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State of Ohio,)	
County of Cuyahoga.)	
IN THE COURT OF COMMO	ON PLEAS
Thomas J. Ortman, et al.,)
Plaintiffs,)
vs.)) Case No. 317279
Robert Alberhasky, M.D., et al.,) Judge Christopher) Boyko)
Defendants.)

DEPOSITION OF ROBERT ALBERHASKY, M.D.

Deposition of ROBERT ALBERHASKY, M.D., called by the Plaintiffs for examination pursuant to the Ohio Rules of Civil Procedure, taken before Phyllis L. Englehart, RMR and Notary Public in and for the State of Ohio, at Meridia Huron Hospital, 13951 Terrace Rd., Cleveland, Ohio, on Tuesday, May 20, 1997 commencing at 1:55 p.m.

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2	Witness	-	<u>Cross</u>
3	Robert Alberhasky, 1	M.D.	4
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9		EXHIBITS	
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11	<u>Plaintiffs'</u>		<u>Marked</u>
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1	P.	PEARANCES :	
2	'n	Behalf of the Plaintiffs:	
3		Jack Landskroner Landskroner & Phillips	
4		55 Public Square, Suite 1040 Cleveland, Ohio 44113	
5	1		
6	'11	Behalf of Defendants Dr. Alberhasky and Dr. Basa:	
7		Marilyn J. Miller Jacobson, Maynard, Tuschman & Kalur	
8		1001 Lakeside Avenue, Suite 1600 Cleveland, Ohio 44114	
9	۱n	Behalf of Defendants Dr. Laye and West Side Imaging	
10		and Oncology:	
11		John Polito Jacobson, Maynard, Tuschman & Kalur	
12		1001 Lakeside Avenue, Suite 1600 Cleveland, Ohio 44114	
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1		ROBERT ALBERHASKY, M.D.
2	lavir	ng been first duly sworn, as hereinafter certified,
3	vas e	examined and testified as follows:
4		CROSS-EXAMINATION
5	Зу Мі	. Landskroner:
6	5	Doctor, will you state your name \in or the record,
7		full name and address, please.
8	Ŧ	Robert Alberhasky, A-L-B-E-R-H-A-S-K-Y. My home
9		address is 10221 Lake Shore Boulevard, Bratenahl,
10		Ohio 44108.
11		(Plaintiffs`Exhibit 1 marked for
12		identification)
13	5	Doctor, I`m going to show you what's been marked
14		Plaintiff's Exhibit 1 and ask if you can identify
15		that for me, please.
16	Ŧ	Yes. This is my CV.
17	3	And it's up-to-date?
18	Ŧ	Yes, it appears to be.
19	3	Today I'm going to be asking you some questions in
20		the matter of Tom Ortman versus Dr. Basa, Dr. Laye,
21		Dr. Alberhasky. I'll ask you some questions about
22		your history, about your employment, about the
23		treatment and care of Mr. Tom Ortman.
24		If at any point in time I ask you a
25		question which you don't understand, please stop me,

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1		ask me to rephrase the question. I want to make
2		sure you only give answers to questions that you
3		understand. Please make your responses verbal so
4		the court reporter can take everything down.
5	£	Y e s.
6	2	Doctor, in looking at your CV, I'm just going to run
7		through this real quick with you so we won't take a
8		lot of time on it, but you did your medical school
9		at University of Louisville?
10	Ŧ	That's correct.
11	5	I noted in your answers to interrogatories that you
12		were licensed in Kentucky through, I believe, 1980;
13		is that correct?
14	¥	In Kentucky you have a current license as long as
15		you're practicing there and then
16		MS. MILLER: Doctor, yes or no, was
17		it 1980?
18	¥	1980, yes.
19	3	Do you maintain that license in Kentucky?
20	¥	N o .
2 1	2	Why is it you no longer maintain that license?
22	¥	It's currently inactive as accords Kentucky statute.
23	2	Presently you are licensed in the state of Ohio?
24	Ŧ	Y e s.
25	2	Are you licensed in any other states?

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	I have a pending application in Maine.
Q	When did you apply for that application?
	I believe it was in fall of last year.
Q	What's the purpose for applying for the Maine
	application?
	I'm considering relocating if another job
	opportunity presents itself.
Q	Have you ever been denied application for any state
	No.
Q	Do you maintain more than one version of your
	curriculum vitae?
	MS. MILLER: Does that include an
	older one or updated one?
Q	In terms of one for professional organizations, one
	for speaking engagements.
	No.
Q	I note that you have some publications on your CV.
	Do any of these publications deal with the issues
	that are presented in the Ortman case?
	No, not specifically.
Q	I note that many of the versions deal with carcinom
	but not specifically germ cell or seminoma?
	That's correct.
Q	Doctor, are you board certified?

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may join	It is a professional organization that pathologists	What is the College of American Pathology?	There is no oral	board?	Is there a written and an oral section to that	Yes-	try?	Did you ass your board examination on the first	and that's the procedure-	pathology residency you take a board examination,	foor-year program After soccessfully completing a	that I went through resid∈ncy∎ it was generally a	Theze's a course of residency training- At the time	board certizied in pathology?	Can you tell me what you mu≅t go thro∿gh to become	Yes.	That is the American Board of Pathology?	recertifie d.	I'm not required by my professional board to be	When are you required to be recertified?	No.	Have you been r∈certifie@?	Yes	Was that certification obtained in 1980?	

		8
1	Q	What is a laboratory inspector? I note that's your
2		designation for that college.
3	A	I'm involved occasionally in helping inspect other
4		laboratories and see that they are following the
5		recommendations and complying with the national
6		guidelines for laboratory services.
7	Q	What are the national guidelines for laboratory
8		services?
9	A	That can't be simply answered.
10	Ç	Is there a set, I guess grouping of books or
11		literature that set forth standards that have to be
12		adhered to?
13	A	There's hundreds of pages.
14	Ç	Is there a designation to what that's called, all
15		the pages?
16	Z	The joint commission has a set of standards and
17		regulations that apply to hosp tals. There are
18		particular things that apply to the laboratory and
19		quality control and things like that.
20	ç	Does that deal with the operation of the laboratory,
21		or does that carry over into the actual practice of
22		medicine?
23	2	It involves everything.
24	/	Doctor, have you ever testified as an expert in a
25		lawsuit, either for the plaintiff or the defendant?

		9
1		MS. MILLER: Objection.
2	Ŧ	In residency I guess the answer would be yes.
3	5	Can you tell me about where and when and how you did
4		that?
5	Ŧ	It was through the board of medical examiners in
6		Kentucky. I was involved with the medical examiners
7		department as part of my training and residency, and
8		on numerous occasions I was called into court to
9		testify as to results of autopsies and things like
10		this.
11	5	Have you ever testified in a medical-legal issue
12		related to a civil lawsuit?
13	7	No.
14	<u>)</u>	Have you ever been named as a defendant in a
15		lawsuit?
16		MS. MILLER: Objection.
17	٢	Yes.
18	;	Can you tell me when you were named as a defendant
19		in a lawsuit?
20		MS, MILLER: Rather than interrupt,
21		I'm just going to show a continuing objection to
22		this line of questions.
23		MR, LANDSKRONER: Noted.
24	L	I can't give you specific dates.
25	į	Can you tell me the names of any of the cases that

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1		you were involved in? .	
2	7	I'm not familiar with -the names.	
3	5	Can you tell me how many times that you've been	
4		named as a defendant?	
5	7	Three, to my knowledge.	
6	2	Can you tell me about the medical issues that were	
7		involved in those three cases?	
8	7	In one case I had seen a biopsy of a colon polyp.	
9		The patient died during surgery, and every	
10		physician's name on the chart was sued. Because I	
11		had issued a report that was on the chart, I was	
12		named in the suit. I was subsequently released from	
13		this.	
14	;	Let me interrupt while you're talking about that	
15		case. Do you know if there was a settlement	
16		involved in your release from that case?	
17		I have no idea.	
18	1	Okay. Go ahead, I'm sorry.	
19		The second case involved a woman who had fallen out	
20		of bed and broken her hip at Euclid Hospital. I had	ι
2 1		seen her uterus and determined that she had smooth	
22		muscle tumors of the uterus, and because my name was	;
23		on the chart, I was again named in the lawsuit. But	:
24		it was ascertained that I was not responsible for	
25		having anything to do with her supervision or care	
2 1 22 23 24		seen her uterus and determined that she had smooth muscle tumors of the uterus, and because my name wa on the chart, I was again named in the lawsuit. Bu it was ascertained that I was not responsible for	as ut

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1		while she was in the hospital beyond the
2		interpretation of the surgical specimen that was
3		removed from her, and so I was released from that
4		case.
5	Q	Do you know if you were released with any type of
6		settlement?
7		Oh, there was no I mean there was no settlement
8		on my part certainly.
9	Q	Were you deposed in that case?
10		N o .
11	Q	Were you deposed in the first case that you
12		mentioned?
13		N o .
14	Q	And the third incident?
15		The third incident involved a biopsy of the the
16		third incident involved a case of clear cell
17		carcinoma of the vagina that was initially
18		interpreted as an inflammatory reaction, and
19		subsequent history was obtained, and it was proven
20		to be a clear cell carcinoma. There was no
21		settlement in this case.
22	Q	Are any of these cases still ongoing?
23		N o .
24	Q	Was your deposition taken in that cas ?
25		I don't remember.
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1		Have you had your deposition taken before today?
2		Yes.
3	Q	Can you tell me the circumstances in which it was
4		taken?
5		In the context of surgical specimens which I had
6		reviewed and which were being examined in other
7		cases.
8	Q	I assume you were deposed as a treating physician in
9		the other cases, someone who had reviewed
10		I was being deposed as someone who had provided
11		information in another case. I have not been
12		deposed I don't remember whether I was deposed in
13		the case that involves clear cell carcinoma.
14		Other times I've been deposed it's been in
15		things that are related to surgical specimens that I
16		looked at at the hospital, and I don't really know
17		what the cases were about or who the physicians were
18		involved. I was just asked to render an opinion or
19		answer some questions involving a service that I had
20		provided.
2 1	Q	Does the case Karpi versus Euclid General Hospital
22		ring a bell?
23		Karpi is the case that I had described to you.
24	Q	Which one? That was the biopsy of the cervix?
25		This was the one where there was a biopsy.

