

DOC. 22

COURT OF COMMON PLEAS

CUYAHOGA COUNTY, OHIO

- - -

SHIREEN ALIKHAM, ET AL,

PLAINTIFFS, :

-VS-

: CASE NO. 150921

EUCLID GENERAL HOSPITAL,

DEFENDANTS. :

- - -

Deposition of TOM P. BARDEN, M.D., a  
witness herein, taken by the defendants as upon  
cross-examination pursuant to the Ohio Rules of  
Civil Procedure and pursuant to agreement and  
stipulations hereinafter set forth at the Radisson  
Inn-Greater Cincinnati Airport, Boone County,  
Kentucky at 4:10 p.m. on Thursday, April 25, 1991  
before Katherine L. Warren, a notary public within  
and for the State of Ohio.

- - -

STEGE, HICKMAN & LOWDER CO., L.P.A.  
Attorneys-at-Law  
1620 Standard Building  
Cleveland, Ohio 44113

## 1 APPEARANCES:

2 On behalf of the Plaintiffs:

3 Edward R. Stege, Jr., Esq.

4 of

5 Stege, Delbaum &amp; Hickman

6 Standard Building

7 Suite 1620

8 1370 Ontario Street

9 Cleveland, Ohio 44113-1701

10 On behalf of the Dr. Barrett:

11 Margaret M. Gardner, Esq.

12 of

13 Reminger &amp; Reminger

14 The 113 St. Clair Building

15 Cleveland, Ohio 44114-1273

16 On behalf of Dr. Sternem:

17 Jeffery W. Van Wagner,, Esq.

18 of

19 Ulmer &amp; Berne

20 900 Bond Court Building

21 1300 East Ninth Street

22 Cleveland, Ohio 44114-1583

23 On behalf of Euclid General Hospital and Dr. Hung:

24 Stephen E. Walkers, Esq.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24

of

Kitchen, Deery & Barnhouse  
1100 Illuminating Building  
55 Public Square  
Cleveland, Ohio 44113

On behalf of Dr. Depp:

Albert J. Rhoa, Esq.

of

Rhoa, Follen & Rawlin, Co., L.P.A.  
1850 Midland Building  
Landmark Office Towers  
Cleveland, Ohio 44115-1027

- - -

S T I P U L A T I O N S

It is stipulated by and among counsel for the  
respective parties that the deposition of TOM P.  
BARDEN, M.D., a witness herein, may be taken as  
upon cross-examination pursuant to the Ohio Rules  
of Civil Procedure, and pursuant to agreement; that  
the jurisdiction of the notary-public court  
reporter is waived; that the deposition may be  
taken in stenotypy by the notary public-court  
reporter and transcribed by her out of the presence  
of the witness; and that the submission of the

1 transcribed deposition to the witness for his  
2 signature is expressly waived;

3 - - -

4 I N D E X

5 WITNESS	CROSS-EXAMINATION BY	PAGE
6 Tom P. Barden, M.D.	Mr. Rhoa	5, 76
7	Ms. Gardner	47
8	Mr. Walters	58
9	Mr. Van Wagner	74

10 - - -

11

12

13

14

15

16

17

18

19

20

21

22

23

24

1 MR. RHOA: Dr. Barden, my name is  
2 Albert Rhoa, and I'm representing Dr. Edward Depp,  
3 who, since your deposition which was taken in  
4 September of 1990, has been added as a new party  
5 defendant to this suit. And therefore, I'm going  
6 to ask you a few questions on his behalf, okay?

7 THE WITNESS: Yes.

8 TOM P. BARDEN, M.D.  
9 of lawful age, a witness herein, being first duly  
10 sworn as hereinafter certified, was examined and  
11 deposed as follows:

12 CROSS-EXAMINATION

13 BY MR. RHOA:

14 Q. Now, as I understand from riffling  
15 through your prior deposition, Doctor, you are an  
16 MD in this state. I don't want to go through all  
17 the CV or anything. It's already been recorded.  
18 But your specialty apparently is  
19 obstetrics-gynecology, and also do you do some work  
20 in pediatrics, too?

21 A. No, I don't, I'm an obstetrician  
22 gynecologist, and I hold an academic title in the  
23 Department of Pediatrics at the University of  
24 Cincinnati, but I am not trained as an obstetrics

1     pediatrician.

2             Q.     You're not trained as a pediatrician;  
3     you're trained only as an OB-GYN?

4             A.     That's correct.

5             Q.     And you're not trained, I take it, as  
6     an anesthesiologist either?

7             A.     No.

8             Q.     Now, you were retained by the  
9     plaintiff's attorney in this case to review the  
10    records and testify on behalf of his client,  
11    apparently, as an expert then in OB-GYN?

12            A.     That's correct.

13            Q.     Now, I have here a copy of your prior  
14    deposition, and attached to it is a report which  
15    you submitted to Mr. Stege, and it's dated February  
16    25th, 1990. Have you furnished him other reports  
17    other than this one?

18            A.     NO.

19            Q.     All right. Now, have you conferred  
20    with him since the deposition on September 18th  
21    of '90?

22            A.     Yes.

23            Q.     Okay. And how many times have you --  
24    how many occasions?

1           A.     We met yesterday, and we met briefly  
2 prior to this deposition today, and I believe that  
3 we have talked on the telephone on **perhaps** two or  
4 three occasions since the deposition.

5           Q.     All right.

6           A.     I don't recall the dates.

7           Q.     Now, when you conferred with him, was  
8 that in regards to any of the actions by my client,  
9 Dr. Depp, or not?

10          A.     Yes, there were conversations related  
11 to Dr. Depp.

12          Q.     Now, are you intending to testify as  
13 an expert as to the responsibilities of an  
14 anesthesiologist in this case?

15          A.     To the extent that, as an  
16 obstetrician, I have opinions regarding the conduct  
17 of resuscitation in the Delivery Room; namely, that  
18 the obstetrician primarily is responsible to assure  
19 that the newborn is properly resuscitated, or at  
20 least to arrange for qualified persons to be  
21 present to resuscitate the baby.

22                     In the situation of this case where  
23 the obstetrician is performing a cesarean delivery,  
24 there's no practical way for him to leave the

1 mother to care for the baby.

2                   Then, it becomes the duty of who is  
3 qualified and present to take over the  
4 resuscitation when it's indicated. In this case,  
5 it's my understanding that there was a surgical  
6 assistant helping Dr. Barrett, who I assume was not  
7 qualified to do newborn resuscitation.

8                   Q.    Why do you assume that?

9                   A.    Because typically surgical assistants  
10 are not trained to perform newborn resuscitation.

11                  Q.    Well now, just along that line, is  
12 there anything that you have reviewed to date which  
13 has indicated that that individual was not  
14 competent to do that?

15                  A.    No, but beyond knowing that, he was  
16 occupied with Dr. Barrett with the surgery and  
17 would not been available.

18                  Q.    You're assuming that?

19                  A.    Yes.

20                  Q.    All right. Go ahead.

21                  A.    There also was Nurse Melson, who was  
22 the circulating nurse. **And** from her testimony, and  
23 from what's recorded in the patient's record, the  
24 mother's record, I cannot discern what her exact



1 role was in the resuscitation. She doesn't recall  
2 specifics, and the record doesn't reflect her  
3 actions --

4 Q. All right. Now, is there --

5 A. -- but particularly, a nurse is not  
6 qualified or trained to do intubation, tracheal  
7 suction, or deep suction, as was required, or as  
8 was indicated in this particular case.

9 Q. You're assuming then that Nurse  
10 Melson was incompetent to suction the baby?

11 A. She was not incompetent to suction  
12 the baby, but not to do the type of suction that  
13 was indicated here, and that was to go beyond the  
14 mouth and nares into the posterior pharynx and look  
15 down into the trachea.

16 And the other people that were  
17 present were a nurse, who was scrubbed as the scrub  
18 nurse. I believe her name was Kelli, but; I don't  
19 recall precisely.

20 MR. STEGE: Clark, I think.

21 A. Clark, excuse me, and she would not  
22 be available to break away from the surgical  
23 procedure. The team doing the surgery was obliged  
24 to continue.

1                   So the reason that I made the  
2 statements regarding Dr. Depp in my first  
3 deposition were simply that, as far as I know, he  
4 was the only qualified person present in the  
5 Delivery Room; and therefore, I believe it was his  
6 obligation to become involved in the resuscitation  
7 of the baby, particularly since he is the one that  
8 assigned the Apgar score of three.

9                   And it is my -- I know that, in 1975,  
10 the general feeling was that, given a baby that has  
11 a one-minute Apgar score of zero, one, two, or  
12 three, that intubation was indicated, and in fact  
13 that same approach persists yet today.

14                  Q.   Well, is it your opinion that -- are  
15 you saying that you felt Dr. Depp should have left  
16 the -- his patient?

