State Of Ohio,) County of Cuyahoga.) SS:

IN THE COURT OF COMMON PLEAS

JACOB A. FIKTUS, etc., et al.,

Plaintiffs,

Vs.

Scanned J

Case No. 430662 Judge Villanueva

UNIVERSITY HOSPITALS OF) CLEVELAND, et al.,) Defendants.)

> THE DEPOSITION OF MICHAEL S. CARDWELL, M.D. THURSDAY, MARCH 27, 2003

The deposition of MICHAEL S. CARDWELL, M.D., called by the Defendants for examination pursuant to the Ohio Rules of Civil Procedure, taken before me, the undersigned, Marcie S. Smith, a Registered Professional Reporter and Notary Public within and for the State of Ohio, taken at 3335 Meijer Drive, Toledo, Ohio, commencing at 2:20 p.m. day and date above set forth.



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APPEARANCES:

On behalf of the Plaintiffs:

Howard D. Mishkind, Esq. Becker & Mishkind Co., LPA Skylight Office Tower - Suite 660 Cleveland, Ohio 44113 2

On behalf of Defendant University Hospitals of Cleveland:

Jan D. Roller, Esq. Davis & Young Co., LPA 1700 Midland Building Cleveland, Ohio 44115

APPEARANCE VIA TELEPHONE:

On behalf of Defendants University OB/GYN Specialists, Robert Kiwi, M.D., and Ricardo Loret de Mola, M.D.:

> Joseph Farchione, Esq. Sutter, O'Connell, Mannion & Farchione 3600 Erieview Tower Cleveland, Ohio 44114

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MICHAEL S. CARDWELL, M.D., DEPOSITION INDEX PAGE NO. EXAMINATION BY: 4, 125 MS. ROLLER 95 MR. FARCHIONE EXHIBIT NO. PAGE NO. 11 А . 47 В

4 MICHAEL S. CARDWELL, M.D. 1 of lawful age, called by the Defendants for 2 3 examination pursuant to the Ohio Rules of Civil 4 Procedure, having been first duly sworn, as 5 hereinafter certified, was examined and testified as follows: 6 7 EXAMINATION OF MICHAEL S. CARDWELL, M.D. BY MS. ROLLER: 8 Doctor, my name is Jan Roller, and I represent 9 0 University Hospitals of Cleveland in the lawsuit 10 11 that's been brought by the Fiktus family. And 12 we're here so that Joe Farchione and I can take your discovery deposition. 13 Would you please first just state your 14 full name for the record. 15 Michael S. Cardwell, M.D. 16 Α And, Dr. Cardwell, I'm aware that you're 17 0 familiar with the deposition process because I 18 was with you just a couple weeks ago doing the 19 20 same thing, correct? 21 А Yes. 22 You have in front of you documents. Could I 0 23 just see what you have? 24 А Yes. 25 And perhaps maybe it would be quickest if I just 0

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1		looked over your shoulder and you can read into
2		the record what it is that makes up part of your
3		file.
4	A	My October 23, 2002 report, expert letters of
5		Dr. Turrentine, and that's two of those.
6	Q	There's two what?
7	A	Expert reports from Dr. Mark Turrentine.
8	Q	Oh, yes. Okay.
9	A	Expert report from Nurse Lupe, L-U-P-E; expert
10		report from Dr. Weinstein, the nursing policy
11		from University Hospitals of Cleveland
12		concerning the use of Pitocin, a stack of fetal
13		monitor strips from the last hospital admission
14		and delivery of November 24 23-24, 1997, and
15		some strips of prior admissions to the hospital
16		on October 29, 1997 and November 3, 1997, and
17		November 22, 1997, the deposition of Dr. Ricardo
18		Loret de Mola.
19	Q	You have to open that one.
20	A	Dr. Mary McHugh, M.D., Dr or excuse me,
21		James Fiktus, F-I-K-T-U-S; deposition of Kelly
22		Fiktus, deposition of Dr. Neil Friedman,
23		depositions of nurses, including Nurse Sandra
24		Lucarelli, Tracy Arbertha, and Julie Haas,
25		various medical records of Jacob Fiktus after
	1	

		6
1		delivery.
2	Q	Do you know from what locations those records
З		come?
4	A	Cleveland Clinic Foundation and looks like
ŋ		University Hospitals.
6	Q	Do you know if there are sources other than
7		those two institutions?
8	A	It looks like records from a pediatric
9		orthopaedic surgeon.
10	Q	And Vocare?
11	A	Vocare. Records from Cleveland Clinic
12		Foundation, records from the Rehabilitation
13		Services Commission Bureau, Disability of Ohio;
14		various records and letters from Dr. Neil
15		Friedman, M.D., records of Kelly Fiktus from
16		Pitt County Memorial Hospital, Greenville, North
17		Carolina; and the hospital records of the
18		pregnancy in question from University Hospitals
19		of Cleveland concerning the mother and the baby,
20		records from Wayne Memorial Hospital, North
21		Carolina. These are records prior to her
22		arrival in Ohio.
23		And neonatal records of the baby after
24		delivery from University Hospitals of Cleveland,
25		records from Dr. Kelly Kinston, K-I-N-S-T-O-N,

7 Dr. Kiwi, and more fetal monitor strips. 1 And how about this stuff (indicating)? 2 0 3 А And depositions of Dr. Josephine Wang, fetal 4 heart rate tracings of November 24, 1997. And 5 this is a group of letters, correspondence from the Becker & Mishkind law firm. 6 Let me just look at those just for a second. 7 Q And, Doctor, these letters that you've 8 9 just handed me appear to be in chronological order. And the first is dated December 31, 10 2001. Does that sound about right? 11 I think so. 12 А 13 Okay. Is that the first time you were contacted 0 about this case? 14 Let's see. The record was initially sent to me, 15 А I believe, in the summer of 2001, and I received 16 17 a phone call prior to that. Who sent them to you, if you know? Was it 18 0 Attorney Mishkind's office or was it a different 19 20 law firm? No. Attorney Mishkind's office. 21 Α You say that was in the summer of 2001? 22 Q 23 I believe so. А Do you have any correspondence relating to that? 24 Q If it's not in there, I don't. 25 А

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		8
1	Q	Well, what happened in the summer of 2001
2		relating to this matter?
3		MR. MISHKIND: Objection.
4	Q	With respect to any contact you had with
5		Attorney Mishkind's office, you said you got
6		records at that time?
7	A	Records and telephone conversation. There might
8		have been more than one telephone conversation.
9	Q	Who is the person that you spoke with from
10		Attorney Mishkind's office?
11	A	I think Attorney Mishkind.
12	Q	Okay. And what were you asked to do at that
13		time?
14	A	I was asked to review the records and see if
15		this was a meritorious case as far as any
16		medical negligence is concerned.
17	Q	And you have authored one report in this matter;
18		is that correct?
19	A	Yes.
20	Q	And what's the date of that?
21	A	October 23, 2002.
22	Q	I note among all the documents that you just
23		described, I do not see any notation, any notes
24		of any type from you; is that correct?
25	A	That's correct.

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		9
1	Q	You've never written a note on any of the
2		materials that you've reviewed nor on a separate
3		piece of paper about anything you've signed in
4		this case?
5	A	That's correct.
6	Q	And you simply dictated the report of October
7		23, 2002?
8	A	No.
9	Q	What did you do?
10	A	I typed it myself.
11	Q	You typed it yourself, okay.
12		Do you have any prior drafts of that
13		report?
14	A	No. I typed it myself. No need for drafts.
15	Q	From all of the stuff all of the things you
16		just told me that you have in front of you, have
17		you reviewed anything else for this case?
18	A	Yes.
19	Q	What's that? You're handing me some things.
20	A	I reviewed four sources. The first source would
21		be the monogram or monograph from Awhonn,
22		A-W-H-O-N-N, entitled Fetal Heart Monitoring,
23		Principles and Practices, which was printed in
24		1994.
25		And then I reviewed Williams Obstetrics,

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		10
1		20th edition, which was published 1997. And you
2		have the pages in front of you.
3	Q	The articles here are pages well, one page,
4		page 431?
5	A	Yes.
6	Q	Okay.
7	A	I reviewed also the textbook Neurology of the
8		Newborn by Dr. Volpe, V-O-L-P-E. And I copied
9		that and you have in front of you page 415.
10	Q	Thank you.
11	A	And lastly I copied pages from a textbook
12		entitled Human Labor and Birth, 3rd edition,
13		published in 1975. And you would have pages 476
14		and 477 in front of you.
15	Q	Okay. And you provided me with copies of each
16	And the second se	of those?
17	A	Yes.
18	Q	Thank you very much.
19		Other than these four sources of
20		information, have you reviewed any other
21		literature for purposes of this case?
22	A	No.
23	Q	Doctor, I have a copy of your curriculum vitae.
24		I'd like to mark it as Exhibit A, but before I
25		do, let me show it to you so you can tell me

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		11
1		whether or not it's current.
2	A	Yes, this is my most current CV.
3		MS. ROLLER: Why don't we
4		mark that as Exhibit A.
5		
6		(Defendant's Exhibit A was marked.)
7		
8		MS. ROLLER: Thank you.
9	Q	Doctor, in order to save time, I asked you a
10		number of questions three weeks ago on February
11		22, 2003 about your background.
12		Has anything changed regarding your
13		background since then?
14	A	No.
15	Q	All right. Let me ask a few follow-up questions
16		that I wouldn't have asked you then.
17		Have you ever been retained to serve as an
18		expert by anyone from Attorney Mishkind's
19		office, including himself, before this case?
20	A.	Yes.
21	Q	On how many other occasions?
22		MR. MISHKIND: Are you talking
23		about me or the firm?
24		MS. ROLLER: The firm. The
25		firm.

		1	.2
1		MR. MISHKIND: Okay.	
2	A	And your question was?	
3		MR. MISHKIND: I'm sorry.	
4	Q	How many other cases other than this one?	
5	A	Probably about I would say at least half a	
6		dozen.	
7	Q	Okay. And who were the other lawyers in his	
8		firm that have retained you?	
9	A	Mike Becker. And I seem to recall years ago	
10		there might have been a John Lancione.	
11		THE WITNESS: Am I correct?	
12		MR. MISHKIND: That's correct.	
13	Q	Junior?	
14		MR. MISHKIND: Yes.	
15	Q	Okay. Yes. Are those the only other two other	
16		than Mr. Mishkind himself?	
17	A	Yes, directly retained me. John Burnett has	
18		attended a deposition in lieu of Mike Becker on	
19		one or two occasions.	
20	Q	And I didn't mean to assume anything.	
21		Has Howard Mishkind himself retained you	
22		before this case?	
23	A	Yes.	
24	Q	How many times has Howard retained you?	
25	A	I believe this is his second one.	

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		13
1	Q	Okay. And then with respect to John Lancione,
2]	Jr., and Mike Becker, how many times have they
3		each retained you?
4	A	Attorney Lancione, I believe only once; and the
5		remainder would be Mike Becker.
6	Q	Have you testified in any case, whether it would
7		be by videotaped deposition or in live
8		appearance, for a case that went to trial where
9		the plaintiffs were represented by anyone from
10		Attorney Mishkind's firm?
11	A	Yes.
12	Q	How many times has that occurred?
13	A	Once for Attorney Mishkind several years ago, I
14		believe, and once or twice for Mike Becker.
15	Q	All right. Tell me what those cases involved.
16		First, the three that did go to trial, the two
17		for Mike Becker.
18	A.	One of his cases involved an incompetent cervix
19		case. And I believe there might have been a
20		fetal distress case. It's been quite a number
21		of years.
22	Q	That was the same case or a different case?
23	A	A different case.
24	Q	Okay.
25	A	And Attorney Mishkind's case was a group B strep

1 case. And do you recall what the other three cases 2 0 3 involved? No. I'm sure some obstetrical issue, but I 4 А don't remember the exact issue. 5 Did any of the cases you've previously been 6 Q 7 retained by the Becker, Mishkind firm involve cerebral palsy as an outcome? 8 The fetal distress case probably did. 9 А When was that case? When did you testify in 10 0 11 that case? I think that was the first case I was engaged by 12 Α the law firm. That must have been maybe eight 13 14 or ten years ago. And do you recall the outcome of those three 15 Q cases that went to trial? 16 I know the outcome of one. The one with 17 A Attorney Mishkind was a verdict in favor of the 18 plaintiff. I'm not sure about the other two. 19 And when was that case? 20 Q MR. MISHKIND: My case? 21 22 The group B strep case? А 23 Yes. Q It had to have been four, five, six years ago. 24 А 25 Where was that case tried? 0

15 Cleveland. 1 А Okay. Your CV lists a number of publications, 2 0 Doctor. Do you believe that any of them are 3 relevant to the issues that are present in this 4 lawsuit? 5 Ά No. 6 You previously told me that you have been a 7 0 defendant in a lawsuit seven times and three 8 were pending as of February 21, 2003. 9 Is that still the case, that three are 10 11 pending? 12 А Yes. MR. MISHKIND: Let me show an 13 objection to any questions as it relates to any 14 claims against the doctor. 15 You've already answered the question, but 16 I'll just show a continuing line of objection. 17 Of those seven cases, can you tell me the nature 18 Q of the allegations against you, what was the 19 problem in the case? 20 MR. MISHKIND: And may I have a 21 continuing objection --22 Absolutely. MS. ROLLER: 23 MR. MISHKIND: -- just so I 24 don't have to interrupt you? 25

16 MS. ROLLER: 1 Absolutely. 2 I can tell you the ones that have been А dismissed. I don't think I can go in too much 3 detail of the three that are pending. And I'm 4 not sure I even counted this one in the seven. 5 One I was misnamed as a defendant. 6 It was 7 a Dr. Calwell and not a Dr. Cardwell. 8 Q Okay. Another case involved rupture of a uterus from a A 9 10 cornual ectopic pregnancy. Another case involved a placenta previa 11 baby who was delivered at term and died from 12 13 some kind of congenital neurological problem 14 about a year after. Another case involved a baby who died from 15 choriomeningitis after the patient was 16 17 transported and sitting in an outreach hospital 18 for two weeks for ruptured membranes. That was 19 dismissed. 20 And I think there might have been another case. That is four. 21 22 Q That's four. I don't recall any others. I think that's --23 А that is four counting the misnamed one? 24 It is including the misnamed one. 25 0

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1	A	Another case I was dismissed. The baby received
2		thermal injuries in a neonatal intensive care
3		unit. They named everybody; they dropped me. I
4		don't know what the allegations are or were.
5	Q	You're saying in each of the cases that you've
6		just made reference to you were dismissed from
7		those cases?
8	A	Yes.
9	Q	And then there are three pending cases?
10	A	Yes.
11	Q	Let me ask you: On the three pending cases, do
12		any of the babies in those cases suffer from
13		cerebral palsy?
14	A	I don't think so, but I haven't had an
15		opportunity to review the medical records on
16		those babies, so I'm not certain one way or the
17		other.
18	Q	Knowing the nature of the allegations against
19		you in those cases, is it possible that they may
20		include a claim that the baby has cerebral
21		palsy?
22		MR. MISHKIND: Let me just
23		object.
24		And I'm not instructing you not to answer,
25		I'm only cautioning you because it sounds like

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these cases are fairly new. I'm not your 1 2 attorney, but what you say relative to these 3 issues may or may not impact your defense in those cases. So I would just suggest to you 4 5 that you answer cautiously with regard to that. 6 And I think you can appreciate that? Yes. 7 MS. ROLLER: 8 Q And I'm simply asking of your knowledge. 9 А And I cannot tell you one way or the other. 10 0 Fine. Of the three pending cases, did any of 11 them involve a C-section? 12 13 No. А And are all three currently pending in Lucas 14 Q 15 County? Yes. 16 А You are board certified with the American 17 0 College of Obstetrics and Gynecology, correct, 18 19 sir? 20 Α No. You're not. 21 0 I'm board certified through the American Board 22 Α of Obstetrics and Gynecology. That's separate 23 from the college. 2425 Okay. Thank you. Q

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1		You are a fellow with the American College
2		of Obstetrics and Gynecology?
3	A	Yes.
4	Q	When did you become a fellow?
5	A	I became a junior fellow during my residency.
6		And once I became board certified, I then
7		converted from a junior fellow to a fellow. So
8		that would have been approximately 1987.
9	Q	What does it mean to be a fellow of the American
10		College of Obstetrics and Gynecology?
11	A	To become a fellow, the doctor must be board
12		certified within obstetrics and gynecology,
13		submit an application to the American College,
14		meet other criteria, and then he or she is voted
15		in or out.
16	Q	Doctor, what publications do you regularly
17		review in your profession?
18	A	American Journal of Obstetrics and Gynecology,
19		The Green Journal, or also known as Obstetrics
20		and Gynecology; The Contemporary OB/GYN, OB/GYN
21		Management, JAMA, or Journal of American Medical
22		Association; Journal of the American College of
23		Legal Medicine, several throwaways, OB/GYN News,
24		two ultrasound journals. I think that's about
25		it.