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13 1 Actually, it was a biopsy of the vagina. 2 а Is that the case that-you were unaware of whether 3 there was any settlement involved? I'm not aware that there was a settlement involved 4 Α 5 in that case. I do not believe that there was. 6 5 Are you aware that Euclid General Hospital in that 7 case filed a claim against you as well? 8 MS. MILLER: Objection, 9 Α No, I was not aware of that. 10 2 So I'm clear, in none of the cases that you were 11 named as a defendant was there any settlement that 12 you're aware of with regard to your actions? 13 Ł That's correct. 14 2 And did you at any point in time testify in court 15 related to any of these cases? 16 2 No. 17 2 Doctor, according to your CV, you are presently 18 employed by Bayless-Pathmark, Inc., Smith-Kline 19 Beecham Laboratories, correct? 20 I am currently employed by Bayless-Pathmark. 4 No. Ι 21 am no longer involved with Smith-Kline. 22 Are those separate entities and you did work for 5 23 both at one point? 24 4 Bayless-Pathmark provides pathology services to a 25 number of different entities, and the individual

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1		pathologists are rotated between these entities at
2		various times. I had an assignment at Smith-Kline
3		Laboratory, and I'm currently assigned to hospitals
4		within the Meridia system.
5	3	In March of 1995 through December of 1995, you were
б		involved with Bayless, and were you assigned out to
7		Southwest General Hospital?
8	Ŧ	From when?
9	5	Looking at your CV, March 1995 to December 1995 it
10		was
11	Ŧ	I was at Southwest General, yes.
12	5	That's under the same circumstances, Bayless sent
13		you out to work at Southwest?
14	7	That's correct.
15	2	Can you tell me what your relationship at that time
16		was with The Surgery Center?
17	x	The physicians and the pathology group at Southwest
18		General also provided services to The Surgery Center
19		that was across the street from the hospital.
20	2	So that was part of your duties in working with
2 1		Southwest?
22	7	Part of my duties at Southwest was to rotate through
23		The Surgery Center.
24	5	You are an employee of Bayless-Pathmark, Inc.?
25	Ŧ	That's correct.

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1	Q	Do you have any financial ownership interest?
2		No.
3		MS. MILLER: Objection.
4	Q	From July of 1981 through February of 1995, you were
5		involved with Euclid General Hospital through
6		Pathology Associates, Inc.?
7		That's correct.
8	Q	Did Pathology Associates, Inc. become or get bought
9		out by Bayless-Pathmark?
10		Yes.
11	Q	At that time period, were you also working in any
12		capacity at Lakewood Hospital?
13		No.
14	Q	From your answers to interrogatories, you have
15		hospital privileges at Meridia Euclid, all the
16		Meridia hospitals?
17		Uh-huh.
18	Q	And also Southwest General; is that correct?
19		I no longer have current privileges at Southwest
20		General.
21	Q	Did those privileges lapse, or was there any reason
22		for
23		They lapsed.
24	Q	How about Firelands Community Hospital?
25		I was credentialed there, but I don't know the

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1		current status of it because I'm no longer assigned
2		to work there, and so I have no need to be on their
3		staff.
4	<u>)</u>	Have you, over the course of your years in
5		Cleveland, been licensed, or rather have privileges
6		at any other hospitals in the Cleveland community?
7	r	No, not outside the Meridia system.
8	!	Do you maintain any other business pursuits outside
9		of your practice of pathology through Bayless, Inc.?
10	Ł	Through Bayless?
11	!	Outside of Bayless.
12	Ł	Outside of Bayless?
13	!	Yes.
14	Ł	I own part of an apartment building.
15	!	Okay. Anything else besides that in the medical
16		realm?
17	r	No.
18	?	Doctor, in your practice have you previously dealt,
19		prior to dealing with Mr. Ortman's pathology, with
20		cases involving seminoma?
21	L	Yes.
22	!	Testicular seminoma?
23	L	Yes.
24	!	Have you previously deal, with cases that have
25		involved germ cell carcinoma?

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1	7	Seminoma is germ cell carcinoma.
2	5	I'm sorry. Embryonal carcinoma, sorry.
3	7	Yes.
4	5	And have you previously dealt with patients who have
5		had pathology of both, mixed cell carcinoma?
6	7	Yes.
7	5	Can you tell me in the Cleveland community who you
8		would consider to be an expert in the area of
9		pathology dealing with those types of tumors?
10		MS. MILLER: Objection.
11	x	Howard Levin.
12	2	He's a doctor who practices at the Cleveland Clinic?
13	L	Yes.
14	<u>}</u>	Prior to your deposition today, did you have a
15		chance to review any materials, aside from the
16		pathology slides which I provided?
17	L	I haven't looked at anything specifically.
18	<u>}</u>	Have you at any point gone back and looked through
19		the medical chart of Mr. Ortman since the filing of
20		this lawsuit?
2 1	L	I believe I saw some records when we had our initial
22		interview. I don't know specifically what they
23		were.
24	?	I assume you've had a chance to take a look at your
25		pathology findings from Mr. Ortman?

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1	7	Yes.
2	2	And also a consultation note as well?
3	Ŧ	Yes.
4	5	There anything else that you're aware of that you
5		helped to author as part of Mr. Ortman's chart?
6	Ŧ	As part of his chart?
7	5	Yes. Anything else that you were involved in
8		authoring that is part of his chart that you're
9		aware of?
10	Ŧ	That is part o his chart, no.
11	5	How about that's outside of his chart?
12	7	There is a quality control sheet that referred to
13		this case that was circulated amongst pathologists
14		at Southwest General. That's the only other
15		document, to my knowledge.
16		(Plaintiffs' Exhibit 2 marked for
17		identification)
18	<u>)</u>	I show you what's marked Plaintiffs' Exhibit 2. Can
19		you identify that for me?
20	Ł	Yes. This is the quality control document that I
2 1		referred to.
22	3	And how is it that this document was put together in
23		terms of were you requested to fill this out in
24		addition to your pathology finding?
25	7	I left this case for a second opinion for another

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1		pathologist.
2	2	Why did you do that? -
3	Ŧ	It's something we often do with cases that are
4		interesting or are challenging or where we wish to
5		have a second opinion.
6	3	In this case, why was it interesting or challenging?
7	7	I wanted to see if someone would confirm my
8		diagnosis of seminoma, and I wanted them to examine
9		an area on the tunica, which is the capsule of the
10		testicle, and I wanted to see if they agreed with me
11		that this was a pickup and not a focus of invasion.
12	5	Explain to me as layperson the difference between a
13		pickup and the focus of invasion.
14	7	When slides are cut and tissue is prepared,
15		fragments of tissue can become dislodged from where
16		they naturally are and then appear on the slide in a
17		slightly different area, and we determine this by
18		looking at the plane and focus and see if they're in
19		the same plane as the other tissue or if it's
20		something that just doesn't belong where it is.
2 1		Those kind of pieces of tissue are referred
22		to as pickups. Sometimes they come from the case
23		itself. Sometimes they come from the tissue process
24		or the water bath or from instruments that the
25		technicians use in preparing slides.

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1	!	Can those pickups affect the findings of the
2		pathology?
3	L	Yes, they can, if they're misinterpreted.
4	!	In this case, was there a pickup?
5	L	Yes, there appeared to be a pickup.
6	1	And what were the findings of the pickup?
7		It was thought to represent a fragment of tissue
8		dislodged from the tumor and not tumor that was on
9		the capsule of the specimen.
10	Q	In terms of making a diagnosis, did that affect the
11		diagnosis of this patient at all?
12		No.
13	Q	So I'm clear, this form is something that you
14		initiated to have a second opinion formed?
15		Yes.
16	I	I believe that KG is Dr. Karen Gerkin?
17		That's correct.
18	ł	Who is Dr. Karen Gerkin and what is her area of
19		specialty?
20		She is a pathologist at Southwest General.
2 1	!	Does she also do work at The Surgery Center?
22		Yes, she does.
23	1	Is she still, to your knowledge, at Southwest
24		General Hospital?
25		Yes.