17                  A.   I think that, given the circumstances  
18 that I understand of the case, he had administered  
19 a spinal anesthetic, and the patient's vital signs,  
20 according to the anesthetic record, and according  
21 to his testimony in deposition, was stable; that  
22 is, she had normal blood pressure and pulse, and  
23 her respirations were normal.

24                       And from my experience over the years

1 in obstetrics, given that circumstance, I don't  
2 know of anything that would hold him at the head of  
3 the table with the mother when the baby was a short  
4 distance away, and by his assessment, had an Apgar  
5 score of three.

6 And I simply mentioned that I felt  
7 that the anesthesiologist, given the **fact** there  
8 wasn't a pediatrician present, was the only logical  
9 person in that room at that time to help this baby.

10 Q. And was it your opinion that a  
11 pediatrician should have been present?

12 A. Yes, I so testified.

13 Q. Now, was there anything in the record  
14 that indicated that anyone requested Dr. Depp to  
15 undertake any suctioning or anything with the  
16 child?

17 A. No.

18 Q. Was there anything to indicate in the  
19 record that the nurse was having any problems with  
20 the child?

21 A. No.

22 Q. Now, you brought some material there  
23 with you, Doctor; what do you have there?

24 A. I have two copies of my CV, and I

1 have a copy of the letter that you referred to, and  
2 I have a list of the various depositions that I've  
3 reviewed, plus the attorneys' names, including  
4 yours.

5 Q. Okay. Is this pretty much what you  
6 had reviewed prior to the deposition in 1990, or  
7 have you reviewed something in addition?

8 A. No, there were the last two  
9 depositions listed -- if I could see -- depositions  
10 --

11 Q. There's a couple reports there, I  
12 know.

13 A. -- of Charles Kennedy and Cheryl Hall  
14 came to me after the deposition. Plus, I read more  
15 recently reports from Gerald Ostheimer, Barry Cork.

16 Q. And what was your reasoning in  
17 reading Ostheimer and Cork?

18 A. They were supplied to me by Mr.  
19 Stege.

20 Q. Those are two doctors which are in  
21 the field of anesthesiology?

22 A. Yes.

23 Q. Now, in your report of February 25th,  
24 1990, you indicate there you reviewed the Euclid

1 chart both for the mother and the child, and you  
2 also reviewed the University Hospital's chart  
3 covering the care given there after the baby was  
4 transferred when she was six hours old, right?

5 A. Yes.

6 Q. All right. Now, I've read your  
7 criticisms of the hospital and all these personnel  
8 and in your earlier deposition. From your review  
9 of the University Hospital's chart, did you have  
10 any criticism of any the care given to the baby at  
11 University Hospital?

12 A. Care of the baby in the Nursery?

13 Q. Yes, after she was transferred.

14 A. No, I don't intend to be a witness to  
15 the pediatric care of the baby beyond the Delivery  
16 Room.

17 Q. Well, why did you review the  
18 University Hospital chart?

19 A. Because they were sent to me by the  
20 attorney. I didn't review them in great detail,  
21 but I looked at the major diagnoses and discharge  
22 summaries.

23 Q. You didn't then review that chart  
24 with the intent to see whether or not there was

1 anything in there that: might have affected the  
2 child; is that what you're saying?

3 MR. STEGE: Objection.

4 A. No, I did look at the chart and  
5 review it. I didn't do it in as great detail as I  
6 reviewed the mother's chart, admittedly. I  
7 certainly, in reviewing this case, was interested  
8 in the outcome of the baby to that extent that I  
9 looked at that record, but I'm not a pediatrician,  
10 and I'm not going to criticize pediatric care.

11 Q. Would you characterize this as a high  
12 risk pregnancy?

13 A. Yes.

14 Q. What do you mean by high risk  
15 pregnancy; what does that indicate?

16 A. It's a term that's used to indicate  
17 that obstetric or other medical circumstances are  
18 identified that place the mother and/or baby at  
19 greater risk than is usual.

20 Q. All right. And why do you think that  
21 **was** the occasion here?

22 A. At what time?

23 Q. Well, whenever you feel -- When do  
24 you first feel that was apparent?

1           A.     Well, on admission to the hospital.

2           Q.     Well, let me just stop you for a  
3 minute.   What about prior to admission?

4           A.     **Yes**, I think that she became, by a  
5 number of obstetrics factors, became a high risk  
6 due to advanced gestational age at approximately  
7 July 17th, 1975, when she was two weeks beyond her  
8 expected date of confinement as calculated from her  
9 first day of last menses and supported by  
10 obstetrics evidence such as the size of the uterus  
11 at ten weeks gestation, which was the initial  
12 prenatal visit, the appearance of fetal heart tones  
13 by a consultation at 18 weeks gestation, the size  
14 of the uterine fundus as recorded in the prenatal  
15 record throughout the second trimester. And I also  
16 think she was high risk because of her obesity.  
17 Her weight, by the end of this pregnancy was over  
18 **200** pounds, which makes it more difficult to manage  
19 patients.

20                   I think she was further high risk  
21 when, in labor on the morning of her delivery or  
22 earlier in the day of the delivery, she had the  
23 appearance of vaginal passage of meconium, which is  
24 a sign of a possible fetal distress, and in 1975

1 was considered a sign of fetal distress.

2 And I think she was further high risk  
3 by the recognition of a fetal heart rate  
4 deceleration at 6:50 a.m. on the day of delivery  
5 that was recorded on this chart by a nurse, and it  
6 was a nurse who testified that she always listened  
7 to heart rate between contractions, and that the  
8 baby's heart rate was at approximately 80 beats per  
9 minute between contractions at that point,  
10 recovering to approximately 132 shortly  
11 thereafter.

12 I think she further was high risk  
13 when Dr. Barrett ruptured her membranes at 8:15  
14 a.m. and noted thick meconium, which prompted him  
15 to arrange for immediate delivery by caesarean  
16 section. I think she -- I think that's all.

17 Q. What about her blood pressure?

18 A. I wasn't impressed by blood pressure  
19 on the prenatal record. As I recall, the blood  
20 pressure entries were all normal. I saw no other  
21 signs of preeclampsia, and I was not impressed that  
22 the blood pressures that were recorded during labor  
23 were unusual for a patient in labor.

24 Q. Was there, in your opinion, any



evidence of preeclampsia or not? You don't think there was?

A. No.

Q. All right. Should anything have been done differently then on the 17th?

A. Yes, I previously testified that, on the 17th, it had already been recorded, on July 8th, the patient had cervix dilated two centimeters, 80 percent effaced and zero station, and, therefore, when she reached 42 weeks gestation or counting from her last: menstrual period 294 days, I testified that I believe that labor should have been induced.

Q. So you felt she should have been hospitalized at that point?

A. Yes.

Q. And should she have been hospitalized and arrangements made for a pediatrician to be present?

A. Yes, for -- at delivery, it would not be necessary before.

Q. Yeah, that's right.

MS. GARDNER: Objection. On what day are you talking about now, Al?

1 MR. RHOA: Whenever he **felt** that the  
2 patient was going to be hospitalized.

3 BY MR. RHOA:

4 Q. On or about the 17th?

5 A. Yes, I said 17th or 18th in the first  
6 deposition.

7 Q. And it's your opinion that the  
8 pediatrician should have been notified **as** to the  
9 impending inducement then apparently, right?

10 A. Well, that would be a courtesy to the  
11 pediatrician, but I would also at that point,  
12 because of the circumstances, think it prudent to  
13 have a pediatrician physically present for the  
14 delivery.

15 Q. All sight. Now, that was not done.  
16 Patient then appeared apparently voluntarily at the  
17 hospital; is that correct?

18 A. Well, you're talking about on the  
19 25th or the 23rd?

20 Q. Well, go back to the 2-3rd.

21 A. On the 23rd, she was, from my  
22 understanding of the situation, sent to the  
23 hospital for induction of labor, and according to  
24 her testimony, there was no room for her in the

1 labor rooms, and therefore, apparently some  
2 communication with her doctor occurred, and she was  
3 sent for this x-ray that was taken.

4 And after the x-ray, Dr. Barrett  
5 decided that it was proper for her to wait for  
6 induction for another week, although he had, in my  
7 understanding, arranged for her to have an  
8 ultrasound examination on the 25th, which was the  
9 day that she came back to the hospital in labor.  
10 So the ultrasound examination was not done.

11 Q. Then she presented herself in labor  
12 at the hospital; is that correct?

13 A. Well, I understand she spoke to Dr.  
14 Sternem at 6 p.m. on the 24th and then appeared at  
15 the hospital at about ten minutes after 3 a.m. on  
16 the 25th. And then the Nurse Ljubi, who is --

17 MR. STEGE: I; J U B I.

18 A. Nurse Ljubi, in her first note,  
19 indicated she talked with Dr. Sternem by telephone  
20 to inform him of the situation at 3:10 a.m.

21 Q. All right. And then what occurred?

22 A. The patient was in clinical labor  
23 having contractions, and there were recordations of  
24 fetal heart rate taken by stethoscope every 30

1 minutes or so in the record.

