MARY LOU ZIMMERMAN, et al. vs.

GENE H. BARNETT, M.D. THE CLEVELAND CLINIC FOUNDATION

	» 1		3
1	IN THE COURT OF COMMON PLEAS	1	GENE H. BARNETT, M.D., of lawful age, called
2	CUYAHOGA COUNTY, OHIO	2	by the Plaintiffs for the purpose of
3	MARY LOU ZIMMERMAN,	3	cross-examination, as provided by the Rules of Civil
-	Plaintiffs	4	Procedure, being by me first duly sworn, as
ົວ		5	hereinafter certified, deposed and said as follows:
6	-vs- CASENO. 399411	6	CROSS-EXAMINATIONOF GENE H. BARNETT, M.D.
7	THE CLEVELAND CLINIC FOUNDATION.	7	BY MR. LINTON:
8	Defendant.	8	Q. Dr. Barnett, good morning. We met a moment ago. My
9		9	name is Bob Linton. I'm here on behalf of Mary Lou
IO		10	Zimmerman in a case that's been filed against The
11	Deposition of GENE H. BARNETT, MD, taken as if	11	Cleveland Clinic Foundation. Tassume you've been
12	upon cross-examination before Laura L ware, a	12	deposed before?
13	Notary Public within and for the State of Onio, at	13	A. Yes.
14	The Cleveland Clinic Foundation, 9500 Euclid Avenue,	14	Q. As you know, it's important that you understand the
15	August 18 2000, pureuantte notice and/or	15	questions that Task you. Think a neurosurgeon.
17	stimulations of coursel on behalf of the Plaintiffs	10	that's the case, please stop and ack for
18	in this cause	18	clarification and I'll do whatever is necessary so
19	intino cause.	19	that you understandmy question Fair enough?
20		20	A 111 do my best
21	2	21	Q. You also have in front of you. I see, the chart, the
22	WARE REPORTING SERVICE 21860 CROSSBEAM LANE	22	Cleveland Clinic chart for Mary Lou Zimmerman: is
23	EOCKY RIVER, OH 44116 (216) 533-7606 FAX (440) 333-0745	23	that correct?
24		24	A That is correct.
25		25	Q. This is an open book examination. I want to find
1	2 APPEARANCES:	1	4 out as much as I can based on your independent
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 7 8 9 10 11 12 13 14 15 16 7 8 9 10 11 12 13 14 15 16 7 8 9 10 11 12 13 14 5 6 7 8 9 10 11 12 13 14 5 6 7 8 9 10 11 12 13 14 5 6 7 8 9 10 11 12 13 14 5 6 7 8 9 10 11 12 13 14 5 16 7 8 9 10 11 12 13 14 5 16 7 8 9 10 11 12 13 14 15 16 17 18 19 10 11 12 13 14 15 16 17 18 19 10 11 12 11 12 11 12 11 11 12 11 11 12 11 11	Jeneration	1 2 3 4 5 6 7 8 9 10 11 12 73 14 5 16 7 8 9 10 11 12 73 14 15 16 17 18 19 20 1 20	 4 out as much as I can based on your independent memory as well as what's in the record, so feel free to look at the records at any time if necessaryto refresh your memory. Okay? A Okay. Q Do you have an independent memory of Mary Lou Zimmerman? A Some. Q Do you have an independent memory of the surgery itself on Mary Lou Zimmerman? A. Not really. Q. Anything that you would testify to concerning the surgery itself then would be based on what's in the records as well as your custom and practice when doing this type of procedure; is that correct? A. That would be correct. Q. Do you have any independent memory of your initial consultation with Mary Lou Zimmerman when she came to you on August 21st of 1998? A. That specific day, no. Q. So again, anything that you would testify to
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	2 APPEARANCES: Robert F. Linton, Jr., Esq. Linton & Hirshiman Hot Block Building - Suite 300 700 West St. Clair Avenue Cleveland, Ohio 44113 (218) Mark W. Ruf, Esq. aw Office of Mark W. Ruf Hot Block Building - Suite 300 700 West St. Clair Avenue Cleveland, Ohio 44113 (216) 687-1999, D behalf of the Plaintiffs; Ames P. Malone Esq. Marilena DiSikivi Esq. Feringer & Reminger Cleveland, Ohio 44113 (216) 687-1311, D behalf of the Defendant.	1 2 3 4 5 6 7 8 9 10 11 12 73 14 15 16 7 8 9 10 11 12 73 14 15 16 17 18 19 20 12 22 23	 4 out as much as I can based on your independent memory as well as what's in the record, so feel free to look at the records at any time if necessaryto refresh your memory. Okay? A Okay. Q Do you have an independent memory of Mary Lou Zimmerman? A Some. Q. Do you have an independent memory of the surgery itself on Mary Lou Zimmerman? A. Not really. Q. Anything that you would testify to concerning the surgery itself then would be based on what's in the records as well as your custom and practice when doing this type of procedure; is that correct? A. That would be correct. Q. Do you have any independent memory of your initial consultation with Mary Lou Zimmerman when she came to you on August 21st of 1998? A. That specific day, no. Q. So again, anything that you would testify to concerning that initial consultation would be based on your office chart as well as your custom and
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Jeres Appendix Robert F. Linton, Jr., Esq. Inton & Hirshman Hotock Building - Suite 300 OV West S. Clair Avenue Avenue Clair Avenue<	1 2 3 4 5 6 7 8 9 10 11 2 73 14 5 6 7 8 9 10 11 2 73 14 15 16 17 18 19 20 1 22 23 24	 4 out as much as I can based on your independent memory as well as what's in the record, so feel free to look at the records at any time if necessaryto refresh your memory. Okay? A Okay. Q Do you have an independent memory of Mary Lou Zimmerman? A Some. Q Do you have an independent memory of the surgery itself on Mary Lou Zimmerman? A. Not really. Q. Anything that you would testify to concerning the surgery itself then would be based on what's in the records as well as your custom and practice when doing this type of procedure; is that correct? A. That would be correct. Q. Do you have any independent memory of your initial consultation with Mary Lou Zimmerman when she came to you on August 21st of 1998? A That specific day, no. Q So again, anything that you would testify to concerning that initial consultation would be based on your office chart as well as your custom and practice when meeting with patients like Mary Lou
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 6 7 8 9 10 11 12 13 14 15 6 7 8 9 10 11 12 13 14 15 6 7 8 9 10 11 12 13 14 5 6 7 8 9 10 11 12 13 14 5 6 7 8 9 10 11 12 13 14 5 6 7 8 9 10 11 12 13 14 5 6 7 8 9 10 11 12 13 14 5 6 7 8 9 10 11 12 13 14 5 6 7 8 9 10 11 12 13 14 5 6 7 8 9 10 11 12 13 14 5 6 7 8 9 10 11 12 13 14 5 16 7 18 9 10 11 12 13 14 15 16 17 18 19 10 11 12 11 12 11 11 12 11 11 12 11 11 12 11 11	James and a strain of the product o	1 2 3 4 5 6 7 8 9 10 11 12 73 14 5 16 7 8 9 10 11 12 73 14 5 16 17 18 19 20 12 22 32 4 25	 4 out as much as I can based on your independent memory as well as what's in the record, so feel free to look at the records at any time if necessaryto refresh your memory. Okay? A Okay. Q Do you have an independent memory of Mary Lou Zimmerman? A Some. Q Do you have an independent memory of the surgery itself on Mary Lou Zimmerman? A. Not really. Q. Anything that you would testify to concerning the surgery itself then would be based on what's in the records as well as your custom and practice when doing this type of procedure; is that correct? A. That would be correct. Q. Do you have any independent memory of your initial consultation with Mary Lou Zimmerman when she came to you on August 21st of 1998? A. That specific day, no. Q. So again, anything that you would testify to concerning that initial consultation would be based on your office chart as well as your custom and practice when meeting with patients like Mary Lou Zimmerman; is that correct?

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THE CLEVELAND CLINIC FOUNDATION

- 1 A. Yes.
- Q. What independent memory do you have of Mary Lou? 2

5

- A. I remember that before I ever met her that there was 3
- a problem with appointmentscheduling, which did not 4
- take into account the need for her to see a 5
- 6 Cleveland Clinic psychiatrist before I saw her and
- 7 the logistical issues that raised with her and her
- family and writing a letter of apology on behalf of 8
- 9 the office and the department to that end, so I have
- 10 a specific memory about that.
- 11 I have a specific memory of some visits in the
- 72 postoperative period, portions of those visits, and
- also some meetings, particularly one meeting, with 13
- 14 the family of Mrs. Zimmerman in the postoperative period. 15
- Q. What do you remember about that family meeting? 16
- 17 A. Well, I remember that it occurred in an area at H61
- 18 that was off by the main courtyard in a lounge there
- 19 and where we were discussing prognosis and outcome
- and discharge plans. 20
- Q. Who was there? 21
- 22 A. I know that her husband was there. I believe that
- 23 there was a conference call with somebody, and I
- 24 can't recall exactly whom. There was at least
- 25 someone else from the Clinic there, I'm not sure who

6

- that person was, and there may have been yet one or 1
- 2 more family members there. I can't recall.
- 3 Q. Do you remember ---
- A. So I remember some aspects of that meeting. 4
- 5 Q. Do you remember the capacity of the person from the
- 6 Clinic, whether it was someone from administration
- or someone from neurosurgery? 7
- a A. I have no recollection as to who that person was.
- 9 Q. What do you remember being discussed in that family
- 10 meeting?
- 11 A. I think basically all I really remembered being
- discussed was, you know, possible prognosis and 12
- 13 discharge planning and those elements. I don't
- 14 really recall specifics of the discussion.
- 15 Q. Would this have been late in her hospitalization?
- **16** A I think this was later in the hospitalization.
- 17 Q. Sometime --
- 18 A. I don't know that for sure as to exactly when this occurred. 19
- 20 Q. There were some changes in terms of anticipated
- discharge dates with Mary Lou Zimmerman? 21
- 22 A. As I recall, yes.
- Q. Is there anything else you can tell us about that 23
- family meeting? 24

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A. You know, at this point without other things jogging 25

- 2 Q. You talked about some post-opvisits. What post-op
- 3 visits do you have an independentmemory of?
- 4 A I think I remember a visit very early afterwards
- 5 where there were several family members, and again I
- 6 don't remember exactly who, although I would surmise
- 7 that the husband was one of them, and there was the
- 8 usual concern at that stage because of her, you
- 9 know, not being particularly interactive and not
- 10 talking very much, which is not uncommon, and we
- 11 forewarn people about this beforehand, and
- 12 discussing those aspects.

my memory, no.

1

- 13 I recall some meeting down the road after the
- 14 infection occurred, having discussions with them
- 15 regarding infection, treatment of infection and so
- 16 on, but again, not the specifics.
- 17 Q. Are you able to date the first post-op visit you
- 18 recall when you talked about the family was
- [.]19 concerned about her outcome and you said this was
- :20 normal given this type of surgery?
- 21 A. Well, there's a note in the chart that on September
- 22 23rd, 1998 Idid have a discussion with the family,
- 23 as well as another note on the 25th to that effect,
- 24 and yet another on the 28th. I really can't tell
- 25 you which of these that I'm referring to or whether

8

- this is some composite. 1
- 2 Q. But most likely it would be during this post-op
- 3 period between the 22nd and the 28th, the initial
- 4 conversation that you recall?
- 5 A. Yeah.
- 6 Q. You talked then about a discussion after the
- 7 infection developed. Again, looking at your chart,
- 8 can you give me a time frame as to when that
- 9 conversationtook place?
- 10 A. The first discussion after the infection would have
- 11 been October 10th that I had with the family, and I
- 12 would note that I was away at some point, I don't
- 13 know exactlywhen, but my associate, Dr. David
- Miller --14

23

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- 15 Q. He covered for you. At least there was a reference,
- 16 I believe, on the Qh?
- 17 A. He wrote a note on the 8th when I guess the
- 18 infection became apparent, and when I was back my
- 19 note suggests that I - well, it doesn't suggest, it
- 20 states I discussed it with the patient's family.
- 21 Q. Could you read that note for us?

edema, CT and LP in a.m.

22 A Events reviewed and discussed with patient's family, patient examined, continue antibiotics, clinically

seems to have stabilized, increased steroids for

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11

- 9
- Q. And that is from October 10th? 1
- 2 A. 10th, 1998 at 245 p.m.
- Q. Do you recall where you were when you went out of 3
- town and for how long you were gone? 4
- 5 A. No.
- 6 Q. Do you have any -- strike that.
- 7 Do you recall the specifics of the conversation
- you had with the family about the infection? 8
- A. No. 9
- Q. If I can talk generally about your practice as a 10
- neurosurgeon, can you give me some idea, Dr. 11
- 12 Barnett, how many surgical cases you do a year,
- 13 approximately?
- 14 A. It varies. If you include radiosurgery, it's around
- 350 cases a year. 15
- Q. And how do you define radiosurgery? 16
- A. Radiosurgery is a single fraction radiation 17
- treatment aimed at destroying or altering the 18
- function of tissue, and in this contexttypically 19
- 20 brain or adjacent tumor or -- brain or tumor
- 21 tissue.
- 22 Q. So it actually destroys brain tissue; is that
- right? 23
- 24 A. It can.
- 25 Q. And was radiosurgery performed on Mary Lou

10

- Zimmerman? 1
- 2 A. No.
- 3 Q. Is there a difference between radio frequency and
- radiosurgery? 4
- 5 A. Yes.
- Q. Okay. What's that difference? 6
- 7 A. Radio frequency, as it pertains to this case, is the
- method by which an electrode is heated that then 8
- devitalizes brain tissue. 9
- 10 Q. You used the word devitalize. In simple terms,
- does --11
- 12 A. Kill.
- 13 Q. It kills, burns?
- 14 A. Cooks.
- 15 Q. Cooks, okay. You seemed to correct me. When you
- said burns versus cooks, what's the distinction? 16
- A. Well, it's the difference between burned eggs and 17
- cooked eggs. 18
- MR. MALONE: Who's in the kitchen, 19
- 20 that's the distinction.
- Q. But either way, you've got the same result, killed 21
- 22 cells?

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- A. Well, burnt could actually lead to other undesirable 23
- effects, just like burningyour food leads to 24
- undesirable effects, although one would still argue 25

- 2 Q. But ultimately the cells that are cooked by the
- 3 electrode are killed, correct?
- 4 A. Some are, some recover.
- Q. The ones obviously that were killed are permanent, 5
- 6 irreversibly gone?

it's cooked.

1

- 7 A By definition if they're killed, they're gone.
- 8 Q. Of the three - strike that.
- 9 Just generally, what is the nature of your
- 10 neurosurgical practice?
- 71 A. It's varied over time. My practice in '98 probably
- 12 was about a third neuro-oncology, a third
- 13 radiosurgery, and a third trigeminal neuralgia and
- 14 other functional procedures.
- 15 Q. Are you familiar with the term psychosurgery?
- A. Yes. 16
- 17 Q. What does psychosurgery mean?
- A Psychosurgery is a technique where surgery is used 18
- 19 to alter the behavior.
- 20 Q. And it's surgery to the brain?
- A. Correct. 21
- Q. Is a cingulatomy considered a psychosurgery? 22
- 23 A Yes.
- 24 Q. Capsulotomy?
- 25 A. Anterior capsulotomy is considered psychosurgery.

12

- 1 Q. Leukotomy?
- 2 A. Prefrontal leukotomy would be considered a
- 3 psychosurgery.
- Q. Lobotomy? 4
- 5 A. Prefrontal lobotomy could be considered
- 6 psychosurgery.
- 7 Q. Have you published at all in the area of
- 8 psychosurgery?
- A. There was an article back I think in the early '90s 9
- 10 on MRI guidance for cingulotomy.
- 11 Q. Handingyou your resume, which was marked as Exhibit
- 12 1, are you able to locate that in your resume?
- 13 A. 26.

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A No.

- 14 Q. Anyothers?
- 15 A. In the journal publications.
- Q. Would that be your sole publication in the field of 16
- 17 psychosurgery?

psychosurgery?

- 18 A To the best of my recollection, yes.
- 19 Q Do you currently have any publication in the works
- 20 or research projects in the works relating to

Q. Have you lectured or taught any courses in

psychosurgery separate from anything you may have

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done as part of training residents or fellows here

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	<u>E</u>	1	
1	at the Clinic?	1	them involves
2	A. No.	2	combined cing
3	Q. Do you keep statistics on the number of surgeries	3	A Could you rep
4	you perform, including psychosurgeries?	4	Q. Sure. You sa
5	A. Not specifically, no.	5	psychosurger
6	Q. Have you performed are you performing any more or	6	late 1980s. Yo
7	less psychosurgeries now in 2000 compared to 1998?	7	yo u do a comb
а	A. Not really.	8	more severe ca
9	Q. How many psychosurgeries do you do a year?	9	four a year wo
10	A. Typically I'd say it's about three or four.	10	A. Probablyabou
11	Q. And has that number been relatively constant since	11	Q. Isthere any li
12	you came to the Clinic?	12	the complication
13	A. Since I started them it's been reasonably constant,	13	combinedcing
14	yeah.	14	perform for sev
15	Q. When did you start doing them here at the Clinic?	15	A Off the top of
16	A. I'd have to guess that it was sometime in the late	16	Q. Are you famili
17	'80s.	17	psychosurgery
18	Q. Certainly it would have been by the early '90s?	18	capsulotomy?
19	A. Yes.	19	A. Some of it.
20	Q. And what type of what is your surgery of choice	20	Q. What is the m
21	when treating OCD?	21	severe OCD pa
22	A. My opinion, it depends on the severity of the	2 2	cingulotomy ar
23	disorder and that for the most severe 🛥	23	MR. MA
24		24	perfectly tec
25		25	terms should

14

12

1	(Thereupon.	Mr.	Buf (enteredthe

- deposition room.) 2
- 3 - - - -
- A. That for the most severe cases I typically perform 4
- 5 bilateral cingulotomies with bilateral anterior
- 6 capsulotomy.
- 7 Q. I'm sorry, could you repeat that, please?
- а A. Bilateral cingulotomy with bilateral anterior
- capsulotomy, and for less severe cases offer 9
- 10 bilateral anterior capsulotomy either using radio
- 11 frequencytechniques or using radiosurgery
- 12 techniques.
- 13 Q. Do you perform either of those procedures under the
- gamma knife procedure? 14
- 15 A. The radiosurgery technique would be the gamma knife procedure. 16
- 17 Q That's not an invasive procedure, is it?
- 18 A. It's minimally invasive.
- 19 Q. When you say minimally invasive, what do you mean?
- 20 A. Well, there are pins from a reference frame that
- 21 puncture the scaip and engage into the patient's
- 22 skull, so it does invade the body in that sense, but
- it does not physically invade the skuli. 23
- 24 Q. Of the surgical cases that you do in psychosurgery
- 25 here at the Clinic, what percentageor how many of

15

- evere OCD where you're doing a
- gulotomy with capsulotomy?
- peat the question, please?
- id you do about three to four
- y cases since what you believe was the
- ou do a capsulotomy for severe OCD,
- pined cingulatomy with capsulatomy for
- ases. What percentage of the three to
- uld be one versus the other?
- ut half.
- iterature that you know of that cites
- on rate or success rate of doing a
- ulotomy with capsulotomy iike you
- vere OCD patients?
- my head, no.
- iar with the literature in
- y, including cingulotomyand
- edical basis for your belief that
- atients should undergo a combined
- nd capsulotomy procedure?
- ALONE: Actually, just to be
- chnically correct, I think those
- terms should be plural. They're cingulotomies 25

16

- 1 and capsulotomies, not just one.
- 2 Q. Okay. And Lassume that's correct, when you do this
- З you do bilateral capsulotomies and cingulotomies?
- 4 A. When I do what?
- 5 Q. When you do the psychosurgery on OCD patients,
- ß severe OCD patients, you do bilateral cingulotomies
- 7 and capsulotomies; is that correct?
- 8 A On the severe cases, yes.
- Q. What is the basis for your belief that that is the \$3
- 10 appropriate way to treat surgically patients with
- 11 severe OCD?
- 12 A. My interpretation of the literature is that there's
- 13 a higher success rate when the two are combined than
- 14 when either are done alone.
- 15 Q. And can you cite to the literature that you're
- 16 referring to?
- 17 A. No.
- 18 Q Do you know what journal it's in?
- 13 A. No.
- 20 Q. Do you know what textbook it's in?
- 21 A. No.
- 22 Q. What journals do you subscribe to that address these
- 23 type of surgical procedures?
- 24 A Journal of Neurosurgery, Neurosurgery, Surgical
- 25 Oncology. Rather Surgical Neurology, Neurology.

