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IN THE COURT OF COMMON PLEAS
OF LAKE COUNTY, OHIO

RICKIE BENTLEY,
Plaintiff,

vs.

LYNDA FALLENBERG,
Defendant.

Case No.

89 CIV 560

-- --

Deposition of DENNIS B. BROOKS, M.D.,

a witness, called by the Plaintiff for
examination under the statute, taken before me,
Heidi L. Geizer, a Registered Professional
Reporter and Notary Public in and for the State
of Ohio, pursuant to notice and stipulations of
counsel, at the offices of Dennis B. Brooks,
M.D., 26900 Cedar Road, Beachwood, Ohio, on
Wednesday, April 4, 1990 at 4:10 o'clock p.m.

-- --

ORIGINAL

Cefaratti, Rennillo
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CLEVELAND, OHIO (216) 687-1161

AKRON, OHIO (216) 253-8119



1 APPEARANCES:

2 On behalf of the Plaintiff:

3 Greene & Hennenberg Co., L.P.A., by

4 WILLIAM M. CREENE, ESQ.

5 JEAN McQUILLAN, ESQ.

6 801 Bond Court Building

7 Cleveland, Ohio 44114

8 687-0900

9 On behalf of the Defendant:

10 Wiles & Richards, by

11 DANIEL F. RICHARDS, ESQ.

12 35000 Kaiser Court

13 Willoughby, Ohio 44094

14 942-6262

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Rennillo

& Matthews Court Reporters



1 DENNIS B. BROOKS, M.D., of lawful age,
2 called for examination, as provided by the Ohio
3 Rules of Civil Procedure, being by me first
4 duly sworn, as hereinafter certified, deposed
5 and said as follows:

6 EXAMINATION OF DENNIS B. BROOKS, M.D.

7 BY-MR. GREENE:

8 Q. Doctor, you have been listed as a
9 witness in this case. Is that correct?

10 A. I don't know, sir.

11 Q. Well, you are planning on being a
12 witness in this case, aren't you?

13 A. Yes.

14 Q. You did write a medical report, did
15 you not?

16 A. Yes.

17 Q. You wrote two, did you not?

18 A. Yes.

19 Q. Do you have them with you?

20 A. Yes.

21 Q. Okay. Reading your report of March
22 14, 1990, you wrote that after you had examined
23 Mr. Rickie Bentley, did you not?

24 A. I'm sorry, sir, I didn't hear your
25 question.

1 ["-- Q. You wrote that after you had had a
2 chance to examine Mr. Bentley in your office?

3 A. Yes, sir.

4 Q. As a matter of fact, you had
5 examined him some 12 or 13 months before
6 writing this report, correct, a report of March
7 14, 1990?

8 A. Yes.

9 Q. And you also had an opportunity to
10 review certain records that Mr. Richards had
11 forwarded to you?

12 A. Yes.

13 Q. And among those were the records of
14 Mr. Bentley's hospitalizations?

15 A. Yes.

16 Q. And a number of his radiographs, CT
17 scans, and myelograms, correct?

18 A. Yes.

19 Q. And you wrote in your
20 correspondence on page 5 of your March 14
21 correspondence that -- I reference you to the
22 last paragraph, doctor -- that his physical and
23 radiographic examinations demonstrated findings
24 which resulted from his two lumbosacral
25 laminectomies rather than the accident under

1 discussion?

2 A. Yes.

3 Q. Does that indicate, doctor, that
4 you found that Mr. Bentley was symptomatic for
5 back and leg pain when you examined him?

6 A. Yes.

7 Q. And then you looked at radiographic
8 examinations, and you found on those
9 radiographic examinations, including CAT scans
10 and myelograms, findings that would suggest
11 reasons for his problems, although you found
12 that they were postoperative findings rather
13 than findings connected with an automobile
14 accident?

15 A. I am sorry, I don't understand your
16 question.

17 Q. Is that too long a question? I'll
18 start over again.

19 You say here that the radiographic
20 examinations demonstrated findings which
21 resulted from his two lumbosacral laminectomies
22 rather than the accident?

23 A. That's what I wrote, yes.

24 Q. Is that correct? What findings
25 were those?

1 A, I was referring, sir, to the
2 radiographic examination that was performed on
3 January 5, 1989. That radiographic examination
4 indicated narrowing of the L5-S1 interspace, a
5 grade one spondylolisthesis at the L5-S1
6 interspace, with no discernable pars
7 interarticularis defect.

8 (2. Were you also referring to, doctor,
9 additional studies of the lumbar spine with
10 water-soluble contrast dated 8-10-89 which
11 showed scar formation secondary to previous
12 surgical procedures?

13 A. No, sir, I was not.

14 Q. Okay. Did you have an opportunity
15 to look at that particular CAT scan?

16 A, May I have the date again, please?

17 Q. Yes. The CT scan of the lumbar
18 spine dated 4-17-89.

19 A. Yes. I believe that I did look at
20 the CT scan of 4-17-89.

21 Q. Okay. Did that CT scan show you,
22 doctor, probable scar formation secondary to
23 the previous surgical procedures?

24 A. I don't have a recollection of
25 that.' I did not comment on that in my March

1 14, 1990 operative report.

2 Strike the word operative.

3 Q. Well, doctor, is **it** fair to say
4 that the symptoms that Mr. Bentley demonstrated
5 to you, **the** pain that he had, was probably
6 secondary to scarring that developed as the
7 result of the initial procedure which he had?
8 Is that fair to say?

9 A. I need to refer to my report of
10 January 5 before I can answer your question.

11 Q. Please do.

12 A. No.

13 Q. Okay. And why is that, doctor?

14 A. When I examined Mr. Bentley on
15 January 5th, 1989, he told me that in addition
16 to what he referred to as a dull numb kind of
17 ache in his low back and left buttock, his
18 symptoms also radiated inside, and I quote him,
19 inside his left thigh as far as his knee.

20 Pain radiating inside the left
21 thigh as far as the knee is not indicative of
22 scar formation of either the S1 or the L5 nerve
23 root.

24 Q. What would that **be** indicative of,
25 doctor?

1 A. That could be indicative of
2 muscular low back pain or low back
3 instability.

4 Q. And do you find that the low back
5 instability could be resulting from the three
6 surgeries he had or the two surgeries that he
7 had had at that time?

8 A. Yes.

9 Q. Okay. Doctor, is it fair to say,
10 as I read your note, I don't want to put words
11 in your mouth, but as I read this, what you are
12 saying in substance is that Mr. Bentley's
13 current problems, at least the problems that
14 you saw him for in January, 1989, were the type
15 of problems one might see postsurgically from
16 multiple laminectomies and diskectomies but had
17 nothing to do with the automobile accident?

18 A. That's correct.

19 Q. All right. And did the patient
20 that you saw in the office on January 5, 1989
21 upon your examination of Rickie Bentley,
22 including your review of the radiographic
23 studies, did it indicate to you that that
24 patient was in need of further lumbar surgery
25 at that time?

1 A. I could not make that determination
2 just based on my examination and the
3 radiographs I reviewed on January 5, 1989.

4 Q. What more would you have needed to
5 make a determination?

6 A. If Rickie Bentley had been a
7 patient- of mine, given the physical and
8 radiographic examinations that were performed,
9 I believe that I would have treated him in a
10 nonoperative fashion for a period of time with
11 anti-inflammatory --

12 Q. I am talking about 1989 when you
13 examined him, not 1987 when he first came in.
14 I want to make sure we are talking about the
15 same thing.

16 A. If I understand your question
17 correctly, I am referring to my examination of
18 January 5, 1989. And as I understood your
19 question, you asked me if I thought he was in
20 need of further surgical treatment at that
21 time.

22 Q. Yes.

23 A. And I said, based on my examination
24 at that time I could not make a determination.
25 And you asked me what else would you need, and

1 I was trying to tell you what else I need.

2 Q. I just wanted to make sure we were
3 talking about: 89 and not 87.

4 A. No, sir.

5 Q. Go ahead. I didn't mean to
6 interrupt.

7 A. I would like to treat him for a
8 while nonoperatively with exercises and
9 anti-inflammatory medication. If he continued
10 to have complaints then I believe that I might
11 have ordered some additional testing, which
12 might have included EMGs, nerve conduction
13 studies, CT scan or an MRI, and psychological
14 testing.

15 Q. You are aware that he did undergo
16 surgery again in 1989, are you not, sir?

17 A. Yes.

18 Q. At the time that you saw Rickie,
19 doctor, would you have sent him back to work
20 doing hard physical labor, bending, hauling,
21 and lifting heavy weights?

22 A. No.

23 Q. Have you had an opportunity to look
24 at his hospital records for 1989 and that
25 surgery?



1 A. Yes.

2 Q. And I take it you haven't examined
3 Rick since that surgery, so you don't know
4 exactly what condition he is in today?

5 A. That's correct.

6 Q. Based upon that operative note,
7 upon his operative record and hospitalization,
8 assuming that Rick is still having pain of a
9 similar nature as when you saw him in January
10 of 1989, would you consider him to be a
11 candidate for a spinal fusion?

12 A. I'm sorry, I don't have enough
13 information to make that judgment.

14 Q. What further information would you
15 need to make that judgement?

16 A. I would like to examine him myself
17 after his 1989 operation. I would like to
18 obtain some new radiographs, and I believe I
19 would still like to obtain some psychological
20 testing.

21 Q. Okay. In the radiographs, CAT
22 scans, and myelograms you saw, did you note
23 scar formation around the dura matter?

24 A. I don't have a specific
25 recollection of noting that.

1 Q. You don't recall either way?

2 A. That's correct. I don't recall
3 either way.

4 Q. Do you recall seeing scar formation
5 around but not compressing the nerve roots?

6 A. I don't recall seeing that.
7 Either. I don't recall ever having seen scar
8 formation on a CT scan. If there is a
9 particular study that you are referring to I'd
10 be happy to look at it today.

11 Q. What would be the indications that
12 you would be looking for for spinal fusion for
13 Mr. Bentley'? What would be your criteria?

14 A. If I could demonstrate that the
15 cause for Mr. Bentley's complaints was spinal
16 instability or abnormal motion, then it would
17 be appropriate to perform a spinal fusion.

18 Q. That would be the most important
19 criteria, spinal instability?

20 A. Yes.

21 Q. Do I take it from your prior answer
22 that, you suspect there may be spinal
23 instability?

24 A. There may be, yes.

25 Q. Doctor, you also write in your

1 correspondence of March 14, 1990 that you
2 believe that Mr. Rentley as a result of the
3 accident of February 26, 1987 sustained a
4 cervical and lumbosacral strain. Is that
5 correct?

6 A. That's what I wrote, yes, sir.

7 Q. And you also wrote that you believe
8 that his hospitalization from March 5, 1987,
9 between March 5, 1987 and March 19, 1987 was
10 causally related to the accident?

11 A. Yes.

12 Q. Is that correct? And in talking
13 about the lumbosacral strain, that's a pretty
14 catchall phrase that means torn muscles and
15 ligaments? Is that- a fair definition of it?

16 A. That's one end of the spectrum. It
17 can also mean stretched muscles and ligaments.

18 Q. Stretched or torn?

19 A. Yes. It is a muscular injury.

20 a. Do you know in this case whether he
21 had stretched or torn muscles, in your opinion?