2 And at 6:30 a.m., there **was** a note  
3 that brownish green fluid show had passed, and  
4 there was no indication that a physician was  
5 notified, which I was previously critical of  
6 because I think the nurse should have assumed that  
7 that greenish brown fluid represented meconium.

8 Then at 6:50, the incident **of** slowing  
9 of fetal heart rate to 80 beats per minute for a  
10 minute followed by recovery to 132, again, I was  
11 critical that the nurse did not contact the  
12 obstetrician by telephone with that information as  
13 she should have.

14 And then it's my understanding that,  
15 at 7 a.m., Dr. Barrett took over responsibility  
16 from Dr. Sternem for further care of the patient,  
17 and he came to the hospital at 8:15, and examined  
18 the patient.

19 Q. Is that the first indication of his  
20 appearance at the hospital?

21 A. Yes, as far as I recall.

22 Q. **Was** there any indication of any  
23 communication between him and the hospital up to  
24 the time he arrived there?

1           A.     I don't believe so. He ruptured  
2 membranes and recorded thick meconium and arranged  
3 for caesarean section delivery, which occurred very  
4 quickly thereafter. She had a spinal anesthetic,  
5 as I recall, at 8:27, and the delivery occurred at  
6 8:33 a.m.

7           Q.     Okay. Was the baby large or small  
8 for the age?

9           A,     It was, I believe, six pounds,  
10 thirteen ounces, which is appropriate. It was not  
11 an excessively heavy baby, which is one of the  
12 problems that we encounter in post-term  
13 pregnancies. So I would consider it appropriate  
14 for gestational age.

15          Q.     So in your opinion, that 16 (sic),  
16 13, was not particularly significant?

17                   MR. STEGE: Six.

18          Q.     Six pounds, thirteen ounces, one way  
19 or the other?

20          A.     No.

21          Q.     In view of the gestational age?

22          A.     I don't believe that's abnormal one  
23 way or the other.

24          Q.     You mention in your report that the

1 baby had questionable lowset ears?

2 A. That's recorded in the chart.

3 Q. What's the significance of that?

4 A. I don't know.

5 Q. Why did you refer to it?

6 A. Because it's reported in the chart.

7 I was simply describing the initial condition of  
8 the baby as the chart reflected it.

9 Q. All right. You have no feeling one  
10 way or another about that notation regarding the  
11 lowset ears then?

12 A. No.

13 Q. All right. Now, then you note that  
14 it's at 8:45 the Dextrostix was zero, indicating  
15 profound hypoglycemia, correct?

16 A. Yes, that's what I wrote.

17 Q. And what does that mean, Doctor?

18 A. Well, it simply means that a test was  
19 done of the baby's blood to indicate that there was  
20 essentially no measurable glucose by that test  
21 strip. And that's a further evidence of the baby's  
22 birth asphyxia.

23 Q. What's the significance of that, the  
24 zero Dextrostix; what does that really indicate;

1 what do you mean by hypoglycemia?

2           A.     It means a low blood glucose level,  
3 and a low blood glucose level can be caused by a  
4 number of things, The focus in this case is that  
5 the baby had birth asphyxia.

6                     And the mechanism for low glucose is  
7 that, when the baby's organs are not supplied  
8 adequate oxygen, its body shifts into an anaerobic  
9 metabolism that uses a larger amount of glucose  
10 stores to produce energy. And as time passes, the  
11 glucose levels go down, and down, and down.

12                    In this case, the combination of  
13 Apgar score of three at one minute, the thick  
14 meconium, the likelihood that the baby had -- we  
15 know that it had what's described as one late  
16 deceleration at 6:50 a.m., and its condition  
17 shortly after birth as I described it in the  
18 letter, including the hypoglycemia, all are  
19 supportive of a diagnosis of birth asphyxia, which  
20 was quite apparent from reading what parts of the  
21 baby's chart I read. So I think it's a consistent  
22 pattern of a baby that was hypoxic and reflected  
23 that at birth,

24           Q.     This baby, did it suffer damage prior

1 to the caesarean section then, in your opinion?

2 A. I don't know when damage occurred. I  
3 suspect from the evidence that's available to me,  
4 that there was hypoxia during labor, but I don't  
5 wish to speculate when damage began to occur or  
6 when it did occur.

7 Q. What would be the result of this zero  
8 dextrose reading; apparently from what happened  
9 here, there was no dextrose administered for three  
10 hours?

11 A. That's my understanding, Dr. Scharg  
12 did not arrive. The pediatrician did not arrive  
13 until 11:20 when he established an intravenous  
14 infusion and gave the baby glucose solution.

15 Q. And what would be the significance of  
16 this lack of glucose for that three-hour period?

17 A. I would defer that to pediatric  
18 testimony.

19 Q. You have no opinion on that at all?

20 A. Yes, I do.

21 Q. What's your opinion?

22 A. This is a nonexpert opinion.

23 Q. You've been giving nonexpert opinions  
24 earlier on.



1                   **MR. STEGE:** I'm going to object on  
2 two grounds; both to the comment and also to the  
3 question. But I'm not going to prevent you from  
4 answering.

5 **BY MR. RHOA:**

6                   **A.** Baby without glucose is very much at  
7 risk of having brain damage.

8                   **Q.** From the lack of glucose alone,  
9 right?

10                  **A.** Yes, because the brain is extremely  
11 dependent on glucose and oxygen for survival of its  
12 cells.

13                  **Q.** Now, I note in your record that there  
14 were convulsions during this period?

15                  **A.** Yes.

16                  **Q.** More than one apparently, right?

17                  **A.** That's simply a reiteration of the  
18 newborn chart.

19                  **Q.** What is the significance of the  
20 convulsions?

21                  **A.** It's a sign of central nervous system  
22 disorder. But in terms of how it relates to this  
23 particular baby, I wouldn't wish to testify.

24                  **Q.** Would a convulsion be a contributing

1 fact to lack of dextrose; would it use **up** dextrose?

2 A. I don't know of any relationship of  
3 convulsions versus lack of or producing lack --  
4 hypoglycemia. But conversely, hypoglycemia can  
5 lead to convulsions, and that's well established.

6 Q. So this was another indication of a  
7 lack of dextrose?

8 A. Possibly.

9 Q. What else would it be an indication  
10 of, in your opinion?

11 A. The hypoglycemia?

12 Q. Yes -- No, the convulsion.

13 A. Central nervous system damage from  
14 asphyxia.

15 Q. And what about from the lack of  
16 dextrose?

17 A. Well, I just answered that. That is  
18 well known that babies that have profound  
19 hypoglycemia can have seizures.

20 Q. All right. That's well established;  
21 is that correct?

22 A. From my perspective, yes.

23 Q. All right. Now, in addition to that,  
24 the baby was diagnosed as having hypokalemia.

1 Would you tell us what that means?

2 A. I have to see what you're reading.

3 Q. **From** your record on page 3.

4 A. That's low potassium.

5 Q. Okay. What's the significance of  
6 that?

7 A. That means the baby has abnormal  
8 electrolytes in its blood.

9 Q. And what effect does that have on the  
10 well-being of the child?

11 A. It could produce seizures.

12 Q. Was it treated prior to or even  
13 tested for, if you know?

14 MR. STEGE: Mote an objection, Al,  
15 because there's nothing to indicate that she had  
16 hypokalemia at Euclid. That finding **appears** at  
17 Rainbow.

18 Q. My question was: Was she tested for  
19 any of that prior to the tests at Rainbow?

20 MR. STEGE: That meaning  
21 hypokalemia?

22 Q. Yeah.

23 A. I don't know.

24 MR. RHOA: Bid you want some

1 coffee?

2 THE WITNESS: No, I'm fine. Thank  
3 you.

4 Q. Okay. Now, also the baby was  
5 diagnosed as having hypocalcemia, correct?

6 A. Yes.

7 Q. And what is that?

8 A. That's low calcium levels in the  
9 blood.

10 Q. What's that significance?

11 A. That is another electrolyte disorder  
12 which could potentially contribute to seizure  
13 activity.

14 Q. All right.

15 MR. STEGE: Let me interrupt you.  
16 The first one was hypokalemia, and the second one  
17 was hypocalcemia?

18 THE WITNESS: Right.

19 BY MR. RHOA:

20 Q. Now, the baby was also diagnosed as  
21 having thrombocytopenia, too; is that correct?

22 A. Yes.

23 Q. Would you describe that for us,  
24 Doctor?

1 A. That's a low level of platelets.

2 Q. And what is the significance of that?

3 A. In this case, I don't know. It's a  
4 sign of possible blood clotting disorder.

5 Q. Coagulation and so forth?

6 A. Yes.

7 Q. In your opinion, that's not  
8 significant?

9 A. Oh, I don't know that it's not  
10 significant. I don't know the significance of it.

11 Q. All right. And then it **says**  
12 malnourishment?

13 A. Yes.

14 Q. And how was that ascertained, do you  
15 know?

16 A. I don't recall.

17 Q. Now, did you at all check for the  
18 measurements on this baby from the charts?

19 MR. STEGE: Objection, what  
20 measurements?

21 Q. Measurements of the head. length and  
22 so forth, in addition to the weight,

23 A. No.

24 Q. Did you think that might have been

1 significant?