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- 1 Those are the ones that come to mind. There may be
- 2 more.
- 3 Q. What medical textbooks would you refer your medical
- 4 students or fellows who wanted to learn more about
- 5 psychosurgery?
- 6~ A. I don't know that there's a specific book that l
- 7 would refer them to regarding psychosurgery.
- 8 Q. So you wouldn't refer them to any book if they
- 9 wanted to read more about it?
- 10 A. I probably could give them a whole list to look at,
- 11 not that any one is particularly authoritative.
- 12 Q. Well, which books, if a resident or fellow said I
- 13 want to learn more about psychosurgery so I can
- 14 improve my knowledge, which neurosurgical text would
- 15 you refer them to on the list?
- 16 A. There's various neurosurgical texts and they all
- touch on these subjects, and so I would refer them
- 18 to the basic neurosurgerytexts for an initial -
- 19 Q. Which basic texts?
- 20 A. I mean, there's Yeoman's Neurosurgery, there's a
- 21 three-volume green one, the name of which is
- 22 escaping me right now.
- 23 Q. Who are the authors?
- 24 A If I knew the authors I'd be able to tell you.
- 25 There's also several operative neurosurgery books

18

- 1 out there, Spitek and Sweet, but specifically which
- 2 ones have good sections and relevant sections in
- 3 psychosurgery, I couldn't tell you offhand.
- 4 Q. You have no independent memory, correct, cf what
- 5 specific risks and complications you discussed with
- 6 Mary Lou Zimmerman about this procedure?
- 7 A. A specific memory, that is correct, I do not.
- 8 Q. Based on your -- strike that.
- 9 And there's nothing in the record that shows
- 10 specificallywhat was discussed, other than the
- 11 risks and benefits, correct?
- 12 A. That's my recollection.

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- 13 Q What are the risks associated with the procedure
- 14 Mary Lou Zimmerman underwent?
- 15 A. Well, one of the principal risks is that it won't
- 16 work, have no meaningful benefit at all, and that's
- 17 probably somewhere between one in four and one in
- 18 three, that about one in three people will be mute
- 19 and not communicative for the first few days to a
- 20 week or so after the procedure, which is the
- 21 bilateral anterior capsulotomies and cingulotomies,
- 22 but that this usually resolves over a period of days
- 23 to a few weeks, that about one in four people may
- 24 have difficulty with bladder control for the first
- 25 few weeks to few months, but that usually, but not

- 19
- 1 always, resolves, that about two percent of people
- 2 will have wound healing problems, including
- 3 infection, blood clots, fluid leaks that may require
- 4 re-operation, prolonged management with antibiotics,
- 5 and in some cases a serious risk of a catastrophic
- 6 outcome is probably about two percent. About two
- 7 percent of people have a catastrophic outcome,
- 8 catastrophe being big stroke, blindness, coma,
- 9 death.
- 10 Q. What was that again?
- 11 A Catastrophe being on the order of big stroke.
- 12 Q. Big stroke?
- 13 A. Blindness, coma, death. And that it's very common
- 14 for people to have a personality change, probably
- 15 better than 50 percent, and 10 is that a change will
- 16 be an improvement, but that's not always the case.
- 17 Q Why is there a problem with speech in some patients
- 18 following this procedure?
- 19 A I don't know that there is a precise mechanism, as
- 20 described. It's an empiric observation that
- 21 happens.
- 22 Q. So neurosurgeons like yourself don't know why it
- 23 happens, just that it does happen occasionally after
- 24 this procedure?
- 25 A I believe there's speculation as to why it might

20

- 1 happen as opposed to a known cause.
- 2 Q. What do you tell your patients the success rate is
- 3 for this type of procedure?
- 4 A. I tell them that there's meaningful improvement in
- 5 about 70 to 75 percent of cases. That does not mean
- 5 that they will be psychiatrically or psychologically
- 7 normal.
- 8 Q. What do you mean by meaningful improvement?
- ß A Justthat.
- 10 Q. What do you base that statistic on?
- 11 A. Literature and also personal experience.
- 12 Q. What literature?
- 13 A. The neurosurgical literature.
- 14 Q. Isn't there some controversy in the literature as to

Q. Again, you don't know of any specific literature

combined procedures, capsulotomies with

A. I mean, that literature exists. Can I cite it here,

that addresses the success rate when there's the

Page 17 to Page 20

- 15 the success rate for these surgeries?
- 16 A. There is controversy in a lot of areas in

literature, I guess I should say.

cingulotomies, correct?

A. Including this. My interpretation of the

17 neurosurgery.

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18 Q. Including this?

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THE CLEVELAND CLINIC FOUNDATION

23

no.

1

- 2 Q. Okay. You're addressing the success rate in the
- 3 combined procedures?
- 4 A. I believe that literature is it, yes.
- 5 Q. You said your own experience, again, there's nothing

21

- 6 that you have published, there's no documents you
- 7 can go to where you've actually put together
- 8 statistics on that, correct?
- 9 A. Correct.
- 10 Q. How many psychosurgical procedures did you do before
- 11 you became a practicing neurosurgeonhere at the
- 12 Cleveland Clinic?
- 13 A. I would say probably-I would say I don't know. I
- 14 don't recall.
- 15 Q. Were you able --
- 16 A. I think some, but I don't remember how many.
- 17 Q. Do you remember if it was more than ten?
- 18 A. \Box really couldn't say.
- 19 Q. More than 50?
- 20 A. Ithink that's unlikely.
- 21 Q. More than 25?
- 22 A. Don't know.
- 23 Q. What percentage of your neurosurgical training would
- 24 have been dedicated to performing psychosurgery or
- 25 assisting in psychosurgery?

22

- 1 A. It's small.
- 2 Q. Less than five percent?
- 3 A. Probably.
- 4 Q. Who trained you to do psychosurgery?
- 5 A. I was trained in stereotactic techniques and this
- 6 was at the Cleveland Clinic as well as subsequent
- 7 courses.
- 8 Q. Who trained you in stereotactic techniques at the9 Clinic?
- 10 A. \Box believe that would have been Russell Hardy.
- 11 Q. B he still here at the Clinic?
- 12 A. No.
- 13 Q. Do you know how many psychosurgerieshe's
- 14 performed?
- 15 A Idon't know. I don't know that he's actually done
- 16 psychosurgeries, but I know he trained did
- 17 training in stereotactic techniques.
- 18 Q. So he didn't specifically train you on
- 19 psychosurgery, just the general stereotactic
- 20 techniques used in brain surgery?
- 21 A $\,$ I know that he trained me in some stereotactic
- 22 techniques, I don't recall about psychosurgery.
- 23 Q. is anybody more qualified here at the Clinic than
- 24 you to do psychosurgery?
- 25 A. Back in **1998,** no.

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WARE REPORTING SERVICE

- Q. How about presently?
- 2 A, There may be someone who is i actually don't know
- 3 what his qualifications are in psychosurgery.
- 4 Q. Who are you thinking of that may be --
- 5 A. Dr. Rezai.
- 6 Q. Spelled?
- 7 A R-E-Z-A-I.
- 8 Q. What outside courses or seminars did you attend to
- 9 receive training in psychosurgeryafter you joined
- 10 the Clinic?
- 11 A, There was a workshop in stereotactic techniques that
- 12 was in either Snowbirdor Snowmass, Colorado, that I
- attended. Also these procedures were being done
- 14 during my fellowship at Mass. General and I had the
- 15 opportunity to observe a few.
- 16 Q. The Colorado workshop, first of all, I take it that
- '17 was during the wintertime?
- 18 A. No, it was in the summer, actually.
- 19 Q. Is that right, okay. Did that specifically address
- 20 stereotactic procedures in psychosurgery?
- 21 A It specifically addressed stereotactic procedures.
- 22 I believe it also included psychosurgery.
- 23 Q. But you're not sure?
- 24 A. I don't recall. I'm not sure.
- 25 Q. And when was that?
- 24
- 1 A Probably 1986 or seven.
- 2 Q. Do you still have reference materials from that
- 3 seminar?
- 4 A. Notthat I recall.
- 5 Q. Did you have them in 1998?
- 6 A. Notthat Irecall.
- 7 Q. Who sponsored that workshop?
- 8 A. Radionics.
- 9 Q. Who is Radionics?
- 10 A Radionics is the manufacturer of stereotactic
- 11 neurosurgery hardware and software.
- 12 Q. Did they also pay for the seminar?
- 13 A. No.
- 14 Q. Did you have to pay for the seminar?
- 15 A. No.

21

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- 16 Q. The Clinic -
- 17 A The Clinic paid for the seminar.
- 18 Q. Do you recall what neurosurgeons spoke at that

A Yeah, Michael Aupuzzo, A-U-P-U-Z-Z-O, Peter

25 Q. Did Dr. Hardy provide to you any written materials

Page 21 to Page 24

20 A. There were dozens of neurosurgeons. I can't

remember who they all were.

Hilebrun, H-I-L-E-B-R-U-N.

Q. Can you remember any of them?

19 seminar?

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25

- when he provided training to you in stereotactic 1
- 2 techniques?
- 3 A. I don't recall.
- Q. You said you also had a chance to observe a few 4
- during your fellowship at Harvard, correct? 5
- A. Uh-huh. 6
- Q. First of all, when you say observed a few, did you 7
- actually assist during the procedure? 8
- 9 A No.
- 10 Q. Did you assist in any psychosurgery procedures
- 11 before coming to the Cleveland Clinic?
- 12 A. Do you mean before coming to the Cleveland Clinic as
- staff or before coming to the Cleveland Clinic as a 13
- 14 resident?
- 15 Q. Well -
- 16 A. I did my residency at the Cleveland Clinic.
- 17 Q. All right. Let me back up. As a resident at the
- Cleveland Clinic, did you assist in performing any 18
- psychosurgeries? 19
- 20 A. I may have.
- 21 Q. You're not sure?
- 22 A. I'm not sure.
- 23 Q. Do you know if psychosurgerywas being performed
- 24 here at the Clinic during your residency?
- 25 A. I think there were some selected cases, but I don't

26

- recall for sure. 1
- 2 Q. Do you know who was performing it, if it was being
- 3 performed?
- 4 A. It probably would have been done by Dr. Hardy, but I
- don't know that for sure. 5
- 6 Q. How about during your fellowship at Harvard, did
- you -- you did not actually assist any 7
- psychosurgeries, correct? 8
- A. That is correct. 9
- 10 Q. You just observed it?
- 11 A. Iobserved a few cases.
- 12 Q. And who was performing the surgery that you
- 13 observed?
- 14 A. I think it was Dr. Ojemann.
- 15 Q. How do you spell that?
- 16 A. Ithink it's O-J-E-M-A-N-N. I could be wrong.
- 17 Q. Any other training that you would have received in
- psychosurgery besides what we've just covered? 18
- 19 A. Not that I recall.

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- 20 Q. Is there anything else that you can look to that
- 21 would refresh your memory?
- 22 A. Not off the top of my head.
- 23 Q. The psychosurgery cases that you observed during
- 24 your fellowship, did any of those include combined
- 25 capsulotomies and cinguiotomies?

- 1 A. Don't recall.
- Q. Tell me how it was that psychosurgery came to be 2

27

- 3 performed here at the Cleveland Clinic, to your
- knowledge. 4
- A. After Icame? 5
- Q. Yes. 6
- 7 A There was a set of patients who had medically
- 8 untreatable cancer pain who were suffering and had
- 9 exhausted all reasonable medical drug anesthesia
- 10 procedures for their pain. Bilateral cinqulotomy
- **'**11 was a procedure that was known to be effective in
- 12 many but not all of these patients, which led to an
- 13 altered perception of pain, lack of a need for
- 14 narcotic use, and improved function for their
- 115 remaining days, and so we started performing that
- 16 procedure on a very rare basis for those patients.
- 17 Q. When was that?
- 18 A. Again, my belief is it was probably in the very late
- 19 '80s, like '88, '89.
- Q. Was there a formal committee set up to establish 20
- 21 that as a form of treatment here at the Cleveland
- 22 Clinic?
- 23 A. No.
- 24 Q. Were you part of the - what was your role in
- 25 instituting that treatment?

28

- A Essentially the technician. 1
- Q. Who else was involved in the decision to start that 2
- procedure? 3
- 4 A. There was --- I would point out there was another
- neurosurgeon here around that time, Dr. Hassenbusch, 5
- 6 who also performed that procedure, and I really
- 7 can't remember who started doing them first.
- 8 Q. Whether it was you or him?
- 9 A Right.
- 10 Q. You talked about this was very rare for a select
- group of patients. First of all, were the patients 11
- 12 that this was performed on initially terminal cancer
- 13 patients?
- 14 A. Yes.

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WARE REPORTING SERVICE

- 15 Q. Was there any research or publications that were
- 16 produced dealing with this patient population?
- 17 A. Ithink the only one was the one I had previously

A I think selected is probably the wrong ward. I

18 cited using MR guidance instead of CAT scan instead

Q. Do you know how many patients were selected for this

mean, again, this was a treatment option they were

Page 25 to Page 28

offered when all else had failed, so this was not

selection in the sense of some research project.

19 of ventriculotomy guidance.

procedure initially?

29

- Q. That's fine, volunteered. I mean, what size patient 1
- 2 population are we talking about?
- 3 A. Probably, again, somewhere on the order of two or

4 three a year.

- 5 Q. When was the procedure broadened to include
- psychiatric conditions like OCD? 6
- 7 A. I believe this is when we were approached by the
- 8 psychiatristswho learnedthat we were performing
- 9 these procedures and whether we had the technical
- 10 expertise to perform something like this in patients
- 11 they deemed candidates.
- 12 Q. And when would that have been?
- 13 A. Again, I believe it probably was the very late
- 14 1980s.
- 15 Q. Who in psychiatry would have been behind this
- movement to see if psychosurgery could be performed 16
- 17 here at the Clinic using those techniques?
- 18 A. You know, I really don't recall who was there at the
- 19 time in psychiatry.
- 20 Q. So by the late '80s then psychosurgery was being
- 21 performed on psychiatric patients here at the
- 22 Clinic, to your knowledge?
- 23 A. Yes, in selected patients.
- 24 Q. All right. Are there any written protocols or
- procedures for selecting patients for this procedure 25

30

- here at the ClevelandClinic? I
- 2 A. Notto my knowledge.
- 3 Q. Have there ever been?
- A. Notto my knowledge. 4
- 5 Q. Has there been any change in the way patients are
- 6 selected or any change in the way the procedure is
- 7 performed since Mary Lou Zimmerman's procedure in
- 1998? 8
- 9 A. No.
- 10 Q. I assume there was a protocol of some sort, even if
- it's unwritten, as to how patients are selected for 11
- 12 this procedure?
- 13 MR. MALONE: Again, he doesn't like the
- 14 word selection. You keep using it.
- 15 MR, LINTON: I'm sorry.
- MR. MALONE: He's also testified 16
- 17 earlier that she has to be seen by a Clinic
- 18 psychiatrist, and that wasn't done when she
- first came here. 19
- 20 A. I do not profess to be a psychologistor
- 21 psychiatrist, and the expertise they have, it is not
- 22 within my realm of expertise to determine whether
- 23 someone is or is not a candidate for psychosurgery.
- 24 Q. So you have no role in making that evaluation; is
- 25 that correct?

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- A I have only the role to ensure a reasonable my 1
- 2 only role is to ensure that our guidelines have been
- 3 met in terms of who is a candidate and who is not
- 4 and specifically the guideline is that at least one
- 5 external psychiatrist or psychologist who has been
- 6 treating the patient and one Cleveland Clinic
- 7 psychiatrist agree that all reasonable nonsurgical
- 8 treatment has been given to this patient and that
- 9 surgery should be performed--
- 10 Q. So --
- 11 A. as the next step.
- 12 Q. So you do have a role in deciding if surgery should
- be the next step for the patient, correct? 13
- 14 A. No, my role is to ensure that the guidelines have
- '15 been met, not -
- 16 Q. And I want to be clear about this. The guideline is
- '17 that there's an external psychiatric evaluation and
- an internal psychiatric evaluation, and once those [.]18
- 19 two criteria are metthat's all you have to do, you
- as a neurosurgeon evaluating whether a patient is 20
- appropriate for this surgery? 21
- :22 A. I need to make sure that they're medically fit for
- 23 the procedure and then I do make recommendations as
- 24 to what type.
- 25 Q. So you do make an independent evaluation as to the

32

- type of surgery to be performed, correct? 1
- 2 A. That wasn't your previous question, but, yes.
- 3 Q. And if for some reason you felt that surgerywas
- 4 inappropriate, even if it was recommended by an
- 5 external psychiatrist or even two external
- 6 psychiatrists and an internal psychiatrist, you
- 7 would not perform the surgery, correct?
- A Not on a psychiatric basis because I'm not qualified 8
- 19 to make that. On a medical basis I would be able to make those decisions, but not in terms of a
- 11 psychiatric or psychologic basis.
- 12 Q. These guidelines you're talking about, again, that's 1 nothing in writing, that's just the unwritten
- 14 guideline here at the Clinic?
- 15 A. Well, we have put it in writing in various letters
- and documents to people, like in the letter -- I 16
- 17 believe it was in writing to the Zimmermans that
- 18 that's the guideline.

A. Notthat I'm aware of.

O R

surgerv?

