

IN THE COURT OF COMMON PLEAS  
OF ERIE COUNTY, OHIO

CANDACE J. HATCH, et al.,

Plaintiffs,

vs.

LESTER J. TIMAR, et al.,

Defendants.

Case No.

91-CV-477

Videotape deposition of DENNIS B.

BROOKS, M.D., a Witness herein, called by the  
Defendant Timar for examination under the  
statute, taken before me, Wendy L. Klauss, a  
Notary Public in and for the State of Ohio,  
pursuant to notice and stipulations, at the  
offices of Dennis B. Brooks, M.D., 26900 Cedar  
Road, Beachwood, Ohio, on Wednesday, February 14,  
1996, at 3:44 o'clock p.m.

COPY

## 1 APPEARANCES:

2 On behalf of the Plaintiffs:

3 Murray &amp; Murray, by

4 CHARLIE MURRAY, ESQ.

5 111 East Shoreline Drive

6 Sandusky, Ohio 44870

7 (419) 624-3000

8 On behalf of the Defendant Timar:

9 Flynn, Py &amp; Kruse, L.P.A., by

10 JOHN D. PY, ESQ.

11 165 East Washington Row

12 Sandusky, Ohio 44870

13 (419) 625-8324

14 On behalf of the Defendant Stout:

15 Savoy, Bilancini,

16 Flanagan &amp; Kenneally, by

17 JEROME J. SAVOY, ESQ.

18 595 West Broad Street

19 Elyria, Ohio 44035

20 (216) 323-1650

21 ----

## 22 ALSO PRESENT:

23 Kurt Henschel, Video Technician

24 ----

25

1 MR. HENSCHER: The time is 3:44, we  
2 are on the record. Would the notary, please swear  
3 in the witness;

4 DENNIS B. BROOKS, M.D., of lawful age,  
5 called for examination, as provided by the Ohio  
6 Rules of Civil Procedure, being by me first duly  
7 sworn, as hereinafter certified, deposed and said  
8 as follows:

9 EXAMINATION OF DENNIS B. BROOKS, M.D.

10 BY MR. PY:

11 Q. Good afternoon, doctor. Would you  
12 state your name for the record, please?

13 A. Good afternoon. My name is Dennis  
14 Bruce Brooks.

15 Q. And what is your profession?

16 A. I'm an orthopedic surgeon.

17 Q. What is your professional address?

18 A. 26900 Cedar Road in Beachwood, Ohio.

19 Q. And how long have you been a  
20 physician?

21 A. I have been a physician since  
22 graduating from medical school in 1963, 33  
23 years.

24 Q. Can you tell me and the ladies and  
25 gentlemen of the jury your educational

1 background?

2 A. Yes. I graduated from Harvard  
3 University in 1959 with a Bachelor of Arts  
4 degree. I then attended Western Reserve  
5 University School of Medicine and graduated from  
6 there with a degree of Doctor of Medicine in  
7 1963.

8 Following that I served as a rotating  
9 intern at the Mt. Sinai Hospital of Cleveland for  
10 one year, and then as a general surgery resident  
11 also at Mt. Sinai for one year. My third and  
12 fourth years of postgraduate training was as an  
13 orthopedic resident at Mt. Sinai Hospital.

14 During my fifth postgraduate year, I  
15 was a National Institute of Health research  
16 associate in the biomechanics laboratory of Case  
17 Western Reserve University.

18 And my sixth and final year of  
19 postgraduate training was in children's  
20 orthopedics at the Indiana University Medical  
21 Center.

22 Q. Have you had -- you have been  
23 licensed in the State of Ohio; is that correct?

24 A. Yes.

25 Q. And you indicated that you are an

1 , orthopedic physician?

2 A. Yes.

3 Q. And have you been certified in that  
4 area?

5 A. Yes.

6 Q. And can you tell the ladies and  
7 gentlemen of the jury what that is.. Is that  
8 something other than licensure?

9 A. Yes. Board certification is very  
10 different from state licensure. In order to  
11 become board certified, I had to complete a  
12 postgraduate training program much like I  
13 outlined, I had to practice orthopedic surgery to  
14 the exclusion of other branches of medicine for  
15 one year in one location, I had to submit letters  
16 of recommendation from my peers, and then had to  
17 take an examination which in my case was a full  
18 day written examination and a half day oral  
19 examination.

20 Q. That examination is given by whom?

21 A. That examination is given by the  
22 American Board of Orthopedic Surgery.

23 Q. And did you pass?

24 A. Yes.

25 Q. What is orthopedics?

1  
2  
3  
4  
5  
6  
7  
8

10  
11  
12  
13  
14  
15  
16  
17  
18

19 which allow me to admit patients to a particular  
20 hospital, to use the facilities of that hospital,  
21 if you will.

22 Q. And what hospital or hospitals do you  
23 have staff privileges at?

24 A. Mt. Sinai Medical Center of  
25 Cleveland.

1 Q. With respect to associations or  
2 societies, are you a member of any?

3 A. Yes.

4 Q. Can you give us a representative  
5 sampling of the ones that you belong to?

6 A. Yes. I'm a member of The American  
7 Academy of Orthopedic Surgeons, The International  
8 Society of Orthopedics and Traumatology, The  
9 Clinical Orthopedic Society and the state and  
10 local orthopedic societies.

11 Q. Have you had any teaching in your  
12 background?

13 A. Yes.

14 Q. And what teaching have you done?

15 A. I'm presently an assistant clinical  
16 professor of orthopedic surgery at Case Western  
17 Reserve University, I'm active in the orthopedic  
18 residency teaching program at the Mt. Sinai  
19 Medical Center, and I lecture in the field of  
20 biomechanics.

21 Q. Sometime ago you mentioned your  
22 certification process and that you are  
23 certified. Have you recently had to be  
24 recertified?

25 A. Yes.

1 Q. And will you explain to me again what  
2 is meant by recertification?

3 A. Recertification merely means going  
4 through the process again. In order to become  
5 recertified, I had to take another examination  
6 and then had to submit what seemed like a ton of  
7 paperwork that attested to my practice as an  
8 orthopedic surgeon since I returned to the  
9 Cleveland area in 1971.

10 Q. Was there some other reason for you  
11 to be recertified?

12 A. One of the other reasons was that I  
13 am an examiner for the American Board of  
14 Orthopedic Surgery, and although my particular  
15 certificate was not time limited, because I'm an  
16 examiner, I was asked to take the certification  
17 examination so that I could give that same  
18 examination to candidates.

19 Q. Dr. Brooks, I'm not sure I quite  
20 understand. You are an examiner of what?

21 A. I apologize. I'm not being very  
22 clear.

23 I have the privilege of being an  
24 examiner for The American Board of Orthopedic  
25 Surgery.



1           Q.     And are these candidates to become  
2 board certified?

3           A.     Yes.  If somebody wants to be  
4 certified by the American Board of Orthopedic  
5 .Surgery, they have to pass two examinations:  One  
6 is a written examination and one is an oral  
7 ., examination, and I help to conduct the oral  
8 examination.

9           Q.     I see.  Have you had an opportunity  
10 to do consults for other fellow physicians?

11          A.     Yes;

12          Q.     And what is a consult?

13          A.     A consult, or a consultation really,  
14 is an examination where a patient is referred to  
15 me by another physician, and both the patient and  
16 the physician want my opinion as to what the  
17 nature of their present problem is and what the  
18 cause of that problem might be and what possible  
19 suggestions that I have for treating that  
20 particular problem.

21          Q.     At my request, did you perform an  
22 independent medical examination on a Mrs. Hatch?

23          A.     Yes.

24          Q.     What is an IME or independent medical  
25 examination?

1           A.     An independent medical examination is  
2     an examination that is performed by a physician  
3     who has not treated the individual previously,  
4     who has no intention of treating the patient in  
5     the future, and as I understand my role in  
6     performing an independent medical evaluation, it  
7     is to determine what injuries, if any, the  
8     individual sustained as a result of a specific  
9     event and what residuals of those injuries they  
10    have at the time I examine them.:

11           Q.     When you say residuals, what do you  
12    mean?

13           A.     What is left over.

14           Q.     Okay. Are there any similarities in  
15    your way of thinking to a consultation and an  
16    IME?

17           A.     Yes.

18           Q.     And what are those similarities?

19           A.     Well, the similarities are that there  
20    are certain consultations where I will only see a  
21    patient on a onetime basis, as I do during an  
22    independent medical examination, obtain a  
23    history, which I do for both, perform a physical  
24    examination, review records if they are  
25    available, and formulate an opinion.

1 Q. With respect to the procedure that  
2 you have set out for yourself when conducting a  
3 consult or an IME, do you have a standard  
4 procedure that you follow?

5 A. 'Yes. . . .

6 Q: . And what is that procedure?

7 A. I introduce myself to the patient,  
8 obtain the patient's history, examine that part  
9 of the body about which they are making  
10 complaints, order radiographs of the part of the  
11 body about which they are complaining and review  
12 any records that might be available, and then  
13 write a letter to either the referring doctor, in  
14 terms of a consultation, or the referring  
15 attorney, in terms of an independent medical  
16 examination.