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1	а	Does she have any position in the department at the
2		hospital?
3	A	I believe she's currently the chairman.
4	a	Did you consult with her in terms of this diagnosis
5		beyond just leaving it for review? Did you talk to
б		her about her findings?
7	A	No, I did not.
а	a	If you will walk me through the process, you fill
9		out this form and leave the form for the physician.
10		The physician will then issue a follow-up opinion?
11	Ŧ	She concurred with my diagnosis and released the
12		report.
13	a	At any point in time did you talk to her about her
14		findings?
15	7	Not that I'm aware of.
16		MS. MILLER: Do you mean prior to
17		the initiation of the lawsuit? Do you mean at the
18		time this report was
19	5	At any time after the report was rendered, have you
20		talked to her about her findings?
21	Ŧ	Yes, I have.
22	a	And tell me when you talked to her and what the
23		essence of the conversation was.
24		THE WITNESS: Do you remember when we
25		had our initial meeting? It was that day.

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1		MS. MILLER: In January.
2	A	It was in January. I -told her that there was a case
3		that I had been named in and that there was a
4		quality control document where she had concurred
5		with my diagnosis.
6	Q	Anything else? What was her response in terms of
7		that discussion?
8		MS. MILLER: Objection.
9	А	I don't specifically remember.
10	Q	Do you know if she went back and reviewed the
11		pathology?
12		MS. MILLER: Objection.
13	А	I do not know.
14	Q	Have you asked her to become involved in this case
15		in any way?
16		MS. MILLER: Objection.
17	A	No.
18	Q	Have you had any subsequent conversations with her?
19	А	No.
20	Q	How long did you work with this doctor?
2 1	А	From March till December.
22	Q	Had you ever worked with Dr. Gerkin before?
23	А	No.
24	Q	Do you have any social ties to Dr. Gerkin?
25	A	No.

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1	5	If you will, run through the language on the side
2		under "Diagnosis" on t-he document. It's your
3		handwriting. Is that your handwriting?
4	Ŧ	Yes.
5	5	Can you tell me what that says?
6	Ŧ	It says, "Seminoma tunica has pickup, not invasion."
7	2	Again, in terms of layman terms, what does that
8		mean?
9	Ŧ	Seminoma is malignant germ cell tumor, that was my
10		diagnosis, and I made a comment that there was a
11		piece of tumor on the capsule of the specimen that I
12		thought represented a pickup or an artifact, not
13		invasive tumor.
14	3	Do you know if Dr. Gerkin reviewed these findings in
15		terms of just evaluating whether or not this was a
16		pickup or whether she evaluated it in terms of
17		concurring with your findings of the pathology in
18		total?
19	Ŧ	Well, I would believe that she concurs with my
20		diagnosis, because that is what she has stated.
21	2	Doctor, have you discussed this case with any other
22		physicians?
23	7	No.
24	2	Do you know Dr. Basa?
25	L .	No.

		2 4
1	Q	Do you know Dr. Laye?
2	A	No.
3	Q	Do you know Dr. Tancinco?
4	A	Yes.
5	2	Who is Dr. Tancinco?
6	A	Dr. Tancinco is a pathologist who practices at
7		Southwest General.
8	2	Was he there at the same time that you were there?
9	J	Yes.
10	2	Do you know, is he still at Southwest General?
11	7	I believe so.
12	5	Are you familiar with Dr. Fadi Abdul-Karim, F-A-D-I,
13		A-B-D-U-L, K-A-R-I-M?
14	7	Yes.
15	5	Do you know him in a professional capacity?
16	Ŧ	Yes.
17	5	Dr. Karim is at University Hospital as a
18		pathologist?
19	7	That's correct.
20	5	Have you discussed with any of these physicians
2 1		their findings in this case?
22	7	No.
23	5	Doctor, can you define for me what is pathology?
24	7	It's the study of disease.
25	5	Is there a distinction between different types of

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1		pathology? I notice in your answers to
2		interrogatories you mention anatomic versus
3		clinical.
4	Ŧ	Specifically do you want to know the difference
5		between anatomical and clinical pathology?
6)	Yes.
7	Ŧ	Clinical pathology is the study of disease by
8		methods of laboratory examination. Anatomic
9		pathology is the study of disease by the examination
10		of tissues and cells that come from the body.
11	?	Is it correct to say you work in both areas?
12	٢	Yes.
13	<u>)</u>	Is there a distinction in board certification
14	L	Yes.
15)	as to the two areas? Are you board certified in
16		both areas?
17	L	Yes.
18	!	Just so I'm clear, you do not practice in areas of
19		oncology, surgery or urology?
20	L	That's correct.
2 1	!	Can you tell me how it is you came to become
22		involved with the care of Mr. Ortman?
23	L	His surgical specimen came through The Surgery
24		Center on the day when I was assigned there.
25	<u>}</u>	Were you asked to review that specimen by one of

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1		Mr. Ortman's physicians?
2	A	Not specifically, but-that is the general process of
3		events.
4	Q	If you can just sort of walk me through how it is
5		that you came to get that specimen.
6	A	I went to The Surgery Center, and there was a tray
7		of slides for me to examine. And I looked at the
8		slides and I looked at a gross description of the
9		specimen that had been prepared by another
10		physician, and I compared the slides and the gross
11		report and rendered a diagnosis.
12	Q	Your diagnosis was rendered in terms of a written
13		report; is that correct?
14	A	Yes.
15	Q	At any point in time did you talk to any of the
16		other care providers for Mr, Ortman about that
17		report?
18	А	No.
19	Q	Did you ever meet Tom Ortman?
20	A	No.
2 1	Q	Have you ever spoken to Mr. Ortman or anyone in his
22		family?
23	А	No.
24	Q	Are there any written guidelines or standards of
25		practice that are published by any pathological

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1		professional organization?
2		MS. MILLER: - Objection.
3	A	Yes.
4	Q	What are those?
5	L .	They are part of the same kind of general guidelines
б		I would imagine as pertain to law. They are not
7		specific. There's nothing that I could tell you
8		briefly. I mean, you know, there are volumes up
9		there.
10	Q	What do you rely on as an authoritative treatise or
11		publication in the area of pathology?
12		MS. MILLER: Objection.
13		Me personally?
14	Q	Sure.
15		MS. MILLER: Objection.
16		I have a series of journals that I look at. I have
17		dozens of books. I download information from the
18		Internet.
19	Q	Can you give me the names of the journals that you
20		receive or some of them?
21	А	I don't currently have subscriptions, but in my
22		own name, but our department subscribes to probably
23		a dozen journals that are available to us at the
24		hospital and through the medical library.
25	Q	Are there any that deal with specifically the issues

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1		that are presented in this case?
2		MS. MILLER: - Objection.
3	5	On any regular basis or even an occasional basis?
4	A	Many of them do.
5	5	If you can give me the names of a couple of the
6		publications that you review.
7		MS. MILLER: Objection. Jack, he's
8		told you there's numerous titles.
9		MR. LANDSKRONER: I'm just asking for a
10		couple of them.
11	Ŧ	Diagnostic Cytology, the American Journal of
12		Clinical Pathology, Cancer.
13	5	What about in terms of a text that you rely on in
14		your practice?
15		MS. MILLER: Objection. It's been
16		asked and answered.
17	7	Do you want me to sel ct one at random?
18	5	Yes, just a text that you would rely on in your
19		practice.
20		MS. MILLER: Jack, he said he relies
2 1		on a couple of textbooks.
22		MR. LANDSKRONER: I'm asking for one he
23		relies on.
24	f	Anderson's General Pathology.
25	Ĵ	If you can, Doctor, will you define for me seminoma.

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1		Seminoma is a germ cell tumor of the testicles.
2	Q	What's anaplastic?
3		Anaplastic? Anaplastic is a term that refers to the
4		appearance of cells, and it generally means that
5		they're bad looking.
6	Q	Nonseminomatous, is that a correct pronunciation?
7		Nonseminomatous.
8	Q	Nonseminomatous germ cell tumor, what is that?
9		It's a germ cell tumor that's not a seminoma.
10	Q	Does embryonal carcinoma fall into that category?
11		Yes.
12	Q	What is an embryonal carcinoma?
13		It is another malignant germ cell tumor.
14	Q	Can you tell me how it is different than a seminoma?
15		Okay. Seminomas are derived from germ cells, and
16		they are considered to be the most undifferentiated
17		form of a malignant germ cell tumor.
18		Tumors that are derived from germ cells
19		that show other features of differentiation than
20		seminoma may exhibit themselves as teratomas, as
2 1		entodermal Steiner's tumors, as choriocarcinomas.
22		You know, there's a variety of tumors that show
23		differentiation that causes them to be classified
24		differently.
25	Q	Can you tell me what specifically distinguishes a

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30 1 seminoma from an embryonal carcinoma? 2 There's thought to be -epithelial differentiation. 3 Can you take me a step further? What do you mean by Q 4 epithelial differentiation? 5 Well, germ cells are the cells which in the male become sperm and which in women become the ova. 6 7 Epithelium is the lining of the skin and the lining 8 of different glands in the bodies. When the germ 9 cell tumor -- since the germ cell tumor is part of the human reproductive process, these cells have the 10 11 genetic code within them for duplicating the whole 12 body, any part of the body. 13 When they show differentiation in terms of 14 like skin or a glandular component or a neural 15 component, that is in the form of epithelium, and so 16 when the germ cell tumor is showing differentiation 17 beyond that of seminoma, it may fall into one of 1% these other categories. 19 Q Is shape something that you would see in terms of 20 distinguishing a germ cell from an embryonal cell 21 carcinoma? 22 The shape of the cell? 23 Yes. Q The shape of the nucleus, the shape of the 24 25 nucleolus, I'm not sure what --