2 A. I don't know. I wasn't interested in  
3 looking for that.

4 Q. Do you feel that the caesarean  
5 section should have gone forward without a  
6 pediatrician present?

7 A. Yes. I think, in the context of 1975  
8 diagnosis of fetal distress, that Dr. Barrett  
9 responded properly when he discovered thick  
10 meconium at 8:15 a.m. It certainly would have been  
11 better to have a pediatrician physically present,  
12 but if that was not possible, I don't criticize him  
13 for proceeding to deliver the baby.

14 Q. Should Dr. Barrett have suctioned the  
15 baby?

16 A. No, he was occupied with the  
17 caesarean delivery, and it would have been wrong of  
18 him to redirect his attention to the baby under  
19 those circumstances.

20 Q. No, no, I'm not talking about after  
21 the baby was delivered; I meant at the time he was  
22 taking the baby, would it have been a proper  
23 procedure for him to suction the baby at that  
24 point?

1                   MR. STEGE: Just so it's clear, are  
2 you talking about as the head was delivered?

3                   Q. Well, as he was delivering the baby,  
4 himself, He has the baby then in his hands.

5                   A. It's generally routine for the  
6 obstetrician --

7                   Q. And he had an assistant there, right?

8                   A. Yes, it's generally routine, and it  
9 certainly would have been appropriate for Dr.  
10 Barrett to use a bulb syringe to suction the mouth  
11 and nose of the baby as the baby delivered before  
12 he handed the baby to the nurse.

13                  Q. That's normal procedure before he  
14 hands the baby to the nurse; isn't that true?

15                  A. That's correct.

16                  Q. Is there any indication in the record  
17 of that?

18                  A. No, I don't recall.

19                  Q. Well, you would have checked the  
20 record for that, wouldn't you?

21                  A. Well, perhaps if someone has the  
22 mother's chart, I could look at the operative  
23 dictation. That would be the only place it might  
24 occur that -- I simply don't remember.





1 before.

2 MR. STEGE: Just before. That's  
3 what I thought. All right.

4 BY MR. RNOA:

5 Q. Then he says that there was some  
6 asphyxia intrapartum which persisted, and it was  
7 aggravate by the hypoglycemia, which you have  
8 already testified to; is that right?

9 MR. STEGE: Note an objection.

10 A. Not specifically hypoglycemia  
11 aggravating the hypoxia. You were asking me  
12 questions about how it might have come about, and I  
13 indicated that, because of hypoxia, the baby  
14 probably became hypoglycemic.

15 Q. Well, and what do we mean by  
16 hypoglycemic, again?

17 A. Low blood glucose.

18 Q. Okay. And is the fact that a baby  
19 does not have blood glucose lead to brain damage?

20 A. It can lead to seizure activity ,  
21 which can produce further hypoxia and can certainly  
22 lead to brain damage. But I'm not a neurologist,  
23 so I'm not in any way trying to say that it was  
24 because of either hypoxia or blood glucose levels

1 that the baby has brain damage.

2 Q. Well, we're not getting into anything  
3 really esoteric here about the hypoglycemia, are  
4 we? This is not good for the brain, is it?

5 A. That's correct.

6 Q. And damages the brain; does it not?

7 A. It can.

8 Q. Is there any indication in here that  
9 this three hours with no apparent recordable  
10 dextrose did not damage this baby's brain?

11 A. No, there isn't.

12 Q. Now, Dr. Kennedy indicates that, on  
13 follow-up at five months, the head circumference  
14 **was 69** centimeters. What's the significance of  
15 that?

16 MR. STEGE: **Al**, I'm going to  
17 object. Why is that -- why are you entitled to ask  
18 him about that?

19 MR. RWOA: Why not? It's at least  
20 discoverable information.

21 MR. STEGE: We're going to be here  
22 all day.

23 MR. **RHOA**: I'm not going to be here  
24 that long if you don't interrupt me. I'm going to

1 be out of here and catch my plane.

2 MR. STEGE: It's not reasonably  
3 related to the opinions that he's prepared to  
4 testify to that are represented in his report. I'm  
5 giving you some latitude.

6 MR. RHOA: I'm not sure what he's  
7 going to testify to because he indicated some  
8 criticism of my client early on without being an  
9 expert in that field.

10 BY MR. RHOA:

11 Q. Are you intending to testify in court  
12 as to the standards of an anesthesiologist,  
13 Doctor? Basically that's why I'm here.

14 A. As I've already indicated, I'm  
15 critical of the fact that he gave the Apgar score  
16 of three, as far as I can tell from the record was  
17 not otherwise occupied with the mother, and did not  
18 become involved in resuscitation of the baby.

19 Q. Well now, you're willing to state  
20 that, but you admit there's nothing in the record  
21 to indicate that he was asked to do anything?

22 A. That's correct.

23 Q. And the record does not indicate that  
24 the obstetrician suctioned the baby, which is

1 normal procedure under those circumstances,  
2 correct?

3 A. Yes.

4 Q. Now, -- So you're out of your field  
5 when you're talking about Dr. Depp; are you not?

6 A. I wouldn't be critical of Dr. Depp in  
7 any regard to how he gave the spinal anesthetic,  
8 what drug he used, actual anesthesia practice. But  
9 I feel that I am qualified to speak to what happens  
10 in the Delivery Room when the obstetrician is busy  
11 doing a caesarean section, and the anesthesiologist  
12 is present and needed to help in resuscitation of  
13 the baby.

14 Q. Well now, there's nothing indicating  
15 that anybody indicated to him he was needed, is  
16 there?

17 A. No.

18 Q. And isn't his -- Are you familiar  
19 with the standards for anesthesia care in an  
20 obstetrical situation, with the standards set by  
21 that group?

22 A. For resuscitation?

23 Q. No, no, for the duties of **an**  
24 anesthesiologist.

1           A.     I don't understand the question.

2           Q.     Are you at all familiar with the  
3 standards in the practice of anesthesiology as to  
4 the responsibilities of the anesthesiologist in the  
5 setting we have in this case; have you had read any  
6 of those standards or protocols?

7           A.     Oh, yes.

8           Q.     Can you quote any that says that Dr.  
9 Depp did not follow the standards of protocols?

10          A.     Well, I can certainly quote from  
11 obstetrics policies that, over and over again, it's  
12 been stated that the resuscitation of a depressed  
13 baby should be done by the most qualified person in  
14 the Delivery Room that is available to provide that  
15 service.

16          Q.     Is that is available?

17          A.     And in this case, it's my opinion  
18 that Dr. Depp was available and could have  
19 participated.

20          Q.     It's your opinion then that: he should  
21 have left the mother?

22          A.     Yes. Her condition was stable. She  
23 had a spinal anesthetic. Her vital signs; were  
24 normal, I know of no reason that he could not have

1 walked a few steps from the mother's side to take  
2 care of the baby.

3 Q. So you're critical of him for that?

4 A. That's the reason that -- when it  
5 came up in the previous deposition --

6 Q. All right. I know what you said in  
7 the previous deposition.

8 MR. STEGE: Did you finish your  
9 answer?

10 THE WITNESS: No, I was  
11 interrupted.

12 BY MR. RHOA:

13 Q. Go ahead.

14 A. I started to say that I was critical  
15 of him in the previous deposition as a result of  
16 questions that were specifically directed at did I  
17 have criticism of him. I didn't bring it up of my  
18 own volition.

19 Q. Somebody else asked you a leading  
20 question along that line, one of the attorneys?

21 A. That's correct. As I recall, that's  
22 correct.

23 Q. Now, what was the second Apgar score,  
24 do you recall?

1 A. Seven.

2 Q. What does that indicate?

3 A. I don't know what it indicates  
4 because it was not detailed as to what the various  
5 points were awarded for.

6 Q. Could you tell from the record who  
7 made that evaluation?

8 A. Not from the record, no, From  
9 deposition testimony, it was indicated that the  
10 anesthesiologist was the person that was supposed  
11 to assign Apgar scores, and also there's Euclid  
12 Hospital policy that I reviewed in this matter that  
13 indicated that the anesthesiologist was responsible  
14 for the Apgar score at one and five minutes.