22 A. I don't know, and I don't think it
23 makes a difference.

24 Q. Okay. Now, but you do find that
25 that hospitalization was causally related to

1 the accident, March 5 to March 19th --

2 A. Yes.

3 Q. -- according to your
4 correspondence?

5 A. If I didn't think it was I wouldn't
6 have said it in my letter.

7 Q. Okay. Doctor, on that first
8 hospitalization -- by the way, are you aware of
9 how this accident happened or what was
10 involved?

11 A. Just from Mr. Bentley's history.

12 Q. Okay. You don't know, for example,
13 how fast the car was going that hit him?

14 A. No. He just told me -- as a matter
15 of fact, I do not know how fast the car was
16 going that hit him.

17 Q. Okay. Doctor, he was treated in
18 the hospital by Dr. Anschuetz, among others,
19 was he not?

20 A. Yes.

21 Q. The first hospitalization?

22 A. Yes.

23 Q. And before he went in the hospital
24 he saw a rheumatologist'?

25 A. Yes.



I Q. Are you familiar with the doctor he
2 saw?

3 A. Yes.

4 Q. Do you know him?

5 A. Yes.

6 Q. Okay. And you consider him to be a
7 competent physician?

8 A. I have had limited experience with
9 Dr. Goodwin, and my experience has not been
10 unfavorable.

11 Q. Okay. Now, when Goodwin saw him in
12 his office, I think that was approximately
13 three or four days after the accident; is that
14 correct?

15 A. March 2, 1987, four days after the
16 accident.

17 Q. Four days after the accident. And
18 at that time, according to Goodwin's records,
19 Mr. Bentley was complaining of pain in his
20 back?

21 A. Yes.

22 Q. Correct?

23 A. Yes.

24 Q. And he was complaining of the pain,
25 he gave a history of that pain radiating down

1 into his leg; is that correct?

2 A. Gave a history of radiating pain in
3 his right lower extremity, that's correct.

4 Q. Did you have a chance to read the
5 deposition of Dr. Goodwin?

6 A. No, sir.

7 Q. You did have a chance to read Dr.
8 Goodwin's notes?

9 A. Yes, sir.

10 Q. Now, Dr. Goodwin noted that he
11 observed paraspinal lumbar spasms, correct?

12 A. May I get out Dr. Goodwin's
13 records?

14 Q. Oh, please do.

15 A. I am sorry, you must be better at
16 reading Dr. Goodwin's handwriting than I.

17 Q. I am probably not. I am reading
18 from his deposition, and he stated on page 14
19 of his deposition, interpreting his records,
20 that he was able to demonstrate -- and I guess
21 I am going to have to ask you to assume this as
22 being correct -- paraspinal lumbar spasms,
23 positive straight leg raising test on the
24 right, pin sensation diminished in right leg
25 and foot, decreased strength of dorsal function

1 of both feet. The patient was unable to walk
2 on his toes or his heels.

3 A. There is either a typo in his
4 deposition or something, or I am not
5 understanding you correctly. Decrease in
6 dorsal function or dorsiflexion?

7 Q. It must be dorsiflexion.

8 A. He's got decreased pin sensation,
9 he's got decreased dorsiflexion, and he's
10 unable to walk on his toes.

11 Q. And he has a positive straight --

12 A. Straight leg raising on the right.

13 Q. -- test.

14 A. And paraspinal spasm.

15 Q. And paraspinal spasms.

16 Is it fair to say that those
17 findings in total would not be inconsistent
18 with a muscle strain but would be more
19 consistent with a disk injury?

20 A. No.

21 Q. Okay. That wouldn't be fair to
22 say.

23 Doctor, what kind of muscle strain
24 causes decreased sensation in the foot? I am
25 talking about lumbar muscle strain.



1 A. Yes, sir. I don't know, because
2 you haven't told me where the decreased
3 sensation in his foot is.

4 Q. Well., do you **know** of any lumbar
5 muscle strain that will cause decreased
6 sensation anywhere in the foot?

7 A. No, sir.

8 Q. And there is certainly no lumbar
9 muscle strain that is going to cause leg pain
10 radiating all the way down the side of the foot
11 to the toes; is that correct?

12 A. That is correct, but there is no
13 disk injury that will cause similar symptoms.

14 Q. But those findings, a positive
15 straight ley raising test and radiating leg
16 pain past the knee down into the foot, are
17 symptoms that are more consistent with a disk
18 injury than they are of a lumbar strain. Is
19 that correct?

20 THE WITNESS: Would you read back
21 the question, please?

22 (Record read.)

23 A. I don't understand the question.

24 Q. Okay. Fine.

25 Doctor, regardless of whether you

1 understand the question or I understand the
2 question, the fact of the matter is that the
3 records are very clear, are they not, that Dr.
4 Goodwin after performing his examination of the
5 patient, including doing a neurological
6 examination, straight leg raising test,
7 pinprick test, taking a history from the
8 patient, diagnosed the patient as having a
9 herniated lumbar disk.

10 MR. RICHARDS: Are you suggesting
11 that that's a fact?

12 MR. GREENE: Yes. That was the
13 diagnosis.

14 MR. RICHARDS: Wait, Excuse me.
15 First of all, this is a discovery deposition.
16 The doctor is not on cross-examination except
17 with respect to the opinions that he's
18 advancing, and you can ask him and you can
19 discover all you want about his opinions and
20 explanations of his report, okay?

21 That having been said, if you want
22 to quote some section out of his report that
23 says exactly what you just said, I would like
24 to know where that is.

25 Q. I am quoting you from page 26 of

1 Goodwin's testimony, okay, and actually on page
2 27, line 17 to 19, when he felt, quote,
3 "because a strain of the back does not cause
4 sensory abnormalities, it does not cause
5 weakness of the foot."

6 Dr. Goodwin's notes, you have
7 reviewed the notes, correct?

8 MR. RICHARDS: Excuse me, Mr.
9 Green. I don't mean to interrupt you, but I
10 think this is an important point. You
11 indicated to Dr. Brooks here, you indicated
12 that he should assume that Dr. Goodwin in fact
13 diagnosed a herniated disk as a consequence of
14 his examination. You are drawing a conclusion
15 from the deposition transcript?

16 MR. GREENE: I am stating, sir,
17 that Dr. Goodwin's records that have been
18 reviewed by this doctor, including his consult,
19 including his analysis, reveals that he
20 diagnosed this patient as having a herniated
21 disk.

22 MR. RICHARDS: I don't think that
23 doctor -- excuse me --

24 THE WITNESS: I will point out to
25 you, sir --

1 MR. RICHARDS: Excuse me, Dr.
2 Brooks.

3 I don't think there is anywhere --
4 I will stand to be corrected on this -- I don't
5 think there is anywhere in this deposition that
6 Dr. Goodwin diagnosed a herniated disk. He
7 referred the matter to Dr. Anschuetz, who would
8 be more properly qualified to do that.

9 Q. I am reading to you from page 8,
10 sir, line 7, in which he says, "My final
11 diagnosis was disk protrusion at L5-S1 level.
12 It was probably central, but it definitely was
13 a disk protrusion at the L5-S1 level which was
14 documented by CAT scan and myelography."

15 MR. RICHARDS: And that's why you
16 conclude it is herniated; is that right?

17 MR. GREENE: No. That's what
18 Campbell's Orthopedics says is herniated, sir.
19 I am not a doctor.

20 MR. RICHARDS: I don't want to
21 quibble on this, and I don't want to interfere
22 with your deposition.

23 MR. GREENE: But you are. If you
24 have an objection -- if you have an objection,
25 say it.

1 MR. RICHARDS: Look --

2 MR. GREENE: Put **it** on the record,
3 and let's go.

4 MR. RICHARDS: We are all
5 interested in getting to the truth. It is an
6 important case for your client, **it** is an
7 important case for mine.

8 If you have something of that
9 nature you should show **it** to him, show **it** to
10 me.

11 MR. GREENE: You took his
12 deposition. You know what he said.

13 MR. RICHARDS: Well, you haven't
14 found **it** yet, and you have been looking for the
15 last five minutes.

16 I know what he said. Dr. Brooks
17 wasn't at his deposition, and he hasn't read **it**
18 either. I'm just asking that you extend some
19 courtesy. Don't tell him that things are in
20 there that aren't in there.

21 MR. GREENE: I am telling him that
22 the man testified that there was a protruding
23 disk at L5.

24 MR. RICHARDS: You are using the
25 word herniated disk. Okay?

1 MR. GREENE: Now you want me to
2 look through it, and I'll show you.

3 MR. RICHARDS: No, I don't want you
4 to do that.

5 MR. GREENE: You are challenging
6 me. Let's do it.

7 Q. Doctor, you wouldn't object to the
8 fact that Dr. Anschuetz' records that you have
9 read indicate that his final diagnosis of this
10 patient at that hospitalization, subsequent
11 hospitalization was herniated lumbar disk. Is
12 that correct?

13 A. Just so I understand the question,
14 please --

15 Q. That's the question.

16 A. We are now talking about Dr.
17 Anschuetz? We are no longer talking about
18 Goodwin, right?

19 Q. We'll talk about all of them.

20 A. Look. I am trying to cooperate
21 with you.

22 Q. I just asked a simple question.

23 A. You are talking about Anschuetz?

24 Q. You have reviewed the records.
25 Let's go to the first and second

1 hospitalizations. We are talking about the
2 3-6-87 hospitalization.

3 You have read, did you not, the
4 notes made in the chart by the various doctors
5 who were treating --

6 A. Yes.

7 Q. -- Mr. Bentley; is that correct?

8 A. You said 3-6-87? Okay.

9 Q. Yes. 3-6-87.

10 A. Okay.

11 Q. Now, there are notes made in that
12 chart by Dr. Goodwin, correct?

13 A. Yes.

14 Q. Okay. There is also notes made in
15 that chart by Dr. Halpern? H A L P E R N?

16 MR. RICHARDS: Helper.

17 Q. Helper. By Dr. Helper. Is that
18 correct?

19 A. I have no recollection. Dr. Helper
20 was a resident at that time.

21 Q. Okay. You have no recollection of
22 reading any of his notes in this record?

23 A. I don't, no.

24 Q. And there are also notes made there
25 by Dr. Anschuetz?

1 A. Yes.

2 Q. Are there not?

3 A. Yes.

4 Q. Okay. Isn't it a fact that Dr.
5 Anschuetz' discharge summary finds that the
6 patient had a diagnosis of herniated lumbar
7 disk?

8 MR. RICHARDS: The discharge
9 summary for- the --

10 MR. GREENE: The first
11 hospitalization.

12 MR. RICHARDS: -- the first
13 hospitalization? Okay. Before the surgery.
14 All right.

15 MR. GREENE: Yes. Final
16 diagnosis --

17 MR. RICHARDS: All right.

18 Q. The final diagnosis, L5-S1
19 herniated disk.

20 A. Yes. That's what Dr. Anschuetz
21 wrote.

22 Q. Okay. Is it also a fact that
23 Goodwin found that his problems were due not to
24 a lumbar strain but to a disk injury?

25 A. In the hospital records in March of

I 87?