17 Q. With respect to your involvement with  
18 Mrs. Hatch, did you write such a letter?

19 A. Yes.

20 Q. And its date is?

21 A. December -- I'm sorry, November 16,  
22 1993.

23 Q. With respect to the date of the IME,  
24 what was its date?

25 A. November 16, 1993.

1 Q. For the ladies and gentlemen of the  
2 jury, would you outline for them the records that  
3 you reviewed in preparation of that IME?

4 A. None.

5 Q. Did you review any records after your  
6 physical examination of Mrs. Hatch?

7 A. Yes.

8 Q. And would you outline for the jury  
9 what records you did have an opportunity to  
10 review after your examination?

11 A. The emergency room record of St.  
12 Joseph's Hospital for December 20, 1989; Dr.  
13 Eren's office records for the examination of  
14 December 27, 1989 and an office record entry for  
15 January 15, 1990; Dr. Patterson's office records  
16 for the period between January 26th, 1990 and  
17 October 22, 1990; Dr. Elghazawi's records for the  
18 period between August 24, 1990 and April 30,  
19 1991; Dr. Elghazawi's letters of February 20,  
20 1991 and March 4, 1991; the MRI of the cervical  
21 spine that was obtained on September 14, 1990.

22 Q. Doctor, in response to one of my  
23 earlier questions, you indicated that you did not  
24 review any records prior to actually meeting Mrs.  
25 Hatch and conducting a physical examination.

1 , What is your thinking or reasoning for that?

2 A My reasoning for that is, to treat  
3 Mrs. Hatch as I do any other patient, go in and  
4 obtain a history from her, examine her, and then  
5 review her records so that when I go in, I have,  
6 if you will, an open mind. I listen to her  
7 story, she tells me what her problems are and  
8 then I review her records.

9 Q. What history did Mrs. Hatch give to  
10 you?

11 A. She told me that she had been  
12 involved in an accident on approximately December  
13 19, 1989 when she was driving an automobile which  
14 was moving when it was involved in an accident  
15 with the second car. She indicated that the left  
16 front end and driver's side of her car was  
17 damaged.

18 She was wearing seat belts at the  
19 time of accident, and went, as she described it,  
20 forward and to the left. I jerked and flew back,  
21 she said. She told me that she struck her head  
22 on the driver's side door but was not rendered  
23 unconscious.

24 Following the accident, her neck and  
25 the area from, as she described it, just below

1 the scapula and up was stiff.

2 The following day she was examined in  
3 the emergency room at St. Joseph's Hospital. She  
4 was released with a prescription for medication.

5 Within two days of the accident, she  
6 was examined by Dr. Blanford at St. Joseph's  
7 Hospital. He suggested that she be evaluated by  
8 her family physician.

9 She was then examined by Dr. Eren who  
10 suggested that she continue with medication.  
11 Mrs. Hatch told me 'that she contacted Dr.' Eren  
12 again and that she seek treatment by either what  
13 she referred to as 'an ortho or neuro man.

14 Mrs. Hatch spoke to Dr. Blanford, and  
15 Dr. Blanford referred her to Dr. Patterson.

16 She went on to tell me that in  
17 approximately January of 1990, she did come under  
18 the care of Dr. Patterson. He suggested, quote,  
19 a couple of different treatments and physical  
20 therapy, sleep drugs and muscle relaxants,  
21 unquote. She was reexamined by Dr. Patterson at  
22 varying intervals during the remainder of the  
23 year. He also suggested jogging and weight  
24 loss.

25 She went on to tell me that during

1 the latter part of 1990, she was evaluated by Dr.  
2 Elghazawi at the Cleveland Clinic. He obtained  
3 an MRI, which she indicated to me revealed mostly  
4 soft tissue damage.

5 She received treatment from Dr.  
6 Patterson during the early part of 1991. During  
7 the remainder of 1991, as well as during 1992,  
8 she continued under Dr. Elghazawi's care. During  
9 1993, she was examined by Dr. Elghazawi  
10 approximately every month.

11 So that concluded the first part of  
12 her history: What she told me had happened  
13 between the time of her accident and December of  
14 1989 and the time that I saw her in November of  
15 1994.

16 Q. You say that was the first part of  
17 her history. Is there a second part?

18 A. Yes.

19 Q. And what would that be, doctor?

20 A. I asked her what her complaints were  
21 at the time that I examined her.

22 Q. And what were those complaints?

23 A. She told me, quote, I go to work  
24 every day regardless. I don't do housework. She  
2E went on to explain that she had symptoms

1 referable to her, neck and upper back. She  
2 experienced what she described as stiffness, pain  
3 and decreased joint mobility. She told me the  
4 stiffness and pain were present all the time.  
5 They were most pronounced in the morning and  
6 following cessation of activity.

7 Her symptoms were decreased by  
8 exercising and by taking medication. She  
9 alternated Toradol with Motrin. She was  
10 presently taking Toradol and had taken two on the  
11 day that I examined her.

12 She also noted a decrease in her  
13 activities and indicated, quote, I don't do them  
14 as well or as long. For example, while holding a  
15 retractor in surgery with her right hand, she  
16 told me, she did not have the strength which she  
17 had had previously. She would also develop pain  
18 in the superior aspect of her right shoulder.  
19 She had difficulty with bending and lifting.

20 Previously she was able to lift up a  
21 lamp, dust under the lamp and replace the lamp.  
22 She told me that at the time that I examined her,  
23 she would now have to lift the lamp with both  
24 hands.

25 She had no associated arm radiation.



1 She told me that, when she laughed, she would  
2 experience pain in the back of her neck which  
3 radiated into her head.

4 And so that completed the second part  
5 of the history.

6 Q. Given the presenting complaints that  
7 she gave you, then what did you do next?

8 A. I inquired about her past medical  
9 history.

10 Q. And what did you learn?

11 A. I learned that she had not had any  
12 symptoms referable to her neck before --

13 Q. Excuse me.. When you say past  
14 medical, you mean the pre the December 89  
15 accident?

16 A. Yes.

17 Q. Okay.

18 A. Sorry. She had not had any symptoms  
19 referable to her neck before the December, 89  
20 accident. She had been involved in an accident  
21 approximately 25 years before that accident when  
22 she was struck from behind. She told me that she  
23 did not sustain any injuries.

24 After the December, 1989 accident,  
25 she was involved in **an** accident on approximately

1. October 24, 1993,

2. Q. Was that just a month before you  
3. happened to examine her?

4. A. Yes.

5. Q. Okay.

6. A. At that time she was driving an  
7. automobile which was moving. A second vehicle  
8. struck the back driver's side door. She  
9. indicated, quote, I really didn't do too much of  
10. anything. She told me she did not experience any  
11. increasing pain in her upper back. She talked to  
12. Dr. Elghazawi and was reexamined by him  
13. approximately four to five days after the October  
14. 24th accident..

15. She indicated that the most recent  
16. accident, the one in October of 93, quote, caused  
17. some low back pain, unquote.

18. And that completed her history.

19. Q. Okay. Is it fair to say that her  
20. presenting complaints were of the neck and upper  
21. back areas?

22. A. Yes.

23. Q. What did you do next then in light of  
24. those presenting complaints?

25. A. I examined her neck, upper back and

1 upper extremities,.

2 Q. And what did your examination -- what  
3 is the purpose of a physical examination?

4 A. I'm sorry. Sometimes the easiest  
5 questions, are the hardest to answer.

6 Q. The purpose of a physical examination  
7 is to attempt to determine the basis for the  
8 patient's symptoms, to find if there are any  
9 things on physical examination that substantiate  
10 their symptoms or explain their symptoms.

11 Q. You are familiar, I'm sure, with  
12 objective signs and subjective symptoms?

13 A. Yes.

14 Q. And can you tell the ladies and  
15 gentlemen the difference between objective and  
16 subjective?

17 A. Yes. Something that is objective, an  
18 objective finding, is something that I can  
19 observe or I can measure without the input of the  
20 subject. A subjective symptom is what the  
21 patient complains of in the history portion, and  
22 a subjective finding is a finding that  
23 necessitates their input.

24 To say it more simply: You are  
25 wearing a blue shirt. That's an objective

1 finding. I don't know if your collar is too  
2 tight or not. If it is, you would have to tell  
3 me. So that would be a subjective complaint.

4 Q. I see.

5 A. Now; if I asked you to move your head  
6 back and forth, that would be a subjective  
7 finding, because you are doing it, I'm not doing  
8 it.

9 Q. With respect to the physical  
10 examination then that you embarked on, can you  
11 tell us what your findings were, what you did and  
12 what your findings were?

13 A. Yes. I began the examination with  
14 observation, and I noticed that Mrs. Hatch was a  
15 female of approximately her stated age, who was  
16 of short stature and considerably overweight.  
17 She told me that her height was  
18 5 feet 4 inches and her weight approximately 215  
19 pounds. I noted that she got out of the chair  
20 without difficulty, that she walked without  
21 limping, and that she was able to climb onto and  
22 off of the examining table in a normal fashion.

23 Q. What do you mean by that?

24 A. I don't understand your question.

25 Q. That she was able to get out of a

1 chair and climb onto the examining table in a  
2 normal fashion, what did you mean by that?

3 A. I said that she was able to get out  
4 of the chair without difficulty. She didn't need  
5 the assistance of her hands.. She just stood **up**  
6 in a normal fashion.