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1	5	Is there a distinction between any of them, between
2		one type of cancer versus another?
3	Ħ	There are many different subtleties and variations,
4		you know, in these. The tumors show varying degrees
5		of differentiation, and different characteristics
6		are evidenced in different tumors based upon their
7		degree of differentiation.
8	5	So in terms of looking at a specimen that's gross
9		pathology, could you distinguish between an
10		embryonal cell carcinoma and a germ cell carcinoma?
11	x	That's not possible.
12	2	So the defining characteristics would be perceived
13		under microscopic analysis; is that correct?
14	7	That's correct.
15	2	What about in terms of color, is that something
16		looking microscopically at an embryonal cell
17		versus
18	L	There are characteristic differences between
19		seminomas and embryonal carcinomas based on their
20		gross appearance. However, this line is blurred
2 1		when you're talking about tumors that are showing
22		expression of both tumors.
23		So while it's possible to say that a pure
24		example of one case would have a certain
25		characteristic appearance and a pure example of

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1		another case would have another appearance, the
2		distinction is not as clear-cut when there's more
3		than one element involved in the tumor.
4	j	What would you do to make a determination in viewing
5		a specimen microscopically to determine whether
6		there is embryonal cell carcinoma present as opposed
7		to seminoma?
8	٢	I would look for signs of epithelial
9		differentiation.
10	!	Anything else?
11	L	Well, that encompasses quite a number of different
12		things, but that would be what I would be doing.
13	I	Aside from what you told me earlier, is there any
14		more distinction you can break it down for me in
15		terms of what you would look for more specifically
16		under the epithelial differences?
17		You would look €or the presence of glandular
18		formations, Schiller-Duval bodies, you would look
19		for lipid you would look for the little red
20		droplets that contain alpha-fetoprotein. These are
2 1		all things that are found in embryonal carcinoma
22		that are not found in seminoma.
23	IQ	What about keratin?
24		Keratin is evidence of epithelial differentiation.
25	Q	What is keratin?

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	3 Keratin is a protein found in epithelial cells.
Ç	Is it the same as cytokeratin?
	It's found in the cytoplasm, yes.
Ç	Is embryonal carcinoma an aggressive cancer?
	MS. MILLER: Objection.
	If it's untreated, it can be. This is an area
	actually outside of my field of expertise. I'm
	involved in the diagnosis of tumors and not in the
	treatment, and I would have to assume that in this
	day and age whether something is considered
	aggressive may or may not depend on how it's
	treated.
Q	How about in terms of comparatively to a seminoma,
	is an embryonal carcinoma comparatively more
	aggressive or less aggressive than a seminoma?
	MS. MILLER: Objection.
	They are both malignant germ cell tumors which if
	left untreated might have grave consequences for t
	patient.
Q	How do you determine if keratin is present in a
	cancer cell?
	You use special stains.
Q	Is that a stain that's done for immunoreactivity?
	Yes.
Q	Is that designated as AE1/AE3?

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1	A	Those are types of cytokeratin, yes.
2	5	You mentioned dealing with the diagnostic side, not
3		so much the treating side. Is there a distinction
4		that you're aware of between the treatment of the
5		two cancers?
6		MS. MILLER: Objection. Are you
7		asking him to comment on what he knows about
8		treating cancer?
9	2	If you know. Within your realm of expertise, do you
10		have knowledge of whether or not the treatment of
11		these two types of cancers, the embryonal cell and
12		the seminoma, are treated differently?
13	7	Germ cell tumors that have nonseminomatous
14		components are usually treated slightly more
15		aggressively than pure seminomas.
16		(Plaintiffs' Exhibit 3
17		marked for identification)
18	ĵ	Doctor, I'm going to show you what's been marked
19		Exhibit 3. Just take a glance at that.
20		(Plaintiffs' Exhibit 4
21		marked for identification)
22	?	I'm handing you what's been marked Exhibit 4. First
23		if you can identify number 3 for me.
24	L	Number 3 , it looks like a copy of the report from
25		The Surgery Center on Thomas Ortman. SC95-1625.

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		35	5
1	5	Okay.	
2	£	Dated 5-12-95.	
3	5	Is that your report?	
4		MS. MILLER: Objection.	
5	5	That you authored?	
6		MS. MILLER: Objection.	
7	Ŧ	I was involved in it, yes.	
8	5	Number 4 is a copy of number 3 , but there's some	
9		handwriting on the bottom and on the left side of	
10		it. Is that your handwriting?	
11	¥	No.	
12	2	Any idea whose handwriting that is?	
13	7	No. It may be for coding purposes on the part of	
14		the secretaries. Are you talking about on the	
15		left-hand side of the page?	
16	2	On the left-hand side it looks to be an initial, as	nd
17		on the bottom of the page that says T-78000	
18		M-9061/3.	
19	7	I do not know what that is in reference to.	
20	5	You had indicated, at least on number 3 , that you	
2 1		were involved in this report. Tell me how it is	
22		that you were involved in this report.	
23	ł	I looked at the slides, and I dictated the finding	S
24		listed under "Microscopic Diagnosis."	
25	5	You did not author the gross description?	
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1	7	No, I did not see the gross specimen.
2	2	Do you know who saw the gross specimen?
3	7	Dr. Tancinco.
4	3	Do you know how it is that you came to see the
5		microscopic while Dr. Tancinco saw the gross?
6	7	Because he was there the day before I was.
7	3	Just for someone who doesn't really know, how is it
8		processed after the surgery in terms of getting the
9		pathology to the pathology department?
10	7	I'm not sure I understand the question.
11	2	Okay. I'm just wondering, the surgeon performs the
12		surgery and then takes the specimen and sends it
13		over to the pathology department for microscopic
14		diagnosis, or is there a step in between? How does
15		that work?
16	7	Well, the specimen is obtained at surgery. It's
17		usually placed in fixative, and then the paperwork
18		identifying the specimen and the patient is
19		submitted to the pathology department.
20	5	It's at that point in time when the gross
2 1		description is done, when the pathology or the
22		specimen is removed from the patient and placed into
23		the fixative?
24	Ŧ	No.
25	5	When is the gross pathology performed?

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1	A	After the specimen has been received by the
2		laboratory.
3	Q	Is there a reason why you would wait a day before
4		doing the microscopic diagnosis after the gross
5		pathology has been reviewed?
6	A	Because the slides have to be prepared from the
7		specimen. That usually takes a minimum of 24 hours.
8	5	Who makes the slides?
9	A	Employees who specialize in histology.
10	5	You've had a chance to review the slides this
11		morning before the deposition; is that correct?
12	<u>A</u>	Uh-huh.
13	5	You have to say yes or no.
14	A	Yes.
15	2	In reviewing those slides, did you hold the same
16		opinion as to what you saw on May 12th, 1995, or
17		May llth, 1995, that you d d when you saw them this
18		morning?
19	Ŧ	I'm able to identify areas of seminoma, and I have
20		reviewed special stains that were done to
21		demonstrate cytokeratin. I maintain that there is
22		still seminoma within the tumor.
23	5	Did you see anything else within the tumor when you
24		reviewed the slides beyond the seminoma?
25		MS, MILLER: Objection.

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1	5	In terms of embryonal carcinoma?
2		MS. MILLER: - Going back looking at
3		the slides today?
4	<u>)</u>	Yes, as you looked at the slides today.
5	¥	They're both malignant germ cell tumors. There are
6		varieties of seminoma, anaplastic seminoma that are
7		similar in appearance to areas of embryonal
8		carcinoma. I see primarily cells I interpret as
9		seminoma. That's my conclusion.
10	<u>)</u>	Do you see any signs of embryonal carcinoma in
11		reviewing the pathology?
12		MS. MILLER: Objection.
13	L	I did not see what I considered clear-cut embryonal
14		carcinoma in there.
15	;	Did you see anything in there that would give you
16		reason to believe there may be embryonal carcinoma
17		there?
18		MS. MILLER: Objection.
19	Y	Embryonal carcinomas often occur in combination with
20		seminomas when there's a mixed germ cell tumor.
2 1		This is always a consideration we have in the back
22		of our minds when we're evaluating a germ cell
23		tumor.
24)	Did you see something in the slides when you looked
25		at them today that indicated that there may be

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1		embryonal carcinoma present?
2		MS. MILLER: - Objection.
3	7	I did not find an area that I was able to
4		unequivocally diagnose as embryonal carcinoma in my
5		review of the slides.
6	3	Again my question was, did you see anything that
7		could be embryonal carcinoma, not unequivocally, but
8		could be embryonal carcinoma when you viewed it
9		today?
10		MS, MILLER: Objection.
11	7	These are related tumors, and there could be an area
12		of embryonal carcinoma in almost any germ cell
13		tumor. I did not see any that I could specifically
14		identify as embryonal carcinoma. I interpreted this
15		initially as a seminoma.
16	}	I understand initially. In terms of looking at it
17		today, you have the same opinion that you did
18	7	I did not find glandular areas. I didn't find I
19		did not find areas showing alpha-fetoprotein. I
20		didn't see Schiller-Duval bodies. I didn't see the
2 1		characteristic findings that I associate with
22		embryonal carcinoma.
23		There was a keratin stain, and the cells
24		that I interpreted as seminoma did not stain with
25		the keratin stain, and that's all I can say.