2 Q. Yes.

3 A. I don't have a recollection of that
4 right now, but I'd be happy to look through the
5 record.

6 Q. Okay. Dr. Craciun, do you know
7 him?

8 A. No, sir.

9 Q. He is a neurologist. Were you
10 aware of that?

11 A. I believe so.

12 Q. Dr. Craciun, you read his
13 consultation of March 8, 1987?

14 A. I believe so.

15 Q. He also gave Mr. Bentley a
16 neurological examination, did he not?

17 A. Yes, if he's a neurologist he did.

18 Q. Okay. And his impression was right
19 L5 radiculopathy; is that correct?

20 A. Yes.

21 Q. Now, right L5 radiculopathy would
22 be radiating leg pain from a lesion of the L5
23 disk; is that correct?

24 A. Right L5 radiculopathy --

25 Q. Right.

1 A. -- would be radiating pain from a
2 lesion of the fifth nerve root.

3 Q. Okay.

4 A. Whatever the cause.

5 Q. Whatever the cause?

6 A. Whatever the cause.

7 Q. If that was a correct impression,
8 then the cause would not be a lumbar strain, it
9 would be some defect within the disk which
10 caused nerve root involvement. Is that
11 correct?

12 A. That's not entirely correct. The
13 first part of the question is correct. If the
14 diagnosis of right L5 radiculopathy is correct,
15 that is not caused by a lumbar strain. There
16 are a variety of reasons, one of which may be
17 an injury to the disk, that can cause L5
18 radiculopathy.

19 Q. You would have a differential, and
20 that differential would include tumor and all
21 sorts of other things, correct?

22 A. Right.

23 Q. But one of them would be a defect
24 of the disk, whether you call it a bulge, a
25 protrusion, or herniation?



1 A. Could be.

2 Q. Are you aware that Dr. Helper upon
3 his examination suggested that this was an L5
4 disk?

5 A. No, I am not, and part of the
6 problem may be that if you are referring -- are
7 you referring to the initial history and
8 physical examination?

9 Q. No.

10 A. No? Do you want to refer me to the
11 record, please?

12 Q. Does the statement discogenic back
13 pain mean anything to you, doctor?

14 A. So we have left that.

15 Q. I'm asking, does discogenic pain
16 mean anything to you?

17 A. Yes.

18 Q. Does that mean back pain that has
19 something to do with a disk?

20 A. Yes.

21 Q. Okay. Dr. Helper's note of
22 3-17-87, the diagnosis was discogenic back
23 pain, continue conservative measures. If no
24 response, consider surgery.

25 A. Mr. Green, is that Dr. Helper's

1 signature? Is that the note you are referring
2 to?

3 Q. 3-17-87. That is my note. Does
4 that help you out --

5 A. Continues to have --

6 Q. -- if I show you this?

7 A. Here, I found it. It is the next
8 page.

9 Q. Right.

10 A. 115.

11 Q. Correct.

12 A. Yes. I can't read the handwriting,
13 but we now know that that's Dr. Helper, and
14 that's what he wrote, yes,

15 Q. I take it that that's actually what
16 he had. He did have a conservative treatment
17 in the hospital during his first
18 hospitalization, did he not?

19 A. Yes.

20 Q. And they tried a TENS Unit?

21 A. I don't recall, but if that's what
22 you say, I believe you.

23 Q. Well, he did have -- he had two
24 weeks of conservative bed rest and conservative
25 treatment for that back condition, whatever it

1 was caused by?

2 A. Yes.

3 Q. And I take it from your letters,
4 from your answers, from your analysis, that you
5 don't believe that Anschuetz and Helper and
6 Goodwin were correct, and Craciun, in their
7 analysis that this patient was suffering from a
8 disk injury. You feel he was suffering from a
9 muscle strain?

10 A. Yes.

11 Q. Did Rickie Bentley have a right to
12 rely on the opinions of his doctors, Dr.
13 Craciun and Dr. Anschuetz, Dr. Helper, and
14 Goodwin, did he have a right to rely on what
15 they were telling him was wrong with him?

16 MR. RICHARDS: Excuse me. Are you
17 asking --

18 Q. In your opinion?

19 MR. RICHARDS: -- a legal right?

20 a. Did he have a right -- in your
21 experience, don't patients usually rely on what
22 their doctor and multiple consultants tell
23 them? Don't you want them to rely on you?

24 A. There are three questions.

25 Q. All right. Take them one at a

1 time. Don't you expect them to rely on your
2 opinion and the opinion of any consultants that
3 you may bring in? Isn't that why you are
4 there?

5 MR. RICHARDS: I'm going to object
6 and will let the doctor answer, but I want to
7 object on the record inasmuch as his opinions
8 as to the individual patient's right to rely on
9 individual patients' physicians is not in issue
10 here, and it is not actually subject to
11 anything that he has made in his report or any
12 subject matter of his expected testimony.

13 If you want to question him on that
14 and the doctor wants to answer about that,
15 that's fine.

16 Q. It is a simple question, doctor.

17 A. Yes.

18 MR. RICHARDS: It is a simple
19 question?

20 A. I will answer. Yes.

21 Q. And for better or for worse,
22 doctor, Mr. Rentley didn't have you there by
23 his side saying at that point, no, no, you
24 don't have a disk, you have a muscular strain.
25 There was nobody in this record as far as you



1 can see who was diagnosing Mr. Bentley any
2 differently than Dr. Craciun, Dr. Goodwin, Dr.
3 Anschuetz, and Dr. Helper. They were all
4 diagnosing him as having a disk injury. Is
5 that correct?

6 A. It is not correct. You just said
7 to me there is nobody in that record that is
8 diagnosing Mr. Bentley different than -- and
9 you named some doctors. There is somebody in
10 that record.

11 Q. And you are talking about the
12 radiologist?

13 A. That's correct.

14 Q. We'll get to the radiographs in a
15 second. But radiologists don't treat patients,
16 do they, doctor? They don't diagnose patients
17 clinically; is that correct?

18 A. Radiologists do treat patients, you
19 know, depending on what they do.

20 Q. But they don't diagnose patients
21 clinically?

22 A. That's not true, either. But in
23 the limited area that we are talking about, the
24 radiologist at St. Luke's Hospital probably did
25 not examine Rickie Bentley and probably did not

1 treat him. There are a lot of invasive
2 radiologists these days who do treat people.

3 Q. Do you know if the radiologist ever
4 came up and spoke with Rickie Bentley and gave
5 Rickie his impressions?

6 A. I don't know whether the
7 radiologist spoke to Rickie. Oftentimes after
8 a myelogram a radiologist will go up and speak
9 to a patient and may or may not give them his
10 impressions. I don't know from the record.

11 Q. Assuming that Rickie Bentley never
12 talked to the radiologist and does not have
13 expertise in analyzing myelograms, CAT scans,
14 and radiographs, is it fair to say that the
15 only information he was receiving was the
16 information he was getting from his treating
17 physicians?

18 A. Yes.

19 Q. Okay. And do you know that Rickie
20 then went home, he was discharged from the
21 hospital on 3-19?

22 A. Yes.

23 Q. And the final discharge summary, as
24 we went over earlier, listed his final
25 diagnosis as L5-S1 herniated disk.



1 A. Yes.

2 Q. And he went home still in pain, did
3 he not?

4 A. Yes.

5 Q. And he came back approximately 17
6 days later on the 9th of April?

7 A. It is 21 days later, but -- or 22
8 days later.

9 Q. Three weeks later? Approximately
10 three weeks later?

11 A. Yes.

12 Q. So now we are some six weeks from
13 the accident, maybe a little bit longer?

14 A. Yes.

15 Q. Is it fair to say that they had
16 tried conservative treatment for six or seven
17 weeks postaccident and he had not gotten
18 better?

19 A. Because words are so important,
20 that's all the record reflects is words, okay,
21 you are right. In the vernacular, they tried
22 conservative treatment.

23 Let me just make something
24 perfectly clear to you. I differentiate
25 between operative and nonoperative treatment.



1 I treat everybody conservatively. Sometimes
2 the most conservative thing to do is to operate
3 on somebody. So as long as we have that
4 understanding --

5 Q. I understand. They tried
6 nonoperative treatment?

7 A. Right.

8 Q. And the patient was still
9 symptomatic, having pain?

10 A. He still had symptoms, yes.

11 Q. And for better or for worse, he
12 came back with the same symptoms, the leg pain
13 and the back pain that he had when he was
14 hospitalized in the first hospitalization?

15 A. I believe so.

16 Q. Okay. And it is fair to say,
17 doctor, that had he not been in that automobile
18 accident and suffered the injuries that he
19 received he would not have been in the hospital
20 on the first occasion?

21 A. That's correct.

22 Q. And you elicited in your history of
23 him that he had no prior history of having any
24 lumbar back problems?

25 A. I am sure if I didn't you wouldn't

1 have asked me the question, but let me check.

2 Q. Please check your notes.

3 A. Let me check in my report.

4 Okay. That's not exactly what he
5 said to me. He said to me that he, and I
6 quote, "nothing really major with respect to
7 his low back." That's on page two of my
8 January --

9 Q. He had no prior -- you have no
10 indication from any of these records and from
11 Mr. Richards or anybody else that he ever saw a
12 doctor or had treatment for any lumbar back
13 problems?

14 A. At this time I do not.

15 Q. Doctor, if he hadn't had the
16 accident then it is reasonable to assume that
17 he would have not been treated by Dr. Anschuetz
18 for back problems in the hospital on his first
19 hospitalization; he would not have been in the
20 hospital, correct?

21 A. There are a million things that
22 could have happened, but I think the answer
23 that; you are -- my opinion is and the answer
24 you are looking for is that I believe that the
25 first hospitalization was causally related to

1 the accident.

2 Q. Okay.

3 A. And he could have not had the
4 accident and fallen down a flight of stairs and
5 been in the hospital at the same time.

6 Q. But the first- hospitalization was
7 causally related?

8 A. Right.

9 Q. Prior to the accident, as far as
10 you know, he was asymptomatic in his low back
11 and after the accident he had torn or he had a
12 back strain, according to you, which led to his
13 hospitalization, right'?

14 A. Correct.

15 Q. He came back for the second
16 hospitalization -- strike that.

17 And because of his first
18 hospitalization he came into contact with Dr.
19 Anschuetz, correct?

20 A. Yes.

21 Q. Dr. Craciun?

22 A. Yes.

23 Q. Correct? And Dr. Goodwin?

24 A. Yes.

25 Q. Okay. Now, when he comes back for

1 his second hospitalization he's still in pain?

2 A. Yes.

3 Q. Correct? And his doctors have
4 diagnosed him as having a herniated lumbar
5 disk? Flight or wrong, that's what they
6 diagnosed?

7 A. Yes.

8 Q. And they gave him the option of
9 surgical treatment for that herniated lumbar
10 disk as one of their recommendations. Isn't
11 that Correct?

12 A. Must have been.

13 Q. And --

14 A. Otherwise you would have a second
15 cause of action, no informed consent.

16 Q. And in he went **for** surgery on that
17 second occasion that he was in the hospital?

18 A. Yes.

19 Q. And **it** is fair to say, is **it** not,
20 doctor, that had he not had the traffic
21 accident and not had the back injury he would
22 not have been in the hospital receiving the
23 advice of these doctors that he should undergo
24 a lumbar laminectomy. Is that correct? Is
25 that fair to say?

1 MR. RICHARDS: Again, objection as
2 far as it doesn't go to his report, his
3 opinions that he's holding with respect to the
4 diagnosis. And his opinions as to what
5 prompted or motivated the plaintiff to do or go
6 where he went is not something, again, which is
7 within --

8 MR. GREENE: I am not asking him
9 that.

10 MR. RICHARDS: Yes, you are.

11 MR. GREENE: I am asking about his
12 opinion as to causation, which he has commented
13 on in his report.

14 And all I am asking him, if he
15 hadn't been in the car accident and hadn't had
16 the back injury and hadn't been there for the
17 first hospitalization, he would not have been
18 in the hospital the second time receiving the
19 advice of these doctors that he had a lumbar
20 disk problem that required surgery.