7 I'm tall, I'm 6 foot 2. My examining  
8 tables are higher than the normal examining  
9 table. In order to get onto the examining table  
10 and come down from the examining table, she had  
11 to step on to a step stool, and then to get onto  
12 the examining table, reverse the process. She  
13 was able to do that normally.

14 So those are general overall  
15 observations of normal muscle strength, normal  
16 mobility. .

17 Q. Then after she was on the examining  
18 table, what did your examination consist of?

19 A. When she was on the examining table,  
20 I performed a neurological examination of the  
21 upper extremities, and noted that she had normal  
22 deep tendon reflexes, motor power and sensory  
23 perception.

24 Q. Can you explain to us what deep  
25 tendon reflexes are?

1. A. Yes. The deep tendon reflexes are  
2 the things that we elicit with the little red  
3 rubber hammer, your biceps jerks. I didn't test  
4 them in this particular person because it wasn't  
5 relevant, but I think you all know what a knee  
6 jerk is or a ankle jerk.

7 Q. Then you mentioned something about  
8 muscle testing?

9 A. Yes.

10 Q. What was that?

11 A. I tested each of the muscle groups in  
12 her arms, her upper extremities, and found that  
13 the strength of those groups was normal.

14 Q. Earlier I believe in the history you  
15 indicated that Mrs. Hatch told you that she had  
16 some difficulty and gave an example, at least, of  
17 having difficulty holding a retractor in surgery;  
18 is that correct?

19 A. That's what she told me, yes.

20 Q. As a consequence of that, did you pay  
21 particular attention to her strength of her right  
22 arm and grip?

23 A. I did test her strength in both arms  
24 and the grip in both upper extremities, because  
25 that's part of a normal examination or a routine

1 examination. She did have complaints referable  
2 to that area, and her strength was normal.

3 Q. Staying with the shoulders then at  
4 this moment, did you find any other findings  
5 related to the shoulders?

6 A. No. She had no evidence of atrophy,  
7 no evidence of deformity, no evidence of  
8 tenderness, and a complete range of motion.

9 Q. Now, by complete range of motion,  
10 what do **you** mean?

11 A. Gee, I thought I was going to be able  
12 to shorten something. Okay.

13 She had normal abduction, which is  
14 the ability to raise her arm from the side,  
15 forward flexion, which is raising it this way,  
16 external rotation, which is turning it out that  
17 way, horizontal flexion, which is bringing your  
18 arm in front, and internal rotation, which was  
19 symmetrical.

20 Q. And Mrs. Hatch was able to do that  
21 without any problems?

22 A. Yes.

23 Q. Did your examination include anything  
24 else with respect to the shoulders?

25 A. No.

1           Q.       What, was the next area that you  
2 examined?

3           A.       Well, there were other areas I  
4 examined, not in the particular order that we are  
5 discussing.

6                   The first area that I examined was  
7 her cervical spine, her neck.

8           Q.       And what did you find with respect to  
9 the cervical spine?

10          A.       I noted that she had normal curvature  
11 of her cervical spine, that there was no evidence  
12 of spasm, there were no areas of localized  
13 tenderness with palpation of the cervical spine,  
14 pericervical muscles or trapezius muscles. There  
15 was normal cervical flexion and extension.

16                   Before she performed the right  
17 lateral rotation, she rotated her body. She then  
18 performed right lateral rotation and left lateral  
19 rotation completely.

20                   There was approximately 25 percent  
21 reduction in lateral bending bilaterally.

22          Q.       You mentioned some terms there in  
23 discussing the cervical spine of spasm and  
24 tenderness. Is there a distinction between those  
25 two terms?



1 A. Yes.

2 Q. And what is spasm, what is  
3 tenderness, and what is the distinction?

4 A. Spasm is a sustained contraction of a  
5 muscle, much like a charley horse. Tenderness is  
6 a subjective complaint that the patient indicates  
7 when I touch her, palpate a particular area, they  
8 will tell me that it hurts. That is translated  
9 into tenderness.

10 So there is a great distinction  
11 between the two.

12 Q. So in an examination of the cervical  
13 spine, you found no spasm or tenderness; is that  
14 correct?

15 A. Correct.

16 Q. Okay. And then you mentioned  
17 something about the lordotic curvature of the  
18 cervical spine, correct?

19 A. Yes.

20 Q. Is that something that can be  
21 affected by trauma?

22 A. Yes.

23 Q. What was the finding with respect to  
24 Mrs. Hatch's lordotic curvature?

25 A. It was normal.

1 Q. How do you determine if the lordotic  
2 curvature is normal?

3 A. By looking.

4 Q. Okay. Is there any other way of  
5 doing it?

6 A. I don't have a lordotic measurer.

7 You know, after 26 years in practice, you look at  
8 somebody's neck, and, you know, the curvature is  
9 either normal, there is a normal C-shaped  
10 configuration, or it is flattened or it is  
11 reversed.

12 Q. Would any adverse effect on the  
13 lordotic curvature be shown on x-rays?

14 A. There are things that cause changes  
15 in the cervical lordosis. Anything from  
16 patient's voluntary or involuntary positioning to  
17 fracture dislocations.

18 Q. Did you take any x-rays or have  
19 x-rays taken of the cervical spine?

20 A. Yes.

21 Q. In the history that you had, was  
22 there any indication that x-rays of the cervical  
23 spine had been taken from the time of the  
24 December, 89 accident until the time she saw you?

25 A. No.

1 Q. When are x-rays recommended?

2 A. They are recommended when -- I'm not  
3 trying to be a wise guy, but they are recommended  
4 when the patient's symptoms and patient's history  
5 warrant it.

6 For example, if someone comes into  
7 emergency room and they have been involved in a  
8 traumatic event, the emergency room physician  
- 9 will obtain a history as to the degree of  
10 trauma. Certainly if there has been significant  
11 trauma, the patient nowadays is brought in on a  
12 board with their head immobilized; and one of the  
13 first things they do is obtain screening.  
14 radiographs of the cervical spine.

15 If on the other hand the patient  
16 walks into the emergency room on the day after an  
-17 accident and has minimal, if any, complaints  
18 referable to a certain part of their body and  
19 there are no physical findings, then radiographs  
20 aren't necessary.

21 Q. With respect to the pericervical  
22 area, where is that?

23 A. On either side of the cervical  
24 spine.

25 Q. And did you examine that area of Mrs.

1 , Hatch?

2 A. Yes.

3 Q. And the findings of that examination?

4 A. There was no tenderness with  
5 palpation of those areas, and there was no  
6 spasm.

7 Q. With respect to the trapezius area,  
8 where is that located?

9 A. The trapezius is the large muscle  
10 that extends from the side of your neck over the  
11 top of your shoulder down to the area adjacent to  
12 your scapula. It is much like a shawl.

13 Q. And what were your findings with  
14 respect to your physical examination of that  
15 area?

16 A. It was normal.

17 Q. By that, there is no tenderness nor  
18 spasm?

19 A. That's correct.

20 Q. You mentioned your findings with  
21 respect to the cervical range of motion.

22 A. Yes.

23 Q. What would be the significance, if  
24 any, of the limitation that you noted in Mrs.  
25 Hatch's ability to bend her neck?

1 A. She demonstrated approximately 25  
2 percent reduction in lateral bending  
3 bilaterally.

4 Generally no one finding is of such  
5 great significance that you can make a  
6 diagnosis. Obviously if someone comes in and  
7 tells me they have fallen down and they have a  
8 deformed forearm and I can see that they have a  
9 fracture, I don't need to do much else besides  
10 that, but we are talking about range of motion.

11 So of all the planes in which she  
12 could move her neck, there was a minor degree of  
13 limitation of bending. Given the lack of spasm,  
14 the lack of tenderness, the normal motion in the  
15 other planes and the normal radiographs, that  
16 finding was of no significance.

17 Q. Could you find any objective signs to  
18 substantiate why she could not bend her neck in a  
19 normal fashion?

20 A. No.

21 Q. Did you examine another area of Mrs.  
22 Hatch's spine?

23 A. Yes, her thoracic spine or her mid  
24 back.

25 Q. And what were your findings with

1 , respect to that,, doctor?

2 A. There was no evidence of deformity or  
3 spasm, there was tenderness with the lightest of  
4 palpation in the upper and lower thoracic spine.  
5 There was no parascapular tenderness, and really  
6 that's all that you can do with respect to the  
7 thoracic spine.

8 Q. Earlier you indicated that tenderness  
9 is a subjective finding.

10 A. Yes.

11 Q. Were you able to find any objective  
12 explanation for this subjective complaint of  
13 tenderness when you palpated Mrs. Hatch's  
14 thoracic spine?

15 A. No.

16 Q. And is there any significance to this  
17 subjective complaint as it would affect Mrs.  
18 Hatch?

19 A. Well, actually in Mrs. Hatch's case,  
20 the complaints of tenderness with the lightest of  
21 palpation represents what is referred to as an  
22 inappropriate response.

23 Q. What do you mean by that?

24 A. If someone has an injury and that  
25 injury causes some structural damage to a muscle,

1 that muscle is going to be sore, or the  
2 individual is going to complaint of tenderness  
3 with palpation, but that muscle is deep. That  
4 muscle, especially in someone of Mrs. Hatch's  
5 body habitus, is below the skin, below the  
6 subcutaneous or fatty layer, and below the fascia  
7 or the covering over the muscle.

