No.

13 Q The CAT scan that was performed on 2/24/'86
14 indicates that there is -- I suppose -- is this some
15 swelling bilaterally in the periventricular regions?
16 Is that what that white matter demyelination -- is
17 that really what they are referring to, is a swelling?
18 A No. I think what they are actually seeing
19 there, the white matter demyelination, is probably
20 normal brain, and -- as I see them, because babies in
21 the frontal areas and that area will often have

22 decreased densities.

23 Q Do they have myelin at that point? 68

1 A Sure.

2 Q Okay. Dr. Chalhub, are we saying that you

3 cannot have periventricular leukomalacia that would be

4 evidenced on an ultrasound three days after birth that

5 occurred during the labor and delivery period?

6 A As a result of what?

7 4 Of any cause?

8 A You mean of the causes of periventricular

9 leukomalacia?

10 Q Yes.

11 A Yes, that's correct.

12 Q Are there any causes of periventricular

13 leukomalacia that can occur during labor and delivery

14 that would evidence itself three days afterwards on an

15 ultrasound?

16 A No. Not that I'm aware of.

17 Q Do you know what caused the apoxic insult that

18 resulted in Lucas' problems?

19 MR. JOHNSON:

20 Object to the form. You can answer.

21 A You mean the hypoxic ischemia?

22 BY MR. LANCASTER:

23 Q Yes.

69

1 A No, I don't.

2 Q Do you have an opinion?

3 A It usually is due to decreased blood flow to
4 the fetus, whether it's the fetus rolling over on the
5 vena cava, kinking of the cord, a hypotensive episode
6 in the mother, infection. I don't -- it's difficult
7 to be certain.

8 Q How long would this kinking of the cord or
9 these other problems that you are talking about, how
10 long would that have had to have occurred for it to
11 result in the degree of damage sustained by Lucas?

12 A I don't know the answer to that.

13 Q Do you have an opinion?

14 A No.

15 Q Anywhere from one minute to hours?

16 A No, it has to be more than one minute.

17 Q Okay, What is the least amount of time?

18 A I don't think we really know that. I mean,
19 that's why -- I'm not being difficult. I can't tell
20 you the -- one minute would be unusual. It may be
21 multiple events.

22 Q And the factual basis for that opinion are the
23 reasons that you have given us before in your

1 deposition; is that correct? **70**

2 A Yes, and my knowledge, understanding and
3 expertise over the past twenty years in neurology.

4 Q Are there any signs in these records that you
5 would have liked to have seen to have made your
6 opinion in that regard firmer than it is today?

7 A I don't think I know how to answer that. I
8 mean, I don't know what signs you are talking about.
9 I mean, the data that's available in the charts and in
10 the x-rays and the clinical course is sufficient to
11 come to this conclusion by anybody that looks at these
12 records.

13 MR. LANCASTER:

14 Give us just a moment.

15 (BREAK)

16 BY MR. LANCASTER:

17 Q Dr. Chalhub, only a few additional questions.

18 You state in your answers to interrogatories --

19 MR. JOHNSON:

20 The answers.

21 BY MR. LANCASTER:

22 Q The answers to interrogatories--

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1 MR. JOHNSON:

2 It is stated that your opinion is --

3 THE WITNESS:

4 Let him finish.

5 BY MR. LANCASTER:

6 Q --that the problems from which Lucas suffers
7 were problems which preexisted the actual delivery
8 process, as shown by the physical findings and the CAT
9 scan findings at birth. Do I assume that the CAT scan
10 findings that you are referring to are the areas of

11 periventricular leukomalacia?

12 A Actually it's the ultrasound and the CAT scan.

13 So, yes.

14 Q Okay. You also stated that the CAT scans are

15 not consistent with mechanical trauma from the

16 attempted forceps delivery when seen in the light of

17 the facts as testified to by the witnesses. What

18 facts are you referring to there?

19 A Those are the facts that are reiterated in the

20 chart and what we've already gone over.

21 Q Well, the facts as testified to by the

22 witnesses, I assume you are talking about the

23 deposition testimony of Dr. Kellum?

72

1 A Correct.

2 Q That he applied moderate traction?

3 A No, I don't care whether they applied, you

4 know, two thousand pounds. The injury is not

5 consistent with a forceps injury.

6 Q **So**, I take it, then, that there are no facts

7 testified to by the witnesses in the depositions,

8 whether it be Mrs. McCarty or Lucas McCarty -- I mean,

9 Chuck McCarty or Dr. Kellum, that you are relying on

10 to say that it was not caused by forceps?

11 A Yes, they are the facts, but they are the facts

12 in a negative sense, not in a positive sense. In

13 other words, the facts are that this baby was born

14 depressed, had periventricular leukomalacia, all the

15 other things I went through, which are -- you know,
16 and I can't remember from the deposition what each one
17 of them -- I'm sure Mrs. McCarty didn't talk about
18 those things, as I recall. But, you know, basically
19 the facts that I'm referring to are the facts that
20 really are in the charts that Dr. Kellum would have
21 reiterated.

22 Q Summarizing your opinion, I take it that it is
23 your opinion that had Dr. Kellum performed a c-section
1 on Elizabeth McCarty at 11:08 that morning, when she⁷³
2 was admitted to the labor room, that Lucas' problems
3 would be the same?