21 Q. Is that correct? Is that fair to
22 say?

23 MR. RICHARDS: And of course, this
24 is assuming that all that has been given in the
25 way of history, and so forth, by the plaintiff

1 was in fact true.

2 MR. GREENE: And when it comes time
3 for you to ask questions, go ahead and ask.
4 This is my deposition.

5 Q. All I am saying, doctor, is
6 causally he wouldn't have been there for that
7 operation had he not been in the accident.
8 Correct?

9 A. I can't answer that yes or no.
10 Okay?

11 Q. You can't?

12 A. No. And I'll tell you -- may I
13 tell you why?

14 Q. No, I don't want to know why.

15 A. But I can't answer it yes or no.

16 Q. Go ahead and tell me why.

17 A. Thanks very much. I said that the
18 first hospitalization was causally related to
19 the accident because in my opinion I believe
20 that it was reasonable to admit him to the
21 hospital in an attempt to determine the cause
22 of his continuing pain.

23 Now, the problem then comes from
24 the fact, how is the second hospitalization
25 causally related. Had he been treated by a



1 different group of physicians he might not have
2 been hospitalized the second time. Okay? If
3 you want me --

4 Q. I understand that, doctor, and I
5 agree with you. He might not have been. But
6 he was treated by these physicians, and that's
7 what actually happened. And because he was
8 treated by these physicians and had those
9 diagnoses, when he continued to have pain they
10 admitted him again and recommended surgery. Is
11 that fair to say?

12 A. That's correct.

13 Q. Okay. And he underwent surgery for
14 that disk, and that surgery did not help him,
15 did it?

16 A. That's correct.

17 Q. And I believe that you find in your
18 letter -- I don't want to put words in your
19 mouth, doctor, I would never do that -- I
20 believe that you found that that surgery was
21 essentially a negative exploration. Is that
22 correct?

23 A. That's correct.

24 Q. Okay. And that surgery led him to
25 a number of the problems which you found when

1 you saw him on your examination and looked at
2 his X-rays and looked at his myelograms,
3 correct?

4 A. Partially correct.

5 Q. Okay. Thank you.

6 In your correspondence, doctor, and
7 yes, we are going back to your correspondence
8 now, in your correspondence of March 14, 1990
9 -- strike that.

10 One of the reasons you believe that
11 Rickie Bentley did not have a herniated lumbar
12 disk has to do with your definition of what a
13 herniated lumbar disk is, Is that correct?
14 You don't believe, for example, a bulging disk
15 is a herniated disk?

16 A. That's correct.

17 Q. Okay. And you would also agree
18 that that definition of what is a herniated
19 disk and what is not a herniated disk is
20 subject to multiple interpretations in the
21 medical literature?

22 A. Having not read the entire medical
23 literature, I agree with your statement.

24 Q. There are orthopedic surgeons, for
2s example, that would find that a bulging lumbar

1 disk is, in fact, a herniated disk. Isn't that
2 correct?

3 A. It is not as simple as that.

4 Q. Okay. You have never heard
5 orthopedic surgeons refer to a bulging lumbar
6 disk as a herniation?

7 A. It depends on a lot of things.
8 Okay. So yes, I have, I have heard of
9 orthopedic surgeons refer to a bulging disk as
10 a herniated disk, but there is usually some
11 other explanation.

12 Q. Okay. Do you believe that any of
13 his diagnostic tests -- I'm sorry, any of his
14 radiological tests from his first or second
15 hospitalization indicated a herniated disk?

16 A. No, sir.

17 Q. Okay. And, doctor, I think I asked
18 you this a long time ago, many years ago, but I
19 will ask you again.

20 Campbell's Operative Orthopedics,
21 that's one source of informative literature
22 that orthopedic surgeons look to?

23 A. That's one source, yes.

24 Q. And the journal Trauma -- I'm
25 sorry, the journal Spine, is that another

1 source of material that orthopedic surgeons
2 look to, authoritative material?

3 A. It is another orthopedic journal,
4 yes.

5 Q. Do you read that journal?

6 A. Not on a regular basis.

7 Q. Arid Surgery of the Musculoskeletal
8 System by Evarts, are you familiar with that
9 book?

10 A. I have not read it.

11 Q. Is that generally accepted as one
12 source of authoritative material among others?

13 MR. RICHARDS: Are you asking him
14 if he recognizes it?

15 Q. Yes. I'm saying, is it a
16 generally-recognized --

17 MR. RICHARDS: As opposed to his
18 recognition of it?

19 Q. Yes, as opposed to your
20 recognition. Is it generally authoritative?

21 A. It is a recognized orthopedic
22 textbook. I have not read it.

23 Q. But you would recognize it as being
24 one source of authoritative material?

25 MR. RICHARDS: Excuse me. You are



1 asking him if he recognizes that text as an
2 authority?

3 MR. GREENE: I am going to ask you,
4 Dan, really, on the record, this doctor is a
5 real experienced witness. He knows how to
6 defend himself.

7 MR. RICHARDS: And you are an even
8 more experienced lawyer.

9 MR. GREENE: I don't think so. I
10 don't think so.

11 MR. RICHARDS: And I want to make
12 sure you and I don't mislead the doctor, or any
13 witness.

14 MR. GREENE: What I am concerned
15 about --

16 MR. RICHARDS: Excuse me.

17 MR. GREENE: -- is that through
18 your objections you lead the witness. I think
19 that is not proper. It is poor procedure, and
20 I am surprised at you.

21 MR. RICHARDS: That is the last
22 thing I want to do. If you want him to
23 recognize a text as authoritative, just ask him
24 that. Don't ask him if other people recognize
25 it. There may be a lot of other people that



1 recognize it. That isn't the question, and you
2 know that. So don't ask him that question.
3 Ask him does he recognize it.

4 MR. GREENE: Thank you for the
5 speech.

6 Q. Is this generally recognized by
7 orthopedic surgeons as being one source of
8 authoritative material in the area of
9 orthopedic surgery?

10 A. It is a well-recognized orthopedic
11 text. It is not a single authority.

12 And for the record, you know, I am
13 not here defending myself, okay. I am trying
14 to answer your questions.

15 Q. I understand that, doctor. But you
16 are an experienced witness. You have testified
17 hundreds of times, have you not?

18 A. I am an experienced witness. I am
19 also an experienced orthopedic surgeon.

20 Q. I understand that. But you have
21 testified hundreds of times, have you not?

22 A. Hundreds of times? I don't keep
23 track.

24 Q. Okay. I do, and we will go over
25 that at another time.

1 This journal, this book,
2 Musculoskeletal Disorders by Robert D.
3 Ambrosia, have you ever seen it?

4 A. No.

5 Q. Are you familiar with Russell
6 Hardy, Dr. Hardy from the Cleveland Clinic?

7 A. I have heard his name, but I have
8 never met him, nor have I read anything that
9 he's published.

10 Q. And Maurice Victor, who is the
11 Professor of Neurology at Case Western, do you
12 know Dr. Victor?

13 A. I have heard of Dr. Victor. I have
14 never met him or read anything that he wrote.

15 Q. And he is a well-known authority in
16 the area of neurology, is he not?

17 A. I don't know.

18 Q. Aren't you on the faculty of the
19 medical school? Aren't you an adjunct
20 professor?

21 A. Assistant clinical professor, yes.

22 Q. Rut you aren't a faculty member?

23 A. I don't know the difference. I
24 have a clinical appointment. I don't, you
25 know, go over there every day.

1 Q. Okay. But you don't know who Dr.
2 Victor is?

3 A. I just said, I have never met him.
4 I know that he is a neurologist. I don't know
5 his position at the university.

6 Q. Principles of Neurology, is that a
7 book you have ever read, Dr. Victor's book?

8 A. No.

9 Q. You never heard of the book?

10 A. I am under oath. I never heard of
11 the book.

12 Q. Okay.

13 A. Well., I just did.

14 Q. Okay. Fine. Doctor, after what
15 you have characterized as essentially a
16 negative exploration, Dr. Anschuetz reported,
17 did he not, in his operative report that he
18 found a herniated lumbar disk? Isn't that his
19 postoperative diagnosis?

20 MR. RICHARDS: Those are two
21 questions.

22 Q. Yes. Do you want me to separate
23 it? I'll separate it. It is a discovery
24 deposition.

25 He did enter in his operative note,

1 postoperative diagnosis, a herniated lumbar
2 disk.

3 MR. RICHARDS: When you say he
4 entered that --

5 Q. Entered that as his post-op
6 diagnosis.

7 A. No, sir. Look what his post-op
8 diagnosis is.

9 Q. Same?

10 A. Same.

11 Q. His pre-op is L5-S1 disk
12 herniation?

13 A. Right.

14 Q. His post-op is same?

15 A. Right. Most commonly the post-op
16 diagnosis is same.

17 Q. Same. And you are saying --

18 A. Wait. Excuse me. One second. May
19 I see the operative report?

20 Q. Don't you have a copy of it?

21 A. It will save time. I can look
22 through it.

23 Q. Save time. Go ahead.

24 A. Save time, your money.

25 Okay. Dr. Anschuetz did not

1 indicate in this operative note postoperative
'2 diagnosis of same. Dr. Helper did. Dr. Helper
3 dictated this operative note.

4 Q. Okay. Dr. Anschuetz --

5 A. Co-signed it.

6 Q. -- co-signed it.

7 A. Okay.

8 Q. So the two orthopedic surgeons who
9 did the operation reported at the end of the
10 operation that their postoperative diagnosis
11 after doing the operation was an L5-S1 disk
12 herniation?

13 A. Yes.

14 Q. Correct? And you are saying,
15 doctor, that your reading of this note
16 indicates that they didn't find that at all?

17 A. That's correct.

18 Q. Is that correct?

19 A. Yes, sir.

20 Q. And it is fair to say that that is
21 a serious charge to make against an orthopedic
22 surgeon, is it not, against any surgeon, that
23 they say that they did something in an
24 operation, that they made a major finding that
25 in fact they did not find?

1 A. I am not making a charge. I am
2 giving you my interpretation of the record, and
3 I am saying to you that the postoperative
4 diagnosis, which is the same as the
5 preoperative diagnosis, is inconsistent with,
6 not the same as the information presented in
7 the record.

8 Q. What you are saying, doctor, is
9 that they reported a finding, an L5-S1 disk
10 herniation, after the operation was over they
11 reported finding that; and they in fact did
12 not, according to your interpretation of their
13 operative note?

14 A. That's correct.

15 Q. That is what you are saying?

16 A. Yes, sir,

17 Q. And that is, is it not, doctor, a
18 deviation from acceptable practice, to report
19 on a post-op a diagnosis that you did not find?

20 A. That is a deviation from the
21 standard, yes.

22 Q. Besides being a deviation from the
23 standard, doctor., .it is as per my reading of
24 the rules and regulations of the state medical
25 board an action by a surgeon that you are

1 required to report or risk losing your
2 license. Would you agree with that?

3 A. No, sir. I wouldn't agree with it,
4 because I have not read the rules and
5 regulations of the state board. There is, I
6 think, pending legislation, but I am not sure
7 that legislation has been passed.

8 Q. You are not familiar with the fact
9 that you are obligated to report a surgeon who
10 does surgery, reports pathological findings
11 that he did not, in fact, find at surgery? You
12 don't think you are under an obligation to
13 report a surgeon who does what you say Dr.
14 Anschuetz has done here?