8 Merely stroking the skin, which is  
9 the lightest of palpation, you can't palpate any  
10 lighter than that, should not cause any  
11 subjective complaints of pain.

12 So that's an 'inappropriate' response.  
13 When I lightly palpated her thoracic spine, she  
14 told me that it hurt.

15 Q. Doctor, with respect to x-rays, we  
16 already established that you had some cervical  
17 x-rays done. In your review of the records, was  
18 there any indication of x-rays being done of the  
19 cervical or thoracic areas?

20 A. No.

21 Q. You did not examine the lumbar spine,  
22 did you?

23 A. That's correct, I did not.

24 Q. And is there any particular reason  
25 why, doctor?

1           A.       Yes. Mrs. Hatch had no complaints  
2 referable to her lumbar spine.

3           Q.       In the history that Mrs. Hatch gave  
4 you, she indicated that she bumped her head, did  
5 she?

6           A.       Yes.

7           Q.       The day of the accident was December  
8 the 19th; Was it the following day that she went  
9 to the emergency room?

10          A.       Yes.

11          Q.       And have you had an opportunity to  
12 review the emergency room record?

13          A.       Yes.

14          Q.       Was there any indication on that  
15 record that Mrs. Hatch had an objective finding  
16 consistent with a bump on the head?

17          A.       There was not.

18          Q.       With respect to the emergency room  
19 record, then, what was reflected thereon **as** to  
20 Mrs. Hatch's appearance?

21          A.       She appeared in no acute distress.

22          Q.       And what does acute mean?

23          A.       Like right now **as** opposed to or of  
24 sudden onset or recent onset. As opposed to  
25 somebody who appears chronically ill, like they



1 , have been that way for a long time.

2 Q. The neck was examined by the  
3 emergency room staff; was it not?

4 A. Yes..

5 Q. And the term is 'in the record neck is  
6 ,supple.' What does that mean?

7 A. It means that there was no spasm and  
8 that it moved freely.

9 Q. Was any tenderness noted of the  
10 neck?

11 A. No. I

12 Q. Any spasm noted of the neck or  
13 thoracic areas?

14 A. No.

15 Q. There was a diagnosis made by the  
16 emergency room staff; is that correct?

17 A. Yes.

18 Q. And what was that diagnosis?

19 A. Thoracic back strain.

20 Q. What would you expect to be the  
21 normal course for a strain of that nature?

22 A. Well, given the emergency room record  
23 at that time and given my review of Dr. Eren's  
24 records, I would say four to six weeks.

25 Q. And you say Dr. Eren. In your review

1 of his records, what about those records would --  
2 did you find of importance?

3 A. He examined Mrs. Hatch eight days  
4 after the accident, and by that time, she was,  
5 'quote; better now.' She had mild/spine back  
6 tenderness. His impression was generalized  
7 'aching secondary to a motor vehicle accident.

8 He prescribed some very mild  
9 analgesics, pain-medication, did not order any  
10 radiographs, and then on January 15 was when he  
11 received the phone call that she had, quote,  
12 'severe back spasms.'

13 Q. In his examination of her though in  
14 December, did he find any spasm?

15 A. No.

16 Q. There is a notation on his records,  
17 the capital letters F R O M. What is that  
18 acronym for?

19 A. That stands for full range of  
20 motion. It means that a joint moves freely.

21 Q. Did Mrs. Hatch report to either the  
22 emergency room doctors or Dr. Eren any low back  
23 involvement as a consequence of the December, 19,  
24 1989 accident?

25 A. No.

1 Q. With respect to Dr. Patterson's  
2 records, have you had an opportunity to review  
3 them also?

4 A. Yes.

5 Q. And in the history given to Dr.  
6 Patterson, was there any neck symptoms related?

7 A. When she saw Dr. Patterson five weeks  
8 after the accident, she did not have any neck  
9 symptoms per se.

10 Q. Ultimately was Mrs. Hatch discharged  
11 by Dr. Patterson?

12 A. Ultimately, yes.

13 Q. And at what time was that?

14 A. He released her from his treatment on  
15 October 22, 1990, which was approximately nine  
16 months after he started treating her.

17 Q. And by the time that Mrs. Hatch was  
18 discharged by Dr. Patterson, had she shown  
19 improvement?

20 A. Yes, she had.

21 Q. With respect to the physical  
22 examination at that time, what did it show as to  
23 the range of motion of the cervical thoracic and  
24 lumbar spines?

25 A. She had, quote, a good range of

1 motion.

2 Q. Doctor, what does the term prognosis  
3 mean?

4 A. That's our best guesstimate of what  
5 is going to occur in the future.

6 Q. With respect to Dr. Patterson's  
7 records, do they reflect a prognosis of good?

8 A. Yes.

9 MR. MURRAY: Objection.- Move to  
10 strike.- Hearsay.'

11 Q. At that time, doctor, was there any  
12 reason to foresee significant sequela in the  
13 future for Mrs. Hatch?

14 A. No.

15 Q. What is sequela?

16 MR. MURRAY: Objection. Again move  
17 to strike..

18 A. Sequela is what results from, what is  
19 left over or what results from.

20 Q. Okay. I believe sometime ago you  
21 mentioned that there was an MRI study done?

22 A. You keep saying sometime ago. Has  
23 this been going on that long?

24 Q. I'm sorry. I'm sorry, no.

25 A. Yes. I did mention that there was an

1 MRI performed.

2 Q. Was there anything shown on that MRI  
3 study that would suggest a traumatic injury?

4 A. No.

5 Q. Doctor, do you have an opinion to a  
6 reasonable degree of medical certainty as to the  
7 injury sustained by Mrs. Hatch as a direct and  
8 proximate result of the December 19, 1989  
9 accident in which she was involved?

10 A. Yes, I have an opinion.

11 Q. And what is that opinion?

12 A. I believe that she sustained a mild  
13 thoracic strain:

14 Q. When you say mild, what do you mean?

15 A. Again I'm not trying to sound like a  
16 wise guy, but it was not very severe. It was  
17 there, you could grade things into mild, moderate  
18 and severe, so she had an injury that was  
19 present, but it was a mild injury.

20 Q. You indicated that one of the  
21 purposes of an IME is to determine what, if  
22 anything, **is**, quote, unquote, left over.

23 With respect to your examination of  
24 Mrs. Hatch, do you have an opinion to a  
25 reasonable degree of medical certainty as to any

1 residuals that Mrs. Hatch still has as of the  
2 date of your examination of her as a direct and  
3 proximate result of the December 19, 1989  
4 accident?

5 A. Yes, I have an opinion.

6 Q. And what is that opinion, doctor?

7 A. She had no residuals of the December  
8 19th, 1989 accident when I examined her on  
9 November 16, 1993."

10 Q. Doctor, she had subjective  
11 complaints. Are you saying that you couldn't  
12 find anything objectively wrong with her?

13 A. That's correct.

14 Q. Is it your opinion then that she had  
15 fully recovered by the time you saw her?

16 A. Yes.

17 Q. Doctor, do you have an opinion to a  
18 reasonable degree of medical certainty whether or  
19 not Mrs. Hatch sustained a permanent disabling  
20 injury as a consequence of the December 19, 1989  
21 accident?

22 A. Yes, I have an opinion.

23 Q. And what is that?

24 A. She did not sustain any permanent  
25 disabling injuries as a result of that accident.

1 MR. PY: Thank you, doctor.

2 MR. MURRAY: Objection. Move to  
3 strike the last two questions and answers as  
4 repetitive.

5 MR. PY: I have nothing else.

6 THE WITNESS:: Can we take a break for  
7 two minutes.

8 MR. MURRAY: Sure. . . .

9 MR. HENSCHER: Off the record.

10 (Recess taken.). . . .

11 MR. HENSCHER: We are on the record  
12 at 4:33. . . .

13 EXAMINATION OF DENNIS B. BROOKS, M.D.

14 BY MR. MURRAY:

15 Q. Doctor, good afternoon. My name is  
16 Charlie Murray, and I represent Mrs. Hatch along  
17 with Nancy Ogen in this matter.

18 A. Good afternoon.

19 Q. In this matter, you conducted one  
20 examination, correct?

21 A. Yes.

22 Q. And that was back in 1993; am I  
23 correct?

24 A. Yes.

25 Q. And I take it you have not examined

1 , Candace Hatch since November 6, 1993, so that you  
2 do not know the condition of her today?

3 A. It was November 16, but, that's  
4 correct, I have not examined her since, and I do  
5 not know what her condition is today.

6 Q. You have a different relationship  
7 with this woman as opposed to your regular  
8 patients; is that correct?

9 A. Different physician-patient  
10 relationship, yes.

11 Q. In other words --

12 A. I don't have a relationship with this  
13 woman.

14 Q. In other words, you did not have a  
15 'physician-patient privilege relationship with  
16 her, 'correct?

17 A. I don't have a treating  
18 physician-patient relationship with her, that's  
19 correct.

20 Q. And you have no responsibility for  
21 follow-up treatment?

22 A. No.

23 Q. She was a cooperative witness -- or  
24 cooperative plaintiff; was she not?

25 A. Yes.



1 Q. Was she accurate?

2 A. In terms of -- I'm sorry, I guess I  
3 don't understand your question.

4 Q. In terms of her history, did she  
5 accurately relate it to you?

6 A. . The only way that I could verify her  
7 history was by referring to her medical records.  
8 For example, she told me that she struck her head  
9 on the driver's side door. I didn't find  
10 anything in the records to substantiate that or  
11 to corroborate that.