		20
1	Q	Okay. Do you review the publications of ACOG?
2	A	Yes.
3	Q	Do you plan to appear as a live witness at the
4		trial in this case, which is scheduled to begin
5		on April 23rd, sir?
6	A	If I'm asked, if I'm available, I intend to.
7	Q	And I understand that if you do appear as a live
8		witness, your charge for appearance that day
9		will be \$12,000?
10	A	Yes.
11	Q	Doctor, would you agree that the duties and
12		responsibilities of nurses differ from that of
13		physicians in caring for a patient in labor?
14	A	No. I would not entirely agree with that. It
15		depends on the issue that is being looked at.
16	Q	Okay. What issues, then, would you say are
17		those that are the responsibility of the nurses
18		as opposed to the physicians?
19	A	Well, the nurses generally have nursing
20		responsibilities, which means taking vital
21		signs, recording the vital signs and following
22		the physician's orders. But there are
23		overlapping areas in which the duties of the
24		nurse would more or less be coinciding with the
25		physician's duties.
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21 What are those duties that you're referring to? 1 0 For example, when a patient is to be given 2 Α 3 Pitocin for augmentation or induction of labor, it's -- it is both the nurse's duty and the 4 5 physician's duty to monitor the patient and to watch for any evidence of a nonreassuring fetal б heart rate pattern, or any evidence that Pitocin 7 is having ill effects. That's the duty both of 8 the nurse and the doctor. 9 Can you give me any other overlapping 10 Q 11 responsibilities in this case that relate to this case? 12 Interpretation of fetal monitor tracings and 13 А performing intrauterine resuscitative maneuvers, 14 15 if necessary. That would be an overlapping function. Preparation of the patient for a 16 17 cesarean section would be an overlapping function. Performing a C-section, of course, 18 19 would be a physician function. 20 Okay. When a nurse and a doctor are both Q 21 present, whose responsibility is it to determine 22 the appropriateness of the administration of 23 oxytocin? 24 It's an independent duty. Both the nurse has an А independent duty to the patient and the doctor 25

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	also. Most of the time the duties are
	complimentary.
Q	When a nurse and a physician are both present
	and they disagree on a particular point, must
	one defer to the other?
	MR. MISHKIND: Objection, but
	you can answer.
A	In some cases, yes; in some cases, no.
Q	Okay. Regarding the administration of oxytocin
	with that example, same question.
A	If there's
	MR. MISHKIND: Show an
	objection, please, but go ahead.
A	If there's a conflict between a nurse and the
	doctor concerning the administration of Pitocin,
	then the nurse would have an independent duty to
	the patient, who would have to access the chain
	of command if she thought there was a conflict
	between her interpretation or her concept of the
	situation versus the doctor's.
Q	And to access the chain of command in that
	situation would be to do what, Doctor? What
	would the nurse have to do?
A	Depends on the institution. But usually the
	labor/delivery nurse would go to her supervisor.
	A Q A Q

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		23
1		If her supervisor would have to go to someone
2		else, it may be the chairman of the department,
3		it may be the house supervisor, or it ultimately
4		could even go up to the administrator.
5	Q	I take it you have never worked as a nurse?
6	A	No.
7	Q	And you've never attended nursing school?
8	А	No.
9	Q	Have you ever taken any nursing courses?
10	A	I have not taken nursing courses.
11	Q	Have you ever taught any nurses?
12	A	I have given lectures to student nurses.
13	Q	On what topics?
14	A	On high risk obstetrics, fetal monitoring.
15	Q	Anything else that relates to this case?
16	A	No.
17	Q	Have you ever written on the topic of nursing
18		standard of care?
19	A	No.
20	Q	Dr. Cardwell, was a Bandl ring present in this
21		case?
22	A	Yes. At a time of cesarean section it was found
23		to be present.
24	Q	Okay. Do you differentiate in any way regarding
25		uterine rings? Do you make any distinction or

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		24
1		classification of rings found in the uterine
2		wall?
3	А	I don't. The one article I gave you, Human
4		Labor and Birth, they try to make a distinction
5		between a pathologic retraction ring, also known
6		as a Bandl ring, versus a constriction ring, but
7		I do not make a distinction.
8	Q	Thank you.
9		What is the basis of any knowledge you
10		have regarding and for our purposes, since
11		you do not make a distinction, I'll just call it
12		a Bandl ring. So the question is that all
13		right with you since you don't make a
14		distinction?
15	A	Yes.
16	Q	We'll just call a retraction ring, we'll call it
17		a Bandl ring.
18		So the question is: What is the basis of
19		any knowledge you have of the condition of a
20		Bandl ring?
21	A	The basis?
22	Q	Yes. Of your knowledge.
23	A	Well, that's one reason why I brought this
24		particular article. I first learned about a
25		Bandl ring, or pathological retraction ring,

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when I was a resident.

2 In one of the references that I used when 3 I was a resident was this book, Human Labor and 4 Birth, which I gave you a copy of the relevant 5 pages. And that was published in 1975. So I 6 started my residency in 1979, and so I have had 7 knowledge of this particular condition since I 8 was a resident. So about 20 some -- 20-plus 9 years, 25 years. So you're telling me you first heard of a Bandl 10 Q 11 ring back in 1975 when you were a resident; is that correct? 12 13 Yes. A Okay. Other than hearing of it at that time --140 and did you read this portion of the Human Labor 15 and Birth on a Bandl ring at that time? 16 I'm sure I did. 17 А Okay. Other than that, I'd like to understand 18 Q better your knowledge and experience with a 19 20 Bandl ring. 21 So let me ask you specifically: Have you ever managed a pregnancy where one occurred? 22 When I was a resident. And that was the last 23 A 24time. Okay. And you were a resident during what 25 0

		26
1		years?
2	A	From 1979 to about 1982.
3	Q	Okay. So I take it then well, I should ask:
4		In that particular matter that you're referring
5		to, were you present for delivery, if you can
б		recall?
7	A	Yes.
8	Q	All right. And what
9	A	Probably on several occasions I can recall, not
10		specifically, but several times that we ended up
11		doing a C-section for, quote, CPD and it was
12		actually a Bandl ring that was causing the
13		problem.
14	Q	Where did you do your residency?
15	A	University of Illinois, Peoria, at the
16		St. Francis Medical Center.
17	Q	How many cases did you how many different
18		pregnancies was there a Bandl ring when you were
19		a resident?
20	A	Probably at least two or three, or maybe more.
21		Back then we did not use Pitocin like Pitocin is
22		being used now. We also used buccal Pitocin. I
23		don't know if you know what that is.
24	Q	No. What's that?
25	A	Buccal Pitocin is Pitocin pills in which they

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1		are placed within the cheeks of the mother. You
2		would give her three, four, five, six.
3		Sometimes she even looked like a chipmunk.
4	Q	I was going to say, "Which cheeks, Doctor?".
5		Mouth. Okay. I'm sorry.
6	A	But that practice has long been gone.
7		MR. MISHKIND: Off the record.
8		(Off the record.)
9	A	And let me finish my answer.
10		And also back when I was a resident, we
11		did not really use internal monitoring because
12		it was not really available to us back at that
13		point in time.
14	Q	Okay. So of the two or three or maybe more
15		Bandl ring cases that you were aware of when you
16		were a resident, the specific question is: Were
17		you ever present for delivery? You said you
18		recall one where there was a C-section; is that
19		correct?
20	A	One or more.
21	Q	One or more.
22		Do you have any specific memory of the
23		other one that was a C-section?
24	A	I think they were all C-sections because we had
25		ended up doing a C-section and we thought we

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		28
1		were doing a C-section for a cephalopelvic
2		disproportion, but it was actually for a Bandl
3		retraction ring.
4	Q	Do you have a memory of actually seeing the
5		rings?
б	A	I have an impression. I don't have an exact
7		memory of the particular patient.
8	Q	You have not written on the topic, correct?
9	A	Correct.
10	Q	And so it's fair for us to understand that you
11		have not managed a patient in your private
12		practice who went on to have a Bandl ring at the
13		time of delivery. That's a fair statement?
14	A	That's correct.
15		And just like what Williams Obstetrics
16		said, it's very unusual to even see this unless
17		the labor has been obstructed.
18	Q	Have you ever attended a seminar on the topic?
19	A	No.
20	Q	How rare, then, is it, Doctor, a Bandl ring?
21	A	It's very unusual to see any nowadays. I want
22		to say nowadays, I'm talking within probably
23		the last ten, 15 years. The cases I mentioned
24		to you when I said as a resident, it was, I
25		would think, fairly common. And not one percent

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		29
1		but, I mean, it would not be unusual in a large
2		hospital to see a case of that type during a
3		period of a year.
4	Q	Are you saying at that period of time or now?
5	A	At that period of time.
6	Q	Okay. When you were a resident?
7	A	When I was a resident.
8	Q	Where was the Bandl ring located in Kelly
9		Fiktus, if you know?
10	A	Just above the lower uterine segment.
11	Q	When did it develop?
12	A	When?
13	Q	Yes.
14	А	Progressively during the course of her labor. I
15		don't know if you want me to get into it now, or
16		I don't know if you want me to go through the
17		strips later or whatever but
18	Q	I want to know to the best that you're able to
19		tell me, and if you can't tell me, then tell me
20		that as well, but if you have opinions as to
21		when the Bandl ring developed, I want to know
22		when you believe that occurred.
23	A	Well, let me put it this way: A Bandl ring
24		develops over a course of a period of time. I'm
25		fairly certain that the ring was not present

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30 before the start of the Pitocin. 1 What's your basis for saying that? 2 Q Because there was no evidence of 3 A hyperstimulation, hypertonus prior to that. And 4 one of the causes of a pathologic retraction 5 ring is the use of Pitocin causing 6 7 hyperstimulation and hypertonus. And we knew that back in 1975. That's also included in that 8 9 reference. 10 You've just held up, again, two pages from the 0 11 Human Labor and Birth, 3rd edition. And can you 12 tell me, and point it out to me, if you would, 13 where it says here that either Pitocin and/or uterine hyperstimulation causes a Bandl ring? 14 15 On page 476, bottom of the page. Causes А 16 include: One, intrauterine manipulation. 17 I want to make sure I'm with you, Doctor. I'm Q 18 looking here at this paragraph (indicating). 19 А Yes. 20 Okay. It says, The constriction ring grips the 0 21 fetus tightly and prevents its descent. Then 22 it's dark on the page. What does it say next? No. No. Before that. There's four causes 23 А 24 which is listed. 25 Okay. Q

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		31
1	A	Which are listed. One, intrauterine
2		manipulation; two, failed forceps; three, the
3		use of oxytocin when a uterus is hypertonic; and
4		four, spontaneous constriction ring which
5		usually occurs in a colicky uterus.
6	Q	What's a colicky uterus?
7	А	A uterus which is hyperirritable, having a lot
8		of uterine activity.
9	Q	Okay. Other than this source that you're
10		referring to, have you ever seen it written
11		anywhere else that the use of oxytocin when a
12		uterus is hypertonic can cause a Bandl ring?
13	A	The use of oxytocin? Not necessarily the use of
14		oxytocin, but when there's an obstructed labor.
15	Q	Well, let's go back to my question. The
16		question is: Other than this one article from
17		Human Labor and Birth, I'm asking you have you
18		ever seen it written anywhere in the literature
19		that the use of oxytocin when the uterus is
20		hypertonic can be a cause of a Bandl ring?
21	A	Other than this one, no.
22	Q	And you've never personally experienced it
23		yourself?
24	A	Not since I was a resident, no.
25	Q	And when you were a resident, you didn't use

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		32
1		Pitocin?
2	А	No. We used Pitocin. We used it differently
3		than we do nowadays.
4	Q	You called it Buck Pitocin?
5	A	Buccal, B-U-C-C-A-L, Pitocin. Also, we used IV
6		Pitocin but not with the method that we now use.
7	Q	Well, how is it different? You said IV Pitocin
8		but it's different. How is it different?
9	A	It would have been very unusual. We did not use
10		internal monitors to monitor a patient who is
11		being induced, or augmented Pitocin or Pitocin.
12		Our protocol usually started at one
13		milliunit, double the dose every ten minutes.
14		So we have had patients on 80 milliunits, 160
15		milliunits. That was standard back then to give
16		Pitocin, which nowadays it's not.
17	Q	You're saying in the past they used to put
18		patients on 180 milliunits of Pitocin?
19	A	They would what we would do is start at one
20		milliunit, double it every ten minutes until the
21		uterus is contracting every two to three
22		minutes.
23	Q	And it could go as high as 180 milliunits?
24	A	I've seen it real high.
25	Q	Okay. Okay.