15 Q. And do you have a copy of that  
16 information?

17 A. Not with me.

18 Q. Would you make that available to me,  
19 that basis for that?

20 A. Certainly.

21 MR. RHQA: Would you see that I get  
22 that, Counsel?

23 MR. STEGE: Sure.

24 BY MR. RHQA:

1 Q. Okay. Now, was there anything in the  
2 record to indicate how long the baby was in the  
3 operating room?

4 A. Yes, the birth was recorded as 8:33  
5 a.m., and the baby was apparently in the Nursery at  
6 8:45 a.m. So it would appear that the baby was  
7 there for approximately 12 minutes,

8 Q. Is that normal, unusual or what?

9 A. I don't know what you mean by is it  
10 normal, for Euclid Hospital in 1975?

11 Q. How about your hospital in 1975?

12 A. I'd say that, considering the  
13 circumstances of the baby having an Apgar score of  
14 three at one minute, that would be unusual to move  
15 the baby from the resuscitation area that quickly.

16 Q. Did the record indicate how the baby  
17 got to the Nursery, or not?

18 A. No.

19 Q. Did the depositions?

20 A. I don't think that the parties  
21 involved remembered precisely. Nurse Nelson  
22 testified that she typically would carry the baby  
23 in her arms to the Nursery but didn't recall if  
24 that's what she did in this case.



1 Q. All right. At the present time are  
2 you performing caesarean sections, Doctor?

3 A. Yes.

4 Q. All right. What's your procedure in  
5 that regard?

6 A. What regard?

7 Q. Regard to who you have present.

8 MR. STEGE: Note an objection. But  
9 go ahead.

10 Q. Let's say first when there's not a  
11 high risk, and then where there is a high risk?

12 MR. STEGE: You're talking about  
13 1991, Al?

14 Q. Well, 1975. Is there a big change?

15 A. Not in terms of my practice, no.

16 Q. I wouldn't think. All right.

17 A. I would do the procedure with a  
18 resident physician assistant, and there would be a  
19 scrub nurse; there would be circulating nurse, and  
20 there would be a group of pediatricians present in  
21 the Delivery Room at the time that the baby was  
22 born.

23 Q. A91 right. Would that be for a high  
24 risk or any caesarean section?

1           A.     Any caesarean section.

2           Q.     Now, was there anything in the record  
3 to indicate that there wasn't anybody available by  
4 way of a pediatrician at the time this operation  
5 was done?

6           A.     No.

7           Q.     Did the record indicate that there  
8 was a pediatrician present at least shortly  
9 thereafter?

10          A.     Yes.

11          Q.     Was this baby properly resuscitated  
12 in the Nursery?

13          A.     I don't know.

14          Q.     Formed no opinion on that?

15          A.     Well, think the fact that the baby  
16 had Dextrostix of zero and was not given glucose  
17 solution until three hours later indicates that she  
18 was not well cared for.

19          Q.     What about the oxygen therapy?

20          A.     I didn't review that in detail to  
21 comment on it.

22          Q.     Do you think she was properly  
23 suctioned and -- in the Nursery?

24          A.     I didn't review it in -- as an expert

1 to comment on that.

2 Q. Do you know what the baby's -- Do you  
3 recall, after reviewing the baby's charts both at  
4 Euclid and at B&C, where she was transferred at age  
5 six hours, what the condition was when the baby  
6 arrived at B&C?

7 A. Not precisely, no.

8 Q. Did you ever review the report by Dr.  
9 Horwitz, Samuel Horwitz, the neurologist, or not?

10 A. I don't recall.

11 Q. Pardon?

12 A. I don't recall.

13 Q. Is it listed on your list there?

14 A. No.

15 Q. You don't recall anything that he  
16 stated in his report?

17 A. NO.

18 Q. Did you review the report by Dr.  
19 Clause, Marshall Clause, at Baby's And Children's  
20 Hospital?

21 A. I don't believe so.

22 Q. Where do you practice obstetrics,  
23 Doctor, what hospital?

24 A. University of Cincinnati Hospital.

1 Q. And do they have written protocols  
2 there regarding obstetrics care on a caesarean  
3 section published?

4 A. I don't know specifically --

5 Q. Have you ever seen one?

6 A. No, there may be some in existence.  
7 I know there are many nursing policies that are in  
8 a manual, but I don't know specifically what it  
9 says about caesarean section deliveries.

10 Q. The only thing you're familiar with  
11 is a nursing manual?

12 A. Yes.

13 Q. And that has to do with the duties of  
14 a nurse, right?

15 A. Yes.

16 Q. That does not differentiate between  
17 the various members of the medical profession?

18 A. No.

19 Q. You're not familiar with any written  
20 protocols for the hospital you practice at?

21 A. Not in respect to the physician,

22 Q. Physicians and anesthesiologists and  
23 so forth?

24 MR. STEGE: Wait a second, note an

1 objection to the "and so forth." What are you  
2 asking?

3 MR. RHOA: Well, I don't know. He  
4 says he hasn't seen any apparently.

5 BY MR. RHOA:

6 Q. Is that correct?

7 A. I'm not aware of a policy, a manual  
8 of policies, regarding specific obstetrics  
9 management of patients in our hospital.

10 Q. All right. So, there's no manual  
11 setting forth the duties of the obstetrician, the  
12 anesthesiologist, the circulating nurse and the  
13 pediatrician under those circumstances; is that  
14 what you're saying?

15 A. Not that I am aware.

16 Q. And you've never seen one?

17 A. Not that I recall.

18 Q. Did you say you saw one from Euclid  
19 General?

20 A. Yes.

21 Q. Was that a nursing manual or  
22 something else?

23 A. I don't know how to characterize it.  
24 It simply was about an inch thick compilation of

1 various policies.

2 Q. Could that have been from a nursing  
3 manual?

4 A. It might have. I don't know.

5 Q. Had nothing to do with the doctors,  
6 themselves, then?

7 A. Well, I do recall that there were at  
8 least two entries that related to anesthesia care.  
9 One had something to do with the anesthesiologist  
10 was responsible for the suction equipment in the  
11 delivery --

12 Q. Wasn't there anything about the nurse  
13 being responsible?

14 A. Not that I recall.

15 Q. And this was from the nursing manual?

16 A. I don't know what the -- as I said, I  
17 don't know what type of manual it was that was sent  
18 to me.

19 Q. Well, in your experience, have you  
20 ever seen anything like this other than in a  
21 nursing manual where you practice?

22 MR. STEGE: Objection.

23 Q. I assume you haven't seen anything  
24 other than a nursing manual where you're practicing

1 now; is that correct?

2 A. I'm not aware of a manual directed to  
3 physician management of patients, at least in our  
4 hospital.

5 Q. Okay. Are you aware of it in any  
6 hospital?

7 A. Well, there certainly are situations  
8 where medical policies are published in hospitals  
9 that I have seen. I just don't believe we have  
10 one.

11 Q. None has ever been published in your  
12 hospital to your knowledge?

13 A. No, we have certain memoranda that  
14 are circulated among the physicians regarding  
15 specific management principles, but I'm not aware  
16 of it being formally put together as a manual.

17 Q. Okay.

18 MR. RHOA: Okay. That's all I have  
19 at this time.

20 MS. GARDNER: I have a few questions,  
21 Doctor.

22 CROSS EXAMINATION

23 BY MS. GARDNER:

24 Q. When is it you can assert the baby

1 was first suctioned?

2 A. In the mother's chart, there's an  
3 entry that the baby was suctioned and resuscitated,  
4 as I recall. But it's not timed. So I don't know  
5 what it has reference to.

6 Q. That was in the mother's chart?

7 A. Yes.

8 Q. And do you know who did that?

9 A. No, I think Nurse Melson testified  
10 that the entries were hers as the circulating  
11 nurse.

12 Q. So you don't know if that was done in  
13 the Operating Room or elsewhere?

14 A. This was in the obstetrics maternal  
15 record, so --

16 Q. It would be in the Operating Room?

17 A. It would be in the Operating Room.

18 Q. And again, there's no time on that?

19 A. NO.

20 Q. Did you read your prior deposition  
21 before today, Doctor?

22 A: Yes.

23 Q. Mark Groedel, who represents Dr.  
24 Barrett along with me, asked you a pointed question



1 as to whether or not you had voiced all of your  
2 criticisms of Dr. Barrett, and I believe you had at  
3 that point. And I'm concerned because you raised  
4 an additional one today, that being failure of Dr.  
5 Barrett to suction the baby with the bulb syringe.  
6 I believe --

7 A. Perhaps that wasn't described in my  
8 first list. I don't know if he did or not. It's  
9 just simply not mentioned in the dictated operative  
10 note. And as I testified, it is routine for the  
11 obstetrician at birth of the baby to initially  
12 suction the baby's mouth and nose. And I'll assume  
13 that he did. But it's not recorded.

14 Q. Okay. Maybe I misunderstood your  
15 earlier testimony. I had taken your testimony to  
16 be that he did not do it?

17 A. No, I don't know.

18 Q. You don't know. Would the failure to  
19 do that be a deviation from the standards of care  
20 in 1975?

21 A. Yes.

22 Q. Okay. And what's your authority for  
23 that?

24 A. Particularly in a situation where

1 there is meconium, thick meconium, **present**, I think  
2 it was well established in **1975** that one needs to  
3 establish a clear airway so the baby would not  
4 aspirate the meconium into its lung as it  
5 established breathing and crying, so that the  
6 obstetrician had an obligation in **1975**, as he does  
7 now, to make an effort to clear the airway before  
8 the baby is passed off the operating table to the  
9 person that will provide further resuscitation.

