1 IN THE COURT OF COMMON PLEAS 2 CUYAHOGA COUNTY, OHIO 3 MORTON BIEL, et al., Plaintiffs, 4 5 -vs-**CASE** NO. 203689 ALL-STRUCTURES, et al., 6 7 Defendants. 8 9 Videotaped deposition of DENNIS BROOKS, M.D., taken as if upon oral examination before Gala J. 10 Marzec, a Notary Public within and for the State 11 of Ohio, at the offices of Dennis Brooks, M.D., 1 2 13 26900 Cedar Road, Beachwood, Ohio, at 4:30 p.m. on Friday, March 20, 1992, pursuant to notice 1 4 and/or stipulations of counsel, on behalf of the 15 Defendants in this cause. 1 6 17 18 MEHLER & HAGESTROM 19 Court Reporters 1750 Midland Building 20 Cleveland, Ohio 44115 216.621.4984 2 1 FAX 621.0050 800.822.0650 22 2 3

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1 **APPEARANCES:** 2 David Paris, Esq. James Schumacher, Esq. 3 Nurenberg, Plevin, Heller & McCarthy First Floor 4 1370 Ontario Street Cleveland, Ohio 44113 5 (216) 621 - 2300, 6 On behalf of the Plaintiffs, 7 Joseph Wantz, Esq. Meyers, Hentemann, Schneider & Rea 8 2121 The Superior Building Cleveland, Ohio 44114 9 (216) 241 - 3435, 1 0 On behalf of the Defendants. ALSO PRESENT 11 1 2 Doug Clark, Videotape Operator. 13 1 4 15 16 1 7 18 19 20 2 1 22 23 24

VIDEOTAPE OPERATOR: We're on the record.

DENNIS BROOKS, M.D., of lawful age, called by the Defendants for the purpose of oral examination, as provided by the Rules of Civil Procedure, being by me first duly sworn, as hereinafter certified, deposed and said as follows:

ORAL EXAMINATION OF DENNIS BROOKS, M.D. BY MR. WANTZ:

MR. WANTZ: Okay For the record, we're back here for the deposition of Dr. Brooks pursuant to agreement of counsel. Mr. Paris is going to play the deposition he previously took of Dr. Brooks as part of his case; therefore, I'm going to go ahead and examine Dr. Brooks as a follow-up to your deposition. Is that correct, Dave?

MR. PARIS: Yes.

- Q. Doctor, you testified previously on direct for Mr. Paris as a result of your examination of Mr. Biel, is that right?
- 24 A. Yes.

25 Q. What I want to do here this afternoon is just

follow up with some questions regarding your examination and your findings with regard to Mr. Biel.

Now, you indicated previously in response to Mr. Paris' questions that you did believe Mr. Biel would continue to have some ongoing limitations, is that correct?

8 A. Yes.

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- 9 Q. Could you explain for the jury what those ongoing limitations would be?
 - A. Yes. I don't expect Mr. Biel to regain a normal or a complete range of shoulder motion. I do expect that he will have a very functional range of shoulder motion. He may have some limitation at the extremes of motion. But I believe he'll have a functional range of motion.
 - Q. Could you maybe demonstrate for us, doctor, when you say he will have limitations, at the extremes what kinds of motions and where the extremes would be that you would expect the limitations?
 - A. Yes. One of the motions is called abduction, bringing your arm out from the side and raising your hand above your head, I don't suspect that he's going to be able to get up to 180 degrees,

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which would be having his hand this way.

However, as I reviewed the records and reviewed

Dr. Brems' examination of May of 1991, he noted

that Mr. Biel had 160 degrees, so it's not quite

180, but it's 160. And that's a very functional range.

He also noted that Mr. Biel had about 65 degrees of external rotation. External rotation can be measured in several ways. But the way it was measured is this would be neutral with your arm here, and then as you come back this would be 90 degrees, so he got back to about here. That's 65 degrees. He may gain another five degrees or so. But again, because of the nature of the injury he had and the surgery to repair it, he's not going to be able to get to 190.