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WARE REPORTING SERVICE

10 Q. But there's no internal document that you're aware 2

Q. What are the medical contraindications for the

A. Well, if someone was dependent on anticoagulation,

then we likely wouldn't performan open procedure.

Page 29 to Page 32

33

- 1 If someone was an exceedingly high medical risk in
- 2 terms of cardiac, pulmonary **a** other organ systems,
- 3 that would be a relative contraindication to
- 4 performing the surgery. Someone who had a known
- 5 degenerative brain disease would not be a candidate
- 6 for the surgery, to name a few.
- 7 Q. How about a preexisting brain infection?
- 8 A If somebody had a known preexisting brain infection
- 9 we would not perform the surgery.
- 10 Q. There were no --strike that.
- 11 What tests or evaluationswere performed either
- 12 by you or by other people here at the Cleveiand
- 13 Clinic before Mary Lou Zimmerman had her surgery on
- 74 September 22nd, 1998?
- 15 A. She had a CAT scan of her brain, and I would think
- 16 that she would have had the routine preoperative
- 17 testing.
- 18 Q. Which consists of what?
- 19 MR. MALONE: Are you including the
- 20 question with the psychiatric evaluation that
- 21 was done in-house as well pre-op? I mean, he
- 22 didn't do that, but that was done.
- 23 A. Typically that includes a complete blood count and
- 24 some basic blood chemistries. Depending upon the
- 25 age and the hospital guidelines at that time, it may

34

- 1 or may not include a chest x-ray, cardiogram.
- 2 Q. Would that be on your pre-op workup, excuse me, your
- 3 pre-op assessment?
- 4 A I'm not sure where that exactly would be.
- 5 Q. Document number 19, which is entitled the
- 6 preoperative admission plan that has your signature
- 7 at the bottom, does that show what preoperative
- a testing Mary Lou Zimmerman underwent?
- 9 A Well, it shows what was ordered, it shows the
- 10 anesthesia clearance panel and a type and screen and
- 11 a CAT scan of the head without contrast.
- 12 Q. Let me summarize then. The preoperative testing -
- 13 A. Also it showed an electrocardiogram and anesthesia
- 14 clearance.
- 15 Q. So her preoperative testing and evaluation would
- 16 have included, number one, a psychiatric evaluation,
- 17 number two, a CAT scan, number three, preoperative
- 18 bloodwork, and number four, an EKG; is that right?
- 19 A. It would have included that, that's correct.
- 20 Q. Anything else?
- 21~ A. $\,$] actually don't know what fully constituted an
- 22 anesthesia clearance panel at that time. It
- 23 changes.

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- 24 Q. Were there any independent tests or evaluations that
- 25 you performed or ordered?

35

- 1 A. I'm **not** sure what you're driving at, but not that 1 2 recall.
- 3 Q. I'm not driving at anything, I'm just trying to find
- 4 out what you what your role was preoperatively
- 5 for this patient.
- 6 A. I mean, the patient and the family I met with the
- 7 patient and the family.
- a Q. I understand you met them. I'm talking in terms of
- **9** actual tests that were ordered, tests performed by
- you. There weren't any, correct?
- 1 A. Other than the ones that we've discussed, not that I
- 12 recall, not that I see at the moment.
- 13 Q. Did you do a neurological examination of the
- 14 patient?
- 15 A. There's a neurological examination in the chart with
- 16 which I said I concurred.
- 17 Q. And was that performed by a resident?
- 18 A. It was either performed by a resident or a fellow.
- 19 Q. Can you go to your chart and see who performedit?
- 20 A. It's not signed, that I recall.
- 21 Q. And tell us what were the findings during the
- 2'2 neurologicalexamination.
- **2**'3 A It said normal neurologic examination.
- 24 Q. And what did that include?
- 25 A. It would have included -- it included sensory

36

- 1 cranial nerves, motor exam, sensory exam, reflex
- 2 exam.
- 3 Q. And all those were normal?
- 4 A. Not a psychiatric exam.
- 5 Q lunderstand, but the ones we just outlined were
- 6 normal?
- 7 A. That's what the chart says.
- 8 Q. Do you know what outside tests or studies were done
- 9 to evaluate Mary Lou Zimmerman as a candidate for
- 10 this surgery?
- 11 A. No.
- 12 Q. How long is a patient like Mary Lou Zimmerman
- 13 typically in the hospital for when she undergoes
- 14 this type of procedure?

preoperative meeting?

21 A. I believe I would have.

preoperatively?

- 1.5 A Usually around probably five to seven days, unless
- 16 they are one of those one in three who are mute, and

Q. The CT scan that you performed, is that for purposes

Page 33 to Page 36

17 that can extend into a coupie weeks, two or three

of -- well, why do you perform the CT scan

A The principal reason is for guidance for the

Q. Did you tell the family that during your

18 weeks.

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WARE REPORTING SERVICE

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- 1 procedure, and certainly if we see anything unexpected then we proceed, deal with that. 2
- 3 Q And there was no evidence of any brain mass or brain
- 4 abscess or abnormalities in the brain as a result of
- 5 that CAT scan, correct?
- 6 A. Notthat I can recall.
- 7 Q. Do you want to look at your record to make sure?
- A. Sure. Do you know what date that was done? 8
- 9 Q. The 21st.
- 10 MR MALONE: If you have the report.
- 11 Bob, why don't you show it to him. It might
- 12 move us along. I've got it here.
- 13 MR. LINTON: He's got the original
- 14 chart.
- 15 A. The CAT scan from September 21st, 1998 did not show
- any abnormality in the brain. 16
- 17 Q. Do you have the results of the preoperative blood
- 18 testing?
- 19 MR. MALONE: Here, Gene.
- A. There is a basic metabolic panel and a complete 20
- 21 blood count, as well as a type and screen.
- 22 Q. And what date are you looking at for the report?
- 23 A. It was collected September 21st.
- 24 Q. There's no sign of any organismor infection in the
- 25 blood based on those results?

38

- 1 A. The results were normal.
- 2 Q. So there would be no evidence based on that report
- 3 of any organism in the blood?
- 4 A. That test isn't designed to specifically look at
- 5 whether there's organisms in the blood, it's to look
- 6 for - as close as it gets is to look for evidence
- 7 of infection for which there's been an immunoiogic
- 8 response.
- 9 Q. And there was no sign of such an infection, was
- 10 there?
- 11 A. Correct.
- 12 Q. So based on everything that you know of in terms of
- testing and in terms of evaluation, Mary Lou 13
- Zimmerman did not have any infection that you were 14
- 15 aware of when she came to the Cleveland Clinic for
- 16 her surgery on September 22nd, 1998, correct?
- 17 A. That is correct.
- Q. Are you aware of the organism that was ultimately 18 cultured? 19
- 20 A. I believe it was a type of klebsiella.
- 21 Q. And what type of klebsiella was it?
- 22 A. Fromwhere?

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- Q. Well. from blood. first. 23
- 24 A. Is the first one from October 9th?
- Q. Yes. 25

- 39
- 1 A. Then it would be klebsiella oxytoca.
- 2 Q. Could you just spell that for the Court Reporter.
- 3 A. O-X-Y-T-O-C-A.
- 4 Q. I'm going to refer to that as KOjust for
- shorthand. Where is that organismfound in the 5
- 6 body?
- 7 A lactually don't know for sure.
- Q. You don't know, based on your medical training, that 8
- 9 that's something typically found below the
- 10 diaphragm?
- .12 fact. 13 Q. It's not an organism typically found in the brain,

'11 A. I wouldn't be surprised, but I don't know that for a

- 14 is it?
- 15 A That is correct, but then again, no organism is
- 16 typically found in the brain.
- 17 Q. Because the brain is sterile, correct?
- 18 A. The brain is a sterile organ.
- 19 Q. What does the term nosocomial mean?
- 20 A Nosocomial, as I understand it, means hospital 21 acquired.
- 22 Q. Are you aware of any other brain surgeries that
- 23 you've performed in which this organism was found?
- 24 A. I'm not aware of any, no.
- 25 Q. Can we agree this is an unusual organism to find in

40

- the brain following brain surgery? 1
- 2 A. I would say that it is unusual to find klebsiella
- 3 oxytoca in the brain after brain surgery.
- Q. Did it surprise you to find this following Mary Lou 4
- 5 Zimmerman's surgery?
- 6 A I would have been surprised to find any type, but
- 7 perhaps more so with this than others, that's
- 8 correct.
- 9 Q. And why would you -- why?
- 10 A. It's a rare organism for an infection after brain
- 11 surgery.
- 12 Q. And it's not one that should typically be in the
- 13 brain following a brain surgery, correct?
- 14 A No organism should typically be in the brain.
- 15 Q. This is not an organism that is typically found in 15
 - the skin, is it?
- 17 A I don't believe so, but I'm not an infection disease
- doctor and I wouldn't couldn't say that for 18 13 sure.
- 28 Q. But based on your training and experience it's not
- 1 one that you've encounteredon the skin before, is

Q. Tell me, based on your experience as a neurosurgeon,

Page 37 to Page 40

2 it? 3 A. Well, I normally don't look for it on the skin, so I

don'tknow.

1

5

41

- 1 the possible ways in which this organism could
- 2 result in a brain abscess.
- 3 A. One possibility would be direct inoculation during
- 4 surgery, a second would be postoperative bacteremia
- 5 or -- bacteremia in the perioperative period, which
- 6 would then seed the wound, and a third would **be**
- 7 direct wound contamination with tracking into the
- 8 brain.
- 9 Q Based on everything you know about this case, and
- 10 you've reviewed the records, do you know which of
- 11 those are most likely in Mary Lou Zimmerman's case?
- 12 A. Not really.
- 13 Q. Do you have an opinion as to which is most likely?
- 14 **A. No.**
- 15 Q. You would just be speculating?
- 16 A. That's correct.
- 17 Q. You said direct inoculation. By what means could
- 18 that organism directlyget into the brain?
- 19 A. Well, direct inoculation of the wound, is what I
- 20 said, with tracking into the brain.
- 21 Q. Well, that was your third scenario. Wasn't the
- 22 first scenario direct inoculation in the brain?
- 23 A. Okay.
- 24 Q. I'm sorry, I'm going backwards in order.
- 25 A. Okay. I misunderstood the question. Would you

42

- repeat the question again then?
- 2 Q. Sure. Let me lay a foundation so I can be clear in
- 3 that. When you perform this surgery, your fingers
- 4 do not actually go into the brain; is that correct?
- 5 A. That's correct.
- 6 Q. They remain outside the patient's skull?
- 7 **A.** Yes.
- 8 Q. And is the only thing inserted the probe?
- 9 A. The onlything inserted in the brain is the probe,
- 10 that is correct.
- 11 Q. Is that what you meant when you said direct
- 12 inoculation, that it could be a probe that
- 13 contaminated the brain?
- 14 A. Yes.
- 15 Q. And I understand you're not responsible for
- 16 sterilizing the probes used during the procedure,
- 17 correct?
- 18 A. Correct.
- 19 Q. You have to rely on the other -- on others to
- 20 properly sterilize the instruments?
- 21 A. That is correct.

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- 22 Q. When you get the instrument, you're getting it from
- 23 a technician during the surgery itself?
- 24 A. Usually | get it from a scrub nurse.
- 25 Q. But it's either the scrub nurse or a technician

- 43
- 1 that's assisting you during surgery that actually
- 2 hands you the probe that you then insert into the
- 3 brain; **is** that correct?
- 4 A. Correct.
- 5 Q. When you actually get the probe it's already out of
- 6 its packaging?
- 7 A. Correct.
- 8 Q. And there's nothing that you can do to independently
- 9 determine whether **It**'s sterile or not?
- 10 A. That is correct.
- 11 Q. You just assume that it's been properly sterilized?

12 A. Correct.

- 13 Q. Now, the third scenario that you talked about, the
- 14 direct wound contamination, there you're talking
- 15 about the actual wound on the outside of **the** head
- 16 that would become infected, and then that infection
- 17 would then track internally into the brain; is that
- 18 correct?
- 19 A. Correct.
- 20 Q. And **do** you have any evidence that that occurred
- 21 here?
- 22 A. No.
- 23 Q. The other you mentioned is postoperative bacteremia
- in the perioperative period, that being that there's
- 25 infection that develops in the blood and because the

44

- 1 brain has tissue that's healing it's susceptible to
- 2 having the organism grow with that part of the body,
- 3 correct?
- **4** A. Correct.
- 5 Q. Do you have any evidence that that's what occurred **6** here?

19

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WARE REPORTING SERVICE

- 7 A. Well, my understanding is that she did have a
- 8 klebsiella bladder infection, although the sub type
- 9 of klebsiella is said to be different.
- 10 Q. Would that indicate that most likelythat would not11 be the source?
- **12** A. I don't know whether the difference in speciation
- 13 that is reported is reliable.

to begin with.

bacteremia?

- 14 Q. Okay. **So** you think the test might be unreliable?
- **15 A.** | said | don't know whether one can reliably
- 16 differentiate between the two species. It is
- 17 somewhat coincidental that a patient has **two**
- 18 different klebsiella infections at the same time.

O. **Its** more likely they would have the same organism?

A. I would think, since kiebsieiia infections are rare

Q. Assuming that the **test** is reliable and in fact they

it is less likely to come from the blood and

are two separate organisms, would that indicate that

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THE CLEVELAND CLINIC FOUNDATION

at

	GEN
	45
1	A. Yes.
2	Q. Do you recall explaining to the familythat one
3	explanation for what happened couid be a
4	contaminated instrument during the surgery?
5	A. I know we had a discussion on contaminated
6	instruments. The specifics of that, I don't
7	recall.
8	Q. So if the family said that they recall very
9	specifically you telling them that this infection
10	was most likely due to a contaminated instrument, is
11	that something that you would deny?
12	A. Repeat the question again.
13	MR. LINTON: Sure. Let me have Laura
14	read it back, if I can, please.
15	• • • •
16	(Thereupon, the requested portion of
17	the record was read by the Notary.)
18	
19	A. I have a different recollection of the discussion on
20	that, and so I would have to say yes.
21	Q. Okay. Tell me what your recollection of the
22	discussion is.
23	A. My recollection was that the notion that this was
24	due to a contaminated instrument was advanced by
25	another service as being the most probable cause and

1 that they asked my opinion on this, and that my

- 2 opinion was that certainly it was a possibility but
- 3 I didn't know for a fact that it was.
- 4 Q. What other service believed it was the most probable 5 cause?
- 6 A. My recollection is that that came from somewhere on 7 the infectious disease service.
- O. And that again would be the infectious disease 8
- service here at the Cleveland Clinic? 9
- 10 A. Correct.
- 11 Q. And do you know who in infectious disease had that 12 opinion?
- 13 A. I don't know whether this came from the staff level,
- 14 resident, fellow level, but just that my
- 15 recollection was that again that notion was advanced
- 16 by that service.
- 17 Q. And was that opinion expressed to you, in other
- words was that the position that this person in 18
- 19 infectious disease told you directly or was that
- 20 reported to you from the patient's family?
- 21 A. I recall it being reported to me by the family. I
- 22 don't recall a direct discussion on this, although I
- 23 can't tell you it didn't happen.
- 24 Q. I'm sorry, just to be clear you can't tell us it
- 25 didn't happen, that being -

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1	A. I'm not saying that I did not have any direct
2	communication with the infectious disease service at
3	some point and probably would have had direct
4	discussions with the infection service during the
5	course of this illness. Whether or not it actually
6	ever came up in those discussion, I don't know, but
7	my recollection was that they advanced the notion to
8	me that infectious disease had suggested this as a
9	probable cause.
10	Q. And so that I can understand what you're saying,
11	your response would have been that that was a
12	possible cause , but you were not going to say that
13	it was a probable cause?
14	A. That I didn't know.
15	Q. You didn't know. So you couldn't rule it in or rule
16	it out, the possibility?
17	A. But I could confirm that it was a possibility.
18	O. Junderstand. Certainly based on everything that

- 19 you know about the infection that developed and the
- 20 brain abscess is entirely consistent with there
- 21 being a contaminated probe?
- 22 A. I would say it is consistent with that or another
- 23 instrument.
- 24 Q. Well, is there any other instrument that would have
- 25 been inserted into the brain during this procedure?

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- 1 A. No, but the probe could have been contaminated ••
- 2 Q. It could have -
- 3 A. by one of the producing tubes it goes through on
- 4 the way in, but your point is that, yes, the probe
- 5 itself would have had to be contaminated.
- 6 Q. But it may have been contaminated by another source?
- 7 A. Yes.
- 8 Q. In other words, it could have started off -- tell me
- 9 everything that the probe would have come in contact
- 10 with before it is removed from the brain.
- A. Realistically the only direct contact it has is with 1-1
- 12! the inside of what's called a reducing tube.
- Q. And where does the reducing tube come from? 131
- 12. A. The reducing tube again comes as part of a sterile
- 15 package.
- 16 Q. Is the probe in the reducing tube or is that
- 17 separate?
- 18, A. That's separate. They're sterilized usually by
- 19 different techniques.
- 20 Q. is it sterilized here at the Cleveland Clinic
- department, to your knowledge? 21
- 22 A. Yes.
- 23 Q. In the SPD department?
- 24 A. I presume that's where it was done, but I can't tell
- 25 you with certainty that's where it was done.

WARE REPORTING SERVICE

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THE CLEVELAND CLINIC FOUNDATION

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- Q. Iwant to be clear on a question I asked earlier.
 Based on the assumption that the blood tests are
- Based on the assumption that the blood tests are
 reliable and show, in fact, there were two separate
- 4 klebsiella organisms, more likely than not
 5 bacteremia would be excluded as the cause for the
- 5 bacteremia would be excluded as the cause6 brain infection, In your opinion, correct?
- 7 MR. MALONE: Well. I think he said he's
- 8 not an infectious disease expert.
- 9 MR. LINTON: I'm asking him based on
- 10 his experience and knowledge.
- 11 MR. MALONE: He didn't have an opinion
- 12 before. You keep going back.
- 13 MR. LINTON: His experience as a brain
- 14 surgeon.
- 15 A. It's one of these things that -- let me state it's
- 16 my understanding it doesn't exclude it.
- 17 Q. It makes it much less likely?
- 18 A Itjust doesn't prove it. It doesn't support it.
- 19 Q. It doesn't
- 20 A. There's a difference.
- 21 Q. Okay. How are those different?
- 22 A. The absence of culturing an organism in the blood
- 23 doesn't mean that the organism is not there.
- 24 Q. Okay.
- 25 A Culturing an organism in the blood confirms that the

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- 1 organism is there, so
- 2 Q. Do we have --
- 3 A. the point that we did not, as I understand it,
- 4 culture the exact organism in the blood that grew
- 5 from the wounds does not disprove bacteremia as a
- 6 cause, it just doesn't support it as a cause.
- 7 Q. To support it as a cause, we would have to show the
- 8 same type of klebsiella, correct?
- 9 A. Yes, or an indistinguishable type of klebsiella.
- 10 Q. As opposed to a different type of klebsiella, which
- 11 is what's shown on this report?
- 12 A. Taken at face value, that's what these reports
- 13 show.
- 14 Q. And you have no evidence to show that those are15 unreliable?
- 16 A. I don't have enough knowledge to know whether this
- 17 is a meaningful difference.
- 18~ Q. We can agree that the organism that caused the brain
- 19 abscess included klebsiella oxytoca?
- 20 MR. MALONE: Gene, what are you looking
- 21 for?
- 22 THE WITNESS: I'm looking for a culture
- 23 report-

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- 24 Q. 10-4-98.
- 25 THE WITNESS: to help me answer his

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- 2 Q. I think you're probably looking for the 10-4-98
- 3 one. Is that what you're looking for?
- 4 A. Yes.
- 5 Q. Tell me, do you have authority to recommend that a
- 6 patient's bill be written off by the Cleveland
- 7 Clinic?
- 8 A Recommend?

auestion.