12 . Other than th'at, she also told me she  
13 had been treated by a Dr. Blanford. I have no  
14 record that she had been treated by Dr. Blanford.

15 Q. That doesn't mean that she is being  
16 inaccurate, does it?

17 A. Well, if she is not being accurate,  
18 then I guess maybe she is being inaccurate.

19 Q. Doctor, in all fairness, just because  
20 you didn't have the records of Dr. Blanford  
21 doesn't mean she was inaccurate?

22 A. Oh, with respect to that one item,  
23 no, absolutely not.

24 Q. And with respect to hitting her head  
25 on the window, not having that in the emergency

1 room record does not mean that she didn't hit her  
2 head, it's simply that the emergency room record  
3 did not reflect what she was telling you; is that  
4 correct?

5 A. That's 'correct.'

6 Q. In her situation, you went through  
7 with Mr. Py that she had a normal muscle strength  
8 in her arms; is that correct?

9 A. Yes.

10 Q. And when you say normal, you mean in  
11 comparison to the average person who you examine  
12 for her age; is that correct?

13 A: There are five gradations of muscle  
14 strength. This lady's strength was five out of  
15 five or was normal. It is not with respect to  
16 the average population, but it is with respect to  
17 this grading scale.

18 Q. Do you have any way to compare Mrs.  
19 Hatch's strength prior to the automobile  
20 collision?

21 A. With?

22 Q. The measurements that you obtained  
23 during your examination?

24 A. No, I didn't examine her before the  
25 automobile collision.

1 Q. And you never examined her low back  
2 or lumbar spine, correct?

3 A. Correct.

4 Q. And she told you, as you noted in  
5 your report on page two, that after the most  
6 recent accident, she had some low back pain; did  
7 she not?

8 A. That's what she told me, yes.

9 Q. Do you believe that people whom you  
10 conduct medical examinations for defense  
11 attorneys try to accurately depict their history  
12 to you?

13 A. The majority of them.

14 Q. Do you believe that Mrs. Hatch's  
15 weight is the cause of any of her complaints?

16 A. Not of any of the complaints that she  
17 made to me.

18 Q. You indicated on your direct  
19 examination that you felt her injury was real,  
20 and you indicated that it was mild, correct?

21 A. Yes.

22 Q. And this would be mild to you; would  
23 it not?

24 A. I'm sorry. I don't understand your  
25 question.

1 Q. The term that you used, mild thoracic  
2 strain, is mild to you; is it not?

3 A. Let me try to answer the question as  
4 I perceive it. I don't think you mean were I  
5 involved in a similar situation, I would consider  
6 myself to be mildly injured, because that's one  
7 interpretation of what your question is when you  
8 say to you:

9 I think that there are ways of  
10 grading injuries, and I firmly believe that if a  
11 number of orthopedic surgeons reviewed the same  
12 records, they would all say that she had a mild  
13 injury. There is a severity scale.

14 Q. All right, fair enough. And that is  
15 in relation to orthopedic surgeons?

16 A. Yes.

17 Q. Mild is in relation to orthopedic  
18 surgeons, correct?

19 A. Yes.

20 Q. Now, in talking about the thoracic  
21 strain that you diagnosed, that's a fairly  
22 catchall phrase; is it not?

23 phrase. No. I think it is a pretty specific

24 Q.

25 Strain can include, as an example, in

1 thoracic strain, that could include muscles and  
2 ligaments; could it not?

3 A. I'm not trying to be argumentative,  
4 but to prevent it from being a catchall phrase,  
5 we differentiate between a strain and a sprain.

6 For example, when you have an ankle  
7 sprain, that's when you injure ligaments. When  
8 you have a strain, you injury muscles. So I  
9 specifically chose the term thoracic strain to  
10 indicate that she had a soft tissue muscle  
11 non-ligamentous injury;.

12 Q. And when you say strain, that can be  
13 stretched muscles; can it not?

14 A. Yes.

15 Q. And it can also mean torn muscles?

16 A. Yes.

17 Q. And you would agree, doctor, that the  
18 complaint of Mrs. Hatch referable to her thoracic  
19 spine is consistent with cervical, thoracic  
20 strain; would you not?

21 A. The complaints at the time that I saw  
22 her?

23 Q. Yes.

24 A. At the time that I saw her, actually  
25 her complaints were not consistent with a

1 cervical and thoracic strain at the time that I  
2 saw her.

3 Q. And why is that not consistent,  
4 doctor?

5 A: Because if somebody has an injured  
6 muscle -- I'm sorry, let me state it  
7 differently.

8 ~~If somebody has injured a muscle,~~  
9 ~~stretched, torn, and that muscle is still~~  
10 ~~injured, activity doesn't make them feel better,~~  
11 ~~activity should make them feel worse. So they~~  
12 ~~get better with rest and worse with activity.~~

13 That was just the opposite of what  
14 her history was. She said that she was worse  
15 after she stopped activity and was better with  
16 activity.

17 Q. Doctor, she even indicated to you  
18 that she worked continuously, correct?

19 A. Yes.

20 Q. And would you agree that a person who  
21 works may use work to distract themselves from  
22 the pain, wouldn't you?

23 A. I have had no personal experience  
24 with people who work to distract themselves, so I  
25 can't give you an answer about that.

1           Q.       Wouldn't it be fairly **logical**,  
2       doctor, that a person who has pain and then  
3       engages themselves in something such as being a  
4       surgical nurse, someone who might work with you,  
5       they would be very distracted by their work and,  
6       therefore, not thinking about their injury?

7           A.       I don't know if it is logical or not,  
8       but it certainly speaks to the severity of their  
9       injury; **If** working in the operating room can  
10      distract them from their pain, then their pain  
11      can't be very significant:.

12          Q.       Okay; We can agree that Mrs. Hatch  
13      did not have at the time she saw you a surgical  
14      condition, right?

15          A.       Yes, we can certainly agree about  
16      that.

17          Q.       How do you define the term  
18      fibromyalgia?

19          A.       It is not **a** term that I use. You  
20      want me to define it, algia means pain, myo  
21      refers to muscle, fibro is the fibrous tissue, so  
22      it is painful fibrous and muscular tissue.

23          Q.       And would a **25** percent reduction in  
24      lateral bending bilateral be consistent with  
25      fibromyalgia?

1 A. No.

2 Q. How do you define chronic, doctor?

3 A. Something that has gone on for a long  
4 time, like this deposition.

5 Q. You would agree, doctor, that Candace  
6 had conservative treatment during the times she  
7 was under the care of Drs. Eren, Patterson and  
8 Elghazawi; would you not?

9 A. It is going to seem like I'm trying  
10 to give you a hard time, I'm not. She had  
11 nonoperative treatment. Sometimes the most  
12 conservative thing that you can do, that I can do  
13 as an orthopedic surgeon, is operate on someone.  
14 So I don't like to use that term.

15 . She certainly had nonoperative  
16 treatment.

17 Q. And I take it from your letter to Mr.  
18 Py, your answers from his examination that lasted  
19 most of this deposition, 45 minutes of the last  
20 hour, your analysis you do not believe that Dr.  
21 Elghazawi is correct in his analysis that the  
22 patient suffers from fibromyalgia?

23 A. That's correct. At the time that I  
24 examined Mrs. Hatch, I believe that she did not  
25 have fibromyalgia.



1 Q. Does Candace Hatch have the right to  
2 rely upon the opinion of her doctors in telling  
3 her what is wrong with her?

4 A. That's every patient's right.

5 Q. Don't patients usually rely upon  
6 their doctors, as they rely upon you?

7 A. Do patients rely upon their doctors,  
8 in general they do, certainly.

9 Q. Is it fair to say, doctor, that  
10 Candace Hatch had not been -- had she not been in  
11 an automobile accident on December 19, 1989, she  
12 would not have suffered the thoracic strain which  
13 you diagnosed?

14 A. That's correct.

15 Q. And you elicited from her in your  
16 history that she had no prior history of having  
17 back problems; did you not?

18 A. That's what she told me, yes.

19 Q. And in your opinion, you can tell  
20 \*when someone is faking and when they are not,  
21 right?

22 A. In my opinion, I can tell when  
23 somebody is faking on a physical examination,  
24 yes.

25 Q. And you have no specific notation in

1 your examination that you felt Candace Hatch was  
2 being dishonest with you in any way,,correct?

3 A. With respect to her history,  
4 correct.

5 Q. And it is your practice that if you  
6 feel a patient is shirking or exaggerating  
7 symptoms and not being truthful with you, you  
8 will put that right in your report, won't you?