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		33
1		Would you agree with me that the cause of
2		a Bandl ring is not well understood in the field
3		of obstetrics and gynecology, in medicine in
4		general?
5	A	No, I wouldn't say that.
6	Q	Why do you say that it is? Do you think it's
7		well understood?
8	A	I think it's well understood that uterine
9		hyperactivity, either hyperstimulation or
10		uterine hypertonus, or a combination thereof,
11		result in the ring, particularly if there's any
12		other reason for the labor being obstructed.
13	Q	Are you making a differentiation between
14		hyperstimulation and hypertonus?
15	A	They are two different ways to describe uterine
16		activity.
17	Q	Okay. And the uterine activity that you're
18		referring to is what?
19	A	Contraction and the resting tone in between the
20		contractions.
21	Q	So you believe it's well understood as to the
22		cause of a Bandl ring in today's medical
23		literature?
24	A	I think I think the Williams Obstetrics, in
25		their one paragraph, more or less summarizes

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that idea. 1 2 Q All right. Let's turn to that. Can you point 3 that out to me, Doctor? Under which paragraph? Under the paragraph, Pathologic retraction ring. 4 А Yes. 5 0 And I can read this into the record. It's 6 А 7 pretty short. Very rare that localized rings of constriction of the uterus develop an 8 association with prolonged labors. The most 9 common type is the pathologic retraction ring of 10 11 Bandl, an exaggeration of the normal retraction 12 ring described in Chapter 11. It is often the 13 result of obstructed labor with marked 14stretching and thinning of the lower uterine 15 segment. 16 In such a situation, the ring may be seen 17 clearly as an abdominal indentation and signifies impending rupture of the lower uterine 18 segment. Localized uterine constrictions are 19 rarely seen today because prolonged, obstructed 20 labor is unacceptable. 21 These may still occur occasionally as 22 hour-glass constrictions of the uterus following 23 birth of the first twin. In such a situation, 24 25 they can sometimes be relaxed and delivery

		35
1	2	effective, if appropriate general anesthesia,
2		but occasionally prompt cesarean delivery offers
3		a better prognosis for the second twin.
4	Q	I appreciate you reading that paragraph, but is
5		it your testimony that it is well understood as
6		to what occurs in obstructed labor to cause a
7		Bandl ring?
8	A	I think this paragraph lays it out pretty well.
9	Q	Could you answer that question, sir? Could you
10		repeat it for me?
11	А	Yes.
12	Q	And what is it that occurs in obstructed labor
13		to cause an
14	A	An exaggeration of the normal retraction ring.
15		That's what it states.
16	Q	And what is it that causes an exaggeration of
17		the normal retraction ring? What is well
18		understood in medicine today to cause that?
19	A	An obstructed labor.
20	Q	And what is it about an obstructed labor that
21		causes the Bandl ring?
22	A	Increased uterine activity, either
23		hyperstimulation, hypertonus, or both.
24	Q	Isn't it your testimony that a Bandl ring will
25		always occur when there is a prolonged labor?

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		36
1	A	No.
2	Q	And it's very rare for that to occur, as a
3		matter of fact, isn't it, for a Bandl ring to
4		occur?
5	A	It would be very unusual, yes.
6	Q	Now, it's your testimony that Kelly Fiktus'
7		Bandl ring was detectable at any time?
8	A	No. That's not my testimony.
9	Q	Okay. Let's me see if I have your opinions
10		correct regarding the cause of Jacob Fiktus'
11		cerebral palsy.
12		From reading your report, am I
13		understanding you to say, and I'm just taking
14		this right from your report, and I want to make
15		sure I have your testimony understood, the use
16		of oxytocin, or in the form of Pitocin, caused
17		uterine hyperstimulation which, in turn, caused
18		an umbilical cord compression which, in turn,
19		caused an intrauterine hypoxia and ischemia; is
20		that correct?
21	A	Yes.
22	Q	Okay. Do you believe that the intrauterine
23		hypoxia and ischemia was an acute, intrapartum
24		hypoxic event sufficient enough to cause
25		cerebral palsy?

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		37
1	A	No.
2	Q	What caused the cerebral palsy?
3	A	The ischemia from the umbilical cord compression
4		and a traumatic injury to the baby from the
5		ring.
6	Q	Together?
7	A	Together.
8	Q	Okay. So the ischemia, you believe, contributed
9		to the cerebral palsy. What caused the
10		ischemia?
11	A	Umbilical cord compression.
12	Q	So that I have your testimony clearly, you're
13		saying that the umbilical cord compression
14		together with the Bandl ring caused an
15		intrapartum hypoxic event sufficient enough to
16		cause cerebral palsy?
17	А	No. No.
18	Q	Then please explain it to me. I thought I took
19		it right from your report.
20	A	No. It is my opinion that the injury to the
21		baby was not the cause of intra not a result
22		of intrauterine hypoxia. The result of the
23		baby's injury was from the ischemia and the
24		pathologic retraction ring.
25		Now, on the fetal monitor, the baby had

38 evidence of intrauterine hypoxia, which was 1 temporary, and the heart rate came back to 2 normal. But I'm not saying the baby had hypoxic 3 injury intrapartum. 4 5 Is that clear? Let me see. Hold on one minute. 6 0 7 Let me read it to you again. And I know you A have it in front of you. 8 9 Hold on. Actually, I don't. 0 10 This is from my report. Α 11 Okay. Go ahead. The second paragraph? 0 Yes. The uterine hyperstimulation caused 12 А umbilical cord compression. This caused 13 intrauterine hypoxia and ischemia resulting in a 14 nonreassuring fetal heart rate tracing. There 15 were several episodes on the strip of 16 bradycardia from cord compression and transient 17 hypoxia. 18 The uterine hyperstimulation also caused a 19 pathologic uterine retraction. The Bandl ring, 20 the pathologic retraction ring, contributed to 21 the baby's injuries as evidenced from the 22 medical records. 23 And maybe it was not clear. I'm not 24 contending that this baby had hypoxic injury 25

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from intrapartum hypoxia. The cord gases were 1 normal. The Apgar, which was, I believe seven, 2 was reassuring. But the distinct injury that 3 4 the baby had was from trauma and from ischemia, or a combination thereof, not from hypoxia. 5 How are you defining ischemia? 6 0 Ischemia is decreased blood flow to the baby. 7 Α And the blood flow that goes to the baby could 8 be well oxygenated, it's just that the amount of 9 blood flow, like from a cord compression, is not 10 sufficient to give the baby enough blood to 11 abstract enough oxygen. Not hypoxia. 12 Well, did the cord compression cause any injury 13 0 to Jacob? 14 I believe that the baby had injuries. And I'm 15Α probably going to defer specifically to a 16 pediatric neurologist on this, but I think the 17 combination of the cord compression and the 18 pathologic retraction ring resulted in injury to 19 20 the baby. As a basis for that opinion, I refer to 21 Volpe's Neurology of the Newborn, 3rd edition, 22 1995, on page 4715. 23 Okay. Where? 24 0 Let me direct you to under the paragraph, Labor 25 Α

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		40
1		and delivery.
2	Q	Yes.
З	А	About eight, nine lines down. There's a
4		sentence which starts indeed?
5	Q	Yes.
6	A	And I'll read that into the record. Indeed, the
7		deleterious effects of labor appear to be most
8		pronounced in a most premature infant. The
9		skull deformation can lead to obstruction of
10		major venous sinuses and presumably increased
11		venous pressure. Then they go on. I won't read
12		the rest of the paragraph. It's very wordy.
13		There's a connection between that type of injury
14		to the baby and intraventricular hemorrhage.
15	Q	Now, tell me, though, what is your basis for
16		saying that the first tell me what your basis
17		is for saying there was a cord compression.
18	A	The fetal heart rate tracing.
19	Q	What is your basis for saying that the fetal
20		heart rate tracing is a result of cord
21		compression as opposed to solely the presence of
22		the Bandl ring?
23	A	If it was just the Bandl ring itself, that would
24		not show up on the fetal monitor tracing as any
25		nonreassuring fetal heart rate tracing.

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Q Why do you see that? What's the basis of that? A Because there is -- retraction ring is on the baby's head. It's not insufficiency. It's not umbilical cord compression. Those two things -uteroplacental insufficiency may result in repetitive, late decelerations. Cord compression would result in variable decelerations.

Just having a retraction ring would not give you either one of those unless there was something else, such as cord compression. And if there's enough uterine activity which causes the retraction ring, eventually, and even in normal labors, you would get some cord compression.

> The sudden drop in the fetal heart rate, as evidenced on the fetal monitor tracing, is, in my opinion, secondary to cord compression. Q Because you're saying it has to come from the umbilicus. That has to be the tracing that you see.

Can the only source of the tracing, the resulting tracing that we see, is the only way that that can be demonstrated is a cord compression?

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1	A	That's correct. Because, in general, there's
2		two types of decelerations that we see the a
3		course of a labor: Late decelerations, which
4		reflect uteroplacental insufficiency, and that's
5		not evidenced here; and variable decelerations,
6		which reflect umbilical cord compression.
7	Q	So to go back. With respect to your opinion, I
8		still want to make sure that I understand what
9		you're saying with respect to what you believe
10		was a cord compression and as to any causative
11		effect it had on Jacob with respect to his
12		cerebral palsy.
13		Are you saying that cord compression
14		existed and that it caused his cerebral palsy?
15	A	That would be a question to direct to a
16		pediatric neurologist.
17	Q	Okay.
18	A	I'll give my obstetrical causation opinions. As
19		far as specifically the injuries to the baby, I
20		would direct that to a pediatric neurologist.
21	Q	So by what you just said, you are not offering
22		an opinion in this matter as to whether or not
23		the cord compression, which you believe did
24		occur in this case, whether or not that caused
25		Jacob or contributed to Jacob's cerebral

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		43
1		palsy?
2	A	It could have, it may not, but I would defer
3		that to a pediatric neurologist.
4	Q	So to that extent, you're not offering an
5		opinion on that matter?
6	A	That's correct.
7	Q	Okay. As an OB/GYN and a maternal-fetal
8		medicine physician, then, let me ask you the
9		other side of the causation question, which is
10		the Bandl ring. Because you said before this
11		cord compression and there's also obviously the
12		presence of the Bandl ring.
13		What, in your opinion, if any, injury did
14		the presence of the Bandl ring cause to Jacob?
15	A	Well, I don't have any opinions on that because
16		I think the medical records speak clearly to
17		that issue.
18		From my interpretation in reading the
19		medical records, it appears that the
20		intraventricular hemorrhage, and other injuries
21		which occurred to the baby, was a direct result
22		of the Bandl retraction ring.
23		I believe Dr. Friedman has said that in
24		several letters. It's written in the medical
25		records. There are diagrams of the baby that

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		44
1		the neonatologist, and other members, actually
2		drew in the chart to show the effect of the ring
3		on the baby's head.
4	Q	Well, with respect to when you say the
5		intraventricular evidence, I want to ask you
6		specifically so that I understand, what
7		resulting injuries are you saying was caused by
8		the presence of the Bandl ring?
9	A	I think I mean, from my interpretation of the
10		pediatric records, all the injuries of the baby
11		was a result either/or, or a combination
12		thereof, of the Bandl ring and umbilical cord
13		compression as a contributing factor.
14	Q	Have you seen the MRI of 1998, October 1998? He
15		was about ten months old at the time.
16	A	No.
17	Q	You've not actually seen the film?
18	A	No, I have not.
19	Q	Did you read the report?
20	A	I probably did if it's in my records.
21	Q	A letter of Dr. Friedman includes a finding that
22		Jacob suffers from PVL, periventricular
23		leukomalacia. I believe it's an October 16th
24		letter. In the first paragraph.
25	A	I see it.

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		45
1	Q	Can you turn to the CAT scan that was taken on,
2		I believe, the 24th or 25th of 1997 in your
3		records there?
4	A	Without me going through 1,000 pages
5	Q	Do you want me to see if I can help?
6		MR. MISHKIND: To save some
7		time.
8		MS. ROLLER: Yes. Sure.
9	Q	I'll put it in front of you, Doctor.
10	A	Yes, I've seen that.
11	Q	Okay. Would the findings of Dr. Friedman
12		relate that he sees from the MRI brain scan,
13		including the periventricular leukomalacia, be
14		consistent with the findings on the CAT scan?
15		And I make note that there was found global
16		decreased attenuation within the white matter of
17		the cerebral hemisphere.
18		MR, MISHKIND: Objection.
19	A	You are asking me a question outside of the
20		scope of my expertise.
21	Q	Okay. You can't say one way or the other?
22	A	I'm not an expert on reading MRIs or CT or
23		interpreting the reports.
24	Q	All right. Fine.
25		Were there hypoxic events or hypoxia

		46
1		occurring during Kelly's labor for the fetus?
2	A	As I mentioned previously, there was, I believe,
3		two or three episodes of fetal bradycardia that
4		reflected hypoxia at that time, but it was a
5		short-term event, the baby's heart rate
6		recovered back to normal baseline. And I do not
7		believe the fetus sustained any injury from
8		that, or those episodes from hypoxia.
9	Q	I guess to be specific, do you have the tracings
10		in front of you, Doctor?
11	A	Yes.
12	Q	You believe uterine hyperstimulation occurred in
13		this case, correct?
14	A	Yes.
15	Q	Okay. There's two things I want you to do for
16		me, and if we can do them at the same time,
17		that's fine; if not, let me know. I'd like you
18		to tell me when the uterine hyperstimulation
19		occurred and when you believe those two to three
20		episodes of hypoxia occurred.
21	A	Okay. I can probably the best way to do this
22		is I can just take you through the strip
23	Q	Okay.
24	A	verbally.
25	Q	And I'd like you to use and I brought an

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		47
1		extra copy of the tracings. If you could mark
2		it just with the yellow marker for me, we'll
3		mark these tracings as Exhibit B.
4	A	I usually don't mark on the strips.
5	Q	No. This is an extra copy.
6	A	I usually don't mark on any strips. I'm giving
7		you my verbal testimony concerning the tracings.
8	Q	Okay. How about well, we'll go along and if
9		you tell me something, I'll put a yellow line on
10		the strip.
11	A	That's fine.
12		MR. MISHKIND: Okay.
13		MS. ROLLER: Let's mark this
14		Exhibit B, please.
15		
16		(Defendant's Exhibit B was marked.)
17		anati vitar laran dana
18	Q	First, with respect to either one, I don't care,
19		the period of hyperstimulation or the period of
20		hypoxia.
21	A	What I'm going to do, I'm just going to take you
22		chronologically for each strip. It might be
23		easier. Starting at about 1:00 when the Pitocin
24		was started.
25	Q	Are you going to be using military time or you

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		48
1		said 1:00.
2	A	Let's use military time.
3	Q	Okay. Let me make sure I'm on the same page as
4		you. Does it start yeah.
5	A	So I'm looking at the strip starting at 1251 on
6		11-24, 1997.
7	Q	All right.
8	A	And the Pitocin was started at approximately
9		1300.
10	Q	All right.
11	A	At 1300, when I look at the strip, I see a
12		reassuring fetal heart rate tracing. I see
13		uterine contraction occurring approximately
14		every about every four minutes, but this is
15		an external monitor, both for the uterine
16		contractions and for fetal heart rate activity.
17		The first place that I can see uterine
18		hyperstimulation on the strip is starting at
19		approximately 1355.
20	Q	Okay.
21	A	There are contractions occurring about every
22		minute. This would meet the criteria for
23		uterine hyperstimulation, either using the
24		criteria of uterine contraction, less than every
25		two minutes or more than five in a ten-minute
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2		At this point in time by at least this
3		point in time, the doctor, doctors, and/or the
4		nurses, or both, should have instituted internal
5		monitoring consisting of the intrauterine
6		pressure catheter and the fetal scalp electrode.
7		MR. FARCHIONE: I'm sorry to
8		interrupt. I did not catch that. You defined
9		hyperstimulation. I think you said contractions
10		less than every two minutes and something else.
11		THE WITNESS: Or more than
12		five contractions between within a ten-minute
13		window.
14		MR. FARCHIONE: I thank you very
15		much. I'm sorry to interrupt.
16	Q	Okay. Go ahead. You said you felt an IUPC
17		should have been
18	A	And fetal scalp electrode in order to gauge the
19		uterine activity in response to the fetus.
20		And if that was done, it's my opinion not
21		only would we affirm uterine hyperstimulation,
22		but we would also see uterine hypertonus. And
23		uterine hypertonus is defined as a resting
24		baseline of more than 20 millimeters of mercury
25		when the mother is on oxytocin.