- 9 Q. I'm not trying to cut semantics here. For whatever
- reason you decide that a patient should not be
- billed for services or should not have to paya
- "12 co-pay amount, can you do something about that here
- 13 at the Cleveland Clinic?
- 14 A. I can ask.
- 15 Q. Did you ask in this case; do you recall?
- 16 A. I actually don't recall.
- 17 MR. MALONE: Objection.
- 18 Q. Do you recall any conversations with the family in
- 19 which you said, in essence, that they won't have to
- 20 pay anything for the services that were provided
- 21 here at the Cleveland Clinic, they won't have to
- 22 personally pay for it?
- 23 A. I don't specifically recall that.
- 24 Q. Would --
- 25 A It may have happened.

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- 1 Q. So you wouldn't deny that if the family testified to
- 2 that point?
- 3 A I would not denythat.
- 4 Q. What would you have to do if you wanted to see that
- 5 a bill was not charged to a family?
- 6 A. There's a person that I would call.
- 7 Q. Who would you call?
- 8 A. I think it would be Claire Young.
- 9 Q. And what's Claire Young's position?
- 10 A. I don't actually know what her position is.
- 11 Q. Is she in billing?
- 12 A. No, I don't believe so.
- 13 Q. Has there ever been --
- 14 A. I think she's in administration.
- 15 Q. Has there ever been a situation which you've asked
- 16 Claire Young not to charge a patient and you've been
- 17 overruled?
- 18 A. I thinkso.

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WARE REPORTING SERVICE

19 Q. You would never tell that to a family unless you had

Q. I want to just be clear about this KO organism. Do

experience this is an organism typically found in

you not know based on your medical education and

Page 49 to Page 52

20 the authority to see that the bill was not charged?

without knowingthat was going to happen.

21 A. I don't think I would ever tell it to a family

GENE H. BARNETT, M.D.

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MARYLOU ZIMMERMAN, et al. vs.

THE CLEVELAND CLINIC FOUNDATION

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1	the bowel or biliary tracts?
2	A. it may well be. Whether it's normal flora in those
3	tracts, I couldn't tell you.
4	Q. Would you agree that if, in fact, Mary Lou
5	Zimmerman's brain abscess resulted from a
6	contaminated instrument that that would be
7	unacceptable surgical practice?
8	A. No.
9	Q. Under what circumstances could that be acceptable
10	surgical practice?
11	A. That I believe the standard of care is to do one's
12	best to maintain the sterility of instruments within
13	all reasonable - with all reasonable measures, and
14	that's not to say that even if there's no breach in
15	sterile technique that a contamination cannot
16	occur.
17	Q. Well, can you tell me a situation how this
18	instrument could become contaminated with this
19	organism, assuming proper sterile techniques were
20	followed?
21	A. There is no all proper sterile technique does not
22	remove or preclude bacterial contamination at some
23	level. The purpose of sterile technique is to
24	minimize the risk of infection. Steriletechnique
25	does not mean no bacteria, and it's aimed at

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- 1 minimizing the load. So every sterile procedure has
- 2 some bacteria on all instruments in a case, and it
- 3 is generally intended to be such that the load is so
- small as to not cause infection for the vast 4
- 5 majority of patients.
- 6 So the point is that even with normal handling,
- 7 all techniques being done, everything being
- 8 sterilized, that there are still going to be
- 9 bacteria. They float around in the air.
- 10 Sterilization probably, and I'm not a sterilization
- 11 expert, but sterilization probably does not kill
- 12 every single organism, that there are going to be
- 13 bacteria that are viable in any given surgical 14 case.
- 15 Q. Are you saying that KO is a type of organism that
- could simply come out of the air and contaminate 16
- this instrument; is that what you're saying? 17
- 18 A. in a hospital any organism could theoretically be
- floating around. 19
- Q. including KO? 20
- 21 A. I suspect it could be. I don't know specifically
- 22 about KO.
- 23 Q. You would be speculating on that; isn't that right?
- 24 A. Specifically about **KO**, that's correct.
- Q. You're talking about general staph infectionsor 25

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strep infections?
A. I'm talking about infections in general.
Q. You would agree if there was a breach of sterile
technique, and that's what caused the probe to be
contaminated with KO, that that would be
unacceptable surgical practice, correct?
MR MALONE: Let me just show an
objection because you're assuming a fact not in
evidence, a fact that's disputed. As long as
you're going to tell him these are hypothetical

- 11 questions, that's fine, but he's never conceded
- 12 there was a contaminated probe.
- 13 Q. Can you answer the question as a lasked it, Doctor?
- 14 A. Would you repeat the question?

MR. LINTON: I'll have Laura read it

- 16 back. 17

18 (Thereupon, the requested portion of

- 19 the record was read by the Notary.)
 -
- **21 A.** I'm not sure that I would agree with that.
- 22 Q. So if there is a breach in sterile technique and
- 2B that causes a probe to be contaminated and that's
- 24 what causes the KO to be inoculated into the brain
- 25 and develop the abscess, that can still be

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1	acceptable surgical practice, in your opinion?
2	A. I think it depends on the circumstances of the
3	breach.
4	Q. Well, give me any circumstances under which, in your
5	opinion, as a neurosurgeon at the Cleveland Clinic
6	it could be acceptable surgical practice.
7	A. I would think that if an instrument came in contact
8	with a nonsterile surface that was not performed
9	deliberately and not witnessed that that would be a
10	potential source of contamination that still would
11	fall within the onus to do one's best effort to
12	maintain sterility.
13	Q. But, Doctor, isn't it the job of the scrub nurse or
14	the technician to make sure that she is at all times
15	watching the instruments to make sure they maintain
15	a sterile field?
17	A. One of the jobs is to one of the jobs of the
18	scrub nurse or technician is to try to preserve
13	sterility of the sterile field.
213	$\ensuremath{\mathbb{Q}}\xspace$. And they do that by making sure that the instruments
2 'i	are sterile when they are brought into the sterile
2:2	field and that they stay in the sterile field until
23	the surgery has been completed, correct?
24	A. They do the best they can, but they only have two

2!3 eyes in their head and those eyes are looking

WARE REPORTING SERVICE

GENE H. BARNETT, M.D

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1 different places at different times.

- 2 Q. Well, I don't understand how if they're following
- proper sterile technique how an instrument could be
 contaminated with a KO organism. How would that
- 5 happen; how does that happen during surgery?
- 6 A When a package is opened it -- an instrument touches
- 7 an area that is not sterile ---
- 8 Q. Wait. Let me stop you there. It's not to be opened
- 9 until it gets into a sterile field, correct?
- 10 A. Over a sterile field.
- 11 Q. Right. So by definition --
- **12** A. But the outside of the package is not sterile.
- 13 Q. $\ensuremath{\,]}$ understand that, but by definition once it's in
- 14 the sterile field --strike that.
- 15 By definition, to maintain a sterile field you
- 16 have to bring the -- strike that.
- 17 The proper sterile technique is designed to
- 18 prevent an instrument from coming into contact with
- 19 a nonsterile field, correct?
- 20 A. It is intended to minimize the risk of an instrument
- 21 coming into contact with a nonsterile area.
- 22 Q. And proper sterile technique requires that a sterile
- 23 instrument not come in contact with a nonsterile
- 24 field and then be used during a surgery?
- 25 A. Repeat that again, please.

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- I Q. Sure. Proper sterile technique requires that a
- 2 sterile instrument not come into contact with a
- 3 nonsterile field or surface?
- 4 A. Proper sterile technique is intended to prevent an
- 5 instrument from coming asterile instrument from
- 6 coming into contact with a nonsterile instrument or
- 7 field.
- 8 Q. And it's the scrub nurse or the technician's job to
- 9 make sure that it does not come into contact with a
- 10 nonsterile surface or field?
- 11 A. It's the scrub nurse's or technician's job to take
- 12 all reasonable steps to see that that doesn't
- 13 happen, but there is no such thing as a completely
- 14 sterile procedure and there's no such --there's no
- 15 case --there's virtually no case where some
- 16 contamination does not occur if the case is long
- 17 enough.
- 18 Q. If an instrument does -- strike that.
- 19 If a sterile instrument does come in contact
- 20 with a nonsterile field, the standard 04 care
- 21 requires that you don't use it?
- 22 A. If you know that, that is correct.
- 23 Q. And it's the scrub nurse's or technician's job to
- 24 know that, isn't it?
- 25 A The technician or scrub nurse's job is to do the

1	best they can to keep that from happening or to be
2	aware of it when it happens.
3	Q. Do you know of any other surgical cases here at the
4	Cleveland Clinic where KO has infected a patient?
5	A. Off the top of my head, no.
6	MR. LINTON Why don't we take about a
7	five-minute break, if we can.
8	• • • •
9	(Thereupon, a recess was had.)
10	
11	(Thereupon, Ms. DISIlvio left the
12	deposition room.)
13	
14	Q. Does the field of psychosurgery remain
15	controversial?
16	A. Yes.
17	Q. Is surgery like the kind performed on Mary Lou
18	Zimmerman experimental?
19	A. I would say no.
20	Q. Why not?

- 21 A Because there's a wealth of literature supporting
- its benefit in a select group of patients.
- 23 Q. Is there any federal funding or outside funding to
- 24 you or the Clinic to perform these type of
- 25 surgeries, to your knowledge?
- 1 A. No.

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MR. MALONE: Just so I understand, are

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- you asking whether Medicare pays for it?
- 4 MR. LINTON No.
- 5 MR. MALONE: Because that's federal
- 6 funding.
 - MR. LINTON I understandthat.
 - 8 A. You're asking --
 - 3 Q. Research funding, right.
- 10 A And the answer is no.
- 11 Q. What other institutions are you aware of that
- 12 presently or as of 1998 were performing
- 13 psychosurgery?
- 14 A. Probablythat which does the most is Mass. General,
- 15 Massachusetts General Hospital in Boston.
- 16 Q. Anyathers?
- 17 A. There probably are others but
- 18 Q. Any others that you know of?
- 19 A That I don't know of offhand.
- 20 Q. What neurosurgeons do you know that perform
- 21 psychosurgeryor were performing it as of 1998?
- 22 A Reese Cosgrove.
- 23 Q. At Mass. General?
- 24 A. Uh-huh.
- 25 Q. Anybodyelse?

WARE REPORTING SERVICE

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- 1 A. Ithink Hassenbusch. Sam Hassenbuschis still
- 2 performing it at M.D. Anderson.
- 3 Q. I'msorry?
- A. M.D. Anderson. 4
- 5 Q. Where is that?
- A It's in Texas. 6
- Q. Is that affiliated with the university? 7
- 8 A. I'm not sure what it's affiliated with.
- Q. Any other neurosurgeonsthat you know of that 9
- perform psychosurgery or were performing it as of 10 11 1998?
- 12 A. I can think of some people who were probably -- who
- might be doing it, but I'm not sure that they were. 13
- 14 Q. You've told us about all the people that you know
- 15 were doing it. Who else might have been doing it?
- 16 A. I think that a guy named Young who was in Seattle at
- 17 the time may have been doing it, a guy named
- Giidenberg who's also in Texas at a different place, 18
- 19 he's in Galveston, may have been doing it, and a guy
- named Kihlestrom, K-I-H-L-E-S-T-R-O-M, I think, in 20
- 21 Sweden was doing it.
- 22 Q. Any other surgeons that you know of who were doing
- it or may have been doing it in 1998 to the 23
- 24 present?
- 25 A. Not off the top of my head.

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- 1 Q. Did you receive any training from Dr. Cosgrove in
- 2 the performance of psychosurgery while you were at
- 3 Harvard?
- 4 A. No.
- Q Have you received any training from any of these 5
- other I assume they're neurosurgeons? 6
- 7 A. Yes, they're all neurosurgeons.
- Q. in psychosurgery? а
- 9 A Hassenbusch and I worked together, but I'm not sure
- I would use the word training, but we did work 10
- 11 together on these procedures back in the late '80s,
- 12 early 90s.
- 13 Q. Here at the Clinic?
- 14 A. Yes.
- 15 Q. Have you discussed this case with him?
- 16 A. No.
- 17 Q. Have you discussed this case with any other
- 18 physicians? I'm talking now since the lawsuit has
- been filed. 19

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- 20 A. Notthat I recall.
- 21 Q. Why is psychosurgery controversial?
- 22 A. Probablybecause it was performed in an
- irresponsible fashion in the 1950s and early '60s. 23
- 24 It was used as a primary modality of treatment as
- 25 opposed to a last resort.

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- 1 Q. Is there still some controversy today for using it
- 2 as a last resort in psychiatric patients?
- 3 A. Yes.
- 4 Q. Is there controversy here at the Cleveland Clinic,
- 5 that you're aware of?
- 6 A. Not that I'm aware of.
- 7 Q. Iwant to go back to when this technique was first
- 8 developed here at the Cleveland Clinic. You said it
- 9 was being used with some cancer patients, correct?
- 10 A. That's my recollection.
- ·11 Q. And was it cingulotomy that was being performed on
- [.]12 the cancer patients?
- 13 A That was my recollection.
- **.**14 Q. What does the cingulotomydo to help relieve the
- .15 pain in these terminal cancer patients?
- 16 A. Probably the most -- the simplest way of expressing
- 17 it is that it keeps the pain from hurting.
- 18 Q. And how is that?
- 19 A. It removes the emotional aspect of the painful
- 20 stimulus.
- 21 Q. What part of the brain is removed to eliminate the
- 22 emotional response to pain?
- 23 A The cingula gyrus on both sides.
- 24 Q. Is the entire gyrus removed or just a portion?
- 25 A Just a portion is interrupted, actually.

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- 1 Q. And what portion is interrupted?
- 2 A As I recall, it would be the part of the front of
- 3 the cingula gyrus.
- 4 Q. Is a different portion of the gyrus removed
- dependingupon the purpose for the cingulotomy? 5
- 6 A. I think there's been a tendency over time to move
- the lesion forward irrespective of the purpose. 7
- Q. Could you explain to me the nature of the cingulum 8
- 9 and the anterior capsule, describe it for me?
- 10 A. I'm not sure I understand what you mean by the 11 nature.
- 12 Q. Can you just describe it for me anatomically?
- 13 A The cingulum contains white matter and gray matter
- 14 and it is anatomically part of the limbic system,
- 15 which is considered part of the emotion and smell
- 16 system in the brain.

and the thalamus.

should it?

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WARE REPORTING SERVICE

The anterior capsule is a conduit of many nerve

Q. Now, when this surgery is properly performed, it

fibers that go between the base of the frontal lobes

should not lead to a permanent speech impairment,

Page 61 to Page 64

- 18 fibers both up and down and front and back in the
- 13 brain and of specific interest is interrupting 26

A. An uncomplicated case, that's correct.

GENE H. BARNETT, M.D.

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people.

THE CLEVELAND CLINIC FOUNDATION

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- Q. And likewise, should not lead to a permanent problem 1
- 2 with balance or motor coordination, should it?
- A 1 think that would be very unlikely in an otherwise 3
- uncomplicated case. 4
- Q And in fact, you did not tell the Zimmermans that 5
- there could be the possibility of a permanent 6
- impairment to speech as a result of this procedure, 7
- did you? 8

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- 9 A. I think that would fall in the category of big
- stroke. 10
- 11 Q. But did you specifically --
- A. But specifically --12
- Q. Did you specifically talk about permanent impairment 13 14 to speech?
- A Generally I describe those things as being anything 15
- you can possibly think of that could go wrong with 16
- 17 the brain.
- 18 Q. What do you mean?
- A. In terms of the ramifications of big stroke. 19
- Q. Let me ask you this. What do you typically tell 20
- 21 your patients when you give informed - give and
- 22 obtain informed consent for this procedure?
- 23 A. Well, I think we alreadywent through that, largely, 24 once.
- 25 Q. Well, we talked earlier about the risks of the

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1	procedure. What I want to cover now is what you
2	typically tell the patients.
3	MR. MALONE: I think that was the way
4	he answered the question before, Bob. It was a
5	long answer.
6	Q. Well, let me clarify the question. Tell me what
7	your custom is when obtaining informed consent from
8	a patient for this procedure.
9	A My custom is to discuss the alternatives, the risks
10	and the benefits.
11	Q. What do you tell them?
12	MR. MALONE: He's already answered the
13	question. I'm going to show an objection. If
14	you want to go through it again, go ahead.
15	A interms of alternatives, there's cinguiotomy,
16	there's anterior capsulotomy, there's anterior
17	capsulotomyand cingulotomy, there's gamma knife
18	cingulotomy, and there's nothing, continue on the
19	way one is.
20	Now, generally when people come to the office
21	they've already exhausted all other reasonable
22	practices so that they aren't very interested in
23	staying the way they are, although ${\tt I}$ have had some
24	patients who after hearing all the risks they have
25	elected not to proceed with them. And we discuss

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then the various pros and cons, that a cingulotomy
is a procedure which, you know, is of some benefit,
a little over half, the patients who get anterior
capsulotomy probably slightly higher benefit but
also higher risk in terms of personality change.
And then a combination of the two is probably the
most effective but also still carries the highest
risk of personality change.
And by personality change, the most common ones
are being flat and apathetic as a side effect, kind
of the opposite of being obsessive and compulsive.
That the gamma knife procedure holds the benefit of
not being invasive, and that it's done as an
outpatient, but that again it's no more effective
than an anterior capsulotomy, the effects are much
more delayed, that there's still the risk of
catastrophic outcome from this type of radiation
injury, plus the risks of radiation sequelae down
the road, but, you know, it is an option for some

21 So that in terms of recommendations as to which 22 procedure, largely it's a matter of how severe it is 23 which procedure is recommended, and then we go 24 through the detailed risks of that procedure. 25

First, again, is the risk that it's not going

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1	to work and that there will be significant
2	personality changes associated with it, that also
3	the fact may be delayed, take weeks to months to
4	actually kick in for its full benefit, that about
5	people who get the bilateral anterior cingulotomies
6	and capsulotomies, about one in three will \mathbf{be} mute
7	and not particularly interactive for the first few
8	days to weeks after surgery but that almost
9	invariablyclears, that about one in four, one in
0	three people will have bladder incontinence for
1	their first few weeks to few months afterwards but
2	it almost invariably clears, two or three percent
.3	chance of seizure, and about a two percent chance of
4	wound healing problems, such as infection,
:5	meningitis, blood clot, fluid leaks, about two
6	percent chance of a catastrophic outcome, big
7	stroke, blindness, coma, death, that things that
8	leadto major disabilities, major sustained
13	disabilities, risk of temporary problems are
13	probably higher than that, probablyfive to ten
1	percent, but again, permanent sustained things
:2	probably on the order of about two percent or so.
3	There's also the risk of nonneurologic issues,
4	things like heart attacks, pneumonias, blood clots
5	in the legs and so on. Typically for people who are

WARE REPORTING SERVICE

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- 1 of reasonable health, heart attack and pneumonia
 - issues are a small risk, but they're not zero, and
- 3 can occasionally be serious, and that sometimes even
- 4 if you get a good result It's not permanent and that
- 5 results may typically -- may last only for a few
- 6 years, at which time we would have to revisit what
- 7 options are available.
- 8 Q. Are you through with your answer?
- 9 A. And as Isaid before, that the type of catastrophic
- 10 things include, under the category of big stroke,
- 11 things include essentially any type of neurologic
- 12 problem that you could possibly think of.
- 13 Q. Can I ask the next question now?
- 14 A. Sure.
- 15 Q. The big stroke, coma, death, what causes that when
- 16 the complication occurs; is that from the: stroke
- 17 itself?
- 18 A. Really it can be anything. One would be hemorrhage,
- 19 but it also takes into account any other mechanism.
- 20 I don't necessarily specify the mechanism, I discuss
- 21 what the problem can be. So it would include things
- 22 like infections and heart attacks and things that:
- 23 might lead to cerebral brain damage.
- 24 Q. Well, what problems did Mary Lou Zimmerman develop
- 25 after the surgery that were not part of the, quote,
- 70 normal outcome you would have expected? 1 2 A. Well, she developed a wound infection, a brain 3 abscess. Q. And what did that lead to? 4 A. I believe it led to brain damage. 5 Q. And what did that lead to? 6 7 MR. MALONE: Well, I'm going to show an 8 objection because we requested the records of 9 her current status and haven't seen them yet. 10 MR. LINTON: And I understand. 11 Q. I'm talking about based on what you knew of your patient when she was discharged from the Clinic in 12 13 November of '98. 14 MR MALONE: Same objection. 15 A. At the time she was discharged --MR MALONE While he's looking, when 16 17 are we going to get these records, Bob? Do you have that stuff? 18 19 MR. LINTON We've requested them. You've got everything we've got, and 111 20 provide those to you. And obviously if his 21 22 opinions change ar are supplemented, you'll let 23 me know that? 24 MR MALONE Sure. MR. LINTON And we can depose him 25

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again, if necessary.	