9 A. Sometimes, sometimes not.

10 Q. Have you reviewed Dr. Elghazawi's  
11 records subsequent to your examination of  
12 November 16, 1993?

13 A. No.

14 Q. And for better or for worse, she came  
15 back to Dr. Elghazawi, and I'll ask you to assume  
16 that she continued treatment with Dr. Elghazawi,  
17 you are not critical of her choice to continue  
18 with treatment with Dr. Elghazawi, are you?

19 A. I'm only critical -- yes, I am  
20 critical in that at the time that I examined her,  
21 there was no need for her to have any additional  
22 treatment.

23 Q. You are critical of Dr. Elghazawi,  
24 not Mrs. Hatch; isn't that correct?

25 A. I think your question was were you

1 critical of Mrs. Hatch in going back to Dr.  
2 Elghazawi. My answer was yes, there was no need  
3 for her to seek any further treatment.

4 Q. . Did you send your report and **your**  
5 opinion to her?

6 A. No.

7 Q. Are you familiar with Dr. Manzec at  
8 the Cleveland Clinic?

9 A. At the Cleveland Clinic, I know that  
10 there is a Dr. Mazanec at the Cleveland Clinic.

11 Q. It is Manzec?

12 A. Manzec, I never met him.

13 Q. And you are familiar with Dr.  
14 Elghazawi?

15 A. Again it is somebody whose name I  
16 have seen from time to time. I have never met  
17 him.

18 Q. He has a different specialization  
19 than you; isn't that correct?

20 A. Yes.

21 Q. And you would agree that he is  
22 entitled to his **own** opinion, wouldn't you?

23 MR. PY: Who are we talking about?

24 MR. MURRAY: Elghazawi.

25 A. Yes.

1           Q.     Treating doctors not only diagnose,  
2 but they also treat, don't they?

3           A.     Treating doctors not only diagnose,  
4 but they also treat; otherwise, they would be  
5 called diagnosing doctors:

6           Q.     And that's all you did in this case  
7 was give your opinion on diagnosis, correct?

8           A.     My opinion as to causation and  
9 diagnosis; that's correct.

10          Q.     You have no responsibility for follow  
11 up?

12          A.     No.

13          Q.     You have no responsibility for Mrs.  
14 Hatch's treatment or medication?

15          A.     Correct.

16          Q.     And you have been doing medical  
17 examinations for defense attorneys since 1976 or  
18 77; isn't that correct?

19          A.     That's correct.

20          Q.     You were not selected by the Court in  
21 this case, right?

22          A.     Not that I'm aware of.

23          Q.     And you have served as an evaluator  
24 and expert witness in many cases for the defense  
25 attorneys during the last 19 or 20 years,

1 correct?

2 A. It depends upon your definition of  
3 many, but I have done some over the years,  
4 correct.

5 Q. Let me ask you to define that then.  
6 You conduct approximately three to four defense  
7 medical examinations per week, ,don't you?

8 A. Wrong.

9 Q. How many do you do now?

10 A. Three.

11 Q. And during the last year, as in every  
12 year since 1977, you have conducted approximately  
13 150 medical examinations for defense attorneys;  
14 isn't that correct?

15 A. No.

16 Q. Did you take a year off?

17 A. Three times -- I'm sorry. 150  
18 divided by three, if my math is still correct,  
19 would be 50. I don't work 50 weeks out of the  
20 year.

21 Q. How many weeks do you work a year  
22 now?

23 A. I take some vacation, probably three  
24 or four weeks, I go to meetings, so, you know, we  
25 are going to end up splitting hairs over 20, 30

1 exams a year or something.

2 Q. You would say that fairly in excess  
3 of 120 examinations during the last year; is that  
4 correct?

5 A. 40 times three, I would say 120 is a  
6 fair number, yes.

7 Q. And you are paid to examine the  
8 plaintiff; are you not?

9 A. I'm paid for all my medical services,  
10 so I'm also paid to perform this examination,  
11 yes.

12 Q. And you are paid to consult with the  
13 defense attorney; are you not?

14 A. Yes.

15 Q. And you are paid on an hourly basis?

16 A. Yes'.

17 Q. And you are paid for your time to  
18 testify in this as in all depositions; is that  
19 correct?

20 A. Yes.

21 Q. And you testify on average one a  
22 month?

23 A. I don't know how often I testify.

24 Q. Have you testified on a previous  
25 occasion during the month of February?

1           A.     Today is Wednesday. Yes, I have.

2           Q.     Did you testify in January?

3           A.     Yes.

4           Q.     Is it your understanding when you  
5 accept the request to conduct a defense  
6 examination, that if the case should go to trial,  
7 you will testify?

8           A.     Yes.

9           Q.     And if you are asked to examine a  
10 person, you will render your testimony freely,  
11 will you not, as opposed to for free?

12          A.     I will render my opinion honestly and  
13 objectively, and there will be a charge for my  
14 services, yes.

15          Q.     In your experience in the practice,  
16 have you ever had a patient who over a period of  
17 time eventually is diagnosed by **you**, but for whom  
18 a first impression or first care of this patient  
19 you did not find all the things which you later  
20 found and which led to your eventual diagnosis?

21          A.     Everybody understands that question,  
22 the answer is yes.

23          Q.     If Candace Hatch were to testify that  
24 your physical examination took less than ten  
25 minutes, would you have any reason to disagree

1 with that?

2 A. I don't time my examinations, so I  
3 wouldn't say yes or no. I did a comprehensive  
4 examination of the parts of the body about which  
5 she complained. There was nothing else to do,  
6 and so I was through.

7 Q. Doctor, in your own practice, you  
8 make a diagnosis of a patient's condition after  
9 examination of him or her; is that correct?

10 A. Correct.

11 Q. And on at least one occasion, you  
12 have later changed your diagnosis; have you not?

13 A. I'm sure that has occurred.

14 Q. Doctor, you would agree that as much  
15 as 15 percent of what you do in your practice in  
16 terms of income is on behalf of defendants in  
17 personal injury cases or medical malpractice  
18 cases; wouldn't you?

19 A. No, I wouldn't. If you are getting  
20 your information from Mitchell Weisman, you ought  
21 to forget about that.

22 Q. I have reviewed many of your  
23 depositions, doctor, and you have testified on  
24 previous occasions, have you not, that you did  
25 get as much as 15 percent of your --



1 A. No, sir. I don't keep track of that,  
2 I can't tell you what percentage of my income is  
3 based on defense evaluations, so I don't know  
4 where that number came from.

5 Q. Do you think it is less than that?

6 A. I don't know.

7 Q. Do you think it is more than that?

8 A. I don't know.

9 Q. All right..

10 A. It is not important to me.

11 Q. Money is not important to you?

12 A. Money is important to me. Where it  
13 comes from is not important to me. You know, my  
14 patients don't walk in and say, gee, Dr. Brooks,  
15 how much do you earn doing defense medicals as  
16 opposed to treating patients. They come in to  
17 see me because hopefully they respect me as a  
18 physician.

19 Q. Mrs. Hatch didn't come to you because  
20 'she respects you as a physician, did she?

21 A. She came to me because Mr. Py  
22 respects me as a physician and asked her to come  
23 see me.

24 Q. Do **you** know if Mr. Py respects you as  
25 a physician or respects the outcome of your

1 testimony?

2 MR. PY: Objection.

3 A. I would hope that he respects me as a  
4 physician and not as someone whose testimony he  
5 can buy.

6 Q. Doctor, you have gone to one year of  
7 law school; have you not?

8 A. Correct.

9 Q. And you understand that you are also  
10 engaging in speculation if you tell the jury what  
11 you think Mr. Py knows, aren't you?

12 A. I didn't take the rules of evidence,  
13 so I don't know what you are talking about.

14 MR. SAVOY: Objection.

15 Q. You just went to one-year of law  
16 school?

17 A. Right.

18 MR. PY: Objection.

19 Q. Does the degree of impact to you, the  
20 fact that the car is hit from whatever angle,  
21 would that have an effect on the person?

22 A. Certainly the degree of impact can  
23 have an effect on a person.

24 Q. Okay. Doctor, you do not deny that  
25 Candace Hatch sustained injuries to her spine,

1 and particularly relative to her thoracic spine,  
2 right?

3 A. Asked and answered. I do not deny  
4 that.

5 Q. And you don't have any diagnosis for  
6 Candace Hatch as of today which reflects her  
7 reasons or reflects the reasons for her  
8 complaints to you, do you?

9 A. Would you either ask the question  
10 again or have the court reporter read it back. I  
11 had a momentary lapse.

12 Q. I'll rephrase the question or ask it  
13 again.

14 You do not have a diagnosis today for  
15 Candace Hatch's complaints at the time she saw  
16 you in November of 1993, do you?

17 A. That's correct.

18 Q. And you have no diagnosis as to why  
19 Mrs. Hatch has a 25 percent reduction in lateral  
20 bending bilaterally, correct?

21 A. I don't have a diagnosis because  
22 there is no anatomic reason for her to have that  
23 reduction in lateral bending bilaterally.

24 Q. In your opinion?

25 A. That's what this deposition is all

1 about, my opinion.

2 Q. Fair enough. If there were no  
3 findings except for 25 percent decrease in  
4 lateral bending, and if everything else was  
5 subjective complaints, nonetheless, there has  
6 been at least one instance where you've have  
7 referred the patient for rehabilitative exercises  
8 and medication, haven't you?