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1	Q	Doctor, did other cells stain with the keratin stain
2		that were present?
3	А	Yes. There were epithelial cells that are normal
4		parts of the testes.
5	Q	Any other cells besides the epithelial cells stain
6		with the keratin?
7	A	I did not identify other tumor cells that were
8		staining.
9	Q	As you looked at it today, were you able to identify
10		any other tumor cells that were staining?
11		MS. MILLER: Objection.
12	A	That's the only time I ever looked at it. Those
13		stains were not
14	а	They're not present on the slides?
15	Ą	Those stains were not present at the time I
16		evaluated the case.
17	5	Who did the staining?
18	Ð	Who did the staining? I'm not sure where it was
19		done.
20	a	Is staining something that's done microscopically?
2 1		Is that how it's viewed? Is it part of a
22		microscopic examination?
23	I	The stains are something that can be requested as
24		part of a microscopic examination.
25	5	Back in 1995, did you request staining for this as

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1		part of your microscopic
2	A	That's a very general staining is a routine part
3		of the procedure.
4	Q	So you did request staining back in 1995?
5	A	We're unable to interpret any of the slides without
6		them being stained.
7	Q	Did you have them stained for immunoreactivity for
а		AE1 and AE3?
9	A	No, I did not.
10	Q	Why not?
11	A	Because I did not feel there was convincing evidence
12		on the hematoxylin and eosin stains that there was
13		epithelial differentiation.
14	S	Were you able to determine if there was vascular
15		invasion present?
16	A	I did not see vascular invasion.
17	a	What is vascular invasion?
18	A	Vascular invasion is a tumor that involves blood
19		vessels.
20	5	What's the significance of that?
21	A	The significance of it is that there's more chance
22		for the tumor to spread if it's in blood vessels
23		than if it's not.
24	2	So if you had to reissue your report that you
25		authored for the microscopic diagnosis on $5-12$ of

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1		'95 today, would your report vary or change in any
2		manner? _
3		MS. MILLER: Objection. This is
4		after his retrospective review of everything that's
5		happened in this case or
6	Ŧ	Knowing that the tumor had metastasized, knowing
7		that there is a question about the case, I might
8		order some more special stains. I might order I
9		might have ordered some other stains, but that's,
10		you know.
11	5	Would you revise your diagnosis as a differential
12		diagnosis to include embryonal carcinoma?
13		MS. MILLER: Objection.
14	Ŧ	I might include that in a differential diagnosis.
15	5	At the time, can you tell me why that was not
16		included as part of your differential diagnosis?
17	Ŧ	Because I did not see epithelial differentiation.
18		(Plaintiffs' Exhibit 5 marked for
19		identification)
20	2	Doctor, I'm going to show you what's been marked
2 1		Exhibit 5. Have you had a chance to review can
22		you identify it for me, please, first.
23	A	This is a pathology report SC95-1625 dated 1-31-96
24		signed by Dr. Tancinco.
25	5	Those are the same slides that you reviewed in 1995,

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1		correct?
2	A.	Some of them are, yes
3	5	Is there a distinction as to how many slides were
4		reviewed?
5	A	There is not a distinction. There's no number of
6		slides on this report.
7	Ş	Is there any designation as to how many slides you
8		reviewed on your report dictated 5-12-95?
9	f	No.
10	5	Incidentally, this morning you had a chance to
11		review the slides. There were 12 slides present of
12		13. Do you know which slide was missing?
13	٤	No, I don't know what I can't tell what slide was
14		missing. You have slides that I did not originally
15		evaluate, that are not part of the original
16		preparation, and \mathbf{I} wouldn't know how many other
17		slides you might have had made or prepared.
18	!	In looking at the slides, can you tell when they
19		were prepared?
20		MS, MILLER: Do you want to bring
2 1		those out?
22		MR. LANDSKRONER: Sure.
23		Which slides are you specifically interested in?
24	i	If you can walk me through them and tell me which
25		ones, if any, you can say when they were done, which

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1		groupings. I want to be able to identify them if I
2		can in terms of time f-rames.
3		Well, the slides labeled 1, 2, 4, 5 and 6 appear to
4		be slides that are part of the original case. There
5		is a slide designated number 5 that says recut
6		number 1 that apparently was cut at some later date.
7		That's why it's called a recut. And then there are
8		six slides which are special stains that were done
9		at a later date. One of those is dated 1-29-96.
10	Q	Which, if you can recall, slides did you view at the
11		original time of your diagnosis?
12		I looked at I did not look at the recuts, and I
13		did not look at immunostains. I looked at the
14		others.
15		Can you identify for me again that document? I
16		don't know if you did, number 5.
17		Document number 5 is a revised report SC95-1625
18		signed by Dr. Tancinco that relates to Thomas
19		Ortman.
20	Q	Okay. In Dr. Tancinco's report he notes that there
2 1		is intratubular germ cell neoplasia present.
22		Uh-huh.
23	Q	Is that correct?
24		He describes intratubular germ cell neoplasia, yes.
25	Q	Do you disagree with that finding?

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45 1 MS. MILLER: Objection. . I would have to specifically look for that again. 2 Ŧ 3 You know, I can't tell you from -- I did not note it in my original report. I would have to review the 4 5 slides again to see if that specific feature is part 6 of this tumor. 7) Is that something that you can tell from the original slides? 8 I'm not sure that I understand. 9 ¥. 10 1 Would you need to look at the staining to determine that, or would you be able to tell from the original 11 12 slides that you reviewed if that's present? That should show up in the original slides. 13 14 In your report dated 5-10 you indicate that 15 intratubular germ cell neoplasia is not identified. 16 Can you tell me whether or not in view of that you disagree with Dr. Tancinco's findings? 17 18 Objection. MS. MILLER: 19 There's a discrepancy, there's a difference of 20 opinion between those two reports. 21 Those are your findings --Q 22 One states that intratubular neoplasia is 23 identified; the other one says intratubular 24 neoplasia is not identified. Those are insight to 25 noninvasive forms of tumor.

46 1 If Dr. Tancinco indicated that he found it was) 2 present, do you have any reason to disagree with him? 3 4 Objection. MS. MILLER: 5 Ł Well, do I have any reason to disagree with him. Ι did disagree with him at one time apparently. When 6 7 I reviewed the slide originally, I did not recognize I wouldn't agree with him just because he 8 that. 9 happened to have put it in a report subsequently. 10) It's your opinion that there was no Okav. 11 intratubular germ cell neoplasia on 5-12-95, 12 correct? 13 MS. MILLER: Objection. When I reviewed the slides this morning, I did not 14 Ł 15 specifically evaluate them for the presence of germ 16 cell neoplasia, intratubular germ cell neoplasia, because this is not a feature that is of particular 17 import in the diagnosis. And since I did not 18 19 specifically look for that then, I can't tell you now whether it's there or not or whether I agree or 20 21 disagree. 22 If it was present in 1995, should it have been in) 23 your report? 24 MS. MILLER: Objection. If I identified it, it should have been in my 25 Ł

		47
1		report.
2	2	Should you have looked for it in 1995 as part of
3		your
4	Ŧ	I'm sure that I did.
5	5	And you did not see it back at that time frame, back
6		in 1995?
7	Ŧ	I did not see it. Do you understand what the basis
а		of these things are? These are indications for
9		evaluation of staging. They are not anything that
10		people would necessarily require to be in a report.
11		A report, a satisfactory report, you know, a
12		diagnostic report might not include those findings,
13		but they are considered to be useful in terms of
14		staging purposes.
15	ļ	You went as far as writing down on your report that
16		intratubular germ cell neoplasia was not identified,
17		so you looked for it?
18		I was following templates that were at The Surgery
19		Center for the evaluation of a testicular tumor, and
20		I did not see intratubular germ cell growth at the
2 1		time.
22	 Q	You did not see it on 5-12-95. Dr. Tancinco saw it
23		on 1-31-96 based on these reports, correct?
24		He describes it, yes.
25	Q	You did not on 5-12-95 distinguish vascular

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25	24	23	22	21	20	19	18	17	16	15	14	13	12	11 11	10	9	ω	7	σ	ហ	4	ω	2	щ	
		N								Ø	A							Ø	A		õ	A			
These tumors have they express themselves in a	MS MILLER: Objection	So in essence, yow missed the embryonal carcinoma?	o it as intratebular embryeral carcinoma.	that I would have recognized an intratubular portion	tumor as embryonal carcin a, so it is impossible	looking at brothers • o×ay? I did not identa≣y the	These tumors are related tumors. They are like	ME. MILLER Objection	embryonal carcinoma?	Why is it that yow did not ident i y the intratubelar	Uh-huh.	embryonal carcinoma," correct?	neoplasia is aleo identified, probably intratubolar	microscopic description that, 'Intratubular	states in the erd of his first paragraph of the	or t L e other or both potentially A rd he ∎orther	reminiscent of embryonal carcinoma or semin a, one	Dr Tancinco indicates that there are areas	I didn't see it. The well, fine.	invarion at this t e?	WLy dad you not indicate that there was vascular	He says that there's vascelar invation.	1-31-96, correct?	48 invation Dr. Tancinco distinguished that on	