- MR. MALONE That's fine.
- 2 MR. MAL 3 ----
- 4 (Thereupon, a discussion was had off
- 5 the record.)
 - · · · ·
- 7 A She was beginning to walk with help and apparently
- 8 was meeting the occupational therapy goals, she had
- 9 variable verbalization at that time, she was
- 10 partially oriented, she was somewhat weak in the
- 11 left upper limb.
- 12 Q. So the brain damage was causing problems with her
- 13 upper left extremity, her abilityto walk, her
- 14 speech and her orientation?
- 15 A That's probably a reasonable assessment.
- 16 Q. She did not suffer a stroke, did she?
- 17 A Well, the bleeding noted on the October 20th scan in
- the area of what ultimately evolved into an abscess
- 19 would, by the strictest definition, be considered a
- 20 stroke, a hemorrhagicstroke, although probably not
- 21 in the lay sense.
- 22 Q What do you mean by that?
- 23 A. Well, there are two types of strokes, one is an
- ischemic and the other is a hemorrhagicstroke, so
- in theory any bleeding in the brain or any blood in

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the brain constitutes a stroke. 1 2 Q. But that's not what a typical layperson thinks of as 3 astroke? 4 MR, MALONE: That's what he just told 5 you, medically. MR. LINTON: That's why I'm getting 6 7 clarification. Q. Correct? 8 9 A. Correct. 10 Q. The common layperson's definition **d** a stroke is 11 when a vessel bleeds in the brain? 12 MR. MALONE Well, I'm going to 13 object. I'm not sure what the common 14 layperson's understanding of a stroke is. 15 MR. LINTON: That's what I'm trying to 16 understand, his distinction. 17 MR. MALONE: Flight. 18 A Well, Ithink a common layperson really doesn't 19 understandthe mechanismand just knows that 20 somebody has a rather sudden severe loss of 21 function. 22 Q. Let me just ask it this way. 23 A. And that's the way it's typically expressed when we 24 discuss these things. 25 Q. Was a diagnosis of stroke ever made by you for Mary

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- 1 Lou Zimmerman; did vou ever diagnose her as
- 2 A. Specifically, not that I recall.

Q. Did any other physician here at the Clinic diagnose 3

- 4 her as having a stroke?
- 5 A. Well, the radiologist said there was post-op
- 6 hemorrhage, quote, unquote, which they use even if
- 7 there's a small amount of blood, but that in and of
- itself constitutes the diagnosis of stroke. 8
- Q. In this case what's the most likely cause of that 9
- 10 hemorrhageor bleeding?
- 11 A. Cerebritis from the infection that ultimately led to
- 12 an abscess.
- 13 Q. Okay. So the infection causes the bleeding which
- leads to the abscess? 14
- 15 A. Right.
- 16 Q. What is an abscess?
- 17 A. An abscess is a collection of it is an infected
- collection of fluid typically with a wall that is 18
- 19 generated as a defense by the body's immune system.
- 20 Q. A collection of pus?
- 21 A. Usually.
- 22 Q. in this case, a CT scan was taken showing the
- 23 location of the abscess?
- 24 A. Yes.
- 25 Q. And was that the -- was the abscess strike that.

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- Did the abscess develop in the same area in
- 2 which the probe was inserted in Mary Lou Zimmerman's
- 3 brain?

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- 4 A. It occurred in one of the areas where the probe was
- inserted, that is correct. 5
- 6 Q. And which specific area?
- 7 A. Rightfrontal.
- 8 Q. And would that have been during the cingulotomy or
- 9 the eapsulotomy?
- 10 A. I believe this is in the area of the right
- 11 capsuiotomy. I'm sorry, right cinguiotomy.
- 12 Q. And why do you say that?
- 13 A. Based on the description in the CT reports.
- 14 Q. Are you able to estimate the number of fiber tracts
- 15 in the cingulum?
- 16 A. No.
- 17 Q. Are you able to estimate the number or percentage of
- fiber tracts that you cooked during the procedures? 18
- 19 A. The majority.
- 20 Q. Do neurosurgeons really know what part of the brain
- to burn in order to produce a change in a 21
- 22 psychiatric condition?
- 23 A. Well, we don't burn the brain, as we discussed
- 24 before.

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25 Q. Okay.

- 1 A. So we know not to do that. 2
 - Q. All right, cook the brain.
- 3 A. Repeat the question, please.
- 4 Q. Sure. Do neurosurgeons, like yourself, really know
- 5 what part of the brain to cook to treat a
- 6 psychiatric condition?
- 7 A We know what areas can be treated that may lead to
- 8 benefit in patients with certain psychiatric
- 9 conditions.
- 10 Q. But you really don't know for sure, do you?
- 11 A. Well, yeah, I know for sure what lesion --what
- 12 areas to do that may work.
- 13 Q. But --
- 14 A. I don't know in any given patient whether it's going
- 15 to work, but that's true of manytreatments that we
- 16 apply to anything.
- Q. Let me ask it this way. You don't really know why 17
- it works, may be the better question? 18
- 19 A. Well, again, know versus believe is another matter.
- 20 Q. Okay. So you don't know why it works, but you have
- 21 a belief why it works; is that what you're saying?
- A. There are theories why it works, and I would 22
- 23 probably present it that way.
- 24 Q. Okay. What is the theoretical basis for your
- 25 belief?

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WARE REPORTING SERVICE

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- 1 A. The theoretical basis is that these are overactive
- 2 circuits that lead to a compulsive --
- 3 obsessive-compulsive state, and so that by
- 4 deactivating them one turns off the driving
- 5 mechanismsthat make someone obsessive-compulsive
- 6 and empiric evidence over decades that the procedure
- 7 works in some patients.
- 8 Q. Did you tell the Zimmermans that there was a one to
- 9 two percent complication rate for this procedure?
- 10 A. I probably told them that there was a one to two
- 11 percent risk of catastrophic outcome.

complication risk.

complication, what percentages?

- 12 Q. If they testified that you told them there was a one
- 13 to two percent complication rate would you deny that? 14
- 15 A. In terms of any complication, yeah, I'd denythat.
- 16 Q. And instead what do you believe you told them when

twice, Bob. He just said, again he told you

two percent catastrophic risk, catastrophic

Q. And I'm asking what you would have told them for any

MR. MALONE: We've been through it

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MR. MALONE: He's already answered it

- 17 you discussed the percentage of complications?
- 18 A How many times do you want me to tell you this?

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77

- 1 twice. Go ahead, Gene.
- 2 Q. Let me ask you this way, would you have given them a
- 3 single figure for complications r would you have
- 4 broken it down by complications?
- 5 A Broken it down by complications. It's not my
- 6 practice to give an overall risk. You can add those
- 7 up in your own head.
- 8 Q Was anybody present with you when you discussed the
- 9 risks and complications to the Zimmermans?
- 10 A. I don't recall.
- 11 Q. Anything in your records to reflect that?
- 12 A. No.
- 13 Q. What does the term retrograde degeneration refer to?
- 14 A. It means -- you're talking about neurology or
- 15 neurons, l assume?
- 16 Q. Yes.
- 17 A. It's dying back of neurons from a point where their
- 18 axon is interrupted.
- 19 Q. And are you aware of any studies that address the
- 20 long-term effects of cingulotomy and the effect of
- 21 retrograde degeneration on a patient?
- 22 A. Not off the top of my head.
- 23 Q. You listed before the neurosurgeons and institutions
- 24 that you know of doing psychosurgerytoday. Do you
- 25 know of any of the institutions or neurosurgeonswho

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- 1 perform a combined cingulotomy and capsulotomy?
- 2 A Not offhand.
- 3 Q. You use the frameless technique here?
- 4 A Yes, we've been using the frameless technique here
- 5 for some time.
- 6 Q. Can you explain the difference?
- 7 A. One uses a frame, one doesn't.
- 8 Q. And why do you use a frameless as opposed to a9 frame?
- 10 A. Generally it's better tolerated by the patient
- 11 because the frame is a very uncomfortable device
- 12 that clamps onto the patient's skull that we achieve
- comparable accuracy for these procedures and that it
- 14 also provides us with improved logistics in terms of
- 15 when the scan is obtained.
- 16 Q. Is it any more difficult to perform a frameless
- 17 procedure than a frame procedure?
- 18 A. I would say that they both require a moderate amount
- 19 of expertise and once you've achieved that, then
- 20 they're probably comparable. The frameless
- 21 procedure may be slightly easier.
- 22 Q. Do you know how many other psychosurgeries are
- 23 performed here at the Cleveland Clinic besides the
- 24 ones that you do?

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25 A. To my knowledge, none.

- 1 Q. How many strike that.
- 2 Is Dr. Malone always the psychiatristthat is
- 3 used for evaluating patients for psychosurgery?
- 4 A. No.
- 5 Q. Is he the most frequently used?
- 6 A At the presenttime, yeah. In the past there was
- 7 another psychiatrist -
- 8 Q. Who was that?
- 9 A -- who's not here any longer. I'm trying to
- 10 remember her name. It began with an S, but I can't
- 11 tell you right now. And over the years, I mean,
- 12 there may have been others in the past, but for the
- 13 last several years Dr. Malone has been one of the
- 14 two.
- 15 Q. Who is the other one, or is it the one you can't
- 16 remember?
- 17 A. The one I can't remember, right.
- 18 Q. Are you the sole person to decide the type of
- 19 procedure to be performed?
- 20 A No, it's me and the family.
- 211 Q. Okay. But in terms of the -
- 22 A. And the patient.
- 23 Q. Dr. Malone doesn't suggest to you using
- 24 cingulotomies versus capsulotomies or a
- 25 combination?

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- 1 A. The correspondence may or may not refer to one or
- 2 the other procedure but --
- 3 Q. It's a surgical decision?
- 4 A Basically my discussion with him is that he's
- 5 recommendingsurgery, not a specific procedure.
- 6 Q. You're the one that makes that recommendation, and
- 7 obviously the family has to agree to have that
- 8 performed?
- 9 A. I make a recommendation. In order for the procedure
- 10 to be performed, the family has to agree, but I can
- 11 tell **you** that there's a number of times when the
- 12 patient and/or family decide to go with something
- 13 other than what I may have recommended as the first
- 14 line procedure because of their own individual
- 15 wishes.
- 16 Q Do you have any what is your own relationship

Q. Do you consult on any other matters with him besides

Q. Do you know if Dr. Malone tells any patients about

Page 77 to Page 80

- 17 with Dr. Malone? First of all, do you have a
- 18 personal relationship with him outside of a

the risks and benefits of surgery7

19 professional relationship?

psychosurgery cases?

23 A. Almost never.

20 A. No.

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22

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WARE REPORTING SERVICE

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1 A. I don't know what he tells them.

- 2 Q. Do you know anything strike that.
- 3 Did you have any discussions with **Dr.** Malone
- 4 about this patient before the surgery?
- 5 A. Imay have. I don't recall.
- 6 Q. **Is** it customary to discuss it with him or is it
- 7 simply a matter of exchanging medical records anda reports, typically?
- 9 A. I often discuss the patient with him because it may
- 10 be that the written report has yet to be typed
- 11 before I actually see the patient, **so** I want to make
- 12 sure that indeed he's in full agreement or has the
- 13 full recommendation, and sometimes it's the case
- 14 that he thinks something else should be done, and **so**
- 15 we just stop right there and wait and **see** what
- 16 happens.
- 17 Q. In this case, knowing that Dr. Malone provided and
- 18 sent to you a report confirming his recommendation
- 19 for surgery, is there any strike that.
- 20 **Do** you have any record of actually discussing
- 21 the case with Dr. Malone?
- A. No, not that | have found in reviewing the chart forthis.
- 24 Q. Did you have any discussions with Mary Lou
- 25 Zimmerman's treating psychiatrists?

82

- 1 A. I don't remember.
- 2 Q. Do you have anything in your record that would
- 3 document that?
- 4 A. Not that I've come across.
- 5 Q. Do you know anything about their professional
- 6 reputation?
- 7 A. No.
- 8 Q. Do you know anything about their own experience or
- 9 knowledge of psychosurgery?
- 10 A. No, which is one of the reasons why we require an
- 11 internal psychiatrist to review each case.
- 12 Q. Do you know what therapeutic alternatives were
- 13 exhausted by Mary Lou Zimmerman before she came to
- 14 you for psychosurgery?
- 15 A. **No.**
- 16 Q. Do you know what specific medications she had been
- 17 on and for how long and what her -
- 18 A. No.
- 19 Q. success, if any, was with those medications?
- 20 A. At present, no, and probably back then, no.
- 21 Q. Do **you** know if she had ever been through a trial
- 22 where she was **cff** medication and if **so** for how
- 23 long?
- 24 A. No.

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25 Q. Was there ever any -- strike that.

83

- 1 I assume that the brain abscess was never
- 2 treated surgically by **you** or anyone else at the
- 3 Clinic; **is** that right?
- 4 A. Not that I recall.
- 5 Q. Was there ever any thought to treating it
- 6 surgically?
- 7 A. Surgical drainage of an abscess is always one
- 8 consideration in the management plan.
- 9 Q. Do you know why it was not performed here?
- **10 A.** Because it responded to medical treatment.
- 11 Q. Responded in what way, became smaller?
- 12 A. Stopped growing and became smaller, correct.
- 13 Q. But she still had an abscess when she was discharged
- 14 from the Cleveland Clinic?
- 15 A. She had the shell of an abscess. I don't believe
- 16 she had -- it was unlikely that she had viable
- 117 organisms at that time. She was on ongoing
- 18 antibiotics.
 - 19 Q. And on what do you base your conclusion that she did
 - 20 not have an ongoing organism?
 - 21 A. That she had been put on prolonged antibiotics and
 - the abscess was getting smaller. It's not to say
- that she didn't have any viable organisms, but
- 24 again, the point was the treatment was working
- without subjecting her to yet another risk of a

84

- 1 procedure that would be done essentially exactly the
- **2** same as her last procedure.
- 3 Q. How long does it typically take between the time --
- 4 strike that.
- 5 Assuming that an infection occurs during the
- 6 surgery itself, how long does that typically take to
- '7 show up in the brain?
- 8 A. It can vary. Often it's very quick, a matter of
- 3 hours to days.
- 13 Q. And sometimes is it more prolonged?
- 11 A. I've seen that.
- 12 Q. And what is the outside limit at which you see --
- 13 A. A couple weeks.
- 14 Q. So within hours --
- 15 A. Two, three weeks.
- 16 Q. **So** within hours to two to three weeks?

than it did show up in this case?

177 A. Uh-huh.

weeks?

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23

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WARE REPORTING SERVICE

- 18 Q. **B** there anything about Mary Lou Zimmerman's case
- 19 that would suggest if it was contamination at the
- time of surgery that it should occur more quickly

Q. But they can be delayed as much as two to three

A. But one can develop an infection or declare an

Page 81 to Page 84

22 A. Flora contaminations usually occur right away.

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1 2 O

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9 Α 10 Q

11 A. 12

17 Q. 18

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22 A. Q.

23 24

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3 Q. 4 A.

A 20 Q.

1 25 A

A. 5 0

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THE CLEVELAND CLINIC FOUNDATION

85	I	87
infection a few weeks later.	1	What records would you have rev
. The culture here was positive for both a staph and a	2	made the recommendation to proce
klebsiella; did you see that?	3	A Ipresume Iwould have reviewed co
And these were wound cultures, yes.	4	her referring psychiatrist or psychol
Is it not more likely that they both occurred at the	5	as either, again, a verbal or written r
same time or from the same source, isn't that the	6	Dr. Malone.
most likely explanation? I'm looking at the October	7	Q. Anything else that you would have
4th, '98 report.	8	Lou Zimmerman's case before recor
It's difficult to draw conclusions about the staph.	9	A. Beyond the history and physical that
. l'msorry?	10	MR. LINTON: Give me a min
Isaid Ithink it's difficult to draw conclusions	11	will.
about the staph because the staph is such an	12	
ubiquitous organismthat can superinfect anything	13	(Thereupon, a discussion was h
once any superficial wound is infected.	14	the record.)
So you'd just be speculating on that?	15	
I think I'd be speculating.	16	Q. Where was the brain infection in Ma
. Was Mary Lou Zimmerman ever evaluated by a	17	Zimmerman?
neurologist here at the Cleveland Clinic?	18	A. My understanding, it was the right of
Yes.	19	Q. What openings are actually made in
. Was she evaluated before the surgery by a	20	surgery?
neurologist?	21	A. There were four small punctures of
Not that I recall.	22	Q. And what size are they?
. Is that part of the treatment protocol here for	:23	A Roughly about a quarter to three-eig
psychosurgery candidates?	:24	inch.
No. And again, we don't have that treatment	25	Q. And are those made with a drill bit?
protocol here at the Cleveland Clinic, psychosurgery	1	A. They may be or with a knife.
candidates.	2	Q. The knife or the drill bit does not ac
You don't?	3	penetrate into the brain, does it?
We have, again, the guidelines which I discussed	4	A No. it does not.
earlier.	5	Q. What techniques were used to enclo

Q. You keep referring to the guidelines. Again, 6

- 7 there's nothing in writing, they're unwritten, and
- you're relying on an outside psychiatrist and an 8
- 9 internal psychiatrist?