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50 So it would have been, on impression, by 1 2 approximately 1400, or 2:00 in the afternoon, 3 that there was both hyperstimulation and uterine 4 hypertonus. How is that 20 millimeters of mercury measured? 5 0 Do you use the IUPC? 6 7 The intrauterine pressure catheter. А Okay. 8 0 And it's my opinion if that would have been 9 Α 10 verified at that point in time, the Pitocin should have been discontinued. 11 12 At what time? Q 13 At 1400, or thereabouts. А And I continue to look at the monitored 14 15 tracing, because there was not an intrauterine 16 pressure catheter at that point in time, I 17 cannot tell you if there was uterine hypertonus, 18 but more likely than not it probably was 19 present. Well, let me ask you this: You said, if I am 20 0 21 recalling your testimony correctly, you felt 22 that uterine hyperstimulation began around 1355? 23 Ά Yes. 24 Do you see it ending after that point? 0 25 А No.

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		51
1	Q	At any time?
2	A	Later on in the strips when the Pitocin was shut
3		off, the uterine activity, the contractions
4		appeared to be decreasing.
5	Q	All right. When do you see that occurring?
6	А	The first time I can see that occurring after
7		the Pitocin was initiated would have been around
8		2005.
9	Q	It's your
10	A	And I'm talking about uterine hyperstimulation.
11	Q	It's your testimony that Kelly Fiktus had
12		uterine hyperstimulation from 1355 to 2005
13		without interruption?
14	А	Yes.
15	Q	Did it recur, uterine hyperstimulation? And let
16		me back up and sort of withdraw that question.
17		You're using the definition that you
18		already put on the record for uterine
19		hyperstimulation. And you're saying that
20		occurred throughout that period of time?
21	A	Yes.
22	Q	Okay.
23	A	And then starting at approximately 2115, there
24		appears to be a return of uterine
25		hyperstimulation. And that continues to the end

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		52
1		of the strip.
2	Q	The last time that you have on the end of the
3		strip is what, Doctor?
4	A	2244.
5	Q	Okay. I think it's on the next page, 2245?
б	A	2245.
7	Q	Okay. Again, using that same definition for
8		uterine hyperstimulation, you believe it was
9		occurring from 2115 to 2245?
10	A	Yes.
11	Q	Okay. Now, how about the fetal heart rate
12		tracings in this strip that you have in front of
13		you that we've marked Exhibit B, do you see
14		periods of the term you used was hypoxia
15		earlier. I mean, do you see that occurring in
16		this tracing?
17	A	I believe I said there was two or three episodes
18		of bradycardia which reflects transient hypoxia
19		at that stage.
20	Q	Let's use bradycardia.
21		First of all, let's put your definition of
22		bradycardia on the record.
23	A	A baseline below 110 beats per minute.
24	Q	For how long?
25	A	Baseline can be I've seen various

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53 definitions. Three minutes, five minutes, at 1 2 least. 3 Did Jacob Fiktus' baseline change at any time? Q From the tracing that we have it appears to. 4 Α 5 Okay. First, let's do that. Let's --0 6 Α Can I interrupt you? 7 0 Sure. Of course. 8 Α Are we going to come back to uterine activity 9 because we're not done with that. 10 0 Okay. I thought you had indicated throughout 11 this tracing where you saw --12 А Uterine hyperstimulation, but I did not talk 13 about uterine hypertonus. 14 All right. Q We can do that now or we can do it later. 15 Α Since we're talking about it, let's do it now. 16 Q 17 Where do you see uterine hypertonus? The uterine hypertonus can only be detected --18 Α 19 you can sense it clinically, but if you want to 20 objectively define it, the patient would have to 21 have an intrauterine pressure catheter placed. 22 And she had an intrauterine pressure catheter 23 placed at approximately 1600. 24 And from that can you determine whether or not 0 25 uterine hypertonus occurred?

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		54
1	A	And from that point in time until almost the
2		entire strip, the resting tonus exceeded 20
3		millimeters of mercury for the great majority of
4		the time.
5	Q	Can you show that to me and point that out to me
6		as I'm looking over your shoulder here?
7	A	This line is 25 millimeters of mercury and it
8		never dips, except occasionally, below that
9		level, except for occasionally. If you look at
10		the majority of the strip, it's above 20
11		millimeters of mercury.
12	Q	And is there a time measurement for hypertonus?
13		You know, in order to qualify as hypertonus, it
14		has to be greater than 20 millimeters of mercury
15		for three to five, ten minutes?
16	А	No. Hypertonus is the resting baseline in
17		between contractions that exceeds 20 millimeters
18		of mercury.
19	Q	Okay.
20	A	And now you want me to do the fetal heart rate?
21	Q	Would you, please, for bradycardia.
22		And though we are jumping around a little
23		bit, I had asked you if the baseline changed at
24		any time. You said you thought it did. Can you
25		tell me what it was and when it changed?

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		55
1	A	Well, the baseline changed when it goes from
2		normal baseline to bradycardia. You're asking
3		me at those points, right?
4	Q	Did it change at any other time other than when
5		it was at bradycardia?
6	A	Not significantly. The baby's heart rate, if
7		it's between 110, 160 baseline, that's a normal
8		baseline.
9	Q	So the only time you believe that it changed the
10		baby's
11	A	Changed significantly when it went from normal
12		baseline to bradycardia. And it appears to be
13		at around 1920, 25. There appears to be a drop
14		to bradycardia.
15	Q	Is it that period of time that you're referring
16		to 1920 to 1925?
17	A	Starting at that period of time.
18	Q	Well, wait a minute. That's several minutes.
19		When are you saying the period of bradycardia
20		starts?
21	A	Well, I can't give you precisely because this is
22		an external monitor and it's not tracing the
23		fetal heart rate, but it appears the start of
24		it appears to be somewhere between 1921 and
25		1925. Some of the tracing is missing. And then

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		56
1		the baseline appears to be about 90 to 100 beats
2		per minute. From that time until it returns to
3		the baseline, the normal baseline, at around
4		1936.
5	Q	Is there another period of bradycardia that you
6		see, Doctor?
7	A.	And then at around 2100, the fetal heart rate
8		drops. And it appears to be a baseline of about
9		90 to 100 beats per minute.
10	Q	From 2100 until when, Doctor?
11	A	Until about 2118. And there appears to be
12		another episode starting at approximately 2143,
13		and the baseline is returned by 2150.
14	Q	Any other periods of bradycardia that you see?
15	A	No.
16	Q	Okay.
17		MS. ROLLER: Just let the
18		record reflect I've just put a hash mark on
19		those times that you've called out as when you
20		see fetal bradycardia.
21		MR. MISHKIND: And the record
22		should reflect that you're doing it independent
23		of you're doing things and he's telling you,
24		so it's not that you're working like a
25		well-tuned machine.
	1	

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		57
1		MS. ROLLER: So we'll make
2		sure that occurs.
3	Q	Doctor, you indicated uterine we'll go back
4		to uterine hyperstimulation.
5		You said it began at 1355. And I put a
6		"UT" there. Do you see that? Do you see the UT
7		in yellow?
8	A	Yes.
9	Q	Until 2005. And I put that down here with a
10		hash mark to indicate UT. Do you see that I've
11	-	just written that in yellow?
12	А	Yes.
13	Q	And then on 215 I'm sorry. 2115, another
14	-	hash mark down at the mother's tracings. Do you
15		see that?
16	A	Yes.
17	Q	And you said until the end, until 2245, correct?
18	A	Yes.
19	Q	And that's right at the very end. And I put
20		another UT there, correct?
21	A	Yes.
22	Q	And then of course with the fetal bradycardia,
23		just to go through it, you said it began at 1921
24		through 1936. And it began somewhere between
25		1921 and 1925. And I put a yellow hash mark

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		58
1		right there, correct?
2	A	Yes.
3	Q	Indicating it went from that period through, as
4		you indicated, 1936?
5	A	Yes.
6	Q	Okay. And then the next time was 2100. And we
7		put that at the top of the strip to indicate
8		that period of time, correct?
9	A	Yes.
10	Q	To 2118. I put a line there to indicate the
11		ending point
12	A	Yes.
13	Q	for that period.
14		And then the last one was 2143 through
15		2150 you indicated.
16	A	Yes.
17	Q	Okay. So does Exhibit A excuse me. Exhibit
18		B reflect the period of uterine hyperstimulation
19		and fetal bradycardia that you see in this
20		tracing
21	A	Yes.
22	Q	as indicated by the yellow markings on it?
23		Other than the tracings, the fetal heart
24		rate tracings, do you have any other evidence of
25		cord compression?

		59
1	A	I believe there's some notation of the fetal
2		heart rate dropping in the nurse's notes and in
3		the progress notes.
4	Q	But my question, I guess, relates not so much as
5		to other notations of the fetal heart rate
6		tracings, but other than the fetal heart rate
7		tracings and notations about the fetal heart
8		rate tracings, do you have any other independent
9	-	basis for your opinion that there was a cord
10		compression?
11	A	Other than my knowledge, my training, my
12		experience, no.
13	Q	Okay. Regarding the standard of care in this
14		case, who, in your opinion, breached the
15		standard of care owed to Kelly Fiktus and/or
16		Jacob?
17	A	The attending staff. And that would be Dr. Kiwi
18		and Dr. Loret de Mola. The resident staff,
19		which would include Dr. Wang, Dr. McHugh, and
20		the labor and delivery nurses attending to the
21		patient during the relevant period of time.
22		That's, in my opinion, from 1:00 in the
23		afternoon of delivery until time of delivery.
24	Q	I'll get back to ask you specific questions
25		about them. But let me ask you in general, did

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1		Kelly Fiktus reach the active phase of the first
2		stage of labor?
3	A	If you it's hard to define in a patient who's
4		premature because she was 34-plus weeks. In a
5		term patient, once she reaches four centimeters
6		dilated, she is considered to be in active
7		phase.
8		To my knowledge, there's no defined
9		criteria for pregnancies which are not term, but
10		she did reach four to five centimeters dilated
11		in the afternoon of, I believe, the 24th.
12	Q	So your testimony is that she did reach the
13		active phase?
14	A	Yes.
15	Q	Let's be a little more precise. Can you tell me
16		when that was by the records in this case?
17		For convenience sake, I have an extra copy
18		of the labor flow sheet if you just
19		MR. MISHKIND: He's got it.
20	Q	You've got it?
21		MR. MISHKIND: Yes.
22	А	Yes.
23		MR. MISHKIND: What was your
24		question now?
25		MS. ROLLER: When did she
	1	

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1		reach the active phase?
2	A	Some time between, using the usual definition,
3		some time between 1935 and 2113.
4	Q	And you're basing that on the labor flow sheet?
5	A	Yes.
6	Q	Okay. Doctor, do you agree that the term
7		failure to progress is not a precise term?
8	A	Yes.
9	Q	When I say "ACOG", you know what I mean, right?
10		American College of Obstetrics and Gynecology?
11	A	Yes.
12	Q	ACOG has concluded the more practical
13		classifications are protraction disorder and
14		arrest disorder. Do you recognize those terms?
15	A	Yes.
16	Q	And protraction disorder means slower than
17		normal process and arrest disorder is cessation
18		of the process. Do you use those terms?
19	A	I have in the past, yes.
20	Q	Do you agree the woman must be in the active
21		phase of labor to diagnose either of those
22		conditions, either protraction disorder or
23		arrest disorder?
24	A	A term patient would have to be in active labor.
25	Q	What about a preterm patient?

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		62
1	A	I don't think it's well-defined.
2	Q	It's not well-defined?
3	A	No.
4	Q	So does that mean you cannot say one way or the
5		other as to whether a woman must be in the
6		active phase of labor if she is preterm in order
7		to diagnose her as having a protraction disorder
8		or an arrest disorder?
9	A	I don't think we know.
10	Q	Okay. Is it your testimony that Kelly Fiktus
11		had either a protraction or arrest disorder in
12		her labor in this case?
13	A	She had some type of obstructed labor, either
14		from a fetopelvic disproportion or from
15		dysfunctional uterine activity, or a combination
16		thereof.
17	Q	You said from cephalopelvic disproportion?
18	A	Fetopelvic disproportion.
19	Q	Excuse me.
20		Or from?
21	A	Or a dysfunctional uterine activity.
22	Q	But you would not call her labor pattern either
23		protraction or arrested. Fair to say?
24	A	I would say that.
25	Q	Do you see anywhere where Kelly Fiktus'

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	contraction pattern reached 200 Montevideo units
	for more than a ten-minute period?
A	I did not calculate that.
Q	Take your time, Doctor.
A	But I'm sure there are places that, if you count
	the boxes, it would meet that type of criteria.
	For example, not to belabor the point, but
	you can only do it once the pressure catheter
	had been placed.
Q	Let's take it after that point then.
A	And it was placed about four or 1600 in the
	afternoon. If you look at that part of the
	strip, starting at about 1603.
Q	All right.
A	It looks like, if you're just eyeballing the
	series of three or four uterine contractions, it
	appears that would meet 200 Montevideo units.
Q	For a ten-minute period?
A	Yes.
Q	So tell me specifically where you're referring
	to then.
A	From 1603, for the ten minutes thereafter.
Q	Okay. Any other time? I guess I should ask,
	Doctor, at a point after which she had reached
	four centimeters.
	Q A Q A Q A Q A Q A

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Let's go with any point after she reached 1 four centimeters, did she have 200 Montevideo 2 units for ten minutes or more? And as you said, 3 that period of time was 1935 to 2113. 4 Perhaps at 2116 and in a ten-minute window 5 Ά thereafter. 6 7 Until when, Doctor? Do you see it? Q From 2116 to ten minutes thereafter. So it 8 Α would be 2116 to 2126. But she's also having 9 uterine hyperstimulation and uterine hypertonus. 10 11 Any other period? 0 And if you look at 2202, probably until 2226, 12 A technically she would have had 200 units, 13 Montevideo units, but we have uterine 14 15 hyperstimulation and uterine hypertonus. So you're saying from 2202? 16 Q From 2202 until about 2226. Technically it's 17 А probably 200 units, but realizing she has 18 uterine hyperstimulation and uterine hypertonus 19 occurring at the same time. 20 What significance is that to you? 21 0 If the patient is having uterine 22 Α hyperstimulation and/or uterine hypertonus, it's 23 irrelevant concerning the issue of how many 24 Montevideo units are occurring. 25

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		65
1	Q	Because?
2	A	Because it's uterine hyperstimulation and
3		uterine hypertonus.
4	Q	And why does that negate the consideration of
5		the strength of the contraction measured by
6		Montevideo units?
7	A	Because the units are used to gauge if the
8		Pitocin is being effective and providing uterine
9		activity sufficient enough to have normal labor.
10		But in the presence of uterine hyperstimulation
11		and/or uterine hypertonus, the fact it's 200
12		units, it's nonsensical, because what's
13		important is the fact there's uterine
14		hyperstimulation and uterine hypertonus.
15	Q	All right. Is it your opinion that Kelly Fiktus
16		should have been delivered earlier by C-section
17		than she was?
18	A	It's my opinion that within the hour or so, I
19		believe I said around 2:00 in the afternoon, or
20		1400, when there appears to be uterine
21		hyperstimulation on the external monitor, the
22		doctors, the nurses, should have inserted the
23		internal monitors, they should have discontinued
24		the Pitocin and seen what occurred, or to affirm
25		there's uterine hyperactivity.
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I have no problem stopping the Pitocin at that point in time and restarting it at a much smaller dose. But if after each initiation of Pitocin, there's still hyperstimulation, hypertonus at, say, one milliunit or a half milliunit, this mother is not tolerating the Pitocin. Therefore, we have two options: One option is to allow her to labor spontaneously, second option would be to deliver by cesarean section.