25 A. That's correct. I am not aware
16 that I am under that --

17 Q. That obligation?

18 A. -- that obligation.

19 Q. I would ask you, **sir**, between now
20 and your trial testimony to read the rules and
21 regulations currently of the state medical
22 board and ascertain whether or not you have
23 such an obligation, because I will ask you
24 about that again. I think you do.

25 A. Can you provide me with those rules

1 and regulations?

2 Q. Doctor, if you don't know -- you
3 don't know where to find them?

4 A. I don't know where to find them.

5 Q. You don't know where the rules and
6 regulations of your own state medical board
7 are?

8 A. No.

9 Q. You are on the staff of Hillcrest
10 Hospital, are you not.?

11 A. Yes.

12 Q. Dr. Anschuetz is on the staff of
13 Hillcrest Hospital, is he not?

14 A. Yes.

15 Q. Does he hold any position at
16 Hillcrest Hospital?

17 A. I believe he is presently the Chief
18 of Orthopedics at Hillcrest Hospital.

19 Q. Have you reported the fact that you
20 have discovered surgery that Dr. Anschuetz did
21 at Hillcrest Hospital -- at St. Luke's
22 Hospital, a member of your staff at Hillcrest,
23 that, wherein he reported that he found a
24 herniated lumbar disk which was the object of
25 his surgery but in fact he never did?

1 MR. RICHARDS: You don't have to
2 answer that question. This is a discovery
3 deposition, and it is totally beyond the scope
4 of a discovery deposition, discovering your
5 opinions and conclusions in this case.

6 Q. Have you reported that, sir?

7 He doesn't represent you. He's got
8 no right to tell you what to answer and what to
9 not answer.

10 MR. RICHARDS: I have a right to
11 interrupt and make certain that he doesn't
12 answer questions that are not germane or
13 prudent to this lawsuit, and that is --

14 MR. GREENE: I think it is very
15 germane.

16 Q. Have you reported them?

17 MR. RICHARDS: I'm going to
18 instruct you not to answer that question.

19 Q. You consider, sir -- are you not
20 going to answer the question, doctor?

21 A. I am just the poor physician. I
22 don't understand what's going on. HE! just
23 instructed me --

24 Q. There is a question pending, and
25 you are under oath.

1 He's riot your attorney, is he, sir?

2 A. No, sir.

3 Q. He doesn't represent you?

4 A. No.

5 Q. Okay. So we could all instruct
6 you, but you are under oath.

7 The question is, have you reported
8 Dr. Anschuetz, based upon your review and your
9 criticism of this record, have you reported him
10 to any of the responsible authorities at
11 Hillcrest Hospital based on what you see in
12 this record?

13 A. I made no criticism. I made a
14 simple review, and no, I have not reported him.

15 Q. But your opinion would be the same
16 with Dr. Helper, also, that he deviated from
17 acceptable medical practice by writing a
18 discharge summary claiming postoperatively they
19 found a herniated disk when, in fact, there is
20 no evidence in this record they ever found a
21 herniated disk, in your opinion?

22 A. I am sorry, sir, you used the word
23 discharge summary.

24 Q. I'm sorry. Let's change it to
25 operative report, note.

1 A. Yes. I believe that's a deviation
2 from the standard.

3 Q. As a matter of fact, it is your
4 opinion that Dr. Anschuetz did the same thing
5 on all three of his surgeries each time he went
6 in and said he found a herniated lumbar disk,
7 and I believe in your correspondence you say
8 there was no evidence that this patient ever
9 had a herniated lumbar disk. Is that correct?

10 A. No, sir, I don't believe that last
11 statement is correct.

12 Q. Okay. Because on the second
13 surgery and on the third surgery, Dr. Anschuetz
14 was in fact operating on a herniated lumbar
15 disk, was he not?

16 A. I need to review things, please.

17 Q. Well, doctor, before you look at
18 it, is it true that once you have opened up a
19 disk and excised nuclear material, as a
20 physician you have, in fact, herniated it? You
21 have now extruded material from it, and that
22 disk is not the same as it was prior to you
23 going in; is that, correct?

24 A. I don't agree with the first part
25 of your question. I do agree with the second

1 part of your question.

2 Q. What do you agree with?

3 A. What I agree with is that once you
4 have operated on a disk it is not the same as
5 it was before you operated on it, just like I
6 am not the same as I was before you came in
7 today.

8 Q. Okay. I haven't operated on your
9 disk, have I?

10 A. No, but you are trying to beat me
11 up. Off --

12 Q. I am only here to ask you
13 questions.

14 A. I know.

15 Q. You may check. In the second
16
17
18
19
20
21
22
23

24 Q. Anschuetz and Skelly.

25 A. At the time of the second operation

1 they noted that further disk material had
2 extruded slightly distally, so at that second
3 operation they did find a herniated disk.

4 Q. I note that I have underlined
5 that. I was going to ask you that, but you
6 have anticipated my last question.

7 A. No, sir. I didn't anticipate your
8 question.

9 Q. So he didn't -- you just answered
10 my previous question. Thank you.

11 A. Okay.

12 Q. So actually his preoperative
13 diagnosis was bilateral recurrent disk
14 herniation. Would you agree with that as the
15 preoperative --

16 A. That's what his preoperative is.

17 Q. Would you agree with that, that
18 that is what, in fact, Mr. Bentley had, an
19 L5-S1 bilateral recurrent disk herniation?

20 A. No. I can't agree with that,
21 because recurrent. implies that it was there
22 before.

23 It wasn't there the first time. I
24 would agree that at the second operation he had
25 a disk herniation, an extruded fragment, but I

1 don't. agree that it was recurrent.

2 Q. Okay, You would agree, however,
3 that the disk herniation found on the second
4 operation was related to the first operation?

5 A. Yes.

6 Q. And I would assume that the disk
7 findings in the third operation were related to
8 the first two operations?

9 A. Assuming no other intervening
10 trauma.

11 Q. Right. And you don't have any
12 history of any other intervening trauma, do
13 you, doctor?

14 A. I don't have a recollection right
15 now of when the third operation was.

16 Q. 89.

17 A. 89.

18 Q. Yes, after you saw him.

19 A. Right. April of 89

20 Q. Yes. After you saw him.

21 A. Yes. I was just informed today
22 that prior to his third operation he did
23 sustain an injury to his back at work and, in
24 fact, filed a Workers' Compensation claim for
25 that.

1 Q. But the third operation was on the
2 same disk operated on the first two times; is
3 that correct?

4 A. That's correct.

5 Q. Okay. A lot of the finding on the
6 third operation was scarring, was it not?
7 There was extensive scarring found. At least
8 according to Dr. Pearlstein, there was
9 extensive scarring found.

10 A. Dr. Pearlstein?

11 Q. Do you know who Dr. Pearlstein is?

12 A. I haven't read anything from Dr.
13 Pearlstein.

14 Q. You know who he is, though?

15 A. Certainly.

16 Q. Do you respect him as being an
17 authority in the area of radiology?

18 A. Yes.

19 Q. Is he someone who you use?

20 A. I don't use anybody, but I work
21 with him.

22 Q. You don't use a radiologist?

23 A. Dr. Pearlstein and I work together.

24 Q. You work together?

25 A. Yes, right. Okay.

1 Q. You work together on many cases,
2 correct?

3 A. Yes.

4 Q. Did you have anything to do with
5 him becoming an expert witness in this case?

6 A. No.

7 Q. Never suggested him at all?

8 A. I did not suggest him.

9 Q. Do you know a Dr. Charms at
10 Hillcrest Hospital, a radiologist? Matthew
11 Charms?

12 A. Okay. I was thinking of -- the
13 reason I hesitated, Bernie Charms is a
14 cardiologist, Stephen Charms is an attorney.

15 Q. Bernie Charms' son.

16 A. Okay.

17 Q. Matthew Charms.

18 A. I have spoken to him on the phone.
19 I have never met him personally.

20 Q. Do you know anything about him, his
21 reputation?

22 A. No. No.

23 Q. The third operation.

24 A. The third operation.

25 Q. The third operation they found

1 scarring. In fact, it says there is a large
2 amount of scar tissue between the two nerve
3 roots, a substantial amount of disk material
4 was removed from the disk space, and there was
5 also disk material proximal to the disk space.
6 So from that I have to assume there was further
7 extruded disk material or herniated disk
8 material in the third operation. He did have a
9 herniated disk?

10 A. Yes.

11 Q. And he also had scarring that would
12 be the result of the previous surgeries?

13 A. Yes.

14 Q. And that scarring was significant,
15 was it not?

16 A. The operative note seemed to
17 indicate yes.

18 Q. And that is a problem when you do
19 multiple disk operations, scarring?

20 A. Yes.

21 Q. That is one of the things that
22 takes away from a good result, scarring?

23 A. Yes.

24 Q. Okay. And would you agree that
25 scar formation about the dura matter and nerve

1 roots after lumbar disk surgery is one of the
2 most common and troublesome complications and
3 is an important cause of poor results, speaking
4 about lumbar disk surgery?

5 A. It sounds like you are quoting
6 somebody.

7 Q. Nah.

8 A. You wouldn't do that,

9 Q. Nah.

10 A. You made that up yourself?

11 Q. This is Greene on the spine. I am
12 actually quoting the journal Spine, volume 9,
13 number 3, page 305, prevention of
14 post3aminectomy scar formation. It is an
15 article, but that's what it says. Would you
16 generally agree with that?

17 A. Never read it, but having said it,
18 could you please read me the sentence again?

19 Q. Scar formation about the dura
20 matter and nerve roots after lumbar disk
21 surgery is one of the most common and
22 troublesome complications and is an important
23 cause of poor results.

24 A. I would agree that it is a cause of
25 poor results. I don't think -- I don't believe

1 that today scar formation is a common
2 complication. We have refined our techniques,
3 and it is much less common than it used to be.

4 Q. But Rickie Bentley had that
5 complication, he had a lot of postoperative
6 scarring, did he not?

7 A. Yes, he did.

8 Q. And that caused him problems as far
9 as you are concerned, based upon your
10 examination and your review? That would be one
11 of **the** causes of his problems?

12 A. That could be one of the causes of
13 his problems, yes.

14 Q. Based upon what you know of Rickie
15 Bentley's operative report, all the way through
16 89, you would not send him back now to doing
17 heavy physical labor, bending and lifting on a
18 daily basis after his three laminectomy
19 procedures, as far as you can see, if he was
20 your patient?

21 A. With the understanding that I have
22 not; reexamined Mr. Bentley and I have only
23 reviewed the records --

24 Q. Yes, **sir**,

25 A. Given what knowledge I know about



1 Mr. Bentley, no, I would not send him back to
2 work, doing heavy labor and all those things.

3 Q. All right. You would advise him to
4 have a job that requires less strenuous
5 activity?

6 A. I wouldn't give Mr. Bentley any
7 advice without first examining him.

8 Q. Okay. But you wouldn't send him
9 back to heavy physical labor?

10 A. I would not send him back to heavy
11 physical labor.

12 Q. You do laminectomies, don't you?

13 A. Yes.

14 Q. You don't do fusions, do you?

15 A. I have not done a fusion since I
16 have returned to practice, that's correct.

17 Q. I mean since you were a resident;
18 is that correct?

19 A. Which depo did you read? I want to
20 think. Pomerantz?

21 No, I haven't.

22 Q. Okay.

23 A. Not that I wouldn't if it was
24 indicated. Okay?

25 Q. You know how to do it? If you had



1 to do it you would do it?