4 A Yes.

5 Q Is that correct?

6 A Correct.

7 Q What is the basis for your charges for serving
8 as an expert in this case?

9 A You mean how do I come to that conclusion?

10 Q No.

11 A . What do you mean? What are they?

12 MR. JOHNSON:

13 What are your charges?

14 BY MR. LANCASTER:

15 Q What is your rate?

16 A Oh, what is your rate. I thought you said
17 what's the basis for it. I was trying to figure
18 out -- well, my rate is a hundred and fifty dollars an

19 hour to review charts and two hundred and fifty
20 dollars an hour for a deposition.

21 BY MR. LANCASTER:

22 Q What is your charge for your deposition here
23 today?

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1 A Two hundred and fifty dollars an hour.

2 Q Which I take it -- we started at 7:00 o'clock.

3 It's going to be like, at this point, an hour and a
4 half?

5 A Well, it's two hours, right.

6 Q If we stop -- okay. It's any portion of an
7 hour; is that --

8 A Well, yeah. I mean, you know --

9 Q Anything over a half?

10 A Right.

11 Q Okay. All right. So, your charges today are
12 five hundred dollars?

13 A Correct.

14 Q Okay. What are you charging Dr. Kellum?

15 A I'm not charging Dr. Kellum anything,

16 Q What are you charging Whit Johnson?

17 A A hundred and fifty dollars an hour.

18 Q The same rate?

19 A Excuse me?

20 Q The same rate as you are charging here?

21 A No, it's at a hundred and fifty dollars an

22 hour,

23 Q Okay. What are you charging for your trial
1 testimony? 75

2 A Fifteen hundred dollars per day.

3 MR. LANCASTER:

4 Whit, can we have -- make the same questions as
5 I propounded to Dr. Morrison?

6 MR. JOHNSON:

7 Yeah, attach them.

8 MR. LANCASTER:

9 And for the record, I would ask Dr. Chalhub the
10 same questions I asked Dr. Morrison at his deposition
11 which Whit objected to on the grounds that it exceeded
12 the scope of the--

13 MR. JOHNSON:

14 Judge's order.

15 MR. LANCASTER:

16 --judge's order.

17 BY MR. LANCASTER:

18 Q Dr. Chalhub, I have only one final question.

19 A Okay.

20 Q What is your prognosis for Lucas' -- of Lucas'
21 condition?

22 A I believe that his motor deficit will probably
23 not improve very greatly. And his intellect, I think,

1 is still -- in terms of his ultimate ability to 76

2 communicate and participate with an environment, is

3 still open to question.

4 Q You mentioned that you reviewed the life care
5 plan prepared by Dr. Dortch?

6 A Yes.

7 Q Did you find any disagreement?

8 A I really didn't review it from that aspect. I
9 looked at it. I'm not a life care plan expert.

10 MR. LANCASTER:

11 That concludes the deposition. I have no
12 further questions.

13 FURTHER, DEPONENT SAYETH NOT.

14

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1 CERTIFICATE OF WITNESS

2

3 I, ELIAS GEORGE CHALHUB, M.D., do hereby

4 certify that on this, the _____ day of _____

5 1991, I have read the foregoing transcript and to the

6 best of my knowledge it constitutes a true and

7 accurate transcript of my testimony taken on oral

8 deposition on July 18th, 1991.

9

10

11

12

13

14 SUBSCRIBED AND SWORN TO BEFORE

15 ME ON THIS THE _____ DAY OF

16 _____, 1991.

17

18

19 STATE OF _____ AT LARGE

20

21

22

23

78

1 C E R T I F I C A T E

2

3 STATE OF ALABAMA:

4 COUNTY OF MOBILE:

5

6 I do hereby certify that the above and

7 foregoing transcript of proceedings in the matter

8 aforementioned was taken down by me in machine

9 shorthand, and the questions and answers thereto were

10 reduced to writing under my personal supervision, and

11 that the foregoing represents a true and correct

12 transcript of the proceedings given by said witness
13 upon said hearing.

14

15 I further certify that I am neither of counsel
16 nor of kin to the parties to the action, nor am I
17 anyway interested in the result of said cause.

18

19

20

21

LYNN ROBINSON-DYKES
COURT REPORTER

22

23

CHALHUB DEPOSITION (MCCARTY) 7-18-91

Baby Suffered cardiac arrest from use of forceps

- 14/23. Cause of child's injury hypoxic ischemic insult during the time between ~~thirteenth~~^{year} and thirty fifth week.
- 29/10. Does not agree that traction or compression from a forceps delivery can result in an anoxic or hypoxic insult to the fetus.
- 44/13. Makes his own interpret of MRI's.
- 54/21. Normal bloodgases inconsistent with someone that suffered a severe traumatic injury.
- 55/3. he would expect to see a severe metabolic acidosis if causing brain damage.
- 61/11. In a term infant that has each of oxygen and blood flow as a cause of the etiology (neurodamage) almost invariably involves the cortex, because that's where the cerebral vessels are most vulnerable, not in the periventricular area.
- 76/8. I'm not a life care plan expert.

4-261> Estate of Ashley Carr

DEPOSITION OF ELIAS CHALUB, M.D.
[Estate of Lucas McCarty]

TAKEN ON July 18, 1991
by ALAN LANCASTER, ESQ.

Pg/Ln

12/1

Virology is a specialty