9 A. Yes.

10 Q. And you would agree that one can have  
11 pain and disability of a chronic nature from  
12 injuries to the muscles and soft tissues of the  
13 neck and low back?

14 A. Say it again.

15 Q. You would agree that one can have  
16 pain and disability of a chronic nature from  
17 injuries to the muscles and soft tissue of the  
18 neck and low back?

19 A. Yes.

20 Q. A physician can be in a position  
21 after two or three years after an accident of an  
22 injury of a soft tissue to say whether that  
23 condition has become chronic or not; wouldn't you  
24 agree?

25 A. Yes.

1           Q.     And you are retained by various  
2 entities or defense lawyers to give expert  
3 opinion testimony, whatever your opinion happens  
4 to be, correct?

5           A.     Yes.

6           Q.     And you have been doing this in the  
7 Cleveland area, and Mr. Py is from outside of  
8 that Cleveland area; is that correct?

9           A.     Yes.

10          Q.     And it is pretty customary when you  
11 conduct medical examinations for defense  
12 attorneys that you usually have x-rays taken of  
13 the person; isn't that true?

14          A.     Yes.

15          Q-     And it may have been on the previous  
16 address which you had prior to January, but at  
17 the time you would send your immediate business,  
18 such as a defense examination, directly to your  
19 next door neighbor in that building, which would  
20 have been Krause & Lubert?

21          A.     I don't know what the implications of  
22 all those subtle adjectives are, but I send them  
23 to the closest facility, which happens to be  
24 Krause, Lubert, that's right, and I continue to  
25 send them to the radiologist in this building.

1 Q. And you had x-rays taken of Candace  
2 Hatch; did you not?

3 A. I believe we discussed that a long  
4 time ago, yes.

5 Q. And you did not have any x-rays done  
6 of the lower spine, correct?

7 A. Correct.

8 Q. Doctor, your objectives in conducting  
9 a medical examination for the defense counsel, as  
10 you testified,,were to determine what injuries  
11 were caused by the accident and whether there was  
12 any residual affects from the accident, correct?

13 A. Correct.

14 Q. And you prepare a report based upon  
15 your examination, your findings and the material  
16 you have reviewed; is that correct?

17 A. Yes.

18 Q. And isn't it a fact, doctor, that in  
19 almost all of your reports -- strike that.

20 In many of your reports, if not most  
21 of your reports, you will conclude your opinion  
22 letter to the defense attorney by the statement,  
23 as did you in Candace Hatch's case, "The  
24 plaintiff will have no permanent disability  
25 directly attributable the accident"?

1           A.       That's not true.

2           Q.       You have used that line on many  
3 occasions; have you not?

4           A.       First of all, it is not a line. I  
5 don't recall ever saying plaintiff has, okay, and  
6 certainly when I feel that someone has recovered,  
7 I will state that they will have no permanent  
8 disability directly attributable to an accident,  
9 without a doubt.

10          Q.       Okay. And you don't deny having said  
11 that on many occasions?

12          A.       I have said that in the past, yes,  
13 when that's the case.

14          Q.       If denied -- strike that.

15                   The only responsibility that you have  
16 is to write a report and testify if you are paid,  
17 correct?

18          A.       I think you have asked me that same  
19 question about 16 different ways. I saw her on  
20 one occasion, I'm not her treating physician, I  
21 have **no** obligation to her for treatment in the  
22 future. As a physician, if I uncover something  
23 that requires treatment, I am obligated to tell  
24 her because of the Hippocratic oath, and I am  
25 obligated to provide a report and testify if

1 necessary.

2 Q. Do you require payment up front?

3 A. For what?

4 Q. For your testimony.'

5 A. Not from people .I trust. :

6 Q. Okay. And so I take it you trust

7 John Py?

8 A. Yes. ~ . . . .

9 Q. Candace works every day, according to  
10 your report, right? .

11 A. According to her history, yes:

12 Q. You do not criticize her for that, do  
13 you?

14 A. No.

15 Q. Do you believe that work affects her  
16 condition?

17 A. She doesn't have any condition, so, I  
18 mean, I don't believe that work affects her  
19 condition,

20 Q. She didn't have any condition at the  
21 time you evaluated her in your opinion, correct?

22 A. In my opinion, right.

23 Q. All right. We are talking about at  
24 the present day.

25 A. I don't **know** what she is like today.



1 We already discussed that. I haven't seen her  
2 for, you know, a couple years.

3 Q. So you have no opinion as to whether  
4 the work affects her present condition, do you?

5 A. Correct.

6 Q. All right. And she told you she was  
7 pain free before the accident; did she not?

8 A. Correct.

9 Q. And she told you that when she  
10 laughed, she would experience pain in her neck  
11 which radiated into her head, didn't she?

12 A. Right.

13 Q. The last issue I want to ask you is  
14 in regard to fibromyalgia again or myofascitis.  
15 Is that correctly pronounced?

16 A. No, but that's okay.

17 Q. How do you pronounce it?

18 A. Myofascitis.

19 Q. All right. Is that a process by  
20 definition?

21 A. I don't know what a process by  
22 definition is honestly. I don't know what you  
23 mean.

24 MR. MURRAY: No further questions.

25 EXAMINATION OF DENNIS B. BROOKS, M.D.

1 BY MR. SAVOY:

2 Q. Dr. Brooks, may name is Jerry Savoy.  
3 I represent Tasha Stout. She is the young lady  
4 that was involved with Candace Hatch in the  
5 accident of October 24, 1993.

6 A. Good evening.

7 Q. Good evening. I did not retain you  
8 for your examination of Mrs. Hatch, did I?

9 A. No.

10 Q. And I'm not retaining you for your  
11 testimony today, am I?

12 A. No.

13 Q. Your exam on November 16, 1993 was  
14 approximately 22 days after the accident of  
15 October 24, 1993; would that be about right?

16 A. Correct.

17 Q. And being the -- and it is evident  
18 that you take a thorough history; would that be  
19 correct?

20 A. Yes.

21 MR. MURRAY: Objection. Move to  
22 strike. That's Mr. Savoy's opinion.

23 MR. SAVOY: Pardon me?

24 MR. MURRAY: I said objection, move  
25 to strike, because that's your opinion as to

1 whether it is thorough.

2 Q. Would you consider that you take  
3 yourself to be a thorough person with regard to  
4 taking history of the parties that you are going  
5 to examine?

6 A. Yes.

7 Q. And you have told us about some of  
8 that history taking today, haven't you?

9 A. Yes.

10 Q. And being the patient person you are,  
11 you do listen to all of the party's discussion  
12 with you, don't you?

13 A. Party's being the patient?

14 Q. Party's being the patient.

15 A. Right..

16 Q. And you notate the significant parts  
17 of the information they give you, don't you?

18 A. Yes.

19 Q. Okay. And most importantly, in  
20 addition to notating significant information, you  
21 listen to their present complaints, don't you?

22 A. Yes.

23 Q. Because quite honestly, Dr. Brooks,  
24 that's the purpose of that person being there for  
25 you to examine them?

1           A.     Right.

2           Q.     Now, when you examined Candace Hatch,  
3     and this was as we discussed approximately 22  
4     days after an accident that she had with my  
5     client, was there any complaint whatsoever by  
6     Mrs. Hatch to you regarding her low back?

7           A.     No.    She had -- when I asked her what  
8     her complaints were or what her problems were on  
9     the day that I examined her, she did not make any  
10    complaints about low back pain.

11          Q.     Did you give her an opportunity to  
12    tell her about -- for her to tell you about all  
13    of her ailments?

14          A.     Yes.

15          Q.     Okay.  So it is safe to say she  
16    didn't relate that in addition to my neck, my  
17    back also hurts, my low back; is that right?

18          A.     Correct.

19                 MR. MURRAY:  Objection.  Move to  
20    strike as leading.

21          Q.     Now, you also reviewed notes from Dr.  
22    Patterson?

23          A.     Yes.

24          Q.     And that detailed his times with --  
25    the time that he spent with her from January of

1 1990 through October 22 of 1990?

2 A. Correct.

3 Q. And he saw her approximately six  
4 times during that period of time?

5 A. I believe so.

6 Q. And as you testified, she has gotten  
7 better, and in fact then on October 22, 1990, he  
8 released her from all care and treatment; is that  
9 correct?

10 A. Yes.

11 Q. As Mr. Murray asked you, would a  
12 person's excess weight have an effect on low back  
13 problems?

14 A. Yes.

15 Q. Could that be a problem with Mrs.  
16 Hatch in this matter?

17 A. I don't have an opinion about that,  
18 because all I can tell you about Mrs. Hatch is  
19 that she is overweight. When I saw her, she had  
20 no low back problems, so I didn't inquire about  
21 any low back symptoms she had and didn't examine  
22 her low back.

23 Q. Okay. That's fair. As of the time  
24 of your examination, and we are talking November  
25 16, 1993, she did have subjective complaints

1       referable to her cervical and thoracic spine?

2           A.       Yes.

3           Q.       But you didn't have any evidence, and  
4       there was nothing in the physical or radiological  
5       examination to substantiate her complaints, was  
6       there, Dr. Brooks?