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1		variety of patterns, and these are all the tumor
2		that I diagnosed was a malignant germ cell tumor,
3		and it's Dr. Tancinco's opinion that in addition to
4		the element that I found, there was another element.
5	<u>)</u>	So you missed the embryonal carcinoma?
6	7	I will state this again. It is Dr. Tancinco's
7		opinion that there is another element that I did not
8		recognize.
9		(Plaintiffs' Exhibit 6 marked for
10		identification)
11)	Doctor, I'm going to show you what's been marked
12		Exhibit 6 and ask you if you can identify that for
13		me.
14	L	Yes. This is a report from the Cleveland Clinic,
15		S96-4032, in reference to the tumor on Thomas
16		Ortman.
17	ì	That report is authored by Dr. Howard Levin,
18		correct?
19	L	Yes.
20	ý	Dr. Levin finds in his final diagnosis that "Mixed
21		malignant germ cell tumor, predominantly embryonal
22		carcinoma with focal seminoma and malignant
23		intratubular germ cell neoplasia" is present,
24		correct?
25		That's stated on the report.
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1	2	Dr. Levin found in viewing these slides the
2		embryonal carcinoma, correct?
3	Ā	He states that it was his opinion that there was
4		embryonal carcinoma mixed with seminoma.
5	2	Do you disagree with Dr. Levin's statement that a
6		large majority of the neoplasm is embryonal
7		carcinoma?
8		MS, MILLER: Objection.
9	5	The first sentence of his comment.
10	7	I interpreted this as being pure seminoma.
11		Dr. Levin interprets this as being predominantly
12		embryonal carcinoma.
13	3	So you disagree with what he says.
14		MS, MILLER: Objection. That's not
15		what he said.
16	L.	I interpreted this as being a seminoma, and he
17		interprets it as being a seminoma plus an embryonal
18		carcinoma.
19	;	I'm asking you do you disagree with what his
20		interpretation is.
2 1	L	Well, we have already discussed that I don't find
22		areas that are clearly and definitely embryonal
23		carcinoma by my criteria, so it seems to me we're
24		being redundant here. Dr. Levin finds that there is
25		embryonal carcinoma as well as seminoma. That's his

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	opinion.
	And just so we can get- an answer, you disagree with
	his opinion?
	MS. MILLER: Objection.
	I am not able to document it by my criteria.
IQ	Doctor, I understand about your criteria.
	I know, but, you know, I did not identify a germ
	cell I did not identify an embryonal component in
	this tumor when I diagnosed it in May of 1995.
1	I understand that.
	Dr. Levin, looking at the same tissue, thinks that
	there is that component present. Why do we need to
	go on from here?
!	Because I just want you to answer the question
	whether you disagree or agree with what his opinion
	is.
	MS. MILLER: Jack, are you looking
	for a yes or no?
L	This is retrospective. I looked at this tumor in
	May of 1995, and I thought that it was a pure
	seminoma. Reviewing the same slides, Dr. Levin
	thinks that there is in addition to that embryonal
	carcinoma. He's entitled to his opinion and his
	evaluation.
2	In essence, you looked at the same materials, and

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1		you have a different opinion than he does, correct?		
2		I had a different opinion than he does than he		
3		did when I looked at it in May of '95.		
4	Q	And as of this morning when you looked at it, do you		
5		still have a different opinion?		
6		I'm not able to clearly identify the areas described		
7		as being embryonal carcinoma.		
8		(Plaintiffs' Exhibit 7 marked for		
9		identification)		
10		THE WITNESS: Can we take a break for		
11		a few minutes?		
12		MR. LANDSKRONER: Sure.		
13		(Recess)		
14		(Plaintiffs' Exhibit 8 marked for		
15		identification)		
16	Q	Doctor, I want to pick up where we left off and show		
17		you what's been marked Plaintiffs' Exhibit 7, ask if		
18		you can identify that for me.		
19		This is a report from University Hospital that		
20		pertains to Thomas Ortman. That's \$96-2828.		
2 1	Q	And again, that's, I think, underneath the final		
22		diagnosis line it says SC95-1625. Those are the		
23		slides from Mr. Ortman?		
24		I'm sorry?		
25	Q	Right here.		

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53 1 This pertains to SC95-1625. Doctor, you mentioned -you were familiar with 2 ł. Dr. Karim, pathologist at University Hospital? 3 Uh-huh. 4 5 And Dr. Karim finds in his final diagnosis in) reviewing the slides of Mr. Ortman that there's 6 mixed malignant giant cell tumor, predominantly 7 embryonal carcinoma with focal seminoma. 8 9 I think that that is a typographical error. Mixed 10 malignant giant cell tumor is a typographical error, 11 and I'm sure Dr. Fadi will be glad to correct that 12 for you if you check that with him. That is 13 supposed to be germ cell tumor. This is probably dictated and not read. 14 15 Right. Predominantly embryonal carcinoma with focal) 16 seminoma. So Dr. Karim reviewed the pathology 17 slides and found the embryonal carcinoma. Again, do 18 you disagree or agree with Dr. Karim's findings that 19 there was embryonal carcinoma present? 20 Ł I cannot answer that yes or no. I did not find 21 embryonal carcinoma when I initially evaluated the 22 report, and I do not see areas that to me are 23 clearly indicative of embryonal carcinoma. I am 24 interpreting these areas as anaplastic seminoma. 25 With the exception of the typographical error, 2

54 potential typographical error, do you see any 1 distinction between what Dr. Levin, Dr. Karim and 2 3 Dr. Tancinco found in their evaluations of these 4 slides? They feel that in addition to seminoma there is also 5 another component of germ cell -- another component 6 7 of germ cell tumor, embryonal carcinoma, which I did not identify on the initial -- my initial 8 evaluation. 9 10 Dr. Karim notes "suspicious for lymphatic invasion." Q 11 What does that mean? 12 Well, you had commented on the possible presence of vascular invasion, and he's saying that there is an 13 14 area that is suspicious for vascular invasion. 15 Q Again, something that you did not see in your evaluation of these slides? 16 I did not see vascular invasion. 17 Just for housekeeping purposes, if you will identify 18 Q 19 this for me. This is basically a photograph of 20 that. Objection. 21 MS. MILLER: 22 It's marked Plaintiffs' Exhibit 8, if you can tell Q 23 me what that is. 24 I have in my possession a Xerox copy of a number of 25 slides, of 12 slides from The Surgery Center, some

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1		of which appear to have been done as immunostains
2		and some of which are H&E's.
3	2	Are those the same slides that you reviewed that we
4		have in front of us?
5	¥	Yes.
б	2	Doctor, is there any information that you would like
7		to have had prior to reviewing these slides in terms
8		of medical records from Mr. Ortman to make your
9		diagnosis? That you didn't have, I'm sorry.
10	Ŧ	That I didn't have. Well, I think that in the
11		evaluation of a testicular tumor it would be useful
12		preoperatively to have alpha-fetoprotein and an HCG.
13	5	What's HCG?
14	Ŧ	These are markers, serum markers which are elevated
15		in nonseminomatous germ cell tumors,
16	5	And you did not have that information at the time
17		you reviewed these slides?
18	f	No, I did not have that information.
19	5	Again, you did not talk to any of the physicians
20		that were involved in Mr. Ortman's care prior to
2 1		evaluating these slides, did you?
22	F	That's correct.
23	5	Were you aware that there was an ultrasound done on
24		May 3rd, 1995 of Mr. Ortman?
25	A	No.

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1	3	Do you normally or on occasion have the opportunity
2		to review ultrasound films before reviewing
3		pathology?
4	7	N o .
5	2	Does your evaluation of pathology have anything to
6		do with the staging of the cancer?
7	¥	There are elements of the report that are used in
а		staging: The presence or absence of capsular
9		invasion, the presence or absence of the tumor at
10		the line of resection, things like this.
11	5	Anything in your report which is relative to the
12		staging of this tumor?
13	Ŧ	Y e s.
14	5	Will you point that out for me?
15	Ŧ	Everything below the diagnosis of seminoma is
16		related to staging.
17	5	Do you put a designation as to what stage the tumor
18		i s ?
19	A	No.
20	5	Is that something that's left to the oncologist or
2 1		the surgeon?
22	A	That's correct.
23	2	Doctor, does the standard of care for pathologists
24		require you to be able to look at a pathology slide
25		and determine whether a cancer is embryonal cell or

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57 seminoma? 1 2 By -- I don't know how you're defining standard of 3 care. I mean it's not a document. By standard of care, I'm referring to acting as a 4) 5 reasonable physician in your area of specialty. Yes, it would -- could you ask me the question 6 Ł 7 I'm not sure I understand. aqain. 8 The question is, does the standard of care in) Sure. your area of specialty require that you be able to 9 look at a pathology slide and determine whether a 10 11 cancer present is embryonal carcinoma or seminoma? 12 MS. MILLER: Can you answer that question? 13 14 I don't know that it's yes or no. There are cases 7 15 that are clear-cut and they're different, and then 16 there are cases where the cells are very similar and no one can identify which is exactly which. 17 The 18 easy cases are easy, and the hard cases are hard. 19 The hard cases no one can definitely tell 20 which is which, and the easy cases anyone can tell which is which. I mean it's not an all or none 21 22 phenomena. 23 In medical school you were taught and through the 2 24 course of your practice you were taught the 25 distinguishing characteristics and traits between