10 Q. You have commented on the standards  
11 of care basically of an anesthesiologist in these  
12 circumstances as to the resuscitation of this baby,  
13 correct?

14 A. Yes, I think that the  
15 anesthesiologist --

16 MR. RHOA: Well, I'm going to object  
17 to this.

18 MR. STEGE: I think she's only  
19 asking you, not to recount your --

20 MS. GARDNER: No, I understand your  
21 criticism.

22 BY MS. CARDNER:

23 A. Yes, I have.

24 Q. In view of the fact that a

1     pediatrician was not available, and he would have  
2     been the best qualified in the room to have  
3     resuscitated the baby?

4                     MR. RHOA:   Objection to that.

5             A.     The pediatrician?

6             Q.     The anesthesiologist.

7             A.     Yes.

8             a.     In your opinion, Doctor, does that  
9     responsibility only fall upon him when asked to do  
10    so by someone else in the room?

11                    MR. RHOA:   I'm going to object to  
12    that.   He's not qualified to give an opinion on  
13    that.   Now, you're either coming in as an  
14    obstetrician, or you're coming in as an  
15    anesthesiologist, or you're coming in as both.   I  
16    assume you told me you were not coming in as  
17    anything but an obstetrician, and she's asking you  
18    for some standards, which I'm objecting to.

19                    MS, GARDNER:   He's already voiced  
20    opinions on it, Al.

21                    MR. RHOA:   That may well be.

22                    MR. STEGE:   His objection is for the  
23    record.   Do you have the question fresh in your  
24    mind?

1                   **THE WITNESS:** I need the question  
2 again, please.

3 (The record was read back by the court reporter.)

4                   **MR. RHOA:** Same objection.

5 **BY MS. GARDNER:**

6                   **A.** No, I think he has an independent  
7 obligation to become involved.

8                   **Q.** Doctor, in your opinion, should this  
9 baby have been intubated in the Operating Room?

10                  **A.** Yes.

11                  **Q.** I understand the plaintiff is not  
12 offering you on issues of proximate cause; is that  
13 your understanding?

14                  **A.** Yes.

15                  **Q.** So you're not able to say today how  
16 her condition would have been any different had she  
17 be intubated immediately?

18                  **A.** No, except to say better.

19                  **Q.** Now, you've talked to Mr. Stege, I  
20 think, two or three times since your last  
21 deposition; you met with him yesterday; you met  
22 with him just prior to today?

23                  **A.** I believe two or three telephone  
24 conversations.

1           Q.    Are there any additional criticisms  
2 that you have of Dr. Barrett in this case?

3           A.    No.

4           Q.    Mrs. Alikhan's condition on July 17th  
5 or July 18, you referred to earlier, I believe your  
6 opinion is she should have been induced by the  
7 latest either the 17th or the 18th?

8           A.    Yes, I think, given the  
9 circumstances, induction of labor due to post-term  
10 gestation was indicated at that time.

11          Q.    And I think your earlier testimony  
12 today was that a pediatrician should have been  
13 notified on that day to be available for the  
14 delivery; is that correct?

15          A.    Yes, the way the question was -- led  
16 my thought process was -- if she had come in at 42  
17 weeks and been induced, it would have been prudent  
18 to involve a pediatrician in her care because, even  
19 then, there was high risk involved.

20               MS. GARDNER: That's all I have,  
21 Doctor. Doctor, thank you.

22               MR. STEGE: Margaret, just so it's  
23 clear, I don't know -- I just want to make sure you  
24 have a chance to inquire on a subject which I think

1 is implicit in his testimony. And that is that, if  
2 Depp had an independent obligation to --

3 MR. RHOA: I'm objecting to this.  
4 She's asking her own questions, and you have no  
5 responsibility for any questions at this  
6 deposition.

7 MR. STEGE: I don't want to be  
8 accused of not giving people a chance to take shots  
9 at the witness later on.

10 MR. RHQA: People are taking all the  
11 shots they want. You do not have to suggest any.

12 MR. STEGE: I don't want you all to  
13 object --

14 MR. RHOA: I wasn't objecting.

15 MR. STEGE: Implicit in his  
16 criticism of Dr. Depp for not being independently  
17 involved is that Barrett should have said, "Depp,  
18 get involved with this baby," right after  
19 delivery. And I suspect he has an opinion on that,  
20 and I don't know that.

21 MS. GARDNER: Oh, I don't know it  
22 was that implicit.

23 MR. STEGE: All right. Well, I want  
24 to give **you** chance.

1 MR. RHOA: Do you want to ask the  
2 questions for her, or what?

3 MS. GARDNER: I appreciate, I think,  
4 what you're saying.

5 BY MS. GARDNER:

6 *a.* Maybe I didn't touch on it precisely  
7 enough. But do you have an additional criticism of  
8 my client, Dr. Barrett, for not eliciting the  
9 assistance of Dr. Depp?

10 A. Yes.

11 Q. Okay. Why is it you feel it was his  
12 obligation to do so?

13 A. The obstetrician has a responsibility  
14 to make as certain as possible that **there's**  
15 adequate resuscitation of the newborn. The first  
16 step is to make an effort to have a pediatrician  
17 present under these circumstances of caesarean  
18 delivery. And if that's not possible, then it  
19 certainly would be appropriate for Dr. Barrett to  
20 ask Dr. Degp to become involved because he's  
21 literally a few inches away, and the **baby's**  
22 condition should have been apparent to Dr. Barrett  
23 as well as it was to Dr. Depp.

24 I know Dr. Barrett was quite busy

1 with the repair of the uterus at that point in  
2 time; therefore, there's no reasonable expectation  
3 of him to provide the resuscitation of this baby,  
4 or for his assistant to provide resuscitation. And  
5 because, as far as I know, nurses are not capable  
6 of, or trained to provide resuscitation that  
7 involves intubation, the only person left was Dr.  
8 Depp.

9 Q. Okay. Are you assuming that  
10 criticism; that Dr. Barrett did not ask for his  
11 assistance?

12 A. I don't know.

13 Q. You don't know?

14 A. And it appears from the deposition  
15 testimony -- Did any of the people that were  
16 present remember? It isn't in the record, and the  
17 recall of --

18 Q. So is that something that would be in  
19 the record, Doctor; would you expect that to be in  
20 the record?

21 A. No, that's not the sort of comment  
22 that you would record or expect to be recorded,  
23 that Doctor A asked Doctor B.

24 Q. Your earlier testimony was that the



1 'policy manual at Euclid General Hospital required  
2 the anesthesiologist to do the Apgar assessment?

3 A. Yes.

4 MR. RHOA: I'm going to object to  
5 that. I don't know what --

6 MS. GARDNER: I'm getting there, Al.

7 MR. RHOA: Your criteria is, and I  
8 suspect that he's quoting from a nurses manual,  
9 which is why you're going to forward me whatever it  
10 is.

11 MR. STEGE: You've got it already.

12 MR. RHOA: It's a nursing manual.  
13 Then go ahead. I just don't want anybody confused  
14 any more than you do.

15 MR. STEGE: I think it's a policy  
16 that appears in the nursing protocols.

17 MR. RHOA: Wait a minute. What you  
18 think and what I think are something we're not here  
19 about at this point. We'll argue your case when  
20 the time comes. We're here to question the  
21 doctor.

22 BY MS. GARDNER:

23 Q. I want to you assume Dr. Barrett did  
24 not ask for assistant from Dr. **Depp**. Is it still

1 your opinion that Dr. Depp had an independent  
2 obligation to attend to this baby?

3 MR. RHOA: Objection.

4 A. Yes.

5 MS. GARDNER: That's all I have.

6 CROSS-EXAMINATION

7 BY MR. WALTERS:

8 Q. Doctor, I represent the hospital. We  
9 met before. I want to carry this a little bit  
10 further, this conversation we just had. And I'm  
11 assuming you're indicating the need for intubation  
12 based on the baby's appearance; is that correct; in  
13 other words, the baby was meconium stained,  
14 post-mature and appeared to have gone through an  
15 asphyxic event; is that correct?

16 A. Yes.

17 Q. And you're then saying that, based on  
18 that, a trained professional should have taken  
19 notice of the baby's condition, determined the need  
20 for intubation and done the intubation if  
21 qualified, correct?

22 MR. RHOA: Objection.

23 A. Yes.

24 Q. Consequently, when the baby was off

1 to the Nursery, would you also agree that a trained  
2 professional who was qualified to do intubation, if  
3 faced with that same baby, should have done the  
4 intubation?