2 A. Yes, right.

3 Q. But the results from
4 relaminectomies aren't anywhere as -- when you
5 have to go back a second time the results are
6 much poorer than the first time, correct?

7 A. That's correct.

8 Q. And I assume when you have to go
9 back a third time, as in this case, the results
10 are much poorer than if you have to go back a
11 second time?

12 I've got a study on it if you want
13 to see it, but it's bad.

14 A. In general that is true. I mean,
15 you know, in general, okay, there are certainly
16 situations where I have done second operations,
17 you find definite distinct pathology, and you
18 know, thank goodness, people get better. But
19 in general, there is nothing like a virgin back
20 to operate on with a good herniated disk.
21 Okay?

22 Q. After a third operation, though,
23 you don't expect to get good results if there
24 is a lot of scarring left?

25 A. That's correct.

1 Q. Okay. So your only criticism, if I
2 can put words in your mouth, of Dr. Anschuetz
3 is that he shouldn't have gone in, in your
4 opinion, to do the first surgery because he
5 didn't have adequate radiographic studies or
6 clinical indications to go in and operate. Is
7 that correct?

8 A. I am not here to criticize Dr.
9 Anschuetz. Okay? I was here to review the
10 records and determine what injuries Mr. Bentley
11 sustained.

12 Q. I understand that, doctor. But
13 either knowingly or unknowingly you have thrust
14 yourself into a legal area which I have a right
15 to question about, because it is legally
16 pertinent.

17 If, for example, you are here today
18 retained by Mr. Richards' office -- is that
19 correct?

20 A. Yes.

21 Q. And you have done work for Mr.
22 Richards' office in the past, have you not?

23 a. Yes.

24 Q. This is not the first case that you
25 have worked for them?

1 A. No.

2 9. Okay. And Rickie Bentley wasn't
3 the first patient that you were scheduled to
4 see -- I know that, you saw him in 89, but your
5 first scheduling to see Rickie Bentley was in
6 July of 88, was it not?

7 A. Correct.

8 Q. And is it fair to say that in 1988
9 you handled four or five different cases for
10 Mr. Richards' office?

11 A. I have no recollection.

12 Q. Okay. Now, that wouldn't surprise
13 you, though, if I told you that you had four or
14 five appointments with Mr. Richards' office for
15 defense medicals in 1988?

16 A. How would you know how many times I
17 examined for Mr. Richards?

18 Q. I'm asking you. Do you know?

19 A. I don't know. I'm asking you. How
20 do you know?

21 Q. Well, doctor, when you take my
22 deposition you'll find out.

23 A. Okay.

24 Q. And you have been doing defense
25 medicals since Norm Rosenberg passed away when,



1 in 1976? 78?

2 MR. RICHARDS: You are referring to
3 defense medicals as opposed to a medical
4 evaluation?

5 Q. I am talking about medical/legal
6 work where you examine a patient and report
7 your results for a client, someone who retains
8 you to do that, whether it be for Workers'
9 Compensation or whether it be for litigation,
10 for civil litigation outside the compensation
11 system.

12 You have been doing that since
13 approximately, what, 78?

14 MR. RICHARDS: I'm going to object
15 to your characterization that he's doing it for
16 me. He's not doing it for me. He's called
17 upon to act as witness in this case.

18 MR. GREENE: He was retained by you
19 as an expert witness.

20 Q. You aren't the fact witness in this
21 case because you were **not** there, correct? You
22 are an expert witness.

23 A. That's correct. I am not a fact
24 witness. I am -- yes. I am just trying to
25 think of when Norm Rosenberg died. It was in



1 1977, okay? And so I started doing -- well,
2 the day I came into practice I got involved in
3 medical/legal work, as every orthopedist does,
4 okay?

5 I believe that I did my first
6 examination on behalf of the defendant, okay,
7 when Dr. Roseriberg developed his brain tumor,
8 which was in 1976 or 1977.

9 9. Okay. Mr. Richards' office retains
10 you and you get paid. Are you paid by Mr.
11 Richards' office directly or do you get a check
12 from an insurance company?

13 A. I don't know. I don't see the
14 check.

15 Q. You don't see the check?

16 A. I don't see the checks.

17 Q. But you have done work for Allstate
18 Insurance Company in the past, served as an
19 evaluator and expert witness for Allstate?

20 MR. RICHARDS: Objection. Again,
21 in terms of who is serving anyone, he is called
22 as a medical expert to testify, not for or
23 against anyone.

24 MR. GREENE: He is retained to give
25 opinion testimony.



1 MR. RICHARDS: Fine.

2 MR. GREENE: I am not implying that
3 he is retained to give any particular opinion
4 testimony.

5 MR. RICHARDS: It sounds like you
6 are.

7 MR. GREENE: He is retained to give
8 his opinion. Whether his opinion is objective
9 or not objective, it is not up to me, it is up
10 to a jury.

11 MR. RICHARDS: Right.

12 Q. But you are retained by various
13 insurance entities to give expert opinion
14 testimony, whatever your opinion happens to
15 be. Correct?

16 A. Yes.

17 Q. Now, are you aware that you are on
18 a list that the Allstate Insurance Company has
19 of approved orthopedic surgeons that their
20 attorneys are allowed to send plaintiffs to to
21 have examined? Are you aware of being on that
22 list?

23 A. No, I am not, and after this
24 deposition remind me to tell you a story which
25 is not related to your question, but I just

1 happened to think of it.

2 Q. Okay. Thank you.

3 Doctor, Rita Videctic, does that
4 name ring a bell to you?

5 A. No.

6 62. Do you have any recollection of
7 Rickie Bentley?

8 A. No.

9 Q. Okay. So other than your notes,
10 you don't remember the fellow at all?

11 A. No.

12 Q. You have no specific notation in
13 your examination that you felt that Rickie
14 Bentley was being dishonest with you in any
15 way, do you?

16 A. No.

17 Q. And it is your practice if you feel
18 that a patient is shirking or exaggerating
19 symptoms or not being truthful with you, you
20 will put it right in your report? That is your
21 practice?

22 A. Yes. Yes.

23 Q. I took your deposition back on
24 December 13, 1982.

25 A. 1982?

1 Q. 1982.

2 A. Here in this office?

3 Q. No. I don't think so. I don't
4 think so.

5 A. No, because we moved. That's what
6 confuses me, because we moved here in --

7 Q. I knew you had me mixed **up** with
8 somebody else. We had a controversy, but it
9 wasn't about money. I am going to remind you
10 what it was about though.

11 A. All right.

12 Q. I pay my bills. Okay?

13 A. Then I apologize.

14 Q. **Let's** start again.

15 A. Okay.

16 Q. Mrs. Videctic, I want you to assume
17 this, had an auto accident, and --

18 A. That would have the address on the
19 front of the deposition where it was taken.

20 First page, cover page.

21 MS. McQUILLAN: It was here.

22 Q. Mt. Sinai Medical Center. I knew
23 that wasn't me.

24 A. There is another William Greene.

25 **a.** Yes, there is, who is retiring

1 now. Make my life easier, but there is another
2 William Greene, and he is oftentimes referred
3 to -- strike that.

4 A. Can we go off the record for a
5 minute?

6 Q. Go ahead.

7 (Discussion off the record.)

8 Q. You examined Mrs. Videctic. I want
9 you to assume that she was in a vehicular
10 accideht, had back pain immediately but did not
11 have radiating leg pain, and a year and a half
12 later the woman had to go in for a herniated
13 disk that you found was, in fact, a herniated
14 disk.

15 Do you remember that?

16 A. Just for the record, I found from
17
18
19
20
21
22

23 accident has a back injury, does not have
24 radiating leg pain -- at one point you said 72
25 hours, another point you said 96 hours -- after

1 the accident you can rule out that that patient
2 had a disk injury from the accident.

3 Do you recall testifying to that?

4 A. I don't believe that that's what I
5 said.

6 Q. Okay.

7 A. Because that's not what I believe.

8 Q. You felt that she would have to
9 have -- and I am going to quote you -- leg pain
10 which was typical of sciatic type of pain
11 within 72 hours of the accident. Correct?

12 A. You are reading from my deposition,
13 so that's what I said. Okay. All right.

14 Q. And you have not only testified to
15 that in the Videctic case, but you also
16 testified to that over the years. In many
17 other cases you have given similar testimony.
18 Is that correct?

19 A. Not only have I given similar
20 testimony, that's what I believe. That's what
21 I say in orthopedic conference. It has nothing
22 to do with legal work.

23 Q. That's what you believe?

24 A. Believe. Yes.

25 Q. And as a matter of fact, you also

1 testified that leg pain typical of sciatic pain
2 is pathognomonic of a disk injury.

3 A. I am only hesitating because of
4 your use of the word disk injury.

5 Q. Herniated disk make it better?

6 A. Big difference. Sure.

7 Q. Herniated disk. Pathognomonic for
8 herniated disk. Haven't you so testified?

9 A. I don't have a recollection that I
10 have. Pathognomonic means that -- I don't
11 really know what it means anymore.

12 A herniated disk can cause sciatic
13 pain, and a cause of sciatic pain can be a
14 herniated disk. A herniated disk can cause
15 other kinds of symptoms and other things can
16 cause sciatic pain besides a herniated disk.
17 okay.

18 Q. I am only asking you, doctor,
19 haven't you testified ---

20 A. I don't recall, Mr. Greene.

21 Q. Doesn't pathognomonic mean, in
22 fact, almost definitely caused by?

23 A. That's what I would think, and so
24 that's why ---

25 Q. You don't remember saying that in

1 your testimony?

2 A. Nonestly.

3 Q. Okay. And you don't believe that
4 today?

5 A. No.

6 Q. But --

7 A. I am older,

8 Q. But it is your opinion, it is your
9 opinion that a patient who is in a vehicular
10 accident who suffered a lumbar back injury who
11 has sciatic type of pain within three or four
12 days of the accident most probably has a disk
13 herniation; isn't that your opinion?

14 A. No. You want to know what my
15 opinion is?

16 Q. Yes.

17 A. My opinion is that if an individual
18 is involved in an accident and sustains enough
19 trauma in that single isolated event to cause a
20 herniated disk, then within three or four days,
21 '72 to 96 hours later, he should have pain not
22 only in the sciatic distribution, but in the
23 distribution indicative of the particular nerve
24 root which is being affected by the herniated
25 disk.

1 Q. Doctor, the exact question that I
2 asked you, "Is pain radiation along the course
3 of the sciatic nerve pathognomonic of
4 intervertebral disk injury," and your answer
5 was, "I would agree with that statement,
6 although it makes no mention of the time
7 interval between the injury and the
8 pathognomonic symptomatology."

9 A. That was in 1982. I am eight, years
10 older, and hopefully a lot wiser.

11 Q. Okay. So you don't agree with that
12 statement anymore?

13 A. No.

14 Q. And you would agree that trauma in
15 a person of Rick Bentley's age is the most
16 common **cause** of disk injury?

17 A. Not only do I not remember Rick
18 Bentley, I don't remember -- he's 29 years old.

19 Q. Yes, 29 years old.

20 A. By trauma, you mean a single
21 isolated event?

22 Q. How about a car accident where a
23 person **is** thrown forward in a twisting
24 fashion? Single isolated event, yes.