7           A.       That's correct.

8           MR. MURRAY: Objection. Again  
9       leading. Move to strike.

10          Q.       I'll rephrase it.

11                 Dr. Brooks, was there anything to  
12       indicate either physically or through  
13       radiographic examination that Mrs. Hatch had any  
14       substantiated complaints that she was talking to  
15       you about?

16          A.       No.

17                 MR. SAVOY: Thank you very much. No  
18       further questions.

19                 THE WITNESS: You are welcome.

20       CONTINUED EXAMINATION OF DENNIS B. BROOKS, M.D.

21       BY MR. MURRAY:

22          Q.       Just two questions, doctor. Was Mrs.  
23       Hatch on medication the day you examined her?  
24       Page two, paragraph three, last sentence.

25          A.       On the day that I examined her, she

1 had taken two Toradol on that day.

2 Q. And the last question, she indicated  
3 to you, and you noted in your report, that, and  
4 you put in quotes, that the most recent accident  
5 caused some low back pain; did you not?

6 A. When I took her past history, she  
7 told me about the recent accident, and she at  
8 that point said it caused some low back pain,  
9 correct.

10 Q. And that's what you put in your  
11 report, correct?

12 A. Absolutely, black and white.

13 MR. MURRAY: No further questions.

14 CONTINUED EXAMINATION OF DENNIS B. BROOKS, M.D.

15 BY MR. PY:

16 Q. Doctor, I have just a few questions  
17 in light of Mr. Murray's examination.

18 He established on various occasions  
19 that you have a different relationship with  
20 respect to patients for whom you do an IME as  
21 opposed to patients that you are responsible for  
22 their continued care and treatment; is that  
23 correct?

24 A. Yes.

25 Q. With respect to that fact, that there

1 is a slightly different relationship, are you any  
2 less thorough when performing an IME than you are  
3 a consultation or examining a patient for whom  
4 you are responsible?

5 A. No.

6 Q. You mentioned that there is a  
7 severity scale when Mr. Murray and you were  
8 talking about mild; do you recall that?

9 A. Yes.

10 Q. In Dr. Patterson's note when he  
11 discharged Mrs. Hatch, did Mrs. Hatch give a  
12 quantitative evaluation **as** to her discomfort  
13 level?

14 A. Yes.

15 Q. And what was that?

16 A. She said that on a scale from zero to  
17 ten, with zero being no pain or **no** symptoms and  
18 ten being the most severe that she had ever  
19 experienced, she was in the range of two.

20 Q. With respect to torn and stretched  
21 muscles that Mr. Murray asked about, if, in fact,  
22 there was a significant tearing or stretching of  
23 those muscles, would there have been objective  
24 findings?

25 A. Yes.



1 Q. In your examination of Mrs. Hatch,  
2 did you find any objective findings on which to  
3 premise the conclusion that she, in fact, had  
4 stretched muscles or torn muscles?

5 A. No.

6 Q. With respect to fibromyalgia, you are  
7 familiar with that diagnosis; are you not?

8 A. Yes.

9 Q. According to the American College of  
10 Rheumatology criteria, fibromyalgia is diagnosed  
11 when an individual has a history of widespread  
12 pain and distinct painful tender points; do you  
13 agree with that?

14 A. I agree with that being the  
15 definition of fibromyalgia as set forth by the,  
16 what is it, the American College of  
17 Rheumatology.

18 Q. Right. They in that definition  
19 indicate that the discomfort needs to be wide  
20 spread; do they not?

21 A. Yes.

22 Q. And they also indicates that there  
23 needs to be tender points; is that correct?

24 A. Yes.

25 Q. In your examination of Mrs. Hatch,

1 did you find any nodules or tender points?

2 A. No nodules.

3 Q. And the tenderness was the subjective  
4 finding that she elicited to you, gave to you?

5 A. Yes.

6 Q. But they were not nodules?

7 A. Correct..

8 Q. Okay. And the location of the area  
9 of tenderness was only in one area, and that was  
10 in the thoracic spine?

11 A. Yes.

12 Q. It was not wide spread from shoulder  
13 to below the waistline?

14 A. Correct.

15 Q. And it was not bilateral?

16 A. Correct.

17 Q. Now, you have indicated that today as  
18 we sit here videotaping your testimony, you do  
19 not know how Mrs. Hatch is presently, correct?

20 A. Correct.

21 Q. Knowing what you know, based on your  
22 examination back in November of 1993, if, in  
23 fact, Mrs. Hatch has any symptoms or findings  
24 today, do you have an opinion to a reasonable  
25 degree of medical certainty whether or not those

1 current problems are proximately related to the  
2 December, 1989 accident?

3 A. Yes, I have an opinion.

4 Q. And what is that opinion?

5 A. ~~She~~If, in fact, she does have any  
6 current symptoms or physical findings, I believe  
7 that they are not proximately related -- see, it  
8 is with a good think I went to law school,  
9 because I wouldn't have understood what they  
10 meant -- they are not proximately related to the  
11 accident of December 19, 1989.

12 Q. And how can you make that  
13 determination?

14 A. Because there wasn't anything wrong  
15 with her when I examined her on November 16, 1993  
16 as it related to that accident. So, therefore,  
17 there is no reason to believe that anything is  
18 wrong with her today as it relates to that  
19 accident.

20 MR. PY: Thank. You, I have nothing  
21 else.

22 CONTINUED EXAMINATION OF DENNIS B. BROOKS, M.D.  
23 BY MR. MURRAY:

24 Q. Doctor, a few more follow up.

25 In your experience in examining more

1     than a thousand patients or a thousand  
2     individuals for defense attorneys, has it been  
3     your experience or can you conclude that the  
4     people you are examining on those occasions are  
5     more sensitive to your touch?

6     A.     No. They are not any more sensitive  
7     than anybody else is.

8     Q.     Do you believe that litigation has  
9     any psychological effects on the individuals you  
10    are examining in those specific examinations?

11    A.     I believe that litigation has  
12    psychological effects on people, yes, but I don't  
13    understand the rest of the question.

14    Q.     Do you believe that it has any  
15    effects on their own physical symptomatology as  
16    they perceive it? Their subjective complaints,  
17    in other words?

18    A.     It varies from patient to patient.

19    Q.     You believe that's a real element,  
20    don't you?

21    A.     What's that?

22    Q.     That they will have psychological  
23    effects and, therefore, subjective complaints  
24    almost based upon litigation?

25    A.     I believe that there are patients who

1 have symptoms for which there are no -- or for  
2 which there is no physical explanation, and that  
3 these symptoms are subjective, they are on a  
4 psychological basis. There are a certain  
5 percentage of people whose symptoms are related  
6 to secondary gain to the litigation, yes.

7 Q. Do you believe that that's Mrs.  
8 Hatch's cause?

9 A. I don't believe that that was the  
10 case in this situation.

11 Q. And is it consistent with your  
12 experience with your own 'patients that their pain  
13 levels will fluctuate?

14 A. Yes.

15 MR. MURRAY: No further questions.

16 MR. SAVOY: No further questions,

17 MR. HENSCHER: 5:15 we are off the  
18 record.

19 MR. PY: Do you want to waive,  
20 doctor, or do you want to read?

21 THE WITNESS: No, I'll waive.

22 (Deposition concluded at 5:17 p.m.)

23 - - - - -

24

25

## CERTIFICATE

The State of Ohio, )

SS:

County of Cuyahoga. )

I, Wendy L. Klauss, a Notary Public within and for the State of Ohio, duly commissioned and qualified, do hereby certify that the within named witness, DENNIS B. BROOKS, M.D., was by me first duly sworn to testify the truth, the whole truth and nothing but the truth in the cause aforesaid; that the testimony then given by the above-referenced witness was by me reduced to stenotypy in the presence of said witness; afterwards transcribed, and that the foregoing is a true and correct transcription of the testimony so given by the above-referenced witness.

I do further certify that this deposition was taken at the time and place in the foregoing caption specified and was completed without adjournment.

1 I do further certify that I am not a  
 2 relative, counsel or attorney for either party,  
 3 or otherwise interested in the event of this  
 4 action.

5 IN WITNESS WHEREOF, I have hereunto  
 6 set my hand and affixed my seal of office at  
 7 Cleveland, Ohio, on this \_\_\_\_ day of  
 8 \_\_\_\_\_, 1996.

11  
 12  
 13  
 14 Wendy L. Klauss, Notary Public  
 15 within and for the State of Ohio

16  
 17 My commission expires July 13, 1999.  
 18  
 19  
 20  
 21  
 22  
 23  
 24  
 25

1	EXAMINATION OF DENNIS B. BROOKS, M.D.		
2	BY MR. PY.....	3	9
3	EXAMINATION OF DENNIS B. BROOKS, M.D.		
4	BY MR. MURRAY.....	39	13
5	EXAMINATION OF DENNIS B. BROOKS, M.D.		
6	BY MR. SAVOY.....	65	25
7	CONTINUED-EXAMINATION OF DENNIS B.		
8	BROOKS, M.D.		
9	BY MR. MURRAY.....	70	20
10	CONTINUED EXAMINATION OF DENNIS B.		
11	BROOKS, M.D.		
12	BY MR. PY.....	71	14
13	CONTINUED EXAMINATION OF DENNIS B.		
14	BROOKS, M.D.		
15	BY MR. MURRAY.....	75	22
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			