5 A. Not necessarily.

6 Q. And why is that?

7 A. Because at one minute, the baby's  
8 Apgar was recorded at three. As admittedly I don't  
9 know what constituted three points because it's not  
10 recorded, but the general approach to babies with  
11 Apgars of three or less in 1975 was to proceed to  
12 establish the airway by intubation before  
13 proceeding with the rest of the resuscitation.

14 And therefore, it **was** indicated for  
15 that reason, number one; number two, it **was**  
16 indicated because the baby had thick meconium  
17 around it, and we can all presume in its mouth.  
18 And it was established in 1975 that the baby,  
19 therefore, was at risk of meconium aspiration.

20 So the second reason to do the  
21 intubation was to get as much of the stuff out of  
22 the baby's pharynx, and if it was below the vocal  
23 cords, the larynx, as possible before respirations  
24 were established.

1                   Now, the condition was different when  
2 the baby arrived in the Nursery twelve ninutes  
3 later in that the baby probably was breathing and  
4 had cried and whatever, but I don't know the  
5 details of the condition at that point.

6                   Q.   Fair enough. Let me follow up on  
7 what you just said. The need to intubate on a baby  
8 who has a severe meconium or heavy meconium  
9 staining is the need to remove and clear the airway  
10 of the meconium deep down into the airway; is that  
11 correct?

12                  A.   Yes.

13                  Q.   Assuming that intubation is not done,  
14 can we say with any certainty whether the meconium  
15 is deep out of the airway; in other words, even if  
16 the baby is breathing, can the meconium still be  
17 deep in the airway if the intubation is not done?

18                  A.   I'm not sure I understand what you  
19 mean, deep in the airway. Once the baby begins to  
20 breathe and to cry, there can still be some  
21 meconium in the pharynx and larynx that can be  
22 sucked out. But with the establishment of  
23 breathing, the baby may have sucked some of the  
24 meconium into the more peripheral lung, which is

1 beyond reach.

2 Q. Okay.

3 A. So some of the damage is done.

4 Q. And may there still also be meconium  
5 in the airway?

6 A. Yes, therefore, it was reason to give  
7 the baby -- if the baby hasn't been intubated or at  
8 least the cords visualized by laryngoscopy on  
9 arrival in the Nursery, that would have been a  
10 reasonable thing to do. But I've already testified  
11 today I don't want to be an expert in testimony  
12 against the pediatric care of the baby.

13 Q. Doctor, and I just want to follow up  
14 on what you have earlier said, and **you've** testified  
15 as to what you would do in the Delivery Room, and  
16 that would be to intubate the child?

17 A. Yes.

18 Q. My question is very simple: A  
19 professional who could intubate the child, faced  
20 with the same circumstances, however twelve minutes  
21 later, do you have an opinion within a reasonable  
22 degree of medical probability whether this baby  
23 should have been intubated?

24 A. No, at that point I don't know the

1 condition of the baby, so I'd rather not comment.

2 Q. Fair enough. Assuming -- let me ask  
3 you, take it one more step -- **A**ssuming the  
4 condition is similar, do you think the baby should  
5 have been intubated?

6 MR. STEGE: Similar again to that  
7 the three at one-minute?

8 Q. (Mr. Walters nodding head.)

9 A. Yes, at the time the baby arrived in  
10 the Nursery, and the Apgar score was still three,  
11 it would be definitely indicated that the baby  
12 should be intubated, the airway established and  
13 meconium aspirated.

14 Q. Was this baby ultimately intubated,  
15 do you know?

16 A. I **don't** recall,

17 Q. Doctor, did you review the prenatal  
18 care --

19 A. Yes.

20 Q. -- for the mother?

21 A. Yes.

22 Q. Was there an indication of spotting  
23 on the five months?

24 A. I don't recall.

1 Q. Did the mother have hypertension?

2 A. I was not impressed in the prenatal  
3 record that there was any indication of elevated  
4 blood pressures.

5 Q. Okay. Would you agree with this  
6 general statement: That hypertension is the most  
7 frequently identified maternal problem associated  
8 with intrauterine growth retardation? That's a  
9 general statement.

10 MR. STEGE: Note an objection. But  
11 go ahead.

12 A. Well, that's --

13 Q. I tie it, just so you're clear, I tie  
14 it right out of -- I just wrote it down while we  
15 were sitting here right out of public health --  
16 National Institutes of Health study.

17 MR. STEGE: Just so *it's* clear, I  
18 don't think there's any indication that this baby  
19 had either intrauterine growth retardation or  
20 hypertension

21 BY MR. WALTERS:

22 Q. I'm not asking if the baby had  
23 hypertension.

24 A. The reason that's a tough question is

1 that there are different types of intrauterine  
2 growth retardation. There's what's so-called  
3 symmetric **IUGR**, which often occurs from an insult  
4 early in pregnancy, and the baby just grows at a  
5 slower rate than normal. And that's not due to  
6 hypertension generally, but rather to some type of  
7 metabolic, or infection, or even chromosomal  
8 defect.

9 And then there's asymmetric **IUGR**,  
10 which in fact often is the result of pregnancy  
11 induced hypertension. But it can also result from  
12 any number of other things; poor nutrition,  
13 excessive smoking, drugs of one kind or another.  
14 So there are other etiologies.

15 Q. Just so I'm clear, you're not aware,  
16 based on the prenatal record, that the mother had  
17 hypertension; is that correct?

18 A. That's correct.

19 Q. And do you remember in the prenatal  
20 record any reference to spotting for the mother?

21 A. No, as I said, I don't recall that  
22 offhand,

23 Q. Is there any, based on your opinion,  
24 any -- What does spotting indicate, generally?



1           A.     At what gestation?

2           Q.     At approximately 18 weeks.

3           A.     Most likely due to a local cause,  
4 such as cervical erosion. It could be due to some  
5 partial separation of the placenta.

6           Q.     Does it hav any adverse signs to it,  
7 or something that the obstetrician should attend to  
8 when that is noticed?

9           A.     Yes, if the patient complains of  
10 bleeding at any time during pregnancy, it's the  
11 obligation of the obstetrician to try to discover  
12 what caused it.

13          Q.     And you don't recall that in this  
14 record?

15          A.     No.

16                 MR. STEGE: Let the record of this  
17 deposition show that he doesn't have the record of  
18 her prenatal care in front of him.

19                 MR. RHOA: Do you want to give it to  
20 him?

21                 MR. STEGE: No, not unless Steve  
22 does.

23                 MR. WALTERS: I don't have the  
24 record either. I just have it in my notes.

1 BY MR. WALTERS:

2 Q. Doctor, if she did have spotting,  
3 would that be something that you would note in  
4 something of this nature?

5 A. I obviously wasn't impressed by it,  
6 or I would have commented on it in my report, and I  
7 would have remembered it more specifically.

8 Q. Now, you've expressed some opinions  
9 about having a pediatrician available at a  
10 caesarean section, and I'm not sure as to what your  
11 opinion is in 1975. What is the obstetrician's  
12 duty as it relates to having a pediatrician  
13 available at a caesarean section delivery in 1975?

14 MS. GARDNER: Objection.

15 A. To make an effort wherever possible  
16 to have a pediatrician present, but in this case, I  
17 certainly acknowledge that Dr. Barrett thought this  
18 was important to quickly deliver this baby because  
19 of the diagnosis of fetal distress that he  
20 diagnosed from the thick meconium being present.  
21 And so I have previously testified that I'm not  
22 critical of him for proceeding to do the delivery  
23 in the time frame that it occurred,

24 Q. What are his obligations in follow-up

1 in that regard?

2 A. In follow-up of getting the  
3 pediatrician there?

4 Q. Yes.

5 A. He should make an honest effort to  
6 get a pediatrician there.

7 Q. Even after delivery?

8 A. Yes.

9 Q. Doctor, I'm going to ask you a  
10 question, a question and answer that were put to  
11 you at your previous deposition, because I'm not  
12 clear, and at the time that we were doing it, I  
13 didn't have the printed record, and that's the  
14 value of coming back a second time.

15 MR. WALTERS: It's an open-ended  
16 answer.

17 MR. RHOA: Ask the question, and  
18 let's --

19 MR. STEGE: Steve, just, I'm not  
20 going to -- I'm not going to block this one, but as  
21 I understand the ground rules of this deposition,  
22 it's not open season on the doctor again for  
23 everything that was discussed during the first  
24 deposition; it was open season for all -- he's

1 ,raised things. It seems to me that all three of  
2 you are entitled to follow up on these things. But  
3 if **it** is a follow-up to something that Al raised or  
4 Margaret raised, fine, but if you're taking another  
5 crack at him --

6 MR. WALTERS: It is because it's  
7 follow-up by the obstetrician to have a  
8 pediatrician attendant to the baby. That's what it  
9 is about.