The third motion is internal rotation, reaching behind your back, okay. Again, when Dr. Brems examined him, he could reach to T-12, which meant that he could reach right at the level where his thoracic spine joined his lumbar spine. The average person can probably reach up to about T-8 or even T-6. I don't expect that he can do that, but he can get to T-12. So he may be able to scratch the lower part of his

- back this way and may have to use a back scratcher if he wants to get up higher.
- Q. Doctor, would there be any pain associated with

 Mr. Biel's using the arm in the normal range and

 normal functions that you believe he will have

 as a result of this injury?
- 7 A. No. I believe that he really will be pain-free during the activities of daily living and using his arm in a functional range.
- Q. Doctor, going back to your exam, which I believe you performed on July 16, 1991 --
- 12 A. Yes.
- 13 Q. -- you indicated that you found on his active
 14 range of motion that his abduction was 80
 15 degrees.
- 16 A. Yes.
- Q. Yet if I heard you right, you indicated that
 Dr. Brems found that the range of motion for
 abduction was 165.
- 20 A. 160, yes.
- 21 O. Excuse me. 160.
- Doctor, can you explain the difference between your finding and Dr. Brems?
- A. Yes. When I examined Mr. Biel, I asked him to perform these motions. They were done actively

by him. I didn't take his arm and passively put it through a range of motion. So I had to depend on whatever effort he was putting out.

He had seen Dr. Brems two months previously and there is no reason to believe that he deteriorated, that he got worse over those two months period of time. So I believe that when he saw me for whatever reason he wasn't demonstrating the entire range of motion that he, in fact, had.

- Q. Is it possible, doctor, that he had regressed?
- 12 A. No. I don't --

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- MR. PARIS: Objection.
- Anything is possible, Mr. Wantz. But there is 1 4 Α. 15 nothing that he told me in his history, there is 16 nothing in the record to indicate that he 1 7 sustained another injury, that he disrupted or tore apart the repair that Dr. Brems had done. 18 So I don't believe that it's possible that --19 I'm sorry, I don't believe that it's probable 20 2 1 that he regressed.
- 22 Q. Doctor, were there any other discrepancies
 23 between your examination and Dr. Brems'
 24 examination?
- 25 A. Yes. When I saw him he only demonstrated 15

degrees of external rotation. So he just came out this way. When Dr. Brems saw him he was out to 65. So that was different.

Also when I saw him, he internally rotated to L-4, which is about the level of his waist. Whereas I mentioned earlier, when Dr. Brems saw him he could touch that area where his mid-back joins his low back.

- Q. Were there any other differences, Doctor, that you can recall?
- A. The only other thing was that when I tested his strength I found that his external rotator strength, which is a very important muscle group, was four out of five. In other words, it was 80 percent of normal, five out of five being normal. Dr. Brems had noted that he was, he demonstrated considerable weakness. So in that particular area he actually demonstrated improvement in the two-month period of time.
- Q. Doctor, you've previously indicated for

 Mr. Paris that you believe that because of this

 injury Mr. Biel would not be able to engage in

 lifting paint cans for approximately one year,

 is that correct?
- 25 A. Yes.

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- 1 Q. And you still agree with that opinion that you rendered previously?
- 3 A. Yes.
- 4 Q. Doctor, that refers to the right arm, is that correct?
- 6 A. That's correct.
- Q. Is there anything about this injury that would have prevented Mr. Biel from lifting paint cans with his left arm?
- 10 A. No.
- 11 Q. You're aware, Doctor, that Mr. Biel was a

 12 manufacturer's representative selling paint for

 Sherwin-Williams?
- 14 A. Yes.
- 15 Q. Was there anything else about his injury apart