In a premature baby at 34 weeks, we do not 11 want that baby's head pounded through the birth 12 canal with hyperstimulation and uterine 13 14 hypertonus because it can cause intraventricular 15 hemorrhage from trauma just from the excess uterine activity. It can cause the presence of 16 17 Bandl retraction ring. It could cause a nonreassuring fetal heart rate tracing either 18 19 from cord compression or uteroplacental insufficiency. It may cause problems to the 20 mother, may cause rupture of the uterus because 21 of hyperstimulation, uterine hypertonus. All 22 those things are foreseeable. So if she could 23 not spontaneously labor on her own, then it may 24 have been necessary to do a cesarean section. 25

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1	Q	Okay. Thank you for all that, and I do
2		appreciate that. You've said a lot. But I
3		still need to go back and get an answer to my
4		question.
5	A	See, I can't answer your question because the
6		doctors and the nurses did not do what the
7		standard of care required them to do.
8		If they had put the internal monitors in
9		at 2:00, it's my opinion that the uterine
10		hyperstimulation and uterine hypertonus would
11		have been affirmed, and the doctors and nurses
12		had two options at that period of time: Stop
13		the Pitocin, restart it at a much slower dose
14		and see what happens. If it reoccurs, then the
15		decision tree would either be to don't use
16		Pitocin or do a cesarean section.
17		But since they didn't do what the standard
18		of care required them to do, you know, I have to
19		make my opinion based upon that period of time.
20	Q	Now, there was a point in time when an IUPC was
21		inserted?
22	A	Yes. At 1600. Which was about three hours
23		after Pitocin was started.
24	Q	All right. It seems that your opinion here is
25		based upon the absence of an IUPC, that you said

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I'm not able to say -- you're not able to answer 1 my question when I asked you should Kelly have 2 been delivered earlier. You said I can't say 3 because she didn't have an IUPC in when she 4 should have. 5 Α Yes. 6 Once she had the IUPC inserted, is it your 7 0 opinion that Kelly Fiktus should have been 8 delivered by C-section earlier than she was? 9 Same thing. At that point in time, when they 10 А insert the pressure catheter, they should have 11 also inserted a fetal scalp electrode, they 12 should have stopped the Pitocin. They could 13 restart the Pitocin in a much lower dose. But 14 15 if hyperstimulation and/or hypertonus recur at a much lower dose, two decision points, again, 16 either labor, no Pitocin; or do a C-section. Ιt 17 has to be one or the other. 18 At that point in time when the decision --19 0 you're saying you would have two options: Labor 20 without Pitocin or C-section? 21 At that point in time. 22 А What point in time are you referring to? 23 0 Well, you asked me when the pressure catheter 24 А was placed. I want to make it clear, I'm not 25

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saying if they did it at -- if they put the 1 pressure catheter in at 1600, and put the scalp 2 electrode in, and did what I say the standard of 3 care -- I'm not saying they met the standard of 4 care at that time. They should have done it 5 three hours earlier because we had three hours 6 of continuous hyperstimulation and hypertonus 7 that contributed to the development of the Bandl 8 9 retraction ring. Are you able to say, with any degree of 10 Q certainty, as to when injury occurred to Jacob 11 which resulted in his cerebral palsy? 12 13 When the retraction ring occurred. Α Are you able to say, with any degree of 14 Q certainty, as to when the retraction ring 15 occurred? 16 Well, I can say this with a fair degree of 17 А medical certainty. If the doctors and the 18 nurses would have inserted the internal monitors 19 at the appropriate time, no later than 2:00, 20 they would have determined that there's 21 hyperstimulation and hypertonus. And had they 22 acted accordingly, it's my opinion, even at that 23 point in time, the ring had not developed. 24 Now, the longer this process goes, the 25

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more likely the ring is going to develop. And because we don't have X-ray vision, I can't say exactly when the ring developed. You can't see inside the patient. But we know that it's a result of an obstructed labor, or excess uterine activity.

7 Q Doctor, I'm going to go back to my original question. You see the records before you as to what occurred in this labor, both with the mother's contraction pattern and the fetal heart tracing.

Based upon that evidence before you, is it your opinion that based on what was occurring, Kelly Fiktus should have been delivered, before the time she was, by cesarean section?
A I thought I answered that.

17 O I didn't get an answer.

18 A Let me -- I'll reiterate what I just said. The 19 standard of care for the doctors and the nurses 20 is to, at least by 2:00 p.m. in the afternoon, 21 to insert the pressure catheter and the fetal 22 scalp electrode. That's the standard of care.

> Had they done that, it's my opinion they would have seen that there is uterine hypertonus and would have affirmed what the external

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71 monitor showed, that there is uterine 1 hyperstimulation. 2 Seeing that, they should have discontinued 3 the Pitocin. And the option at that point in 4 5 time would have been to restart the patient on a 6 very low dose of Pit, or oxytocin; or deliver 7 her by cesarean section, or allow her to labor spontaneously without Pitocin. 8 9 All right. 0 The fact that they continued the Pitocin is what 10 А caused the pathologic retraction ring. Had they 11 did the appropriate things at 2:00, we would 12 never have gotten to the point in time that the 13 14 ring developed. Again, I appreciate what you're saying. And 15 0 you've added your analysis of causation with 16 respect to you think the Bandl ring occurred 17 after 2:00 and, therefore, none of this problem 18 would have occurred, in your opinion. 19 But, again, we see what did occur. You do 20 agree with me that the Pitocin was stopped and 21 was started and stopped three times. You agree 22 with that? 23 24 А Yes. Okay. So you've indicated that the IUPC and the 25 0

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		72
1		fetal scalp electrode should have been placed
2		earlier than what they actually were. That's
3		your testimony?
4	A	Much earlier, yes.
5	Q	But you do agree that a decision was made to
6		stop Pitocin for a period of time, the first
7		time. And let's go to that.
8		When is that first time? 13 or 1730.
9		Do you see that?
10	A	Yes.
11	Q	Okay. So the Pitocin is turned off at that
12		time, correct?
13	A	At 1730.
14	Q	And, by the way, let me just ask you a couple
15		questions about Pitocin while we're talking
16		about this topic.
17		Was it appropriate to start Kelly Fiktus
18		on Pitocin when she was first started at 1355?
19	A	Yes.
20	Q	Do you have any quarrel with the dose at which
21		she was started?
22	A	No.
23	Q	Agree that's a low dose?
24	A	Yes.
25	Q	And you agree that low dose regimens have been

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		73
1		associated with lower incidents of uterine
2		hyperstimulation?
3	A	Yes.
4	Q	And certainly each patient's reaction to
5		oxytocin augmentation is different. You would
6		agree with that, wouldn't you?
7	A	Yes.
8	Q	Once the administration of Pitocin is stopped,
9		how long usually does it take until the Pitocin
10		no longer has an effect?
11	A	Well, there's a half life, a very short half
12		life. But depends on the dose that was given at
13		the time and also depends on the individual
14		patient.
15		Technically, a half life is three minutes
16		but the activity may continue, the uterine
17		activity caused by the Pitocin may continue for
18		a much longer period of time after that.
19	Q	Do you agree that if it's necessary to
20	A RANGE AND A R	discontinue Pitocin it may be restarted once the
21	na nje na kontra na k	fetal heart rate and uterine activity return to
22		acceptable levels?
23	A	Yes.
24	Q	Do you believe there was a breach in the
25		standard of care regarding the administration of
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1		Pitocin in this case?
2	A	Yes.
З	Q	How, in particular?
4	A	I'm not critical of the fact that Pitocin was
5		initiated. I'm not critical of the initial
6		dose. But I am critical of the nurses and the
7		doctors continuing the Pitocin when there is
8		evidence of uterine hyperstimulation and even
9		later on uterine hypertonus.
10	Q	So the Pitocin was turned off at 1730, as we've
11		talked about. Was it inappropriate after 1730
12		to turn it back on?
13	A	Well, I'm not saying because they turned the
14		Pitocin off at 1730 they met the standard of
15		care.
16	Q	I understand. I understand.
17		But at 1730 it was turned off, correct?
18	A	It was turned off, but I'm not saying that met
19		the standard of care. It would have been turned
20		off much earlier than that.
21	Q	I understand.
22		But once it was turned off, do you have
23		I want to know what your thoughts are regarding
24		turning it back on, which was at 1830.
25	A	At 1830 they should not have restarted Pitocin.

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		75
1	Q	Why?
2	A	Because we have had hours and hours of uterine
3		hyperstimulation. We have had fetal bradycardia
4		episodes from that. The patient was not making
5		progress. This is a premature baby.
6	Q	Okay.
7	А	The better route is, and standard of care
8		mandates, that the patient be delivered by
9		cesarean section.
10	Q	I think we're getting back to the question that
11		I had originally asked you.
12		My question was: Based upon the records
13		you have in front of you, is it your testimony
14		that there was a point at which Kelly Fiktus
15		should have been delivered by C-section at a
16		different time than what she was?
17	A	There's a point, but the point is it doesn't
18		meet the standard of care. They should have
19		done it hours earlier.
20		MR. MISHKIND: You're right,
21		Doctor. But what she's saying is forget about
22		what they should have done earlier.
23		MS. ROLLER: Absolutely.
24		MR. MISHKIND: Is there a point
25		that a C-section should have been done given

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1		what they did or didn't do before that?	
2		MS. ROLLER: Right.	
3		MR. MISHKIND: Correct?	
4		MS. ROLLER: Right.	
5	Q	Based on this record.	
6	A	That's when they turned the Pitocin off the	
7		first time. It should not have been	
8		reinstituted. They should have delivered her b	У
9		cesarean section at that point in time.	
10	Q	All right. You had told me earlier that you	
11		feel that the options would have been to turn	
12		the Pit off and permit spontaneous labor or	
13		C-section; is that correct?	
14	A	Are we talking about at 2:00 or 1400 in the	
15		afternoon?	
16	Q	I want to talk about when they turned the	
17		labor the Pitocin off, which was at 1730.	
18	A	At that point in time the only option is to do	a
19		cesarean section.	
20	Q	Is it your testimony that a cesarean section	
21		should have been performed before 1730?	
22	A	Yes.	
23	Q	When do you think a cesarean section should hav	е
24		been done in this case?	
25	A	Well, I'll give you the same answer as I did	

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previously. At 1400 in the afternoon, they should have put the internal monitors in and more likely than not we would have seen hyperstimulation, hypertonus even if they restarted Pitocin at a very low dose.

And probably at that point in time, somewhere around 2:00, or maybe from 2:00 to 3:00, the decision should have been made to do a cesarean section. It depends on what showed up after they turned off the Pitocin and did those other things outlined earlier.

Q Okay. So that I understand, at that point in time you're saying the IUPC and the fetal scalp electrode should have been placed. When do you think the decision for C-section should have occurred?

In other words, if they had been inserted at the time you think they should have, which is about 2:00, what readings would you believe it would indicate the need for C-section at that time?
A If they did that at 2:00, they should have discontinued Pitocin and then they could have

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my opinion that even at a low dose, we would

restarted the Pitocin at a lower dose. But it's

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1		have a return of hyperstimulation and hypertonus
2		even if they gave her a half milliunit.
3		And at that point in time, and that could
4		have been at 2:30, or 1430 or 1500, they would
5		probably have seen a need to do a cesarean
6		section because recurrence of the
7		hyperstimulation and hypertonus.
8	Q	So it's your testimony that there was hypertonus
9		and hyperstimulation occurring at 2:00. If they
10		stopped the Pitocin, if they had the IUPC in,
11		the fetal scalp electrode in place and saw it
12		recur, a C-section should have been done at that
13		time?
14	A	Yes.
15	Q	Okay.
16	A	And the only other option is, as I said, they
17		could see if she would labor spontaneously
18		without the use of any obstetrical agent. But
19		more likely she probably would not have labored.
20	Q	How long would you have let her go, without
21		showing signs of progress, if she had labored
22		without Pitocin, stopping the Pitocin at about
23		2:00?
24	A	If there's no increased uterine activity and the
25		fetal heart rate is suitable, several hours.

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1 Q What's "several hours"? 2 A Two, three hours, see if she made any progres 3 Q Okay. 4 MS. ROLLER: Let's take a 5 break. 6 MR. MISHKIND: Take a	
3 Q Okay. 4 MS. ROLLER: Let's take a 5 break.	
4 MS. ROLLER: Let's take a 5 break.	ł
5 break.	a
6 MR. MISHKIND: Take a	
7 couple-minute break, Joe.	
8 (Recess taken.)	
9 MS. ROLLER: Can you read	ì
10 back the last question?	
11 (Record was read.)	
12 Q Doctor, Kelly Fiktus was a private patient o	on
13 Dr. Kiwi's service; is that correct?	
14 A Yes.	
15 Q And Dr. de Mola was part of that service?	
16 A That's my understanding.	
17 Q Who had the ultimate responsibility to make	the
18 decision of when to have a C-section on this	3
19 patient?	
20 A The attending physicians, either Dr. Kiwi or	
21 Dr. Loret de Mola.	
22 Q Could a C-section have been performed on Kel	ly
23 Fiktus without either Dr. Kiwi or Dr. de Mol	a's
24 approval?	
25 A Probably not.	

		80
1	Q	Okay. Does uterine hyperstimulation occur at
2		times in the absence of Pitocin?
3	A	Yes.
4	Q	What are some other reasons for the development
5		of uterine hyperstimulation in a patient?
6	A	Unknown etiology. Other drugs with oxytoxic
7		properties, methergine, cocaine.
8	Q	Does it occur without the use of any medication
9		at times?
10	A	Yes.
11	Q	How frequently does uterine hyperstimulation
12		occur in patients?
13		MR. MISHKIND: With or without?
14	Q	Let's break that down. Let's say first without
15		oxytocin, or oxytocin agents.
16	A	It would be very unusual.
17	Q	And can you give me any sense of how frequently
18		that would be other than to say "very unusual"?
19	A	Absent placental separation or abruption, it
20		would be very unusual to see uterine
21		hyperstimulation other than maybe an isolated
22		episode or two.
23	Q	And how about when a patient does take oxytocin
24		or is on oxytocin augmentation, how frequently
25		does uterine hyperstimulation occur?