25 A. I don't know that that's borne out

1 by the literature. I have operated on a
2 1-5-year old, for example, who had no single
3 isolated event.

4 Q. Given the history of Mr. Bentley of
5 a single isolated event, being hit by a car
6 going 45 miles per hour, having a forward
7 twisting motion, would it be reasonable to
8 assume given the absence of any other history
9 that that would be a proximate cause of a disk
10 injury?

11 A. It could be the proximate cause of
12 the disk injury,

13 Q. Okay. Now, when you are talking
14 about having sciatica within three or four days
15 of a herniated disk, you are talking about an
16 acute herniated disk?

17 A. Yes.

18 Q. Okay. And, doctor, I believe I
19 asked you this at the time of the last
20 deposition. I don't expect you to recall it
21 now, but I do firmly expect your answer will be
22 the same.

23 You believe that Robert Zaas has
24 expertise in orthopedic surgery?

25 A. Yes.

1 Q. And he is someone whose expertise
2 that you would respect?
3 A. Yes, but not always agree with.
4 Q. He is your partner?
5 A. That's right. And we don't agree
6 on everything.
7 Q. He's been your partner for years,
8 hasn't he?
9 A. Right.
10 Q. Excuse me a second.
11 A. Pardon me?
12 Q. Excuse me one second.
13 A. You have to find the statement that
14 says, "it is true, the effects of herniated
15 disk" --
16 Q. Do you agree or disagree with the
17 following quote: It may take days, weeks,
18 months, and sometimes even years before a back
19 injury which affects an intervertebral disk
20 causes sciatic pain?
21 A. No, I don't agree with Dr. Zaas'
22 statement.
23 Q. In this case, Rickie Bentley
24 suffered a severe enough -- had an accident and
25 suffered severe enough trauma to cause him, in



1 your opinion, a back injury?

2 A. Yes.

3 Q. I am not talking about what kind it
4 is. It is a back injury.

5 A. Baek injury.

6 Q. And you would agree that competent
7 observers, including Dr. Goodwin, Dr.
8 Anschuetz, and Dr. Craciun all diagnosed him as
9 having a sciatic type leg pain?

10 A. No, I don't agree with that.

11 Q. But you would agree that that was
12 their impression? You might not agree with it,
13 but that's what they concluded?

14 A. No. I answered your question, and
15 I said, I don't recall that any of those three
16 people used the words, sciatic type leg pain.

17 Q. They did say L5 radiculopathy, did
18 they not?

19 A. Somebody did, yes. Craciun.

20 Q. All right.

21 A. Right.

22 Q. Craciun, and I believe Helper.

23 A. You didn't mention Helper in the
24 other group. Helper was just a resident.

25 Q. What I am saying, it is fair to say

1 that the doctors there on the scene observing
2 him, rightly or wrongly, related his leg pain
3 to an injured intervertebral disk?

4 A. I agree with that.

5 Q. Right..

6 A" That's what the doctor said.

7 Q. And if they were right, then that
8 would place them in the category of people that
9 you feel under your criteria suffers herniated
10 disks from trauma'?

11 A. Yes.

12 Q. Okay. Now, as far as the
13 interpretation of the radiographs and the
14 myelograms and the CAT scans, I understand that
15 you looked at them and that you have certain
16 impressions of them; but you would agree, you
17 are not a board-certified radiologist?

18 A. Yes.

19 Q. And you didn't do a radiological
20 residency?

21 A. That's correct.

22 Q. Correct? And when you have
23 patients you do rely, although you read them
24 yourself, you are always sure that you have a
25 radiologist interpret them, also?



1 You don't.. go into an operation upon
2 doing a myelogram and a CAT scan based upon
3 your own interpretation, you have a radiologist
4 look at it, also, don't you?

5 A. Also, yes.

6 Q. And Dr. Pearlstein is with Krause
7 Lubert, is he not?

8 A. Yes.

9 Q. And Krause Lubert, are they the
10 firm that- you use, your office uses?

11 A. Yes.

12 Q. And is it pretty customary when you
13 do medical- examinations for defendants in
14 lawsuits or for insurance companies that you
15 usually have X-rays taken of the person that
16 comes in to see you?

17 A. Yes.

18 Q. And you send them over to Krause
19 Lubert?

20 A. Yes.

21 Q. Have you been doing that since
22 1978?

23 A. 1971.

24 Q. Okay. You send them all your
25 business, don't you?



1 A. Not all.

2 Q. 95 percent:?

3 A. I send them the business that needs
4 to be done immediately because they are right
5 next door.

6 Q. Okay.

7 A. I mean, I know where your question
8 is going. Dr. Pearlstein does not work in this
9 building, Dr. Pearlstein is at Mt. Sinai
10 Hospital,

11 Q. But he is a partner in Hill &
12 Thomas?

13 A. Right.

14 MR. RICHARDS: Hill & Thomas?

15 A. Hill & Thomas?

16 Q. He is a partner with Krause Lubert?

17 A. Yes.

18 Q. Do you ever use Hill & Thomas?

19 A. Yes.

20 Q. I only have a few more questions.

21 A. Let the record reflect *that* I get
22 no kickbacks from Dr. Pearlstein for any of the
23 radiographs.

24 Q. That wasn't where my question was
25 going, but your office is a major referer of

1 business to Krause Lubert? They like you guys,
2 don't they?

3 A. Yes, I would hope so.

4 Q. Okay. The CAT scan and myelogram
5 in 1987 that you say does not demonstrate
6 either a herniated disk or nerve root
7 compression, can I refer you to those, please?

8 A. Yes.

9 Q. Okay. What position is the patient
10 in when those CAT scans and myelograms are
11 done?

12 A. Okay. There were two CAT scans, a
13 minimum of two CAT scans done.

14 Q. Two CAT scans?

15 A. Done in 87, and one myelogram.

16 Q. One without contrast and one with
17 contrast, and one myelogram. What position is
18 the patient in in those tests?

19 A. For the CAT scan the patient is
20 lying on his back, so he is supine.

21 For the myelogram the patient is
22 lying on his abdomen, is on either side, and is
23 standing up.

24 Q. For the myelogram?

25 A. Yes.



1 Q. Now, what does it mean to you when
2 a disk effaces a spinal nerve, if anything?

3 A. Yes. I don't know. My best guess
4 is that it must be in very close proximity to
5 the nerve root, if not causing some distortion
6 of the nerve root.

7 Q. How about touching the nerve root
8 but not compressing? Would that be a fair
9 definition of effacing?

10 A. I don't know. I would have to look
11 it up in a dictionary.

12 Q. Do you have any indication from
13 your reading of the CAT scan taken at the
14 hospital in the admission for surgery in 1987
15 that there was a central protrusion seen?

16 A. Only because words are so
17 important --

18 Q. I understand.

19 A. Okay. You misspoke. You said the
20 admission for surgery the CAT scan showed.

21 Q. I'm sorry. It was the first
22 admission prior to surgery.

23 A. Right.

24 Q. The CAT scan showed a protrusion,
25 did it not?

1 A. Dr. Anschuetz' impression was that
2 the CAT scan revealed, and I quote, primarily a
3 central protrusion at the L5-S1 interspace.
4 The radiologist. said, no definite evidence of
5 protrusion at L4-5, and there was a mild bulge
6 at L5-S1.

7 Q. Okay. Now, the difference between
8 a bulge and a protrusion is one of measurement,
9 is it not? Protrusion is bigger than a bulge?

10 A. A protrusion is bigger than a
11 bulge, but with today's imaging modalities, I
12 can show you the difference between a bulge and
13 a protrusion.

14 Q. And you would find that a
15 5- millimeter bulge is clinically significant,
16 in your opinion? Is that correct?

17 A. Depending on its location.
18 Depending on its location.

19 Q. Central?

20 A. Central?

21 Q. Yes. Central.

22 A. You **are** misquoting me.

23 What I probably said then or --

24 Q. I am just asking you what you feel
25 now. I am not quoting you any testimony.

1 A. What I feel now is that a bulge
2 under 5 millimeters is probably in the legal
3 sense not clinically significant. Any bulge
4 over 5 millimeters may be clinically
5 significant depending on its location and
6 depending upon the correlative physical
7 findings.

8 Q. These physicians treating felt that
9 bulge was clinically significant, correct, the
10 L5-S1 bulge?

11 A. If they didn't feel it was
12 significant they wouldn't have operated on
13 him.

14 Q. And Furthermore, do you recall in
15 your reading of that CAT scan whether you noted
16 that the bulge was effacing a nerve root?

17 A. I don't recall whether it was
18 effacing, i.e., touching but not compressing.

19 Q. But if it's touching a nerve root
20 on a patient in a CAT scan who is in a lying
21 down position, you would as an orthopedic
22 surgeon be concerned that there actually was
23 nerve root.. compression going on when the
24 patient was not lying down but was moving or
25 walking around?

1 In other words, doctor, if it's
2 close enough to touch it's close enough to
3 actually **compress** at various times upon
4 movement. Isn't that correct?

5 A. I never really thought about it.
6 It sounds logical. I don't know whether it's
7 correct or not., but it sounds logical..

8 Q. So in a patient showing having back
9 pain, leg pain, radicular symptoms, not
10 responding to conservative therapy, if you saw
11 a CAT scan which demonstrated a bulging disk
12 that was effacing a nerve root, you would have
13 reason to believe that perhaps that's the cause
14 of the problem, correct?

15 A. I would need some more information.

16 Q. But it sounds like a logical
17 progression of thinking, does it not, doctor?
18 I am not saying that's what happened here, but
19 I'm saying if you did, in fact, find it was
20 effacing the nerve **root**'?

21 A. What I said was I would need some
22 **more** clinical information.

23 Q. Okay.

24 A. Okay.

25 Q. But that, would get your suspicions

1 up that perhaps you have something of
2 significance?

3 A. Perhaps, yes.

4 Q. Okay. And in a patient that's riot
5 responding to conservative therapy, you don't
6 have any quarrel with a doctor who has tried
7 conservative therapy who goes in and operates
8 if he feels in his clinical judgment that the
9 patient is suffering from a herniated disk?

10 A. That's correct.

11 a. Okay. And have you ever been sued
12 for medical negligence? I'm not saying actual
13 medical -- I am saying alleged medical
14 negligence. Have you ever been sued?

15 A. I understand that. I have certain
16 rights, too.

17 Yes, I have been named as a
18 defendant in a malpractice suit, both of which
19 I was dismissed from. One suit -- yes.

20 Q. So you don't have any pending
21 litigation going right now against you?

22 A. Not that I am aware of.

23 Q. Okay. And your recollection is you
24 were only named twice as a defendant?

25 A. Something happened when I was a

1 resident that I can't remember whether I was
2 named or not, but since I have been in private
3 practice I can only think of twice.

4 Q. Okay. Have you ever served as an
5 expert witness for a defendant in a malpractice
6 case?

7 A. Yes.

8 Q. Okay. Local doctors? Local
9 doctors?

10 A. Yes.

11 Q. And when you were an expert witness
12 one of the things that you were critical of was
13 retrospeculative thinking on behalf of the
14 plaintiff's expert, were you not, that the
15 plaintiff's expert was thinking
16 retrospectively?

17 Didn't you say it's easy to come in
18 years afterwards and criticize someone who is
19 on the firing line? Does that sound like
20 something you might have said?

21 A. I don't, recall saying that, but if
22 you could find the book and verse and read it
23 to me --

24 Q. Okay. Have you ever been a
25 plaintiff's expert in a medical malpractice

1 case?