10 A. Any outside psychiatrist who refers a patient is

- 11 informed of the guidelines.
- 12 Q. All right. My question, we talked about that
- earlier, but the guidelines require an outside 13
- 14 psychiatrist's recommendation and an internal
- 15 psychiatrist's recommendation?
- 16 A Right.
- Q And then a recommendation by yourself? 17
- 18 A Interms of compatibility with surgery, yes.
- Q. Anything else required by the guidelines at the 19
- Cleveland Clinic for psychosurgery? 20
- 21 A. Aside from a suitable medical evaluation, no, and
- 22 head scan, brain scan.
- Q. The CT scan. What records would you have reviewed 23
- 24 before performing the surgery on Mary Lou
- 25 Zimmerman? Let me rephrase that.

07	
0/	

- viewed before you ed with surgery? prrespondence from logist, as well eport from reviewed in Mary nmending surgery? at we did, no. ute, if you ad off ary Lou ingula gyrus. n her head for the
 - the scalp.
- ghths of an
- tually
- ose the incision to
- prevent exposure to contaminates? 6
- 7 A At the surgery, sterile eye drapes.
- 8 Q. How about when you're actually closing the wound,
- how is that closed to prevent--9
- 10 A. Suture.
- 11 Q. How long does it typically take the skin on each
- 12 side of the incision to heal together?
- 13 A. A paint where it's got integrity where the suture
- 14 can be removed, generally within seven days.
- Q. If you would pour fluid onto the outside portion of 15
- 16 the sutured incision, would it be able to penetrate
- 17 the skin and get into the skull?
- A. Only if it was in prolonged contact. 18
- 19 Q. What do you mean by prolonged contact?
- 20 A Imean that if the wound was exposed to moisture for
- 21 hours on end, that that moisture may and/or any
- 22 organisms in it may work its way into the wound
- 23 prior to full healing.
- Q. Assuming that an infection develops from the scalp 24
- and then works its way into the brain, what would be 25

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- 1 the normal expected progression of that process,
- 2 what would you expect to see and find?
- 3 A. It could vary.
- 4 Q. From what to what?
- 5 A. It could vary from there being pus forming with --
- rather redness of the incision with pus forming at 6
- 7 the incision line with only a delayed appearance of
- 8 probiems in the brain, or it could actually present
- 9 with evidence of brain inflammation and only later
- show abnormalities at the surface because there's 10
- 11 actually less resistance - there may be less
- 12 resistance into the brain than out to the surface.
- 13 Q. I asked you earlier about any other operations that
- 14 you've been involved in where a patient has
- 15 developed an infection for klebsiella oxytoca and
- you said you couldn't recall any. Can you give me 16
- 17 some idea of how many surgeries you've participated
- 18 in, either as a resident and as a neurosurgeon?
- 19 A I would put it around 6,000, give or take.
- 20 Q. Are you aware of the discussion of this organism,
- 21 the KO organism, in any neurosurgical text?
- 22 A I don't have any specific recollection of it.
- 23 Q. Can we agree that that's not a normal recognized
- 24 complication, to have that organism in the brain
- 25 following a brain surgery?

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- 1 A. No, we can't agree to that.
- 2 Q. Did you examine her scalp, Mary Lou Zimmerman's
- scalp, before the surgery, at least the area where 3
- 4 you were going to perform the surgery?
- 5 A Well, I examined it in the operating room.
- Q. Was there any condition of her scalp or her hair 6
- 7 that made her any more susceptible to developing
- infection? 8
- 9 A. Notthat I recall.
- 10 Q. Anything about her condition that put her at
- 11 increased risk to develop an infection with a KO
- 12 organism?
- 13 A. Notthat I recall.
- 14 Q. Any abnormal bladder or bowel habits upon
- admission? 15
- 16 A. Notthat I was aware of or am aware of.
- 17 Q. You wouldn't tell a patient when she came in for her
- consultation about whether to have this surgery that 18
- one of the risks of proceeding is that she could be 19
- 20 contaminated by an instrument used during the
- 21 surgery?
- 22 A. Specifically, no, I would not say that.
- 23 Q. What was Mary Lou Zimmerman's functional level
- 24 before surgery?

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25 A. My understanding was that it was fairly poor and she

- 91
- 2 Q. And in what way was she functioning poorly?
- 3 A. I don't recall the specifics.

was near suicide.

- 4 Q. Do you know for how long she had been suicidal?
- 5 A. Idon't recall.
- Q. Do you know if she had ever attempted suicide? 6
- A. I don't recall. 7
- 8 Q. Do you know if she had any physical disabilities?
- 9 A. My understanding was that she did not have any
- 10 physical disabilities, but she also, as I recall,
- 11 she just sat around and really did nothing other
- 12 than her compulsive behaviors.
- 13 Q. Was she independent in the activities of dally
- 14 living before her surgery?
- 15 A. No, lactuallydon't know.
- 16 Q. What is bradykinesis?
- 17 A. Slow movement.
- 18 Q. And did Mary Lou Zimmerman have bradykinesis upon
- 19 dischargefrom the Cleveland Clinic?
- 20 A I don't see a specific note, although she may have.
- 21 I don't deny that she did.
- 22 Q. Do you receive the Journal of Image Guided Surgery?
- 23 A. Yes.
- 24 Q. Is that something that you refer your residents and
- 25 medical students to if they want to do research in
- neurosurgery? 1
- 2 A. I can't recall that I ever have.
- Q. Did anybody touch Mary Lou's anus or vagina during 3

92

- 4 her preparation for surgery, to your knowledge?
- 5 A. Ibelieve she had a Foley catheter placed.
- 5 Q. Would that be placed before she was sterilized and
- 7 put in a sterile field?
- A. Iwould think so. 8
- Q. You would hope so? ß
- A lwould thinkso. 16
- 11 Q. Would you expect so?
- 12 A. Not necessarily.
- 13 Q. Would it be proper sterile technique to have her
- 14 catheterizedonce she was actually in the sterile
- 15 field?

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WARE REPORTING SERVICE

- 16 A. Well, the sterile field is her, and the two are
- 17 different parts of the body.

appropriate precautions?

agree with that.

18 Q. Certainly it would be a breach in sterile technique

22 A. Without changing their gloves or so on, yes, I would

Q. I assume there have been times where the sterile

field has been broken during surgery and you or your

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13 if anybody catheterized her and then entered the sterile field without scrubbing or taking

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9	3		
9	3		

- assistants have become aware of it and brought it to
- 2 your attention?
- 3 A. When anyone is aware of a break in the sterile field
- it is immediatelybrought to the team's attention. 4
- 5 Q. And why is that so?
- A. Because our aim is to minimize the risk of 6
- infection. 7
- Q. When there is a break in the sterile field, is that 8
- somethingthat you customarily chart in the 9
- 10 patient's record?
- 11 A Not to my knowledge, although I don't know whether
- there's any record of that in the nursing notes. 12
- 13 Q. But it's not something that you typically chart?
- 14 A. No.
- Q. And not something that you have typically found in 15
- the records that you've reviewed? 16
- 17 A No. The only time it would probably be made mention
- of is that if it was a noncorrectablebreach. 18
- 19 Q. Meaning?
- 20 A. Well, an example would be someone's arm brushes
- against me and it's not sterile. You stop, you 21
- 22 change your glove and/or gown and put a sleeve on
- 23 and then you move on. That's correctable, in which
- case that wouldn't merit mention, but it's 24
- identified, we fixed the problem and go on. 25
- 94 Q. And under what circumstances -- well, strike that. ٦ Have there been any circumstances in which 2 3 you've noted in a patient's chart a breach in sterile technique? 4 5 A. Yes. Q. Under what circumstances? 6 7 A. When a contaminated pulse generator was placed in a patient. 8 Q. How did you know that it was contaminated? 9 10 A. Because the container -- the layer of the container that was said to be sterile was not sterile. 11 Q. How did you find that out? 12 A From the company. 13 14 Q. So it was something that you dictated after the fact, obviously you didn't find that out during the 15 surgery itself? 16 17 A We found that out afterwards, correct. Q. Were the instruments used in Mary Lou Zimmerman's 18 case ever tested or evaluated to see if they 19 remained sterile? 20 21 A. Do you mean - I'm not sure I understand the 22 question. Can you repeat it? 23 Q. In your other situation you talked about you confirmed with the outside manufacturer that the 24 25 equipment was, in fact, not sterile. In Mary Lou (216) 533-7606

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1	Zimmerman's case was there any testing done to		
2	determine whether the instruments were sterile when		
3	used during the procedure?		
4	A. Well, all instruments are tagged with some type d		
5	compound or tape that indicates whether they've been		
6	successfully sterilized that is apparent on the		
7	surface, and the assumption is that the contents		
8	then are sterile.		
9	Q. My question is was anything done after the surgery		
10	to test and confirm whether, in fact, they were		
11	sterile?		
12	A. As I understand it, there would be no way to do		
13	that.		
14	MR. LINTON: Give me just a minute, if		
15	you could.		
[.] 16			
17	(Thereupon, a discussion was had off		
.18	the record.)		
[.] 19			
20	Q. There was nothing that occurred during the surgery		
21	itself, to your knowiedge, that suggests that there		
22	was an infection going on during the surgery itself?		
23	A Correct.		
24	Q And there was nothing that occurred to indicate		
25	there was a contamination that was occurring during		

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1	the surgery itself?
2	A That is correct.
3	Q. Doctor, I want to make sure that you've had a full
4	explanation to explain your account of what happened
5	with Mary Lou Zimmerman. Is there anything that you
6	can now remember that we haven't covered based on
7	the questions that I've asked you that have now
8	given you an independent memoryof either
9	conversations or events that occurred with Mary Lou
10	Zimmerman or her family?
11	A Not at this time, no.
12	MR. LINTON: Thank you very much.
13	MR. MALONE: She's goingto do a
14	transcript. Do you want it sent here to your
15	office or home; where do you want her to mail
16	it?
17	THE WITNESS: Here.
18	
19	CENEL BARNETT MD
20	GLINE F. BARINE FI, M.D.
21	
22	
23	
24	
25	

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	CERTIFICATE
Tr C	ne State of Ohio) SS:
•	
	I, Laura L. Ware, a Notary Public within and
fo wi	r the State of Ohio, do hereby certify that the thin named witness, GENE H. BARNETT, M.D., was by
tru	e first duly sworn to testify the truth, the whole uth and nbthing but the truth in the
Бÿ	me to stenotypy in the presence of si withe
sú di	rection and that he foregoing is true and
af	oresaid.
we	I do further certify that this deposition
foi	regoing caption, and that I am not a relative,
int	terested in the outcome of this action.
ha	IN WITNESS WHEREOF, I have hereunto set my and and affixed my seal of office at Cleveland.
ÖĬ	hio, this 25th day of August, 2000.
	Anna Mane,
La	ura - Ware, Ware Reporting Service
21 My	860 Crossbeam Lane, Rocky River, Ohio 44116 y commission expires May 17, 2003.
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Curriculum Vitae

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MARITAL STATUS	Cathy Ann Sila, M.D. Children: Alexander, Austin, Addison
EDUCATION	
MEDICAL	Case Western Reserve University School of Medicine, Cleveland, Ohio; 1976-1980 <u>Degree</u> : M.D.
UNDERGRADUATE	Case Western Reserve University, Cleveland, Ohio; 1973-1976; <u>Majors</u> - Biology and Chemistry <u>Degree</u> : B.A., Summa Cum Laude
HIGH SCHOOL	Shaker Heights High School, Shaker Heights, Ohio; 1970-1973
CERTIFICATION	National Board of Medical Examiners I.D. #40827, Issued 1981
NEUROSURGICAL CERTIFICATION	American Board of Neurological Surgeons Primary Written Examination: March 26, 1983 Diplomate: November 8, 1990
MEDICAL LICENSE	State of Ohio #053463 State of Florida #ME 0058878

POST GRADUATE MEDICAL TRAINING

- Karolinska Gamma Knife Center -- September 16-27, 1996
- Cleveland Clinic Foundation Practice Management at the Weatherhead School of Management, 1991–1992
- Clinical and Research Fellow, Harvard Medical School, Massachusetts General Hospital, Department of Neurosurgery; 1986 - 1987
- Resident in Neurological Surgery, The Cleveland Clinic Foundation; July 1981 June 1986
- Honorary Registrar, University of Edinburgh, Royal Infirmary Neuro-Trauma Unit; January 1985-June 1985
- Fellow in Neurology, The Cleveland Clinic Foundation; July 1981 June 1982
- Internship in General Surgery, The Cleveland Clinic Foundation; July 1980 June 1981

PROFESSIONAL APPOINTMENTS

- The Rose Ella Burkhardt Chair in Neurosurgical Oncology, 1999 Present
- Professor of Surgery, Ohio State University School of Medicine, 1999 -- Present
- Vice Chairman, Department of Neurological Surgery, The Cleveland Clinic Foundation; 1993 Present
- Director, Brain Tumor and Neuro-Oncology Center, The Cleveland Clinic Foundation; 1995
 Present
- Staff Neurosurgeon, Departments of Neurosurgery and Anesthesia, The Cleveland Clinic Foundation; 1987 Present
- Director, The Cleveland Clinic Health System G a m a Knife Center, 1997 Present
- Program Director, Neurosurgery Resident Program, The Cleveland Clinic Foundation; 1995 -Present
- Director, Medical Student Education Department of Neurological Surgery; 1992 Present
- Co-Program Director, Neurosurgery Resident Program, The Cleveland Clinic Foundation; 1992 - 1995
- Head, Section of Neurosurgical Oncology and Stereotaxis, The Cleveland Clinic Foundation; 1992 - Present
- Head, Neurosurgical Technology, The Cleveland Clinic Foundation; 1992 Present
- Director, Neurosurgical Intensive Care Unit (NICU), The Cleveland Clinic Foundation; 1988

 1992

CONSULTING POSITIONS

- Consultant, DePuy/Acromed, Inc., Image-Guided Surgery, 1999-- Present
- Consultant, Picker International, Image-Guided Surgery, 1996 -- Present

MEMBERSHIP - SCIENTIFIC, HONORARY AND PROFESSIONAL SOCIETIES

- American Association of Neurological Surgeons
- American Board of Neurological Surgery
 - Extra-Board Subspecialty Item Writing Committee 2000 -- Present
- American Society for Stereotactic and Functional Neurosurgery Scientific Program Committee, 1999

- Brain Tumor Co-operative Group, Chairman, Stereotactic Radiosurgery Committee; 1992-1994
- Congress of Neurological Surgeons
 Education Committee
- Council of State Neurosurgical Societies Chairman, Northwest Quadrant, 1998 - Present Executive Committee, 1998 - Present Nominating Committee, 1998 - Present Representative, 1992 -- Present
- Joint Section of Stereotactic and Functional Neurosurgery AANS/CNS Board of Directors 1991 -- 1995
- Joint Section on Tumors AANS/CNS Executive Committee, 1999 - Present Section Program Chairman, 2000 Congress of Neurological Surgeons
- Northeastern Ohio Neurosurgical Society President, 1994-1996
 Editor, Newsletter; 1992-1994
- Ohio State Neurosurgical Society President, 1995-1996 Secretary, 1995-1996 Editor, Newsletter; 1991-1996,
- Phi Beta Kappa
- Phi Delta Epsilon Medical Fraternity
- Radiation Therapy Oncology Group Neurosurgery Committee
- Society of Neurological Surgeons
- Stroke Council of the American Heart Association, Fellow
- World Society for Stereotactic and Functional Neurosurgery

HOSPITAL ELECTED POSITIONS

- Surgical Division Committee, 1997 Present
- Board of Trustees, Cleveland Clinic Foundation; 1994 1997
- e President of the Staff, Cleveland Clinic Foundation; 1996
- e Secretary of the Staff, Cleveland Clinic Foundation; 1994-1995

APPOINTED HOSPITAL COMMITTEES

- Chairman, Surgical Division Technology Assessment Committee; 1998
- e Cancer Committee 1995 Present
- Surgical Education Subcommittee 1993 Present
- Committee to Review Chairman, Division of Medicine 1998
- Search Committee for Director of Center for Clinical Research 1999
- Search Committee for Radiation Oncology 1994

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EDITORIAL AND JOURNAL RESPONSIBILITIES

- Neurosurgery On Call (N://OC) --Editorial Board Education Page Editor, Neurosurgery://OnCall (N://OC)
- Select Reviews in Neuro-Oncology Editorial Board
- Journal of Image Guided Surgery Editorial Board
- Neurosurgery Reviewer
- Journal of Neurology, Neurosurgery, and Psychiatry Reviewer
- Genome Reviewer
- Cleveland Clinic Journal of Medicine Reviewer

SPECIAL INTERESTS IN NEUROLOGICAL SURGERY Adult Neurosurgery

Neuro-Oncology

Benign and Malignant Gliomas Meningiomas Metastatic Tumors Acoustic Neuromas Minimal Access Surgery Gamma Knife and LINAC Radiosurgery Adoptive Immunotherapy of Gliomas Cellular and Molecular Biology of Gliomas Magnetic Resonance Spectroscopy of Gliomas

Stereotactic & Functional Neurosurgery

Movement Disorders (e.g., Pallidotomy, Thalamotomy, Deep Brain Stimulation) Frameless Stereotaxy Brachytherapy Cingulotomy Computers in Neurosurgery

<u>Trigeminal Neuralgia and Hemifacial Spasm</u> Glycerol Neurolysis Compression Neurolysis Microvascular Decompression (TN and HS) Gamma Knife Rhizotomy

HONORS AND AWARDS

Who's Who in the World 2000 Who's Who in America 2000 who's Who in Medicine & Healthcare 2000-2001 The National Registry of Who's Who – Life Member, 2000

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Who's Who in the Midwest, 26th Edition, 1998-1999 The Best Doctors in America – 1998 EDI Innovation Award, Surgical Navigation Device, 1998 Phi Beta Kappa, 1976 Case Western Reserve University, Summa Cum Laude, B.A., 1976

GRANTS

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1997 RO1 Grant # CA74919, Adoptive Immunotherapy in the Treatment of Malignant Gliomas, Co-Investigator, 1997-2002