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1	A	I don't think there's any dose. Frequently it's
2		dose-dependent, patient-dependent.
3	Q	You would agree with me at all times Kelly
4		Fiktus was on a low dose of Pitocin?
5	A	Yes.
6	Q	How do you know that Kelly Fiktus' uterine
7		hyperstimulation wasn't caused by the by a
8		naturally occurring Bandl ring?
9	A	Because prior to the initiation of Pitocin, on a
10		strip it will not appear to be uterine
11		hyperstimulation.
12	Q	Do you have any other reason other than that?
13	A	Well, more less cause and effect. A drug is
14		initiated, it's known to increase uterine
15		activity. And a complication of administration
16		of Pitocin is uterine hyperstimulation or
17		hypertonus. I mean, it's pretty clear.
18	Q	You would agree that a Bandl ring can occur in
19		cases where the patient has not been taking
20		Pitocin?
21	A	Yes.
22	Q	And do you have any knowledge about the rate of
23		incidents for that situation?
24	A	I have not seen any rates quoted.
25	Q	One way or the other, whether a Bandl ring

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1		how frequently it occurs when a patient has
2		taken Pitocin as opposed to when the patient
3		hasn't taken Pitocin, do you have knowledge or
4		information on either one of those categories?
5	A	Well, only what's inferred in that.
6	Q	That one article?
7	A	That one article I gave you that is associated
8		with use of Pitocin.
9	Q	That was one of four situations where it has
10		been thought to occur?
11	A	Yes.
12	Q	I'm just going to run down some things, Doctor.
13		Do you agree that transient and repetitive
14		episodes of hypoxia, a hypoxic event at the
15		level of the central nervous system, are
16		extremely common during normal labor and are
17		generally well tolerated by the fetus?
18	A	Yes. Let me just clarify. Are you talking
19		about a term fetus?
20	Q	You would agree with that with respect to a term
21		fetus?
22	A	Yes.
23	Q	What about with a preterm fetus?
24	A	Preterm fetuses are, in general, more
25		susceptible.

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1	Q	With respect to that topic that I just
2	-	described, can you put any figures or numbers or
3		percentages on that?
4	A.	No. I think the literature just seems to
5		indicate they're more susceptible, more
6		susceptible than term infants, for example.
7	Q	Would you agree that each time you made
8		reference to bradycardia occurring in the fetal
9		heart rate tracings for Jacob Fiktus, that
10		subsequent to those episodes, accelerations in
11		the fetal heart rate were seen?
12	A	Yes.
13	Q	And the accelerations in the fetal heart rate
14		are virtually always reassuring and almost
15		always confirm the fetus is not acidotic at the
16		time?
17	A	Yes.
18	Q	Do you agree that an acceleration before or
19		after a variable deceleration is seen only when
20		the fetus is not hypoxic?
21	A	That's a controversial point. I have seen
22		literature that suggests that elevation in the
23		fetal heart rates are reassuring with a variable
24		deceleration. And I've seen articles that say
25		they are not reassuring.

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1	Q	So it's controversial?
2	A	Yes.
3	Q	Going back to what we were saying, I just want
4		to make sure, again, I understand your
5		testimony.
6		From looking at the tracings, is there any
7		point in time when you believe, just looking at
8		the tracings, that a physician should have
9		determined that an immediate C-section was
10		warranted?
11	A	Just looking at the tracings?
12	Q	Yes.
13	A	And not looking at any other factors?
14	Q	Yes, sir.
15	A	No.
16	Q	Then let me ask you the same thing with respect
17		to the contraction pattern shown for Kelly
18		Fiktus.
19		Is there any point in time, looking at
20		that contraction pattern, that you believe that
21		a physician should have said, "We need to have
22		an immediate C-section"?
23	A	Just looking at contraction pattern?
24	Q	Yes, sir.
25	A	No.

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1	Q	A fetal scalp electrode was placed in this
2		patient, correct?
3	A	Yes.
4	Q	What is it about the evidence in this chart that
5		you believe that it should have been placed
6		earlier, the fetal scalp electrode?
7	A	It's my opinion, when Pitocin is being given,
8		that the fetus needs to be monitored directly,
9		when feasible, with a scalp electrode, and the
10		uterine activity should be monitored with
11		intrauterine pressure catheter, particularly
12		when there is evidence of uterine
13		hyperstimulation or uterine hypertonus. Because
14		in those situations, the baby is more likely to
15		show nonreassuring heart rate patterns. The
16		most precise way of determining if a fetus is
17		not hypoxic is to look at a short-term
18		variability, which only can be looked at using a
19		fetal scalp electrode.
20	Q	With respect to the management of this patient's
21		labor, is there any particular text that you
22		would rely on to support the opinions you've
23		stated with respect to, for instance, monitoring
24		the fetus, fetal scalp electrode, placement of
25		the IUPC?
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1	A	I think probably Williams Obstetrics had a
2		chapter or so on fetal monitoring that would
З		support it. Probably the one I cited to you
4		from Awhonn, A-W-H-O-N-N, the Fetal Heart
5		Monitoring Privileges, Principles and Practices
6		would also have information concerning that
7		particular issue.
8	Q	That was A-W-H-O-N-N?
9	A	Yes.
10	Q	How about with respect to management of the
11		uterus when uterine hyperstimulation and
12		hypertonus occurs, what text would you rely on
13		for guidance?
14	A	Probably the same ones that I gave you, plus
15		there may be several ACOG technical bulletins on
16		that point.
17	Q	Okay.
18	A	There's an ACOG technical bulletin on induction
19		of labor, there's a bulletin on fetal
20		monitoring. Those would be two additional
21		sources.
22	Q	I think you've already stated that you do not
23		believe that Jacob Fiktus, as a fetus, was
24		exhibiting signs of hypoxia which were
25		sufficient to indicate an intrapartum event

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1		capable of causing cerebral palsy?
2	A.	That's correct.
3	Q	And you've also said that you do not believe
4		that there was evidence from the fetal heart
5		rate tracings to indicate a prior need for
6		C-section until the time the C-section actually
7		did occur?
8		MR. MISHKIND: Objection.
9	A	I believe you asked me to look in isolation only
10		at a fetal heart rate tracing, just looking at
11		heart rate tracings, nothing else. It's my
12		opinion there was no indication to do a
13		C-section other than when it was done. That he
14		was out a lot of other findings.
15	Q	Specifically the contraction pattern?
16	A	That, plus the fact that we're dealing with a
17		premature baby. And the other historical risk
18		factors previously, premature baby, patient had
19		been in the hospital for 24 hours prior to
20		initiation of Pitocin. All these things.
21	Q	But you would agree with respect to determining
22		the timing of a C-section, you do not consider
23		time as a factor until the patient has reached
24		the active phase of labor?
25	A	No, that's not necessarily true. You have to

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88 look at the fetus -- you can have fetal strips 1 and the patient's only two centimeters dilated. 2 3 You can have a nonreassuring heart rate tracing 4 and a patient not even in labor. 5 I appreciate that. 0 So let's take the situation other than 6 7 showing a fetal heart rate tracing that is 8 nonreassuring. You don't consider time as a 9 factor for having a C-section until the patient 10 has reached the active phase of labor? In general you're asking me? 11 А 12 0 In general. In general, no. But you have to look at 13 Ά specific cases, for example. 1415 Was that in general, no, or were you agreeing 0 with me? 16 17 In general, that's true. А 18 Okay. 0 19 Ά But under certain other specific situations, like prolonged premature ruptured membranes, you 20 don't want to wait too long; patient gets 21 22 infected, if there's a breech presentation in the patient in labor, you don't want to wait 23 hours and hours before you do the C-section. 24 I mean, those specific situations. 25

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1	Q	Did any of those occur here?
2	A	We did have premature ruptured membranes. And
3		as time was passing she ruptured in the
4		morning, but we wouldn't want her to have
5		prolonged ruptured membranes more than 18 hours
6		because then the fetus would be at increased
7		risk of an infection.
8	Q	There was no indication of infection, though,
9		was there?
10	A	No.
11	Q	I don't need, then, to ask you about ACOG's
12		recent report regarding the four criteria for
13		that establishes four criteria were
14		established for causation from hypoxia and an
15		intrapartum event as the cause of cerebral
16		palsy.
17	А	That's not applicable here.
18		MR. MISHKIND: Saved an entire
19		page of questions.
20		MS. ROLLER: It certainly
21	****	did. It certainly did.
22	Q	I am cutting out a lot, so let me just take a
23		moment here, Doctor.
24		Do you have criticisms, specific
25		criticisms of the residents in this case,

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Dr. Wang, Dr. McHugh?

The same criticisms that I have for the nurses 2 Α and the attendings also apply to the residents. 3 Remember I told you the nurses and the doctors, 4 the attending physicians, have independent 5 duties? Also the residents have an independent 6 7 duty to the patient. All right. And specifically, then, so that 8 Q before I leave I know what you are specifically 9

10 saying how they breached the standard of care, 11 tell me how the residents, and I understand 12 you're saying it's the same for the nurses and 13 the attendings, tell me --

14 A Same thing. I mean, to save time, it's exactly15 the same thing.

At 1400 in the afternoon, the residents
who were following this patient, Dr. Wang,
Dr. McHugh, should have realized that there's
hyperstimulation and did the appropriate
maneuvers, the application of internal monitors,
all of that that I went into great detail -Q Yes.
A -- prior.
Q You think the IUPC and the fetal scalp electrode
should have been placed?

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1	A	Yes.
2	Q	And it would have led to the scenario
3	A	And they should also discontinued the Pitocin.
4	Q	Okay.
5	A	And then with the residents, they also had a
6		duty to report to their superiors, which would
7		be Dr. Kiwi, Dr
8	Q	De Mola.
9	A	de Mola.
10	Q	Do you have any evidence at all that the nurses
11		and/or residents did not report their findings
12		to one of the attending physicians?
13	A	No. The attendings were in-house.
14	Q	At one point we know Dr. Kiwi left and
15		Dr. de Mola took over?
16	A	Yes.
17	Q	Okay. Have you told me all of the conduct in
18		this case that, in your opinion, breached the
19		standard of care?
20		MR. MISHKIND: Let me just show
21		an objection to the general question. I think
22		he's answered your question let me just
23		finish. I'm not saying that he hasn't given you
24		the answers because every conduct, I mean, there
25		may be some derivation that I ask, but note my

objection.

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You can go ahead and answer the question. Q Before I leave, I want to know what you're saying the nurses and residents did that breached the standard of care.

And you've told me that at 1400 they 6 7 should have done some things that were not done, specifically placed the IUPC and the fetal scalp 8 electrode. And, as a result, you think certain 9 things would have happened. And you have not 10 told me anything more specifically with respect 11 to their conduct afterward. 12 Well, objection. MR. MISHKIND: 13 I don't think that's quite an accurate 14 15statement, but go ahead. I say that to the extent I am not aware of any 16 0 17 other specific conduct after 1400 that you are 18 critical of other than the fact they didn't do 19 certain things back at 1400 hours. MR. MISHKIND: Objection. 2.0Go ahead. 2.1 Ο I think I gave you all my opinions concerning 22 Α 23 that. All right. Well, Doctor, if you have any new or 24 0

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modified opinions between now and trial, I'd ask

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93 that you notify Attorney Mishkind so he can 1 communicate those to me. Will you do that? 2 3 А Yes. Otherwise, is it fair for me to assume that the 4 0 5 opinions you expressed here today cover the 6 opinions you will express at trial? 7 А Yes. 8 0 All right. Joe, with that. 9 MS. ROLLER: Joe, before you MR. MISHKIND: 10 11 begin --MR. FARCHIONE: Yes. 12 First, I want to MR. MISHKIND: 13 14 make sure you're still there, and you are, 15 correct? Yes, sir, I am. MR. FARCHIONE: 16 Let me just note MR. MISHKIND: 17 on the record that obviously I have not had an 18 opportunity to depose Dr. Kiwi. And I don't 19 know, even as we're sitting here now, whether we 20 21 have a date and time firm between our offices. 22 MR. FARCHIONE: We do. MR. MISHKIND: I'm sorry? 23 MR. FARCHIONE: We do. 24 25 MS. ROLLER: Could you tell

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94 me when that is? 1 MR. FARCHIONE: I don't know off 2 the top of my head. My computer is off. 3 MS. ROLLER: The reason --4 and I do want this on the record. I have asked 5 that I be kept in the loop on the timing of 6 these depositions. Dr. Weinstein's deposition 7 was set at a time that I am not available. Ιn 8 that situation, being as it is, I can send 9 someone else, but Dr. Kiwi's, I must be present. 10 MR. MISHKIND: Do you know when 11 that is, Joe? All I knew was that --12 MR. FARCHIONE: Let's just get 13 to the point of it so we can get the doctor out 14 of there. 15 MR. MISHKIND: Sure. That's 16 fine. I'm just noting an objection on the 17 record. And to the extent that when I take his 18 deposition, if there's additional information 19 that I gather from the deposition, which 20 obviously I've not been able to provide to 21 Dr. Cardwell, to the extent that it modifies or 22 adds to his opinions, you'll be the second 23 person to know, okay? 24 MR. FARCHIONE: Well, I'll just 25

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1 put on the record also that it was my 2 understanding Dr. Kiwi was not going to be in 3 the case and, in fact, Dr. Cardwell's report has no criticism of Dr. Kiwi. So right now is the 4 first time that I heard criticism of Dr. Kiwi in 5 this case. So we'll explore that with the 6 7 doctor right now. MR. MISHKIND: But, Joe, I 8 9 think you would agree that I have been 10 requesting Dr. Kiwi's deposition for quite some 11 time. 12MR. FARCHIONE: I would agree 13 with that. And you would also agree you've indicated that the criticism was going to be 14 after Dr. Kiwi left and not before. And that's 15 16 reflected in Dr. Cardwell's report. 17 Well, it all MR. MISHKIND: depended upon what I learned from Dr. Kiwi as to 18 19 whether or not he was or was not going to be a party at the time of trial, and I've indicated 20 21 that to you. 22 EXAMINATION OF MICHAEL S. CARDWELL, M.D. 23 BY MR. FARCHIONE: 24 Dr. Cardwell, this is Joe Farchione. Can you 0 25 hear me okay?

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1	A	Yes.
2		MR. MISHKIND: Joe, are you
3		there?
4		MR. FARCHIONE: I'm waiting to
5		hear from the doctor.
6		MR. MISHKIND: He said yes to
7		your question.
8		MR. FARCHIONE: I said, Why is
9		there no criticism in his report of Dr. Kiwi?
10		MR. MISHKIND: Oh. We didn't
11		hear that, and the court reporter didn't hear it
12		either.
13		MR. MISHKIND: You must have
14		blanked out.
15		MR. FARCHIONE: I'll do it this
16		way.
17	Q	Doctor, can you hear me okay?
18	А	Yes.
19	Q	Here's the question, Doctor. Why is there no
20		criticism of Dr. Kiwi in your report?
21	A	At the time I did my report, October 23rd, I did
22		not have his deposition available to me.
23	Q	You did not have his deposition and, therefore,
24		you had no criticism of him?
25	A	At that point in time.
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1	Q	So I take it that it would be fair to state,
2		based on the medical records, what you did
3		review, at that time you had no criticism of
4		Dr. Kiwi?
5	A	No. At the time I was not certain of when the
6		various doctors switched, as far as care of the
7		patient is concerned. I think the medical
8		records are more or less I'm not saying
9		incomplete, but the medical records did not
10		indicate when the shift from Dr. Kiwi or
11		Dr. de Mola occurred.
12	Q	Well, you read Dr. de Mola's deposition, did you
13		not?
14	A	Yes.
15	Q	Did you read it in its entirety?
16	A	Yes.
17	Q	Read it carefully?
18	A	I read it carefully, but I also read six other
19		depositions and I don't have them all memorized.
20	Q	I understand.
21		But there were two attendings involved in
22		this case, correct?
23	A	Yes.
24	Q	You understood that at the time you wrote your
25		report, correct?