 16 from the fact that he could not lift paint cans

 17 with his right arm that you believe would have

 18 prevented him from doing that job?
- 19 A. As a manufacturer's representative, during what 20 period of time?
- 21 Q. During any period of time after the accident, after the injury?
- 23 A. Yes. I believe that right after the injury, for example, it would have been impossible for him to drive unless he drove one handed. After he

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- 1 had his surgery he was immobilized for a period 2 of time, and it would have been impossible for 3 him to drive his car again unless he drove one So there is a period from the time of 4 5 the injury to the time of his surgery, which was in December, and until the time that his 6 7 immobilization was removed, plus say about a month or so of physical therapy. I don't recall 8 the exact dates. 9
- Doctor, based on your examination of Mr. Biel 10 Q. and your review of the records regarding his 11 1 2 treatment and your medical experience, do you have an opinion to a reasonable degree of 13 medical certainty how long that disability from 1 4 driving would have lasted? 15
- 16 Α. Yes. I believe that --
- 17 MR. PARIS: Objection.
- -- from the time of surgery --18 Α.
- Excuse me, Doctor, you do have an opinion? 19 Q.
- Yes, I do have an opinion, 20 Α.
- what is that opinion? 2 1 Q.
- 22 MR. PARIS: Objection.
- I believe from the time of surgery to the time 2 3 Α. that he would have been able to drive would have 24 been a period of five months. 2 5

1 MR. WANTZ: Thank you, Doctor. 2 have no other questions. 3 MR. PARIS: Off the record. 4 VIDEOTAPE OPERATOR: We're off the 5 record. 6 7 (Thereupon, a discussion was had off the record.) 8 9 MR. PARIS: Let's g o back on the 10 11 record. 12 VIDEOTAPE OPERATOR: We're on the 13 record. 14 15 ORAL EXAMINATION OF DENNIS BROOKS, M.D. 16 BY MR. PARIS; Dr. Zaas -- I'm sorry. Dr. Brooks, it's David 17 Q. Paris again. 18 Just so we're clear, let's talk a little 19 20 bit about the fact of Mr. Biel's inability to 21 work for a period of time after this accident. I take it you are aware that he was a 75-year 22 old man who was still self-employed as a 23 24 manufacturer's rep? 25 Α. Yes,

- 1 Q. And still going strong and earning a good living prior to this accident?
- 3 A. I really don't know what his income was.
 - Q. I'll ask you to assume that the evidence in this case is going to be that his income, his business income alone had continually increased from '87, 1988, 1989 to 1990. He was a very self motivated, hard working gentleman at that age.

MR. WANTZ: I'll object.

- Q. Well, I will assume -- in any event, please assume he continued to earn more money during this period of time.
- 14 A. All right.

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- Q. As part of his duties, only part of his duties was to carry paint cans from store to store, restock shelves as a manufacturer's rep for Sherwin-Williams and other paint companies, which not only required the use of his dominant right arm, but also required the use of his left arm. I would expect that you would acknowledge that when one is handling cases of paint it not only requires the use of the left arm but also the right arm?
- A. A case of paint that contains more than one can,

- 1 sure.
- 2 Q. When I asked you your opinions originally in
- this case, it was your opinion that
- 4 approximately 13 months off from work, or 13
- 5 months of inability to lift a paint can, one
- 6 paint can with his right arm was reasonable.
- 7 Was that your original opinion in your original
- 8 deposition?
- 9 A. I don't recall. I've not had the opportunity to
- review that deposition, but I have no reason to
- change that today, 12 months, 13 months.
- 12 Q. Okay. Now, you also indicated that there were
- some differences in his range of motion, which
- you detected in July of 1991, and which
- Dr. Brems detected in May of 1991.
- 16 A. Yes.
- 17 Q. And it was your suggestion that it was probably
- not due to a regression?
- 19 A. That's correct.
- 20 Q. Do I understand, Doctor, that once this surgery
- is done to a massive rotator cuff tear involving
- all four of the muscle groups that an
- individual, an elderly individual, will always
- 24 regain the same or close to the same strength
- that he had before the accident?