1995 NINDS, Grant # NS33932, Interleuluns and Astrocytoma Growth, Co-Investigator, \$750,000, June 1995-1998

1979 Medical Student Research Grant, Epilepsy Foundation of America, \$2000

PATENTS

United States	Issued	Title
05980535	November 9,1999	Apparatus for anatomical tracking
05904691	May 18, 1999	Traclcable guide block
05776064	July 7, 1998	Frameless stereotaxy system for
		indicating the position and axis of a
		surgical probe
05732703	March 31, 1998	Stereotaxy wand and tool guide
05517990	May 21,1996	Stereotaxy wand and tool guide
05309913	May 10, 1994	Frameless stereotaxy system
Other	Issued	Title
EP00600610B1	June 3,1998	A position determining system and method
EP00832610A2	April 1, 1998	Traclcable guide for surgical tool
EPOO832609A2	April 1, 1998	Position tracking
EP00676178A1	October 11, 1995	Apparatus for orienting and guiding the application of tools
EP00600610A3	June 7, 1995	A position determining system and method
EP00600610A2	June 8,1994	A position determining system and method

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- 3. <u>Barnett GH</u>, Bose B, Little *JR*, Jones SC, Friel H. Effects of nimodipine on acute focal cerebral ischemia. Stroke 17: 884-890,1986,
- 4. Dinner DS, Luders H, Lesser R, Morris HH, <u>Barnett GH</u>, Klem G. Intraoperative spinal somatosensory evoked potential monitoring. J Neurosurg 65:807-814, 1986.
- 5. <u>Barnett GH</u>, Hardy RW, Little *JR*, Bay JW. Thoracic spinal canal stenosis: Report of 6 cases and review of the literature. J Neurosurg 66:338-344, 1987.
- 6. <u>Barnett GH</u>, Little **JR**, Ebrahim ZY Jones SC, Friel HT. Cerebral circulation during arteriovenous malformation operation. Neurosurg 20:836-842, 1987.
- Bell BA, Smith MA, Kean DM, McGhee CNJ, MacDonald HL, Miller JD, <u>Bamett GH</u>, Tocher JL, Douglas RHB, Best JJK. Brain water measured by magnetic resonance imaging: correlation with direct estimation and changes following mannitol and dexamethasone. Lancet January 10:66-69, 1987.
- 8. <u>Barnett GH</u>. Critical care management of cerebrovascular disorders: current concepts. Acute Care Therapeutics 2(1): 5-12, 1987.
- 9. <u>Bamett GH</u>, Hardy RW, Little **JR**, Sypert GW. Thoracic spinal stenosis. Contemporary Neurosurgery 9(9): 1-6, 1987.
- 10. <u>Barnett GH</u>, Hahn JF, Palmer J. Normal pressure hydrocephalus in children and young adults. Neurosurg 20:904-907, 1987.
- 11. <u>Bamett GH</u>, Hardy RW Jr, Little **JR**, Bay JW, Sypert GW. Thoracic spinal canal stenosis. J Neurosurg 66(3):338-44, Mar 1987.
- 12. <u>Barnett GH</u>, Ropper AR, Johnson K. Physiological support and monitoring of critically ill patients during magnetic resonance imaging. J Neurosurg 68(2) 246-250, 1988.
- 13. <u>Barnett GH</u>, Ropper AR, Romeo J. Intracranial pressure and outcome in adult encephalitis. J Neurosurg 68(4): 585-588, 1988.
- 14. Burgess RC, Skipper G, Edwards CR, Luders H, <u>Bamett GH</u>, Awad IA. A flexible epidural peg electrode for chronic EEG recording. J Clin Neurophysiol, Vol. 5, No. 4, p. 337,1988.
- 15. Orlowski *JP*, Shiesley D, Vidt DG, <u>Barnett GH</u>, Little JR. Labetalol to control blood pressure after cerebrovascular surgery. Crit Care Med, 16(8): 765-768, 1988.
- 16. <u>Barnett GH</u>, Moon H. Omentum graft for intractable subdural empyema. A case report. The Cleveland Clinic Journal of Medicine, 56:311-315, 1989.

- 17. <u>Barnett GH</u>. Subarachnoid hemorrhage from intraspinal intradural vertebral artery aneurysm: Case report and review of the literature. Neurosurg, 24:753-755, 1989.
- 18. Rosenfeld JV, <u>Barnett GH</u>, Sila CA, Little **JR**, Bravo EL, Beck GJ. The effect of subarachnoid hemorrhage on blood and CSF atrial natriuretic factor. J. Neurosurg, 71:32-37, 1989.
- 19. Whiting D, <u>Barnett GH</u>, Little **JR**: Management of subarachnoid hemorrhage in the critical care unit. Cleveland Clinic Journal of Medicine, 56:775-785, Nov/Dec 1989.
- 20" Estes ML, Rudick R, <u>Barnett GH</u>, Ransohoff R: Thymus-derived T-lymphocyte phenotype in the active multiple sclerosis lesion: Immunocytochemical analysis of a stereotactic biopsy. Annals of Neurology, 26(2):304, 1989.
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- 22. Pillay P, <u>Barnett GH</u>, Lanzieri CF, Cruse RP. Dandy-Walker cyst upward herniation: The role of magnetic resonance imaging and double shunts. Pediatric Neuroscience, March, 1990.
- 23. Awad IA and <u>Barnett GH</u>. Neurological deterioration in a patient with a spinal arteriovenous malformation following lumbar puncture: Case report. J. Neurosurg, 72:650-653, 1990.
- 24. Chimowitz M, <u>Barnett GH</u>, Palmer J. Treatment of intractable arterial hemorrhage during stereotactic brain biopsy with thrombin. Report of three patients. J Neurosurg 74: 301-303, 1991.
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- 23. <u>Barnett GH</u>, Sila CA, Rosenfeld JV, Anderson JS, Bravo EL, Little **JR**. Atrial natriuretic factor after aneurysmal subarachnoid hemorrhage: Hemodynamic and hormonal relationships. Poster presentation. 18th Annual Educational and Scientific Symposium of Society of Critical Care Medicine, New Orleans, Louisiana, June 1989.
- 24. Awad IA, Luders H, Burgess, <u>Bamett G</u>, Ahl J. A new class of electrodes of "intermediate invasiveness": The role of epidural PEGS and foramen ovale electrodes in the mapping of seizure foci. Poster presentation at the Congress of Neurological Surgeons, Atlanta, Georgia, October/November, 1989.
- 25. Estes ML, Rudick RA, <u>Barnett GH</u>, Ransohoff RM. Thymus-derived (T) lymphocyte phenotype in the active multiple sclerosis (MS) lesion: Immunocytochemical analysis of a stereotactic biopsy. Poster presentation at the Cleveland Clinic Research Day, Cleveland, Ohio, September 7, 1989.
- 26. <u>Bamett GH</u>, Sila CA. Laser doppler flowmetry preliminary experience in the operating room and neurosurgical intensive care unit. 4th International Symposium on Intracranial Hemodynamics: Transcranial Doppler and Cerebral Blood Flow, Orlando, Florida, February 14, 1989.
- 27. Komos DW, Tkach JA, <u>Bamett GH</u>, Modic MT. Magnetic susceptibility effects in MRI stereotactic frame localization. Poster presentation at American Association of Neurological Surgeons Annual Meeting, Nashville, Tennessee, April/May, 1990.
- 28. <u>Barnett GH</u>, Hassenbusch SJ, Palmer J. Stereotaxy-assisted craniotomy using the Brown-Roberts-Wells system and conventional CT computer software. Poster presentation at American Association of Neurological Surgeons Annual Meeting, Nashville, Tennessee, April/May, 1990.
- 29. <u>Barnett GH</u>, Palmer J, Chimowitz M. Treatment of intractable arterial hemorrhage during stereotactic brain biopsy with thrombin. Poster presentation at American Association of Neurological Surgeons Annual Meeting, Nashville, Tennessee, April/May, 1990.
- 30. Whiting DM, <u>Barnett GH</u>, Sila CA, Estes M, Hassenbusch SJ. Stereotactic brain biopsy for the diagnosis of non-neoplastic brain lesions. Poster presentation at American Association of Neurological Surgeons Annual Meeting, Nashville, Tennessee, April/May, 1990.
- Bamett GH, Luders H, Awad IA. Comparison of the BRW and CRW stereotactic arcs for MRI guided placement of depth electrodes in temporal lobe epilepsy. Poster presentation at American Association of Neurological Surgeons Annual Meeting, Nashville, Tennessee, April/May, 1990.
- 32. <u>Barnett GH</u>, Sila CA. Laser doppler flowmetry preliminary experience in the operating room and neurosurgical intensive care unit. Poster presentation at American Association of Neurological Surgeons Annual Meeting, Nashville, Tennessee, April/May, 1990.
- 33. Pillay P, Hassenbusch SJ, <u>Barnett GH</u>. MRI-guided stereotaxis used as a new method to create cingulate gyrus lesions for control of intractable pain in terminally-ill cancer patients. Poster presentation at American Association of Neurological Surgeons Annual Meeting, Nashville, Tennessee, April/May, 1990.
- 34. <u>Bamett GH</u>, Palmer J. Stereotaxy-assisted craniotomy using conventional CT computer software. Poster presentation at 42nd Annual Meeting of American Academy of Neurology, Miami Beach, Florida, April 1990.
- 35. Rogers LR, Barnett GH. Decompression of brain tumor cysts by percutaneous ommaya

reservoir system aspiration. Presented at the 4th Canadian Neuro-Oncology Meeting, Winnipeg, Manitoba, June, 1990.

- 36. Estes ML, McMahon JT, <u>Barnett GH</u>, Ransohoff RM, Bama BP. Neoplastic and nonneoplastic human astrocytes in tissue culture: Differences in membrane topography. Poster presentation at 10th Annual Cleveland Clinic Foundation Research Day, Cleveland, Ohio, September 1990.
- 37. Estes ML, Jacobs B, <u>Barnett GH</u>, Hassenbusch SJ, Barna BP. Density dependent reversal of the effects of tumor necrosis factor alpha (TNF) on glioblastoma cell growth in vitro. Poster presentation at International Conference: 12th International RES Congress, 27th Annual Meeting of the Society for Leukocyte Biology, 20th Leukocyte Culture Conference, Heraldion, Crete, Greece, October 1990.
- Estes ML, Ratliff NB, Chimowitz MI, Furlan AJ, McMahon J, <u>Barnett GH</u>, Sila, CA, Conomy JP, Awad IA. Sclerosing vasculopathy in young demented patients with periventricular lesions on MRI. Cleveland Clinic Research Day, Cleveland, Ohio, September 7, 1989.
- 39. Pillay P, <u>Barnett GH</u>, Luders H, Awad I. MRI-guided placement of depth electrodes in temporal lobe epilepsy: A comparison of the BRW and CRW arcs. Platform presentation at the Annual Meeting of the American Epilepsy Society, San Diego, California, November 1990.
- 40. <u>Bamett GH</u>. Innovative Uses of a Graphics Supercomputer in Neurosurgical Planning. Presented at Richard Lende Winter Neurosurgery Conference, Snowbird, Utah, February, 1991.
- 41. <u>Barnett GH</u>. Practical Development and Design of a Stereotactic Operating Room. Presented at AANS Breakfast Seminar #51 Advanced Topics in Stereotaxy: Endoscopy, Graphics, Automation and systems Design, New Orleans, Louisiana, 1991.
- 42. Steiner CP, Kormos DW, <u>Barnett GH</u>. Magnetic resonance angiography for stereotactic planning. Platform presentation at Society for Magnetic Resonance Imaging, Chicago, Illinois, April, 1991.
- 43. <u>Bamett GH</u>. ICP Monitoring. Adult Head Injury. Future Trends in the ICU. Presented at Neurosurgical and Neurological Intensive Care: Update and Review, Cleveland, Ohio, May, 1991.
- 44. <u>Bamett GH</u>, Kormos DW, Steiner CP. Stereotactic Magnetic Resonance Angiography. Presented at American Society for Stereotactic and Functional Neurosurgery, Pittsburgh, Pennsylvania, June, 1991.
- 45. <u>Bamett GH</u>, Kormos DW. Evaluation of OR Sonic Digitizer. Presented at Computer Solutions for Stereotactic Surgery "in progress" Summer Workshop, Cliff Lodge, Snowbird, Utah, July 28, 1991.
- 46. Komos DW, <u>Barnett GH</u>. Analysis of MRI Distortion with Picket Fence Stereotactic Localizers. Presented at Computer Solutions for Stereotactic Surgery "in progress" Summer Worltshop, Cliff Lodge, Snowbird, Utah, July 29, 1991.
- 47. Kormos DW, <u>Barnett GH</u>. Standard Interfaces and Networking for Image Acquisition. Presented at Computer Solutions for Stereotactic Surgery "in progress" Summer Workshop, Cliff Lodge, Snowbird, Utah, July 29, 1991.
- 48. Alker JL, <u>Barnett GH</u>, Mageluzzi M. Effects of Head Elevation on Intracranial Hemodynamics. A Transcranial Doppler Study. Poster presentation at Congress of Neurological Surgeons Annual Meeting, Orlando, Florida, and Cleveland Clinic Foundation

11th Annual Research Day, Cleveland, Ohio, October 1991.

- 49 <u>Barnett GH</u>, Miller D, Kormos D, Steiner C. Stereotaxy-Assisted Thrombolytic Therapy of Hypertensive Intracerebral Hemorrhage - Preliminary Experience. Poster presentation at Congress of Neurological Surgeons Annual Meeting, Orlando, Florida, October, 1991.
- 50. <u>Barnett GH</u>, Steiner C, Korrnos D. Stereotactic Magnetic Resonance Angiography. Oral presentation at Congress of Neurological Surgeons Annual Meeting, Orlando, Florida, October, 1991.
- 51. <u>Barnett GH</u>, Steiner C, Komos D. "Ringless" Stereotactic Localization Preliminary Experience. Oral presentation at Congress of Neurological Surgeons Annual Meeting, Orlando, Florida, and Cleveland Clinic Foundation 11th Annual Research Day, Cleveland, Ohio, October, 1991.
- 52. <u>Barnett GH</u>, Alker JL, Anderson J, Sila CA. Source of atrial natriuretic peptide after subarachnoid hemorrhage preliminary findings. Poster presentation American Association of Neurological Surgeons Annual Meeting, New Orleans, Louisiana, April 1991.
- 53. <u>Barnett GH</u>, Schell MC, Higgins PD, Sibata CH, Tefft ME, Taylor ME. Adaptation of Scandiplan 3-D treatment planning code for use with stereotactic radiosurgery. Poster presentation at American Association of Neurological Surgeons Annual Meeting, New Orleans, Louisiana, April, 1991.
- 54. <u>Barnett GH</u>, Steiner CP, Komos DW. Stereotactic magnetic resonance angiography. Poster presentation at American Association of Neurological Surgeons Annual Meeting, New Orleans, Louisiana, April, 1991.
- 55. Steiner CP, Kormos DW, <u>Barnett GH</u>. Magnetic resonance angiography for stereotactic planning. Poster presentation at American Society for Stereotactic and Functional Neurosurgery, Pittsburgh, Pennsylvania, June, 1991.
- 56. Kormos DW, Steiner CP, <u>Barnett GH</u>, Masarylc TJ, Modic MT. MRI, MRA and supergraphics computers: A new surgical visualization tool. Poster presentation at ENC (Experimental Nuclear Magnetic Resonance Conference), St. Louis, Missouri, 1991.
- 57. <u>Barnett GH</u>. Advanced Topics in Stereotaxy: Endoscopy, Graphics, Automation and Systems Design. Presented to Annual Meeting of the American Association of Neurological Surgeons, New Orleans, Louisiana, April 24, 1991.
- 58. Imamura G, Tefft M, Schell M, <u>Barnett G</u>, Higgins P, Woodworth J, Cheng S. Update on Linear Accelerator-Based Stereotactic and Radiosurgery Experience in the Treatment of CNS Neoplasms at the Cleveland Clinic Foundation. Presented to 51st Annual Meeting of The Ohio State Radiological Society, Columbus, Ohio, May 4, 1991.
- 59. Schell M, Higgins P, Tefft M, Barnett G, Cheng S. The Use of the Dose-Volume Histograms in the 3-Dimensional Treatment Planning of Stereotactic Radiosurgery in the CNS. Presented to 51st Annual Meeting of The Ohio State Radiological Society, Columbus, Ohio, May 4, 1991.
- 60. Estes ML, Jacobs B, Rogers L, Barnett GH, Barna BP. Density Dependent Regulation of Glioblastoma Growth In Vitro by Tumor Necrosis Factor (TNF). Poster presentation Cleveland Clinic Foundation Research Day, October, 1991.
- 61. Schell MC, <u>Barnett GH</u>, Higgins PD, Sibata CH, Tefft ME, Taylor ME. Adaptation of Scandiplan 3-D Treatment Planning Code for Use with Stereotactic Radiosurgery. Poster presentation Cleveland Clinic Foundation 11th Annual Research Day, October, 1991.

- 62. <u>Barnett GH</u>, Steiner CP, Kormos DW, Masaryk T. Stereotactic Magnetic Resonance Angiography. Poster presentation Cleveland Clinic Foundation 11th Annual Research Day, October, 1991.
- 63. Korrnos DW, <u>Barnett GH</u>, Steiner CP, Antar MA. Stereotactic PET imaging for image correlation. Presented at Radiological Society of North h e r i c a , Chicago, Illinois, December, 1991.
- 64. Steiner CP, Kormos DW, <u>Barnett GH</u>. 3-D Digitizer for image reformatting. Presented at Radiological Society of North America, Chicago, Illinois, December, 1991.
- 65. <u>Barnett GH</u>. Critical Care Management of Vascular Malformations. Presented at Vascular Malformations of the Brain, The International Cleveland-Montreal Cerebrovascular Symposium, Ritz Carlton, Cleveland, Ohio, February, 1992.
- 66. <u>Barnett GH</u>, Korrnos DW, Steiner CP, Weisenberger J. Use of a Frameless, Armless Stereotactic Wand for Brain Tumor Localization with 2-D and 3-D Neuroimaging. Presented at Richard Lende Winter Neurosurgery Conference, Snowbird, Utah, February 1992.
- 67. <u>Barnett GH</u>, Miller DA, Korrnos DW, Steiner CP. Stereotactically Guided Thrombolytic Dissolution of Deep Cerebral Hemorrhage: Preliminary Results. Presented at Richard Lende Winter Neurosurgery Conference, Snowbird, Utah, February 1992.
- 68. Kormos DW, Steiner CP, <u>Barnett GH</u>. From the Imager to the Operating Table: NMR for Surgical Planning and Treatment. Presented at 33rd Experimental Nuclear Magnetic Resonance Conference, Pacific Grove, California, March 1992.
- 69. <u>Barnett GH</u>, Kormos DW, Steiner CP, Weisenberger J. Armless Wand for Accurate Frameless Stereotactic Surgical Localization. Poster presentation at American Association of Neurological Surgeons, San Francisco, California, April 1992.
- 70. <u>Barnett GH</u>, Kormos DW, Steiner CP, Luders H, Awad IA, Morris H. Use of a Frameless, Armless Stereotactic Wand for Registration of EEG Electrodes with 3-D Neuroimaging. Poster presentation at American Association of Neurological Surgeons, San Francisco, California, April 1992.
- 71. <u>Barnett GH</u>, Kormos DW, Steiner CP, Weisenberger J. Use of a Frameless, Armless Stereotactic Wand for Brain Tumor Localization with 2-D and 3-D Neuroimaging. Poster presentation at American Association of Neurological Surgeons, San Francisco, California, April 1992.
- 72. Steiner C, Kormos D, <u>Barnett G</u>, Sufka B, Antar M, MacIntyre W. Modifications of the COMPASS Stereotactic Magnetic Resonance Localizer for Stereotactic Positron Emission Tomography. Poster presentation at American Association of Neurological Surgeons, San Francisco, California, April 1992.
- 73. Kormos DW, Steiner CP, <u>Barnett GH</u>. Ultrasonic Real-Time MRI Localizer for Neurosurgical Planning and Treatment. Presented at Society for Magnetic Resonance Imaging, New York, New York, April 1992.
- 74. <u>Barnett GH</u>, Kinkel RP. Stereotactic Thalamotomy for Intractable Tremor in Multiple Sclerosis. Poster presentation at the 44th Annual Meeting of the American Academy of Neurology, San Diego, California, May 1992
- 75. <u>Barnett GH</u>, Steiner CP, Kormos DW. Real Time Neurosurgical Localization Using a Frameless Stereotactic Pointing Device: Technical Considerations. Oral presentation, Research Society of Neurological Surgeons, Hartford, Connecticut, May, 1992.