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		98
1	A.	Probably not completely because I did not have
2		the other attending, Dr. Kiwi's, deposition.
3	Q	How do you know there were two attendings today
4		then?
5	A	Because since that time I've been provided other
6		depositions and other medical records, and a
7		re-review indicated to me that, after looking at
8		all these other materials, that Dr. Kiwi was
9		involved until he left, I believe, some time in
10		the afternoon, 5:00 or so.
11	Q	Well, Doctor, in your report of October 23,
12		2002, you say you've reviewed various
13		depositions, correct?
14	A	Yes.
15	Q	What depositions, what various depositions did
16		you review?
17	A	I believe I reviewed, at the time, the
18		deposition of Mary McHugh, M.D., Dr. de Mola,
19		and I believe the and Dr. Wang, and maybe the
20		nurses.
21	Q	Just a second. Dr. Kiwi is mentioned 11 times
22		in the deposition of Dr. McHugh. Did you miss
23		the 11 times that he was mentioned in there?
24	A	As I said, I don't recall specifically every
25		deposition. I read all the information. I read
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-		99
1		several lots of depositions.
2	Q	Well, obviously it would be very important to
3		know who the attending physicians were in this
4		case, would it not?
5	A	Yes. But it's obvious the purpose of this
6		discovery deposition is to get all my opinions.
7		And I am not held to the opinions in my letter
8		of October 23rd, true?
9	Q	Is that a legal opinion or a medical opinion,
10		Doctor?
11	A	Take it either way you want to.
12	Q	Well, Doctor, I took it you were asked to write
13		a report that reflected all of your opinions in
14		the case, correct?
15	A	All my opinions that I had at that point in time
16		with the materials I was asked to review.
17	Q	And we can certainly agree that Dr. Kiwi is not
18		mentioned in the October 23, 2002 report,
19		correct?
20	A	Yes.
21	Q	Have you filed or given Mr. Mishkind a
22		subsequent report that talks about your
23		criticism of Dr. Kiwi?
24		MR. MISHKIND: Joe, I'll
25		stipulate he hasn't done that. The report says

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1		what it does, and I do not have any supplemental
2		report from him.
3		MR. FARCHIONE: Okay.
4	Q	Doctor, when did you develop this opinion about
5		Dr. Kiwi?
6	A	Probably after I wrote my October 23, 2002
7		letter.
8	Q	When after the October 23, 2002 letter?
9	A	I can't be more specific than that.
10	Q	What other information did you obtain that
11		caused you to think, Wait a minute, there's a
12		Dr. Kiwi involved in this?
13	A	I received other depositions, as I mentioned,
14		prior to that, and other medical records.
15	Q	What other depositions did you read or
16		receive, rather?
17	A	The plaintiffs, Dr. Friedman. Those.
18	Q	And it was from those depositions that you found
19		out there was a Dr. Kiwi involved?
20	А	No.
21	Q	Where did you get the information about
22		Dr. Kiwi?
23	A	What information?
24	Q	What I'm trying to find out what stimulated your
25		mind to think about Dr. Kiwi, or what brought to
	1	

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		101
1		your attention that there was a Dr. Kiwi
2		involved following the October 23, 2002 letter
3		from
4	A	From my review of additional materials and
5		re-review of the records.
6	Q	The re-review of the records and review of
7		additional materials. The additional materials
8		were the depositions of the parents, correct?
9	A	Yes.
10	Q	Dr. Friedman?
11	A	Yes.
12	Q	Was it based on the review of those depositions
13		that you found out that Dr. Kiwi was involved?
14	A	No.
15	Q	What subsequent records?
16	A	I received records, including letters, from
17		Dr. Friedman, records of the baby after
18		delivery.
19	Q	So could you tell me which one of the records
20		you were going through is the one that told you
21		that Dr. Kiwi was involved?
22	A	Well, I can't tell you that. I knew Dr. Kiwi
23		was involved. I didn't have his deposition.
24		When I did my preliminary report of October
25		23rd, I did not include him. Subsequently,

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1		after reviewing the records and reviewing the
2		records and reviewing the other materials, I
3		came to a conclusion that he is also negligent.
4	Q	What is your understanding, based on the
5	4 	records, then, of Dr. Kiwi's involvement?
6	A	He was her attending physician until about 5:00
7		or 5:30, 1700, 1730 the day of delivery.
8	Q	And your opinion, as related to standard of
9	2	care, specifically for Dr. Kiwi, is what?
10	A	More or less exactly what I told the other
11		attorney, but I will reiterate.
12	Q	You don't have to reiterate. It's the same
13		thing you already said?
14	A	Yes.
15	Q	At the time you had specified before, measures
16		should have been taken to stop the Pitocin and
17		monitor the child more closely with a fetal
18		scalp electrode?
19	A	At 2:00, or 1400 in the afternoon, yes.
20	Q	Doctor, if this child had been delivered at
21		10:00, do you have an opinion, to a probability,
22		whether the outcome would have been different?
23	A	10:00 p.m. that night?
24	Q	Yes.
25	A	In my opinion, the outcome would have been the

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103 1 same. If this child had been delivered at 9:00 p.m. 2 Q that night, would the outcome have been 3 different, to a probability? 4 Probably the same. 5 A As we go back, is there a point in time where 6 Q 7 you can state, to a probability, that the outcome would have been different? 8 No. Because as I told the other attorney, it 9 Α takes a period of time, and it is progressive, 10 for the Bandl retraction ring to develop and to 11 have the adverse effect on the fetus. 12 So if I were to ask you at 5:00 p.m., Doctor, to 13 Q a probability, if the child was delivered right 14 then and there at 5:00 p.m., would the outcome 15 have been different, you would not be able to 16 17 answer that question? 18 Α That's correct. 19 At 4:00 p.m., if I were to ask you, to a 0 20 probability, would the outcome be different, you 21 would not be able to answer that question? 22 А You're correct. What about 3:00 p.m., Doctor, to a probability, 23 Q would the outcome have been different if the 24 child had been delivered at that time? 25

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		104
1	A	In my opinion, yes. And I said this previously.
2		I said if the baby was delivered by a C-section
3		within a couple hours after the initiation of
4		the hyperstimulation and the hypertonus, more
5		likely than not there would not have been a
6		Bandl retraction ring.
7	Q	So it's that two-hour window, between 2:00 in
8		the afternoon and 4:00 p.m. in the afternoon,
9		where we reach that point of no return?
10	A	No. That's not what I said. All I said is if
11		the patient was delivered by C-section by that
12		time,
13	Q	Right.
14	A	it's my opinion that it would not have been a
15		retraction ring. That's all I can say.
16	Q	You can't say whether the outcome would have
17		been different?
18	A	If the baby had been delivered by $3:00$ or $4:00$,
19		the outcome would have been different. I can't
20		say after that time, however.
21	Q	Okay. After 4:00 you can not say, to a
22		probability, if this child had been delivered by
23		section we would have a normal child today?
24	A	That's correct.
25	Q	What was Dr. Kiwi's, at least based on the

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		105
1		records and the depositions you've read,
2		involvement between 2:00 and 4:00?
3	A	He was the attending.
4	Q	Do you know, based on these records, if he had
5		any involvement directly with the patient during
6		that time frame?
7	A	Well, he was in-house at the time, so I assume
8		he had direct involvement. It was a private
9		patient.
10	Q	Well, I understand that. But if you have a
11		private patient and you're in-house, are you
12		required to see that patient every half hour?
13	A	No.
14	Q	You can rely on the residents and nurses to
15		report abnormalities that are going on?
16	A	Well, you can delegate that responsibility, but
17		ultimately you are responsible.
18	Q	Sort of the captain-of-the-ship argument?
19		MR. MISHKIND: Objection.
20	A	No. A delegation argument. If you're going to
21		delegate the responsibility, which is
22		appropriate, you also take the responsibility of
23		any of the outcome.
24	Q	So let's say you examine a patient at,
25		hypothetically, 1:00 and you leave to make

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		106
1		rounds. The nurses are keeping track of what's
2		going on but they miss a pattern of late
3		deceleration. You come back at 4:00, see the
4		late deceleration and order cesarean section.
5		Are you, as the obstetrician, responsible
6		for the nurse's failure to see the late
7		decelerations?
8	А	Am I in-house or do you have to leave the
9		hospital?
10	Q	You're doing rounds in the hospital.
11	A	If I'm in the hospital, I would be responsible.
12		If I'm not, I would not unless there was
13		something pre-existing.
14	Q	How can you be responsible just because you're
15		in the house, if you're off making rounds
16		between 1:00 and 4:00, and the nurse fails to
17		pick up a pattern of late decelerations?
18	A	Because Dr. Kiwi was the
19	Q	I'm talking in general. I'm talking in general.
20	A	It depends if you are required to be in the
21		house. If you are paid to be in the house or if
22		you are to supervise residents in the house, you
23		are responsible for things that occur during
24		your watch.
25		If you're a private attending and you're

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1		not required to be in the house, you can rely
2		upon the nurses and the residents to give you
3		adequate information, assuming there was no
4		pre-existing, nonreassuring event occurring, to
5		your knowledge, prior to your leaving the
6		hospital.
7	Q	And prior to 2:00, we do not have any such event
8		in this case, do we?
9	A	No.
10	Q	Now, this was a private patient of Dr. Kiwi and
11		his group, correct?
12	A	Yes.
13	Q	So in terms of relying on the nurses, if I
14		understood what you just said, he would have the
15		ability to rely on the nurses and if an error
16		was made by the nurse, which I'm not saying one
17		was, but if an error was made, then Dr. Kiwi
18		would not automatically be responsible for that?
19	A	No. That's not what I said previously.
20		Dr. Kiwi was the in-house physician. He was
21		required to be in-house until 5:00, 5:30 or so.
22	Q	Okay.
23	A	He would then be responsible. It's a different
24		situation.
25	Q	I guess I don't understand. Are you saying that

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108 an attending, in order to be on the safe side, 1 2 would have to be with the patient the entire time she is in labor? 3 4 А Let me explain it to you again. It's one No. thing to be a private attending and have a 5 private patient and not be directly responsible 6 for supervising their in-house residents as 7 opposed to being required to be in-house for a 8 specified time period. That's two different 9 10 situations. Well, is it your understanding that Dr. Kiwi or 11 Q 12 Dr. de Mola were supervising the residents during this time frame? 13 14 А Yes. So it's your position that whether they're 15 Q standing there at bedside, or they're delivering 16 17 another patient, or checking on another patient, 18 whatever happens in that room is their 19 responsibility? 20 Yes. Α 21 So if they're delivering another patient and a 0 22 resident or a nurse breaches the standard of 23 care, then automatically Dr. Kiwi or Dr. de Mola 24 would be below standard of care? 25 Α Well, you have to give me more specific

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information. I mean, there can be a blatent 1 violation of a standard of care by a nurse or 2 the resident which is outside the usual conduct 3 of medical care in which the attending certainly 4 5 wouldn't be responsible for. Well, let's say failure to recognize patterns of 6 0 7 late decelerations. Dr. Kiwi or Dr. de Mola are performing a delivery that takes about an hour, 8 which I believe is what it took you to get to 9 the hospital and back here today, if they're 10 11 doing a delivery during that time frame and the nurse fails to pick up a pattern of late 12 13 decelerations, or a resident fails to pick up a pattern of late decelerations, are Dr. de Mola 14 15 and/or Dr. Kiwi automatically responsible for 16 that? 17 A Yes. Doctor, do you deal with residents? 18 0 19 А Yes. 20 How often? 0 I have one assigned to me every day, same one 21 Α for a month; and my office, and they also go to 22 the hospital to help me do deliveries, 23 C-sections, or other surgical procedures. 24 25 Do any of the lawsuits that have been filed Q

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110 against you involve allegations of the residents 1 2 also, negligence for the residents also? MR. MISHKIND: Objection. 3 I can't tell you one way or the other. 4 Α For Dr. de Mola, what is your understanding of 5 0 his involvement with the patient? What 6 7 specifically did he do? He became the attending after Dr. Kiwi went off 8 Α call. 9 10 Okay. Do you have any criticism of him, his 0 conduct when he arrived at 1940, took a look at 11 the strips and what he did following that? 12 Well, when he came on duty, he should have 13 А realized, after reviewing the strip and the 14course of the patient in labor, that Pitocin 15 16 should have been discontinued and the patient should have been delivered by cesarean section. 17 That wasn't my question. My question was: 18 Do 0 19 you have criticism of what he did from 1940 on? 20 I thought I gave you my criticism. A I want to make sure that it's absolutely clear, 21 0 Doctor. From 1940 on, you have no criticism of 22 what Dr. de Mola did? 23 Objection. Ι 24 MR. MISHKIND: think he's just answered it, Joe. 25

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111 MR. FARCHIONE: He's not 1 2 answered that question directly, Howard. I'm sorry? 3 MR. MISHKIND: MR. FARCHIONE: He's not 4 answered that directly. He's criticized him for 5 5:00 when he came on. I'm asking from 1940 on. 6 I want to make sure he has no criticism of that. 7 8 I'll reask the question. Doctor, do you have any criticism of what 9 0 Dr. de Mola did from 1940 on? 10 11 Yes. Α What is that criticism? 12 0 Well, first of all, he came on call, I believe, 13 А and you can correct me, around 5:00 or 5:30 in 14 15 the afternoon; is that right? That's not my question, Doctor. 16 0 MR. FARCIHONE: Could the court 17 reporter please repeat that? I'm concerned with 18 the time frame of 1940 on. 19 20 I'm trying --A 21 MR. FARCHIONE: So, Court 22 Reporter, can you please repeat it? I know what the question is. I'm trying to 23 А 24 answer the question very clearly. He violated 25 the standard of care when he came on duty and

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112 it's a continuing violation up until the time 1 the patient is delivered. 2 At 1940 he comes in. What action does he take, 3 Q Doctor? 4 MR. MISHKIND: You can take a 5 look at the record if you want to. 6 7 At 1940 he comes in, examines the patient, he А places a fetal scalp electrode. 8 That was standard of care to do that? 9 0 10 At that time? А Well, I understand you think it should have been 11 Q 12 earlier, but given he put it on, at that time 13 that was appropriate to do that? 14 Α Yes. 15 Go ahead. 0 16 A But he should have done it when he came on call. Continue on, Doctor. 17 Q 18 Α You asked me a question in the meantime. What 19 was the original question? 20 0 The original question was: What is your understanding of what Dr. de Mola did from 1940 21 22 on? At 1940, just to reiterate, he placed a scalp 23 А 24 electrode. He, I guess, approved that there was 25 an epidural. There was conversation of an

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113 amnioinfusion but it was never done. And he was 1 going to monitor the patient carefully. 2 A little later on, the fetus had episodes 3 of bradycardia. Dr. de Mola did a scalp pH and 4 5 his plan was to proceed with amnioinfusion and low dose Pitocin. 6 7 When he did a scalp pH and it came back 7.15, he decided to do a stat cesarean section 8 9 on the patient. If those events you've just outlined had taken 10 Q place beginning at 5:00, would you have any 11 criticism of Dr. de Mola? 12 13 А No. What was causing the abnormal strips beginning 14 0 15around 10:00 p.m. and what we're seeing around 16 that time? 17 A Umbilical cord compression. 18 Did you hear that, Doctor? 0 He didn't hear 19 MS. ROLLER: 20 you. 21 Umbilical cord compression. A How does umbilical cord compression usually 22 0 present on a fetal monitor strip? 23 24 A drop from the baseline. Α Variable deceleration? 25 0

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		114
1	A	Yes. Or a bradycardía.
2	Q	Bradycardia that is not preceded by variable
3		deceleration?
4	A	It can be, yes.
5	Q	How does that happen, where you have a
6		bradycardic event from a cord compression
7		without variables before?
8	А	It's a prolonged cord compression.
9	Q	Would that mean prolonged contraction?
10	A	It can be a prolonged contraction. It can be
11		simply a prolonged cord compression between the
12		baby and the uterine wall, or between parts of
13		the baby and the umbilical cord.
14	Q	Is that more likely to occur as the fetus
15		descends as opposed to being high?
16	A	It can occur any time.
17	Q	When is it more likely to occur?
18	A	It can occur any time. There's more variables
19		if the patient is pushing in the second phase as
20		the fetus is descending, but it can occur any
21		time.
22	Q	It can occur any time, but you would agree with
23		me more often than not it occurs as the fetus is
24		descending and pushing?
25	A	Yes.