Ι

- A. I have a problem with the first part of your question. As I understand it, Mr. Biel did not
- 3 tear all four muscles. He just tore three of
- 4 the muscles. Did he tear all four muscles?
- Q. I was under the impression -- well, you have the operative records. I thought it involved the
- 7 subscapularis, the infra --
- 8 A. Supraspinatus, infraspinatus and teres minor.
- 9 wasn't aware that it involved the
- subscapularis. But given that difference, the
- 11 question was, even if he tore three of the
- muscles,. would he regain the same degree of
- strength that he had preoperatively, is that
- 14 correct?
- 15 Q. Yes.
- 16 A. No. I don't believe that he would necessarily
- regain the same degree, 100 percent of the
- strength that he had pre-injury,
- 19 preoperatively.
- 20 Q. Okay. And as it relates to the age of an
- individual, what effect does that have in their
- recuperation?
- 23 A. It depends upon their motivation. You just told
- me he was a very motivated individual. So I
- 25 don't think that in this situation age is

1 necessarily a factor.

- Q. When you saw him in July of 1991, you saw quite a bit of atrophy in the muscle groups, did you not?
- 5 A. Yes.

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- 6 Q. Atrophy in the muscle groups is a sign of what?
 - A. Atrophy of the muscle group is a sign of less bulk or substance than is present in a normal situation.
- 10 Q. Less substance to the muscle groups means what?
 - A. Well, it doesn't always mean weakness. That is to say, because somebody has less bulk, they can develop the remaining fibers to an extent that they can regain strength, okay.
 - Q. Sure. But as a general proposition in a 76-year old man who has obvious wasting of the subscapularis muscles and the two muscles in the back, which I believe you detected?
- 19 A. No, no.
- 20 Q. You did not detect that, doctor?
- 21 A. Let's look at what I detected.
- 22 O. I can refer you to --
- 23 A. Page 2 of my report,
- Q. Or I was going to refer to your deposition, but whatever.

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- Α. My report says there was atrophy of the 1 2 supraspinatus and infraspinatus.
- 3 Q. And doctor, wasn't there also atrophy of the tissue covering the humeral head or over the subscapularis?
 - I don't recall what I said in my deposition. What I said in my report was, the humeral head was palpably prominent anteriorly. So there was some loss of tissue. Whether it was subcutaneous tissue or not, I'm not certain.
- Let me refresh your recollection on page 21 of 11 1 2 your deposition.

Your answer was: "In addition, the humeral head, the ball part of the ball and socket joint, was palpable anteriorly. I mean I could actually feel the front part of his shoulder joint."

Question: "What is the significance of that?"

Answer: "That there really wasn't a lot of tissue between his skin and the humeral head. He probably had some atrophy of the anterior part of the rotator cuff as well, subscapularis. And I made mention of it because it was a finding."

- Okay. So he did have atrophy in the
- 2 subscapularis, did he not?
- $3 \mid A$. Yes.
- 4 Q. Okay. This was in July of 1991?
- 5 A. Correct.
- 6 | Q. And there is a relationship between atrophy and
- 7 strength of the muscles?
- 8 A. Yes.
- 9 Q. And the reconditioning that is recommended for
- 10 people with massive rotator cuff tears and the
- surgeries that Mr. Biel had is to try to
- increase the strength and the bulk of those
- atrophied muscles, is that correct?
- 14 A. One of the goals, yes.
- 15 Q. And to increase range of motion?
- 16 A. Yes.
- 17 Q. Sometimes it's successful and sometimes it's
- 18 not?
- 19 A. That's correct.
- $20 \mid Q$. And a lot has to do with the age of the patient
- and the motivation of the patient?
- 22 A. I've not been convinced that the age of the
- 23 patient is a factor. Motivation is a factor.
- 24 | Q. Well, once again, Doctor, do you recall in your
- 25 deposition where you testified that the

- 1 rehabilitation for an elderly person with 2 rotator cuff surgery is longer, more extensive 3 than that of a younger person?
 - I would agree. Again, I don't recall that. I still believe that today, yes. It's longer and more extensive,

MR. PARIS: Thank you, Doctor. I have no further questions.