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1 the two, though, correct? 2 In my residency training and through experience I 3 have learned to differentiate the differences, and they are some of the things we've discussed. 4 5 In this case, most of the discriminating features between embryonal carcinoma and anaplastic 6 7 seminoma are not present. They're not described. 8 There's no gland formation, there's no evidence of 9 alpha-fetoprotein, there's no evidence of the 10 characteristic tubular structures that are 11 associated with embryonal carcinoma. 12 This tumor has a few clumps of cells growing as sheets and a heavy lymphoid infiltrate, 13 which are characteristics of seminoma. 14 15 Doctor, do you have any criticism of the conduct or) the action of Mr. Ortman in this case? 16 MS. MILLER: Objection. 17 I have no knowledge of his conduct. 18 ¥ Do you have any criticism of any of the other care 19) providers in this case? 20 21 Ł No. A couple questions that I have to ask you, and I 22 2 23 apologize in advance, but have you ever been convicted of a state or federal offense? 24 25 No. ł

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1	Q	Criminal offense. Have you ever been treated for		
2		any alcohol or substance abuse?		
3		No.		
4	Q	Have you ever served on any hospital or review		
5		committees in quality review beyond what we've		
6		previously discussed?		
7		I'm not sure I understand that. In all the		
8		committees and things that you're involved in, there		
9		are elements of quality control and quality		
10		assurance involved in them, and I haven't		
11		specifically been on a committee that was called the		
12		quality control committee, if that's what you're		
13		asking.		
14	Q	Okay. Peer review or anything like that?		
15		I have not served on a peer review committee.		
16	Q	The organizations that you belong to that are		
17		involved are listed on your curriculum vitae,		
18		correct?		
19		Yes.		
20	Q	Doctor, would you agree that the prognosis of a		
2 1		patient depends on the cancer cell type in the time		
22		frame of diagnosis for the treatment of the patient?		
23		MS. MILLER: Objection.		
24		MR. POLITO: Same objection.		
25		Yes.		

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60 1) Do you agree that the longer the delay in treatment, 2 the greater the risk to the patient? 3 MS. MILLER: Objection. You're 4 asking him as a pathologist about diagnostics or prognostics; is that correct? 5 6 MR. LANDSKRONER: I'm asking him about 7 general knowledge that he may have concerning 8 cancer. Objection. 9 MS. MILLER: 10 MR. POLITO: Objection. 11 I can't answer yes or no because --Ł 12 MS. MILLER: You answered. You 13 can't answer. 14) You said you couldn't answer yes or no. Anything else to add to that? 15 16 I can't answer beyond yes or no. Ł Can you tell me what the risks of embryonal cell 17) 18 carcinoma going untreated are? 19 MS. MILLER: Objection. What the risks are? The risk is metastatic cancer 20 7 and death. 21 22 Would you agree that the clarity of tumor) identification is vital to evaluating correct 23 24 treatment for each type of tumor? 25 Objection. MS. MILLER:

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1		No.
2		Why not?
3		Because many tumors are treated with the same
4		chemotherapy and the same therapy, and frequently
5		the histologic differentiation between tumors is of
6		interest primarily to the pathologists and is not of
7		clinical significance to the oncologists.
8		Many lymphomas are treated the same way.
9		Many metastatic diseases are treated the same way.
10		So as a general statement, I would disagree.
11	Q	How about specifically dealing with the
12		identification of a seminoma versus an embryonal
13		carcinoma?
14		MS. MILLER: Objection.
15		MR. POLITO: Objection.
16		That's really beyond my expertise.
17		Doctor, had you seen the gross pathology at the time
18		it was done, would it have changed anything in your
19		opinion in terms of the microscopic?
20		Probably not.
21	Q	Do you use a written protocol checklist in terms of
22		distinguishing between the type of tumor in
23		testicular cancer?
24		Would you repeat the question.
25	Q	I'll rephrase the question. It was a bad question.

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62 1 When you were evaluating these slides, did you use a 2 written protocol check-list in terms of what you were 3 looking for? There was a template related to staging that was 4 5 used. 6 Q What is that? Is it a document? Can you explain 7 what the template is? а It's a list of things to look for: tumor size, the 9 presence or absence of intratubular germ cell 10 neoplasia, whether the capsule is involved, whether 11 the epididymis is involved and whether there's tumor 12 at the receptive margin. Is that a template that's maintained by Southwest 13 14 Hospital or The Surgery Center or --15 It's something that was developed within the 16 pathology department. 17 At Southwest General Hospital? 18 Yes. I would make a request 19 MR. LANDSKRONER: 20 for the template. 21 MS. MILLER: Jack, he's reading 22 right off the -- that's the template from the 23 report. 24 But there's a document MR. LANDSKRONER: 25 that actually exists --

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1	MS, MILLER: It's not a document.
2	Is it a document?
3	There's a form and it says tumor size, then it says
4	intratubular germ cell neoplasia yes or no,
5	involvement of tunica albuginea yes or no. It's
6	just basically what we have here.
7	MR. LANDSKRONER: I'd like to see the
8	form if you can get the form for me.
9	MS. MILLER: If Dr. Alberhasky
10	doesn't have control of that, I can't provide that
11	to you.
12	MR, LANDSKRONER: I now request it
13	otherwise if you have it.
14	MS. MILLER: I don't have anything
15	like that.
16	MR. LANDSKRONER: That's all I have.
17	MR. POLITO: I have no questions.
18	MS. MILLER: Doctor, if this is
19	typed up, you have a right to read it for any errors
20	in transcription. While she's competent, this
2 1	doesn't follow certain medical terminology, so I
22	suggest you read it and tell her you do not waive
23	your signature.
24	THE WITNESS: Okay.
25	(Deposition concluded at 3:55 p.m.)

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1	I have read the foregoing transcript from page
2	L through 63 and note the fallowing
3	corrections:
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5	PAGE LINE REQUESTED CHANGE
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18	
19	Robert Alberhasky, M.D.
20	
21	Subscribed and sworn to before me this day
22	>f, 1997.
23	
24	Notary Public
25	My commission expires

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State of Ohio, County of Cuyahoga,

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SS: CERTIFICATE

3 I, Phyllis L. Englehart, RMR and Notary Public in 4 and for the State of Ohio, duly commissioned and qualified, do hereby certify that the within named 5 witness, Robert Alberhasky, M.D., was by me first duly 6 7 sworn to testify the truth, the whole truth, and nothing. a but the truth in the cause aforesaid; that the testimony 9 then given by him was by me reduced to computerized 10 stenotypy in the presence of said witness, afterward 11 transcribed, and that the foregoing is a true and correct 12 transcript of the testimony so given by him as aforesaid. 13 I do further certify that this deposition was

14 taken at the time and place in the foregoing caption15 specified and completed without adjournment.

I do further certify that I am not a relative,
counsel, or attorney of either party, or otherwise
interested in the event of this action.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal of office at Cleveland, Ohio, on this 26^{-1} day of May, 1997.

> Phyllis L. Englehart, RNR and Notary Public in and for the State of Ohio My commission expires June 23, 2001.

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CURRICULUM VITAE

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Birthplace:

Marital Status:

Social Security Number:

EDUCATION

UNDERGRADUATE 1968-1972

MEDICAL SCHOOL 1972-1976

INTERNSHIP 1976-1977

RESIDENCY 1977-1980

CHIEF RESIDENT 1978-1979

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ASSISTANT INSTRUCTOR 1978-1979

CLINICAL FELLOWSHIP 1980-1981

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Married

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LICENSURE

Kentucky Medical Licensure Board No. 19449 1977

Ohio Medical Licensure Board No. 046298 1981

CERTIFICATION

1980

1994

MEMBERSHIP

Board Certified Anatomic Pathology/Clinical Pathology American Board of Pathology

College of American Pathology (CAP) Laboratory Inspector

College of American Pathologists Ohio Society of Pathologists

Cleveland Society of Pathologists

EMPLOYMENT

July 1978 - July 1980

September 1980 - June 1981

July 1981 - February 1995

March 1995 - December 1995

December 1995 to Present

Clinical Diagnostic Laboratory-National Health Laboratories

Consultant Park DuValle Community Health Center

Pathology Associates, Inc. Euclid General Hospital Euclid, Ohio Department of Pathology Clinical, Anatomical and Cytopathology

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Bayless-Pathmark, Inc. Smith-Kline Beecham Laboratories Cleveland, Ohio Clinical, Anatomical and Cytopathology

PUBLICATIONS

"Carcinoma of the Endometrium,"

Christopherson, W.M., Alberhasky, R.C., Connelly, PJ.

I. A clinical pathological study of clear cell carcinoma and secretary carcinoma. Accepted for publication February, 1981. Cancer

"Carcinoma of the Endometrium,"

Christopherson, WM, Alberhasky, R.C., Connelly, PJ.