76. <u>Barnett GH</u>, Kormos DW, Steiner BS. Volumetric Brain Tumor Resection Using Frameless StereotacticLocalizer. Oral presentation, 5th Canadian Neuro-Oncology Meeting, Huntsville, Ontario, Canada, June, 1992.

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- 77. <u>Barnett GH</u>, Kormos DW, Steiner CP, Weisenberger J. Use of a real-time frameless, arrnless stereotactic localizer in the resection of brain tumors. Poster discussed, European Society for Stereotactic and Functional Neurosurgery, Stockholm, Sweden, September, 1992.
- 78. <u>Barnett GH</u>, Kormos DW, Steiner CP. En Bloc Brain Tumor Resection Using Frameless Stereotactic Localizer. Poster presentation, The American Neurological Association, 117th Annual Meeting, Toronto, Ontario, Canada, October, 1992.
- 79. <u>Bamett GH</u>. Three-dimensional digitizers for intracranial localization in neurosurgery. Practical course, Congress of Neurological Surgeons, Annual Meeting, Washington, D.C., October/November, 1992.
- 80. <u>Bamett GH</u>. Computer assisted neurosurgery. Luncheon seminar, Congress of Neurological Surgeons, Annual Meeting, Washington, D.C., October/November, 1992.
- 81. Komos DW, Steiner CP, <u>Bamett GH</u>, Piraino DW, Weisenberger J. Real-time ultrasonic 3-D digitizer for frameless image stereotaxy. Presented at 78th Scientific Assembly of the Radiological Society of North America, McCormick Place, Chicago, Illinois, November 29-December 4, 1992.
- 82. Steiner CP, Kormos DW, <u>Bamett GH</u>. Registration of EEG electrode positions in MR imaging using an ultrasonic 3-D digitizer. Presented at 78th Scientific Assembly of the Radiological Society of North America, McCormick Place, Chicago, Illinois, November 29-December 4, 1992.
- 83. Morris HH, Estes ML, So NK, Chee M, <u>Barnett GH</u>, Fried A. Adult patients with focal lesions and multifocal/generalized epileptiform activity may have good surgical results following focal resection. Poster presentation, American Epilepsy Society, Seattle, Washington, December 6-9, 1992.
- 84. Komos DW, Steiner CP, <u>Barnett GH</u>. Intraoperative, real-time 3-D digitizer for neurosurgical treatment and planning. Presented to IEEE 1992 Medical Imaging Conference, Orlando, Florida, October, 1992.
- 85. Murphy MA, <u>Bamett GH</u>, Komos DW, Weisenberger J. Astrocytoma resection using a frameless stereotactic wand. An early experience. Poster presentation, American Association of Neurological Surgeons, Annual Meeting, Boston, Massachusetts, April, 1993.
- 86. <u>Barnett GH</u>. Sonic Digitizer Systems, *AANS* Practical Workshops, Three-Dimensional Digitizers in Neurosurgery, American Association of Neurological Surgeons, Boston, Massachusetts, April 24, 1993.
- 87. <u>Bamett GH</u>. Non-Linkage (Frameless) Stereotaxis of the Brain", Breakfast Seminar No. 037, American Association of Neurological Surgeons, Boston, Massachusetts, April 27, 1993.
- 88. <u>Barnett GH</u>. BRW/CRW Frames, Stereotactic Frame Systems, Stereotactic and Computer-Assisted Neurosurgery, Cleveland, Ohio, May 13, 1993,
- 89. <u>Bamett GH</u>. Biopsy, Techniques Frame Stereotactic Procedures, Stereotactic and Computer-Assisted Neurosurgery, Cleveland, Ohio, May 13, 1993.
- 90. <u>Barnett GH</u>. Depth Electrodes, Frame Stereotaxy-Assisted Craniotomy, Stereotactic and Computer Assisted Neurosurgery, Cleveland, Ohio, May 13, 1993.
- 91. Barnett GH. Sonic Systems, Methodologies for Interactive Frameless Stereotaxy, Stereotactic

and Computer-Assisted Seurosurgery, Cleveland, Ohio, May 14, 1993.

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- 92. <u>Barnett GH</u>. Brain Tumor Resection Using IFS, Clinical Applications of Interactive Frameless Stereotaxy, Stereotactic and Computer-Assisted Neurosurgery, Cleveland, Ohio, May 14, 1993.
- 93. Gewirtz R, <u>Barnett G</u>, Estes M, Cohen B, Hercsberg A. Initial Review of a Phase II Trial of Tamoxifen in Malignant Glioma. Oral presentation at Cleveland Clinic Neuroscience Residents Day, May 20, 1993.
- 94. Kormos DW, Steiner CP, <u>Barnett GH</u>, Hajjar F, Wood C, McNally J. Intraoperative, Real-Time 3-D Digitizer for Neurosurgical Treatment and Planning Using 3-D *MR* Imaging, oral/video presentation, 10th Annual Congress of the European Society for Magnetic Resonance in Medicine and Biology, ESMRMB, Rome, Italy, June, 1993.
- 95. Antar MA, <u>Barnett GH</u>, Quigley MR, Go RT, Saha GB, MacIntyre WJ, Khandekar SP. Comparison of thallium 201 SPECT and F-18-FDG PET in evaluation of radiation necrosis vs. recurrent brain tumor. Presented to The Society of Nuclear Medicine 40th Annual Meeting, Toronto, Ontario, Canada, June 1993.
- 96. Kormos DW, Steiner CP, <u>Barnett GH</u>, Piraino DW, Weisenberger J, Hajjar F, Wood C, McNally J. Ultrasonic Neurowand for Stereotactic Localization and Real-Time Intraoperative Display of 3-D MRI Data, oral/video presentation Society of Magnetic Resonance in Medicine, Twelfth Annual Scientific Meeting, New York, August, 1993.
- 97. <u>Barnett GH</u>, Kormos DW, Steiner CP, Weisenberger J. Interactive frameless stereotaxyassisted craniotomy using the sonic wand: Experience in 100 consecutive cases. Poster presentation at Congress of Neurological Surgeons Annual Meeting, Vancouver, British Columbia, October, 1993.
- 98. <u>Barnett GH</u>, Kormos DW, Steiner CP. Volumetric brain tumor resection using frameless stereotactic localizer. Platform presentation to International Congress of Neurological Surgery, Acapulco, Mexico, October, 1993,
- 99. Iwasalu K, Estes M, <u>Barnett G</u>, Gupta M, Barna B. Combined antitumor effects of tumor necrosis factor-a(TNFa) and tamoxifen (TAM) a protein kinase C inhibitor, on human glioblastoma in vitro. American Association for Cancer Research Annual Meeting, 1993.
- 100. Korn S, Schubert A, <u>Barnett G</u>. Endotracheal tube obstruction during stereotactic craniotomy. Platform presentation at Columbia MARC '93 Meeting.
- 101, Komos DW, Steiner CP, <u>Barnett GH</u>, Kalfas IH, Piraino DW, Hajjar F, Wood C, McNally J. Frameless stereotactic system for surgery and biopsy. Presented to IEEE 1993 Medical Imaging Conference, San Francisco, California, November 4-6, 1993.
- 102. Piraino DW, Kormos DW, Weisenberger J, McNally JM, Richmond BJ, Hajjar F, <u>Barnett GH</u>. Use of an ultrasonic wand as a CT biopsy localization system. Presented to Radiological Society of North America, McCormick Place, Chicago, Illinois, November 28-December 3, 1993.
- 103. Benbadis SR, So NK, Morris HH, Antar M, <u>Barnett GH</u>. PET Scan and bitemporal epilepsy. Presented at American Epilepsy Society, Annual Meeting, Miami, Florida, December 4-9, 1993.
- 104. Kormos DW, <u>Barnett GH</u>, Kalfas IH, Piraino DW, Steiner CP, Hajjar F, Wood C, and McNally J. Wandering Through the Body: Modern Computer-Assisted Surgery. Platform presentation at Medicine Meets Virtual Reality II, Interactive Technology and Healthcare: Visionary

Applications for Simulation, Visualization, Robotics, San Diego, California, January 27-30, 1994.

- 105 <u>Barnett GH</u>, Weisenberger J, Steiner CP, Kormos DW. Cortical functional anatomy: Correlation between multiplanar MRI and 3-D surface MRI in 20 brain tumor patients. Poster presentation at American Association of Neurological Surgeons Annual Meeting, San Diego, California, April 9-14, 1994.
- 106 <u>Barnett GH</u>, Bingaman W. Patel N, Groves L. Economics of interactive frameless stereotactic craniotomy vs. conventional craniotomy. Poster presentation at American Association of Neurological Surgeons Annual Meeting, San Diego, California, April 9-14, 1994.
- 107. Steiner CP, Kelly DC, <u>Barnett GH</u>. Comparison of digital active optical and sonic 3-D digitizers in the operating room. Platform presentation at American Association of Neurological Surgeons Annual Meeting, San Diego, California, April 9-14, 1994.
- 108. <u>Bamett GH</u>, Weisenberger J, Kormos DW, Steiner CP. Intracranial meningioma resection using interactive frameless stereotaxy-assistance. Poster presentation at American Association of Neurological Surgeons Annual Meeting, San Diego, California, April 9-14, 1994.
- 109. <u>Barnett GH</u>, Murphy M, Miller D, Mikalacki K. A relationship between prolonged petrochemical exposure and adult human glial neoplasms. Poster presentation at American Association of Neurological Surgeons Annual Meeting, San Diego, California, April 9-14, 1994.
- 110. Kormos DW, Steiner CP, <u>Bamett GH</u>, Kalfas IH. 3-Dimensional digitizers for frameless stereotaxy: Past, present and future technologies. Poster presentation at American Association of Neurological Surgeons Annual Meeting, San Diego, California, April 9-14, 1994.
- 111. Toms SA, Hercsberg A, Iwasaki K, <u>Barnett GH</u>, Majors A, Ng T, Estes ML, Barna BP. A model for the correlation of in vitro assay of astrocytoma proliferation with 31-P magnetic resonance spectroscopy. Poster presentation at American Association of Neurological Surgeons Annual Meeting, San Diego, California, April 9-14, 1994.
- 112. Miller DW, Yuan S, <u>Barnett GH</u>, Hahn JF, Williams BRG. The role of WT-1 in astrocytoma development. Poster presentation at American Association of Neurological Surgeons Annual Meeting, San Diego, California, April 9-14, 1994.
- 113. <u>Barnett GH</u>, Kormos DW, Steiner CP, Weisenberger J. Assessment of interactive surgical navigation (frameless stereotaxy) in craniotomy for brain tumor: Results in 100 consecutive patients. Platform presentation at American Association of Neurological Surgeons Annual Meeting, San Diego, California, April 9-14, 1994.
- 114. Zins J, Hahn J, <u>Barnett G</u>, Comair Y. Autogenous split-skull cranioplasty in the high risk patient. Platform presentation at the Ohio Valley Society for Plastic and Reconstructive Surgery, Indianapolis, Indiana, May 11-14, 1994.
- 115. <u>Barnett GH</u>. Minimally Invasive Craniotomy for Brain Tumors. Presented to International College of Surgeons, United States Section, 56th Annual Meeting, Cleveland, Ohio, June 8-12, 1994.
- 116. Ng TC, Xue M, <u>Bamett G</u>, Modic M. Grading of brain tumors using lactate and NAA/Cr acquired by high resolution proton chemical shift imaging. Presented to Society of Magnetic Resonance, Second Meeting, San Francisco, California, August, 1994.
- 117. <u>Barnett GH</u>, Steiner CP, Weisenberger J. Interactive Surgical Navigation Using a Sonic Digitizer. Presented at Stereotactic Workshop, Congress of Neurological Surgeons, Chicago,

Illinois, October 2, 1994.

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- 118. <u>Barnett GH</u>, Steiner CP, Weisenberger J. Adaptation of personal projection television to a helmet-mounted display for intraoperativeviewing of neuroimaging. Technical note. Poster presentation at Congress of Neurological Surgeons, Chicago, Illinois, October 2, 1994.
- 119. Barnett GH, Walsh J, Steiner CP, Weisenberger J. Resection of malignant glioma using frameless stereotaxy: Outcome at one year. Platform presentation, Satellite Symposium on Brain Tumor Biology, McCormick Place, Chicago, Illinois, October 6-7, 1994.
- 120. Miller DW, Yuan S, Zao XL, <u>Barnett GH</u>, Hahn JF, Williams BRG. Cloning of a novel rac-GAP related gene from a gliosarcoma using differential RNA display. Platform presentation, Satellite Symposium on Brain Tumor Biology, McCormick Place, Chicago, Illinois, October 6-7, 1994 and The American Academy of Neurological Surgery, November 2-6, 1994.
- 121. <u>Barnett GH</u>, Steiner CP, Weisenberger J. Interactive Frameless Stereotaxy-Assisted Craniotomy Using the Sonic Wand: Experience in 200 Consecutive Cases. Platform presentation at 80th Scientific Assembly and Annual Meeting of the Radiological Society of North America, McCormick Place, Chicago, Illinois, November 27 - December 2, 1994.
- 122. Rhoten RLP, Luciano M, <u>Barnett GH</u>. Adaptation of an Armless, Frameless Stereotactic Wand to the Ventriculoscope for Multicompartmental Hydrocephalus Procedures. Platform presentation at Richard Lende Neurosurgical Meeting, Park City, Utah, February 4-11, 1995.
- 123. <u>Barnett GH</u>. Helmet-mounted Display for Intraoperative Viewing of Neuroimaging. Presented at MPB Technologies Inc./McGill University School of Computer Science/Biomedical Engineering Department, Montreal, Quebec, February 17, 1995.
- 124. <u>Barnett GH</u>, Kormos DW, Steiner CP, Weisenberger J, Piraino D, Kalfas I. Advanced in Interactive Computer-Assisted Surgery (Frameless Stereotaxy). Presented at Selected Aspects of Clinical Neurology, Cleveland, Ohio, February 25, 1995.
- 125. <u>Barnett GH</u>, Steiner CP, Weisenberger J. A Target Guidance System for Frameless Stereotaxy. Platform presentation at American Society for Stereotactic and Functional Neurosurgery, Marina del Rey, California, March 11, 1995.
- 126. <u>Barnett GH</u>, Steiner CP, Weisenberger J. Real-time Intraoperative Definition of Cortical Functional Anatomy Using Real-time Multiplanar MRI. Platform presentation at American Association of Neurological Surgeons, Orlando, Florida, April 25, 1995.
- 127. <u>Barnett GH</u>. Clinical Utility, Benefits, and Risks of Frameless Stereotaxis. Presented at Intracranial Stereotaxis Breakfast Seminar #109, American Association of Neurological Surgeons, Orlando, Florida, April 24, 1995.
- 128. <u>Barnett GH</u>, Steiner CP, Weisenberger J. Picker Sonic Digitizer. Didactic presentation at Interactive Image Guided Neurosurgery Practical Clinic #006, American Association of Neurological Surgeons, Orlando, Florida, April 22, 1995.
- 129. <u>Barnett GH</u>. Presenter in Stereotactic Surgery Practical Clinic #018, American Association of Neurological Surgeons, Orlando, Florida, April 23, 1995.
- 130. Barna B, Estes M, Pettay J, Iwasalu K, Zhou P, Deshpande K, and <u>Barnett G</u>, Human astrocyte growth regulation: Interleukin 4 (IL-4) sensitivity and receptor expression. American Association of Immunologists, Annual Meeting, 1995.
- 131. Bloomfield EL, Schubert A, Secic M, <u>Barnett G</u>, Shutway F, Ebrahim ZY. The Influence of Scalp Infiltration with Bupivacaine on Hemodynamics and Postoperative Pain in Adult Patients Undergoing Craniotomy. 57th Congress of the International Anesthesia Research

Society, Honolulu, Hawaii, March 12-14, 1995.

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- Brainard JA, Prayson RA, <u>Barnett GH</u>. Frozen section evaluation of the diagnostic yield of 138 image-guided stereotactic brain biopsies at the stereotactic target position. American Society of Clinical Pathologists ASCP/CAP 1995 Spring Meeting, Orlando, Florida, April 22-27, 1995.
- 133. Suh JH, <u>Barnett GH</u>, Sohn J, Tefft M. Stereotactic radiosurgery: An effective treatment for primary meningioma. 2nd Congress of the International Stereotactic Radiosurgery Society, June, 1995.
- 134. Abshire BB, <u>Barnett GH</u>, Mascha EJ. Surveillance Scanning of Adult Patients with Glioblastoma. Poster presentation, Congress of Neurological Surgeons, San Francisco, California, October 14-19, 1995.
- 135. Toms SA, Casey G. Hercsberg A, <u>Barnett GH</u>, Zhou P, Barna BP. IGF-1 inhibits tamoxifeninduced apoptosis in WITG3, a human glioblastoma cell line. Poster presentation, Congress of Neurological Surgeons, San Francisco, California, October 14-19, 1995.
- 136. Miller DW, Yuan S, <u>Barnett GH</u>, Toms SA, Hahn **JF**, Williams BRG. Identification and characterization of human β 2-chimaerin: association with malignant transformation in astrocytoma. Poster presentation, Congress of Neurological Surgeons, San Francisco, California, October 14-19, 1995.
- 137. <u>Barnett GH</u>. Evolution and development of a regional G a m a Knife center. Annual International Gamma Knife Meeting, Lanai, Hawaii, November, 1995.
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