FURTHER ORAL EXAMINATION OF DENNIS BROOKS, M.D. BY MR. WANTZ:

Doctor, just a brief follow-up. 12

> You indicated earlier this evening, and I think before, that when you examined Mr. Biel he had approximately 80 percent, four out of five finding for strength, is that correct?

- Α. Yes.
- Doctor, at the point when you examined him, would you expect to a reasonable degree of medical certainty that that strength would continue to improve to some degree?
- I don't think so. I think that four out of five, 80 percent is about all we can expect.

24 MR. WANTZ: Okay. Thank you, Doctor. I have no further questions.

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MR. PARIS: One last question.
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        FURTHER ORAL EXAMINATION OF DENNIS BROOKS, M.D.
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        BY MR. PARIS:
        The 80 percent was at the external rotation, is
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   Q.
        that right?
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        Right.
   Α.
        He also only had 80 percent strength in internal
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        rotation?
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   Α.
       Yes.
        And he had only 60 percent strength in forward
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   Q.
        flexors?
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        Yes.
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    Α.
        And only 60 percent strength in abductors?
    Q.
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    Α.
       Yes.
                      MR. PARIS:
                                   Thank you very much,
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             Doctor.
                      MR. WANTZ:
                                   Nothing further.
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             Thank you, Doctor.
                                     You're welcome.
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                      THE WITNESS:
                      VIDEOTAPE OPERATOR:
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                                             Doctor, you
             can view this videotape to prove its
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             accuracy and you can also waive that.
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                      THE WITNESS: I'll waive that.
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                      VIDEOTAPE OPERATOR:
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                                            Will counsel
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1	waive all filing requirements?
2	MR. WANTZ: Yes.
3	MR. PARIS: Yes.
4	VIDEOTAPE OPERATOR: We're off the
5	record.
6	(Signature waived.)
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<u>C E R T I F I C A T E</u>

The State of Ohio,) SS County of Cuyahoga.)

I,. Gala J. Marzec, a Notary Public within and for the State of Ohio, authorized to administer oaths and to take and certify depositions, do hereby certify that the above-named DENNIS BROOKS, M.D. Was by me, before the giving of his deposition, first duly sworn to testify the truth, the whole truth, and nothing but the truth; that the deposition as above-set forth was reduced to writing by me by means of stenotypy, and was later transcribed into typewriting under my direction; that this is a true record of the testimony given by the witness, and the reading and signing of the deposition was expressly waived by the witness and by stipulation of counsel; that said deposition was taken at the aforementioned time, date and place, pursuant to notice or stipulation of counsel; and that I am not a relative or employee or attorney of any of the parties, or a relative or employee of such attorney, or financially interested in this action.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of office, at Cleveland, Ohio, this 23rd day of Warch A.D.

Hala J. Marzec, Motary P

Gala J. Marzec, Notary Public, State of Ohio 1750 Midland Building, Cleveland, Ohio 4411 My commission expires September 25, 1995

WITNESSINDEX

2 PAGE 3 ORAL EXAMINATION 3 4 DENNIS BROOKS, M.D. BY MR. WANTZ 5 11 ORAL EXAMINATION 6 DENNIS BROOKS, M.D. BY MR. PARIS 7 18 FURTHER ORAL EXAMINATION 8 DENNIS BROOKS, M.D. BY MR. WANTZ 9 19 FURTHER ORAL EXAMINATION DENNIS BROOKS, M.D. 10 BY MR. PARIS 11

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