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		115
1	Q	Would you agree with me, more often than not,
2		compression or strike that.
3		Would you agree with me, more often than
4		not, a cord compression presents as a variable
5		deceleration rather than a deceleration or
6		bradycardic event, rather?
7	A	Yes.
8	Q	Do all hyperstimulation situations require
9		cesarean section?
10	A	No.
11	Q	Have women who will continue with a
12		hyperstimulation and you will allow that labor
13		to go forward if you cannot stop the
14		hyperstimulation?
15		MR. MISHKIND: Joe, could you
16		repeat the question? I think some of the words
17		just got cut off.
18		MR. FARCHIONE: Sure.
19	Q	If you have a laboring mom and there is
20		hyperstimulation, and that hyperstimulation can
21		not be reversed, do you allow her to continue to
22		labor?
23	A	Depends.
24	Q	Depends on what?
25	A	Depends on how far the patient is dilated at the

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		117
1	A	Depends on the gestational age of the fetus.
2	Q	34 to 35 weeks.
3	A	It would be unusual compared to, say, a
4		30-weeker or a 28-weeker.
5	Q	How unusual at 34 to 35 weeks?
6	A	I can't cite you specific statistics.
7	Q	Where would I look to find those specific
8		statistics?
9	А	Probably in a neonatal textbook.
10	Q	Volpe's Pediatric Neurology, would that be a
11		source for it?
12	A	Possibly. Maybe Avery's Neonatology textbook.
13	Q	Any other neonatology textbook other than
14		Avery's?
15	А	There are others. I can't think of the names.
16		MR. FARCHIONE: I'm kind of
17		going through notes here so I don't repeat
18		anything, so I apologize for the silence.
19	Q	I take it, Doctor, it's your opinion strike
20		that.
21		Doctor, earlier in your deposition you had
22		made a distinction between rings. And I think
23		you said one was pathologic and I didn't catch
24		what the other one was. What were you
25		distinguishing?

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		118
1	A	I was not making the distinction. I said that's
2		one article. There's a distinction between
3		constriction rings and pathologic retraction
4		rings.
5	Q	What is the distinction that's made in that
б		article?
7	A	Well, probably the best way to do this is just
8		attach it as an exhibit because it's a large
9		table.
10		MR. MISHKIND: Yes, I'll get
11		you a copy of the article, Joe.
12	Q	Do you have an understanding that you can give
13		to me of the difference between the constriction
14		ring and the pathologic ring?
15	A	Well, according to this table, I can point out
16		some major differences. For example, in a
17		constriction ring, the uterus never ruptures.
18		In a pathologic retraction ring, if uncorrected,
19		the uterus may rupture. With a constriction
20		ring, the fetus may be wholly or mainly above
21		the ring. With a pathologic retraction ring,
22		parts of the baby must be below the ring.
23		MS. ROLLER: Can we make a
24		copy of that before we leave?
25		MR. MISHKIND; You have a copy

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119 of it. 1 2 MS. ROLLER: Are you reading 3 from portions that are not --THE WITNESS: No. 4 MS. ROLLER: It's all 5 contained in the copy that we have? 6 7 MR. MISHKIND: Yes. MS. ROLLER: Just hold up the 8 front of it so I can see what one that was. 9 10 Thank you. MR. MISHKIND: Sure. 11 12 I take it, Doctor, that with hyperstimulation Q 13 and Pitocin, there's no way of predicting which patient will have a ring, which will not have a 14 15 ring? 16 Well, first of all, as I stated previously, and A I read from Williams Obstetrics, it is rare to 17 18 see a ring, retraction ring nowadays, unless the 19 labor is neglected. Neglected in terms of how long? 20 0 21 Or obstructed. А 22 0 Okay. Just so I'm clear, how do you define obstructed labor? 23 How do I define it? 24 Α 25 0 Yes.

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1	A	It's when there's no progress towards delivery
2		and the cervix may not dilate beyond a certain
3		stage, or the fetus may not descend beyond a
4		certain station.
5	Q	Had she reached that definition between 2:00
6		and 4:00?
7	A	Yes.
8	Q	What's the basis for that, please?
9	A	She was admitted to the hospital the day before
10		in labor, the labor continued. She had rupture
11		of membranes about 9:30 in the morning. She was
12		not making any progress so, therefore, the
13		Pitocin was started.
14		And from the time the Pitocin was started
15		until the time she was delivered, I believe she
16		went maybe from two centimeters at 1:00 in the
17		afternoon, and she was no more than four or five
18		centimeters when the C-section was done in the
19		evening.
20	Q	What would you have liked to have seen between
21		2:00 and 4:00 to say she was making progress and
22		would not fall into this obstructed labor
23		definition?
24	A	Well, first of all, I want to see no
25		hyperstimulation and no hypertonus. And then I

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121 would want to see the contractions occurring 1 2 with a change and the effacement and the 3 station, and dilatation. What effacement, what station, what dilation 4 0 5 would you want to see between 2:00 and 4:00 6 given how she had presented the day before and where she was at heading into 2:00, where would 7 you have wanted to see her between 2:00 and 8 4:00?9 Well, this is her second baby. You would 10 А expect a patient, if the labor is progressing 11 12 normally, a patient with her second baby would 13 dilate, let's say, one to two centimeters an 14 hour. 15 So in that two-hour period, you would 16 expect the cervix to change at least about a 17 couple centimeters. I would expect the cervix to also thin out, or efface more. 18 You're saying one to two per hour. What is the 19 Q starting point for you? 20 21 MR. MISHKIND: I'm not sure I 22 understand what you mean "the starting point," 23 Joe. 24 If you're saying one to two per hour, is that 0 25 when she was admitted to the hospital you want

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		122
1		to see that, or is that after the rupture of
2		membranes?
3	A	When she's in labor with the Pitocin, the
4		Pitocin was started at 1300 and she was two
5		centimeters at 1300 when the Pitocin was
6		started. And at 1935, which is six, seven
7		hours later, she's only three centimeters
8		dilated.
9	Q	I understand that. What I'm concerned about in
10		that two- to four-hour time period, the 2:00 to
11		4:00, you indicated that at 1:00 she was two
12		centimeters. What would you want to have seen
13		on vaginal exam to be comfortable with her
14	1	progress between 2:00 and 4:00?
15	A	An increase in the dilatation by at least a
16		couple centimeters.
17	Q	What if she was examined at, let's say, 2:30 by
18		the attending, what would you expect to see at
19		2:30, an hour-and-a-half after the two
20		centimeters?
21	A	At 2:30, if she's having adequate contractions,
22		I would expect her, this would be in her second
23		baby, for the cervix to be dilated maybe another
24		centimeter.
25	Q	Why did she not dilate?

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		123
1	A	We don't know why she didn't dilate.
2	Q	Why did she rupture her membranes prematurely?
3	A	Because patients in premature labor tend to have
4		premature rupture of membranes at some point in
5		time.
6	Q	The underlying cause of that being what?
7	А	It could be mechanical from the uterine
8		activity.
9	Q	And in this case, do you have an opinion, to a
10		probability, what caused the premature rupture
11		of membranes?
12	A	Probably the premature labor.
13	Q	You had mentioned earlier that the Bandl ring
14		contributed to the injury. What else
15		contributed to the injury, to a probability?
16	A	The cord compression.
17	Q	I thought you said the cord compression, which
18		would cause ischemia, hypoxia, did not cause any
19		permanent injury to this child.
20	A	No. I didn't say that. I said the hypoxia from
21		the bradycardia did not cause any injury.
22		Ischemia from cord compression, in a premature
23		baby, can cause problems.
24		And I also mentioned previously I deferred
25		specific causation of the baby's injuries to the

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124 pediatric neurologist. 1 2 So as far as the relationship of the umbilical 0 cord and ischemia, the ultimate injury you would 3 4 defer on? 5 А Yes. The only thing you can say to a probability is 6 0 7 the Bandl ring contributed to the ultimate 8 injury? 9 Ά Yes. What is the half life of Pitocin? 10 0 11 The other attorney asked me that already, but Α 12 about three minutes. MR. FARCHIONE: That is all I 13 14 have at this time other than, Doctor, if you can 15 give an updated CV to Mr. Mishkind, I'd appreciate that. 16 We have one as 17 MR. MISHKIND: of three weeks ago that Jan marked as --18 MR. FARCHIONE: She did mark 19 that, okay. I didn't hear that. I've got one 20 21 that goes back to January of 2002. 22 MS. ROLLER: Exhibit A. THE WITNESS: That's the most 23 24 recent one. 25 MR. MISHKIND: The one Jan

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		125
1	r	marked as an exhibit was from three weeks ago.
2		MR. FARCHIONE: Okay.
3		MR. MISHKIND: You'll either
4	ζ	get that from Jan or it will be attached to the
5	c	copy of the transcript.
6		MR. FARCHIONE: Very good.
7		MS. ROLLER: I have a couple
8	C	other questions, Joe, if you're finished.
9		MR. FARCHIONE: Yes. Go ahead.
10		RE-EXAMINATION OF MICHAEL S. CARDWELL, M.D.
11	BY MS	S. ROLLER:
12	QI	Dr. Cardwell, the labor of Kelly Fiktus, are you
13	C	of the opinion that this was a neglected labor,
14	ć	as you use that term?
15	A N	Neglected in such that when the Pitocin resulted
16	: -	in hyperstimulation and hypertonus, there was
17	ľ	negligence in the management. It was a
18	r	neglected labor.
19	QI	Neglect or negligent?
20	A. I	I'm using them interchangeably. I'm not saying
21	t	there's a difference.
22	1 Q	Neglect and negligence, you're saying they're
23	t	the same words?
24	A I	I'm using them interchangeably in this case.
25	1	Neglect, negligence. Same route.

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126 1 So your definition of a neglected labor is 0 2 what? That was a term you used earlier and I 3 just want to make sure I understand what 4 you're saying. How do you define a neglected labor? 5 6 Same as a negligent labor. It depends on the А 7 circumstances. If Pitocin is being used negligently, or if the patient is not being 8 9 monitored appropriately, it's a negligent labor. 10 The reason I'm asking you that is because you 0 11 would agree with me that this patient was 12 monitored closely, wouldn't you? MR. FARCHIONE: What was the 13 14 answer? 15 MR. MISHKIND: She said, You would agree with me that she was monitored 16 17 closely, wouldn't you? 18 Not close enough. To be monitored closely, she A 19 would have had to have internals in place by 20 2:00 in the afternoon. 21 You think different things should have been --0 22 different things should have happened, but I'm 23 asking you with respect to the time and the 24 attention, maybe not the decision-making, but 25 with respect to time and attention, you would

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		127
1		agree with me that this labor was monitored
2		closely?
3		MR. MISHKIND: I'm going to
4		object to the question, but go ahead and answer
5		if you can answer it.
6	A	Monitored closely with the exception that the
7		patient should have had internal monitors
8		placed.
9	Q	All right. I asked you earlier whether you
10		felt this labor was either that it involved
11		either a protraction disorder or an arrest
12		disorder. And you said it did not?
13	A	It did not because we do not have definitions
14		for a preterm labor.
15	Q	But you believe this was an obstructed labor?
16	A	I did not say that.
17	Q	Well, do you think it was?
18	А	Remember I told you the reason I believe you
19		asked me the reason the patient didn't deliver
20		or make progress. I said either from a
21		fetopelvic disproportion or a dysfunctional
22		labor?
23	Q	All right. But in your opinion, does Kelly
24		Fiktus' labor qualify as an obstructed labor as
25		you use that term and how you've defined it?

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		128
1	A	I didn't it was not I did not say it
2		was it was not an obstructed labor.
3	Q	That is your opinion, it was not an obstructed
4		labor?
5	А	Correct.
6	Q	Okay. I think we finally got it.
7		Doctor, in your opinion, what was the
8		cause of the cord compression?
9	A	Cord compression is usually from uterine
10		contraction. It could be from compressing
11		of the cord between the parts of the baby and
12		the uterine wall, or from the uterus itself, or
13		some other reasons. I don't know. It's
14		umbilical cord compression.
15	Q	So you don't have any specific cause that you
16		assign for the cord compression that you believe
17		occurred in this case?
18	A	No.
19	Q	And do you have an opinion as to what caused
20		Kelly Fiktus' premature labor?
21	A	No.
22		MS. ROLLER: That's all I
23		have. Thank you.
24		MR. MISHKIND: Okay.
25		MR. FARCHIONE: Nothing else for

	129
1	me.
2	MR. MISHKIND: Okay. We will
3	read the deposition.
4	Let's see. We're four weeks from trial.
5	Two weeks?
6	(No response.)
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THE STATE OF OHIO,) SS: COUNTY OF CUYAHOGA.)

I, Marcie S. Smith, a Notary Public within and for the State of Ohio, duly commissioned and qualified, do hereby certify that MICHAEL CARDWELL, M.D., was first duly sworn to testify the truth, the whole truth and nothing but the truth in the cause aforesaid; that the testimony then given by him was by me reduced to stenotypy in the presence of said witness, afterwards transcribed on a computer/printer, and that the foregoing is a true and correct transcript of the testimony so given by him as aforesaid.

I do further certify that this deposition was taken at the time and place in the foregoing caption specified. I do further certify that I am not a relative, counsel or attorney of either party, or otherwise interested in the event of this action.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal of office at Cleveland, Ohio, on this 31st day of March, 2003.

Marcie S. Smith, Notary Public within and for the State of Ohio My Commission expires April 20, 2004.

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THE	STA	ĄΤΕ	OF)	
)	SS:
COUN	ITY	ΟF)	

Before me, a Notary Public in and for said state and county, personally appeared the above-named MICHAEL CARDWELL, M.D., who acknowledged that he did sign the foregoing transcript and that the same is a true and correct transcript of the testimony so given.

IN TESTIMONY WHEREOF, I have hereunto affixed my name and official seal at

_____ this _____ day of

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_____, 2003.

MICHAEL CARDWELL, M.D.

Notary Public

My Commission expires:

Cady Reporting Services, Inc.

Deposition of Michael S. Cardwell, M.D., taken March 27, 2003

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