II. Papillary Adenocarcinoma: A clinical pathological study of 46 cases.

Accepted for publication May, 1982; Vol. 77, No. 5

"Carcinoma of the Endometrium,"

Connelly, PJ., Alberhasky, R.C., Christopherson, WM. III. Analysis of 865 cases of Adenocarcinoma and Adenocanthoma. Accepted for publication May, 1982; Vol. 59, No.5

"Carcinoma of the Endometrium,"

Alberhasky, RC, Connelly, PJ., Christopherson, W.M. IV. Mixed Adenosquamous Carcinoma. Accepted for publication June, 1982; Vol. 77, N0.6

<u>REFERENCES</u>

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PATHOLOGY CONSULTATION REQUEST AND QUALITY CONTROL FORM .5 DATE CASE NO. S 09 5-1625 THE PATIENT NAME ORTMAN THO TAS AGE: 3 DOB: 10:24-58 SEX: 4 19250 EAST BAGLEY ROAD . MIDDLEBURG HTS. OHIO 44130 216-626-5240 TESTILLE SPECIMEN HISTORY PATHOLOGIST REQUESTING CONSULTATION Seminenia Funcia has preleup, not inværm alfole DATE DIAGNOSIS CONSULTING PATHOLOGIST 5-11-95 CV **PLAINTIFF'S** EXHIBIT ((



19250 EAST BAGLEY ROAD . MIDDLEBURGHTS., OHIO 44130 . 216-826-3240

PATH No.: SC95-1625

DATE OF BIRTH: 10-24-58

AGE: 35 SEX: M

PATHOLOGY LABORATORY

NAME:	ORTMAN, THOMAS
DATE RECEIVED IN LAB:	5-10-95 at 10:49 a.m.
PHYSICIAN:	Dr. Basa
SPECIMEN:	Right testicle
DATE OF PROCEDURE:	5-10-95
PRE-OPERATIVE DIAGNOSIS:	Tumor right testicle

POST-OPERATIVE DIAGNOSIS: Pending

<u>**CROSS** DESCRIPTION:</u> Received in Prefer fixative is a testicle, designated the right, which weighs 26.7 gams, and comes with attached epididymis and contents of spermatic cord. The testicle measures $4.5 \times 2.8 \times 2.5$ cm. The tunica albuginea is smooth, glistening, and gray-tan showing two brown sutures sewn around a portion of the tunica and enclosing a portion of light brown apparent testicular tissue measuring up to $1.0 \times 0.3 \times 0.2$ an. Otherwise, no abnormalities are noted. Cut section of the testicle reveals a slightly bulging and firm, irregular gray-white tumor measuring up to 1.8×1.6 an. in dimension. On cut section, the tumor shows areas of hemorrhage. The tumor does not extend to the tunica, nor does it extend into the epididymis. Other cut sections of the testicle away from then tumor are unremarkable. The edididymis and contents of the spermatic cord are unremarkable.

Representative sections are submitted in six cassettes. Designations #1 is contents of spermatic cord line of resection, #2 is section of tumor and adjacent testicle, #3 through #5 are sections of tumor with tunical margin and #6 is adjacent abnormal appearing testicle and epididymis.

BFT/ef

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RA/ef 5-12-95 88309



R. ALBERHASKY, M.D.

THE SURGERY CENTER

19250 EAST BAGLEY ROAD. • MIDDLEBURG HTS.. OHIO 44130 • 216-826-3240

PATHOLOGY LABORATORY

NAME:	ORTMAN, THOMAS	PATH No.: SC95-1625
DATE RECEIVED IN LAB:	5-43-95 at 10:49 a.m.	AGE: 36 SEX: M
PHYSICIAN:	Dr. Basa	DATE OF BIRTH: 10-24-58
SPECIMEN:	Right testicle	
DATE OF PROCEDURE:	5-10-95	
PRE-OPERATIVE DIAGNOSIS:	Tumor right testicle	

POST-OPERATIVE DIAGNOSIS: pending

<u>**CROSS DESCRIPTION:**</u> Received in Prefer fixative is a testicle, designated the right, which weighs 26.7 grams, and comes with attached epididymis and contents of spermatic cord. The testicle measures $4.5 \times 2.8 \times 2.5$ an. The tunica albuginea is smooth, glistening, and gray-tan showing two brown sutures sewn around a portion of the tunica and enclosing a portion of Light brown apparent testicular tissue measuring up to $1.0 \times 0.3 \times 0.2$ an. Otherwise, no abnormalities are noted. Cut section of the testicle reveals a slightly bulging and firm, irregular gray-white tumor measuring up to 1.8×1.6 cm. in dimension. On cut section, the tumor shows areas of hemorrhage. The tumor does not extend to the tunica, nor does it extend into the epididymis. Other cut sections of the testicle away from then tumor are unremarkable. The edididymis and contents of the spermatic cord are unremarkable.

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MICROSCOPIC DIAGNOSIS :

RIGHT TESTES: SEMINOMA TUMOR SIZE: 1.8 CM. IN DIAMETER INTRATUBULAR GERM CELL NEOPLASIA: NOT IDENTIFIED TUNICA ALBUGINEA: NOT INVOLVED EPIDIDYMIS, NOT INVOLVED SPERMATIC CORD AND MARGINS: NOT INVOLVED

RA/ef 5-12-95 88309

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PLAINTIF

R. ALBERHASKY, M.D.



19250 EAST BAGLEY ROAD . MIDDLEBURG HTS., OHIO 44130 . 216-826-3240

PATH No.: SC95-1625

DATE OF BIRTH: 10-24-58

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PATHOLOGY LABORATORY

NAME:	ORTMAN,	THOMAS
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DATE RECEIVED IN LAB: 5-10-95 at 10:49 a.m.

Dr. Basa PHYSICIAN:

SPECIMEN: Right testicle

DATE OF PROCEDURE: 5-10-95

PRE-OPERATIVE DIAGNOSIS: Tumor right testicle

POST-OPERATIVE DIAGNOSIS: pending REVISED DIAGNOSIS:

RIGHT TESTIS: MIXED SEMINOMA AND NON-SEMINOMATOUS GERM CELL TUMOR (EMBRYONAL CARCINOMA)

TUMOR SIZE: 1.8 CM. INTRATUBULAR GERM CELL NEOPLASIA: PRESENT VASCULAR INVASION: PRESENT TUNICA ALBUGINEA: NEGATIVE FOR TUMOR EPIDIDYMIS: NEGATIVE FOR TUMOR SPERMATIC CORD AND MARGINS: NEGATIVE FOR TUMOR

MICROSCOPIC DESCRIPTION: This is a difficult lesion to classify; however, it appears that there are two distinct components to this tumor. There appears to be a minor component of seminoma admixed with larger areas of anaplastic tumor showing solid nests of tumor cells containing central necrosis and numerous mitoses, some of which are atypical. The nuclei are highly pleomorphic with prominent nucleoli. These areas are reminiscent of embryonal carcinoma or seminoma with carcinomatous transformation. Intratubular neoplasia is also identified, probably intratubular embryonal carcinoma.

Immunoperoxidase stains show focal immunoreactivity for AE1/AE3 within the highly anaplastic areas. This positivity is typically seen in non-seminomatous germ cell tumors such as embryonal carcinoma, whereas seminomas are usually negative.

COMMENT: This case is being forwarded to H. S. Levin, M.D. for consultation with a supplementary report to follow.



BFT/ef 1 - 31 - 96

B. F. TANCINCO, M.D.

DR.\BAS

THE CLEVELAND CLINIC FOUNDATIO DIVISION OF PATHOLOGY AND LABORATORY E DEPARTMENT OF ANATOMIC PATHOLOGY 9500 EUCLID AVENUE CLEVELAND, OHIO 44195 SURGICAL PATHOLOGY REPORT

ORTMAN, THOMAS SPECIMEN #: S96-4032 * Nar Patien: ID #: NONE Financial #: 287369 Managed Care ID #: Date of Report: 02/05/98 DOB: 10/24/58 (Age: 37) M Date of Procedure: 05/10/95 Date of Receipt: 02/02/96 Submitted by: The Surgery Center Location: Department of o Pathology 19250 E. Bagley Road Middleburg Hts, Ohio 44130 FAX: 826-3250 Requested by: DR: TANCINCO SPECIMEN(S) SUBMITTED: 13 SLIDES & 3 BLOCKS (SC95-1625) ***** FINAL DIAGNOSIS .TESTIS (EXCISION, RIGHT, \$C95-1625) - MIXED MALIGNANT GERM CELL TUMOR, PREDOMINANTLY EMBRYONAL CARCINOMA WITH FOCAL, SEMINOMA AND MALIGNANT INTRATUBULAR GERM CELL NEOPLASIA. Comment: The neoplasm measures 1.8 cm. in maximum dimension. A large majority of the neoplasm is embryonal carcinoma with a focus of There is no evidence of tunica albuginea seminoma in one slide. There is, however, probable invasion of endothellal-lined invasion. spaces, probably representing lymphatics. The tumor invades the rete testis and microfocally extends into connective tissue adjacent to the rete testis. No tymor is identified in the spermatic cord or the epididymis.' Immunoperoxidase stain for keratin (AE1/3) gemonstrates positivity in some cells of the embryonal carcinoma. Seminoma cells stain negatively for keratin-HSL/kmr/2-5-96

Howard S. Levin M.D.

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>-End of Report--

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UNIVERSITY HOSPITALS OF CLEVELAND DEPARTMENT OF PATHOLOGY

11100 Euclid Avenue - Cleveland, OH 44106

Phone (216) 844-1806 FAX: (216) 844-

GROSS DESCRIPTION:

Received from the Surgery Center, 19250 E. Bagley Rd., Middleburg Heights, Ohio 44130, are 13 slides labelled SC95-1625.

S96-2828* THOMAS ORTMAN



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End of Report