10 MR. STEGE: Right.

11 MR. WALTERS: And that's why I want  
12 to ask him a question.

13 MR. STEGE: Go ahead.

14 BY MR. WALTERS:

15 Q. And I asked **you** that same question  
16 earlier, Doctor, and **you** talked about Dr. Fanney  
17 and **you** said "whether the hospital contracted for  
18 that care," and you're talking about pediatric  
19 care, "or whether it was private practitioners were  
20 on the staff of the hospital or whatever, I don't  
21 look into that, nor I do read anything that  
22 clarified that for me." If you want to read the  
23 whole --

24 A. No, I don't have to.

1 Q. Do you recall that?

2 A. Yes. What's your question?

3 Q. What is the delineation that you're  
4 making there?

5 MR. STEGE: Just note an objection  
6 because I don't see how that relates -- I don't see  
7 anything about Dr. Fanney in there.

8 MR. WALTERS: Well, excuse me --

9 MR. RHOA: It goes to Dr. Fanney,  
10 who was on the periphery.

11 MR. WALTERS: It goes to a  
12 pediatrician.

13 BY MR. WALTERS:

14 A. I believe that I was simply  
15 explaining that I didn't know if the pediatricians  
16 were hospital employees, or whether they were in  
17 any way on a schedule that would provide one of  
18 them on call for emergency obstetrics situations or  
19 any of the other details regarding how they  
20 normally covered such a situation.

21 Q. Assuming they're not employees of the  
22 hospital, and they're staff physicians with  
23 privileges at the hospital who have patients in the  
24 hospital, what are their obligations?

1           A.     Their obligations regarding what?

2           Q.     Regarding being attendant at a  
3 delivery.

4           A.     They, when requested by either the  
5 obstetrician or by a nurse on behalf of the  
6 obstetrician to attend a delivery, they should make  
7 an effort to be there.

8           a.     And assuming it's a caesarean section  
9 of a post-mature infant, what is the time frame you  
10 would expect them to be there?

11          A.     I think, in another part of the  
12 deposition, I indicated I didn't know how far the  
13 pediatricians were --

14          Q.     Assuming these were --

15          A.     -- were from the Delivery Room;  
16 whether at **8:30** in the morning they would tend to  
17 be already present in the Nursery. I don't know  
18 the distance from the Nursery to the Delivery Room  
19 and many other details that certainly could  
20 influence why a pediatrician wasn't in the Delivery  
21 Room.

22          Q.     Would you agree that the pediatrician  
23 should get there as quickly as possible?

24          A.     Yes.

1           Q.     And Doctor, I have one more follow-up  
2 in that regard. In the earlier deposition, it was  
3 asked of you that Dr. Fanney, you're aware that Dr.  
4 Fanney was available in th'e Nursery after the baby  
5 was born, correct?

6           A.     Yes.

7           Q.     And you answered in response to a  
8 question like that earlier, and this is what I need  
9 some clarification on, and I'll read your entire  
10 answer to this question. Well, my understanding is  
11 that at 8:45 he was there and suctioned or  
12 aspirated the baby and says that the baby  
13 thereafter had established respirations. And the  
14 same note in the nursing notes goes on to describe  
15 the Dextrostix of zero. So it was apparently done  
16 about the time he was there, and why that wasn't  
17 transmitted to him, and he didn't stay engaged in  
18 the care of this baby, I don't know. The point he  
19 did is a mystery to me.

20                   Are you critical in that, or are you  
21 critical of Dr. Fanney for not staying with this  
22 baby longer?

23                   MR. STEGE: Note an objection  
24 because I think this is way outside the scope of

1 this deposition. But I'm not going to prevent the  
2 witness from answering.

3 A. I remember that answer, and somehow  
4 in reading the additional material that I have read  
5 or in conversations with Ms. Stege --

6 Q. I want to you disregard those  
7 conversations, and I want to you to concentrate on  
8 what the record or material is. Mr. Stege's  
9 opinions are irrelevant?

10 A. But I can't disconnect them because I  
11 don't recall the source. It's my understanding  
12 that Dr. Fanney had left the care of the baby by  
13 the time the Dextrostix of zero was obtained. And  
14 I don't remember the way that this came to my  
15 attention.

16 Q. You're not aware of any record that  
17 indicates that?

18 A. No.

19 Q. Doctor, are you familiar with the  
20 condition microcephaly?

21 A. I know what the term means generally,  
22 but I wouldn't be able to define it specifically.

23 Q. And you don't do actual measurements  
24 on babies as it relates to that condition; is that



1 correct?

2           A.    Not of numbers. We certainly many  
3 time a day basis are looking at heads of fetuses by  
4 ultrasound now.

5           Q.    I think you've indicated your  
6 criticisms of the hospital to be, and let me go  
7 back over this. This is both including your first  
8 deposition and today. And that's the failure of  
9 the nurses at 6:30 to notify a physician of the  
10 greenish brown show, and secondly the failure of  
11 the nurses to notify the physician of the  
12 deceleration at 80 at 6:50 a.m.; is that correct?

13           A.    Yes.

14           Q.    Do you have any other criticisms of  
15 the hospital personnel, Doctor?

16           A.    No.

17           Q.    As I understand it, it's your  
18 testimony that the general responsibility for the  
19 baby in the Delivery Room goes to both Dr. Barrett  
20 and Depp and the nurse; is that correct?

21                   MR. RHOA: I'll object to the form  
22 of that. Go ahead.

23                   MR. STEGE: Are you talking about  
24 the circulating nurse?

1 Q. Yes.

2 A. For care, immediate care of the  
3 newborn?

4 Q. Uh-huh.

5 A. Yes.

6 Q. And all three of those -- you would  
7 expect all three of those to provide what they  
8 could provide in that situation; is that: correct?

9 MR. RHOA: Object.

10 A. Yes.

11 Q. And in the Nursery, you don't have  
12 any opinions as to who's responsible for the care  
13 of the baby; is that correct?

14 A. That's correct,

15 MR. WALTERS: I don't have anything  
16 further. Thanks.

17 MR. VAN WAGNER: Doctor, I just have  
18 a couple questions for you

19 CROSS-EXAMINATION

20 BY MR. VAN WAGNER:

21 Q. You indicated this was a high risk  
22 delivery for a variety of reasons, but one of these  
23 reasons was it was a post-term situation?

24 A. Yes.

1           Q.    What was Mrs. Alikhan's estimated  
2   date of confinement?

3           A.    It was July 3, 1975.

4           Q.    Do you know how that date was  
5   derived?

6           A.    Yes, I think it was -- I believe it  
7   was from the first day of her last menstrual  
8   period.

9           a.    What is the calculation from the  
10   first day of the last menstrual period to the date  
11   of confinement?

12          A.    You add 280 days, 279 days, or you  
13   can do it various other ways.

14          Q.    What are some of the other ways?

15          A.    Add seven days and subtract three  
16   months, or you can use a gestational wheel which  
17   accomplished the same thing.

18          Q.    Do you recall offhand when the date  
19   of her last menstrual period was?

20          A.    Not without referring to the chart.

21                MR. VAN WAGNER: I don't have any  
22   other questions for you.

23                MR. RHOA: I have one question,  
24   doctor.

1 CROSS-EXAMINATION (CONTINUED)

2 BY MR. RHOA:

3 Q. Do you recall if the baby was ever  
4 intubated?

5 A. No.

6 Q. What does that mean; you don't  
7 recall, or she never was?

8 A. That I don't recall.

9 MR. RHOA: Okay. That's a safe  
10 answer.

11 MR. STEGE: That's it.

12

13 (SIGNATURE WAIVED.)

14

15 TOM P. BRADEN, M.D.

16 - - -

17 DEPOSITION CONCLUDED AT 5:45 P.M.

18 - - -

19

20

21

22

23

24

## 1 C E R T I F I C A T E

2 STATE OF OHIO :

3 : SS

4 COUNTY OF HAMILTON :

5 I, KATHERINE L. WARREN, the undersigned, a duly  
6 qualified and commissioned notary public within and  
7 for the State of Ohio, do hereby certify that  
8 before the giving of his aforesaid deposition, the  
9 said TOM P. BARDEN, M.D., was by me first duly  
10 sworn to depose the truth, the whole truth and  
11 nothing but the truth; that the foregoing is the  
12 deposition given at said time and place by the said  
13 TOM P. BARDEN, M.D.; that said deposition was taken  
14 in all respects pursuant to agreement to take  
15 deposition; that said deposition was taken by me in  
16 stenotypy and transcribed by computer-aided  
17 transcription under my supervision; that the  
18 submission of the transcribed deposition to the  
19 witness for his examination and signature was  
20 expressly waived; that I am neither a relative or  
21 nor attorney for any of the parties to this cause,  
22 nor relative of nor employee for any of their  
23 counsel, and have no interest whatever in the  
24 result of the action.

IN WITNESS WHEREOF, I hereunto set my hand  
and official seal of office at Cincinnati, Ohio,  
this

**day of** , 1991.

MY COMMISSION EXPIRES: KATHERINE L. WARREN

AUGUST 28, 1991.                      NOTARY PUBLIC-STATE OF OHIO