2 A. Yes.

3 Q. Against a local doctor in
4 Cleveland?

5 A. Yes.

6 Q. Was that Dr. Katz? It involved Dr.
7 Gary Katz?

8 A. No. I was a defense expert in
9 Gary's case. I have been a plaintiff's expert
10 against local orthopedists.

11 Q. Which local orthopedists?

12 A. Well, actually it was -- well, it
13 was against Kaiser, and the operating surgeon
14 was Herb Jacob, who was a general surgeon, but.
15 Hidvegi, H I D, whatever, was also involved,
16 and I testified on behalf of the plaintiff.

17 Q. All right, Any other times you
18 testified on behalf of the plaintiff in a
19 malpractice case against a local doctor?

20 A. Local being in the Cleveland area?

21 Q. Yes. Greater Cleveland area,

22 A. Greater Cleveland extends to
23 Youngstown?

24 Q. No, it doesn't extend all the way
25 over there. That doesn't extend all the way to

1 Youngstown.

2 A. I don't have a recollection, but
3 then I have done so many I can't recall all the
4 names.

5 Q. Okay. Over the years your
6 deposition has been taken a number of times.

7 A. Yes.

8 Q. Correct? And you have been
9 involved in a lot of cases --

10 A. Yes.

11 Q. -- as an expert. And a number of
12 those times you have read X-rays --

13 A. Yes.

14 Q. -- differently than the treating
15 radiologist did, the actual radiologist who was
16 on the scene?

17 A. We agreed before, radiologists
18 don't; treat.

19 Q. Right. The radiologist who was
20 involved contemporaneously interpreting the
21 films.

22 A. I have had disagreements with
23 radiologic interpretations, yes.

24 Q. And you disagreed, for example,
25 with Dr. Jim Zelch's interpretations of X-rays,

1 correct?

2 You know who Jim Zelch is, don't
3 you?

4 A. Is he --

5 Q. He was with Hillcrest Hospital. He
6 is now with the Cleveland Clinic in Florida.

7 A. Was he a defendant in Martha
8 Green? is that what you are talking about?

9 Q. Didn't you state that he was
10 negligent in his interpretation of the X-rays
11 in the Martha Green case?

12 A. Okay. Then I disagreed with Jim
13 Zelch, yes.

14 Q. And you have disagreed with other
15 radiologists, I assume?

16 A. Yes.

17 Q. And you would agree, you do
18 consider yourself to have some expertise in
19 radiology or you wouldn't be going around
20 disagreeing with board-certified radiologists.
21 Is that correct?

22 A. That should be obvious.

23 Q. Although you don't hold yourself
24 out to the public as being an expert in
25 radiology?

1 A. No. That would be illegal.

2 Wait a minute. Wait just one
3 second.

4 Q. Yes, sir.

5 A. I don't hold myself out to the
6 public as being a board-certified radiologist.
7 When I treat my patients, okay, I do hold
8 myself out as having expertise in radiology so
9 that I can interpret radiographs and explain
10 them to my patients.

11 Q. You consider yourself of sufficient
12 expertise in radiology to be critical of
13 board-certified radiological findings if you
14 don't agree with them?

15 A. That's correct, Even doctors
16 Krause and Lubert.

17 Q. Even Krause and Lubert. Okay.

18 And you would agree that
19 radiological interpretation is not an exact
20 science?

21 A. Yes.

22 Q. And you may see something when you
23 look at an X-ray or a myelogram or a CAT scan
24 that someone else may not see, a competent
25 trained observer may not see and may not agree

1 with you; is that correct?

2 A. That's correct.

3 Q. Thank you.

4 A. It was a pleasure. I don't waive
5 signature.

6 (Deposition concluded at 6:00 p.m.)

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1 CERTIFICATE

2 The State of Ohio,)

3 SS:

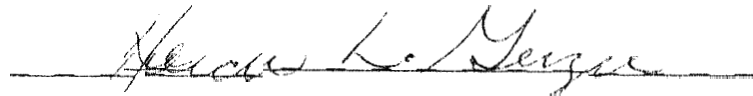
4 County of Cuyahoga.)

5
6 I, Heidi L. Geizer, a Notary Public
7 within and for the State of Ohio, duly
8 commissioned and qualified, do hereby certify
9 that the within named witness, DENNIS B.
10 BROOKS, M.D., was by me first duly sworn to
11 testify the truth, the whole truth and nothing
12 but the truth in the cause aforesaid; that the
13 testimony then given by the above-referenced
14 witness was by me reduced to stenotypy in the
15 presence of said witness; afterwards
16 transcribed, and that the foregoing is a true
17 and correct transcription of the testimony so
18 given by the above-referenced witness.

19 I do further certify that this
20 deposition was taken at the time and place in
21 the foregoing caption specified and was
22 completed without adjournment.

1 I do further certify that I am not
2 a relative, counsel or attorney for either
3 party, or otherwise interested in the event of
4 this action.

5 IN WITNESS WHEREOF, I have hereunto
6 set my hand and affixed my seal of office at
7 Cleveland, Ohio, on this 10th day of
8 April, 1990.

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14 Heidi L. Geizer, Notary Public

15 within and for the State of Ohio

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17 My commission expires January 22, 1995.
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25

ROBERT D. ZAAS, M.D.
DENNIS B. BROOKS, M.D.

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INC.
ROBERT C. CORN, M.D.

ORTHOPAEDIC SURGERY

26900 CEDAR ROAD
BEACHWOOD, OHIO 44122
TELEPHONE 216/464-4414

July 16, 1981

RE: Melinda Weinberger

TO WHOM IT MAY CONCERN:

The above **named** claimant was examined by me on July **13**, 1981, regarding **alleged** disability as a result of **an** accident which occurred on October 12, 1980. This 27 year old female informed me, in the presence of her counsel, that she was injured on October 12, 1980, when she was driving an automobile which was moving when it was struck on the left front end by a second car. The claimant was not wearing seatbelts at the time of the accident and was "thrown around" inside the car striking the driver's side door with her left elbow. She noted that she was "dazed" and the left side of her body was "tingling". She was taken to Lake County West Hospital where she was examined, treated and released.

The day after the accident, she called her physician, Dr. Bauer, and medication was prescribed. She was examined by him within a week of her accident and further medication and bed rest were prescribed. She then contacted Dr. Bauer by telephone because of continuing symptoms, and on approximately November 3, 1980, she was admitted to Euclid General Hospital for approximately three **weeks**. She was treated with pelvic traction, ice packs and physical therapy with ice. While in the hospital, she was also treated by Dr. Derello, "a back specialist". By the time of her discharge, she was "no better", for she continued to have pain in her low back and numbness in her right hand which had begun several days **following** the accident.

She continued her treatment with Dr. Bauer, and electrodiagnostic studies were performed. Further bed rest and restriction of activity was recommended. She has been examined by Dr. Bauer approximately every six to twelve weeks. She has not again been hospitalized.

At the time of this examination, the claimant stated that she was still having "a great deal of pain". She described pain in the mid portion of the thoracic area which radiated into the lumbosacral area where it was mostly tense. The pain also radiated down the outer aspect of the left thigh, into the posterior calf and bottom of the foot. With walking, she had pain in both legs. Activities such as standing for more than 15 minutes and walking for more than 30 minutes; increased her symptoms. A Valsalva maneuver produced mid thoracic pain. General housework also increased her symptoms, and when she attempted to cut meat, she would develop "shocks" throughout her right hand.

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The past medical history indicated that in approximately March of 1978, the claimant developed low back and left leg pain following a D&C. She was treated by a therapist and in June of 1978 was admitted to Lake County West for traction and physical therapy by Dr. Cop. She was then examined by Dr. Bauer in July of 1978 at which time electrodiagnostic studies were performed and medication was prescribed. She was admitted to Richmond General Hospital in September of 1978 for physical therapy and traction. She continued under Dr. Bauer's care. In June of 1979, she was involved in a vehicular accident when she was struck from behind. She sustained injuries to her neck and low back. At that time, she was eight months pregnant and was admitted to Lake County West Hospital. The claimant stated that prior to her accident of October 12, 1980, she was "getting considerably better". She had "less bad days and more good days", although she still had low back and left leg pain. She had had no prior right hand symptoms and had sustained no new injuries. Prior to her accident, she was working as a driving instructor and now was working for "short periods at a license bureau".

Physical examination revealed a female of approximately her stated who was of short stature and considerably over-nourished. She stated that she was five feet, three inches tall and weighed 160 pounds. She arose from the sitting position with the aid of her hands but ambulated without limp and was able to ascend and descend the examining table in a normal fashion.

Examination of her cervical spine revealed normal cervical lordosis without evidence of paracervical or trapezius spasm. There were no areas of localized tenderness to palpation. There was a full range of cervical flexion, extension, lateral bending and lateral rotation. Examination of the thoracic spine revealed increase in the thoracic kyphosis. There was no evidence of spasm or localized tenderness. There was a full range of shoulder motion bilaterally, and tests for thoracic outlet syndrome were negative. The neurologic examination of the upper extremities revealed normal deep tendon reflexes, motor power and sensory perception. Tinel's test over the carpal tunnel was negative bilaterally.

Examination of the lumbar spine revealed increase in the lumbar lordosis without evidence of paraspinous spasm. There was tenderness to the slightest of palpation extending from the thoracolumbar area to the sacrum. There was no sciatic notch or sacroiliac tenderness. Forward flexion was restricted such that the fingertips reached the knees, and there was mild restriction of extension and lateral bending. Burns' test was markedly positive. Heel walking and toe walking were performed without evidence of weakness but were accompanied by complaints of low back pain.

Further examination revealed that sitting straight leg raising could be accomplished to the horizontal bilaterally. Paradoxically, supine straight leg raising was restricted to 10 degrees bilaterally and accompanied by low back pain. Lasegue's maneuver decreased this symptom. Further neurologic examination of the lower extremities revealed no measureable calf atrophy, normal deep tendon reflexes and sensory perception. There was a giving way type of weakness of the extensor hallucis longus bilaterally.

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RE: Melinda Weinberger

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Page three.

The claimant requested that no *x-rays* be obtained,

The paucity of information forwarded to me includes a discharge summary from Euclid General Hospital which indicates that the claimant was treated at that facility between November 2, 1980 and November 21, 1980. The discharge summary includes a history of "prior back injury" but does not specify its nature. The physical examination is summarized and does not describe specific neurological findings. There is nothing to indicate that the claimant had symptoms or physical findings referable to her right hand.

Based on the information presently available to me, I believe that the claimant was involved in a vehicular accident on October 12, 1980. According to her history, she sustained some injury to her lumbar spine which intensified pre-existing symptoms in this area and her left leg. Accordingly, it would appear that the hospitalization at Euclid General Hospital was necessitated by the accident.

At the time of this examination, the claimant continues to be symptomatic with her right hand, low back and left leg. There is nothing on physical examination to substantiate her complaints in her upper extremity. The complaints which she has in her back and left leg apparently are similar to those which she had prior to her accident, and although these symptoms are suggestive of nerve root compression, there is nothing on physical examination to substantiate these complaints. In fact, the many paradoxical physical findings noted on examination indicates that the claimant is exaggerating. Therefore, I do not believe that "future disc surgery" is indicated. I am unable to determine the major extent of her pre-existing back problems as it relates to her accident of October 12, 1980."

Very truly yours,

DB Brooks M.D.

Dennis G. Brooks, M.D.

DBB/gr